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1 **Competition and water stress indices as predictors of *Pinus halepensis* Mill. radial growth**
2 **under drought**

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30 **Declaration of interest: none.**

31 **Abstract**

32 The frequency, duration, and severity of drought events are expected to increase in the Mediterranean
33 area as a result of climate change, with strong impacts on forest ecosystems and in particular individual tree growth.
34 Tree growth response to drought is strongly influenced by local site and stand characteristics that can be quantified
35 using competition indices (CIs) and water stress indices (WSIs). These indices have been widely used to predict
36 tree growth; however, they are numerous, and few studies have investigated them jointly. In this context, we
37 investigated the potential of using CIs and WSIs to investigate tree behaviour under drought. The main objective
38 of this study was to quantify *P. halepensis* Mill. annual radial growth using tree size from the previous year, CIs
39 and WSIs.

40 We studied twelve 50-year-old *Pinus halepensis* plots located in the South-East of France distributed in
41 different density treatments (light, medium and dense). At each plot, all trees were measured (height,
42 circumference), spatialized and the ring-widths were measured for ~15 trees. We also developed a two-strata (over-
43 and understorey) forest water balance model to simulate soil water content at a daily resolution based on stand
44 characteristics (LAI values in particular) and soil properties. A mixed modelling approach was eventually used to
45 investigate the drivers of *P. halepensis* annual radial growth and to test the performance of five CIs and four WSIs.

46 The best growth model included tree size, the sum of Basal Area of Larger trees in a 5m-radius (BAL;
47 as CI), and the number of days that trees experienced water stress in a year (as WSI) as predictors. This model
48 explained up to 56 % of the variance in observed pine tree growth, which increased up to 77% when the individual
49 tree was included as a random effect on the intercept. We found that distance-independent CIs can perform as well
50 as distance-dependent CIs in our study site. The duration of drought alone appeared to better predict tree growth
51 than drought intensity and duration, or drought timing. The selected model led us to reaffirm the positive effect of
52 thinning on tree secondary growth when facing long and intense drought.

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54

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56 **Keywords**

57 Radial growth; competition; water stress; *Pinus halepensis*; Mediterranean forests; model

58

59

60 1. INTRODUCTION

61

62 Drought has been identified as the main concern for the current and future functioning of Mediterranean
63 forest ecosystems (Peñuelas *et al.*, 2017). It strongly impacts the physiological functions of Mediterranean tree
64 and shrub species, limiting their annual growth (Barbeta *et al.*, 2015; Borghetti *et al.*, 1998; Gazol *et al.*, 2018;
65 Ogaya *et al.*, 2003). Drought can also induce individual tree mortality and forest dieback in cases of long-term or
66 extreme drought events (Allen *et al.*, 2010; Carnicer *et al.*, 2011; Greenwood *et al.*, 2017; Hayles *et al.*, 2007).
67 Climate models project an increase in temperature – leading to increased potential evapotranspiration – combined
68 with a decrease in precipitation for the Mediterranean area (Giorgi, 2006). This will likely lead to an increase in
69 the duration, intensity and frequency of droughts (Cramer *et al.*, 2018). In this context, understanding the processes
70 underlying the response of trees to drought is not only important for fundamental knowledge, but also for forest
71 management. Some forest management strategies have already been proposed to enhance Mediterranean forests’
72 growth productivity under dry conditions and to adapt them to climate change, in particular through the reduction
73 of competition among trees by thinning (Aldea *et al.*, 2017; Bréda *et al.*, 1995; Calev *et al.*, 2016; Sohn *et al.*,
74 2016; Vilà-Cabrera *et al.*, 2018).

75 Ecological competition is defined as a negative interaction between plants, which can be direct (direct
76 contact, allelopathy) or indirect through the use of common resources (Connell, 1990), and can be intraspecific or
77 interspecific. Thus, competition defines the pattern of net resource availability and is largely responsible for
78 differences in individual growth among trees with varying social status and neighbourhoods (Calama *et al.*, 2019).
79 Competition is considered symmetric when competitors share resources in proportion to their size, while
80 competition is considered to be asymmetric when large competitors capture a disproportionate share of contested
81 resources over smaller competitors (Schwinning & Weiner, 1998). On one hand, trees’ modes of competition are
82 driven by environmental factors and are linked to the most prevailing limiting factor (limitation-caused matter
83 partitioning hypothesis; Pretzsch & Biber, 2010). Competition for belowground resources is often assumed to be
84 symmetric – like in water-limited ecosystems (Pretzsch & Biber, 2010) e.g. Mediterranean ecosystems – while
85 competition for light is asymmetric due to the directional component of light (Schwinning & Weiner, 1998). On
86 the other hand, competition also modulates individual tree response to drought. For example, the reduction of
87 competition by thinning tends to increase stand-level water availability (Bréda *et al.*, 1995) and may alleviate
88 drought-related reductions in tree growth (e.g., Aldea *et al.*, 2017; Gavinet *et al.*, 2015; Olivar *et al.*, 2014; Sohn
89 *et al.*, 2016). In addition, trees can have various responses to drought depending on the species, their size and

90 social status. Some studies have demonstrated that large trees are less resilient to drought than smaller trees
91 (Castagneri *et al.*, 2012; Sánchez-Salguero *et al.*, 2015; Zang *et al.*, 2012) while other studies have found the
92 opposite pattern (Calama *et al.*, 2019; Martin-Benito *et al.*, 2011; Trouvé *et al.*, 2017) or no difference between
93 dominant and suppressed trees (Bello *et al.*, 2019; Lebourgeois *et al.*, 2014). These contrasting results can be
94 explained by species-specific differences in shade- and drought-tolerance strategies, and by differences in the
95 population and site characteristics, especially in the stand water balance, whose spatiotemporal dynamics is often
96 not well quantified or considered.

97 Considering the various relationships between competition and drought and their impacts on tree radial
98 growth, models that aim to accurately predict individual tree growth or stand-scale productivity should take into
99 account both competitive and climatic drivers at an annual resolution (Ameztegui *et al.*, 2017). Including these
100 drivers is important to correctly simulate (i) decadal and multi-decadal growth trends, which are strongly
101 influenced by competition, (ii) the impacts of human and natural disturbances on the spatial arrangement of the
102 stand and on the competition intensity experienced by each tree (e.g. after thinning or massive mortality), and (iii)
103 the interannual variability in tree growth, which is mainly controlled by interannual climate variability (Calama *et al.*
104 *et al.*, 2019; Condés & García-Robredo, 2012; Rathgeber *et al.*, 2005; Sánchez-Salguero *et al.*, 2015). This is
105 especially important to improve empirical forest growth models that statistically link growth data with specific site
106 and climatic conditions. Though empirical models are difficult to extrapolate, they are very precise under their
107 calibration domain and are widely used for forest management planning (e.g. growth and yield models; see
108 Weiskittel Jr *et al.*, 2011).

109 Several types of indices can be used in such empirical forest growth models to assess the competition and
110 water stress experienced by a tree. Competition indices are often used to investigate the different modes of
111 competition (Biging & Dobbertin, 1995; Prévosto, 2005). For example, asymmetric competition for light can be
112 predicted using competition indices that derive from tree heights and crown sizes, while symmetric competition
113 for belowground resources can be predicted using competition indices based on tree diameters, root mass, or
114 rooting depths (Pretzsch *et al.*, 2017). Many drought indices have been developed for modelling purposes. Speich
115 (2019) classified these drought indices into four levels, from the least to the most integrative: (1) based on
116 precipitation, (2) based on evaporative demand, (3) based on soil moisture storage and stand properties, and (4)
117 based on physiological thresholds. Drought indices that are more mechanistic generally perform better at
118 predicting tree growth than indices including precipitation and evaporative demand, or precipitation alone (Speich,

119 2019) as they better represent the actual water available for the plants (3 and 4) and the drought intensity they have
120 experienced (4).

121 In this study, we developed an empirical mixed modelling approach to predict individual Aleppo pine
122 (*P.halepensis* Mill.) radial growth based on its size, neighbourhood, and climatic factors. We used five competition
123 indices (CIs) that are derived from different types of information in order to investigate the modes of competition
124 of *P.halepensis*. Several water stress indices (WSIs), with contrasting levels of information were also used to
125 investigate the influence of water stress induced both by soil and climatic factors on *P.halepensis* radial growth.
126 We especially aimed at evaluating the potential of competition indices (CIs) and water stress indices (WSIs) jointly
127 for predicting annual basal area increment (BAI) in *Pinus halepensis* under different thinning intensities, and
128 selecting the best CI and WSI indices. Our main hypothesis were, as follows:

- 129 (i) *P.halepensis*' main mode of competition is symmetric;
- 130 (ii) Soil water availability is a better predictor of *P.halepensis* growth than rainfall alone;
- 131 (iii) The best pine growth model includes both competition indices and water stress indices.

132

133 2. MATERIAL AND METHODS

134 2.1. Study site and experimental design

135

136 This study was conducted in Southern France in the 'Saint Mitre' experimental site, which is located
137 about 30 km west of Marseille (43°27'0"N; 5°2'24"E). The area is flat and at a mean altitude of 130 m above sea
138 level. The climate is Mediterranean, with warm, dry summers and cool, wet winters. The mean annual temperature
139 is 15.3°C and mean annual precipitation is 562 mm (Istres weather station, 1985-2014; Appendix A). However,
140 fluctuations in rainfall are frequently observed between years. For example, 2015 and 2016 received 660 mm and
141 411 mm of rainfall, respectively. Soils are calcareous, with a sandy-loam texture (55% sand, 30% silt and 15%
142 clay) and a mean depth of 60 cm before reaching the calcareous bedrock. The site is composed of a monospecific
143 even-aged (~60 years old) Aleppo pine forest (*Pinus halepensis* Mill.) that has naturally regenerated after
144 agricultural abandonment ~60 years ago. The understorey is mainly composed of Mediterranean oaks (*Quercus*
145 *ilex* and *Quercus coccifera*), shrubs (e.g. *Phillyrea angustifolia*, *Rosmarinus officinalis*) and scarce herbaceous
146 plants (e.g. *Brachypodium retusum*).

147 Natural pine stands were thinned in 2007, leading to three different pine cover treatments and thus
148 different competition situations: (i) light pine cover (basal area: 10.2 m².ha⁻¹), (ii) moderate pine cover (19.2

149 m²/ha), (iii) dense pine cover (32.0 m²/ha; no thinning). Each treatment was replicated in four 25m × 25m plots
150 (Appendix B). All pines were individually identified, geo-referenced in spring 2017 using a differential GPS
151 (Trimble© TSC2, Trimble Inc, USA) and a laser distance meter (LaserAce® 300, Measurements Devices Ltd,
152 UK). Their circumference at breast height (1.30m) and their height were measured in 2017 using a measuring tape
153 and a rangefinder (Vertex III, Häglof, Sweden), respectively.

154

155 *2.2. Measurement of individual tree growth based on ring-width series*

156

157 From September to October 2017, 1-2 cores were extracted at breast height using a Pressler increment
158 borer from 15 randomly selected (co-)dominant Aleppo pines in the inner part of each of the 12 plots (20m*20m,
159 to reduce border effect, Appendix C). Cores were mounted and sanded until ring boundaries were clearly visible.
160 We used the WinDENDRO program (WinDENDRO™ 2014, © Regent Instruments Canada Inc.) to measure ring
161 widths at a resolution of 0.034 mm and visually cross-date each individual chronology. We removed the series
162 that could not be accurately cross-dated (e.g. due to high polycyclism rate and/or high number of missing rings).
163 Most of the removed trees were in the dense cover treatments, however at the end the distribution of individuals
164 within the treatments was even (dense cover: 62 individuals; moderate cover: 58 individuals; light cover: 55
165 individuals). In order to have a single series per tree, chronologies were averaged for each individual tree when
166 two of them were available. This resulted in 175 individual tree-ring width series that were retained for the
167 following analyses.

168 To correct the trend associated with the geometrical constraint of adding a volume of wood to a stem of
169 increasing radius, the tree-ring width series were converted into basal area increments (BAI) (Biondi & Qeadan,
170 2008) using the following formula:

171

$$172 \quad [1] \quad BAI = \pi(r_t^2 - r_{t-1}^2)$$

173

174 With r_t^2 and r_{t-1}^2 referring to the stem radii corresponding to years t and $t-1$, respectively.

175 The BAI was then used to represent the annual individual tree growth. Only the data from 2008 to 2017 were used
176 for the analyses, as the structure of the thinned stands was not known before that date.

177

178

179

180 2.3. Selection of the competition indices

181

182 Competition was quantified using competition indices (CIs). CIs can also be classified into two
183 categories: distance-dependent CIs based on the relative dimensions and the distance of a subject tree to its
184 neighbours within a given radius; and distance-independent CIs based only on non-spatial and aggregated
185 information on tree size and the number of trees in a given area. We used 5 different CIs based on the literature,
186 using different types of information (distance, circumference, height) in order to compare their predictive power
187 and to investigate the dominant mode of competition (symmetric or asymmetric). In this study, we tested three
188 distance-dependent indices (*HEG* to *VER*), and two distance independent indices (*RS* and *BAL*). The first CI (*HEG*)
189 is a distance weighted size-ratio index, developed by Hegyi (1974). Because it uses tree circumferences, it is
190 expected to account for symmetric competition:

191

192 [2]
$$HEG = \sum_{\substack{j=1 \\ j \neq i}}^n \frac{C_j^2}{C_i^2 \cdot dist_{ij}}$$

193

194 C_i is the circumference at breast height of the subject tree i , C_j is the circumference of the neighbour tree j , and
195 $dist$ is the distance between both trees i and j .

196 The second and third indices were developed by Pukkala & Kolström (1987). They are based on the sum of
197 horizontal or vertical angles that originate from the subject tree, spanning the circumference or the top of the crown
198 of each neighbour tree (*HOR* and *VER*), respectively. *HOR* is based on the circumferences of all neighbours, and
199 is expected to account for symmetric competition. In contrast, *VER* uses the height of taller neighbours and is
200 expected to account for asymmetric competition:

201

202 [3]
$$HOR = \sum_{\substack{j=1 \\ j \neq i}}^n 2 \cdot \arctan\left(\frac{C_j}{2 \cdot \pi \cdot dist_{ij}}\right)$$

203

204 [4]
$$VER = \sum_{\substack{j=1 \\ j \neq i \\ H_j > H_i}}^n \arctan\left(\frac{H_j - H_i}{dist_{ij}}\right)$$

205 With H_i and H_j corresponding to the heights of the subject and of the neighbour tree, respectively.

206 The fourth index is the Relative Spacing (RS) index developed by Schröder & Gadow (1999). This index is
207 computed at the plot scale, which leads to a single plot-level value:

208

209 [5]
$$RS = \frac{\sqrt{10000/N}}{H_d}$$

210

211 With N the number of stems per hectare and H_d the dominant stand height. The dominant stand height is usually
212 defined as the height of the 100 tallest trees in one hectare; however for our study we took the 5 tallest trees in
213 each plot.

214 The last index was first developed by Wykoff *et al.* (1982), and corresponds to the total basal area of trees that are
215 larger than the subject tree (also called Basal Area of Larger trees; BAL). It is expected to account for asymmetric
216 competition as only large trees are taken into account, but also for symmetric competition, as it is size-related:

217

218 [6]
$$BAL = \sum_{\substack{j=1 \\ j \neq i \\ C_j > C_i}}^n \frac{C_j^2}{4\pi}$$

219

220 We computed the values of the distance-dependent competition indices (HEG, HOR, VER) for different
221 competition radii (from 1 meter to 8 meters), and then calculated the correlation coefficients between the mean
222 BAI for 10 years and the competition index. We then selected a competition radius of 5 meters for the three
223 distance-dependent indices (see Appendix D). In total, we produced five competition indices for each individual
224 tree. We did not have height and circumference data for all individual trees between 2008 and 2017, but as the
225 stands are quite homogeneous and major changes in the stand composition and structure did not occur between
226 2007 and 2017 (Appendix F) we made the very likely assumption that individual CIs remained constant over this
227 period.

228

229 2.4. Water Balance model

230

231 The effects of the climate, stand, and soil properties on drought characteristics can be integrated into a
232 forest water balance model. We thus developed a two-strata forest water balance model, i.e. over- and understorey

233 are considered (adult tree canopy only composed of Aleppo pines; shrubby mixed understorey), based on Granier
 234 *et al.*, (1999), which computes daily variations in soil water content. The model uses daily temperatures and rainfall
 235 data as inputs, and some site and stand parameters such as soil depth, maximum and minimum extractable soil
 236 water (from soil texture analyses), fine root distribution, soil porosity, and stand Leaf Area Index (LAI). Istres
 237 weather station (12 km NW of the site) provided the climatic data over the entire study period and global radiation
 238 data came from Marignane station (14km E of the site). The PET was computed using the radiation-based method
 239 of Turc (Turc, 1961) in the absence of wind data recorded on-site. Soil samples were collected in 2014 and 2017.
 240 In 2014, 2 plots per treatment were sampled; in each of the selected plots 6 soil samples at three soil depths were
 241 collected for texture analysis. In 2017, 5 soil pits were dug into the treatments and 30 soil samples of constant
 242 volume (3 soil depths and 2 samples per depth) were collected to measure the bulk density, the content in coarse
 243 elements and in fine roots (Table 1). The soil properties were considered as constant among the plots, except for
 244 soil depth. Water buckets were computed for each soil layer (Jabiol *et al.*, 2009) and aggregated at the plot scale.
 245 Transmitted radiation was measured every minute for 48 hours during two successive clear days of April 2017 in
 246 9 plots (3 plots/treatment) using 6 solarimeter tubes (PAR/LE Solems) per plot and 2 solarimeter tubes in open
 247 conditions, in order to compute transmitted radiation. Based on this transmitted radiation, LAI was then calculated
 248 using the Norman & Jarvis (1975) equations. A relationship between stand basal area and the LAI values was
 249 established to model the changes of LAI through time. The LAI was later used to compute the rainfall interception
 250 of the Aleppo pine canopy and the understorey using the model proposed by Molina & del Campo (2012). Rainfall
 251 interception, transpiration of the two strata, and the soil water dynamics were computed and provided daily
 252 variations of soil water content and relative extractable water (Prévosto *et al.*, 2018) (REW, daily extractable water
 253 standardized by maximum water extractable; Figure 1).

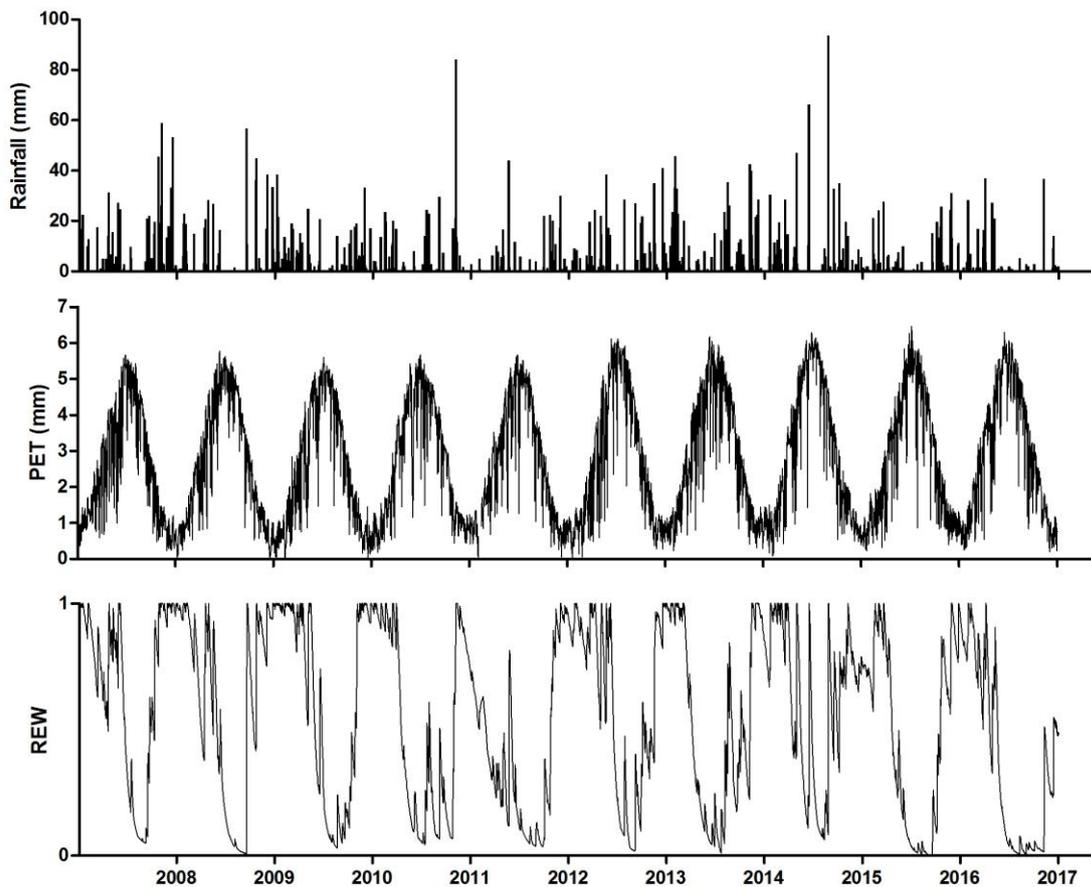
254

255 *Table 1: Soil characteristics incorporated for each layer of each plot in the water balance model. Only variations*
 256 *in layer thickness was incorporated within plots.*

Soil characteristics	Layer 1	Layer 2	Layer 3
Fine roots (%)	58.4	22.4	19.2
Coarse elements (%)	9.5	8.9	8.9
Bulk density (g/cm ³)	1.33	1.44	1.56
Sand (%)	53.8	52.8	49.7
Clay (%)	12.5	15.03	16.04

Silt (%)	34.5				32.1				34.4			
Plot number	1	2	3	4	5	6	7	8	9	10	11	12
Soil total depth (cm)	58	38	64	69	68	61	66	59	57	71	67	43
Layers thickness (cm)	19.3	12.7	21.3	23	22.7	20.3	22	19.7	19	23.7	22.3	14.3

257



258

259 *Figure 1: Variations in rainfall, potential evapotranspiration (PET) and relative extractable water (REW) calculated using the*
 260 *water balance model from between 2008 and 2017.*

261 *2.5. Water stress indices (WSI)*

262

263 For each plot and each year, we used four different WSIs using different levels of information i.e. soil
 264 and/or climatic constraints. The first three WSIs derive from the forest water balance to account for climate and
 265 local conditions, while the fourth WSI derives directly from climatic data. We assumed that a drought had occurred
 266 when the soil REW dropped below a threshold of 0.4, as proposed by Granier *et al.* (1999), a threshold that has
 267 been successfully applied in other empirical and modelling studies (Bello *et al.*, 2019; Forner *et al.*, 2018; Granier

268 *et al.*, 2007; Speich, 2019). The first WSI cumulates the daily differences between REW and the 0.4 threshold of
 269 REW, and indicates both drought intensity and duration (*INT*, Figure 3):

270

$$271 \quad [7] \quad INT = \sum(0.4 - REW_i) \quad \text{for} \quad REW_i \leq 0.4$$

272

273 With *i* the day of the year.

274 The second WSI only represents the drought duration (*DUR*) and corresponds to the number of days that REW is
 275 below 0.4 (Figure 2). The third WSI accounts for drought duration and timing (*TIM*), and was adapted from the
 276 seasonal water stress index developed in Mina *et al.* (2016). For each season, we produced an intermediate WSI,
 277 which is the number of water stress days divided by mean soil water content (\overline{SWC}) during the given period. We
 278 then totalled all of the seasonal WSIs to obtain the annual WSI:

279

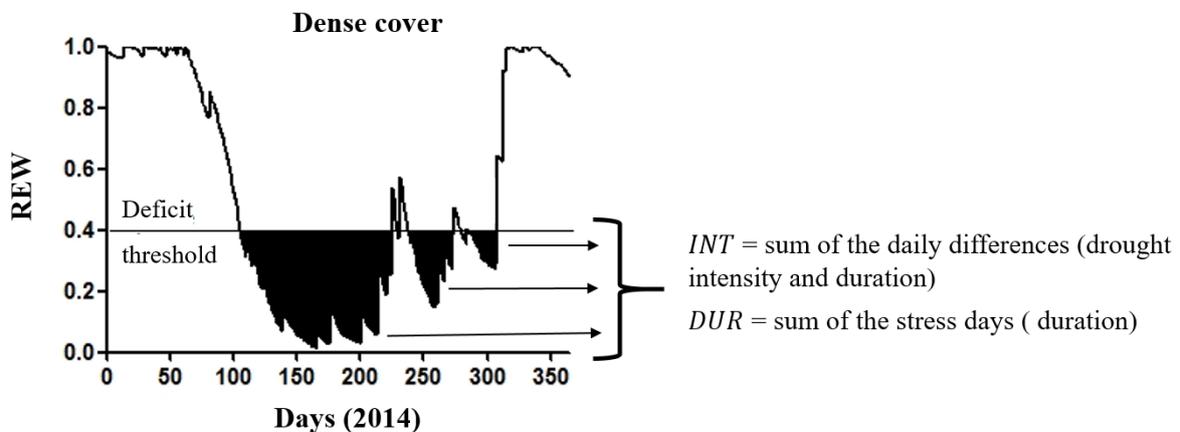
$$280 \quad [8] \quad TIM = \sum\left(\frac{\text{Number of WS days}}{\overline{SWC}}\right)_{\text{season}}$$

281

282 The fourth WSI corresponds to annual rainfall (*RAIN*). Many studies have used seasonal rainfall instead of annual
 283 rainfall when investigating *P. halepensis* radial growth (i.e. Olivar *et al.*, 2012; Pasho *et al.*, 2012), however after
 284 preliminary analyses, we found that for our study site, annual rainfall was a better predictor of growth than seasonal
 285 rainfall (Appendix A).

286

287



288

289 *Figure 2: Graphical explanation of the WSIs construction. Example for year 2014. REW does not start at 1 every year, it goes*
 290 *on from year to year.*

291 We did not include temperatures in our model for two reasons. Firstly, *P.halepensis* is thermophilous and
292 is thus expected to be sensitive to cold temperatures, which could negatively affect its growth. However, such
293 temperatures are not seen at our study site (Appendix A). Secondly, during preliminary analyses, annual minimum
294 and maximum temperatures were found to be poor predictors of tree growth and were thus removed from the final
295 analyses (Appendix D).

296

297 2.6. Statistical analyses, growth models and indices selection

298

299 Kruskal-Wallis non-parametric tests followed by Dunn's test were performed to explore the effect of the
300 cover treatments on BAI, using the *{dunn.test}* package (Dinno, 2017) from the open-source R statistical software
301 (R Core Team, 2017).

302 Simple linear regressions were used to explore the relationships between tree BAI and the different
303 CIs/WSIs. To jointly test the influence of both competition and water stress on *P. halepensis* tree growth, we
304 developed linear mixed-effect models using the *{lme4}* and *{lmerTest}* packages (Kuznetsova *et al.*, 2017). Natural
305 logarithm transformations were used to satisfy the assumptions of linearity and normality of the residuals, the
306 'LogSt' function from the *{DescTools}* package (Signorell *et al.*, 2019) being specifically used to account for null
307 CI values. This led to the following model equation:

308

$$309 [9] \quad \log(BAI_{i,t}) = k + \alpha \log(BA_{i,t-1}) + \beta \log(CI_i) + \gamma \log(WSI_t) + \delta_i + \varepsilon$$

310

311 Where k , α , β and γ are the fixed parameters, $BAI_{i,t}$ the basal area increment (mm^2) of the tree i during the year t ,
312 $BA_{i,t-1}$ the tree basal area of previous year $t-1$ (m^2), CI_i a competition index, WSI_t a water stress index of the year
313 t , δ_i the random effect estimated for the intercept with tree as a grouping factor, and ε is the residual error. BA was
314 included in the models to account for the effect of tree size on current annual growth. We included trees nested
315 into the plot, plots alone, or trees alone as a random effect on the intercept term; however after preliminary analysis
316 we retained the tree random effect alone as it performed better. Similarly, we added a tree random effect on the
317 parameters (slope), however all of these random effect models failed to converge. Finally, the tree random effect
318 on the intercept term was the only to be retained. We also investigated the interaction between competition and
319 water stress, but the interaction was not significant and only the additive models were retained.

320 All of the possible combinations of CIs and WSIs as explanatory variables were tested, and the optimal CI
321 and WSI were selected using the Akaike's Information Criterion (AIC) with maximum likelihood fitting (MLE)
322 as only our fixed effects differed between models. The parameters of the best model were fitted using restricted
323 maximum likelihood (REML). We used the marginal r-squared (variance explained by the fixed effects only) and
324 the conditional r-squared (variance explained by both fixed and random effects) using the R package *{MuMIn}*
325 (Barton, 2018) as indicators of model performance. The marginal r-squared of the best model were bootstrapped
326 using the R package *{boot}* to produce confidence intervals and to evaluate the model's robustness (Canty &
327 Ripley, 2019; Davison & Hinkley, 1997). The bootstrap was stratified (strata: individual tree) and based on 2000
328 replicates. Conditional r-squared were not used for the bootstrap, as this method does not correctly estimate the
329 variance in a random effect model, in particular when the variables are not independent and identically distributed
330 (McCullagh, 2000). The normality of the residuals and multicollinearity of the explanatory variables were tested
331 using Shapiro-Wilk test and the Variance Inflation Factor, respectively. To evaluate the effect of the selected
332 variables on the standardized-BAI, effect plots were produced using the R package *{effect}* (Fox & Weisberg,
333 2018a, 2018b).

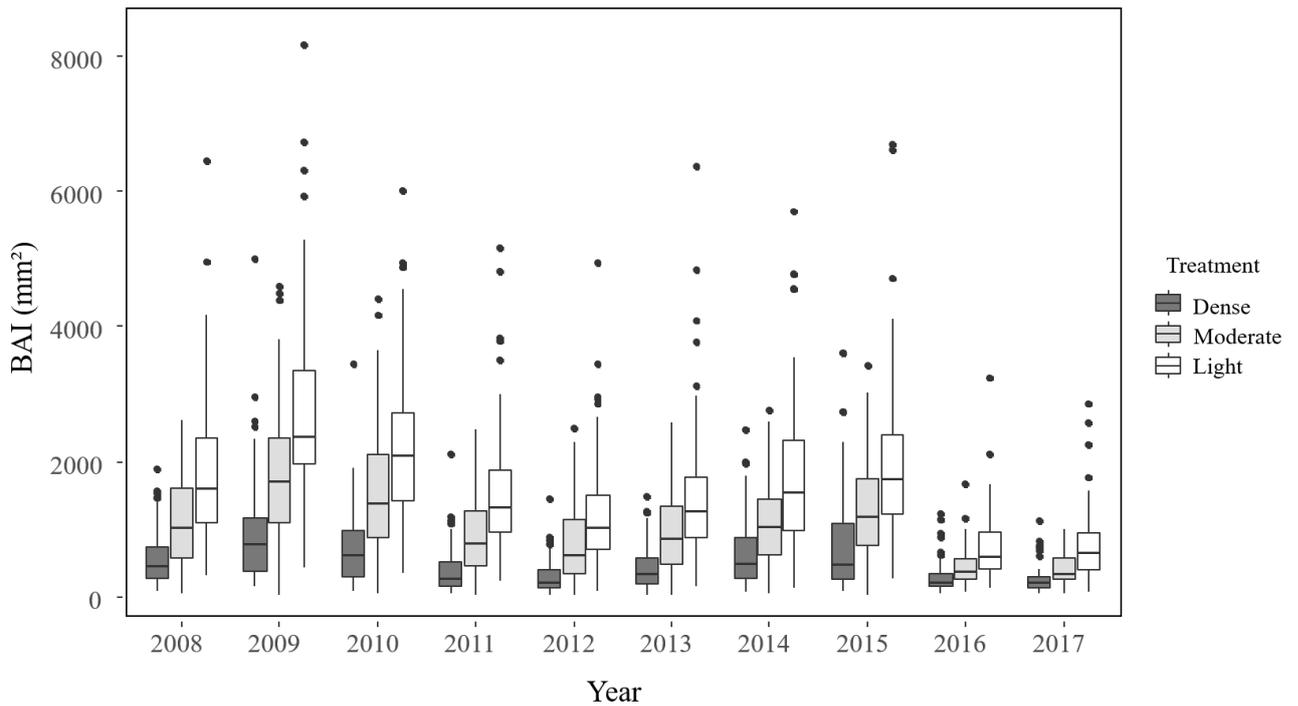
334

335 **3. RESULTS**

336 *3.1. Temporal variability in tree BAI*

337

338 Over the 2008-2017 study period, there was a consistent increasing gradient of BAI from the control to
339 the light cover treatment (Figure 3), confirmed by the Kruskal-Wallis test and Dunn's test: significant differences
340 were found between the three treatments for all years (Kruskal-Wallis statistics: Chi square = 466.99, df = 2, p-
341 value < $2.2e^{-16}$). There was high variability between years with 2016 and 2017 being the least productive years.



342

343 *Figure 3: Variations of tree basal area increment (BAI ; mm²) between 2008 and 2017 among the cover treatments resulting*
 344 *from different thinning intensities (Dense, Moderate, Light). Medians, 1st and 3rd quartiles are presented. Decline in growth*
 345 *in 2016 and 2017 is a climatic trend, these years being both extremely dry (411mm and 311mm, respectively).*

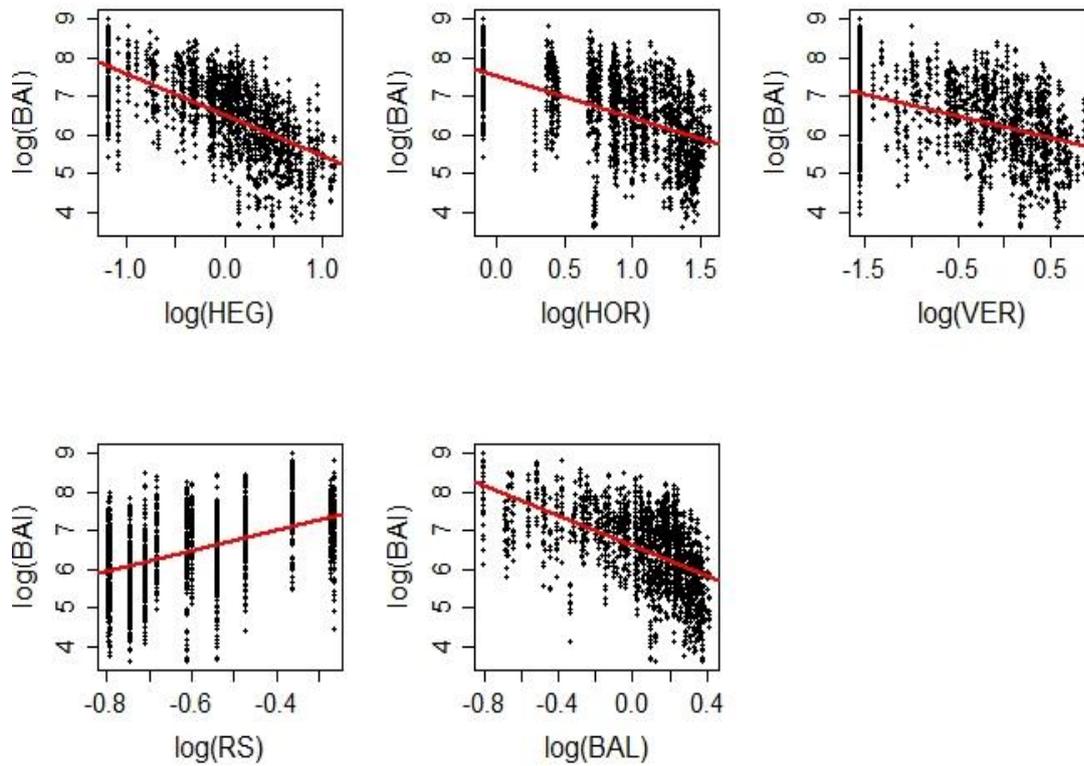
346

347 *3.2. Linear regressions with one-single explanatory variable*

348

349 BAI was negatively correlated with the CIs 1, 2, 3 and 5 – indicating that the higher the competition
 350 experienced by the tree is, the lower its growth rates are (Figure 4, r^2 from 0.218 to 0.377). On the contrary, when
 351 RS increased (the relative spacing between trees), the BAI increased as well: the wider the spacing between trees
 352 is, the greater the BAI is ($r^2 = 0.209$).

353



354

355 *Figure 4: Tree Basal Area Increment (BAI) relative to Competition Indices (CIs). Curve fits in the graphs are separate linear*

356 *regressions, from HEG to BAL: $\log(\text{BAI}) = -1.063 \log(\text{HEG}) + 6.517$, $r^2 = 0.377$; $\log(\text{BAI}) = -1.078 \log(\text{HOR}) + 7.520$, $r^2 = 0.228$;*

357 *$\log(\text{BAI}) = -0.582 \log(\text{VER}) + 6.200$, $r^2 = 0.218$; $\log(\text{BAI}) = 2.657 \log(\text{RS}) + 8.068$, $r^2 = 0.209$; $\log(\text{BAI}) = -1.925 \log(\text{BAL}) + 6.612$,*

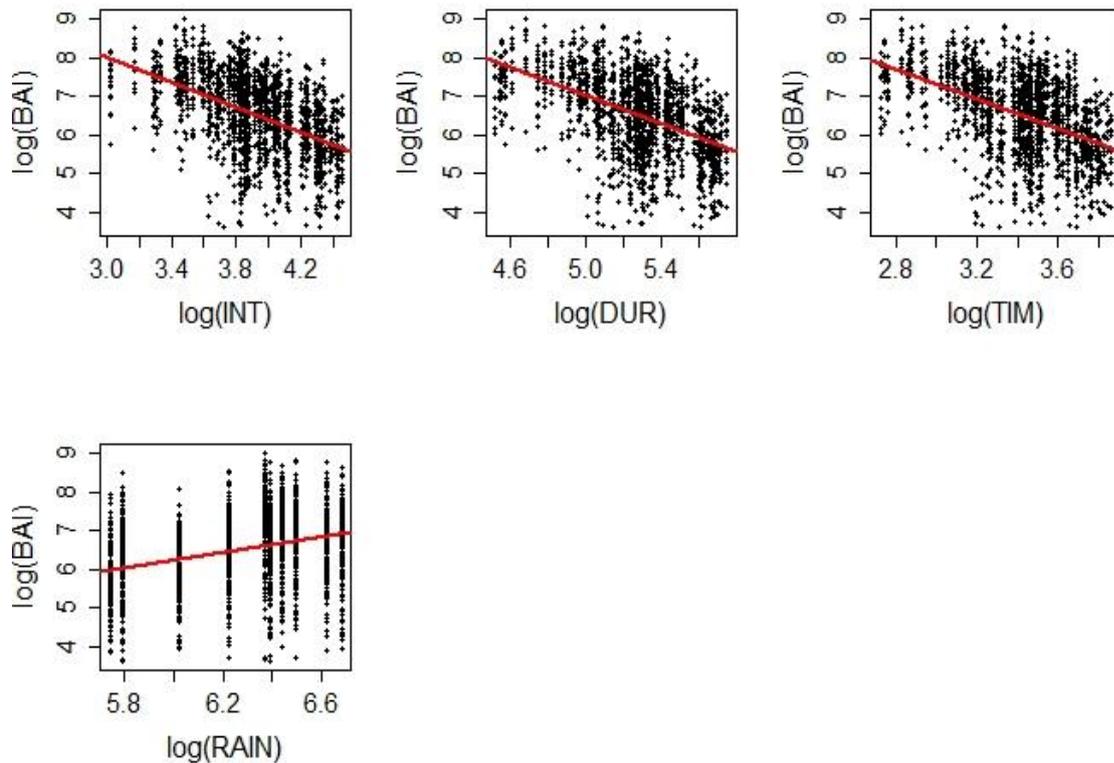
358 *$r^2 = 0.291$. All slopes are significantly different from zero ($p < 0.001$)*

359 As indicated by the different linear regressions, there was a negative relationship between the BAI and

360 the WSIs (except for *RAIN*; Figure 5), which indicates that when the water stress increases, the BAI decreases (r^2

361 from 0.248 to 0.260). In contrast, when rainfall increases (*RAIN*) the BAI increases as well, though the relationship

362 is weaker ($r^2 = 0.101$).



363
 364 Figure 5: Tree Basal Area Increment (BAI) relative to Water Stress Indices (WSIs). Curve fits in the graphs are separate linear
 365 regressions, from INT to RAIN: $\log(\text{BAI}) = -1.657 \log(\text{INT}) + 13.020$, $r^2 = 0.255$; $\log(\text{BAI}) = -1.813 \log(\text{DUR}) + 16.074$, $r^2 = 0.260$;
 366 $\log(\text{BAI}) = -1.915 \log(\text{TIM}) + 13.077$, $r^2 = 0.248$; $\log(\text{BAI}) = 1.032 \log(\text{RAIN}) + 0.049$, $r^2 = 0.101$. All slopes are significantly
 367 different from zero ($p < 0.001$)

368

369 3.3. Linear mixed-effects models with multiple explanatory variables: selection of the indices

370

371 For the single variable models, *HEG* (Hegyí competition index) and *DUR* (number of days that trees
 372 experienced water stress) were the best explanatory indices (38% and 16% of the variance explained, respectively).
 373 *HEG* and *DUR* were still the best predictors when BA was included in the mixed models (38% and 53% of the
 374 variance explained by the *HEG* and *DUR* models, respectively). However, WSIs and BA combined were better
 375 predictors of growth than CIs and BA together (*RAIN* excluded), the marginal R^2 ranged from 43% to 53% for the
 376 WSIs and from 30% to 40% for the CIs, respectively. When three explanatory variables were included, the best
 377 five models included BA, *DUR* and different variants of the CIs (Table 2). In the best model, *BAL* – sum of the
 378 basal area of larger trees – and *DUR* – the number of water stress days – were selected together. The fixed effects

379 of this model explained 56% of the total variance (marginal r-squared), with a narrow confidence interval (0.516-
 380 0.576; obtained from bootstrapping), highlighting its low dependency on the characteristics of the input dataset
 381 and its high robustness. Finally, the inclusion of the random effect considerably improved the models explaining
 382 a large proportion of the remaining variance (e.g. 22% for the best model).

383

384 *Table 2: Linear mixed-effects models of the annual tree basal area increment (BAI) as a function of the basal area of the*
 385 *previous year (BA) and the different indices produced previously (CI and WSI). Selected models are in bold in their group; the*
 386 *final selected model is in bold and red.*

Models (with tree as a random effect on the intercept)	Marg. R ²	Cond. R ²	AIC	Δi
log(BAI) ~ log(HEG)	0.378	0.678	3438.12	0.00
log(BAI) ~ log(BAL)	0.291	0.677	3478.62	40.50
log(BAI) ~ log(VER)	0.229	0.677	3502.86	64.74
log(BAI) ~ log(HOR)	0.219	0.677	3506.58	68.46
log(BAI) ~ log(RS)	0.210	0.677	3509.45	71.33
log(BAI) ~ log(DUR)	0.161	0.776	2798.09	0.00
log(BAI) ~ log(INT)	0.154	0.770	2839.22	41.12
log(BAI) ~ log(TIM)	0.149	0.768	2853.73	55.64
log(BAI) ~ log(RAIN)	0.102	0.790	2893.81	95.72
log(BAI) ~ log(BA) + log(HEG)	0.376	0.899	3276.37	0.00
log(BAI) ~ log(BA) + log(BAL)	0.328	0.908	3303.17	26.79
log(BAI) ~ log(BA) + log(HOR)	0.305	0.929	3366.63	90.26
log(BAI) ~ log(BA) + log(VER)	0.309	0.931	3373.32	96.95
log(BAI) ~ log(BA) + log(RS)	0.304	0.935	3390.50	114.13
log(BAI) ~ log(BA) + log(DUR)	0.527	0.755	2704.38	0.00
log(BAI) ~ log(BA) + log(TIM)	0.516	0.745	2761.41	57.04
log(BAI) ~ log(BA) + log(INT)	0.434	0.719	2802.18	97.80
log(BAI) ~ log(BA) + log(RAIN)	0.125	0.877	2885.25	180.88
log(BAI) ~ log(BA) + log(BAL) + log(DUR)	0.556	0.773	2672.26	0.00
log(BAI) ~ log(BA) + log(HEG) + log(DUR)	0.561	0.781	2681.25	8.99
log(BAI) ~ log(BA) + log(VER) + log(DUR)	0.561	0.781	2681.25	8.99
log(BAI) ~ log(BA) + log(HOR) + log(DUR)	0.547	0.767	2691.22	18.96

$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{RS}) + \log(\text{DUR})$	0.542	0.765	2701.21	28.95
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{BAL}) + \log(\text{TIM})$	0.545	0.764	2729.16	56.90
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{HEG}) + \log(\text{RAIN})$	0.424	0.854	2731.59	59.33
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{VER}) + \log(\text{RAIN})$	0.424	0.854	2731.59	59.33
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{HEG}) + \log(\text{TIM})$	0.552	0.773	2735.99	63.73
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{VER}) + \log(\text{TIM})$	0.552	0.773	2735.99	63.73
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{BAL}) + \log(\text{INT})$	0.481	0.770	2742.56	70.30
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{HEG}) + \log(\text{INT})$	0.505	0.788	2742.82	70.56
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{VER}) + \log(\text{INT})$	0.505	0.788	2742.82	70.56
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{HOR}) + \log(\text{TIM})$	0.537	0.758	2747.50	75.23
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{RS}) + \log(\text{TIM})$	0.533	0.756	2756.83	84.57
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{BAL}) + \log(\text{RAIN})$	0.344	0.865	2767.70	95.43
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{HOR}) + \log(\text{INT})$	0.471	0.751	2773.10	100.84
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{RS}) + \log(\text{INT})$	0.469	0.746	2788.17	115.91
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{HOR}) + \log(\text{RAIN})$	0.281	0.865	2816.03	143.77
$\log(\text{BAI}) \sim \log(\text{BA}) + \log(\text{RS}) + \log(\text{RAIN})$	0.273	0.852	2829.26	157.00

387 Abbreviations: Marg. R^2 the marginal r-squared (accounting for the fixed effects); Cond. R^2 the conditional r-squared
388 (accounting for the fixed and random effects); AIC the Akaike Information Criterion; Δi the difference in AIC with respect to
389 the best fitting model of each category delimited by plain black lines.

390

391 Table 3: Estimated coefficients, standard errors (Std. errors) and p-values for the best model. The variances of ε_{ran} and ε_{res}
392 are shown as well.

$\log(\text{BAI}_{i,t}) = k + \alpha \log(\text{BA}_{i,t-1}) + \beta \log(\text{BAL}) + \gamma \log(\text{DUR})$				δ_i	ε
Parameters	Estimates	Std. errors	P value	Variance	Variance
k	15.974	0.409	< 2e-16 *	0.206	0.212
α	0.410	0.064	6.29e-10 *		
β	-1.027	0.175	1.94e-08 *		
γ	-1.497	0.048	< 2e-16 *		

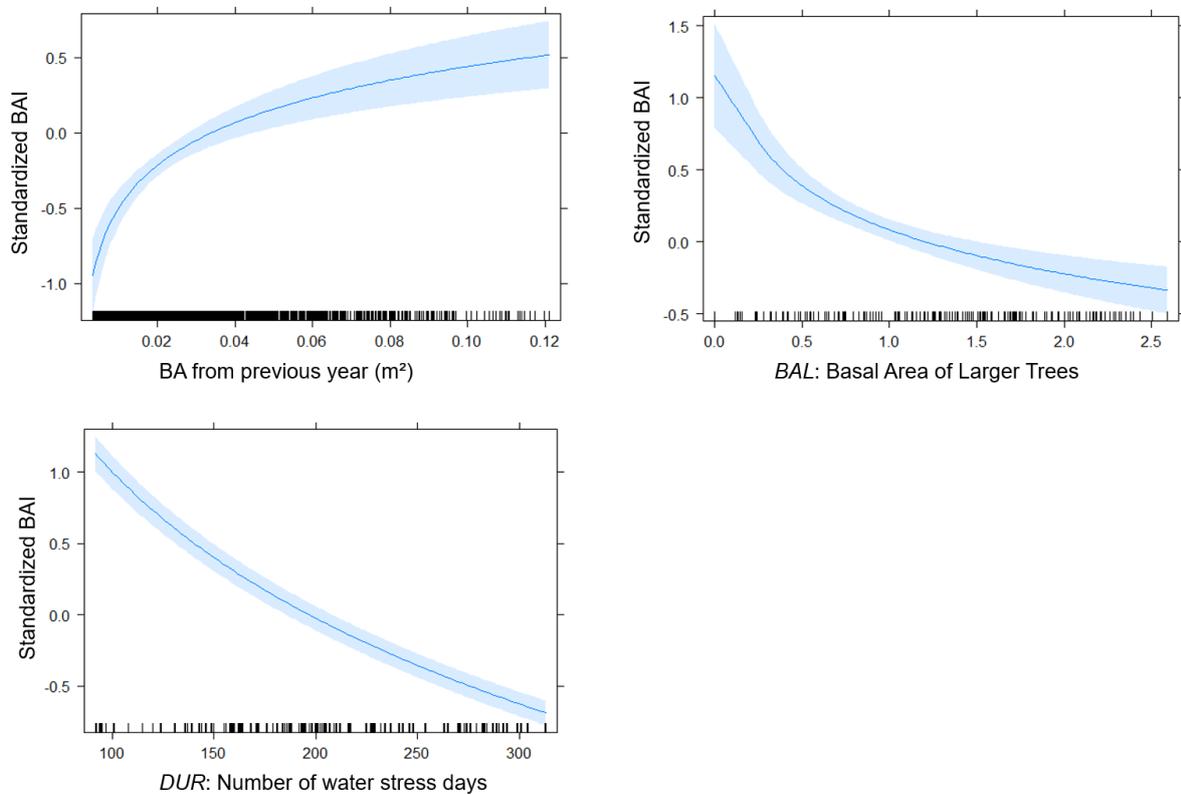
393 *significant correlation ($p < 0.01$)

394

395 3.4. Predicted effects of the main variables from the best model on growth

396

397 All of the variables of the best final model significantly affect individual BAI (Table 3). Basal area in the
398 previous year (BA_{t-1}) had a positive effect on BAI (Table 3 & Figure 6). From 0.01 m² to approximately 0.04 m²,
399 BA_{t-1} had a strong positive effect on BAI. This positive effect became relatively lower with higher variability
400 between 0.04 m² and 0.10 m² due to sparse data. Both BAL and DUR had a negative effect on BAI overall. DUR
401 had a negative effect on BAI with low variability. BAL had a strong negative effect on BAI with high variability
402 between 0 and 1 and a more neutral negative effect on BAI with higher variability when BAL ranged between 1
403 and 3.



404

405 Figure 6: Predicted effects of BA_{t-1} , BAL , and DUR on standardised BAI estimated using the best three-variable model (table 2).

406 Shaded areas around the curves represent the confidence intervals of the mean (95%). Straight lines above the x-axis

407 represent the distribution of the measured data.

408 The relationship between BAL and DUR is only additive, which means that pine growth response to

409 drought is the same under different levels of BAL . However, BAL reduces growth, i.e. the higher the BAL the

410 lower the growth (Figure 8). For example, when drought duration equals 200 days in a year, pine trees experiencing

411 no competition at all increase annual pine growth by 1mm², while pines experiencing strong competition ($BAL =$

3) would decrease annual pine growth by 0.4 mm² (Figure 7). It also shows that strong competition reduces the number of water stress days pine trees can tolerate in a year before BAI is considerably affected (i.e. when standardized BAI drops below 0). In our example, when BAL = 3, standardized BAI drops below 0 after 150 water stress days. When BAL = 1, standardized BAI drops below 0 only after 200 water stress days. Finally, when BAL = 0, standardized BAI never goes below 0 (Figure 8).

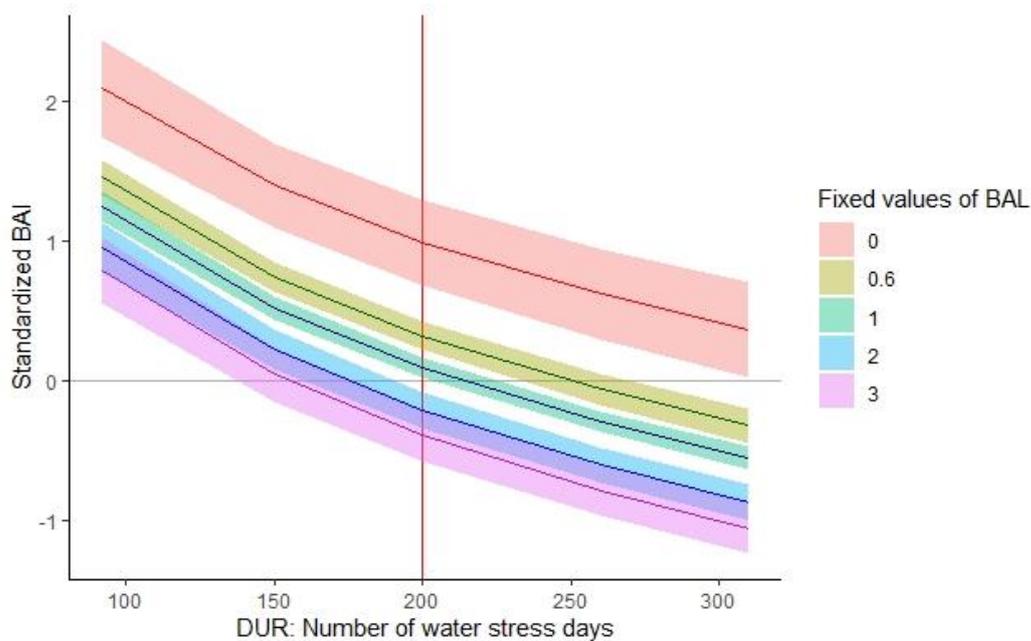


Figure 7: Predicted effects of the number of days with water stress (DUR) on standardised BAI according to different fixed values of Basal Area of Larger trees (BAL; in colors), estimated using the best three-variables model (table 2). Shaded areas around the curves represent the 95% confidence intervals of the mean effects (means are the coloured curves). The mean standardized growth (horizontal line) and the value of DUR=200 is indicated in red (vertical line) (see also comments in the text)

4. DISCUSSION

4.1. Competition indices

In this study, the Hegyi competition index (*HEG*) was selected as the best predictor of individual annual BAI among the competition indices for the one-variable and the two-variable models, followed by the basal area of larger trees (BAL; *BAL*), the angles CIs (i.e. *HOR* and *VER*), and the relative spacing CI (*RS*). This suggests that competition would mainly be symmetric, i.e. the system is limited by water. However, the *BAL* was selected as the best predictor of BAI among the CIs in the three-variable models, followed closely by the Hegyi CI (*HEG*),

431 and the vertical angles (*VER*). This suggests that part of the competition explained by certain CIs (i.e. *HEG*) could
432 be taken into account by WSIs. In other words, this indicates that some CIs do indeed reflect the competition for
433 water. The poor performance of *RS* can be explained by its generality as it provides a single competition value for
434 all trees within a given plot, which does not accurately represent the actual competition experienced by each
435 individual tree.

436 The three best CIs (*BAL*, *HEG* and *VER*) correspond to three different methods of computing competition;
437 despite this, *BAL*, *HEG* and *VER* have similar BAI predictive power, with a marginal r-squared of about 56%.
438 *HEG* only relies on circumference and should indicate symmetric competition for belowground resources, while
439 *BAL* also relies on circumferences but only incorporates trees larger than the subject tree, reflecting both
440 asymmetric and symmetric competition. *VER* uses tree height, which is more subject to measurement errors, but
441 is more representative of competition for light (e.g. asymmetric competition) than circumference. We investigated
442 the relationship between height and circumference. However, no clear relationship was detected (Appendix G),
443 indicating that both represent different aspects of competition with water stress indices explaining yet another
444 aspect of competition. It also highlights the fact that CIs can share some information, as competition can never be
445 defined as completely size-symmetric or completely size-asymmetric (Schwinning & Weiner, 1998). Hence, our
446 hypothesis (i) is not entirely verified: *P.halepensis*' mode of competition seems to be asymmetric, but this is
447 contradicted by the good performance of the *HEG* index.

448 Moreover, *BAL* is distance-independent, while *HEG* and *VER* are distance-dependent. The fact that a
449 distance-independent CI and distance-dependent CIs have similar predictive power is not straightforward. In most
450 cases and especially in heterogeneous stands, distance-dependent competition indices appear to be more correlated
451 with tree growth, as they consider the spatial arrangement of the trees within a stand (Contreras *et al.*, 2011;
452 Pukkala & Kolström, 1987; Rouvinen & Kuuluvainen, 1997). However, results from other studies suggest that
453 neither distance-independent indices nor distance-dependent indices perform universally better (our study; Biging
454 & Dobbertin, 1995; Prévosto, 2005). These contrasting results suggest that a single best CI for all sites and all
455 species may not exist. In fact, CIs are most likely species-specific, and depend on local site conditions. For
456 example, Contreras *et al.* (2011) found that the best predictor of BAI of *Pinus ponderosa*, *Pseudotsuga menziesii*
457 and *Larix occidentalis* was the horizontal angles CI. Cattaneo *et al.* (2018) found that the Hegyi CI was the best
458 predictor of *Pinus pinea* and *Pinus halepensis* radial growth, compared to asymmetric competition indices. In our
459 study, the stands are quite homogeneous and major changes in the stand composition and structure did not occur
460 between 2007 and 2017 (Appendix F). Therefore, if we aim to successfully predict tree growth over a long-term,

461 and especially growth release after neighbourhood mortality, the Hegyi competition index may be more suitable.
462 However, to simulate short-term radial growth, testing several different CIs would be a valuable approach as their
463 predictive power could differ between species and stand spatial arrangements.

464

465 4.2. Water stress indices

466

467 Our results suggest that the growth of *P. halepensis* is largely controlled by soil water content rather than
468 annual precipitation alone, which verifies our second hypothesis and is in accordance with Alfaro-Sánchez *et al.*
469 (2018), Misson *et al.* (2004), Rathgeber *et al.*, (2005) and Vennetier *et al.* (2018). Soil water availability is more
470 biologically meaningful than annual precipitation alone, as it not only integrates water inputs (rainfall and
471 interception by the canopy), but also the soil water content (e.g., according to soil depth and texture), and water
472 loss through vegetation (evapotranspiration, which depends on PET, a combination of atmospheric temperature,
473 air relative humidity and solar radiation). Indeed, atmospheric conditions also play an important role in regulating
474 stomatal conductance. For example, Maseyk *et al.* (2008) found in the case of *P. halepensis* that, irrespective of
475 soil moisture, leaf vapour pressure deficit greatly influenced stomatal conductance when REW was above 0.2. In
476 general, vapour-pressure deficit was found to limit tree growth and was therefore advised to be considered in forest
477 models (Novick *et al.*, 2016; Sanginés de Cárcer *et al.*, 2018).

478 Among the water stress indices tested, drought duration alone (*DUR*) better predicted *P. halepensis* BAI
479 than an index that combines drought timing (*TIM*), or duration and intensity (*INT*). Similarly, preliminary analyses
480 revealed that the annual water stress indices performed better than the seasonal indices tested (Appendix A), which
481 is the reason why we chose to use annual indices over seasonal indices. This would suggest that *P. halepensis* uses
482 water whenever it is available, with no distinction for the time of the year. These results were not expected as
483 drought timing is known to have a differential impact on cambial activity, and thus ring-width (Campelo *et al.*,
484 2007; Mina *et al.*, 2016, Raventós *et al.*, 2001). In the case of *P. halepensis*, Pasho *et al.*, (2012) demonstrated that
485 cumulative precipitations from winter to spring drive secondary growth of the same year. However, Rathgeber *et*
486 *al.*, (2005) found that the duration and intensity of the drought was the main predictive factor of *P. halepensis*
487 growth, although they did not investigate the effect of drought duration alone.

488 In our study, the fact that drought duration alone better predicted Aleppo pine growth than an index that
489 also integrates drought intensity could suggest that its cambial activity may be more sink-limited than source-
490 limited. In other words, it may be more limited by the drought-induced loss of cell turgor in the cambium than by

491 the carbon availability through photosynthesis and carbon reserves (Fatichi *et al.*, 2013; Lempereur *et al.*, 2015).
492 In this sink-limited approach, there is no notion of drought intensity: as soon as the REW drops below 0.4,
493 xylogenesis stops, even though the stomata can still be open and allow carbon assimilation and transpiration.
494 Indeed, there is evidence that cambial and leaf growth are inhibited sooner than photosynthesis when water stress
495 increases (Hsiao *et al.*, 1976; Lempereur *et al.*, 2015; Muller *et al.*, 2011; Tardieu *et al.*, 2011).

496 As *P. halepensis* is known to strongly control its transpiration through stomata closure, a strategy to
497 reduce water stress (Melzack *et al.*, 1985), we could also hypothesise – as another way of explaining our results –
498 that *P. halepensis* closes its stomata as soon as there is water stress, and thus stops growing. However, Maseyk *et*
499 *al.* (2008) found that *P. halepensis* transpiration follows the same trend as described in Granier *et al.* (1999):
500 transpiration decreases linearly after reaching REW = 0.4. This threshold therefore seems accurate when looking
501 at tree transpiration; however, it might not be appropriate when linking it to tree growth. Despite the fact that *P.*
502 *halepensis* is well studied (Baquedano & Castillo, 2007; Froux *et al.*, 2005; Hover *et al.*, 2017; Klein *et al.*, 2011;
503 Melzack *et al.*, 1985; Ungar *et al.*, 2013), there is a need for more physiological studies investigating the non-
504 linear, threshold-based relationship between secondary growth and drought for this species. To achieve this,
505 several different approaches could be envisaged, such as using photosynthetic rate as a proxy for carbon
506 assimilation, or by investigating water-use efficiency. A promising approach would be to investigate the link
507 between cambial activity and drought stress experienced by the tree by combining leaf water potential (Ψ_{pd}) and
508 dendrometer data that has been pre-analysed to remove shrinking-expansion phases arising from changes in the
509 water content in the elastic tissue of the stem (Balducci *et al.*, 2019; Zweifel *et al.*, 2006). For instance, Lempereur
510 *et al.* (2015) found that the summer interruption of *Quercus ilex* growth was associated with a threshold of -1.1
511 MPa and remained nil for values of Ψ_{pd} ranging from -1.1 to -4 MPa, well before transpiration ceased and
512 cavitation occurred.

513 Finally, our results suggest that water availability is a better predictor of annual tree growth than
514 competition alone, which can be explained by high climatic interannual variability, while CIs remained constant.
515 Our findings also suggest that WSIs and CIs together are the best predictors of Aleppo pine radial growth,
516 confirming our final hypothesis (iii).

517

518

519

520

521 4.3. *Inter-individual variability*

522

523 Finally, as indicated by the large proportion of the variance explained by the random effect of the tree
524 individual on the intercept (22% for the best model), there was a high inter-individual variability in *P. halepensis*
525 radial growth within our study population. The Western European population of *P. halepensis* is considered to
526 have very low genetic diversity (Soto *et al.*, 2010). However, it has high phenotypic plasticity, which has been
527 demonstrated many times for various traits at different spatial scales and climatic conditions (Baquedano *et al.*,
528 2008; Choat *et al.*, 2018; de Luis *et al.*, 2013; Rathgeber *et al.*, 2005; Vizcaíno-Palomar *et al.*, 2016; Voltas *et al.*,
529 2015; Voltas *et al.*, 2018). In our study, all *P. halepensis* individuals were within the same forest area and were
530 approximately the same age; we can therefore assume that they are part of the same genetic population. The high
531 variability in individual tree growth could therefore be explained by phenotypic variations that arose due to the
532 micro-local heterogeneity in abiotic and biotic factors, which could not be investigated in this study (e.g., presence
533 of pathogens, varying water availability at the individual level due to small-scale changes in slope or soil properties
534 and that is not considered in the WSIs). For example, we considered the understorey as a uniform patch *ergo* the
535 competition applied by the understorey was also considered to be uniform. These results highlight the importance
536 of considering variations in local conditions to accurately represent existing environmental heterogeneity (Ettl &
537 Peterson, 1995).

538

539 4.4. *Management perspectives*

540

541 The importance of thinning has already been supported by many other studies investigating forest
542 management practices for adaptation to climate change (Aldea *et al.*, 2017; Calev *et al.*, 2016; Millar *et al.*, 2007;
543 Olivar *et al.*, 2014; Vilà-Cabrera *et al.*, 2018). In particular, thinning has been found to have a positive effect on
544 biomass accumulation of young Aleppo pines, which is even more marked at dry sites (Alfaro-Sánchez *et al.*,
545 2015). However, the results available in the literature are not as clear when looking at the effects of thinning on
546 microclimatic variables. For instance, forest thinning has been found to have a limited impact on
547 evapotranspiration (Liu *et al.*, 2018), which was explained by the rapid recovery of understorey vegetation in the
548 thinned plots. The importance of accounting for understorey evapotranspiration was also highlighted by Simonin
549 *et al.* (2007) who found a substantial contribution of understorey evapotranspiration to stand evapotranspiration.
550 Mediterranean forests often present a well-developed shrubby understorey which influences the microclimate

551 (Prévosto *et al.*, 2019) and therefore needs to be taken into account for forest management. Our empirical growth
552 model integrates this understorey layer and all of the variables associated with it (evapotranspiration, transpiration,
553 rainfall interception) to compute the soil water availability at the stand level. Because water-stress was quantified
554 through a soil water budget model based on functional processes, this model can provide useful insights to forest
555 managers despite the fact that it was calibrated on a short time period and at a single site. Firstly, managers should
556 focus on soil water storage rather than precipitations alone to quantify drought situations. This is clearly more
557 complicated, but the use of forest models can be a useful alternative for assessing soil moisture and predicting tree
558 growth. Our empirical pine tree growth model can provide useful information for forest managers of
559 Mediterranean forests. For example, with ongoing climate change, the number of water stress days is expected to
560 increase (IPCC, 2014) and according to our model, this will correspond to an abrupt decline in tree annual growth
561 if the number of water stress days exceeds roughly 200 days (Figure 6). Our results suggest that reducing
562 competition by thinning could alleviate the negative effect of drought (Figure 7). For example, in dense stands
563 with a mean BAL = 3 (corresponding to our dense cover), 150 days of drought in a year is already predicted to
564 lead to an abrupt decline in tree growth in our conditions. Thinning dense stands, leading to moderate to light cover
565 (mean BAL = 1) would reduce the impact of the water stress and increase annual pine growth by 0.7 mm². This
566 study further highlights the positive effects of thinning, especially in regard to alleviating drought-related stress.

567

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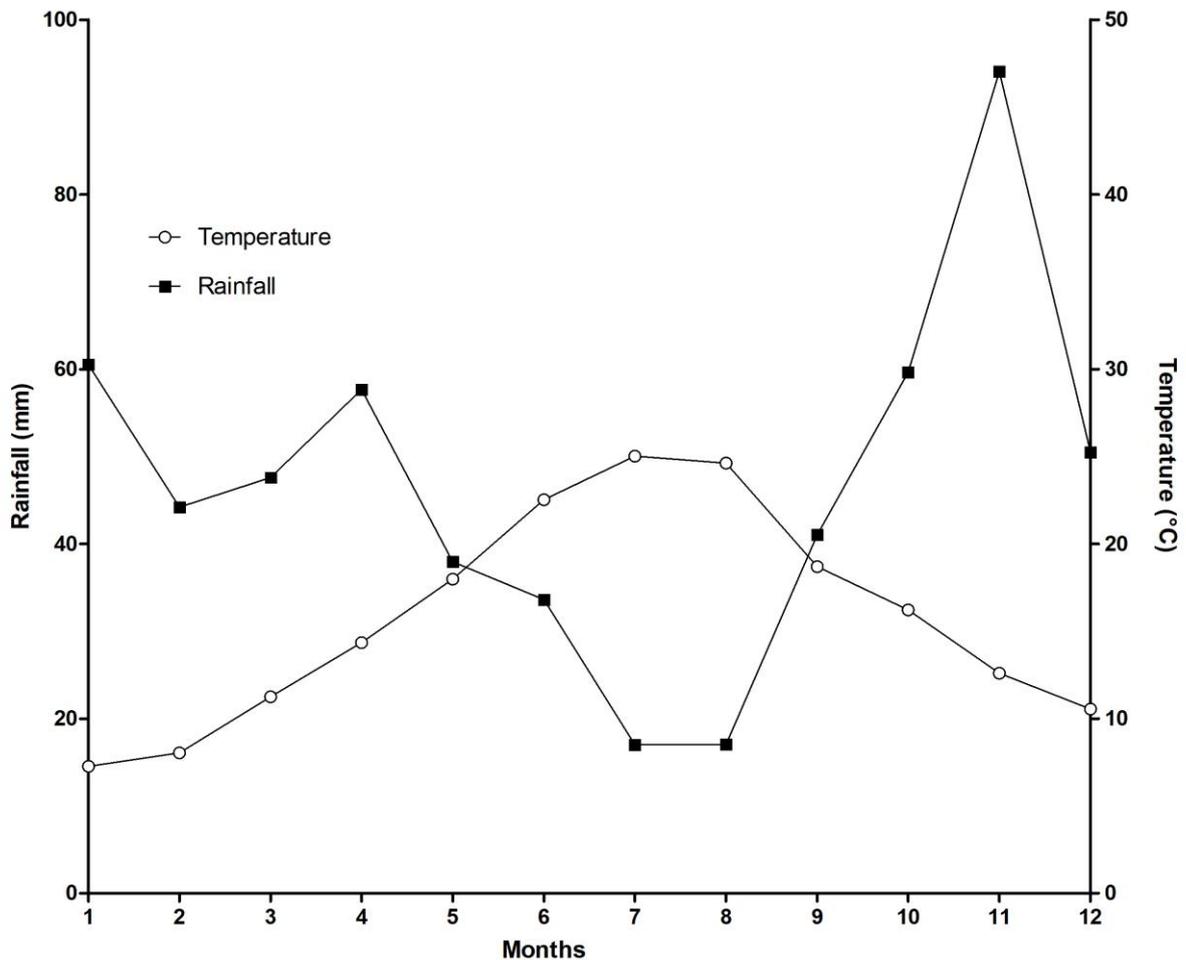
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826 **SUPPLEMENTARY MATERIAL**

827 **Appendix A: Ombrothermic diagram based on records from the study period (Istres weather station, 2008-**
 828 **2017).** Below the figure are some additionnal data concerning annual rainfall.

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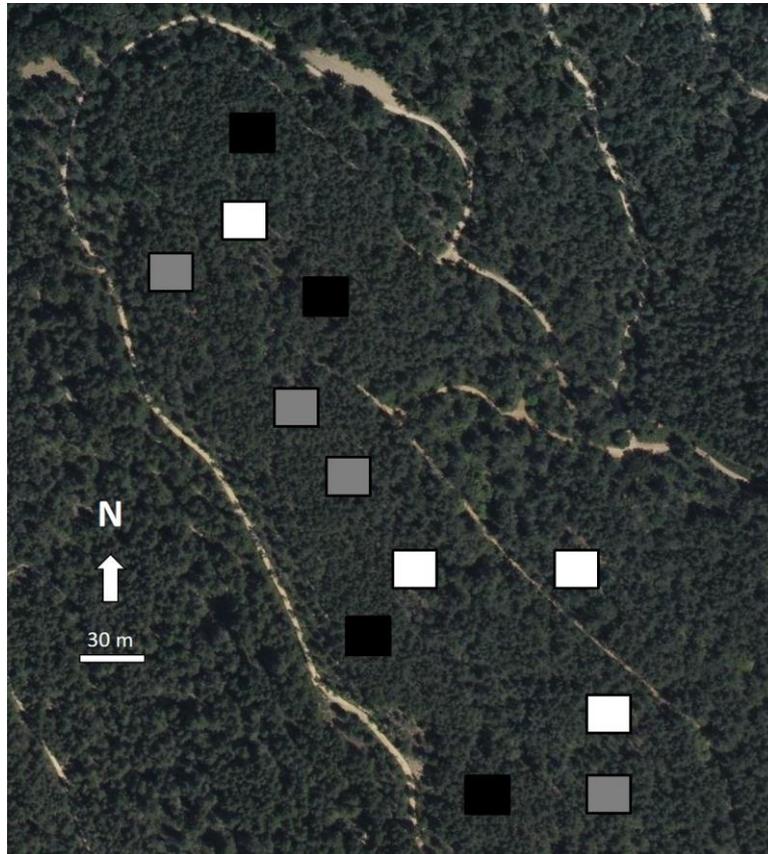
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Year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Annual rainfall (mm)	751	583	630	503	326	598	797	660	411	311

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832 **Appendix B: Location of the 12 plots used in the study.** Treatments are, as follow: dense cover (black squares),
833 medium cover (grey squares) and light cover (white squares).

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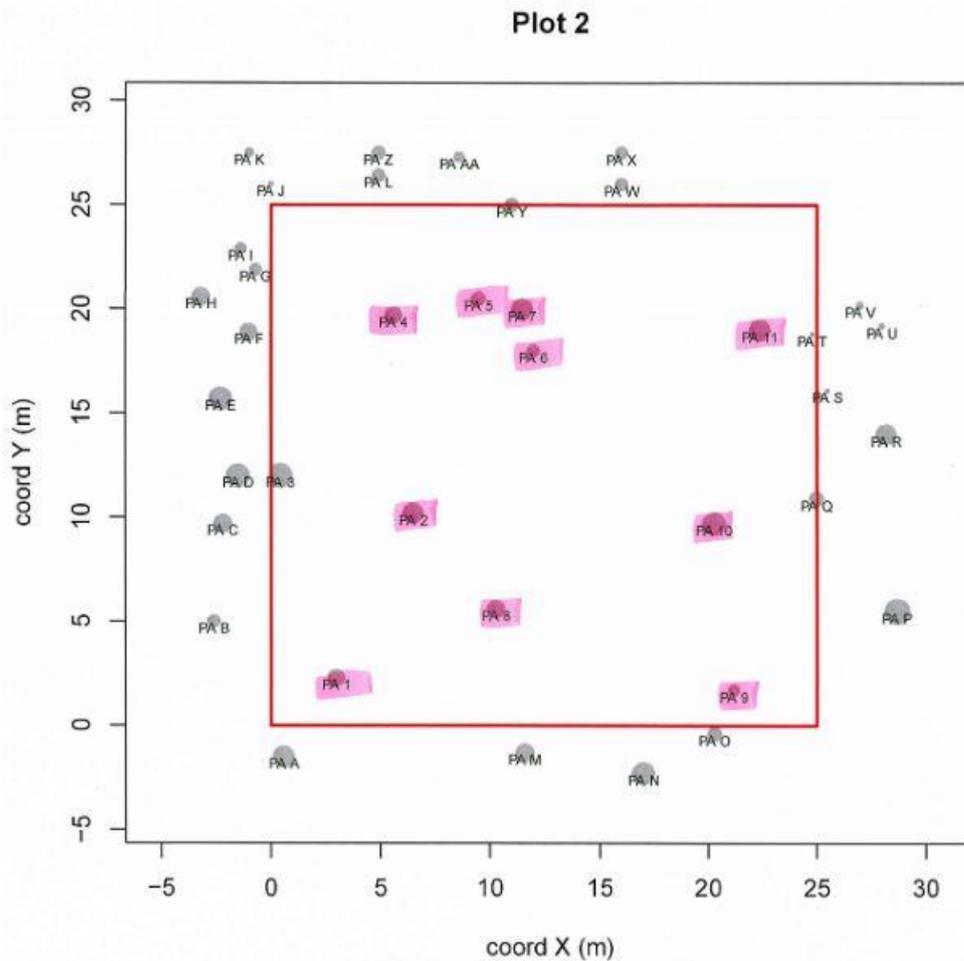
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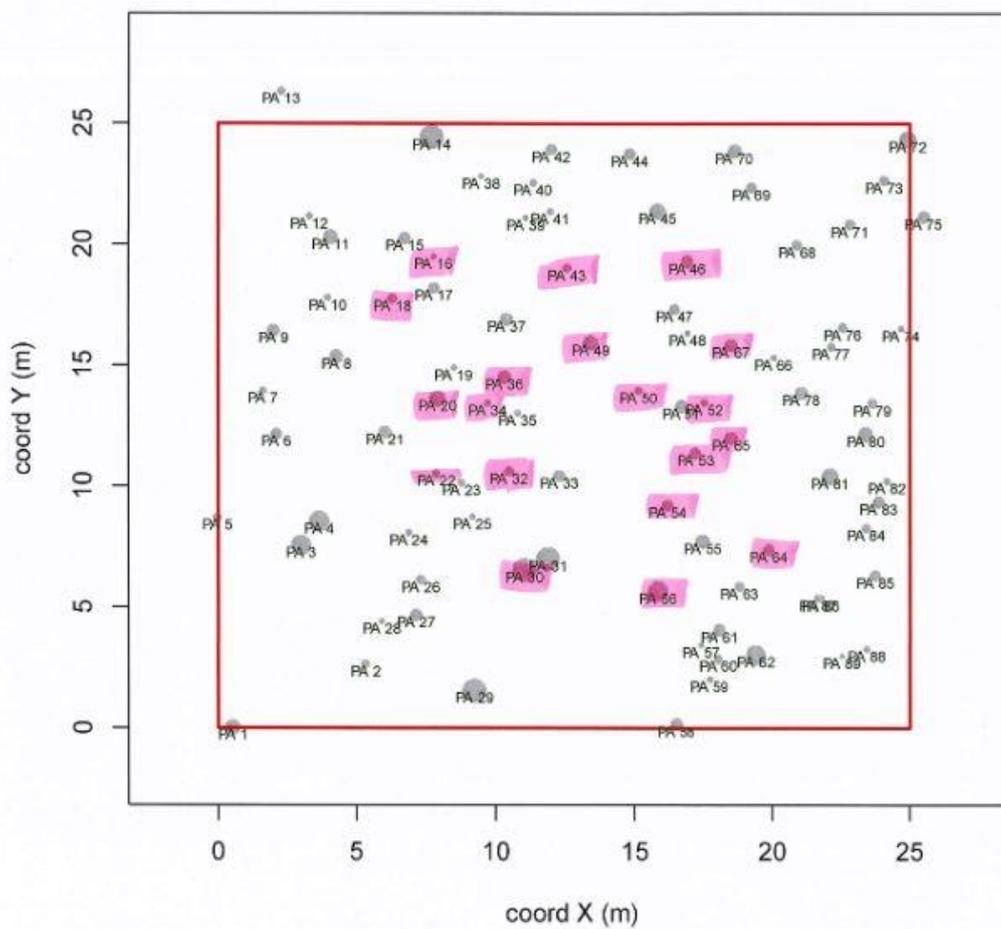
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849 **Appendix C: Location of the selected trees for the dendroecological analysis.** “Plot 2” is from the light cover
850 treatment, “Plot 3” is from the dense cover treatments, “Plot” 9 is from the medium cover treatment. The dots
851 represent the trees, the size of a dot represent the size (circumference) of the trees. The highlighted dots are the
852 trees that were selected for the analysis.



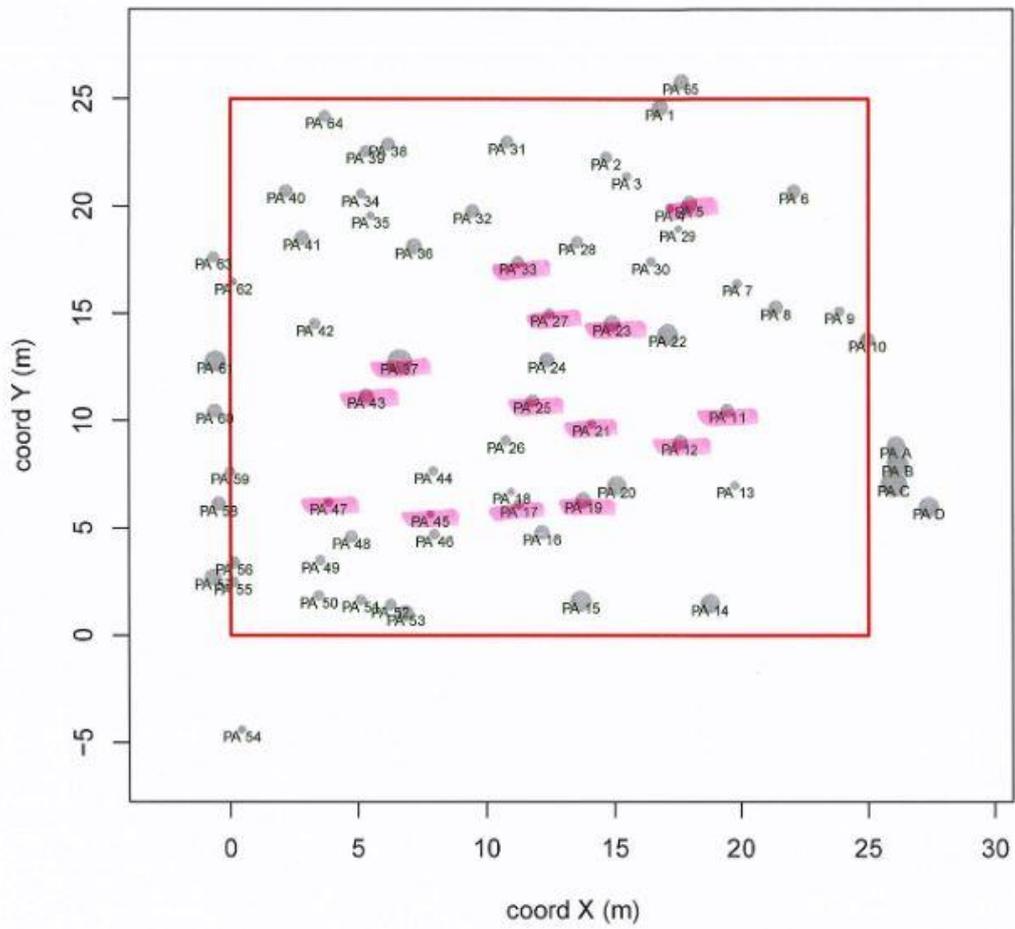
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Plot 3



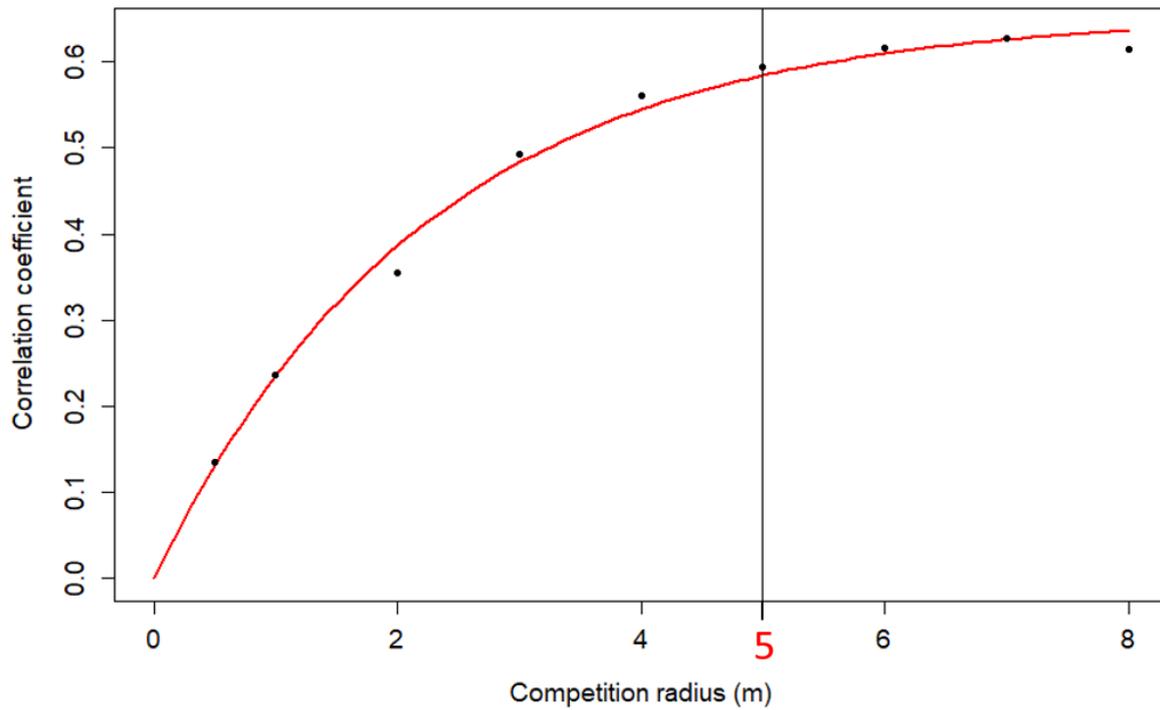
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Plot 9



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869 **Appendix D: Selection of the competition radius.** The correlation coefficient is the mean BAI for 10 years
870 divided by a competition index (for example here it is Hegyi competition index) according to the competition
871 radius used for the computation of the competition index. Curve fit: Correlation coefficient = $0,654606 * (1 - \exp(-$
872 $0,445734 * \text{competition radius}))$. We chose a competition radius of 5 meters, as the correlation coefficient only
873 slightly increased after 5 meters (asymptote at $y = 7$), and because of the sampling design (the trees that were cored
874 were selected in the 20m*20m inner plot and the inventories were done in a 25 m * 25 m area).



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885 **Appendix E: Results from the models tested during the preliminary analysis.** Linear mixed-effects models of
886 the annual tree basal area increment (BAI) as a function of the basal area of the previous year (BA) and the different
887 indices, both annual and seasonal. *BAL* is the BAL CI, taken here as an example. The annual WSIs are the rainfall,
888 the maximum temperature (max T), the minimum temperature (min T), the average temperature (avg T), and *INT*
889 taken here as an example of the WSIs retained for the final analyses. The seasonal WSI are ordered according to
890 the season (winter, spring, summer and autumn). The best models according to AIC are in bold.

Models (with tree as random effect on the intercept)	Marg. R ²	Cond. R ²	AIC
log(BAI) ~ log(BA) + log(IC₅) + log(INT)	0.48	0.77	2735.3
log(BAI) ~ log(BA) + log(IC₅) + log(Rainfall)	0.34	0.87	2767.7
log(BAI) ~ log(BA) + log(INT)	0.43	0.72	2795.8
log(BAI) ~ log(INT)	0.16	0.77	2831.9
log(BAI) ~ log(BA) + log(Rainfall)	0.12	0.88	2885.3
log(BAI) ~ log(Rainfall)	0.10	0.79	2893.8
log(BAI) ~ log(BA) + log(IC ₅) + log(autumn <i>INT</i>)	0.34	0.86	2900.3
log(BAI) ~ log(BA) + log(IC ₅) + log(winter Rainfall)	0.33	0.90	2949.6
log(BAI) ~ log(BA) + log(IC ₅) + log(summer Rainfall)	0.34	0.92	2969.3
log(BAI) ~ log(BA) + log(autumn <i>INT</i>)	0.15	0.90	3031.4
log(BAI) ~ log(autumn <i>INT</i>)	0.10	0.75	3052.8
log(BAI) ~ log(BA) + log(IC ₅) + log(summer <i>INT</i>)	0.33	0.85	3059.5
log(BAI) ~ log(BA) + log(IC ₅) + log(max T)	0.36	0.94	3076.3
log(BAI) ~ log(BA) + log(winter Rainfall)	0.22	0.94	3079.9
log(BAI) ~ log(BA) + log(summer Rainfall)	0.26	0.96	3103.2
log(BAI) ~ log(BA) + log(IC ₅) + log(spring <i>INT</i>)	0.32	0.81	3154.8
log(BAI) ~ log(winter Rainfall)	0.07	0.75	3161.9
log(BAI) ~ log(BA) + log(summer <i>INT</i>)	0.12	0.86	3184.3
log(BAI) ~ log(summer <i>INT</i>)	0.09	0.72	3190.3
log(BAI) ~ log(BA) + log(IC ₅) + log(autumn Rainfall)	0.31	0.85	3207.1
log(BAI) ~ log(BA) + log(max T)	0.30	0.97	3213.7
log(BAI) ~ log(BA) + log(IC ₅) + log(min T)	0.35	0.93	3256.0
log(BAI) ~ log(BA) + log(spring <i>INT</i>)	0.20	0.62	3262.4

log(BAI) ~ log(summer Rainfall)	0.05	0.73	3263.2
log(BAI) ~ log(spring INT)	0.07	0.71	3264.5
log(BAI) ~ log(BA) + log(IC ₅) + log(avg T)	0.32	0.90	3293.8
log(BAI) ~ log(BA) + log(IC ₅) + log(winter INT)	0.32	0.90	3295.4
log(BAI) ~ log(BA) + log(IC ₅) + log(spring Rainfall)	0.33	0.91	3303.3
log(BAI) ~ log(BA) + log(autumn Rainfall)	0.11	0.86	3329.8
log(BAI) ~ log(autumn Rainfall)	0.04	0.72	3334.4
log(BAI) ~ log(BA) + log(min T)	0.30	0.97	3393.2
log(BAI) ~ log(BA) + log(avg T)	0.24	0.94	3427.3
log(BAI) ~ log(BA) + log(winter INT)	0.24	0.94	3428.4
log(BAI) ~ log(BA) + log(spring Rainfall)	0.25	0.95	3437.5
log(BAI) ~ log(max T)	0.01	0.69	3491.4
log(BAI) ~ log(winter INT)	0.01	0.69	3514.7
log(BAI) ~ log(avg T)	0.01	0.69	3524.2
log(BAI) ~ log(spring Rainfall)	0.00	0.68	3554.1
log(BAI) ~ log(min T)	0.00	0.68	3568.6

891 *Abbreviations: Marg. R² the marginal r-squared (accounting for the fixed effects); Cond. R² the conditional r-squared*

892 *(accounting for the fixed and random effects); AIC the Akaike Information Criterion;*

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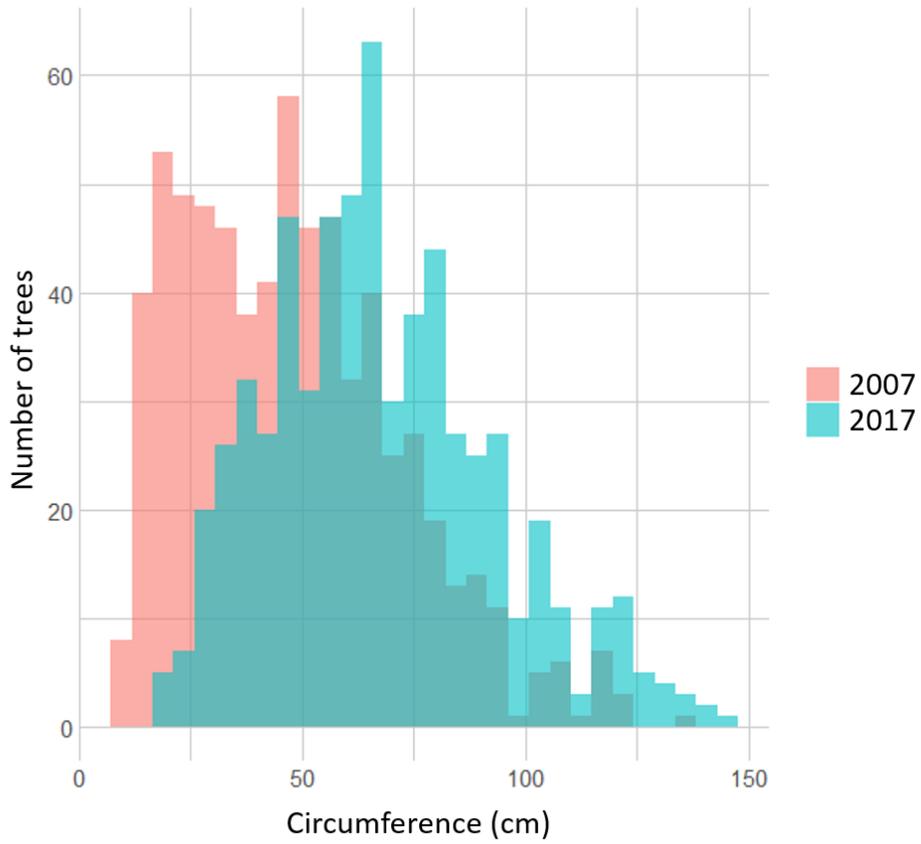
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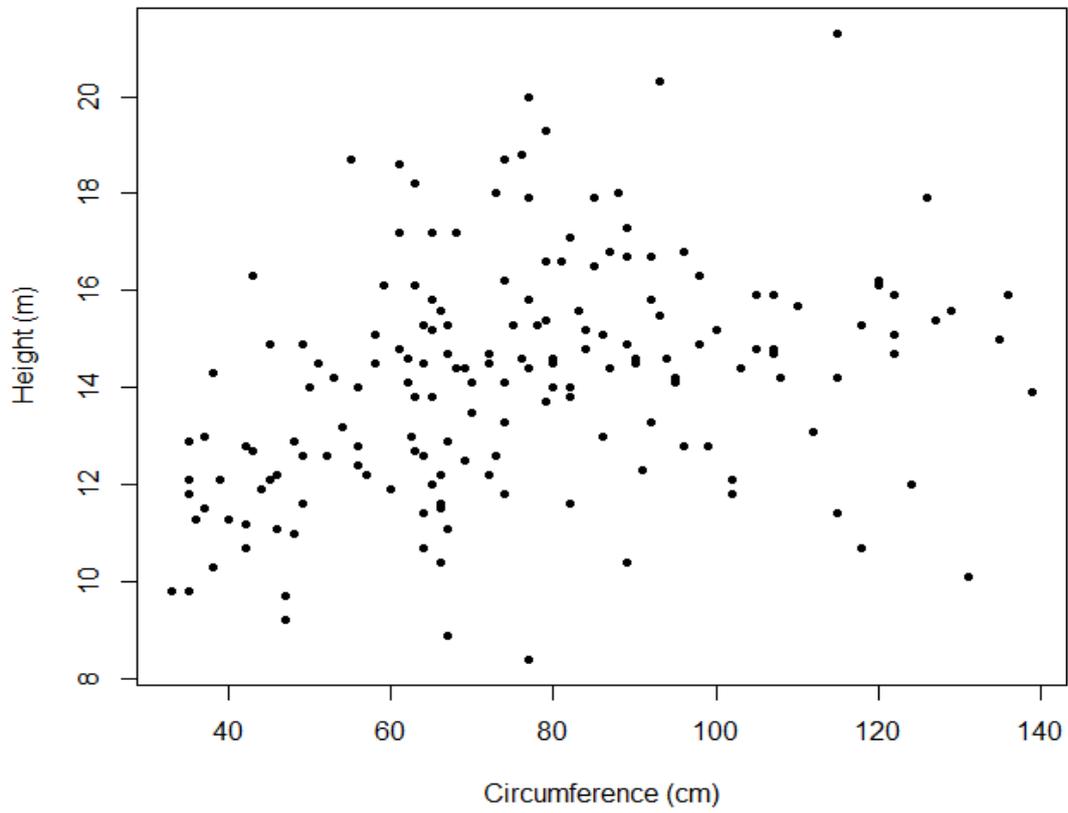
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906 **Appendix F: Comparison of the distribution of tree circumferences between 2007 and 2017.**



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921 **Appendix G: Circumferences plotted against heights for all of the individuals used in the study.** These are
922 measurements taken from 2017.



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