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1 **Quality-driven design of sponge cake: insights into reactivity, furan**
2 **mitigation and consumer liking**

3

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13

14 **Suggested abbreviated title**

15 Quality-driven design of sponge cake: insights into liking and furan

16 **ABSTRACT**

17 This work highlights the importance of considering reactivity into the quality-driven design of
18 heat-treated foods, which should cover the mitigation of process-induced contaminants and
19 the improvement of the sensory properties of the foodstuff. The joint effects of formulation
20 and baking conditions on reactivity and several quality aspects (i.e. volatile generation,
21 physical properties, sensory and consumer tests), followed by product optimization (i.e.
22 consumer liking and furan mitigation) were studied. While key markers are affected by all
23 factors and their interactions, the effect of sugar and whole egg are the clearest. Furan
24 would be predominantly generated from glucose via caramelization and/or Maillard reaction,
25 whereas the formation of Strecker aldehydes and lipid oxidation products would be
26 favoured by precursors in whole egg. Formulations with a low glucose content, baked at low
27 temperatures/short times lead to optimal products. Egg-based ingredient content may be
28 set according to preference or by applying different optimization approaches.

29

30

31 **KEYWORDS**

32 formulation, process conditions, heat-treated food, furfural, browning reactions, sensory
33 evaluation, multivariate analysis, optimization

34 **1. Introduction**

35 Among common foodstuffs, thermally-treated products, such as baked goods, are widely
36 consumed by population and are particularly important both from food safety and quality
37 standpoints. During heat processing, food components and minor constituents may undergo
38 different chemical pathways such as non-enzymatic browning and lipid oxidation, leading to
39 a wide number of compounds. Some may impact aroma or colour, while others may be of
40 health concern. Furan, in particular, has been widely addressed among process-induced
41 toxicants in recent years, and is a current public health concern due to its possible
42 carcinogenicity (European Food Safety Authority (EFSA), 2017; International Agency for
43 Research on Cancer, 1995; Joint FAO/WHO Expert Committee on Food Additives, 2011).
44 Its occurrence has been documented in a large number of foods including bakery products
45 (Crews & Castle, 2007; Wegener & López-Sánchez, 2010; Zoller, Sager, & Reinhard, 2007).
46 Even though strategies have been reported for its mitigation (Anese, Manzocco, Calligaris,
47 & Nicoli, 2013; Rannou, Laroque, Renault, Prost, & Sérot, 2016), it remains a challenge
48 since this compound can be produced from different precursors via several reaction
49 pathways (i.e. caramelization, Maillard reaction, lipid oxidation, thermal amino acid
50 degradation) (Crews & Castle, 2007; Perez Locas & Yaylayan, 2004; Srivastava et al.,
51 2018; Stadler, 2012).

52 Sensory properties of baked goods (e.g. biscuits, cookies, bread) have been studied
53 together with one or more additional aspects of quality (e.g. physical, chemical, nutritional)
54 (Adebiyi, Obadina, Adebo, & Kayitesi, 2017; Gupta, Bawa, & Abu-Ghannam, 2011; Martins
55 et al., 2015; Mudgil, Barak, & Khatkar, 2016; Poinot et al., 2008), but few research works
56 consider them along with food safety. Indeed, only a handful of studies have been
57 performed on furan mitigation along with sensory evaluation, namely in pasta (Manzocco et
58 al., 2014), meat sauces and biscuits (Anese, Bot, & Suman, 2014). Moreover, to the best of

59 our knowledge, only one piece of work has been done on furan mitigation and consumer
60 testing, specifically in tomato juice (Bahçeci, Akıllıoğlu, & Gökmen, 2015). Needless to say,
61 although quality driven design of bakery products has been carried out in several works
62 (Demirekler, Sumnu, & Sahin, 2004; Hadiyanto, Esveld, Boom, van Straten, & van Boxtel,
63 2008; Purlis, 2014), these have mainly focused on colour and texture-related
64 measurements as quality criteria, overlooking other important aspects, such as the
65 occurrence of safety-related compounds, sensory properties, consumer acceptability, and
66 the role that reactivity plays in these.

67

68 Clearly, there is a current need for developing mitigation strategies for furan in baked goods
69 and heat-treated foods in general, while considering their sensory quality. Sponge cake is a
70 suitable foodstuff for studying the relationship between reactivity and quality and further
71 develop optimization strategies. Indeed, its complex chemical composition and structure
72 resulting from diverse ingredients (i.e. sugar, egg, fat, flour, salt), which may undergo
73 several reaction pathways during thermal treatment, make it an interesting product to study.
74 In fact, it contains furan at the ppb level (Cepeda-Vázquez, Blumenthal, Camel, & Rega,
75 2017) and a number of aroma compounds typically found in bakery products (Cepeda-
76 Vázquez, Rega, Descharles, & Camel, 2018; Maire, Rega, Cuvelier, Soto, & Giampaoli,
77 2013; Pozo-Bayón, Ruíz-Rodríguez, Pernin, & Cayot, 2007; Rega, Guerard, Delarue, Maire,
78 & Giampaoli, 2009).

79

80 This paper deals with the effects of both formulation and baking conditions on sponge cake
81 reactivity and quality and its further optimization. A holistic approach covering several
82 aspects, including volatile generation, physical and physicochemical properties, sensory
83 characteristics and consumer liking, is used for understanding the underlying phenomena,

84 while a quality optimization strategy based on furan mitigation and liking is presented. This
85 is achieved through experimental design for effectively studying the relationship between
86 each factor and each of the variables (linear, quadratic) and interactions among factors
87 themselves. The paper finally discusses whether optimization criteria are conflicting or not
88 and hence, whether a trade-off must be considered.

89

90

91 **2. Materials and methods**

92 *2.1. General*

93 An experimental design considering both sponge cake formulation (sucrose, glucose, whole
94 egg and egg white content) and baking conditions (time and temperature) was first carried
95 out. Several responses were then acquired through four blocks of variables, namely: volatile
96 compound analysis, physical and physicochemical measurements, sensory evaluation and
97 consumer tests. All responses were used for studying the effect of formulation and baking
98 conditions on sponge cake quality and gaining insight into its relationship with reactivity.
99 Furan content and consumer liking were in particular used for optimizing sponge cake, both
100 from a food safety and sensory quality point of view.

101

102 Sponge cakes studied here are slim in order to induce crust formation and enhance
103 reaction pathways, making it possible to obtain a large variety of products having different
104 properties (e.g. chemical, sensory).

105

106 *2.2. Chemicals and standards*

107 Furan (purity: 99%), furfural (purity: 99%), 2-methylbutanal (purity: 95%), 2,5-
108 dimethylpyrazine (purity: 99.9%), benzaldehyde (purity: 99%), hexanal (purity: 98%), 2-

109 pentylfuran (purity: 97%), 1-hydroxy-2-propanone (purity: 90%) and d4-furan (purity: 98%)
110 were supplied by Sigma-Aldrich. 3-Methylbutanal (purity: 98%) was obtained from Merck,
111 2,3,5-trimethylpyrazine was supplied by Acros (purity: 99%) and d4-furfural (purity: 99.7%)
112 was obtained from CIL Cluzeau, CDN Isotopes (Pointe-Claire, Canada). Methanol (purity:
113 99.9%) was purchased from Carlo Erba (Val-de-Reuil, France). Ultrapure water (> 5
114 MΩ.cm) was produced using a Millipore Elix 3 System from Millipore SAS (Molsheim,
115 France).

116

117 *2.3. Ingredients*

118 Flour was kindly provided by Grands Moulins de Paris (Ivry-sur-Seine, France). Caster
119 sucrose was obtained from Tereos (Lille, France) and glucose (dextrose monohydrate) was
120 kindly provided by Roquette Frères (Lestrem, France). Pasteurized whole egg and egg
121 white were supplied by Agrodoubs (Flagey, France) and Ovoteam (Massy, France). Non-
122 hydrogenated palm oil was obtained from Palva Celys (Rezé, France). NaCl was supplied
123 by Salinor (Clichy, France). Sucrose, glucose and salt were stored at room temperature;
124 flour, whole egg, egg white and palm oil were stored at -20°C. Flour, whole egg and egg
125 white were thawed at 4°C and palm oil in a water bath at 50°C prior to use.

126

127 *2.4. Sponge cake preparation*

128 Sponge cakes were formulated in line with Cepeda-Vázquez et al., (2018) and according to
129 the experimental design, using the following recipe: 125 g of flour, 125 g of sugar, 225 g of
130 egg-based ingredient, 20 g of non-hydrogenated palm oil and 5 g of NaCl, where sugar
131 refers to sucrose and/or glucose and egg-based ingredient to whole egg and/or egg white.

132

133 *2.4.1. Batter preparation*

134 Sponge cake batter (500 g) was prepared according to Cepeda-Vázquez et al., (2017) by
135 mixing and beating the egg-based ingredient (whole egg and/or egg white), the sugar
136 (sucrose and/or glucose) and salt using a kitchen appliance (Kenwood Chef, KM300, UK)
137 for 10 min at maximum speed. Flour was gently added during 1.5 min at minimum speed
138 and the whole was further beaten for 0.5 min. Palm oil was gently added during 15 s at
139 minimum speed and the batter beaten for 1 min at the same speed. Formulations
140 containing egg white-only were further battered for 120 s for proper incorporation.

141

142 *2.4.2. Baking trials*

143 Aliquots of 20 g of batter were poured into 21 aluminium molds (8.0 cm x 4.5 cm x 3.5 cm)
144 and baked in an instrumented oven (Bongard, Wolfisheim, France), specifically designed to
145 ensure thermal homogeneity (Fehaili, Courel, Rega, & Giampaoli, 2010). Baking
146 temperature and time were set according to the design of experiments (DOE): 155-185°C
147 for 15-25 min.

148 Resulting sponge cakes, of about 1.8 cm high, were sampled and stored according to the
149 analysis for which they were bound. One sponge cake was sampled for colour
150 measurements, while four were used for other instrumental analyses. These were put into
151 hermetically sealed glass jars immediately after baking and then frozen at -20°C for further
152 composite sampling (see 2.5). The remaining cakes, bound for sensory and consumer tests,
153 were cut into bite-sized rectangular pieces of approximately 4.0 cm x 2.25 cm containing
154 both crust and crumb, put into re-sealable zipper storage plastic bags, cooled at room
155 temperature, sealed and then frozen at -20°C.

156

157 *2.5. Composite sampling*

158 For each row of the experimental design, four frozen sponge cakes were ground by means
159 of a Grindomix GM200 knife mill, equipped with a stainless steel bowl and titan knives
160 (Retsch GmbH, Haan, Germany). Each batch was ground using the following conditions:
161 3,000 rpm for 10 s, then 6,000 rpm for up to 20 s. Composite samples were immediately put
162 into hermetically sealed glass jars and stored at -20°C until analysis (adapted from Cepeda-
163 Vázquez et al., (2017)).

164

165 *2.6. Physical and physicochemical properties*

166 Dry matter and pH determination were carried out as in previous studies (Cepeda-Vázquez
167 et al., 2018). Colour measurement was performed on the upper face of a whole cake at 3
168 points by using a 6834 spectro-guide sphere gloss colorimeter (BYK-Gardner, Germany)
169 and expressed in the CIELab colour space (adapted from Cepeda-Vázquez et al., (2018);
170 Poinot et al., (2008)).

171

172 *2.7. HS trap / GC-MS procedure*

173 Several volatile compounds were studied according to Cepeda-Vázquez et al., (2018).
174 While furan and furfural were defined as relevant furanic quality markers for safety and
175 aroma, respectively, additional aroma compounds commonly found in baked goods were
176 also considered. These compounds are representative of different classes (furans,
177 aldehydes, pyrazines, etc.) and reaction pathways (mainly caramelization, Maillard reaction,
178 lipid oxidation and Strecker degradation).

179 Quantitative analysis for furan and furfural and semi-quantitative analysis for additional key
180 aroma markers (n=3) were performed simultaneously by means of a TurboMatrix
181 Headspace Sampler HS 40 Trap (Perkin Elmer, Llantrisant, UK) equipped with an air
182 monitoring trap containing a two-sorbent bed (Carbotrap and Carbosieve SIII, Perkin Elmer),

183 and a Trace GC Ultra gas chromatography system coupled to an ISQ single quadrupole
184 mass spectrometer (Thermo Scientific, Rodano, Italy) (Cepeda-Vázquez et al., 2017, 2018).

185

186 *2.7.1. Standard solutions for furan and furfural quantification*

187 Stock and standard solutions were prepared in a room at 18°C, while HS samples were
188 prepared in a separate room to avoid any cross-contamination from the surrounding air.

189 Stock solutions of d4-furan, d4-furfural, furan and furfural in methanol were prepared
190 separately at a concentration of 2.5 g l⁻¹, put into hermetic amber glass bottles and stored at
191 -20°C for up to one month. A standard solution of d4-furan at a concentration of 25 ng µl⁻¹
192 was prepared daily by diluting the stock solution in ultrapure water. In order to adapt isotope
193 concentration to different samples, six mixed standard solutions were prepared daily by
194 diluting the standard solution of d4-furan and the stock solution of d4-furfural in ultrapure
195 water (concentrations ranged from 0.03 to 4.1 ng µl⁻¹ for d4-furan and from 1.2 to 735 ng µl⁻¹
196 for d4-furfural). They were then kept at 4°C until use. All glassware was previously baked
197 out at 55°C for at least 24 h to avoid any contamination prior to analysis (Cepeda-Vázquez
198 et al., 2017).

199

200 *2.7.2. HS sample preparation*

201 During vial preparation, sponge cake composite samples were kept in an ice bath to avoid
202 volatile loss. Aliquots of ground sponge cake (0.588 g, dry basis) and ultrapure water (9.412
203 g) were weighed into 20 ml vials for a 16 water / sample amount ratio (dry basis) and 10 g
204 of total amount (water + sample amount, dry basis) (Cepeda-Vázquez et al., 2017). All
205 amounts were exactly measured in mass. A volume of 40 µl of the corresponding d4-furan
206 + d4-furfural standard solution was added according to the estimated furan and furfural
207 concentration of each sample. Vials were capped with aluminium polytetrafluoroethylene

208 coated silicone septa at each step of preparation and sealed immediately after labeled
209 standards' addition to avoid loss of volatiles. Vials were then vortexed for 5 s at maximum
210 speed. All HS vials were previously baked out in a muffle furnace at 350°C for at least 1 h
211 to avoid any HS glassware contamination before analysis (Cepeda-Vázquez et al., 2017).

212

213 *2.7.3. HS trap conditions*

214 Conditions were set as previously described for the optimization of HS trap joint extraction
215 of furan and furfural (Cepeda-Vázquez et al., 2017).

216

217 *2.7.4. GC-MS analysis*

218 GC-MS was adapted from Cepeda-Vázquez et al., (2018). A 100% polyethylene glycol
219 column (ZB-WAX) was used for separation (60 m x 0.25 mm x 0.5 µm; Zebron, USA).
220 Helium was used as carrier gas at a constant flow rate of 1.2 ml min⁻¹. Injection was done in
221 split mode (split ratio of 1:8). The GC oven was programmed as follows: initial temperature
222 40°C (held for 4 min), then raised at 10°C min⁻¹ until 150°C and then raised at 20°C min⁻¹
223 until reaching a final temperature of 240°C (held for 8 min). MS transfer line and ion source
224 temperatures were set to 230°C and 200°C, respectively. The ionization mode was electron
225 impact (EI), 70 eV. Data acquisition was done in nine segments, including a full scan mode
226 from 25 to 150 m/z at 0.2 s per scan and the corresponding selected ion monitoring (SIM)
227 mode with a dwell time of 0.1 s each, except for furfural and d4-furfural 0.05 s each (furan,
228 m/z 39, 68; d4-furan, m/z 42, 72; 2-methylbutanal, m/z 41, 44, 57; 3-methylbutanal 41, 44,
229 57; hexanal, m/z 44, 56; 2-penylfuran, m/z 81, 138; 1-hydroxy-2-propanone, m/z 43, 74;
230 2,5-dimethylpyrazine, m/z 42, 108; 2,6-dimethylpyrazine, m/z 42, 108; 2,3,5-
231 trimethylpyrazine, m/z 42, 122; furfural, m/z 39, 95, 96; d4-furfural, m/z 42, 98, 100;
232 benzaldehyde, m/z 77, 106 [quantifier ions in italics]). Chromatographic peak areas for each

233 compound were calculated by extracting the quantifier ions from the SIM mode acquisition
234 data using Quan Browser, Xcalibur 2.1.0 SP1, build 1160 (Thermo Fisher Scientific Inc.,
235 USA) and used both for quantitative and semi-quantitative analyses. Native molecules were
236 confirmed by means of the Wiley 8 and NIST 08 mass spectra libraries, calculation of
237 normal alkane retention index (RI) and comparison to NIST Chemistry WebBook SRD 69
238 (2017) indices, and analysis of pure standards (Cepeda-Vázquez et al., 2018).

239 The reported method performances for furan and furfural quantification are the following:
240 repeatability (RSD: $\leq 3.3\%$ for furan and $\leq 2.6\%$ for furfural), intermediate precision (RSD:
241 4.0% for furan and 4.3% for furfural), linearity (R^2 : 0.9957 for furan and 0.9996 for furfural),
242 limit of detection (LOD; furan: 0.50 ng g^{-1} sample, dry basis; furfural: 10.2 ng g^{-1} sample, dry
243 basis), limit of quantification (LOQ; furan: 0.99 ng g^{-1} sample, dry basis; furfural: 41.1 ng g^{-1}
244 sample, dry basis) (Cepeda-Vázquez et al., 2017). Experimental reproducibility, from batter
245 preparation to HS trap analysis, has been reported as 6.4 and 6.8% for furan and furfural
246 quantitation, respectively, and ranged from 6 to 15% for the semi-quantitative analysis of
247 selected markers (Cepeda-Vázquez et al., 2018).

248

249 *2.8. Sensory description*

250 Bite-sized frozen samples were thawed at room temperature 30 min prior to descriptive
251 analysis by 5 panelists well aware of sensory descriptive methodologies (3 females, 2
252 males) for sensory description. Term generation was first carried out individually in 2
253 sessions of about 30 min each, as follows: 9 products were randomly presented per session
254 and panelists were free to generate descriptors into 7 categories, namely appearance,
255 texture, odour, mouthfeel, taste, aroma and persistence; a blank category was also
256 provided for additional terms. A total of 179 terms were collected. Semantic consensus was
257 then achieved in a discussion session where panelists were presented with a full set of

258 samples. Synonyms were identified and a total of 18 terms were selected as appropriate for
259 evaluation; protocols were defined accordingly. Evaluation of 18 samples was then carried
260 out in 2 sessions in individual cabins, where water and napkins were available for panelists.
261 Samples were put into plastic cups labeled with a 3-digit code randomly assigned and
262 presented monodically (one by one), according to a XLSTAT-generated serving order. Nine
263 products were assessed per session using the 18 previously selected descriptors and a
264 rating-based variant of the check-all-that-apply and flash profile techniques. Evaluating
265 sessions took about 30 min each.

266

267 *2.9. Consumer tests*

268 Consumers who volunteered to take the tests were recruited at AgroParisTech (Massy
269 Center) in France (n=50). Tests were carried out at the Sensory Perception and
270 Sensometrics Laboratory in individual cabins. Verbal and written information about the
271 study was provided to participants and consent was given orally.

272 Samples were thawed, prepared and labeled as for the sensory description; a full set of 18
273 samples was presented to consumers in one session, monodically following a XLSTAT-
274 generated serving order. Consumers were asked to select the corresponding 3-digit code
275 and indicate overall liking using the ISO 9-point hedonic scale (where 1 = "extremely
276 dislike", 5 = "neither like nor dislike" and 9 = "extremely like") (ISO, 2003). Water and
277 napkins were available at each cabin. Average time for hedonic tests was around 15 min
278 per participant.

279 Approval from an ethical board was not considered necessary for this study since sponge
280 cake is a common foodstuff. Additionally, the furan content of our samples remained at a
281 low level overall when compared to other widely consumed food products, such as baby-
282 food, bread, cereal snacks or coffee (Zoller et al., 2007).

283

284 *2.10. Optimization procedure*

285 The step-by-step procedure, from selection of factors to optimization, is briefly described
286 below.

287

288 *2.10.1. Selection of factors*

289 Formulation and baking conditions were considered at once for studying reactivity and
290 optimizing sponge cake quality. Previous studies showed that sugar and egg are the two
291 most impacting categories of ingredients on key quality marker generation in sponge cake,
292 including furan, furfural and other selected aroma markers (Cepeda-Vázquez et al., 2018).
293 Hence, two variables related to recipe were selected: sucrose content (S , %, within sugar
294 category) and whole egg content (E , %, within egg-based ingredient category). In other
295 words, a mixed approach was considered within each of these variables, making it possible
296 to study both sucrose and glucose, and also whole egg and egg white. Among process
297 conditions, only baking variables were taken into account since these are likely the most
298 important factors influencing the extent of reaction pathways leading to safety and quality-
299 related compounds in baked goods (mainly through caramelization, Maillard reaction and
300 lipid oxidation). Baking time (t) and temperature (T) were thus selected.

301

302 *2.10.2. Optimal design of experiments*

303 An optimal, tailor-made experimental plan consisting of 18 rows was designed to study and
304 optimize sponge cake quality (Table 1). Response surface models were postulated
305 including all quadratic and linear terms, as well as second order interactions.

306 The experimental plan was designed considering orthogonality among all factors, meaning
307 that the effects of the formulation and baking variables could be differentiated from one
308 another.

309 The following low (-1), middle (0) and high (+1) levels were selected for each factor: *S*,
310 0/50/100% (within sugar category); *E*, 0/50/100% (within egg-based ingredient category); *t*,
311 15/20/25 min; *T*, 155/170/185°C. Sucrose and whole egg levels were set according to
312 previous formulation studies (Cepeda-Vázquez et al., 2018), while screening trials were
313 carried out for setting time and temperature levels. In these, the extreme formulations were
314 studied (i.e. glucose-based and also egg white-containing sponge cakes, which were
315 reported to have the highest and lowest furanic compound content and darkest and lightest
316 colour, respectively) (Cepeda-Vázquez et al., 2018). During such trials, batters were baked
317 at 140, 170 and 200°C for 10, 15, 20, 25 and 30 min, yielding a wide range of samples.
318 Sensory acceptability and analytical sensitivity were the criteria to narrow the baking
319 boundaries for the experimental design. Unbaked and burnt products were left out since
320 they were out of the acceptability range. Such unbaked cakes were also likely to be below
321 the furan detection limit, based on the results by Cepeda-Vázquez et al., (2018).

322

323 *2.10.3. Model fitting and multivariate optimization*

324 Multiple linear regression (MLR) was performed by standard least squares (SLS) for each
325 response (5% for type I error). For volatile compounds, responses were defined as
326 logarithmic functions (ln) of furan content, furfural content and chromatographic peak areas
327 for the additional aroma compounds to respect homoscedasticity hypothesis of MLR. Thirty-
328 five models were obtained accordingly (11 on volatiles, 5 on physicochemical properties, 18
329 on sensory descriptors and 1 on consumer preference) in order to study the main effects
330 and interactions.

331 A sponge cake optimization strategy was then generated by means of a prediction profiler
332 based on the resulting models for mean consumer preference and furan content, using
333 desirability functions. The model for furfural content was also added for illustrative purposes.
334 Terms in the furan reduced model were considered into the joint profiler. Desirabilities were
335 defined as the maximum value for liking, the minimum value for furan and none for furfural.
336 As a result, single values for each factor were obtained by maximizing overall desirability.

337

338 *2.11. Additional data treatment*

339 To visualize and interpret our results, we performed a Principal Component Analysis (PCA)
340 on mean values for each set of experiments (individually): for volatile compounds, physical
341 and physicochemical properties, sensory data (correlation method), as well as for consumer
342 data (covariance method) (Greenhoff & MacFie, 1999). Factors of the DOE were added as
343 supplementary variables in all PCA to identify their potential linear links to volatile
344 compound generation, sponge cake properties and consumer liking.

345 To identify the discriminating variables (i.e. the ones that enable us to differentiate the set of
346 experiments), two-way ANOVA (Product, Panelist) was carried out on sensory data (one
347 per descriptor). To identify the differences in terms of liking for the experiments, two-way
348 ANOVA (Product, Consumer) was carried out on hedonic scores. Agglomerative
349 Hierarchical Clustering (AHC) was also performed on consumer data (standardized by
350 consumer - using Euclidean distance for dissimilarities and Ward's method for
351 agglomeration) to identify potential clusters of consumers in terms of liking.

352 Formulation and baking experimental design, MLR and optimization by means of desirability
353 functions were done in JMP 10.0.0 (SAS Institute Inc.). Sensory and consumer
354 experimental set-up, ANOVA, AHC and PCA were carried out in XLSTAT 2014.5.04
355 (Addinsoft SARL).

356

357

358 **3. Results and discussion**

359 *3.1. Furan and furfural content*

360 Furan content was low in most samples and remained at a ng g^{-1} level, while that of furfural
361 ranged from ng g^{-1} to $\mu\text{g g}^{-1}$. Interestingly, furan and furfural reduced models show that their
362 contents are affected by sponge cake formulation, baking and their interactions in very
363 similar ways (all linear terms, most quadratic effects and interactions, R^2 : 0.965 for furan
364 and 0.991 for furfural, $P > F$: < 0.0001 for both variables) (Table 2). This suggests that
365 predominant pathways in furan generation are those common to furfural (i.e. caramelization
366 and Maillard reaction) when coupling sponge cake formulation to baking conditions. This
367 result confirms previous findings showing that changes in fat leading to mild lipid oxidation
368 did not exert a significant effect on furan content (Cepeda-Vázquez et al., 2018).
369 Differences in content levels do suggest however, that furfural's generation is favoured over
370 that of furan regardless of the formulation or baking conditions. Similar results were
371 observed when studying sponge cake formulation alone (Cepeda-Vázquez et al., 2018) and
372 in a kinetic study in cake models specifically designed to follow furan and furfural's
373 formation via caramelization and Maillard reaction (Srivastava et al., 2018). Overall, this
374 suggests that furfural (less volatile and easier to analyze than furan), could indeed be a
375 proper marker of furan generation in this type of product or reaction system.

376

377 *3.2. Reactivity in sponge cake*

378 Furan, furfural and selected key markers, as well as physical and physicochemical
379 properties were considered for studying reactivity. According to the PCA on responses of all
380 markers, principal components 1, 2 and 3 account for 95.8% of variability (Figure 1a and b).

381 Even though the DOE was built considering orthogonality among the effects under study,
382 both loading plots depicting them as supplementary variables show that these are no longer
383 orthogonal, meaning that interactions among some DOE factors do take place (Figure 1a
384 and b). This was also confirmed by the corresponding response surface models, where not
385 only the main effects but also several interactions were observed for all compounds ($R^2 \geq$
386 0.911 and $P > F: < 0.0001$ for all models). This clearly suggests that the generation of
387 volatile compounds in sponge cake is due to formulation and baking conditions, as well as
388 their interactions.

389 Moreover, in the PC1 vs PC2 plot, DOE factors follow two axes: sugar type is highly related
390 to PC2 (25.2%), i.e. sucrose (+), glucose (-), while whole egg (+), time (+) and temperature
391 (+) relate to PC1 (51.4%) to a minor extent. However, in the PC2 vs PC3 plot, whole egg
392 appears to be anticorrelated to time and temperature, forming a new axis strongly related to
393 PC3 (19.2%) (Figure 1a and b).

394 Furan, furfural and 1-hydroxy-2-propanone, all found in one cluster, contribute positively to
395 PC1 and PC3 and negatively to PC2. This means that sugar, egg-based ingredients as well
396 as baking time and temperature, all contribute to their formation, either directly or through
397 their interactions. Pyrazines, all grouped into another cluster, would be predominantly
398 affected by sugar (related to PC2) and by whole egg to a much lower extent, baking time or
399 temperature (related to PC1 and PC3). More importantly, these clusters exhibit different
400 behaviours when considering PC2 (highly related to sugar category): furan, furfural and 1-
401 hydroxy-2-propanone correlate to glucose (-), while pyrazines correlate to sucrose (+)
402 (Figure 1a and b). These important findings suggest that, when considering the nature of
403 sugar, furan, furfural and 1-hydroxy-2-propanone are predominantly formed from glucose, a
404 reducing sugar, either via caramelization or Maillard reaction, while pyrazines are majorly

405 formed from sucrose, a non-reducing sugar, via hydrolysis and further Strecker degradation
406 (often considered into the Maillard reaction pathway).

407 Other aroma compounds are found in a third cluster: hexanal, 2-pentylfuran and Strecker
408 aldehydes (benzaldehyde, 3-methylbutanal, 2-methylbutanal) (Figure 1a and b). While 2-
409 pentylfuran can be formed through lipid oxidation from polyunsaturated fatty acids (PUFAs)
410 and also via the interaction of lipid oxidation products and amino groups (Adams, Bouckaert,
411 Van Lancker, De Meulenaer, & De Kimpe, 2011; Belitz, Grosch, & Schieberle, 2009; Grein,
412 Huffer, Scheller, & Schreier, 1993; Hidalgo, Gallardo, & Zamora, 2005; Perez Locas &
413 Yaylayan, 2004; Whitfield, 1992), hexanal can only be formed via lipid oxidation. Hence, a
414 high correlation between hexanal and Strecker aldehydes was initially unexpected, but their
415 high correlation to whole egg (mostly related to PC3) might explain it. Indeed, whole egg is
416 an important source of both PUFAs and free amino acids (U.S. Department of Agriculture
417 (USDA), 2016), which are precursors of hexanal and Strecker aldehydes, respectively. As
418 of 2-pentylfuran, previous studies on sponge cake formulation showed that it was somewhat
419 correlated to hexanal and also to Strecker aldehydes (Cepeda-Vázquez et al., 2018).
420 Hence, its correlation to them in this work, that is, when considering baking time and
421 temperature in addition to formulation, is not surprising.

422 Another interesting result is the finding that Strecker aldehydes and pyrazines are not
423 grouped into one cluster, although they both depend on free amino acids and sugar
424 degradation products (dicarbonyls for Strecker aldehydes, and aminoketones or 1-hydroxy-
425 2-propanone along with NH_3 and hexanal for pyrazines).

426

427 Physical and physicochemical properties are adequately represented in the PC1 and PC2
428 plot (PC1: 77.9%, PC2: 13.4%) (Figure 1c). Although DOE factors are less well represented
429 in the same plot, again two axes are visible: the first relating to sucrose content and the

430 second one partially to temperature and whole egg content to a much lower extent. Baking
431 time appears to be correlated to both axes. Clearly, all properties are dependent on all
432 formulation and baking variables, since they are not aligned to the axes. Overall, long
433 baking times, high temperatures, and formulations with whole egg and glucose, that is more
434 advanced thermal reactions, all relate to low lightness (L^*) and pH values, as expected.
435 These two properties are indeed the best indicators of browning progression according to
436 modeling (R^2 : 0.976 and 0.928, respectively, and $P > F$: <0.0001 for both variables).

437

438 3.3. *Sponge cake sensory quality*

439 Regarding sensory data, most descriptors were found useful for discriminating sponge cake
440 samples, except for caramel odour ($P > F$ for product: 0.151) and nut aroma, which was
441 close to the set significance level ($P > F$ for product: 0.055). All the discriminating sensory
442 terms, along with nut aroma, were further analyzed by PCA.

443 Most descriptors are well represented in the PC1 vs PC2 plot, accounting for 73.0% of
444 variability of sensory data (Figure 2). Interestingly, while some descriptors are scattered,
445 two clusters are clearly visible (lower left and lower right) and differentiated by PC1. The
446 first one groups variables related to “typical” descriptors for sponge cake, such as sponge
447 cake and egg aroma and odour, as well as sweet taste. The second one includes
448 descriptors related to “severe” thermal reactions, like caramel and burnt notes, colour, bitter
449 taste and is opposite to spongy mouthfeel and sticky texture. The “typical” cluster is highly
450 related to sucrose content, and to short time and low temperatures (or their interaction) to a
451 minor extent, while the “severe” group is related to long baking time and high temperature
452 (or their interaction), and to glucose to some extent. A very important finding is that whole
453 egg is highly correlated to both “typical” and “severe” descriptors, since it is negatively
454 correlated to PC2.

455 Firmness and crusty mouthfeel are both related to time and temperature, which is not
456 surprising, but they also are to whole egg; chewy mouthfeel interestingly relates to glucose
457 and egg white (Figure 2).

458 Concerning hedonic scores, AHC resulted in two clusters of 21 and 29 participants,
459 respectively (supplementary figure A). According to ANOVA results, consumers in cluster 1
460 rank products higher ($P > F: 0.001$), yet clusters seem to have similar class profiles
461 (supplementary figure B). PCA on liking also shows that consumers are mostly grouped into
462 one cluster, both in the PC1 vs PC2 (59.2%) and PC1 vs PC3 plots (54.9%) (Figure 3a and
463 b). Liking appears to be highly related to sucrose, opposite to baking time and temperature,
464 and influenced by whole egg to a minor extent. A response surface model considering
465 preference scores for all participants ($n=50$) confirms that all formulation and baking factors,
466 as well as some interactions, impact liking ($R^2: 0.987$, $P > F: < 0.0001$). Sponge cakes with
467 a high sucrose and whole egg content, baked during a short time at low temperature are
468 preferred overall.

469

470 *3.4. Linking reactivity and sponge cake quality*

471 Results discussed in previous sections prove that formulation (sucrose, glucose, whole egg
472 and egg white content) and baking conditions (time and temperature) do have an effect on
473 the reaction pathways occurring in sponge cake and its quality. Furthermore, interactions
474 among formulation and baking factors were identified and quantified. More importantly,
475 these factors impact volatile generation, physical, physicochemical and sensory properties
476 as well as liking differently, that is to say they interact in different ways. These findings
477 underline the need of using a holistic approach by studying several factors and also several
478 aspects of the product at once. In this regard, gaining further insight might be achieved by
479 applying sensometric or chemometric tools to study the relationship between different

480 blocks of variables or variables from different blocks, together or aside from factors. For
481 instance, it would be interesting to determine how the selected aroma markers correlate to
482 preference, how the latter is impacted by texture or to define how "typical" sponge cake or
483 "severe" heat treatment descriptors, L* and pH relate to preference and furan content.

484

485 3.5. *Optimizing sponge cake: liking and furan mitigation*

486 Furan mitigation and consumer preference, here defined as food safety and sensory quality
487 criteria, are both affected by formulation and baking factors as explained before. More
488 importantly, they exhibit opposite behaviours in relation to most factors and furan content
489 level remains low overall despite changes in these. Consequently, there is enough room for
490 finding optimal sponge cakes with low furan content that fall within consumers' preference.

491 A set of optimal sponge cake formulation and baking conditions was found, considering
492 both quality criteria simultaneously, based on desirability function maximization (Figure 4a).
493 High sucrose content (with respect to glucose), low temperature and short baking time, all
494 contribute to maximizing liking and minimizing furan, within the studied ranges. These
495 quality criteria are however conflicting with respect to whole egg content, since they are
496 both positively influenced by such factor. A trade-off would naturally be considered, as
497 depicted in Figure 4a, yet the predicted furan content remains below LOD (0.50 ng g⁻¹
498 sample, dry basis) along the boundaries of these factor, when keeping the rest of them at
499 their optimal values. This means that amino acids and lipids in whole egg would not favour
500 furan generation as much as sugar type, and that whole egg would do more for liking than
501 for furan generation, when keeping the remaining variables at optimal values. As a result,
502 increasing whole egg content for maximizing liking alone might as well be considered
503 (Figure 4b).

504 Also, the fact that the optimal values are reached at the low boundaries for time and
505 temperature suggests that there might be greater room for optimization if setting even lower
506 values for these two variables. However, it must be noted that lower values could also lead
507 to unbaked products reducing hedonic score and hence, a quadratic form might therefore
508 be observed for these factors. Finally, prediction profiler depicts similar curves for furan and
509 furfural for both optimization scenarios (Figure 4a and b), confirming that these compounds
510 behave in similar ways.

511

512

513 **4. Conclusions**

514 Furan content and consumer liking, selected as food safety and sensory quality criteria for
515 sponge cake optimization respectively, are influenced in opposite ways by most formulation
516 and baking factors. Overall, high sucrose content (in relation to glucose), low temperature
517 and short baking times yield sponge cakes with a minimal furan content and a maximal
518 consumer liking score, while whole egg content may be set according to preference only,
519 since furan level would remain around LOD levels. It must also be noted that other
520 optimization strategies could be used instead, such as defining a threshold for furan content
521 and/or preference, once regulations on furan content are defined, and depending on the
522 criteria set for meeting consumer needs.

523 Regarding reactivity, several reaction pathways seem to occur when considering both
524 formulation and baking conditions in sponge cake, according to the study of key markers.
525 Furan, furfural and 1-hydroxy-2-propanone would be predominantly produced from glucose
526 via caramelization and/or Maillard reaction, while pyrazines would be mainly generated from
527 sucrose via sugar hydrolysis and further Strecker degradation. The latter, as well as lipid
528 oxidation would also occur and be highly related to egg yolk (in whole egg). Moreover,

529 furfural, whose analysis might be easier than that of furan, could indeed be a proper marker
530 of furan generation in this type of products given their close relationship.

531 This work contributes to the development of quality-driven design strategies adapted for
532 heat-treated food, based on safety and sensory criteria. It also advances the understanding
533 of the link between reactivity and quality through a holistic approach. This strategy could
534 also be broadened to other quality aspects (e.g. loss of nutritional value, antioxidant content,
535 etc.) or easily applied to thermally-treated products with different properties (e.g. sensory,
536 chemical composition, structure, dimensions, etc.). It could be further explored by applying
537 sensometric or chemometric tools as well, for gaining further insight into how different
538 quality aspects relate to each other (e.g. sensory description and consumer liking), or how
539 variables from different aspects also relate (e.g. aroma markers from GC-MS analysis and
540 aroma descriptors from sensory tests; furfural content and both colour measurements and
541 as perceived by panelists, etc).

542

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551

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554

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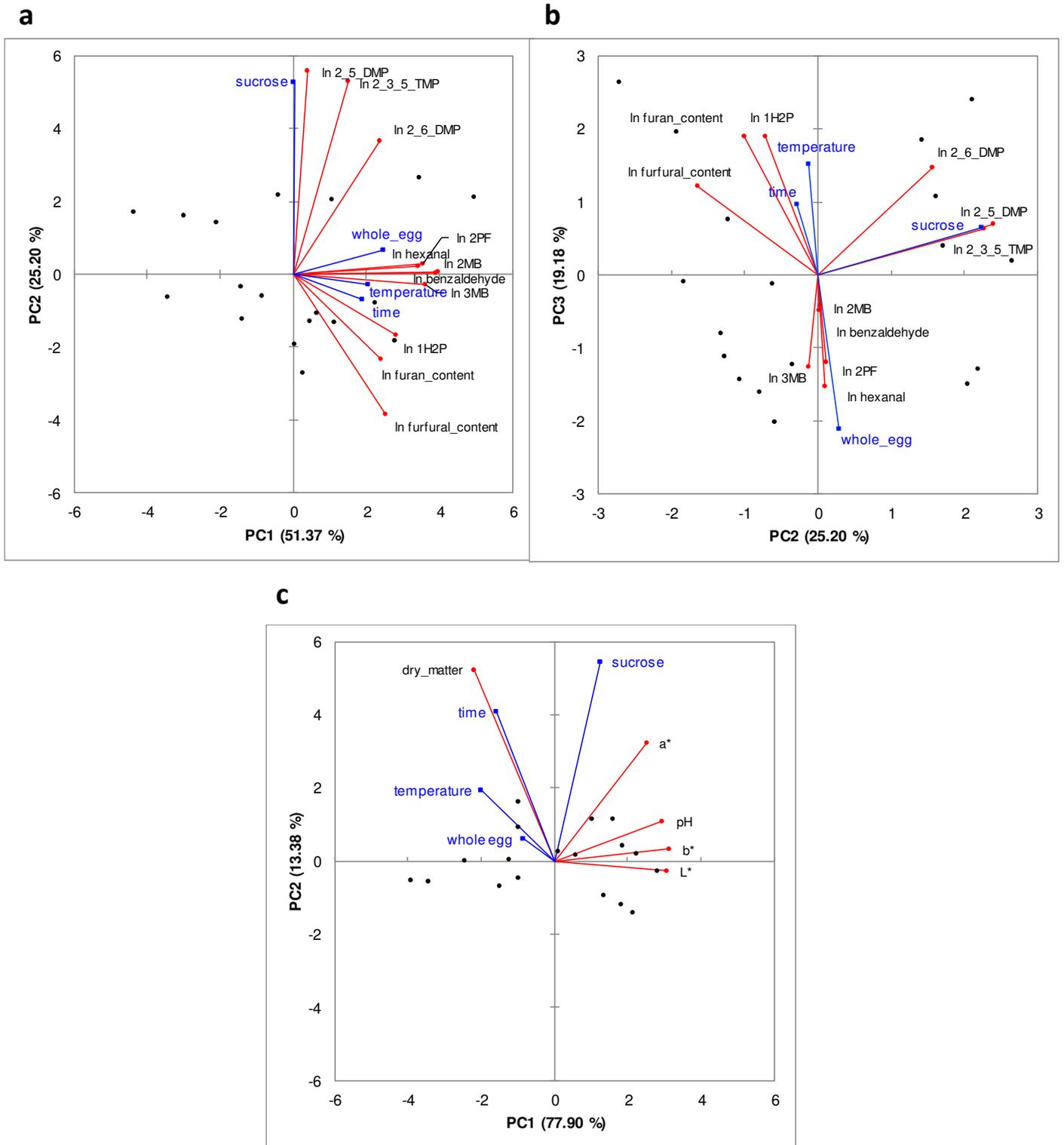


Figure 1 PCA biplots on selected volatile markers and physical-physicochemical properties.
 ln: logarithmic functions; 2MB: 2-methylbutanal; 3MB: 3-methylbutanal; 2PF: 2-pentylfuran; 1H2P: 1-hydroxy-2-propanone; 2,5 DMP: 2,5-dimethylpyrazine; 2,6 DMP: 2,6-dimethylpyrazine; 2,3,5 TMP: 2,3,5-trimethylpyrazine; L*: lightness, a*: green (-) to red (+); b*: blue (-) to yellow (+); variables for PCA (•); supplementary variables (■)

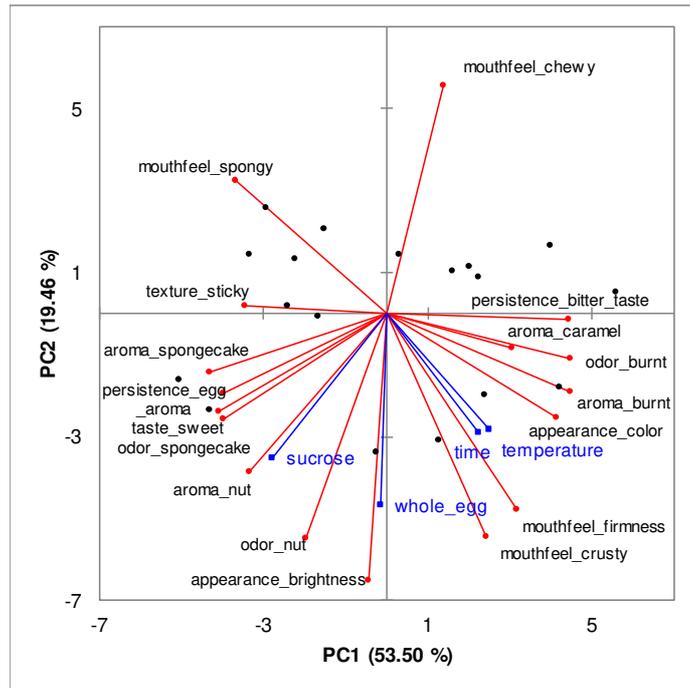
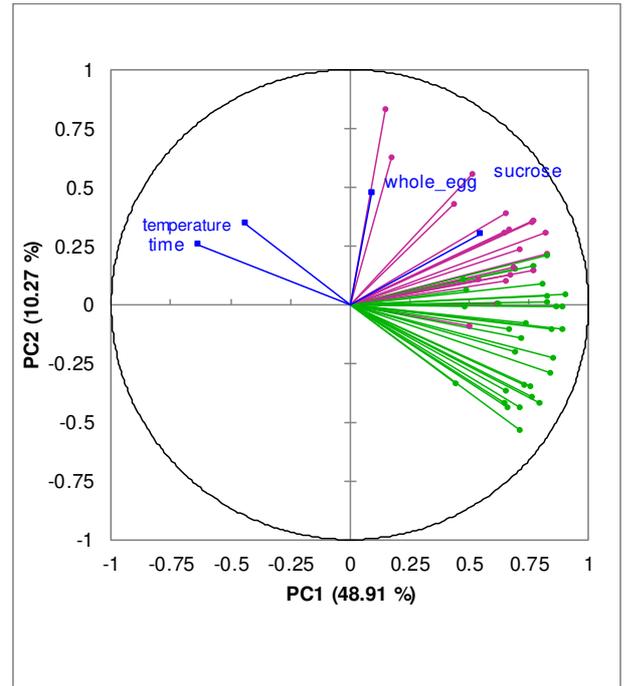
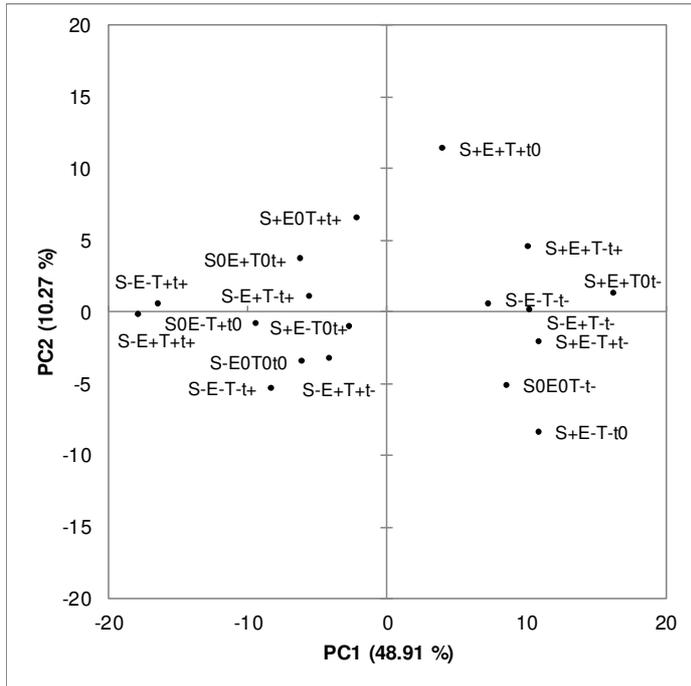


Figure 2 PCA biplot on sensory descriptors.
 Variables for PCA (•); supplementary variables (▪)

a



b

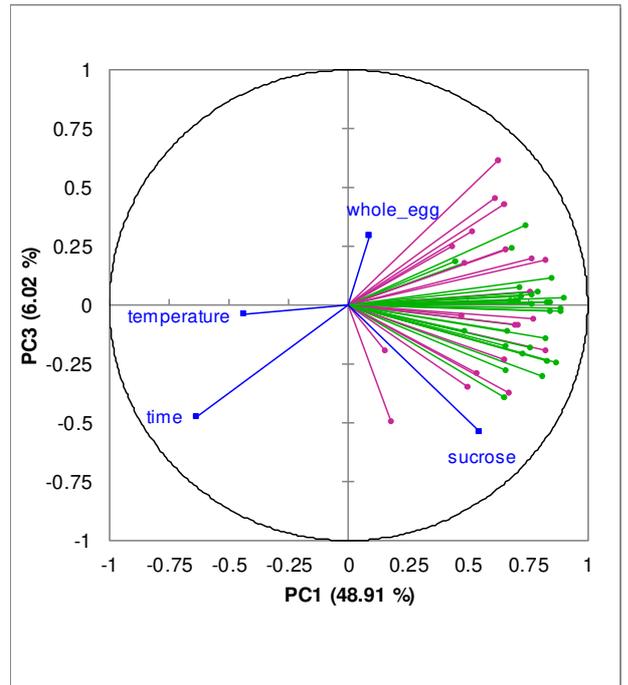
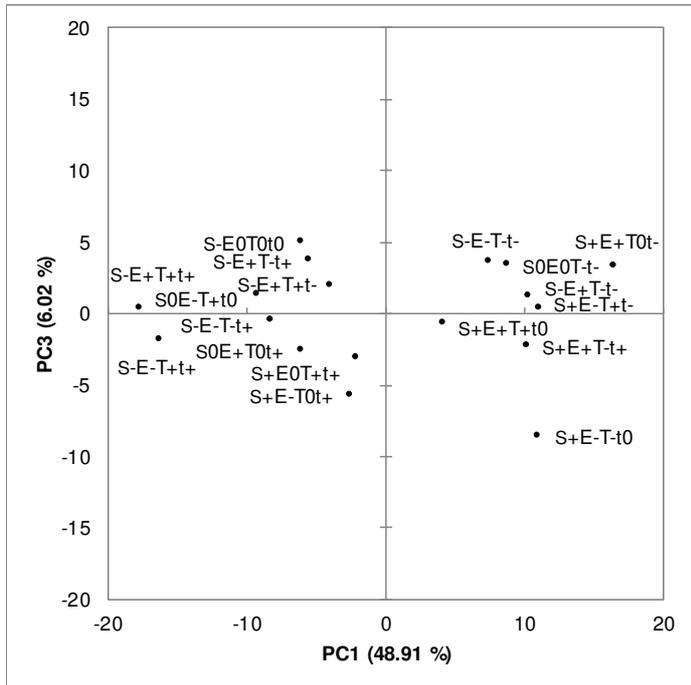


Figure 3 PCA score and loading plots on consumer liking.

S: sucrose, E: whole egg; T: baking temperature; t: baking time; low level (-); mid-level (0); high level (+); variables for PCA, cluster 1 (•); cluster 2 (•); supplementary variables (▪)

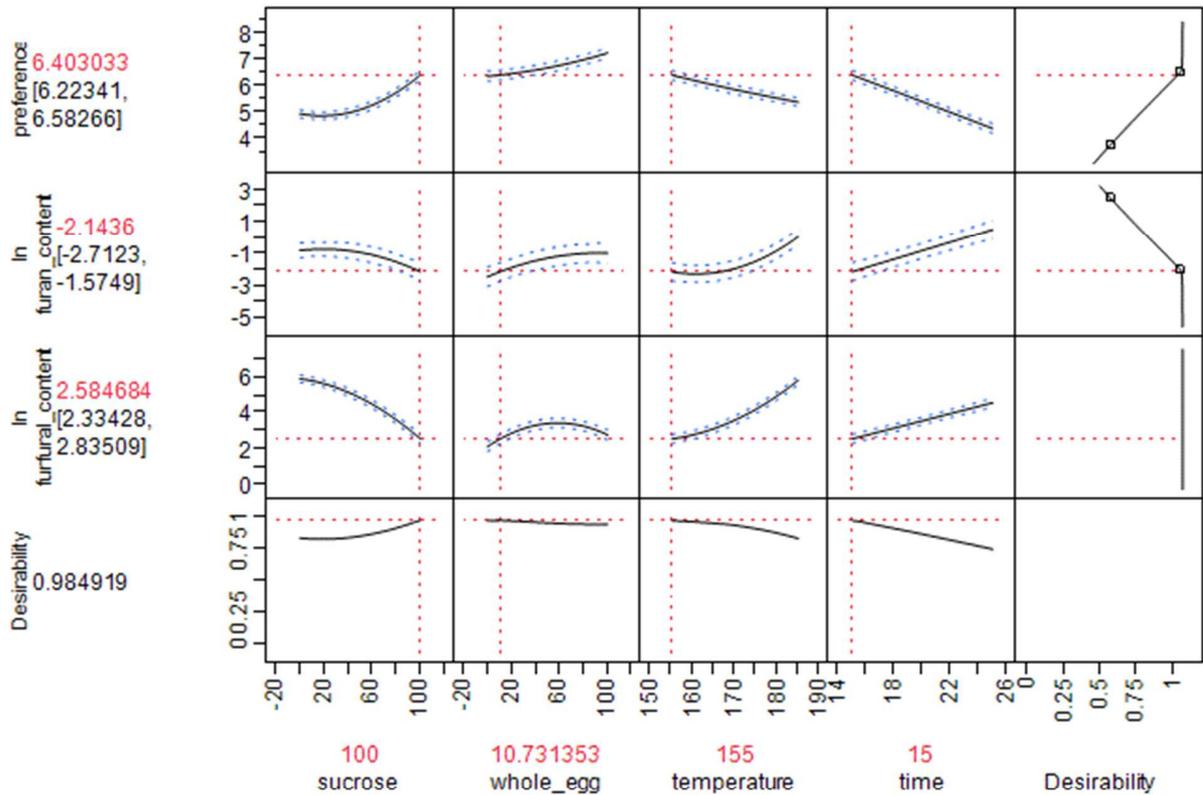
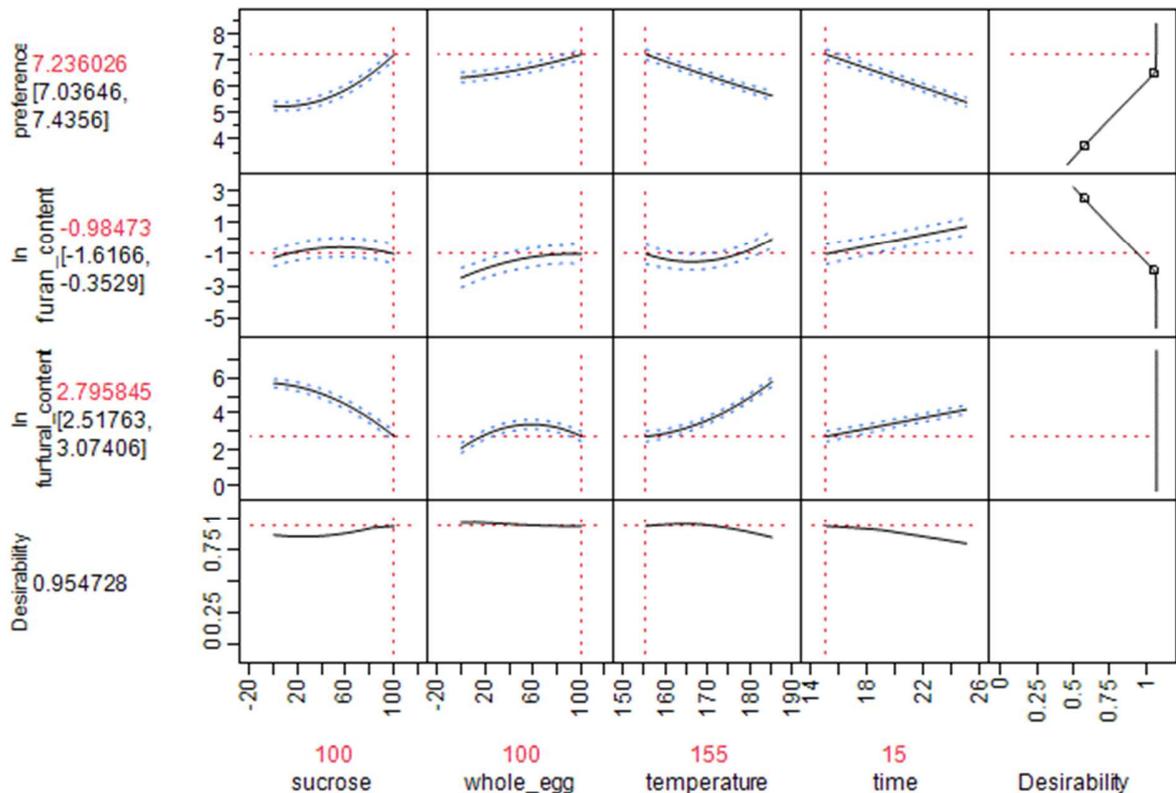
a**b**

Figure 4 Prediction profilers with desirability functions.

Response surface models for preference, logarithmic functions (ln) of furan and furfural content, and desirability function according to factors; desirabilities are defined as the maximum value for preference, minimum value for furan content and none for furfural content; (a) set of optimal formulation and baking conditions; (b) set of optimal values for sucrose, temperature and time and maximal value for whole egg.

Table 1 Optimal response surface design on sponge cake formulation and process conditions

Run	Sucrose	Whole egg	Baking temperature	Baking time
	<i>S (%)</i> ^a	<i>E (%)</i> ^b	<i>T (°C)</i>	<i>t (min)</i>
1	1	0	1	1
2	-1	1	1	-1
3	-1	1	-1	1
4	1	1	1	0
5	1	1	0	-1
6	1	-1	1	-1
7	-1	-1	-1	1
8	0	0	-1	-1
9	1	-1	0	1
10	1	1	-1	1
11	-1	-1	-1	-1
12	-1	0	0	0
13	1	-1	-1	0
14	-1	-1	1	1
15	-1	1	-1	-1
16	-1	1	1	1
17	0	1	0	1
18	0	-1	1	0

^a within sugar category; ^b within egg-based ingredient category

Table 2 Furan and furfural content according to experimental design along with response surface models

	<i>Run</i>	<i>Furan</i>	<i>Furfural</i>		<i>Parameter estimate</i>	<i>Furan</i> ^a	<i>Furfural</i> ^a	
content (ng g⁻¹ sample, dry basis)	1		179.5 ± 31.1	12297.5 ± 669.3	modeling	<i>Intercept</i>	1.E+02	7.E+01
	2		1.7 ± 0.2	3107.4 ± 53.9		<i>sucrose</i>	6.E-02	-5.E-02
	3		1.7 ± 0.3	1634.1 ± 12.1		<i>whole_egg</i>	1.E-01	5.E-02
	4		4.0 ± 0.2	877.2 ± 26.1		<i>temperature</i>	-1.E+00	-8.E-01
	5		0.5 ± 0.0	53.3 ± 58.4		<i>time</i>	-9.E-01	-2.E-01
	6		0.6 ± 0.1	168.2 ± 31.5		<i>sucrose*sucrose</i>	-2.E-04	-3.E-04
	7		7.1 ± 1.6	1687.3 ± 72.1		<i>sucrose*whole_egg</i>	2.E-04	4.E-05
	8		0.4 ± 0.0	218.8 ± 96.3		<i>whole_egg*whole_egg</i>	-2.E-04	-4.E-04
	9		4.2 ± 0.5	272.9 ± 14.0		<i>sucrose*temperature</i>	-3.E-04	3.E-04
	10		2.4 ± 0.2	70.8 ± 6.5		<i>whole_egg*temperature</i>	-5.E-04	-
	11		0.4 ± 0.1	296.2 ± 16.0		<i>temperature*temperature</i>	4.E-03	3.E-03
	12		4.7 ± 0.4	3751.4 ± 185.8		<i>sucrose*time</i>	-	-
	13		0.4 ± 0.1	26.3 ± 3.3		<i>whole_egg*time</i>	-1.E-03	-6.E-04
	14		721.3 ± 43.0	43861.8 ± 1749.3		<i>temperature*time</i>	7.E-03	3.E-03
	15		0.3 ± 0.0	279.3 ± 19.8		<i>time*time</i>	-	-
	16		155.6 ± 4.3	25781.7 ± 958.4				
	17		4.8 ± 0.5	1606.8 ± 20.4				
	18		176.8 ± 16.7	8710.8 ± 215.6				

means and 95% confidence intervals (n=3)

^a ln of content