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# What do stirred yogurt microgels look like? Comparison of laser diffraction, 2D dynamic image analysis and 3D reconstruction

**Marine Moussier**<sup>a</sup>, **Valérie Guénard-Lampron**<sup>b</sup>, Kevin Lachin<sup>a</sup>, Gabrielle Moulin<sup>a</sup>, Sylvie L. Turgeon<sup>b</sup>, Camille Michon<sup>a</sup>, **Delphine Huc-Mathis**<sup>a</sup>, **Véronique Bosc**<sup>a</sup>

<sup>a</sup>Ingénierie Procédés Aliments, AgroParisTech, Inra, Université Paris-Saclay, 91300 Massy, France <sup>b</sup>Dairy Science and Technology Research Centre (STELA), Institute of Nutrition and Functional Foods (INAF), Université Laval, Quebec City, Qc, G1V 0A6, Canada

#### 8 Graphical abstract

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#### 10 Highlights

- 11 Microgel size distributions were similar using LD, 2D and 3D techniques.
- <sup>12</sup> Microgels were non-spherical, rough and heterogeneous with the 2D and 3D analyses.
- Estimations of the fractal dimension were more reliable using LD and 3D than 2D.
- 14 LD was relevant, fast and versatile in accessing size and fractal dimension.
- 15 2D was faster and 3D more accurate in accessing both shape and fractal dimension.

#### 16 Abstract

17 Stirred yogurts can be considered as concentrated dispersions of microgels. The size, shape and fractal 18 dimension of these microgels are known to have a direct impact on textural and sensory properties of stirred 19 yogurts, consequently their thorough characterization is of interest. Different techniques can be used 20 including laser diffraction (LD), 2D dynamic image analysis or 3D reconstruction from z-stack confocal 21 images. The aim of this study was to compare the ability of the three techniques to describe the size, shape 22 and fractal dimension of the stirred yogurt microgels. Two stirred yogurts with different compositions, one 23 fat free (0.1 %) and one high fat (9.3 %), were used. The microgel size distributions obtained were similar 24 using LD, 2D image analysis and 3D reconstruction. Additionally, 2D image analysis and 3D reconstruction 25 enabled visualization of the microgels and access to their shape through morphological factors such as 26 roughness index. The microgels observed were non-spherical, rough and heterogeneous in shape. All three 27 techniques also made it possible to determine the fractal dimension of the microgels, but 2D image analysis 28 displayed lower values than LD and 3D reconstruction.

#### 29 Keywords

30 Size, Shape; Stirred yogurt microgels; Laser diffraction; 2D dynamic image analysis; 3D reconstruction.

#### 31 **1. Introduction**

32 From a structural point of view, stirred yogurts are concentrated dispersions of microgels (soft particles) whose diameters range from 10 to 100 µm (Sodini, Remeuf, Haddad, & Corrieu, 2004; Van Marle, 33 34 1998). In presence of fat, each microgel can itself be considered as an emulsion-filled gel, with fat dispersed 35 as droplets that interact with the protein network via the interface located on the surface of fat globules and 36 mainly composed of milk proteins. The term "microgels" is used for the entities obtained after the set yogurt 37 is stirred. Structurally speaking, these microgels are "aggregates" of primary particles of fat droplets and 38 proteins (mainly whey protein / casein micelle complexes). It is established that stirring causes profound 39 changes in the textural and sensory properties of the yogurts by breaking the continuous gel (*i.e.* set yogurt) 40 into microgels (soft particles) (Cayot, Schenker, Houzé, Sulmont-Rossé, & Colas, 2008; Lee & Lucey, 41 2006). (Shewan & Stokes, 2013) have also demonstrated that the properties of soft particle concentrated dispersions are directly impacted by the properties of the dispersed particles (microgels in the case of stirred 42 43 yogurts): their hardness, size distribution or shape. Having access to reliable data on particle size distribution 44 (PSD), the distributions of shape factors and average mass fractal dimension of the microgels is thus of 45 interest to understand the textural properties of stirred yogurts.

46 Laser diffraction (LD) particle size analysis (or static light scattering) is commonly used to access the 47 size distribution of stirred yogurt microgels (Chung, Degner, & Julian, 2014; Hahn, Sramek, Nöbel, & 48 Hinrichs, 2012; Huc, Michon, Bedoussac, & Bosc, 2016; Nöbel et al., 2016). This technique measures 49 particles ranging from 0.02 to 2,000  $\mu$ m in diameter. To do so, a laser beam of known wavelength ( $\lambda = 633$ 50 nm) irradiates the suspension to be analyzed, and detectors located at specific angles collect the intensity of 51 the light scattered by the particles. Assuming spherical particles with homogenous composition, the software 52 then uses the Mie theory to deduce a theoretical PSD from the light scattering results obtained with LD. To 53 successfully use the Mie theory, knowledge of the refractive and absorbance indexes of the dispersed 54 medium is required (Malvern Instruments Ltd., 2007). In the specific case of the stirred yogurt microgels, 55 these optical indexes are difficult to access. The question of the consistency of the LD size measurement of 56 complex systems like stirred yogurt microgels thus naturally arises. As this technique does not enable access 57 to shape, other techniques of image analysis can be used, compared and possibly combined with LD to 58 obtain the most accurate results possible.

59 2D dynamic image analysis is a recently developed technique that enables precise access to the PSD 60 and to the shape of different types of particles (Carugo et al., 2015; Mallipeddi, Saripella, & Neau, 2014; 61 Perez et al., 2017). This technique can be compared to a modern microscope using a pulsed light source and 62 a high speed mega-pixel camera (Köhler, Stübinger, List, & Witt, 2008; List, Köhler, Witt, Gmbh, & 63 Pulverhaus, 2011). Unlike laser diffraction analysis, image analysis directly records the properties of the 64 image of each particle to determine diameter and shape factors. 2D image analysis thus appears to be an 65 appropriate tool to access data concerning the morphology of stirred yogurt microgels. Many shape factors 66 are described in the literature for non-spherical microgels (convexity, roundness, circularity, sphericity or 67 roughness) and the definitions of these factors depends on the equipment and analytical technique used 68 (Hentschel & Page, 2003; Podczek, 1997; Yan & Su, 2017). However, the roughness index was the most
69 often used, because it is relevant regarding surface heterogeneity.

70 A variety of microscopic techniques are used to assess the microstructure of stirred yogurts 71 (Mortazavian, Rezaei, & Sohrabvandi, 2009). These include transmission electron microscopy (TEM), 72 scanning electron microscopy (SEM) and confocal laser scanning microscopy (CLSM), sometimes 73 associated with image analysis (Torres, Amigo Rubio, & Ipsen, 2012). Both TEM and SEM are high 74 resolution techniques, but sample preparation can be complex and quite expensive. Moreover, structure 75 artefacts due to the sample preparation are very often suspected. CLSM is a low-invasive alternative 76 requiring the staining of the compounds to be observed. In particular, this technique makes it possible to 77 obtain a series of two-dimensional images (x, y) by z-stacking. Using the appropriate software, these images 78 can be compiled and computed into a 3D representation. This technique was recently applied to food systems 79 such as continuous model gels (whey protein isolate/polysaccharide) (van den Berg et al., 2008) or soft apple 80 cells (Leverrier, Moulin, Cuvelier, & Almeida, 2017). However, to the best of our knowledge, CLSM 81 associated with 3D reconstruction has not yet been used to assess the size and shape of the microgels of 82 stirred yogurts.

Laser diffraction, 2D dynamic image analysis and 3D reconstruction can also be used to extract 83 84 information on the structure of the samples by means of the mass fractal dimension  $(D_f)$ . This structural 85 parameter is closely linked to the concept of fractal geometry (object having a structure independent of the scale of observation) and thus compactness. Fractal geometries were first mathematically introduced by 86 87 Mandelbrot (1975) in the mid-1970s and later used in the field of colloid and aggregates, thus opening a new 88 way of characterizing the structure of aggregates in terms of occupancy rate and compaction of the structure 89 in the volume of the aggregates (Andoyo, Guyomarc, & Burel, 2015; Mellema, Walstra, van Opheusden, & 90 van Vliet, 2002) or roughness and sphericity of the aggregates (Raper & Amal, 1993; Torres et al., 2012). It 91 has been accepted for many years (Forrest & Witten, 1979) that aggregates can be described as fractal-like 92 structures, meaning their mass scales with a characteristic radius through the use a specific dimension named 93 the mass fractal dimension. Unlike the topological dimension, which is stricly an integer (between 1 and 3), 94 the fractal dimension is usually a non-integer number. The use of accurate fractal dimensions thus makes it 95 possible to replace the conventional sphericity assumptions that can be used in modelling the relationship 96 between structural and textural properties.

97 As LD measurements are the most widely used in the dairy field, but have limitations, the first 98 objective of this study was to analyze its suitability for heterogeneous (in composition) and irregularly 99 shaped systems like microgels. This analyze of reliability was made by comparing the LD results with the 100 ones obtained with 2D image analysis and 3D reconstruction (from confocal images). The other objective 101 was to compare the ability of the three different techniques to provide information on the size, shape and 102 fractal dimension of stirred yogurt microgels. For this purpose, a fat free and a high fat commercial yogurts 103 were selected and diluted in purified water. The size distributions and the fractal dimensions of the microgels 104 were determined using all three techniques, whereas their shape factors (length and roughness index 105 distributions) were determined only using 2D and 3D image analyses. The different results obtained were 106 then compared and analyzed as a function of the technique.

#### 107 2. Materials and methods

#### 108 **2.1. Stirred yogurt sampling**

109 Two types of plain stirred yogurts from different commercial brands were purchased in the market. 110 Perle de Lait (Yoplait, France) was chosen for its high fat (F) content and its classic protein (P) content (9.3 g/100g fat, 3.2 g/100g protein). Taillefine Le Brassé 0% (Danone, France) was selected because it is fat free 111 112 and has a quite high protein content (0.1 g/100g fat, 4.5 g/100g protein). For the rest of the study, the stirred yogurt samples are referred as FP3 for Perle de Lait and P4.5 for Taillefine Le Brassé 0%. FP3 and P4.5 113 114 were chosen to have a similar aging time (based on their similar expiration dates). They were stored in the same conditions (i.e. at 4 °C). All the measurements were performed on two consecutive days. Purified water 115 116 used for the dilutions was obtained using a Milli-Q purification system (Millipore, Merck, Germany). It was 117 checked and proved that the level of dilution did not have a significant impact on the results. To achieve good sampling and homogeneity, each yogurt was gently mixed using a small spoon rotated 4 times from the 118 119 bottom of the pot towards the top, with a quarter turn between each movement. For this study, three dilutions 120 were performed from different pots of a same batch of FP3 and of P4.5.

121

#### 122 **2.2. Laser diffraction analysis**

123 Stirred yogurts were diluted 1:10 (w/w) with purified water in a 100 mL pot and the microgels were dispersed by reversing the pot several times. Size distributions were measured by laser diffraction with a 124 125 MasterSizer 2000 (Malvern Instruments, UK). To achieve a constant level of obscuration, only some drops 126 of 1:10 diluted stirred yogurts were poured in dispersant tank for the measurement (three repetitions), 127 resulting in a total dilution of 1:100. A refractive index of 1.33 for water and 1.46 for the microgels (refractive index of milk proteins), and an absorption index of 0.01 for the microgels were used (Huc et al., 128 129 2016). Several data were deduced from the PSD (Malvern Instruments Ltd., 2007): size volume distribution, 130 particle sizes representing less than 10% (d(0.1),  $\mu$ m), 50% (median diameter d(0.5),  $\mu$ m) and 90% (d(0.9),  $\mu$ m) of the sample, volume (D[4,3],  $\mu$ m) and surface (Sauter mean diameter D[3,2],  $\mu$ m) weighted mean 131 132 diameters (D[m,n], Eq. 1) and width of the distribution (span, Eq. 2).

$$D[m,n] = \left[\frac{\sum volume_i \times d_i^{m-3}}{\sum volume_i \times d_i^{n-3}}\right]^{\frac{1}{m-n}}$$
Eq. 1

$$span = \frac{d(0.9) - d(0.1)}{d(0.5)}$$
 Eq. 2

In addition, it was also possible to extract the fractal dimension of the microgel aggregates from the scattering data. The light scattered by porous aggregated structures entails more modeling complexity than 135 the scattering of solid homogenous spheres. One way to overcome this problem is to use the Rayleigh-Gans-

136 Debye theory (Gregory, 2009; Sorensen, 2001). Assuming the primary particles that comprise the aggregate

137 behave like Rayleigh scatterers (*i.e.* the diameter of the initial particles is much smaller than the wavelength

138 of the incident beam  $\lambda$ ), it is possible to introduce a structure factor S(q) in the expression of the light

139 scattered intensity I(q) so that (Eq. 3):

$$I(q) \propto S(q) * P(q)$$
 Eq. 3

140 where P(q) is the form factor and is due to primary particles. q (m<sup>-1</sup>) is the scattering vector and is expressed 141 by Eq. 4, where  $\theta$  is the scattering angle and *n* the refractive index of the dispersing medium.

$$q = 4\pi \frac{n}{\lambda} \sin\left(\frac{\theta}{2}\right)$$
 Eq. 4

As  $q^{-1}$  represents the characteristic length probed with the light scattering measurement, information on the aggregate structure can only reasonably be extracted for  $q^{-1}$  values so that  $r_0 << q^{-1} << R_{ag}$ , where  $r_0$ denotes the characteristic size of the primary particles, and  $R_{ag}$  (m) the characteristic size of the aggregates. Under this condition, the structure factor depends on the fractal dimension, and it is thus possible to write the proportionality relation between the intensity of the light scattered and the structure factor as stated by Eq. 5.

$$I(q) \propto q^{-D_f}$$
 Eq. 5

147 Using a Log-Log scale plot, it was thus possible to access the mean mass fractal dimension of the 148 sample by simply determining the slope of the scattering plot in the above-mentionned  $q^{-1}$  region (see Fig. 5) 149 in Supplementary material). This theory has been successfully applied in several studies involving colloidal 150 suspensions, particularly latexes, well calibrated in size and shape (Burns, Yan, Jameson, & Biggs, 1997; Lachin et al., 2017; Selomulya, Amal, Bushell, & Waite, 2001). More closely connected with the food and 151 dairy industries, some successes have been achieved in the light scattering study of model casein and 152 153 micellar casein aggregates (Chardot, Banon, Misiuwianiec, & Hardy, 2002; Panouillé, Durand, Nicolai, 154 Larquet, & Boisset, 2005; Vétier, Banon, Chardot, & Hardy, 2003).

#### 155

#### 2.3. 2D dynamic image analysis

156 Dynamic image analysis was performed using a QICPIC/R modular particle size and shape analyzer 157 and a LIXELL wet dispersing unit (Sympatec GmbH, DE). A precision M4 lens measuring from 1 to 750 µm with a 0.5 mm cuvette was used. Stirred yogurts were diluted 1:2000 (w/w) with purified water in a 1000 mL 158 159 beaker to disperse the microgels and the dispersed microgels were then stirred at 100 rpm for 1 min and pumped into the dispersing unit with a peristaltic pump (Masterflex L/S Model 77201-60, Cole-Parmer, FR) 160 at a flow rate of 25 mL/min. For each dilution, two 30-second image acquisitions were performed at 10 Hz. 161 162 The images were processed using PAQXOS application software (PAQXOS, Version 2.2.2, Sympatec 163 GmbH, DE). Size measurement data such as volume distribution, d(0.1), d(0.5), d(0.9), D[4,3] and D[3,2]164 were retrieved from the image analysis. The diameters of the equivalent surface circle of microgels and 165 maximum ( $F_{max}$ ,  $\mu$ m) and minimum ( $F_{min}$ ,  $\mu$ m) Feret diameters, derived respectively using the maximum and

minimum distance between two tangents of the contour of the particle, were determined by the software. The
width of the distribution (span) was calculated by Eq. 2. The software was also able to determine shape
factors including the roughness index (Eq. 6).

$$roughness (2D) = \frac{perimeter of equivalent circle}{real perimeter}$$
Eq. 6

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170 The results of the dynamic image analysis made it possible to measure fractal dimensions. Some 171 studies have already proposed methods of calculating two-dimensional fractal dimensions (D<sub>2</sub>) from image analysis (Jiang & Logan, 1991; Serra & Casamitjana, 1998). The two-dimensional fractal dimension was 172 173 determined by the relationship between the area (A) of the microgels and their maximum Feret diameter 174  $(F_{max})$  (Eq. 7). In the specific case of the calculation of the two-dimensional fractal dimensions, the microgels 175 below 10  $\mu$ m in diameter were not selected due to their low image resolution (1  $\mu$ m = 1 pixel). For each 176 yogurt analysis, 7,000 microgel images were randomly selected and classified according to their roughness index. For each class of roughness, a plot Log(A) vs.  $Log(F_{max})$  was performed. A weighted average of these 177 178 classes was performed to determine a representative D<sub>2</sub> value of all measured stirred yogurts.

$$A \propto Fmax^{D_2}$$
 Eq. 7

179

Using simulated aggregates, Lee & Kramer (2004) found a relationship between the two-dimensional fractal dimension ( $D_2$ ) obtained from image analysis and the three-dimensional fractal dimension ( $D_3$ ) from the laser diffraction results (Eq. 8). The equation was validated by comparing experimental  $D_3$  (laser diffraction and electrical sensing) with simulated  $D_3$  on different particles, particularly spherical ones (Baalousha, Manciulea, Cumberland, Kendall, & Lead, 2008; Lee & Kramer, 2004).

$$D_3 = 1.391 + 0.01e^{2.164D_2}$$
 Eq. 8

#### 185

#### **2.4. 3D reconstruction from confocal images**

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#### 2.4.1. Acquisition by confocal microscopy and 3D processing

187 The stirred yogurts were first diluted 1:100 (w/w) with purified water in a 100 mL pot, and the microgels were then gently dispersed by reversing the pot several times. The proteins that made up the 188 189 microgels were then stained by mixing 250 µL of this solution with 2.5 µL of DyLight 488 nm (Thermo 190 Fisher Scientific, Waltham, MA, USA) (one repetition per dilution). Confocal images were acquired with a 191 TCS SP8 AOBS inversed confocal laser scanning microscope (CLSM) (Leica, Solms, Germany) equipped 192 with a Helium-Neon laser (458 nm excitation wavelength) and an Argon laser (633 nm excitation 193 wavelength). From 93 to 195 images (x,y) were acquired by z-scan (0.8  $\mu$ m steps) with a magnification ×40. 194 For each sample, the z-stacks obtained were combined and processed to reconstitute the 3D microgels using Scan IP<sup>TM</sup> software (version 7.0, build 2656, © 2000–2014 Simpleware Ltd.). The different processing steps 195 196 are based on the work of Leverrier et al. (2017) and are illustrated in Fig. 1. The 2D confocal images (x, y) of each z-series were first combined into a 3D reconstruction. A median filter was then applied to the background of the images (neighborhood radius of  $1 \times 1 \times 1$  pixel) to eliminate noise. By comparison with the initial confocal images, a threshold was eventually chosen to select the level of grey that differentiated the stained microgels from the background. The 3D reconstitutions shown here were chosen as being representative of the replications.



Fig. 1 Processing steps used to reconstitute the 3D microgels of stirred yogurt: 1) z-acquisition of 2D confocal images (x, y) (proteins in green); 2) 3D reconstruction and application of a median filter  $(a \rightarrow b)$ ; 3) 3D identification of the microgels.

#### 202 **2.4.2.** Data computation from 3D reconstruction

From the 3D reconstructions, Scan IP<sup>TM</sup> software provided several data on both size and shape, some 203 of which were either recovered or processed in this study. First, the software provided the number of 204 205 individual microgels identified in the 3D reconstruction and their corresponding volume ( $\mu m^3$ ). In order to 206 obtain the size distribution of the microgels in equivalent sphere, their volumes were discretized (logarithmic scale). To ensure good quality discretization, at least eight classes were required to plot each distribution (*i.e.* 207 with volume fractions greater than 0%), with a minimum of two classes per decade. Like with laser 208 diffraction, d(0.1) (µm), d(0.5) (median diameter, µm), d(0.9) (µm), D[3,2], D[4,3] and span were retrieved 209 from the reconstituted size distribution. From the volume of each microgel, their equivalent sphere diameter 210 (Eq. 9) then the surface of their equivalent sphere (Eq. 10) were calculated. A roughness index of the 211 212 microgels was calculated by dividing the surface of an equivalent sphere by the real surface ( $\mu m^2$ ) given by 213 the software (Eq. 11). For each microgel, the volumes of the oriented bounding ellipsoid and the 214 corresponding minor, medial and major lengths (µm) were also obtained using the same software. The 215 distributions of the roughness index, minor length and major length were plotted by discretizing the data 216 (using the volume of equivalent sphere).

equivalent sphere diameter 
$$(\mu m) = \sqrt[3]{\frac{6 \times volume}{\pi}}$$
 Eq. 9

surface of equivalent sphere 
$$(\mu m^2) = \pi \times \left(\sqrt[3]{\frac{6 \times volume}{\pi}}\right)^2$$
 Eq. 10

$$roughness (3D) = \frac{surface \ of \ equivalent \ sphere}{real \ surface}$$
Eq. 11

It was also possible to use the data provided by the 3D processing to estimate the mean fractal dimension of the sample concerned. By definition of the fractal scaling, the mass of a fractal aggregate  $m_{ag}$ (kg) composed of initial particles of radius  $r_0$  (m) and mass  $m_0$  (kg) can be linked to the characteristic cluster size  $R_{ag}$  so that (Bushell, Yan, Woodfield, Raper, & Amal, 2002; Gregory, 2009; Lazzari, Nicoud, Jaquet, Lattuada, & Morbidelli, 2016) (Eq. 12):

$$n_p = \frac{m_{ag}}{m_0} = k_0 \cdot \left(\frac{R_{ag}}{r_0}\right)^{D_f}$$
 Eq. 12

where  $n_p$  stands for the original number of particles in the aggregate. The radius of gyration is often taken as the characteristic aggregate size. However, as mentioned by Lazzari et al. (2016), any characteristic length of the aggregate can be used instead. The shape of the relation remains identical, but the effective value of  $k_0$ changes. The effective density  $\rho_e$  of a fractal aggregate (taking its porosity into account) is proportional to  $R_{ag}$  as presented by Eq. 13 (Gregory, 2009):

$$\rho_e \propto R_{ag}^{3-D_f}$$
 Eq. 13

228

The 3D reconstruction did not allow the determination of the mass of each single aggregate. However, it provided values for the volume of each aggregate  $V_{ag}$  (m<sup>3</sup>) and its surface envelope  $S_{ag}$  (m<sup>2</sup>). In this study, it was chosen to use the ratio  $V_{ag}/S_{ag}$  as the characteristic length of the aggregates. By combining the two last mentioned relations (Eq. 12 and Eq. 13), it was then possible to find a proportionality relation between the volume of the aggregates and its volume-over-surface ratio so that (Eq. 14):

$$V_{ag} \propto \left(\frac{V_{ag}}{S_{ag}}\right)^{D_f/_{3-D_f}}$$
 Eq. 14

234

Thus, by plotting  $Log(V_{ag})$  vs.  $Log(V_{ag}/S_{ag})$  (see Fig. 5 in Supplementary material) for all the stirred yogurt microgels, and extracting the slope of the linear correlation, it was possible to estimate the average mass fractal dimension of the microgels.

238 **2.5. Statistical analysis** 

239 Statistical analyses were performed using XLSTAT 2015.1 software (Addinsoft, Paris, France). 240 Analysis of variance (ANOVA) was used to evaluate differences between values using Tuckey's test. A 241 significance level of p < 0.05 was used.

#### 242 **3. Results and discussion**

The size distribution, shape and fractal dimension of the stirred yogurt microgels were measured using the three techniques (laser diffraction and/or 2D image analysis and 3D reconstruction) and are reported in the following tables and figures in order to evaluate the suitability, advantages and limitations of the three techniques. The two stirred yogurts (FP3 and P4.5) are rarely compared since they are intentionally chosen as being different to compare techniques in two systems representative of the variety of stirred yogurt microstructures.

#### **3.1.** Comparison of microgel size distributions (LD, 2D, 3D)

Fig. 2 shows the size distributions obtained using the three measurement techniques and, below, some 250 data that are characteristic of these distributions. For both FP3 (Fig. 2 A) and P4.5 stirred yogurts (Fig. 2 B), 251 252 the distributions obtained by laser diffraction, 2D image analysis and 3D reconstruction were all unimodal 253 and rather overlapped for a given stirred yogurt. The FP3 microgels were smaller than those of the P4.5 254 stirred yogurt, with a median size between 10 and 16  $\mu$ m for FP3 and between 17 and 24  $\mu$ m for P4.5. These 255 results mainly indicate that the three techniques are consistent. Moreover, the orders of magnitude of the 256 obtained sizes are in accordance with measurements made by some authors who used laser diffraction or 257 CLSM for different stirred yogurts (Cayot et al., 2008; Hahn et al., 2015; Huc et al., 2016). The differences 258 between the two stirred yogurts (Fig. 2 A and B) were certainly mainly due to their composition and their 259 stirring process, which are known to have the most impact on microgel size (Mokoonlall, Nöbel, & Hinrichs, 2016; van Marle, van den Ende, de Kruif, & Mellema, 1999). 260



Abbreviations: "LD: laser diffraction, "2D IA: 2D dynamic image analysis, "3D R: 3D reconstruction

**Fig. 2** Size distributions obtained using laser diffraction (dotted lines), 2D dynamic image analysis (dashed lines) and 3D reconstruction (solid line). The tables give the diameters and descriptive parameters corresponding to the different size distributions. The table on the left shows data for the FP3 microgels (**A**) and the table on the right shows data for the P4.5 microgels (**B**). Values with different letters in the same row differ significantly at p < 0.05.

261

Although unimodal and in the same size ranges (similar order of magnitude), the distributions obtained also showed some differences depending on the measurement technique used, mainly for bigger sizes. For the FP3 stirred yogurt (Fig. 2 A), the LD measurement displayed the broadest distribution resulting in a significantly higher span. The size distributions obtained from 2D image analysis and 3D reconstruction had similar spans, but the 2D sizes were significantly bigger (d(0.5), d(0.9), D[4,3]). For the P4.5 stirred yogurt (Fig. 2 B), the 3D distribution differed from that of the LD and 2D distributions, in particular by being significantly narrower (smaller span) and by displaying fewer big microgels (smaller d(0.9) and 269 D[4,3]). Several authors also reported that the size distributions differed with the technique used when the 270 particles were non-spherical particles. Yu & Hancock (2008) showed that the LD size distributions of 271 elongated microcrystalline cellulose particles (150-250 µm) were wider than their 2D distributions measured 272 by dynamic image analysis. Califice et al. (2013) demonstrated that 2D dynamic image analysis tended to 273 overestimate/underestimate the size of non-spherical particles (50-500 µm elongated metallic particles) 274 compared to 3D reconstruction values obtained from X-ray microtomography images. The literature 275 explained the differences in size distributions by both the measurement technique and the method of 276 calculation used (Califice et al., 2013; Köhler et al., 2008; Tinke et al., 2008; Yu & Hancock, 2008). In the 277 present study, LD hypothesized that the particles analyzed were spherical. The calculation of the 2D 278 diameter corresponded to the diameter of a circle of equal projection area (EQPC) and depended on the orientation of the microgel when measured. With 3D reconstruction, the measurement was protein-specific 279 280 (CLSM staining) and the calculated diameter corresponded to the diameter of the equivalent sphere in 281 volume (and did not depend on the orientation of the microgel). All these differences between the techniques 282 likely explain the slight discrepancies shown in Fig. 2 for each of the stirred yogurts and suggest their 283 microgels were not spherical. Further analysis of the microgel shape was thus performed to better understand 284 the differences in size distribution, to compare the techniques and to characterize the stirred vogurt microgels 285 more precisely.

#### 286

#### **3.2.** Comparison of the shape of the microgels (2D, 3D)

287 2D image analysis and 3D reconstruction were both used to determine the microgel shape. Fig. 3 A 288 illustrates how the characteristic lengths were obtained from 2D ( $F_{max}$  and  $F_{min}$ ) and 3D (major and minor) 289 analyses. Fig. 3 B(a) and C(a) below show the distributions of the different lengths for FP3 and P4.5 stirred 290 yogurts, respectively. These length distributions are classically used to provide information about the shape 291 (spherical or elongated) of the particles (Califice et al., 2013; Yu & Hancock, 2008). When microgels are 292 spherical, the maximum length is obviously the same as the minimum length (Yu & Hancock, 2008). Here, 293 minor and  $F_{min}$  length distributions were smaller than major and  $F_{max}$  distributions for FP3 and P4.5, 294 indicating that stirred yogurt microgels are not spherical, as previously suspected based on differences in size 295 distributions obtained with the LD, 2D dynamic image analysis and 3D reconstruction. These results are in 296 agreement with the fresh cheese microgels observed by Hahn et al. (2014) using CLSM, which were also 297 irregular in shape. In addition, the P4.5 length distributions obtained from the 2D image analysis were 298 broader than those obtained from 3D reconstruction. The differences between the 3D lengths (i.e. between 299 minor and major) were more important than the differences between the 2D lengths (i.e. between  $F_{min}$  and 300  $F_{max}$ ). These results reveal some differences between the 2D and 3D distributions that can mainly be 301 explained by the way the lengths were obtained with each technique (Fig. 3 A). From the 2D image analysis, 302  $F_{min}$  and  $F_{max}$  lengths could be biased by the orientation of microgels when measured (orientated lengthwise 303 due to the flow). A similar concern has been expressed for irregular concrete aggregates (Cepuritis, 304 Garboczi, Jacobsen, & Snyder, 2017). With 3D reconstruction, the microgel may not be in direct contact 305 with the ellipsoid edge to encompass the entire microgel (in length, width and thickness) (Fig. 3 (A)). This

technique may therefore overestimate the minor and major lengths. Based on X-ray microcomputed tomography, Cepuritis et al. (2017) reported that 3D minor and major lengths depended on the dimension of the rectangular box enclosing the particle. In addition, in the present study, there were more differences between the two techniques for the P4.5 stirred yogurt. This result showed that P4.5 stirred yogurt microgels are more heterogeneous in shape (with more different types of elongation) than FP3 ones.

Images (*b*) and (*c*) in Fig. 3 B and C, show the stirred yogurt microgels obtained using 3D reconstruction (from the z-stack confocal images) and 2D images analysis, respectively, confirming that the microgels were very heterogeneous in size and shape. This is in agreement with the results of Hahn et al. (2015), who observed CLSM images of fresh cheese under different processing conditions. 2D images of FP3 and P4.5 stirred yogurts also showed different degrees of microgel compactness (Fig. 3 (*c*)). For example, the enlarged #1 microgels obtained from the screenshots (2D image analysis) appear to be more compact than the #2 ones (Fig. 3 B (*c*) and Fig. 3 C (*c*)).



**Fig. 3** Details of microgel lengths (major, Fmax, minor, Fmin) (**A**) studied for FP3 (**B**) and P4.5 (**C**) stirred yogurts through: (*a*) the length distributions (average curves) obtained from 2D image analysis (dashed lines) and 3D reconstruction (solid lines), (*b*) the 3D reconstructions and (*c*) a screenshot of the movie processed throughout 2D image analysis.

Fig. 4 presents the roughness index distributions obtained for FP3 (A) and P4.5 (B) stirred yogurts. The roughness index value ranges from 0 to 1 and describes the surface unevenness on the microgels. The index tends towards 1 for microgels with no unevenness (*i.e.* a smooth circle (2D) or sphere (3D)).

322 For each technique considered independently, the roughness distributions of the two stirred yogurts 323 were globally similar, even if that of P4.5 was slightly broader. The differences in the yogurt compositions 324 and stirring processes could explain this slight difference in roughness distributions. However, there were 325 bigger differences between the 2D and 3D roughness distributions. Using 3D reconstruction, the distributions 326 were narrow and unimodal, with a median roughness of 0.8, whereas using 2D images analysis, they displayed a main peak with a shoulder, with a first peak at 0.6 and a second one at 0.8-0.9. The 2D 327 distributions were also broader (from 0.2-0.3 to 1) than 3D ones (0.4-0.5 to 1). These results indicate that the 328 329 stirred yogurt microgels appears less uniform in roughness with 2D images analysis. The difference could be 330 explained by the processing steps used to reconstitute the 3D microgels. The application of a median filter 331 and the selection of a threshold (subsection 2.4.1 and Fig. 1) could smooth the microgel surfaces (i.e. the 332 boundary between the background and the microgels) and therefore underestimate the width of the roughness 333 distributions.

Although these microgels tended towards a smooth surface (roughness mostly between 0.7 and 0.8), the range of widths of the distribution underlined the heterogeneity of the stirred yogurt microgels that can be linked to microgel size. Some studies already linked the shape of the particles such as the roughness index, to their size (Yan & Shi, 2014; Zhou & Wang, 2017). In the present study, the roughness index decreased (*i.e.* surface unevenness was greater) in bigger microgels (data not shown). Rougher microgels are probably due to the bigger size (> 30  $\mu$ m) of microgels that were mostly measured using 2D analysis rather than 3D reconstruction (subsection 3.1).

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Fig. 4 Weighted average curves (three repetitions) of the roughness index distributions obtained from 2D image analysis (dashed lines) and 3D reconstruction (solid lines) for FP3 (A) and P4.5 (B) stirred yogurts.

#### 342 **3.3.** Comparison of the microgel fractal dimension (LD, 2D, 3D)

All three techniques were used to estimate the microgel fractal dimension of the two stirred yogurts (FP3 and P4.5). For the LD measurements, the slopes were extracted with very high regression coefficients (higher than 0.99). For 3D reconstruction, the linear regression also proved to be very high, with values 346 systematically higher than 0.96. With 2D dynamic image analysis, the two-dimensional fractal dimensions 347 (D<sub>2</sub>) were also extracted with very high regression coefficients, *i.e.* higher than 0.91. These high values 348 indicated excellent fitting, thus allowing high confidence in the results obtained using these techniques 349 (Table 1).

Table 1 Average fractal dimensions obtained from laser diffraction, 2D image analysis and 3D reconstruction. Values 350 351 with different letters in the same column differ significantly at p < 0.05.

Technique	FP3	P4.5
Laser diffraction	$2.31 \pm 0.01 \ a$	$2.37 \pm 0.03 \ a$
2D image analysis	$2.05 \pm 0.02 c$	$2.08 \pm 0.02 \ c$
3D reconstruction	$2.26 \pm 0.01 \ b$	2.27 ± 0.03 <i>b</i>

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353 The average of the mass fractal dimensions  $(D_f)$  obtained from LD and 3D reconstruction were similar 354 even if significantly different, with values around 2.3 for the two samples. These values are in good agreement with values reported in the literature for fermented stirred milk gels (van Marle et al., 1999). In 355 conventional studies on Brownian aggregation of particles (generally latex suspensions), the obtained  $D_f$ 356 357 values are discussed in the frame of two limiting regimes. Such studies are conducted under low volume concentrations during aggregation (typically  $10^{-3} - 10^{-4}$  %), ensuring the validity of the theory. When there is 358 359 no energy barrier between the colliding particles, each collision leads to aggregation. This regime is called 360 "diffusion limited aggregation" (DLA) and results in loose open structures with  $D_f$  around 1.7 – 1.8. When 361 the repulsion forces are still significant, the particles can penetrate the aggregate structure before adhering. 362 This regime is called "reaction limited aggregation" (RLA) and leads to denser aggregates, with  $D_f$  around 363 2.1. In the present study, the volume fractions of milk proteins (before any dilution) were higher than 1% in 364 both stirred yogurts (FP3 and P4.5), which explains why the values obtained were significantly higher 365 (Bremer, van Vliet, & Walstra, 1989). In addition, the colloidal calcium phosphate, which ensures the structure integrity of the casein micelles, dissolves during acidification. This dissolution results in the 366 loosening of the micelles (increasing their volume), which likely promotes the compaction of the protein 367 368 aggregates due to loss of repulsive interactions and thus leads to denser structures (Andoyo et al., 2015).

The  $D_f$  value calculated from 3D reconstruction could be considered as the most accurate of the three 369 techniques, because it relies on direct visualization of the aggregates and assumes no strong assumption. 370 371 However, the LD technique proved to be a very good alternative technique to obtain  $D_f$  as the differences 372 between LD and 3D were very small. However, the values obtained using 2D image analysis and the equation proposed by Lee & Kramer (2004) differed more from 3D measurements. Estimating  $D_f$  from 2D 373 374 image analysis using this equation thus appears to be questionable in the case of stirred yogurt microgels. 375 Lee & Kramer (2004) reported underestimation of  $D_f$  in the case of E. coli aggregates and explained that it 376 was partly because *E. coli* were not spherical, which could also be the case of the stirred yogurt microgels.

#### 378 **3.4.** Comparison of the advantages and limitations of LD, 2D and 3D

To complete the comparison of the performances of the three techniques, Table 2 summarizes the size distribution range, the measurement conditions, the time needed for measurement and data treatment per sample, the properties obtained directly or calculated from the data as well as the assumptions and weaknesses.

While LD, 2D image analysis and 3D reconstruction proved to be quite consistent in characterizing 383 384 stirred yogurt microgels, Table 2 shows that they each had their advantages and limitations. The LD 385 technique mainly assumes that the analyzed particles are homogeneous and spherical, which has been shown 386 (subsection 3.1) to lead to overestimation of the bigger particles and/or underestimation of the smaller 387 particles when measuring the microgel sizes of the stirred yogurts. Moreover, this technique requires 388 refractive and adsorption indexes, which can be difficult to estimate for complex systems composed of 389 different ingredients. However, in the case of the stirred yogurt microgels, these indexes were not 390 problematic since no variation in the size distribution was observed when their values varied (due to the 391 sufficiently large size of the microgels). Although LD obviously does not allow access to shape factors, it is 392 quick and user-friendly for accessing the size distribution and the fractal dimension. It also makes it possible 393 to measure particles less than a micron in size, which is not the case of the 2D and 3D techniques presented 394 here (limited by their optical geometry characteristic).

Table 2 Comparison of laser diffraction, 2D image analysis and 3D reconstruction. Information in **bold** indicates the
 advantages of each technique.

Technique	Laser diffraction	2D image analysis	3D reconstruction
Equipment	MasterSizer 2000 (Malvern)	QICPIC/R and LIXELL (Sympatec)	CLSM (Leica) and Scan IP <sup>TM</sup> (Simpleware)
Size range	0.02 to 2,000 µm	1 to 750 µm (M4 lens)	0.532 μm ( <i>i.e.</i> pixel) to a few millimeters
Measurement conditions	Dilution 1:100, Agitation, Pumping	Dilution 1:2000, Agitation, Pumping	Dilution 1:100, Staining
Measuring time per sample	10 min	30 sec	30 min
Time needed for data treatment per sample	10 min	30 min	1 h
Properties obtained directly	Size	Size, Shape factors, Visualization of microgel projection (2D)	-
Calculated properties	Fractal dimension	Fractal dimension	Size, Shape factors, Fractal dimension, <b>realistic</b> visualization of the microgels (3D)
Assumptions and limitations	<ul> <li>(i) Particles considered as homogeneous and spherical</li> <li>(ii) Need for refractive and absorption indexes</li> <li>(iii) No access to particle shape</li> </ul>	<ul> <li>(i) Data based on projected areas of the particles</li> <li>(depending on their orientation)</li> <li>(ii) Low camera resolution</li> <li>(iii) Need for low</li> <li>concentrations of particles</li> </ul>	<ul> <li>(i) Threshold to select pixels of interest (identification of the stained particles)</li> <li>(ii) Small number of particles</li> <li>(iii) Time consuming data processing</li> </ul>

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As mentioned above, 2D dynamic image analysis cannot reasonably measure sizes smaller than 1  $\mu$ m, and this needs to be taken into account when studying food structures that can be below this threshold

400 (colloidal systems, for example). The first limitation is that although the mass fractal dimension can be 401 estimated using a specific relation reported in the literature, (subsection 3.3) its use was shown to be 402 questionable in the case of the stirred yogurt microgels. Moreover, the time required to process the data is 403 quite long, and this technique analyzes the projected areas (2D) of the measured particles, which may depend 404 on their orientation during measurement. On the other hand, it has the advantage of allowing a very large 405 number of particles to be analyzed, which should offsets the orientation bias. Moreover, it enables relatively 406 rapid measurement and direct access to the size and shape properties. It provided a 2D view of the particles 407 that revealed that the microgels were not spherical, but showed varying degrees of roughness, and were 408 sometimes porous (fractal dimension) in stirred yogurt.

409 The smallest size that can be measured with 3D reconstruction depends on the resolution of the 410 microscope and may be high (*i.e.* allowing to observe small sizes) in food structure analysis. Data acquisition 411 is time consuming and the analysis of the properties of size, shape and fractal dimension requires complete 412 data processing. Moreover, a threshold has to be chosen to select pixels of interest (identification of the 413 stained microgels). The results showed in subsections 3.1, 3.3 and 3.3 demonstrated that the choice made for 414 this study was appropriate in the case of the stirred yogurt microgels studied here. One of the advantages of 415 the 3D technique (using CLSM) is the limited shear undergone by the particles. This is particularly relevant 416 for the study of brittle systems such as stirred yogurt microgels and most food matrices. Based on molecule staining, it also allows the selection of specific compounds within the particles and tailored measurement of 417 418 the structure. The main strength of 3D reconstruction is that it enables full visualization of the particles, with 419 no orientation bias or sphericity assumption. This specificity was particularly useful in the present study 420 since it offered the opportunity to clearly observe the diverse sizes and shapes of the yogurt microgels.

#### 421 **4. Conclusions**

422 Laser diffraction, 2D dynamic image analysis and 3D reconstruction were shown to be relevant and 423 complementary for the characterization of the size (through PSD), shape and fractal dimension of 424 heterogeneous (in composition) and irregularly shaped systems like stirred yogurt microgels. By comparing LD with 2D image analysis and 3D reconstruction on two different stirred yogurts, we showed that LD was 425 426 fully relevant to access the size distribution and the mean mass fractal dimension of non-spherical yogurt 427 microgels. The use of 2D dynamic image analysis and 3D reconstruction also raised the question of the characterization of the shape of the stirred yogurt microgels. While rarely used for food systems, 2D 428 429 dynamic image analysis proved to be advantageous to visualize the microgels and quickly estimate their 430 morphological parameters. 3D reconstruction also has very useful features as it enables access to shape 431 factors while avoiding the possible bias resulting from particle orientation using 2D analysis. However, the 432 3D technique usually entails time consuming sample preparation and analysis, and is thus not really to be 433 recommended for routine analysis. This comparison of the three techniques provides useful guidelines for 434 studying complex food systems. Moreover, these techniques can offer new perspectives to accurately explain 435 the relationship between the microstructure and the macro-scale properties (such as flow properties) of a 436 food system at each step of its processing chain.

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#### 446 Supplementary material



**Fig. 5** Graph of principle used to obtain the fractal dimension from the laser diffraction data (**A**) and the 3D reconstruction data (**B**) where q (m<sup>-1</sup>) is the scattering vector, I(q) is the light scattered intensity,  $V_{ag}$  (m<sup>3</sup>) is the volume and  $S_{ag}$  (m<sup>2</sup>) the surface envelope of each aggregate.

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