

Multi-excitation hyperspectral autofluorescence imaging for the exploration of biological samples

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6 7	Mahdiyeh Ghaffari ^{1,2} , Anne-Laure Chateigner-Boutin ³ , Fabienne Guillon ³ , Marie-Françoise Devaux ³ , Hamid Abdollahi ¹ , Ludovic Duponchel ^{2*}
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25 Abstract

Many plant tissues can be observed thanks to autofluorescence of their cell wall components. 26 27 Hyperspectral autofluorescence imaging using confocal microscopy is a fast and efficient way of mapping fluorescent compounds in samples with a high spatial resolution. However a huge 28 29 spectral overlap is observed between molecular species. As a consequence, a new data analysis approach is needed in order to fully exploit the potential of this spectroscopic technique and 30 31 extract unbiased chemical information about complex biological samples. The objective of this 32 work is to evaluate multi-excitation hyperspectral autofluorescence imaging to identify 33 biological components in wheat grains during their development through their spectral profiles and corresponding contribution maps using Multivariate Curve Resolution - Alternating Least-34 Squares (MCR-ALS), a signal unmixing algorithm under proper constraints. For this purpose 35 two different scenarios are used: 1) analyzing the total spectral domain of data sets using MCR-36 37 ALS under non negativity constraint in both spectral and spatial modes; 2) analyzing a reduced spectral domain of data sets using MCR-ALS under non negativity in both modes and trilinearity 38 constraint in spectral mode. Considering the original instrumental setup and our data analysis 39 approach, we will demonstrate that extracted contribution maps and spectral profiles of 40 constituents can provide complementary information used to identify molecules in complex 41 biological samples. 42

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44 Key words

Multivariate Curve Resolution - Alternating Least-Squares; multi-excitation hyperspectral
images; Autofluorescence; Trilinearity constraint; Wheat grain.

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51 **1. Introduction**

Plants are complex organisms with a specialized body plan that requires multilevel organization (organs, tissues, cell types, subcellular compartments) and highly specialized tissues with distinct properties. Plant organs are therefore a mosaic of different cell types, with variation in their structure and composition under genetic and environmental controls. Plant organs are widely used for food, feed and industrial applications. Studying the tissue composition of organs is therefore of tremendous interest to understand plant biological functions and to evaluate the quality of plant tissues for nutritional and industrial applications.

59 Due to the heterogeneous nature of plant tissues and to compare multiple plant samples, there is 60 a need for imaging techniques that rapidly provide information concerning the chemical composition of tissues with good spatial resolution. Spectroscopic methods such as Raman, Mid-61 infrared or UV-Visible fluorescence are classically used to analyze plant tissue sections.¹⁻⁶ 62 However, to be applicable to plant comparison, spectral imaging techniques must deal with 63 64 minimal sample preparation (e.g. avoid tissue dehydration and resin embedding), high spatial resolution (< 3 μ m per pixel), high acquisition speed and a high field of view while keeping 65 enough spectral information to ease molecular identification. As a consequence full field 66 fluorescence imaging systems such as confocal microscopes equipped with spectral detectors is 67 certainly the only technique meeting such requirements. Taking advantage of the 68 autofluorescence properties of many plant compounds^{2,3,6–9} confocal or multiphoton imaging can 69 be performed with little tissue preparation and, more important, without labeling. 70

Hyperspectral autofluorescence imaging was considered in this work to follow the evolution of 71 72 wheat grain tissues during grain development. For this purpose, we collected confocal hyperspectral images of wheat grains sections at different stages of development. The wheat 73 74 grain comprises several tissues: embryo, endosperm and outer layers. Autofluorescence in cereal grain is due to several compounds, including pigments such as chlorophyll in green tissues, 75 carotenoids, anthocyanidin and proanthocyanidin in colored grains.^{10–12} In the grain outer layers, 76 autofluorescence is particularly important in cell walls where it has been linked to the presence 77 of phenolic compounds such as lignin and hydroxycinnamic acids (ferulic acid, para-coumaric 78 acid).^{13–15} However, the fluorescence properties of major compounds largely overlap in the 79 80 spectral domain. For instance, lignin and hydroxycinnamic acids both fluoresce after UV

excitation while only lignin is fluorescent after visible excitation.^{2,16,17} Chemometric methods 81 have already been used to overcome this problem and identify tissues in dry mature grain and in 82 particles from autofluorescence multispectral images.^{11, 14–16} However we need to get beyond that 83 because ambiguity has not been totally lifted. Indeed combining autofluorescence hyperspectral 84 images obtained by confocal microscopy after different excitations may help to further identify 85 tissues with overlapping autofluorescence responses or in cases of the co-localization of 86 components. For each excitation wavelength, one hyperspectral image is acquired. The set of 87 hyperspectral images forms a multi-excitation hyperspectral image. It can also be considered that 88 for each pixel in an image, an excitation emission fluorescence matrix is acquired. Thus we can 89 see that such experiments will impose constraints. First, as emission wavelengths are always 90 longer than excitation ones, it results in a different spectral domain depending on the excitation 91 wavelength and a partial excitation-emission matrix. Second, the final multi-excitation 92 hyperspectral image cannot be strictly considered as a full 3-way data set. Finally, with the 93 purpose of comparing samples during grain development, several images have to be analyzed 94 together in a consistent way. As a consequence data sets have to be reorganized and chemometric 95 96 methods have to be adapted for this specific analysis.

97 Multivariate Curve Resolution-Alternating Least Squares (MCR-ALS) is one of the signal unmixing techniques that can provide pure spectra and contribution maps of different 98 components in the samples.²¹ It is an iterative algorithm that solves the bilinear model with no 99 prior knowledge. This algorithm extracts from the data set pure contributions (concentration and 100 101 spectral profiles) of all compounds present in the sample from suitable alternating least-squares optimization subjected to different constraints. The latter is imposed based on the chemical 102 knowledge of the studied system.²²⁻²⁵ When data sets are analyzed by MCR-ALS, the result 103 might be challenging due to lack of unique solutions, which is an intrinsic characteristic of 104 bilinear matrix decompositions if incomplete information is available about the system.²⁶ The 105 resulting uncertainty may be dramatically large in certain cases, e.g., when extensive profile 106 107 overlap occurs in one of the data modes. However, imposing different constraints such as nonnegativity, unimodality, selectivity and trilinearity may drastically decrease the extent of 108 rotational ambiguity by adding more information to the MCR analysis of the system under 109 study.²⁷⁻³¹ A Trilinearity constraint can guarantee accurate unique profiles for constituents with 110 trilinear structure.^{32,33} To fulfill the trilinearity condition, the augmented data matrix should 111

112 contain some similar factors in its sub-matrices, factors which should not be affected by 113 experimental conditions so that they share a common spectral shape. Only their areas (and 114 vertical heights) should proportionately change according to the constituent concentration. It is a 115 very strong constraint that forces the decompositions to give unique solutions under mild 116 conditions.

117 The objective of this work is to evaluate the method of multi-excitation hyperspectral 118 imaging by confocal microscopy combined with the exploration of second order data sets with the MCR-ALS approach to identify different components in the developing wheat grain based on 119 their autofluorescence properties. Contribution maps and spectral profiles of different 120 compounds are complementary information which will be extracted from the exploration of this 121 122 kind of data sets. For this purpose two different scenarios are used: 1) Analyzing the whole spectral domain - obtained by merging the data sets of each excitation wavelength - using MCR-123 124 ALS under non negativity constraint in both modes; 2) Analyzing the reduced spectral domain common to all excitation wavelength - using MCR-ALS under non negativity in both modes and 125 126 trilinearity constraint in spectral mode in order to get second order advantage. The two approaches will be compared and discussed on the basis of extracted contribution maps and pure 127 128 spectral profiles of all components. These results will be also compared with images generated 129 from the standard method based on emission signal integration.

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131 **2.** Material and methods

132 **2.1. Plant materials and growth conditions**

Wheat plants (Triticum aestivum L. cv. Recital) were grown in containers filled with plain 133 soil at INRA Clermont-Ferrand (France) under conditions of natural day length and temperature. 134 Upon flowering plants were transferred to a covered structure allowing to control and monitor 135 136 the temperature. Temperature was set at 21°C from 6 a.m. to 9.30 p.m. and 14°C at night. Wheat grain development is influenced by the time elapsed since flowering, the temperature and the 137 position of the grain within the spike. Thus its development was monitored using the thermal 138 time method³⁴ which is more robust than only considering time when temperature is varying. 139 The thermal time unit is Celsius degrees days after flowering (°DAF). This measure is the 140

cumulated daily average temperature since flowering. Individual spikes were tagged when the
first flowering event was observed. Grains were harvested at different desired developmental
stages as already described in a previous work.³⁵ More precisely samples were collected at 150,
270, 350, 460, 560, 630, 790 °DAF and at mature stage.

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2.2. Multi-excitation hyperspectral autofluorescence imaging

Freshly harvested grain samples were frozen and cut in the equatorial region of the grain 147 using a cryotome (HM 500 OM, Microm) into 20 µm cross sections. In the case of dry grains, 148 the embryo was removed and the grains were placed onto moist paper for 24 h at 4°C to facilitate 149 sectioning. For each grain, serial sections were placed on a slide. This was then mounted in water 150 and analyzed using a confocal laser-scanning system (A1, Nikon) equipped with a x40 objective 151 for confocal imaging. The microscope was equipped with a spectral detector unit and provided 152 three excitation wavelengths: 375 nm (UV), 488 nm (blue) and 561 nm (green). At the chosen 153 154 magnification, the field of view was 317×317 µm and images were digitized as matrix of $512 \times$ 512 pixels with a pixel size of $0.62 \times 0.62 \,\mu\text{m}$. As a consequence each hyperspectral data cube 155 contained 262,144 emission spectra. Moreover for each field of view, three hyperspectral images 156 were recorded by collecting emitted light from 404 to 714 nm for UV excitation, 504 to 744 nm 157 for blue excitation and 574 to 744 nm for green excitation with a 10 nm step between spectral 158 variables. A dichroic mirror was used to filter the three excitation wavelengths. For each 159 160 development stage, two or three serial sections were imaged. Two regions per section were analyzed: (1) opposite to the crease and (2) on the side of the grain, called dorsal and side 161 162 respectively, with the objective to visualize all the tissues of the wheat grain (Figure 1). In the case of the youngest grains, one field of view was not always sufficient. In this case, two images 163 164 were acquired to observe the whole region. Finally the whole data set contained 40 multiexcitation hyperspectral autofluorescence images considering different parts of the grain and 165 166 development stages; this corresponds to more than 10 million emission spectra in total.



168 Figure 1. A simple scheme of wheat grain cross section with spectral acquisition areas.

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170 **2.3.Spectral data arrangement**

171 In this work, multiple excitation wavelengths were used to generate autofluorescence hyperspectral images of the wheat grain; which means one 3D autofluorescence hyperspectral 172 image (two spatial modes x and y and one emission mode λ_{em}) per excitation wavelength 173 denoted $\lambda_{ex,1}$, $\lambda_{ex,2}$ and $\lambda_{ex,3}$, respectively (Figure 2a). $\lambda_{em,1}$, $\lambda_{em,2}$ and $\lambda_{em,3}$ are the three 174 175 emission wavelength ranges corresponding to the three excitation wavelength. So for these three excitation wavelengths, there are three 3D cubes thus forming together a 4D data set with two 176 177 spatial modes x and y, one excitation mode and one emission mode. Each multi-excitation hyperspectral autofluorescence image corresponds to a 4D image as shown in figure 2a by 178 179 considering together the purple, blue and green cubes. As a consequence, an excitation emission autofluorescence matrix is acquired for each pixel of the sample surface instead of only one 180 181 emission spectra as it is usually the case for conventional fluorescence analysis. However, this matrix is only partial because the spectral domains corresponding to each excitation wavelength 182 183 differs. In order to analyze the acquired data sets by using a curve resolution method like MCR-ALS, a 2D matrix of data is needed. Consequently each 3D autofluorescence hyperspectral 184 image matrix corresponding to each excitation is unfolded to a matrix with $x \times y$ rows and $\lambda_{em.i}$ 185 columns (i=1, 2 and 3) as shown in figure 2b. 186



Figure 2. Data arrangements: a) the three 3D blocks (denoted $\lambda_{ex,1}$ $\lambda_{ex,2}$ and $\lambda_{ex,3}$) are three autofluorescence hyperspectral images collected using the three excitation wavelengths. b) Unfolded version of the three cubes.

192 **2.4. Data analysis – the MCR-ALS approach**

The MCR-ALS method is used to decompose simultaneously a hyperspectral image into the pure spectra of the image constituents and corresponding contribution maps. MCR-ALS is based on a bilinear model which assumes that each observed spectrum is a linear combination of pure components spectra present in the system.^{36,37} This model can be written in matrix form as:

$$197 \quad \mathbf{D} = \mathbf{C}\mathbf{S}^{\mathrm{T}} + \mathbf{E} \tag{1}$$

Where, **D** is the unfolded hyperspectral image data set, **C** is the matrix of the relative amounts or contributions, \mathbf{S}^{T} is the pure spectra matrix and **E** is the matrix associated to non-modeled part of data and potentially only noise. Naturally signal intensity in every pixel of the image should not be negative and neither should the contributions of the different constituents. It is therefore logical to use non-negativity constraint during alternating least squares optimization. The spectral matrix \mathbf{S}^{T} is also normalized to avoid scale ambiguity. At the end of the ALS optimization procedure, the optimized **C** matrix can be refolded, to recover a 2D image 205 (distribution map) of the contributions of every component. To evaluate the quality of extracted 206 profiles obtained from the MCR-ALS procedure, the percentage of lack of fit (*lof*) and the 207 percentage of explained variance (\mathbb{R}^2) are calculated according to the two following equations:

208
$$lof(\%) = 100 \times \sqrt{\frac{\sum_{ij} d_{ij} - \hat{d}_{ij}}{\sum_{ij} d_{ij}^2}}$$
 (2)

where d_{ij} is the element of the hyperspectral image data set **D**, and \hat{d}_{ij} is the corresponding element of this data matrix recalculated by MCR-ALS. This lack of fit value gives a measure of the fit quality in relative terms with the same units as the measured data, and comparable to the experimental relative error estimation. For the explained variance, R^2 is calculated as:

213
$$R^{2} = 100 \times \left(1 - \frac{\sum_{ij} e_{ij}^{2}}{\sum_{ij} d_{ij}^{2}}\right)$$
(3)

where e_{ii} are the elements of the **E** matrix. The main advantage of this algorithm is the amount 214 of information that can be included in the optimization process and the ability of working with 215 216 either a single data matrix or multiset data structures i.e. using simultaneously several data cubes. However it is known that such an approach does not always extract unique solutions if not well 217 managed. Therefore additional chemical information and well-selected constraints used in the 218 decomposition process can significantly reduce or even eliminate this uncertainty. One of the 219 220 most well-known constraints to ensure uniqueness of extracted solutions in MCR-ALS is trilinearity. Due to the trilinearity constraint, data arrays should contain some similar factors in 221 their modes while factors should be independent from experimental conditions.³⁷ Trilinearity is a 222 very strong constraint that forces trilinear decompositions to have unique solutions under mild 223 conditions.^{38,39} An important achievement in the analysis of complex data matrices resulted when 224 225 Multivariate Curve Resolution methods were applied to several data matrices simultaneously to the so-called Matrix Augmented - Multivariate Curve Resolution - Alternating Least Squares, 226 MA-MCR-ALS.⁴⁰ Generally speaking, resolution ambiguities and rank deficiency problems in 227 the analysis of two-way data sets can be reduced significantly if it is possible to analyze data 228 structures with more information. MA-MCR-ALS, as an extension of MCR-ALS, can be easily 229 adapted to the trilinear analysis of the three-way data sets generated from data matrices with the 230 231 same row or column, taking advantage of their structure. When the trilinearity constraint is used

in the MA-MCR-ALS framework, profiles of a component in different data matrices are forced to have a common shape during each ALS optimization but may differ by a scaling factor. When this constraint is inserted into the ALS iterative optimization procedure, it forces the shape of the loading vectors to be the same in all sub-matrices. An interesting aspect of the trilinearity constraint in the ALS optimization is that its use is optional for each component of the system.

MCR-ALS optimization always starts with the generation of initial estimates of either 237 238 concentration or spectral profiles. In general, the use of chemically meaningful estimates is an essential factor that can lead to not only a rapid convergence of the extractions but also a 239 decrease in ambiguity of solutions in some cases. Different methods can be used to find suitable 240 initial estimates to start the MCR-ALS calculation. The so-called SIMPLISMA based on the 241 concept of purest variables is usually used to calculate them.⁴¹ However it was not possible to 242 use it in this work due to the very high number of spectra in the considered multiset analysis. We 243 have, therefore, decided to use the Kennard-Stone (KS) algorithm in order to generate initial 244 estimates. ⁴² This algorithm allows for the selection of spectra from all parts of the data space 245 even in the case of nonhomogeneous distribution. It starts by finding the two most distant spectra 246 in the data set using Euclidean distance, and then continues with other points until the selected 247 248 rank is reached.

The rank of the data set i.e. its total number of independent signals is usually obtained from 249 Principal Component Analysis (PCA) considering the magnitude of singular values. Singular 250 values related to chemical contributions are typically large, whereas singular values related to 251 252 noise are smaller and similar among themselves. This diagnostic can be complemented by looking at the emergence of noisy patterns in scores and loadings profiles, typical in noise-253 254 related components. When in doubt, few MCR models with different number of components can be calculated. The final model is selected as the smallest one providing an optimal model fit and 255 chemically meaningful resolved profiles.⁴³ In this work for most of the data sets several MCR 256 models were calculated and the selected one had minimum residuals and optimal fit. In order to 257 258 extract spectral profiles and contribution maps of all active components in the sample, MCR-ALS approach has been applied following two different scenarios. 259

In a first scenario, an augmented data set **D** was obtained by considering the whole spectral domain of each data cube and merging the corresponding three 2D unfolded matrices as shown 262 in figure 3b. In this case, MCR-ALS was applied under non-negativity constraint only in order to 263 extract spectral autofluorescence emission profiles and contribution maps of active components. 264 As explained in the data collection section, several regions were observed for each grain section and several serial sections were also observed for each development stage, resulting in several 265 multisets (in red and orange in figure 3a). In the figure 3b, purple, blue and green cubes 266 correspond to the three different excitation wavelengths used while light and dark colors 267 268 correspond to two sample regions of interest. Then we use column- and row-wise data augmentation to fuse all matrices and generate a global matrix **D**. Lastly, the augmented data set 269 is used to extract pure concentration and spectral matrices using MCR-ALS (Figure 3c). To 270 tackle the large volume of data from the 40 multi-excitation hyperspectral images (i.e. more than 271 272 10 million of emission spectra), augmented data sets were built and analyzed separately for each development stage that still correspond to the multivariate analysis of 1.3 million spectra each. 273

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Figure 3. Data arrangements; a) three 3D blocks are three autofluorescence hyperspectral images acquired with three different excitation wavelengths: $\lambda_{ex,1}$, $\lambda_{ex,2}$ and $\lambda_{ex,3}$ which are shown in purple, blue and green, respectively (both dark and light colors are related to orange and red regions of interest of the wheat grain). b) Unfolding of cubes and final data augmentation generating D matrix. c) The augmented data set is used to extract pure concentration and spectral matrices using MCR-ALS. Open black rectangles in the figure represent the common spectral range used when the trilinearity constraint is applied.

A second scenario has been considered in this work because rotational ambiguity (i.e. uncertainty of MCR-ALS results) cannot be eliminated in the majority of cases only using the non-negativity constraint. Therefore it has been decided to apply additionally the trilinearity constraint in order to reach uniqueness of solutions in MCR-ALS, or at least verify if other 289 extractions are obtained in these new conditions. However, to analyze the acquired data set under 290 the trilinearity constraint, it must have a trilinear structure at least for one mode. In the case of 291 fluorescence, the shape of the emission spectrum for a given fluorophore is largely independent 292 from excitation wavelength. Therefore the recorded autofluorescence hyperspectral images using 293 different excitation wavelengths are potentially suitable for applying trilinearity constraint in spectral mode. However due to our specific instrumental setup, hyperspectral images have not 294 295 exactly the same spectral domain, a necessary condition for applying this new constraint in MCR-ALS. As a consequence, a reduced spectral domain (574-714 nm) common to the three 296 unfolded matrices has been considered. This common spectral window is represented by black 297 298 open rectangles in Figure 3. In this scenario, we can already see that the application of trilinearity 299 constraint has paid a price of losing spectral information below 574 nm.

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301 **3. Result and discussions**

302 3.1 Autofluorescence image generation with a conventional approach

303 As described in section 2.3, the multi-excitation hyperspectral confocal images acquired form 304 a set of three 3D blocks. Their analysis and display are therefore not straightforward. The usual 305 ways to generate a 2D image from a fluorescence imaging data set are the integration of the signal over the whole spectral domain of emission, the integration around the wavelength of a 306 307 maximum emission or a signal extraction at a particular spectral channel corresponding to a specific emission. Figure 4 shows generated autofluorescence images of the same wheat section 308 309 using the three excitation wavelengths 375, 488 and 561 nm. More precisely, images in Figure 4a have been obtained considering signal integration on the whole emission domain of each 310 excitation wavelength. Autofluorescent compounds are observed in all tissues corresponding to 311 the wheat grain outer layers and no autofluorescence is observed into the endosperm (see figure 312 313 5 for tissue and cell layers annotation if needed). Moreover the use of different wavelengths of excitation seems to be a way to highlight different subparts of the section. Indeed, after 314 excitation at 375 nm, all outer layers fluoresce from the aleurone layer to the epiderm, while the 315 seed coat is highlighted after excitation at 488 nm. Using excitation at 561 nm, some small points 316 are also revealed inside cross cells corresponding to pigments.⁴⁴ 317

A) Global integration

200 300



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Figure 4. Autofluorescence images of the same wheat section at different excitation wavelength A) when emission is integrated over the whole spectral domain B) for emission at given wavelengths.

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100 200

600

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Autofluorescence images in Figure 4b have been generated considering only emission at given wavelengths. These particular wavelengths have been selected because it was possible to generate different emission images highlighting different subparts of the wheat section. 326 Variations in intensities in the different images suggest that each cell type shows some specific 327 fluorescence spectral response. In autofluorescence imaging, no chemical probes are used and all 328 molecules in the sample that are intrinsically fluorescent give emission in different parts of the spectral domain. Autofluorescence is often considered as a spurious signal but it is not. It is in 329 fact a good opportunity to observe simultaneously different molecular contributions.¹⁵ All 330 images shown in figure 4 obviously reveal the presence of different autofluorescent behaviors of 331 the different tissues. However even if specific sub-parts of the grain section are highlighted at 332 given wavelength of integration, there is no evidence that it corresponds to the contribution of 333 only one molecule, simply because of the huge bandwidth of the considered spectroscopy. The 334 complexity of the data structure is even more striking when looking at spectra extracted from 335 specific areas of the considered wheat section. Figure 5 presents manual selections of pixels in 336 337 cell walls of different grain tissues such as aleurone cells (red line), seed coat (orange line), cross cells (blue line), and epicarp (green line). Corresponding mean spectra of selected pixels are also 338 339 presented in the figure.

Selected pixels on the wheat section





Figure 5. Manual selection of pixels in cell walls of different grain tissues (aleurone = red line, seed coat = orange line, cross cells = blue line and epicarp = green line) and corresponding mean spectra of emission for different excitation wavelengths. Annotations: epi = epicarp, mes =

mesocarp, cc = cross cell, tc = tube cell, sc = seed coat, nu = nucellar epidermis, al = aleuronelayer and ens = endosperm.

Although these four specific tissues are known to contain different fluorescent molecules or 346 347 different concentration of several ones, the global shape of emission spectra is almost the same, only relative intensity changes being observed for different excitation wavelengths. In 348 conclusion, a simple integration approach does not allow us to obtain unbiased information about 349 the fluorescent molecules present in the grain tissues due to a huge spectral overlap of 350 fluorescence.^{3,6,45} As a consequence, it was decided to apply the signal unmixing approach 351 MCR-ALS in order to extract pure fluorescence signatures of molecules in the next section of the 352 353 paper.

354

355

3.1 Signal unmixing using MCR-ALS using the whole spectral domain

356 All acquired data sets of each specific stage (more than 1.3 million of emission spectra) were 357 analyzed together considering a column-wise data augmentation. In other words, we had eight 358 augmented data sets corresponding to the eight development stages. Each data set has been analyzed separately using MCR-ALS under proper constraint. The chemical rank for each data 359 set has been determined using singular value decomposition (SVD).²² However it was sometimes 360 necessary to calculate different MCR models with different number of components when SVD 361 362 information was not so clear. Considering the principle of parsimony, the final MCR model was selected as the one with the smallest chemical rank, a good model fit and chemically meaningful 363 resolved profiles. A mean LOF value of 0.9% and a mean R^2 value of 0.96 were observed for 364 MCR-ALS models which are good figures of merit considering the signal to noise ratio. As 365 summarized in table 1, a total of seven components were extracted with MCR-ALS from the 366 eight development stages when using the whole spectral domain and non-negativity constraint 367 368 only (i.e. following the first scenario). Some components were present throughout the whole grain development and others only at specific stages. This demonstrates the great potential of 369 370 such methodology being able to detect various molecular contributions even when the spectral overlap is maximal. Indeed, on the basis of previous results (figure 4 and 5), it was very difficult 371 to envisage observing so many spectral contributions on such complex biological samples. 372

	150	270	350	470	560	630	780	Mature
	°DAF							
Component A	~	~	~					
Component B	~	~	~	~	~	~	~	✓ ³⁷⁷
Component C	~	~	~	~	~	~	~	v
Component D					~	~	~	380
Component E				~		~	~	
Component F				~	~	~	~	✓ 382
Component G	~	~	~	~	~	~	~	~

Table 1. The seven extracted components, A, B, C, D, E, F and G from the eight development
stages. A tick sign indicates the presence of a component at a particular stage.

387 MCR-ALS extracted spectral profiles of all components A-G at each development stages are shown in figure 6. Correlation coefficients were used in order to set out representations of the 388 same component from the different development stages (i.e. different MCR-ALS models).Each 389 panel of a component is divided in three separated parts corresponding to the three excitation 390 391 wavelengths 375, 488 and 561 nm respectively highlighted in purple, blue and green. Figure 7 presents corresponding extracted contribution maps of these components. If needed, all these 392 high resolution images can be retrieved in the supplementary material section in Matlab figure 393 format. 394



397 Figure 6. Extracted spectral profiles of components A-G. Subparts of each panel correspond with

the three excitation wavelengths. Arrows indicate selected maxima of emission.



404

Figure 7. Examples of extracted contribution maps of all components in the wheat grain.
Minimum and maximum values in each contribution maps are represented with cold and hot
colors respectively.

From a general point of view, the MCR-ALS approach extracted specific spectral profiles for each component. Molecular identification in fluorescence spectroscopy is naturally based on the selection of maximum emission wavelengths on pure extracted profiles but our approach also allow to us to observe hidden components at particular excitation wavelengths. Moreover presence or absence of emission can be used jointly for spectral interpretation. The extracted components are examined in more details here.

Component A (Figure 6A) is present from 150 °DAF to 350 °DAF (as shown in table 1). Its 415 maximum of emission is observed at 664 nm after excitations at 488 and 561 nm (black arrows). 416 417 Moreover this component exhibits no significant emission when excited at 375 nm. A broad emission is also observed between 500 and 630 nm with an excitation at 488 nm. Note that the 418 419 emission drop observed at 561 nm corresponds to the wavelength excluded by the dichroic mirror for the three excitation wavelengths. Corresponding contribution maps of component A 420 421 are observed on the first row of Figure 7. Component A is mainly found in the chloroplasts of cross cells, and in the outer cell wall of the epicarp for the samples at 150° and 270 DAF. At 350 422 DAF this component particularly highlights the cross cells and the seed coat. Considering 423 spectral profile and subcellular locations of this component, it might be assigned to pigments like 424 flavonoids, carotenoids and chlorophyll.^{3,7,46–48} 425

Component B (Figure 6B) is detected from 150 °DAF to mature stage (as shown in table 1). 426 Its maximum of emission is observed at 604 nm for an excitation at 561 nm. Similar to 427 component A, this component have no significant emission for an excitation at 375 nm. 428 Concerning the excitation at 488 nm, a moderate emission is observed in the 500-630 nm 429 430 spectral region. Corresponding contribution maps are presented in the second row of figure 7 for all stages of development. The location of this component depends on the development stage. At 431 432 early stages (from 150 to 350 °DAF), it is mainly found inside cells of the epicarp and mesocarp and also inside cross cells. From 560 to 630 °DAF, it is mainly located inside cross cells and in 433 434 the outer cell wall of the seed coat which is covered by a cuticle. From 780 °DAF to the mature stage, this component is mainly observed in the seed coat, inside aleurone cells and in the cell 435 436 walls of the epicarp and mesocarp. The multiple location of the component in all stages makes the spectral interpretation of this component difficult. However considering the excitation-437 438 emission bands observed and the very similar shape of the component for all stages, this fluorescence might be attributed to flavonoid pigments. 439

Component C (Figure 6C and 7) is present at all investigated stages. The maximum of emission is located at 544 nm after excitation at 488 nm. Almost no fluorescence is observed for excitation at 561 nm and 375 nm. The only exception is a moderate emission observed at 150 °DAF after an excitation at 375 nm. Component C is mainly found in epicarp cell wall at 150°DAF, in the seed coat, the tube cell walls, and the nucellar epidermis from 350 °DAF to 630 °DAF. In addition, it is detected inside aleurone cells and on all pericarp cell walls at the mature 446 stage. The assignment of this fluorescence to only one chemical compound is not possible due to 447 the different subcellular locations. Moreover the excitation/emission profile does not correspond 448 to a known autofluorescence compound.³ It should be stressed that an extracted contribution of 449 the MCR-ALS approach can potentially contain different molecules which are correlated with 450 concentration, which may account for the difficulty of its interpretation.

451 Component D (Figure 6D and 7) appears from 560 °DAF to the 780 °DAF. The maximum of 452 emission is located at 674 nm for excitations at 561 nm and 488 nm as indicated by black arrows. 453 During these development stages, the compound is present in all pericarp cell walls and in the 454 seed coat with a gradual decrease over time. Unfortunately considering both spectral behavior 455 and localization, no attribution of this compound can be proposed at this time.

456 Component E (Figure 6E and 7) is present in the cell walls at 470, 630 and 780 DAF. The maximum of emission is observed at 474 nm after excitation at 375 nm as indicated by black 457 arrows. In this case, no significant fluorescence is observed for excitations at 561 nm and 488 nm 458 except for 460 °DAF where a remaining fluorescence is found in the blue region. For the three 459 460 stages, the component is mainly found in the cell walls of the aleurone layer, nucellus epidermis 461 and those of the mesocarp and epicarp. Considering the cell wall locations and the spectral signature, this contribution might be attributed to hydroxycinnamic acids like ferulic or para-462 coumaric ones, even though hydroxycinnamic acids have been detected in cell walls before 460 463 °DAF.⁴⁷ The fluorescence of these compounds is known to vary for instance with pH, types of 464 465 bonds, and different molecular environments.

Component F (Figure 6F and 7) is present from 470 °DAF to the mature stage. The shape of 466 467 its emission profile is changing between different stages. Consequently, several wavelengths of maximum emission are observed for this component. In addition there is almost no fluorescence 468 469 with an excitation at 375 nm. From 470 °DAF to 630 °DAF, the component is located in the seed coats, in the cell walls of cross and tube cells and in the outer cell wall of epicarp. It is 470 471 noteworthy that both seed coat and epicarp are covered by a cuticle. After 780 °DAF, all pericarp cell walls show an important amount of this component. Considering both spectral and spatial 472 473 information, this contribution could correspond to some lignin and/or cuticle compounds. Indeed 474 it has already been reported that the autofluorescence of lignins and cuticles was due to multiple fluorophores.^{2,47} 475

Component G (Figure 6G and 7) is present in all investigated stages. The maximum of emission is observed at 450 nm. Fluorescence of this compound is found in the cell walls of all outer tissues of the grain at all stages. Only some variations of intensities are observed. For example, the fluorescence is weaker in the nucellar epidermis compared to the other tissues. It increases in the cell walls in the aleurone layer from 350 °DAF. Considering the spectral pattern of this compound and its localization, it can be assigned to hydroxycinnamic acids like ferulic or para-coumaric ones.^{35,49}

Analyzing multi-excitation hyperspectral autofluorescence imaging data sets with the MCR-ALS approach has therefore a good potential to obtain more information about molecules and their localization than with conventional data analysis techniques even if all components are not interpreted at this time. In this first scenario of MCR-ALS optimization, however only nonnegativity constraint has been applied which may give rise to some ambiguities on extracted spectral profiles and corresponding contribution maps. It is in this sense that the impact of using the trilinearity constraint in MCR-ALS has to be evaluated in the next section.

490

491 *3.1 Signal unmixing using MCR-ALS using trilinearity constraint*

Trilinearity constraint can potentially guaranty accuracy of unique extractions from MCR-492 493 ALS. However, due to the trilinearity constraint, the augmented data set should contain some similar factors in their modes while the factors should be independent from experimental 494 495 conditions. Thus profiles of a component in different data matrices are forced to have common shape during each ALS optimization but may differ by a scaling factor. During the ALS iterative 496 497 optimization procedure, the constraint forces the shape of the loading vectors to be the same in all sub-matrices. In order to analyze the above mentioned data sets using MCR-ALS under 498 499 trilinearity constraint, it was necessary to have the same spectral domain of emission. The spectral region of emission (574-714 nm) common to the three excitations was then considered. 500 501 The common part of three recorded data sets were augmented row-wise and analyzed using 502 MCR-ALS under non-negativity in both modes and trilinearity in spectral mode. Under these new conditions, only six components have been extracted with MCR-ALS with a mean LOF 503 value of 4% and a mean R^2 value of 0.99. Their presence and absence were exactly similar to the 504 505 ones given in Table 1 except for component G which was absent. In fact, component G was effectively present in grain sections but the reduction of the spectral domain prior MCR in this second scenario has led to the loss of specific spectral information. MCR-ALS extracted spectral profiles under non-negativity and trilinearity constraints of the six components A-F are shown in figure 8. Generally speaking, we can observe that for a given component, extracted spectral profiles are more consistent between stages than the ones in Figure 6. Indeed, the trilinearity constraint drastically decreases rotational ambiguity of solutions.



512

513 Figure 8. Extracted spectral profiles of component A-F when trilinearity and non-negativity 514 constraints are applied.

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516 Moreover maxima of emission of components A, B and D are exactly the same as the ones 517 observed in Figure 6. It is slightly different for component C with a maximum of emission now 518 observed at 584 nm while it was 544 nm with non-negativity only. However this difference is not 519 due to a real spectral shift of this band. Indeed the maximum emission of this component is always centered on the same wavelength but the restricted spectral domain imposed by the use of trilinearity constraint only allows us to extract the bottom of this band. We observe the same situation for component E with a maximum of emission at 474 nm with the application of nonnegativity constraint becoming 574 nm with trilinearity. As already pointed out, emission profile of component F changes throughout wheat grain development certainly due to different chemical environments. Figure 9 shows extracted contribution maps when trilinearity constraint is applied.



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Figure 9. Extracted contribution maps of all components in the wheat grain when trilinearity and
non-negativity constraints are applied. Minimum and maximum values in each contribution maps
are represented with cold and hot colors respectively.

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531 Compared with Figure 7, we can see that the localization of all components for all stages are 532 almost the same as it was with the first scenario, except for component G which is naturally 533 absent when trilinearity is considered. However a deeper analysis (particularly by zooming in on concentration maps) highlights better contrasted images when trilinearity constraint is applied. 534 535 Indeed in these new conditions, rotational ambiguity is decreased and purer concentration profiles can be extracted. In other words, more zones with absence of components are observed. 536 Less ambiguity in spectral profiles allows us to generate less biased images of the biological 537 samples. From a data analysis perspective, it is obvious that applying the trilinearity constraint is 538 a good way to extract better spectral profiles and corresponding contribution maps, component G 539 being absent only because of our specific instrumental setup. 540

541

542 **4.** Conclusion

This work demonstrates the great potential of multi-excitation hyperspectral autofluorescence 543 imaging for the exploration of complex biological samples. In the present work, fluorescence 544 properties were followed after UV and visible excitations in wheat grain outer layer during 545 546 development. Our instrumental setup allowed us to obtain fluorescence information from this large spectral domain with a high spatial resolution without any labelling of the samples with 547 additional fluorophores. There is a great potential in such spectral imaging techniques for 548 549 comparing set of samples by statistical approaches. A set of 40 images containing more than 10 550 million emission spectra was acquired to analyze wheat grains for eight development stages and one of the challenges of the work was to define a strategy of data analysis for multiset 551 552 hyperspectral images. Having understood the limits of simple integration approaches on such 553 spectral data sets, a signal unmixing technique has been evaluated. Two implementations of the 554 MCR-ALS approach were proposed to extract pure component spectra and contribution maps 555 according to development stages. In the first case, the full spectral range was taken into account 556 while in the second one, trilinearity constraint was applied on the common spectral emission range of the three excitation wavelengths. In both cases, augmented data sets were obtained by 557 558 merging the repetition of images of a given development stage. Pure components could be 559 compared for the two MCR-ALS implementations except for the component found in the 560 specific emission ranges of the UV excitation. Four to six pure components were detected 561 depending on the stages. Components were assigned to hydroxycinnamic acids, lignin and 562 cuticle compounds. Pigments compound were also highlighted and found in several tissues of the

563 wheat grain. Some compounds were difficult to interpret requiring additional investigation of the natural fluorophores encountered in plants. To the best of our knowledge, it is the first time 564 565 MCR-ALS with trilinearity constraint is used to analyze simultaneously multiple hyperspectral autofluorescence data sets and extract pure spectral profiles and corresponding contributions 566 567 maps of different molecules present in the sample. Despite the natural spectral overlap of chemical species and the complexity of biological samples, it is possible to unmix signals and 568 569 extract more information about pure components with this original concept. With these results, we are convinced that the combination of multi-excitation hyperspectral autofluorescence 570 imaging and MCR-ALS approach represent a real breaking point in the analysis of complex 571 biological samples. 572

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578 **References**

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