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## Up-scaling of crop productivity estimations using the AquaCrop model and GIS-based operations

I. Alaya<sup>1,2</sup> • M. M. Masmoudi<sup>1</sup> • F. Jacob<sup>2</sup> • N. Ben Mechlia<sup>1</sup>

#### Abstract

Crop models are useful in evaluating management strategies and exploration of new practices, particularly in studies related to climate change and productivity assessment of agricultural systems. At field level, biophysical crop models are generally suitable in homogeneous environments when accurate input data and calibration parameters are available. However, their use at watershed level is limited, especially in hilly areas with great variability of soils, slope, and land use. Systematic method considering all terrain variabilities is time consuming since it requires high-resolution data and parameterization effort while geospatial models like SWAT, using simplified crop modules do not reflect the complexity of the simulated processes. In this work, an alternative methodology is proposed and tested in the hilly Mediterranean watershed of Kamech located in the Cap Bon Peninsula, Tunisia (N 36.88°, E 10.88°); it uses the FAO AquaCrop biophysical model to estimate production in selected fields and scale up the results to the watershed level. Maps of soil, slope, and land use are combined by a GIS tool to obtain a database of averaged field properties and occupations. Three categories of texture, depths, and slopes were considered to classify the 313 fields of the watershed into 27 soil classes and determine their respective area-weighting factor. The systematic method considering all fields and the proposed method considering the 27 representative fields were used to estimate the watershed production for dominant crops: wheat, barley, and faba bean. Results show a good correlation between both methods with values of relative RMSD in the range of 0.5–2% for biomass and 2–5% for grain yield. Decile-decile analysis showed that the proposed methodology simulated almost all the observed spatial variability of yield within the watershed suggesting its suitability for productivity assessment and prediction in hilly fragmented agricultural landscape.

Keywords Crop model · Production · Productivity · Soil properties · Hilly watershed · Scaling up · AquaCrop

#### Introduction

Global warming will probably affect all aspects of human activities, but its effects on food security are the most important and are a subject of intensive scientific research activities (Ewert et al. 2015a, b; Fischer et al. 2005; Kang et al. 2009; Parry et al. 2005; Rosenzweig and Parry 1994). Several

M. M. Masmoudi masmoudi.med@inat.agrinet.tn studies are carried out to predict the climate change impact on agricultural systems in order to identify adaptation and mitigation options (White et al. 2011; Yin 2013; Tubiello et al. 2000; Webber et al. 2014; Rosenzweig and Wilbanks 2010). Crop yields and productivity are forecasts which are usually estimated by means of statistical and empirical models. But the use of biophysical models in climate change studies is more adequate as they take into account environmental and management variables. Many lumped crop models have been developed and used during last few decades, e.g., EPIC (Williams et al. 1984; Brown and Rosenberg 1997; Farina et al. 2011; Mitter et al. 2015), CropSyst (Stöckle et al. 1994; Bocchiola et al. 2013; Giannakopoulos et al. 2009; Tubiello et al. 2000), DSSAT (Hoogenboom et al. 1995), STICS (Brisson et al. 2003; Butterworth et al. 2010; Leclere et al. 2013), and more recently the FAO AquaCrop model (Steduto et al. 2009; Voloudakis et al. 2015; Vanuytrecht et al. 2014). These models use different concepts

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and have different structures, scales, and levels of complexity. Their precision and accuracy vary widely across crops and sites (Tubiello and Ewert 2002; Campbell et al. 2016). As a general rule, good modelling practice consists in keeping models as simple as possible, but with enough incorporated details to capture the major processes that determine the system's behavior (Adam et al. 2011). The FAO crop model "AquaCrop" which requires a relatively small number of input parameters seems to respond to these criteria. Based on a water-driven growth engine, The FAO model is more suitable for water-limited environments. AquaCrop was calibrated and tested at field scale for many crops (Fereres et al. 2012) and used for different purposes including assessment of water productivity, yield gap, and irrigation scheduling (Heng et al. 2009; Arava et al. 2010; Andarzian et al. 2011) and in climate change impact studies (Mainuddin et al. 2011).

When used to assess climate change impact over large areas, lumped crop models are applied at higher aggregation levels which might reduce their efficiency. The resultant inaccuracy is namely related to inappropriate spatial resolution, low precision of input data, and misrepresentation of the system processes at large scale (Hoffmann et al. 2016; Scholten 2008; Van Bussel 2011). Extending from field scale to watershed is associated with an increase of weather, topography, and soil spatial variabilities. When input data are aggregated, this spatial heterogeneity is lost, which will affect the model's accuracy (Ewert et al. 2015a, b; Hansen and Jones 2000; Largani 2013). Uncertainty becomes highly significant for areas with large terrain heterogeneity and important farmland fragmentation.

The up-scaling is particularly problematic in Mediterranean hilly ecosystems, dominated by agricultural lands, evergreen woodlands, and maquis habitats, and characterized by high heterogeneity of soils, land fragmentation, and profound changes in vegetation cover due to the anthropogenic activities (Demetriou 2014; Geri et al. 2010; Sala et al. 2000).

Relief and soil heterogeneity are properly represented in distributed hydrological models (DHMs) which allowed the adequate representation of hydrological processes. Some DHMs integrated vegetation modules to simulate evapotranspiration for use in productivity assessment or irrigation management. With a simplified module of the crop model, SWAT DHM was used for optimal irrigation scheduling (Faramarzi et al. 2010) and for exploring the impact of irrigation on stream flow (Dechmi et al. 2012). It was also used to estimate water productivity and yield gap of some field crops (Huang and Li 2010; Schierhorn et al. 2014). However, its use in assessing climate change impact on production is limited although its crop module allows the increase of CO<sub>2</sub> concentration which affects the radiation use efficiency and crop transpiration parameters (Butcher et al. 2014; White et al. 2011).

In productivity assessment and prediction studies, the choice between using complex and time-consuming DHMs, based on simplified crop models, or up-scaling results of dedicated biophysical crop models, depends on the available data and the level of precision needed, but remains problematic for highly heterogeneous watersheds.

In this work, we propose a simplified approach based on the use of AquaCrop and straightforward up-scaling method combining terrain variables and land use. All fields of the watershed are classified into a limited number of classes according to slope, soil texture, and soil depth. The weight of each class within the cropped area is determined for dominant crops using land use maps and a GIS tool. Crop productivity is estimated by the AquaCrop model for all fields and in one representative field of each soil class. Watershed productivity is calculated by 2 methods: the reference method using results of all fields and the proposed method using only results of the representative fields affected by their corresponding weighting factor values.

The proposed method is tested in a hilly agricultural watershed, characterized by a strong fragmentation and significant terrain variability. Results of the simplified approach are compared with those obtained by the systematic approach that considers all fields.

#### **Materials and methods**

#### Study area

A small hilly agricultural watershed, Kamech (2.63 km<sup>2</sup>), located in the Cap Bon Peninsula in north-east of Tunisia (N 36.88°, E 10.88°) is considered in this study. Its climate is Mediterranean sub-humid characterized by warm and dry summers and mild and relatively rainy winters (Ben Mechlia et al. 2008; Inoubli et al. 2017). Average annual rainfall in the region is about 620 mm with a coefficient of variation of 27%, and the mean annual reference crop evapotranspiration (ETo) during the period (2004–2013) is around 1200 mm (OMERE 2017).

The area is marked by contrasted landscape characteristics and strong fragmentation. More than 70% of the watershed land use is agricultural covering 331 fields. The watershed is characterized by intensive agricultural activity with the dominance of annual crops: cereals and pulses. The main cultivated cereals are wheat, barley, and oats whereas for pulses, the major cultivated species are faba bean and chickpea. The remaining 30% of the catchment's area is pasture composed of grassland and low Mediterranean scrublands that cover generally the steepest parts of the watershed (Ben Mechlia et al. 2008; Mekki et al. 2018).

According to the FAO classification (2006), the soil classes present in the watershed are calcic Cambisols, Regosols,

eutric Regosols, and Vertisols (Ben Slimane 2013; Inoubli 2017). The soil depth varies between very shallow (few centimeters) covering the sandstone bars and very deep (2 m) for soils developed on the marly substrate (Mekki 2003; Morschel 2010). The presence of alternating sandstones and marls induces a variability of soil texture that ranges from clay to sandy loam. Slopes range between 0 and 30% but more than the half of the area is characterized by a low or moderate slope (< 10%). The watershed is marked by irregular slopes especially on the southern edge (Ben Mechlia et al. 2008; Zitouna-Chebbi et al. 2018).

#### Datasets and used maps

The available data on soil are the soil map of Zante et al. (2005) which contains descriptive soil units and some quantitative data about soil profiles realized locally (IAO 2002; Mekki 2003; Alaya et al. 2017). Quantitative information on texture, wilting point, field capacity, and hydraulic conductivity at saturation needed by AquaCrop are available for only a limited number of fields. We used the pedotransfer functions to derive these properties for all soil units from the soil description in the soil map and the available quantitative data. The Saxton and Rawls' (2006) pedotransfer functions were adopted for this task as they were evaluated in a previous work for the soils of the region and showed a good performance in estimating field capacity and permanent wilting (Alava et al. 2017). Soil class depth is derived from soil map. The information concerning texture, bulk density, hydraulic properties, and depth are then added to each unit of the soil map. The resulting shapefile was combined with the fields' ownership map to determine mean values for each field. A 10-m digital elevation model is used with the QGIS software to map the watershed slopes and to calculate the average slope of each field.

Land use maps are available for 13 seasons between 1996 and 2012 (Mekki 2003; OMERE 2017) with heterogeneous field limits and legend. Those corresponding to the period 1996–2001 contain only general information about fields' occupation, namely cereals, pulses, arboriculture, grassland, and scrublands while the survey for the period 2004–2012 delineates the cultivated species and their area limits when more than one species are cultivated on a field.

Land use maps were used to identify the dominant cultivated crops, the change of their areas, and the crop rotations practiced in each field. Then, they were homogenized and combined into a single shapefile with information on field limits and legend, used in a representative field selection procedure.

Daily climatic data observed at the watershed by the Mediterranean environmental and water resources observatory (OMERE) during the period 2004–2013 were used to determine reference evapotranspiration by the Penman-Monteith method and used in the simulations.

Field observations carried out in 2009–2010 and 2012–2013 on wheat barley were used for AquaCrop model evaluation (Aloui et al. 2012; Boudhina et al. 2019).

#### The proposed up-scaling methodology

Two methods were applied to estimate watershed crop production using the site-based model "AquaCrop." The first, considered as reference, is a systematic method consisting in simulating crop growth and production of all fields assuming homogeneous soil type, depth, and slope within each field. The watershed production is taken as the sum of production of all fields.

In the second method, a sample of small number of fields representative of all soil situations in terms of slope, depth, and texture is considered. Three levels of slope, texture, and depth were used to classify all fields in the watershed resulting potentially in 27 soil classes (Table 1).

The soil classification method is adapted from Sys et al. (1991) and Ben Mechlia et al. (2009) using quantitative thresholds for depth and slope and descriptive levels for texture (Table 1). Texture is determined according to the USDA soil textural classification (Soil Survey Staff 1951) that contains 12 classes. In order to facilitate the classification task in this study, we divided those classes into three groups (fine, medium, and coarse). We considered that fine textures are clay (C), sandy clay (SaC), silty clay (SiC), clay loam (CL), and silty clay loam (SiCL). The medium texture group includes sandy clay loam (SaCL), loam (L), silty loam (SiL), silt, and sandy loam (SaL) classes.

The share of each soil class within the cropped area, representing its weight, is determined for cereal-dominant and pulse-dominant rotations using the homogenized average land use map. This weighting factor is defined for each crop and soil class as the ratio of the total area of the soil class over

Tabl	e 1	Criteria	used for	classificatio	on of soils	in the	watershed

Properties	Categories	Criteria
Slope	Low	0-5%
	Moderate	5-10%
	Strong	>10%
Depth	Shallow	<60 cm
	Moderately deep	60-100 cm
	Deep	>100 cm
Texture	Fine	C, SaC, SiC, CL, SiCL
	Medium	SaCL, L, SiL, Si, SaL
	Coarse	Sa, LSa

the total area cultivated by the crop. One representative field of each soil class is then selected and used to run the AquaCrop simulation model for wheat, barley, and faba bean. Results obtained in representative fields are therefore affected by their weighting factors and used to up-scale field productivities to the watershed level.

Both the exhaustive and the simplified methods are applied to estimate the watershed production of wheat, barley, and faba bean during the period 2004–2013.

#### Crop growth model "AquaCrop"

Being developed as a water-driven crop model, AquaCrop is considered an operational simulation model for areas where water is the main production limiting factor, especially in arid and semi-arid regions (Steduto et al. 2009; Yuan et al. 2013). AquaCrop input data and parameters are classified into four categories: weather, crop, soil, and management practices. The input data for these four components are used to simulate soil water balance and the green canopy expansion change over time. One of the main features of AquaCrop is the separation of evapotranspiration into soil evaporation and crop transpiration processes (Vanuytrecht et al. 2014).

The canopy growth is simulated using the green canopy cover concept (CC) instead of leaf area index (LAI). The advantage of using CC is that it can be strongly correlated with data derived from remote sensing such as vegetation indices, mainly NDVI. Such indices simplify the calibration and validation task over large areas (Kim and Kaluarachchi 2015; Foster et al. 2017). The above ground biomass is simulated as the product of the normalized water productivity (WP\*) and cumulative transpiration during the biomass production period. Water productivity is a conservative, crop-specific parameter. Its values are standardized for evaporative demand of the atmosphere (ETo) and CO<sub>2</sub> concentrations, which give the model the ability to be used in climate change studies considering different scenarios and locations. The yield production is linked to the total biomass via a harvest index adjusted to the timing and extent of water or temperature stress during the crop cycle (Hsiao et al. 2009; Steduto et al. 2009; Raes et al. 2009). In addition to water stress, AquaCrop considers other environmental factors including heat stress, soil salinity stress, and soil fertility stress. Water stress effects are simulated for three main processes namely leaf expansion, stomatal closure, and early canopy senescence that determine the amount of water transpired and thus the amount of biomass produced (Van Gaelen 2016; Vanuytrecht et al. 2014).

#### **Statistical evaluation**

The statistical indicators used in performance evaluation are the coefficient of determination ( $R^2$ ), the root mean squared difference (RMSD), the relative root mean squared

deviation (rRMSD), and the Willmott trend index (*d*) given in Eqs. 1 to 4.

$$R^{2} = \frac{\sum_{i=1}^{n} \left( P_{i} - \overline{O} \right)^{2}}{\sum_{i=1}^{n} \left( O_{i} - \overline{O} \right)^{2}}$$
(1)

$$RMSD = \left\{\frac{1}{n} \times \sum_{i=1}^{n} (P_i - O_i)^2\right\}^{1/2}$$
(2)

$$rRMSD = \left\{\frac{1}{n} \times \sum_{i=1}^{n} (P_i - O_i)^2\right\}^{1/2} \times \frac{100}{\overline{O}}$$
(3)

$$d = 1 - \frac{\sum_{i=1}^{n} (P_i - O_i)^2}{\sum_{i=1}^{n} \left( \left| P_i - \overline{O} \right| + \left| O_i - \overline{O} \right| \right)^2}$$
(4)

where  $P_i$  represent the watershed production during year "i" determined by the proposed up-scaling methodology considering the extrapolated results of the 17 sample fields of cereals and 14 fields of pulses;  $O_i$  is the watershed production obtained during year *i* by the systematic method considering the production of all fields.

The coefficient of determination ( $R^2$ ) is a measure of the variability explained by the model. The root mean square difference is a measure of the average deviation between the proposed and the systematic methods. The rRMSD is a dimensionless criterion that expresses error as a fraction of average value, which is more convenient for comparing errors based on different datasets with different average responses. Model performance can be classified according to rRMSD values as excellent (< 10%), good (10–20%), fair (20–30%), and poor (> 30%) (Van Gaelen 2016).

The Willmott trend index developed as a standardized metrics is a measure of model precision (Wilmott 1981) and varies between 0 and 1 (Holzkämper et al. 2015).

These statistical performance indicators, generally used in modeling tasks, were used by Hsiao et al. (2009), Andarzian et al. (2011), Voloudakis et al. (2015), and El Mokh et al. (2017) to evaluate the AquaCrop performance by comparing observed and simulated results. In the present work, the comparison concerns results obtained for the 10 years by the systematic method considering all fields, used as reference, and the proposed method using a sample of 17 fields of cereals and 14 fields of pulses.

#### Results

#### In situ validation of the AquaCrop model

Performance of AquaCrop is tested for wheat and barley using in situ monitoring data carried out in 2009/2010 by Aloui et al. (2012). During this experiment, agronomic observations were carried out in three fields of wheat and a single field of barley. Data from experimental field monitoring obtained by Boudhina et al. (2019) in three fields of wheat during 2013 were also considered for model verification. Simulation by AquaCrop was performed using observed climatic data and calibrated parameters of Sghaier et al. (2014) for wheat and El Mokh et al. (2017) for barley given in Table 2.

Figure 1 gives a comparison between observed and simulated values of total biomass. Linear regression between observed and simulated values shows that yields simulated by the model are overestimated for wheat and underestimated for barley. Determination coefficient ( $R^2$ ) between simulated and observed values was 0.82 for wheat and 0.90 for barley.

The Wilmott index values were 0.91 for wheat and 0.86 for barley indicating a good performance of the model. However, RMSD and rRMSD were relatively high; rRMSD value exceeds 50% for both crops, and RMSD value was 2.6 t/ha for wheat and 1.8 t/ha for barley. The difference is probably due to the use of crop and productivity parameters calibrated in Mornag and Medenine which have different climatic conditions from the study area particularly temperature and wind velocity. The use of different variety and crop management practices may also be the source of this difference. However, the use of a simple correction factor for "AquaCrop" output is possible as there is a good linear correlation between observed and simulated values.

#### Selection of representative fields

Analysis of soil and land use maps relative to the period 2004–2013 showed that cropped area represents 71% of the watershed surface with 49% occupation with cereals and 16% with pulses. The watershed is highly fragmented: 50% of the 331 fields are under 1 ha area with an average field size of 0.6 ha. In average, cereals are grown on 226 fields and cover 141 ha while pulses are present in 87 fields covering a total area of 45 ha

The application of the classification criteria (Table 1) on the watershed's slope, depth, texture layers, and land use maps showed that only 17 classes are present in the watershed for cereals and 14 classes for pulses (Table 3).

Cereals are mainly cultivated (46%) on fine-to-medium textured and moderately deep sloping areas (60-100 cm

Table 2Conservative and<br/>generally applicable parameters<br/>of the *Crop Data* file of<br/>AquaCrop, with values used for<br/>the simulation of wheat, barley,<br/>and faba bean productivity in<br/>Kamech watershed, Cap Bon,<br/>Tunisia

	Wheat	Barley	Faba bean
Conservative parameters			
Base temperature (°C)	0.0	0.0	5.5
Cutoff temperature (°C)	26.0	28.0	30.0
Canopy cover per seedling at 90% emergence (CCo) (cm <sup>2</sup> )	1.50	1.50	5.00
Canopy growth coefficient (CGC) (in fraction CC per GDD)	0.0052	0.0048	0.0105
Maximum canopy cover (CCx) in fraction soil cover	0.99	0.99	0.80
Crop coefficient for transpiration at $CC = 100\%$	1.1	1.1	1.1
Decline in crop coefficient after reaching CCx (%/day)	0.15	0.15	0.15
Canopy decline coefficient (CDC) (in fraction per GDD)	0.0040	0.0032	0.0080
Water productivity normalized for ETo and CO2 (WP*) (g/m <sup>2</sup> )	13.4	13.0	13.0
Leaf growth threshold (P <sub>upper</sub> )	0.20	0.20	0.25
Leaf growth threshold (P <sub>lower</sub> )	0.65	0.65	0.60
Leaf growth stress coefficient curve shape	5.0	3.5	3.0
Stomatal conductance threshold (Pupper)	0.65	0.65	0.60
Stomata stress coefficient curve shape	2.5	3.0	3.0
Senescence stress coefficient (Pupper)	0.70	0.75	0.75
Senescence stress s coefficient curve shape	2.5	3.5	3.0
Non-conservative parameters			
GDD from sowing to emergence	140	151	122
GDD from sowing to maximum rooting depth	1670	1467	741
GDD from sowing to start senescence	1861	1820	1286
GDD from sowing to maturity (length of crop cycle)	2777	2549	1411
GDD from sowing to flowering	1543	1488	879
Length of the flowering stage (GDD)	189	680	128
GDD building up of harvest index during yield formation	980	899	495
Reference harvest index (HIo) (%)	45	38	30



Fig. 1 Simulated vs. measured biomass for wheat and barley during 2009–2010 and 2012–2013

depth, < 10% slope) while 88% of pulses are cultivated in sloping fields (> 5% slope).

One field was selected for each soil class and for each crop, and its properties were used as input parameters for the AquaCrop model. The model was used to simulate crop development and production of wheat, barley, and faba bean for the selected fields during ten seasons, i.e., 2004–2013. Figure 2 shows the distribution of the different occupations and the location of the selected fields on the watershed.

#### Simulation of the watershed production

An R script (R core Team 2016) was written and used to generate for all fields' input files required by AquaCrop: crop and soil parameter files, soil water initial condition files, and simulation projects. Simulation was performed using the AquaCrop plug-in in continuous run mode for 10 years (2004–2013) to take into account the soil water content variations in the offseason. For each simulation project, AquaCrop generates two

 Table 3
 Share (%) of each soil class in terms of texture, slope and depth within cereal-dominant and pulses-dominant (in parenthesis) cropped area in the watershed of Kamech, Cap bon-Tunisia, 2004-2013.

Depth (cm)	Texture	Slope:	< 5%	5-10%	> 10%	Total share(%)
	Fine		4 (0)	4 (6)	5 (9)	
< 60	Medium		3 (3)	7 (13)	6 (5)	31 (37)
	coarse		1 (0)	0 (0)	1(1)	
	Fine		13 (3)	18 (22)	14 (12)	
60-100	Medium		8 (3)	7 (5)	5 (11)	65 (56)
	coarse		0 (0)	0 (0)	0 (0)	
	Fine		0 (0)	0 (0)	0 (0)	
> 100	Medium		1 (3)	2 (4)	1 (0)	4(7)
	coarse		0 (0)	0 (0)	0 (0)	
Total share(%)			29 (12)	39 (50)	32 (38)	100 (100)



**Fig. 2** Average watershed occupation map showing dominant crops during the period 2004–2013 and the selected representative fields of each soil category (outlined fields)

output files with daily and seasonal output data. A second R script was written and used to summarize the results of the output files and up-scale field results to the watershed.

The model was run for wheat, barley, and faba bean crops on all fields of the considered occupation category.

Figure 3 shows the patterns of grain yield of wheat simulated in the 226 fields and in the 17 selected sample fields. A significant inter-annual and spatial variation is observed in the watershed during the period 2004–2013 (Fig. 3a). Similar spatial and temporal variations are present in Fig. 3b corresponding to the 17 selected fields, indicating the adequacy of the selection procedure of representative fields based on slope, and soil depth and texture. The same behavior is observed for faba bean and barley.

Spatial variability of yields was analyzed by means of deciles. Among the 226 fields of cereals, the second decile D2, representing the lowest 20% yields, varied during the period 2004–2013 between 6.6 and 12.5 t/ha for watershed averaged biomass and between 0 and 3.8 t/ha for watershed averaged grain yield (Fig. 4a). The decile D9 range was 10.8–15.7 t/ha for biomass and 3.6–6.3 t/ha for grain yield during the same period.

Simulation results for barley show more stability in production over the 10 cropping seasons. The 9th decile is between 9.6 and 13.2 t/ha for biomass and between 3.4 and 4.8 t/ ha for yield. For faba bean, the predicted production is also marked by a significant fluctuation. The median value of faba bean grain yield varied between 0.2 and 1.6 t/ha during the period 2004–2013 (Fig. 4b). **Fig. 3** Patterns of grain yield in all wheat (226) fields of the watershed (**a**) and in the 17 selected fields (**b**) during the period 2004–2013



The average yield of the watershed was estimated by the systematic method which considers the production of the watershed as the sum of the productions of all fields and by the proposed up-scaling method which considers only the results of the 17 representative fields of cereals and the 14 fields of pulses to estimate the watershed production using weighting factor of each soil class.

Figure 5 compares watershed average yield estimated by both methods during the period 2004–2013 for wheat, barley, and faba bean. The proposed method, considering only a reduced number of representative fields, shows approximately a similar variations and similar decile distribution (Fig. 6) suggesting that the selected samples capture the spatial variability for both biomass and grain yield.

The variability of yields between soil classes is related to rainfall intensity and distribution during the season and soil depth and texture. The highest-yielding classes are deep soils with fine texture having large water holding capacity.

#### Performance of the up-scaling method

Graphical plots and statistical indicators were used for the comparison of watershed averaged biomass and grain yields estimated by the two methods (Fig. 5). A good correlation was obtained for the three crops.

The coefficient of determination  $(R^2)$  and the slope of the linear regression line between estimated values by both methods are around 1 for the three considered crops. The root mean square difference (RMSD) for biomass and grain yield, given in Table 4, shows a good performance of the proposed methodology with a relative difference between 0.5 and 4.7%.

The variability of yield within the watershed is analyzed through the decile-decile representation between both upscaling methods. Figure 6 shows that the selected fields captured the variability of soil characteristic within the watershed that determines productivity.

However, for wheat, a difference is observed for the first decile D1, which is found to correspond to shallow soils. The proposed method underestimates the production for this class. The selected fields representing these soils fail to reproduce all the variability of yield showing more sensitivity of the model to water holding capacity and to the rainfall temporal distribution. Even a minor raise of the soil depth in this group or a favorable rainfall distribution could have an important effect on yield. However, since this group has a small area and proportion of the total area, its contribution to the total production of the watershed is not significant.



Fig. 4 Time course of grain yield deciles (D1...D9) corresponding to all fields of wheat (a) and faba bean (b) showing a large temporal and spatial variability of grain yield within the watershed

**Fig. 5** Comparison of watershed averaged biomass (B) and grain yield (GY) of wheat, barley, and faba beans estimated by the systematic method considering all fields and the proposed methodology considering a sample of representative fields, period 2004–2013



#### Summary and discussion

Estimation of agricultural productivity by lumped biophysical crop models is reported to be highly sensitive to the accuracy of weather, crop, and soil data. Up-scaling to watershed level can be performed by applying lumped crop model on all fields of the watershed or using distributed GIS-based models. This task is time and effort consuming particularly in fragmented hilly watersheds where high-resolution and accurate data is lacking. The present work tried to develop an alternative method where soils of the watershed were classified into 27 typical classes based on texture, slope, and depth and representativeness in the watershed is expressed as weighing factor.

Analysis of land use of the considered watershed during 13 cropping seasons showed that in average, cropped area covers 71% of the watershed area and that 70% and 22% of the cropped area are occupied respectively by cereals and pulses. Cereals are present on only 17 soil classes and pulses on only 14 soil classes among the 27 potential classes. Relative weights of soil classes were in the range 0.3–18.2% for cereals and 0.8–22.7% for pulses.

Simulation results of AquaCrop in the typical 17 and 14 fields were up-scaled to the watershed using the weighing factor of each soil class representing its share in the total cropped area. Results for the 10 cropping seasons for cereals

and pulses are compared with those obtained by the reference method considering all fields and their respective soil properties.

Both methods showed approximately similar variations and similar decile distribution for biomass and yield suggesting that the adopted soil classification captured almost all the spatial variability. The estimations of crop production of the hilly watershed using the representative sites are highly correlated with those estimated by the systematic method considering all fields individually. Adopting the proposed methodology in our case reduced drastically simulation effort and time since only 17 fields over 226 and 14 over 87 were considered respectively for cereals and pulses. Relative difference between the proposed methodology and the systematic method did not exceed 2% and 5% for grain yield and 0.6% and 2.2% for total biomass respectively for cereals and pulses. The RMSD values for the watershed averaged yields are less than 0.1 t/ha for both species. The decile-decile plot shows that the proposed method captures the yield variability in the watershed, except for wheat on marginal shallow soil classes.

The obtained results should be compared with those using other approaches. Many platforms and models used in hydrologic studies allow the simulation of watershed hydrologic processes using distributed mechanistic or semi-empirical models and GIS tools, but the crop module of such packages

**Fig. 6** Decile-decile plot between grain yields (GY) estimated using the results of all fields and those using the sample fields of wheat (**a**) and faba bean (**b**) during the period 2003–2013



 Table 4
 Statistical measures of discrepancies (RMSD and rRMSD) for

 both systematic and the proposed methods used to determine crop
 biomass and grain yields of the watershed

	Biomass			Grain yield		
	Wheat	Barley	Faba bean	Wheat	Barley	Faba bean
$R^2$	0.99	0.99	0.99	0.99	0.99	0.98
RMSD (t/ha)	0.07	0.05	0.10	0.08	0.04	0.05
rRMSD (%)	0.6	0.5	2.2	2.0	1.2	4.7

is always empirical and does not account for  $CO_2$  concentration. The use of more dedicated biophysical models like AquaCrop requires a lot of efforts to prepare data for all fields in appropriate file format. Development of tools to automate the management of data and parameter files and to control the simulation runs in AquaCrop-GIS package introduces spatial dimension to the presentation of the model's results (Lorite et al. 2013, 2015). AquaCrop-GIS tool has been designed to facilitate the use of the AquaCrop model when a high number of simulations are needed, simplifying the task of generating input and project files and the management of output files. It prepares the required inputs, executes AquaCrop, elaborates the results, and shows them in a geographic information system.

AquaCrop-GIS allows a drastic reduction of processing time, but preliminary work to build data and parameter files remains an important and time-consuming task. Also, the lumped nature of the model remains as the connectivity of hydrologic variables between polygons is not implemented. Recent development of an open-source version of the model AquaCrop-OS (Foster et al. 2017) which will give the possibility of integrating the AquaCrop model with geospatial distributed hydrologic models and to use remote sensing data (Jin et al. 2016; Panday 2014), will likely contribute to improve model's accuracy (Han et al. 2019).

#### Conclusion

The proposed methodology, based on the use of AquaCrop and the classification/aggregation of topographic and soil properties for estimating productivity of hilly, highly fragmented watersheds, gave reasonably good results in comparison with the systematic method considering all fields and their actual soil properties. Its use for long-term simulation of productivity and its performance in climate change studies should be considered with reference to the more complex and time-consuming GIS-based models using lumped crop models like AquaCrop-GIS or the semi-distributed hydrological model like SWAT. The recent release of an open-source version of AquaCrop which can be run on multiple programming languages and operating systems and support parallel execution will certainly facilitate the model linkage and integration in distributed hydrological models for a better simulation of both crop and hydrologic processes. Connection with remotely sensed data could also be considered in watershed productivity assessment applications as it allows the use of actual land occupation and crop cover percentage used for partitioning evaporation/transpiration variables.

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