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9 **The important but weakening maize yield benefit of grain filling**
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28 **Running head: Yield benefit of longer grain filling period**

29 **Keywords:** Maize grain filling prolongation, US Midwest, yield benefit, crop model,
30 crop growth stages, satellite data, global warming, food security

31 **Abstract**

32 A better understanding of recent crop yield trends is necessary for improving the yield
33 and maintaining food security. Several possible mechanisms have been investigated
34 recently in order to explain the steady growth in maize yield over the US Corn-Belt,
35 but a substantial fraction of the increasing trend remains elusive. In this study, trends
36 in grain filling period (GFP) were identified and their relations with maize yield
37 increase were further analyzed. By using satellite data from 2000 to 2015, an average
38 lengthening of GFP of 0.37 days per year was found over the region, which probably
39 results from variety renewal. Statistical analysis suggests that longer GFP accounted
40 for roughly one-quarter (23%) of the yield increase trend by promoting kernel dry
41 matter accumulation, yet had less yield benefit in hotter counties. Both official survey
42 data and crop model simulations estimated a similar contribution of GFP trend to
43 yield. If growing degree days that determines the GFP continues to prolong at the
44 current rate for the next 50 years, yield reduction will be lessened with 25% and 18%
45 longer GFP under Representative Concentration Pathway 2.6 (RCP 2.6) and RCP 6.0,
46 respectively. However, this level of progress is insufficient to offset yield losses in
47 future climates, because drought and heat stress during the GFP will become more

48 prevalent and severe. This study highlights the need to devise multiple effective
49 adaptation strategies to withstand the upcoming challenges in food security.

50 **Introduction**

51 Agricultural systems in many regions may be negatively impacted by increasing
52 temperature especially when accounting for the nonlinear effect of climate extremes
53 such as heat waves and droughts (Rattalino and Otegui, 2013; Porter and Semenov,
54 2005; Sánchez *et al.*, 2014; Schlenker and Roberts, 2009), which are predicted to
55 become increasingly frequent in a warmer climate. Higher-than-optimal temperature
56 negatively impacts maize yield through affecting reproductive structures (Siebers *et*
57 *al.*, 2015; Siebers *et al.*, 2017), decreasing the Rubisco activation (Crafts-Brandner,
58 2002), and increasing water stress (Lobell *et al.*, 2013). Thus, to maintain or
59 potentially increase productivity, agricultural systems must adapt to upcoming
60 warmer and more extreme climates.

61

62 As the world's largest producer of maize, the US has seen a steady increase in maize
63 yield since the 1950s through improvements in agronomic practices, genetic
64 technology and favorable growing conditions despite interannual yield variability
65 related to hot and dry summers (USDA, 2015). Several possible mechanisms have
66 been investigated in order to understand this increasing trend in yields, including:
67 expansion of more heat tolerant cultivars (Driedonks *et al.*, 2016), delayed foliar
68 senescence or stay-green traits (Thomas and Ougham, 2014), new cultivars adapted to
69 higher sowing density (Duvick, 2005; Tollenaar and Wu, 1999), development of pest
70 resistant maize cultivars through genetically engineering (NRC, 2010), enhanced
71 water use efficiency under rising atmospheric CO₂ (Lobell and Field, 2008; Jin *et al.*,
72 2017), and increase in accumulated solar radiation during the post-flowering
73 phase (Tollenaar *et al.*, 2017). A drought sensitivity analysis over the US Midwest
74 based on field maize yield data showed, however, higher sowing density brought
75 about side effect that field maize yield sensitivity to water stress became increased

76 (Lobell *et al.*, 2014). In this context, it is necessary to understand the response of
77 maize yield in farmers' fields to climate variation over time and thereby allowing
78 crops more effectively to adapt to the future climate change.

79
80 Crop phenological development is essential for agricultural management practices
81 (Irmak *et al.*, 2000), and reflects the combined effect of climate exposure and plant
82 physiological traits (McMaster *et al.*, 2005). Specifically, this study focused on GFP,
83 a critical kernel development stage when plant growth and grain formation is sensitive
84 to stress (Badu-Apraku, 1983; Çakir, 2004; Cheikh, 1994). In addition, because there
85 is a tight positive correlation between the grain filling length (GFL) and the final crop
86 yield (Tollenaar *et al.*, 2017; Badu-Apraku, 1983), characterizing recent trends in GFL
87 may also help explain yield trends.

88
89 Satellite remote sensing observations such as the vegetation index derived from
90 moderate-resolution imaging spectroradiometer (MODIS) reflectance data provide the
91 opportunity to characterize the regional-scale spatiotemporal patterns of field crop
92 growth status information, in particular phenological transition dates (Sakamoto *et al.*,
93 2010). We used this long-term satellite data to generate spatially-explicit maize
94 phenological date fields. Maize phenological information was then integrated with a
95 crop model to understand the relationship between GFP trend and yield increase in the
96 historic period. Finally, the implication of longer maturity variety for sustaining maize
97 production under future climate scenarios was investigated.

98 **Materials and Methods**

99 In this study, 8-day Wide Dynamic Range Vegetation Index (WDRVI) derived from
100 MODIS reflectance data (MOD09Q1 and MYD09Q1) from 2000 to 2015 was used to
101 map trends in maize phenology in Illinois, Indiana, Iowa, Nebraska across the US
102 Midwest, which collectively account for half of the total US maize production. Maize
103 yield keeps growing across the four states at the rate of 1.4% per year during this

104 period (Fig. 1). To extract maize phenology, shape model fitting (SMF) has been
105 shown as an effective approach and was validated at both site and state level
106 (Sakamoto *et al.*, 2010; Sakamoto *et al.*, 2014; Zeng *et al.*, 2016). On the other hand,
107 threshold based methods can be used to extract the starting and ending of growing
108 season more flexibly. Thus, we developed and implemented a hybrid method
109 combining SMF and threshold-based analysis to generate 8 million samples of maize
110 phenological date from MODIS WDRVI data at 250×250 m spatial resolution from
111 2000 to 2015.

112

113 **Satellite data.** In this study, the 8-day time series of 250 m daily surface reflectance
114 MODIS data on board Earth Observing System (EOS) Terra and Aqua satellite platforms:
115 MOD09Q1 (2000-2015) and MYD09Q1 (2002-2015) Collection 6, was used. Four
116 tiles MODIS data (h10v04, h11v04, h10v05, h11v05) covering the study area (4 states:
117 Indiana, Illinois, Iowa, Nebraska) were downloaded from NASA Land Processes
118 Distributed Active Archive Center. Although the daily satellite observations can better
119 capture the phenological phase transition during maize growth, the 8-day composite
120 products in MOD09Q1 and MYD09Q1 were selected to minimize the impact of
121 clouds and haze. Generally, the MODIS 8-day composite products were
122 systematically corrected for the effects of aerosol light scattering (Vermeulen and
123 Vermeulen, 1999). Meanwhile, the constrained view-angle maximum value composite
124 method guarantees the quality of surface spectral reflectance data for each 8-day
125 period (Huete *et al.*, 2002). Both 250m MOD09Q1 and MYD09Q1 data consists of
126 red (R) and near-infrared (NIR) bands with an actual spatial resolution of 231.7 m.
127 Here a scaled WDRVI (Wide Dynamic Range Vegetation Index), generated by
128 combining Terra and Aqua observations, is used to monitor the growing status of
129 maize plants (Zeng *et al.*, 2016), because WDRVI is supposed to have a better
130 performance in characterizing seasonal biomass dynamics than normalized difference
131 vegetation index (NDVI), which is often saturated for dense vegetation and a linear
132 relationship was identified between WDRVI and the green leaf area index (LAI) of
133 both maize and soybean (Gitelson, 2004; Gitelson *et al.*, 2007). The scaled WDRVI is

134 calculated with the following equation:

$$135 \quad WDRVI = 100 * \frac{[(\alpha - 1) + (\alpha + 1) \times NDVI]}{[(\alpha + 1) + (\alpha - 1) \times NDVI]} \quad (1)$$

$$136 \quad NDVI = (\rho_{NIR} - \rho_{red}) / (\rho_{NIR} + \rho_{red}) \quad (2)$$

137 Where ρ_{red} and ρ_{NIR} are the MODIS surface reflectance in the red and NIR bands,
138 respectively. A comparison of multiple vegetation indexes indicates WDRVI with
139 $\alpha=0.1$ showed a strong linear correlation with corn green LAI (Guindin-Garcia *et al.*,
140 2012). Here we also set α as 0.1 for WDRVI calculation. Before WDRVI calculation,
141 the reflectance data were quality-filtered using the band quality control flags. Only the
142 data passing the highest quality control test is retained.

143

144 **Crop location information.** A cropland dynamic layer (CDL) spanning from 2000 to
145 2015 generated by USDA/NASS was used to be as maize mask (The time span of
146 NASS-CDL for Nebraska is from 2001 to 2015). The spatial resolution of the original
147 products of NASS-CDL varied from year to year due to different satellite data being
148 used. The satellite data sets used to generate NASS-CDL over 2000–2005 and
149 2010–2015 were obtained from Landsat/TM with 30 m resolution. Those used to
150 generate NASS-CDL over 2006–2009 were obtained from Resourcesat-1/AWiFS with
151 56 m resolution. The CDL data was firstly projected to MODIS sinusoidal projection
152 and then aggregated to 231.7 m. We only extracted the phenological information over
153 the MODIS pixels with the corresponding maize fraction surpassing 80% determined
154 by CDL aggregation, which can thus suppress the mixing effect of other vegetation
155 types like grasses and soybean. The classification errors in the CDL data might mix
156 non-crops signal into the WDRVI calculation. However, previous study showed that
157 the influence of classification errors on maize phenological extraction can be
158 minimized at regional scale (Sakamoto *et al.*, 2014), especially when a high threshold
159 value (here it is 80%) was applied to filter mixing pixels.

160

161 **Maize phenology and yield statistics data.** USDA/NASS surveys crop progress and
162 condition based on questionnaires and publishes percent complete (area ratio) of crop

163 fields that have either reached or completed a specific phenological stage, on
164 Agricultural Statistics Districts (ASD) or state level, in a weekly report called the
165 Crop Progress Report (CPR). The state level phenology information is available in the
166 USDA/NASS Quick Stats 2.0 database. This weekly reported area ratios were
167 interpolated using sigmoid function. The target phenological stages (emerged, silking,
168 dent, and mature stages) were then determined as the date when the interpolated area
169 ratio reached 50% on a state level (Tollenaar *et al.*, 2017). The phenological dates
170 from CPR were used as a reference to evaluate the MODIS based estimations.

171

172 The county-level corn grain yield data covering the 4 states (IL, IN, IA, NE) were
173 obtained from the Quick Stats 2.0 database. The selected data period was from 2000
174 to 2015. The unit system for corn grain yield is bushel per acre (bu/ac).

175

176 **Climate data.** Daily precipitation, minimum and maximum temperatures and relative
177 humidity data at 4km resolution was obtained from University of Idaho Gridded
178 Surface Meteorological Data (Abatzoglou, 2013)
179 (<http://metdata.northwestknowledge.net/>). It is a gridded product covering the US
180 continent and spanning from 1979 to 2016. This dataset is created by combining
181 attributes of two datasets: temporally rich data from the North American Land Data
182 Assimilation System Phase 2 (Mitchell, 2004) (NLDAS-2), and spatially rich data
183 from the Parameter-elevation Regressions on Independent Slopes Model (Daly *et al.*,
184 2008) (PRISM). After validated using extensive network of weather stations across
185 the United States, this dataset is proved to be suitable for landscape-scale ecological
186 model. To be consistent with the climate data resolution, MODIS derived maize
187 phenology information is aggregated to 4 km by averaging all available maize
188 phenological date. Then the climate variables like mean temperature, mean VPD and
189 mean precipitation during the vegetative period, grain filling period and total growth
190 period are estimated by integrating daily climate data over the corresponding period
191 according to MODIS derived phase starting and ending date. VPD is estimated from
192 relative humidity and temperature data.

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193 Here GDD, a commonly used metric as the cumulative thermal requirement for a crop
194 having experienced over the growing season for maize, is calculated from daily
195 temperature values. It is defined as the sum of all daily average temperatures over the
196 growing season in excess of 8 °C. A base temperature of 8 °C and a maximum
197 temperature of 35 °C for maize were used (Kiniry and Bonhomme, 1991). Specifically,
198 GDD_{crit} was used to refer to the GDD requirement from start grain filling to
199 maturity.

200 **Maize growing phase extraction.** A shape model fitting (SMF) (Fig. 2), which
201 represents the general pattern of corn growth characterized by time-series WDRVI,
202 was created using a similar procedure as previous study (Sakamoto *et al.*, 2010). The
203 shape model was defined by averaging 10 years (2001 to 2010) of 8 days WDRVI
204 observations from the irrigated continuous corn field at Mead, Nebraska operated by
205 the University of Nebraska Agricultural Research and Development Center. Then, the
206 shape model was geometrically scaled and fitted to 8-day time series WDRVI data
207 using the following equation:

$$208 \quad h(x) = y_{scale} \times \{g(x_{scale} \times (x + t_{shift}))\}, \quad (3)$$

209

210 where the function $g(x)$ refers to the preliminarily defined shape model function and x
211 refers to WDRVI acquiring date. The function $h(x)$ is transformed from the shape
212 model $g(x)$ in time- and VI-axis directions with the scaling parameters x_{scale} , y_{scale} ,
213 and t_{shift} . The scaling parameters were optimally estimated by using 'fminsearch'
214 function in Matlab R2015b to minimize the discrepancy between the scaled shape
215 model $h(x)$ and the WDRVI data. Here the root mean square error (RMSE) between
216 the scaled shape model $h(x)$ and the WDRVI data is used to quantify the discrepancy.
217 The dates of these key phenological stages, including emerged, silking, dent, and
218 mature date, were determined from satellite data by optimizing the dates of emerged,
219 silking, dent, and mature stages, given the pre-defined dates. Dent stage is about 35 to
220 42 days after silking when 'milk line' gets close to the dent end of the kernel. Maturity
221 date is about 55 to 65 days after silking and kernel dry weight reaches its maximum

222 (Abendroth et al. 2011). In the original study (Sakamoto et al., 2010), the pre-defined
223 dates were empirically determined based on the ground-based phenology observations
224 and were set as 150, 200, 240 and 265 day of year of the reference growing season,
225 respectively. These parameters are also used in this study.

226

227 Although the previous study showed SMF had a good estimation of corn phenology at
228 site and state level with RMSE of maize phenological stage estimation at ASD-level
229 ranging from 1.6 (silking date) to 5.6 days (dent date) (Zeng *et al.*, 2016), there is an
230 inevitable problem in this method that the linear scaling strategy with only two
231 parameters (xscale and tshift) is too stiff and leads to identical trends in the 4 critical
232 phenological dates. However, the US maize plants seems to have different or even
233 opposite temporal shifts in different phenological dates as reported by Sacks and
234 Kucharik (2011) like an advance in planting and emergence date while delay in
235 maturity date during 1981-2005. Thus, a more flexible way to characterize the
236 different trends in the four phenological dates is needed.

237

238 Among the numerous methods for deriving seasonal parameters from the time-series
239 vegetation index, the threshold method, which assumes that a specific phenology will
240 start when the vegetation index value exceeds a threshold, is widely used because it
241 generally keeps dates within a certain reasonable range and can achieve relatively
242 high accuracies. In general, threshold is usually selected based on crop types. In this
243 study, the WDRVI of 18 is set as threshold based on trials when comparing the
244 estimation with NASS reported emergence date and maturity date for 4 states. We
245 used a hybrid method by merging the advantage of SMF in extracting the silking and
246 dent dates and the threshold method in extracting the growing start (emergence) and
247 ending (maturity) date (Fig. 2). Furthermore, SMF was restricted to only fit WDRVI
248 curve for a specific range, where WDRVI is above its 40% peak value, so the
249 estimated parameters are mainly relevant to the silking and denting phenological
250 information. Before applying the threshold method, the WDRVI curve is firstly
251 smoothed using a robust smoothing-spline approach to reduce the signal

252 noise (Keenan *et al.*, 2014). To minimize the impact of maize pixels contaminated by
253 clouds, cloud shadow and aerosol loading, a 3*3 windows is used to filter the data. In
254 each 3*3 windows, only those with more than 4 maize pixels were selected for
255 phenology extraction, so there were multiple observational vegetation index data to
256 constrain the optimization model, which can thus improve the stability of parameters
257 estimation. In addition, the searching boundary for the scaling parameter $yscale$ and
258 $xscale$ was empirically set as [0.4, 1.8] to ensure the extracted phenological date
259 within a reasonable range. Finally, approximate 8 million grids containing the 4
260 critical phenological date over 16 years were retrieved. When the MODIS extracted
261 emergence date was aggregated to the state level and compared with the NASS CPR,
262 we found a systematic bias in emergence dates that MODIS estimated emergence
263 dates were 7.6 days later than the NASS report date. This systematic bias might result
264 from the selection of WDRVI threshold. Then this systematic bias was deducted from
265 the MODIS derived emergence date before comparison. Nevertheless, the bias will
266 not influence the estimation of grain filling starting and ending date. The state level
267 comparisons show a good agreement for the four key phenological stages with the
268 RMSE ranging from 1.6 (silking date) to 4.4 days (dent date) (Table 1).

269

270 Finally, the GFP and grain filling GDDcrit trend was analyzed in 4km grid cell to
271 keep consistent with the spatial resolution of climate data. This larger grid size than
272 the original resolution of MODIS data (250m) brings more phenological samples for
273 trend analysis, thus a stronger statistical inference can be made.

274

275 **Yield stability and GFP.** Generalized additive regression model (GAM), an effective
276 and flexible method to characterize nonlinear effects of explanatory variables, was
277 used here to explore the relationship between yield stability and GFP. Coefficient of
278 variation and standard deviation of county yield over time were alternatively used to
279 represent the temporal stability of maize yield. The model was constructed based on R
280 package “mgcv” (Wood, 2006). The spline method was used as the smooth term. In
281 addition to GFP, climatic variables including multi-year mean precipitation, mean

282 daily temperature and vapor pressure deficit (VPD) during GFP over 2000-2015 were
283 also selected as the covariates. Both county level GFP and the trends in GFP were
284 alternately used as the explanatory variables, so the influence of the longer GFP in
285 space and GFP extension over time on yield stability was explored.

286

287 **Crop model simulations.** An agricultural system modeling platform APSIM version
288 7.7 is used here to simulate the benefit of GFP extension under future climate. APSIM
289 can simulate a number of crops under different climatic and management conditions,
290 and hence is used worldwide to address a range of research questions related to
291 cropping systems (Holzworth *et al.*, 2014). In particular, maize is simulated by the
292 APSIM-Maize module. The APSIM-Maize module is inherited from the
293 CERESMaize, with some modifications on the stress representation, biomass
294 accumulation and phenological development (Hammer *et al.*, 2010). This flexible
295 process-based model allows us to separately estimate the yield benefit of agronomic
296 practices like the cultivar shift indicated by higher thermal time requirement during
297 grain filling.

298

299 The MODIS data showed both the grain filling GDD_{crit} and GFP increased,
300 suggesting the GFP extension is likely to be associated with variety change, such as
301 the adoption of longer maturity variety. We designed three simulations to explore the
302 contribution of GFP extension to recent decades yield increase. All of the simulations
303 were forced with University of Idaho Gridded Surface Meteorological Data from
304 2000 to 2015. The parameter in APSIM, phase_tt(start_to_end_grain), defining the
305 GDD requirement from start grain filling to maturity was increased to drive a
306 prolonged GFP to emulate the adoption of longer maturity variety over this period.
307 Simulation sim1 is the control with no increase in variety GDD_{crit} ; simulation sim2
308 sets an increase in variety GDD_{crit} by 0.65% per year which characterized the
309 observed increasing rate in all counties; simulation sim3 sets an increase in GDD_{crit}
310 by 0.82% per year which represented the observed increasing rate in GFP prolonged
311 counties. The soil parameters, like soil hydraulic properties and soil organic matter

312 fractions were extracted from the State Soil Geographic (STATSGO) data base, as
313 collected by the National Cooperative Soil Survey over the course of a century. For
314 each simulation grid, the soil information was queried through R package ‘soil DB’
315 (<http://ncss-tech.github.io/AQP/>). Management information like planting density and
316 fertilizer application amount was taken from the USDA NASS survey report at state
317 level. Crop sowing date was derived from the Crop Calendar Dataset (Sacks *et al.*,
318 2010). We used generic maize hybrids (‘B_110’) provided by APSIM version 7.7 to
319 run the simulation.

320

321 To investigate the yield benefit of longer GFP until 2060-2070, we constructed two
322 simulations for climate forcing data from historic (2000-2015) period and two future
323 climate scenarios (RCP2.6 and RCP6.0), respectively: one is the control simulation,
324 where the maize GDD_{crit} was set as a constant using generic cultivar parameters
325 (‘B_110’); the other one is the GFP prolonged simulation, where GDD_{crit} was
326 increased by 0.82% per year to be consistent with the current advance in maize
327 cultivar based on historical MODIS image analysis. For the historic period simulation,
328 the climate forcing data during 2000-2015 was recycled until 2070. For the future
329 climate scenarios, three climate forcing data was used to account for the climate
330 model uncertainty in global temperature: Institute Pierre Simon Laplace CM5A Earth
331 system model (IPSL-CM5A-LR), Geophysical Fluid Dynamics Laboratory Earth
332 System Model with Generalized Ocean Layer Dynamics component (GFDL-ESG2G)
333 and the Hadley Centre Global Environment Model, version 2-Earth System
334 (HadGEM2-ES). As a C_4 plant, maize plants loss less water in response to future
335 enriched atmospheric CO_2 , which is modeled by enhanced transpiration efficiency in
336 APSIM. The CO_2 concentration is set as 380 ppm for the historic simulation while
337 increased to follow the concentration trajectory defined in RCP2.6 and RCP6.0
338 (Meinshausen *et al.*, 2011). The soil parameters and management information here
339 followed the previous simulations sim1 (sim2, sim3). Then yield increasing rate in
340 2060-2070 is calculated by (yield with prolonged GFP – yield in control
341 simulation)/(yield in control simulation) with three climate forcing data: historic

342 period, RCP2.6 and RCP6.0.

343

344 **Conceptual model of GFP trend analysis.** GDD during GFP can be generally
345 written as:

$$346 \quad GDD_8^{35} = \int_{\text{silking}}^{\text{maturity}} DD_t, DD_t = \left\{ \begin{array}{l} 0, \text{ when } Tmean < 8 \\ Tmean - 8, \text{ when } 8 \leq Tmean < 35 \\ 27, \text{ when } Tmean \geq 35 \end{array} \right\} \quad (4)$$

347 8, 35 means the lower and upper bounds of daily mean temperature (Tmean) to
348 calculate GDD. As most of Tmean is within this range, it can be approximately
349 written as:

$$350 \quad GDD_8^{35} \approx GFP \cdot (Tmean - 8) \quad (5)$$

351 Then the GFP trend can be rearranged as:

$$352 \quad \frac{dGFP}{GFP \cdot dt} \approx \frac{dGDD}{GDD \cdot dt} - \frac{d(Tmean - 8)}{(Tmean - 8) \cdot dt} \quad (6)$$

353 So GFP trend ($\frac{dGFP}{GFP \cdot dt}$) can be approximately estimated by GDD trend minus
354 Tmean trend. As Tmean trend is very small (Fig. S4), GFP trend is mostly driven by
355 GDD trend.

356

357 **Yield benefit analysis using statistical method.** We conducted a panel analysis to
358 quantify the statistical contribution of increasing GFP to the observed increase of
359 maize yield. A linear model considering the fixed effects in each year and county was
360 used:

$$361 \quad \log(Yield_{i,t}) = \beta_1 * GFP_{i,t} + Year_t + County_i + \varepsilon_{i,t} \quad (7)$$

362 where $Year_t$ and $County_i$ specify independent intercept of each year and county.

363

364 **Results and Discussion**

365 The verification at state level showed a good agreement between MODIS derived
366 maize phenology and the National Agricultural Statistics Service (NASS) reported

367 state mean phenological dates for the four key maize growth stages of emergence (late
368 May), silking (Middle July), dent (late August) and maturity (late September) (Fig. 3).
369 The root mean square error (RMSE) of the 4 phenological dates estimated over the
370 four states ranged from 1.6 days (silking date in Nebraska) to 4.4 days (dent date in
371 Nebraska) (Table 1). The duration between emergence and maturity is used to
372 represent maize total growth period, and the duration between silking and maturity
373 dates is used to define the GFP. Across the four states, GFP generally starts from
374 around day of year (DOY) 200 and ends by DOY 260 but varied interannually (Fig.
375 3).

376
377 GFP trend was analyzed on a 4km grid to keep consistent with the spatial resolution
378 of climate data (Abatzoglou, 2013). We found there were significant trends of maize
379 phenology, with silking dates becoming earlier in 61% of the pixels and more pixels
380 (84%) exhibiting a later maturity date (Fig. S2). This resulted in a significant
381 extension of the GFP over 81% of the pixels during the 16-year analysis (Fig. S2).
382 This trend of GFP obtained from satellite data is similar to NASS reports when
383 aggregated to state level (Fig. 4). This is also in line with the study over the U.S. Corn
384 Belt from Sacks and Kucharik (Sacks and Kucharik, 2011) that was conducted for the
385 earlier period of 1981-2005 based on NASS state reports.

386
387 The spatial variation of the GFP trends shows increasing trends in most Midwest areas
388 and decreasing trends in drier areas like western Nebraska (Fig. 5a). The spatial mean
389 of the GFP trends across the four states is 0.37 days per year with interquartile values
390 ranging from 0.09 to 0.68 (Fig. 5b). When aggregated to the county level, 79% of the
391 counties exhibit a significant increase in GFP (Fig. 5a). As the longer GFP might be a
392 result of increased variety thermal time accumulation, we also looked into growing
393 degree days (GDD). GDD is a commonly used metric to measure thermal time
394 accumulation of crops and the critical threshold GDD_{crit} at which GFP is fulfilled is
395 an important physiological trait of maize cultivars. The GDD_{crit} calculated from
396 satellite and climate data shows trends that have a similar spatial structure than the

397 GFP trends, with a mean rate of increase of 0.65% per year (Fig. 5c and d). The small
398 warming trend observed in the study area (Fig. S4) would have shortened GFP (Egli,
399 2004), if GDD_{crit} keeps constant. Thus the observed longer GFP is likely to be
400 associated with variety shifts, marked by the concurrently increasing GDD_{crit} . As
401 GDD_{crit} reflects the thermal time requirement of a specific cultivar to achieve grain
402 filling, the increasing GDD_{crit} over time (Fig. 5c) and the higher GDD requirement
403 from emergence to maturity in south counties with warmer temperature (Fig. 6 and
404 Fig. S5) suggest that farmers have switched to use longer maturity cultivars to
405 compensate for the negative impact of warmer temperatures which otherwise shorten
406 the overall growing season length and the GFP (Çakir *et al.*, 2004; Dwyer *et al.*, 1994;
407 Egli, 2004; Sacks and Kucharik, 2011).

408
409 Evidence from agronomical research shows that extended GFP contributes a higher
410 yield by providing more time to translocate photosynthates to kernels (Crosbie and
411 Mock., 1981; Wang *et al.*, 1999). With equation (7), the estimated yield benefit β_1 (%
412 per day) defining the sensitivity of yield to GFP is $0.86 \pm 0.03\%$ (\pm standard error,
413 SE), indicating that one additional day of GFP increased maize yield on average by
414 0.86%. According to this empirical relationship and the estimated total yield trend
415 (1.4% per year), the lengthening of GFP observed in the MODIS data is inferred to
416 have contributed to $23 \pm 0.7\%$ (\pm SE) of the maize yield trend for all of the studied
417 counties (Fig. 7a). This contribution was computed as:

$$418 \text{Contribution} = \beta_1 \times \text{GFP increasing trend} / \text{Yield increasing trend} \quad (8)$$

419
420 Equation (8) was also applied to the NASS reported maize phenological data at state
421 level. In this application, the fixed effect term $County_i$ for each county was replaced
422 with the state fixed effect $State_i$, and the estimated value of β_1 was slightly higher
423 ($1.08 \pm 0.18\%$ per days) compared to the above estimation (Fig. 7a). Given the
424 mean GFP trend (0.43 ± 0.12 days per year), which is also based on NASS report, this
425 empirical estimation solely based on NASS report suggests GFP prolongation

426 contributed $31 \pm 4.8\%$ of the maize yield trend, which is slightly higher than the
427 above estimation based on satellite data analysis.

428

429 A previous study suggested the solar brightening during GFP is responsible for about
430 27% of the observed increase in US maize yield from 1984 to 2013 (Tollenaar *et al.*,
431 2017). However, we did not find a significant increase in solar radiation across the
432 four corn states considered during the study period when using the same solar
433 radiation dataset integrated over the grain filling period (Fig. S6).

434

435 When counties were grouped based on whether their GFP has increased or not,
436 counties where GFP increased showed on average higher increasing rates of GDD_{crit}
437 (0.82% per year) and grain yield (1.5% per year) compared to the mean of all the
438 counties (Fig. 7b). According to the estimated β_1 , the mean increase in GFP for those
439 counties is estimated to have contributed to $27 \pm 0.8\%$ ($\pm SE$) of the yield trend.
440 Alternatively, counties with decreasing GFP trend, perhaps resulting from the effects
441 of climatic warming overwhelming those of cultivars, showed a smaller yield trend of
442 1.0% per year (Fig. 7b). Alternatively, when equation (8) was applied to counties
443 grouped by warmer and cooler growing season mean temperature separately, a
444 significant ($p < 0.01$) lower yield benefit (β_1) was found in warmer counties (Fig. 7b).
445 This result implies that the yield benefit of GFP extension might be weakened in
446 future warmer climate. This analysis also explained why the yield benefit in GFP
447 prolonged counties was higher than the one estimated in GFP shortened counties (Fig.
448 7b), since these counties generally have a warmer background climate (Fig. S8).

449

450 To account for possible omitted variables in the above analysis, for instance if an
451 unobserved factor such as pest resistance affects both GFP and yield on a year-to-year
452 basis, we also conducted a regression comparing linear yield trends with GFP trends
453 over the study period as follows:

$$454 \text{Yield trend}_i = \beta_1 * \text{GFP trend}_i + \varepsilon_i \quad (9)$$

455 where i is the county indices. In this model, the effect of year-to-year variation in each

456 county is minimized, thus the significant slope (0.82% per day) primarily quantifies
457 the contribution of GFP trend to yield trend (Fig. 7c), which was close to the one of
458 the panel analysis (0.86% per day). The intercept term in this regression (1.1% per
459 years) indicates the yield trend with no GFP extension and is 27% lower than the
460 trends of GFP extended counties (1.5% per year), which is also consistent with the
461 above estimation.

462

463 To further guard against the impact of potential confounding factors which might be
464 not fully separated in the statistical models, the process-based crop model APSIM was
465 then applied to simulate the contribution of GFP extension to yield trend. In this
466 analysis, the variety GDD_{crit} parameter of the model was increased to simulate the
467 observed variety shift caused GFP extension. Three simulations were conducted: sim1
468 has no increase in GDD_{crit} ; sim2 assumes an increase GDD_{crit} of 0.65% per year from
469 the observed mean GDD_{crit} trend in all counties; sim3 sets a larger increase of GDD_{crit}
470 of 0.82% per year consistent with observed mean GDD_{crit} trend over a subset of
471 counties showing significant GFP increase. Compared to the results of sim1, the
472 modelled increasing trends of GFP in sim2 and sim3 were close to the observed GFP
473 trend (Fig. 8). The yield increase in sim2 and sim3 attributable to GDD_{crit} presents a
474 positive trend of 0.24% and 0.34% per year, respectively (Fig. 9), which thus
475 produces a close estimation of the contribution of GFP extension to yield trend (Table
476 2). The results from sim1 also confirm that the GFP extension was caused by shift in
477 varieties because the GFP is shortened by climatic warming where there is no increase
478 in variety GDD_{crit} (Fig. 8).

479

480 Climate change is also expected to exacerbate the variability of crop yields (Ray *et al.*,
481 2015; Wheeler and Braun, 2013). Therefore, we analyzed the influence of a prolonged
482 GFP on yield stability, another important dimension of food security (Campbell *et al.*,
483 2016). We used the coefficient of variation (CV) of yield in each county during
484 2000-2015 as an index of stability. A generalized additive regression model (GAM),
485 suitable to account for nonlinear effects of explanatory variables, was employed to

486 relate yield CV with GFP. We found that a longer GFP (Fig. 10a) and an increase of
487 GFP over time (Fig. 10b) correspond to lower CV of yield when accounting for the
488 climatic covariates, suggesting that longer GFP in both space and time is associated
489 with more stable yields. The reason might be that the selection of longer GFP
490 cultivars is associated with increasing stress tolerance and thereby reduces the
491 negative impact of warming on yield stability (Tollenaar and Lee, 2002).

492

493 Finally, the APSIM model was used to investigate the future benefit of maize
494 production across the US Midwest with three ensembles of future climate forcing data
495 to account for the climate model uncertainty in global temperature. The simulations
496 for the next 50 years suggest that if farmers are able to switch to longer maturity
497 variety (at the GDD_{crit} current rate of 0.82% per year), the maize GFP in 2060-2070
498 will be lengthened by 25% and 18% under the RCP 2.6 and RCP 6.0 (Fig. 11a),
499 respectively. This means an approximate 15 days extension of GFP under the RCP 2.6,
500 so the future maturity date still falls in a reasonable period for harvesting in these
501 simulations. Simulations indicate that a continuation of the GFP prolongation rate
502 would continue to benefit yields (Fig. 11b), albeit by a smaller amount in future
503 climate conditions compared to the historic period (Fig. 11c). Specifically, the
504 predicted 10.8% and 13.6% yield loss under RCP 2.6 and RCP 6.0 could be partially
505 offset by longer GFP, with a benefit of 7.2% and 5.6% under RCP 2.6 and RCP 6.0,
506 respectively. The reduced benefit of GFP results in part from the increasing water and
507 heat stress under a future warmer climate (Fig. S9), which could decrease yield
508 significantly during maize grain formation (Siebers *et al.*, 2017).

509

510 Overall, we found there was a significant GFP extension and concurrent increasing
511 GDD_{crit} during the last 16 years across the U.S. Midwest Corn Belt, which is likely to
512 reflect changes in the traits of maize cultivars. The GFP prolongation shows the
513 potential to increase the maize yield and also to stabilize the yield variability but its
514 yield benefit might diminish under future warmer climate. Although the GFP
515 information extracted here is mainly based on satellite observed canopy chlorophyll

516 content but not on ground identified kernel color development, this method estimated
517 a similar GFP trend and contribution of GFP prolongation to yield increase across the
518 US Midwest when compared with the state level statistical data and more importantly
519 it provided more detailed spatial information. Our study suggests that the historic
520 satellite data can be utilized to map field crop phenological traits at large scales with
521 fine spatial resolution to understand how farm management influence yield trend and
522 the climatic response of crop growth at specific stage. When the observed GFP
523 prolongation rate is applied up to 2070, the negative impact of climatic warming is
524 partially offset by lengthening the GFP, but the grain yield still decreased even in the
525 mild emission climate scenario, highlighting multiple adaptation strategies are
526 necessary for future agricultural management in the region.

527

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533

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667

668 **Tables**

669 Table1. RMSE (days) of 4 phenological stages estimation over four states

State	Emergence	Silking	Dent	Maturity
Illinois	4.0	1.9	2.8	3.4
Indiana	4.2	2.2	4.0	3.2
Iowa	2.9	4.3	3.3	3.6
Nebraska	3.1	1.6	4.4	3.0

670

671

672

673 Table2. Contribution of grain filling length extension to the maize yield increasing
 674 trend estimated using APSIM (\pm indicates the SE)

	GFP prolonged counties	All counties
GDDcrit increasing rate (% per year)	0.82	0.65
Simulated yield increase rate (% per year)	0.34	0.24
Observed yield trend (% per year)	1.5 ± 0.07	1.4 ± 0.08
Contribution	$23 \pm 1.6\%$	$17 \pm 1.1\%$

675 **Figure captions**

676 **Figure 1.** (a) Trends in maize yield for each county, where the empty counties mean

677 that county has less than 12 years available data. (b) Mean maize yield increasing rate
678 for all counties. The error bars indicate the spatial variation of maize yield for all
679 counties.

680

681 **Figure 2.** The procedure of hybrid maize phenological extraction by merging shape
682 model fitting and threshold based method. The blue line is the spline approach
683 smoothed WDRVI time series data and the red line is the scaled shape model fitting
684 and the dashed blue line indicates the threshold, which is set as 18 based on trials
685 when compared with the NASS reported emergence and maturity date for 4 states.
686 The circle on red curve indicates the phenological date determined by shape model
687 fitting. Here the silking and dent dates were determined by shape model fitting and
688 the emergence and maturity date were determined by the threshold.

689

690 **Figure 3.** Comparison of maize phenological dates between NASS statistical data and
691 MODIS-derived estimation aggregated over state level. The two dashed lines in each
692 figure define the region where the errors between MODIS-derived estimation and
693 NASS statistical data are less than 5 days.

694

695 **Figure 4.** Time series of MODIS derived (blue) and NASS reported (red) silking and
696 maturity date for 4 states during 2000-2015. The lines show the GFL trend estimated
697 by the non-parametric Theil-Sen fitting.

698

699 **Figure 5.** Trends in county-level grain filling length and grain filling GDD
700 (GDD_{crit}), (a) and (c), where the empty counties mean that county has less than 12
701 years available data. For a specific year, a county with a number of maize grid cells
702 less than 100 is regarded as unavailable. When estimating the trend, all of the grid
703 cells in a county were pooled. And all of the trends shown are significant. The inset in
704 (a) indicates GFP trend for the 4 states derived from NASS report and satellite data.
705 The error bars indicate standard deviation of spatially estimated GFP trend. The
706 distribution of grain filling length and GDD_{crit} trend in each 4km grid, (b) and (d).

707 The grey horizontal line illustrates the mean trend of GDD_{crit} or grain filling length
708 for all counties and the blue horizontal line illustrates the mean trend of GDD_{crit} or
709 grain filling length for the counties where GFP has extended. GFP is defined as the
710 period from silking to maturity. The grain filling length and GDD_{crit} trend was
711 estimated by the non-parametric Theil-Sen fitting.

712

713 **Figure 6.** Scattering of county level (332 counties) multiple year mean GDD from
714 emergence to maturity in temperature and precipitation space (points with black
715 circles indicate the counties with irrigated area > 50%).

716

717 **Figure 7.** GFP trend, yield benefit of GFP prolongation and contribution of GFP
718 prolongation to yield increase. (a) GFP trend, yield benefit (β_1) and GFP contribution
719 to yield increase estimated from NASS report and MODIS derived maize
720 phenological progress data. GFP contribution was computed as: $\beta_1 \times$ GFP increasing
721 trend / Yield increasing trend. The scales for GFP contribution to yield increase are
722 shown in right y-axis. (b) GDD_{crit} trend, yield trend and yield benefit of GFP
723 extension (β_1) based on counties grouped by whether their GFP have prolonged or not.
724 Yield benefit was also separately estimated by grouping growing season mean
725 temperature. Warmer and cooler counties were divided according to the median value
726 of growing season mean temperature. The yield benefit is then estimated by applying
727 equation (8) to each group. The scales for yield benefit are shown in right y-axis. The
728 error bars in (a) and (b) indicate the SD of each estimation. (c) The effect of GFP
729 trend on maize yield trend. Each point corresponds to one county's trend in GFP and
730 yield during 2000-2015.

731

732 **Figure 8.** Simulated grain filling length to explore the contribution of grain filling
733 length to the growing maize yield using APSIM 7.7. sim1 is the control without grain
734 filling prolongation; sim2 is to increase GDD_{crit} by 0.65% per year to characterize the
735 observed GDD_{crit} trend in all counties; sim3 is to increase GDD_{crit} by 0.82% per year
736 to characterize observation of GFP prolonged counties. The left panel shows the mean

737 time series of GFL in simulation 1 and the right panel shows the GFL difference.

738

739 **Figure 9.** APSIM 7.7 simulated maize grain yield with different rate of GFP
740 prolongation to explore the contribution of grain filling length to growing maize yield.

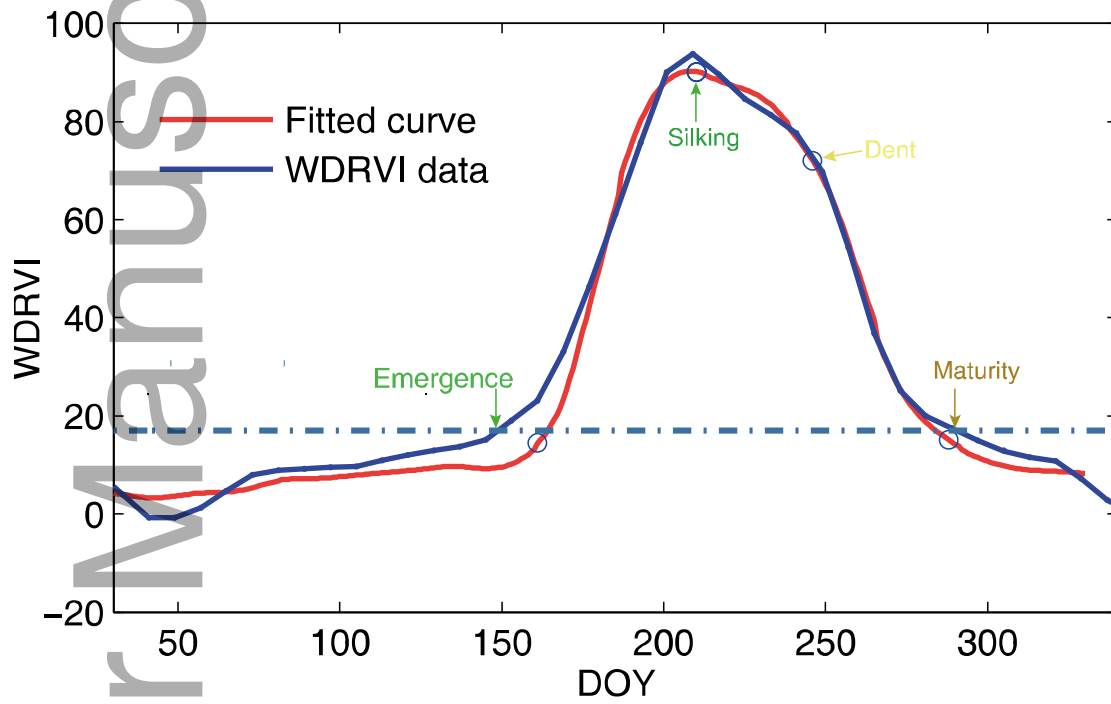
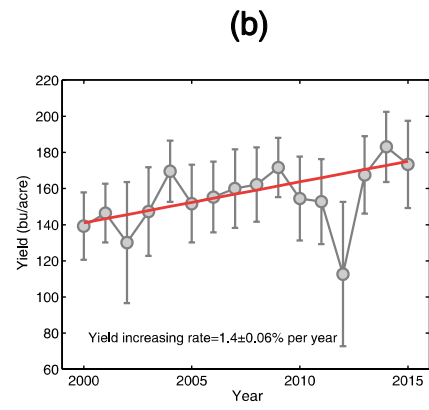
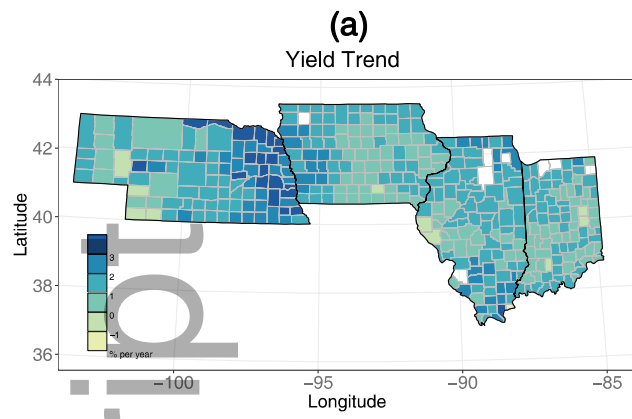
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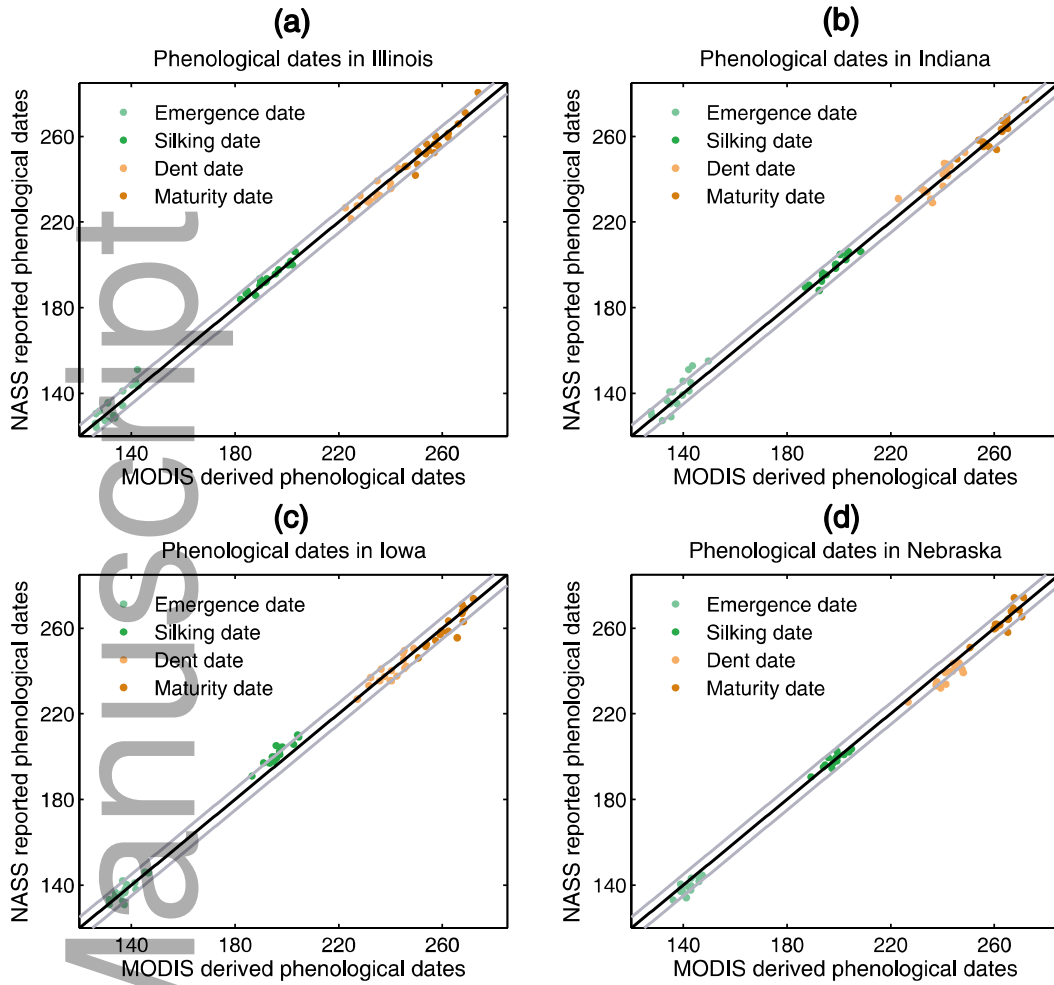
742 **Figure 10.** The effect of grain filling length on maize yield stability. Coefficient of
743 variation (CV) of the yield in each county over 2000-2015 as a function of (a) the
744 multi-year mean grain filling length, and (b) the trend of the grain filling period. Both
745 longer GFP across different counties in space (a) and time (b) are associated with a
746 smaller CV of yield, that is, more stable yields. The shaded areas indicate the 95%
747 confidence interval. Each small bar next to the horizontal line is a value observed for
748 a county.

749

750 **Figure 11.** The benefit of prolonged grain filling period for maize yield in future
751 climate. Boxplot of grain filling length (a) and maize yield (b) simulated with the
752 APSIM model running up to 2060-2070 assuming constant (yellow) or linearly
753 increasing GDD_{crit} at the same rate than during the past 16 years (blue) in comparison
754 with the historic period 2000-2015. (c) Comparison of maize yield benefit with
755 GDD_{crit} increase at the rate of 0.82% per year in historic and future climate conditions.
756 Here yield increasing rate up to 2060-2070 is calculated by $(\text{yield with prolonged } GDD_{crit} - \text{yield with constant } GDD_{crit}) / (\text{yield with constant } GDD_{crit})$ using three
757 climate forcing data: 2000-2015, RCP2.6, RCP6.0 (see Method). The lines in the
758 middle of box represent median projection, boxes show the interquartile range, and
759 whiskers indicate the 5th–95th percentile of projections.

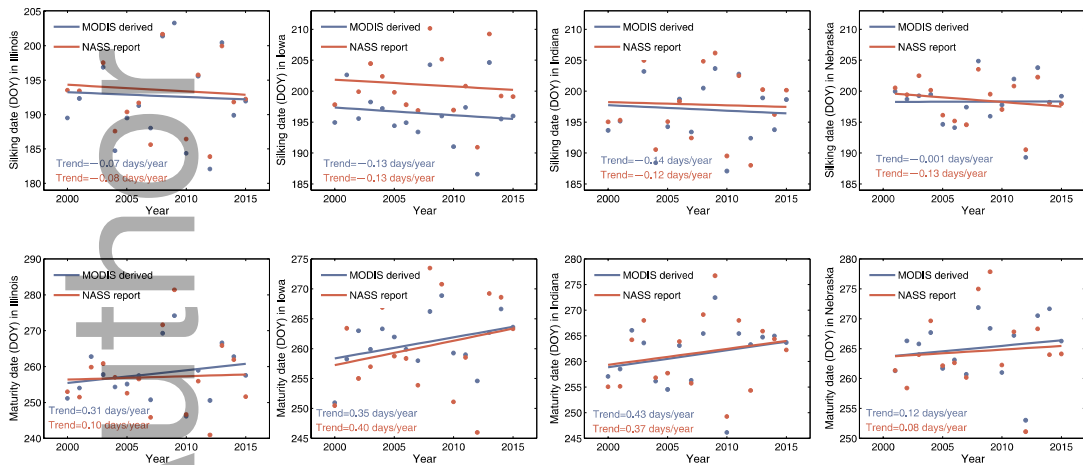
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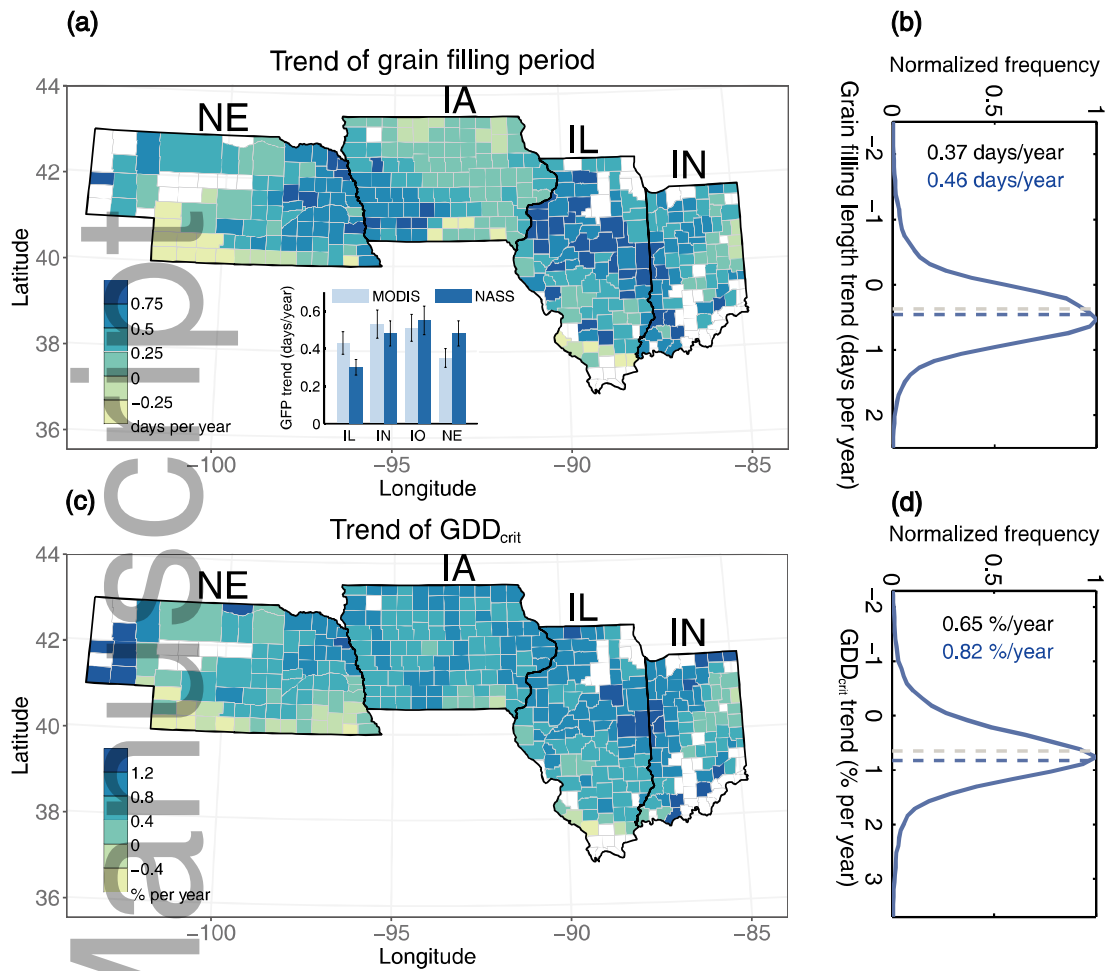
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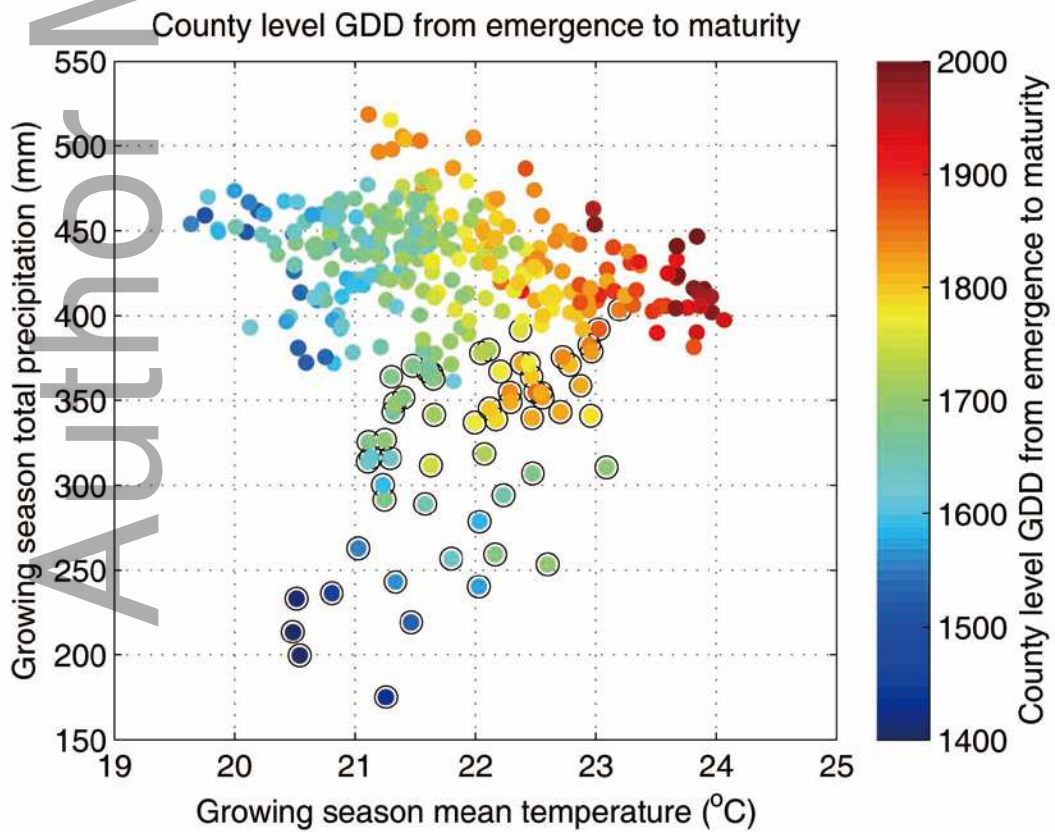


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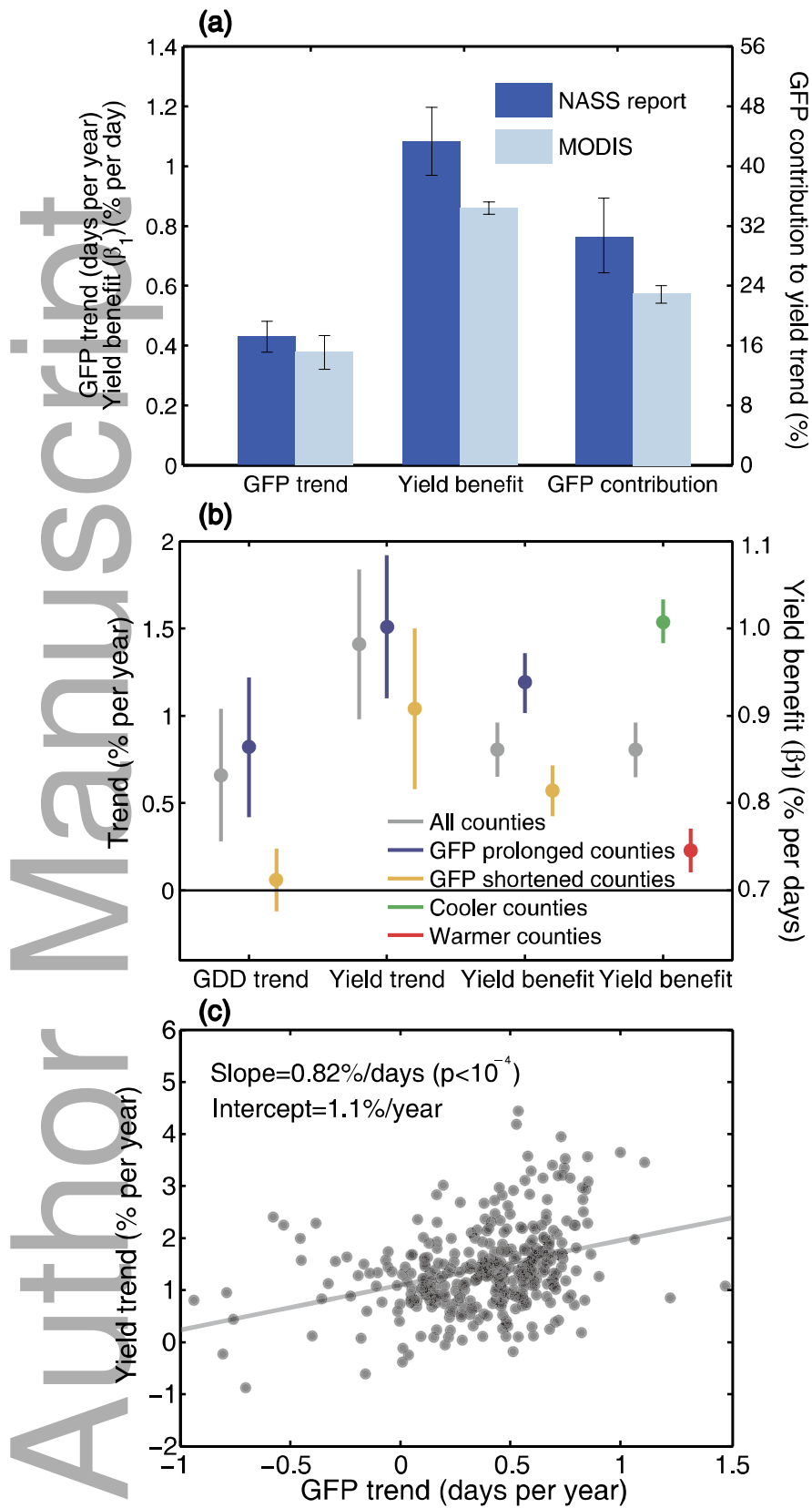
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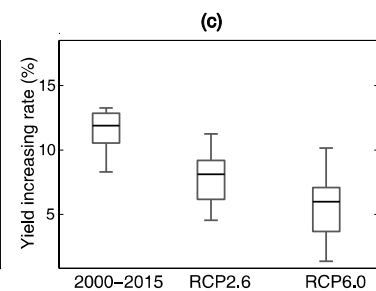
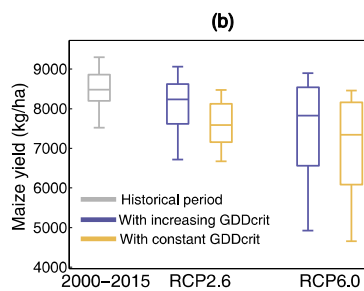
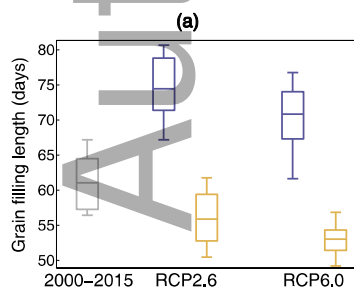
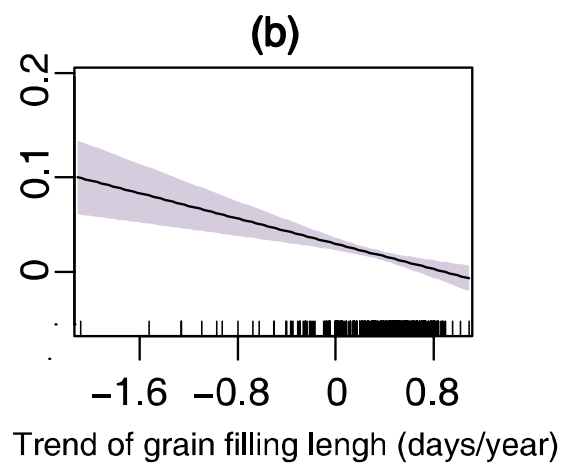
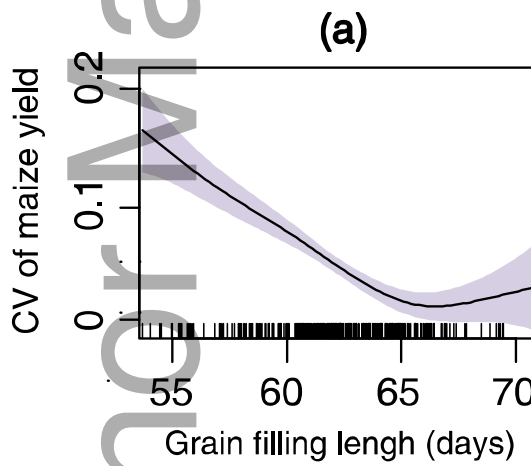
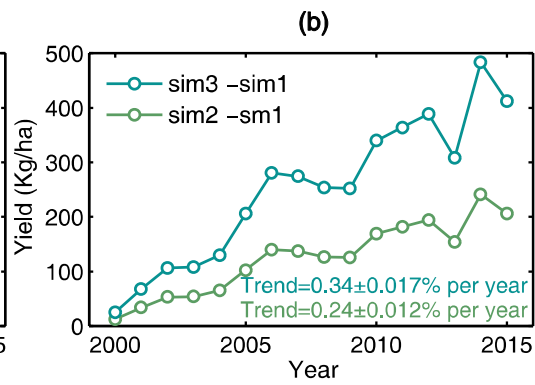
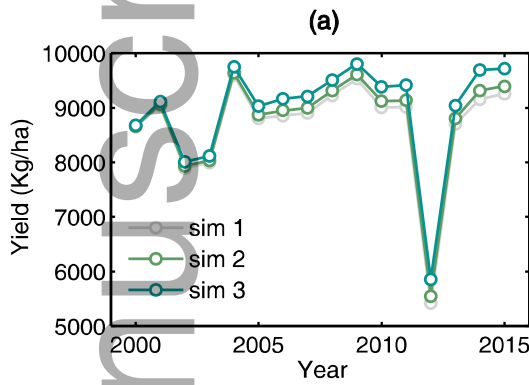
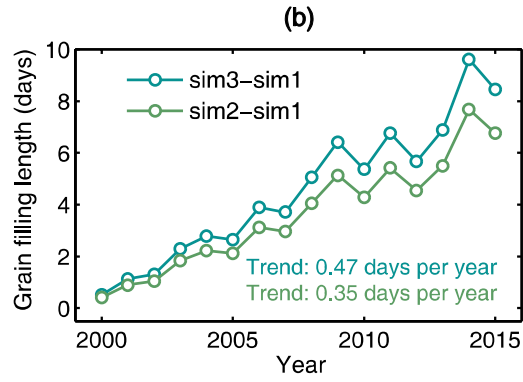
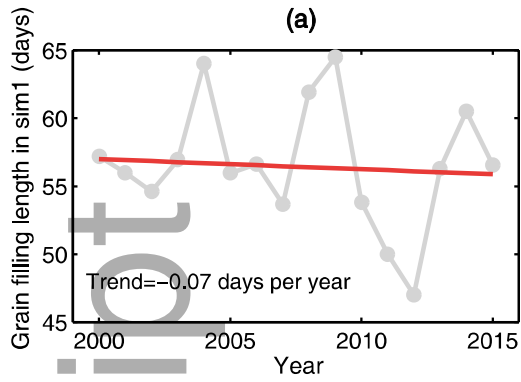


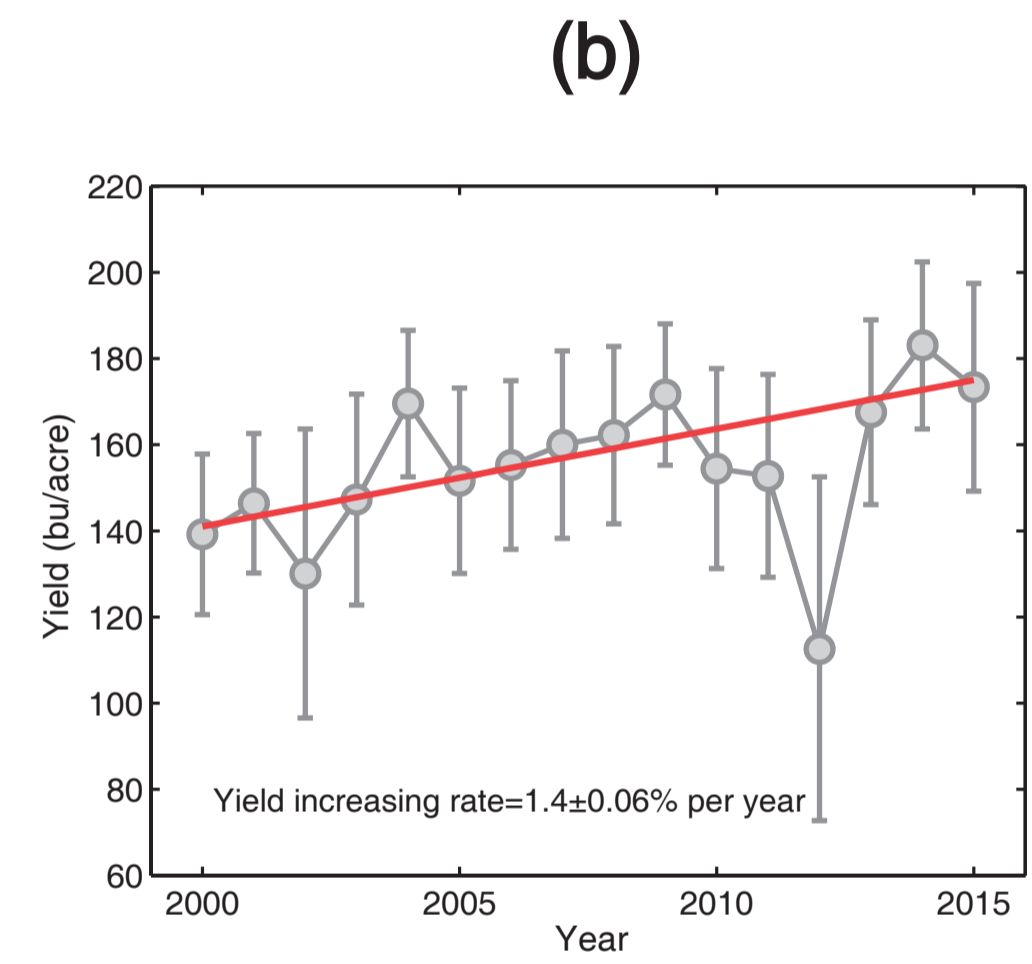
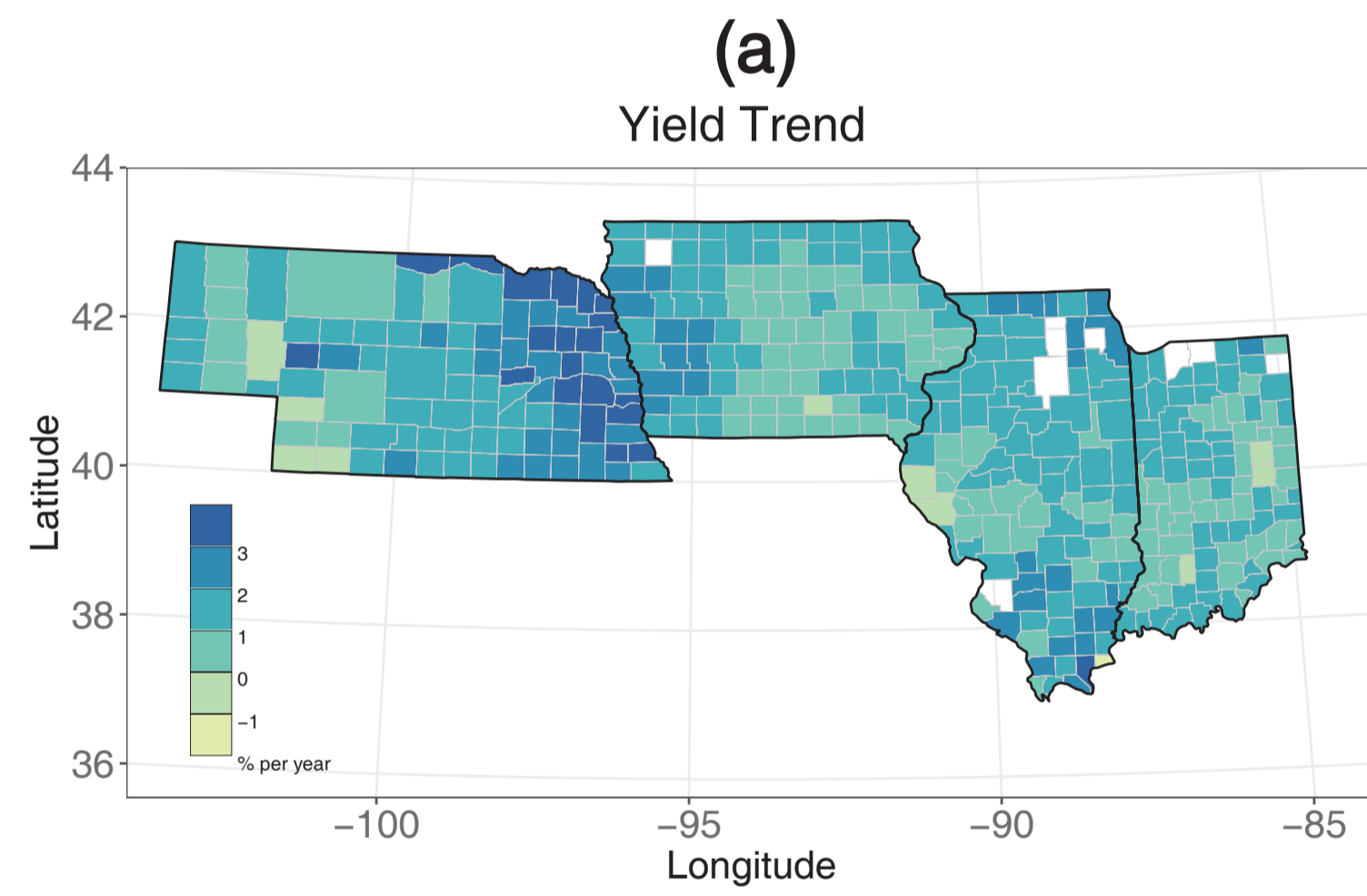
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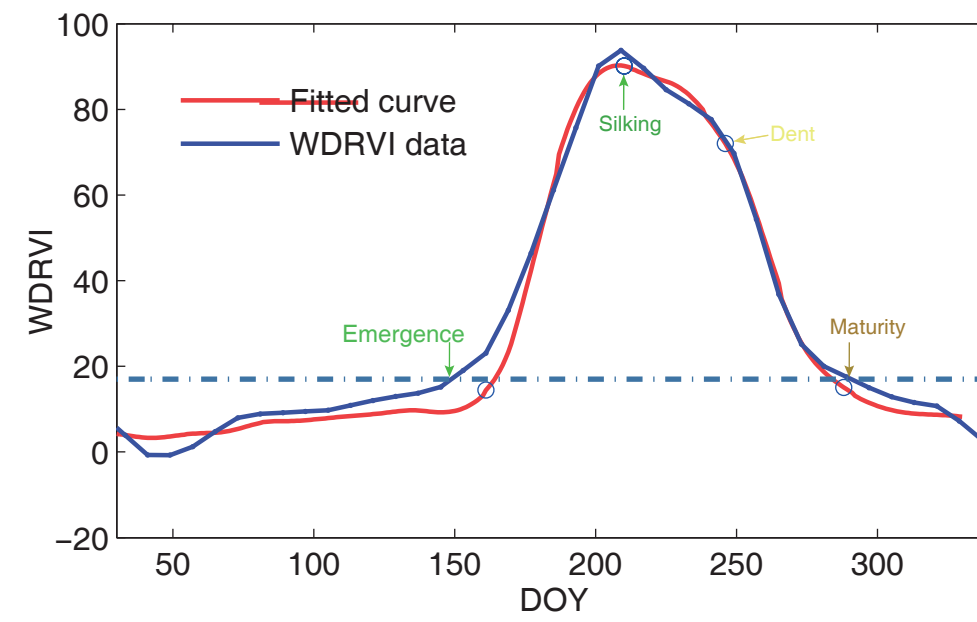


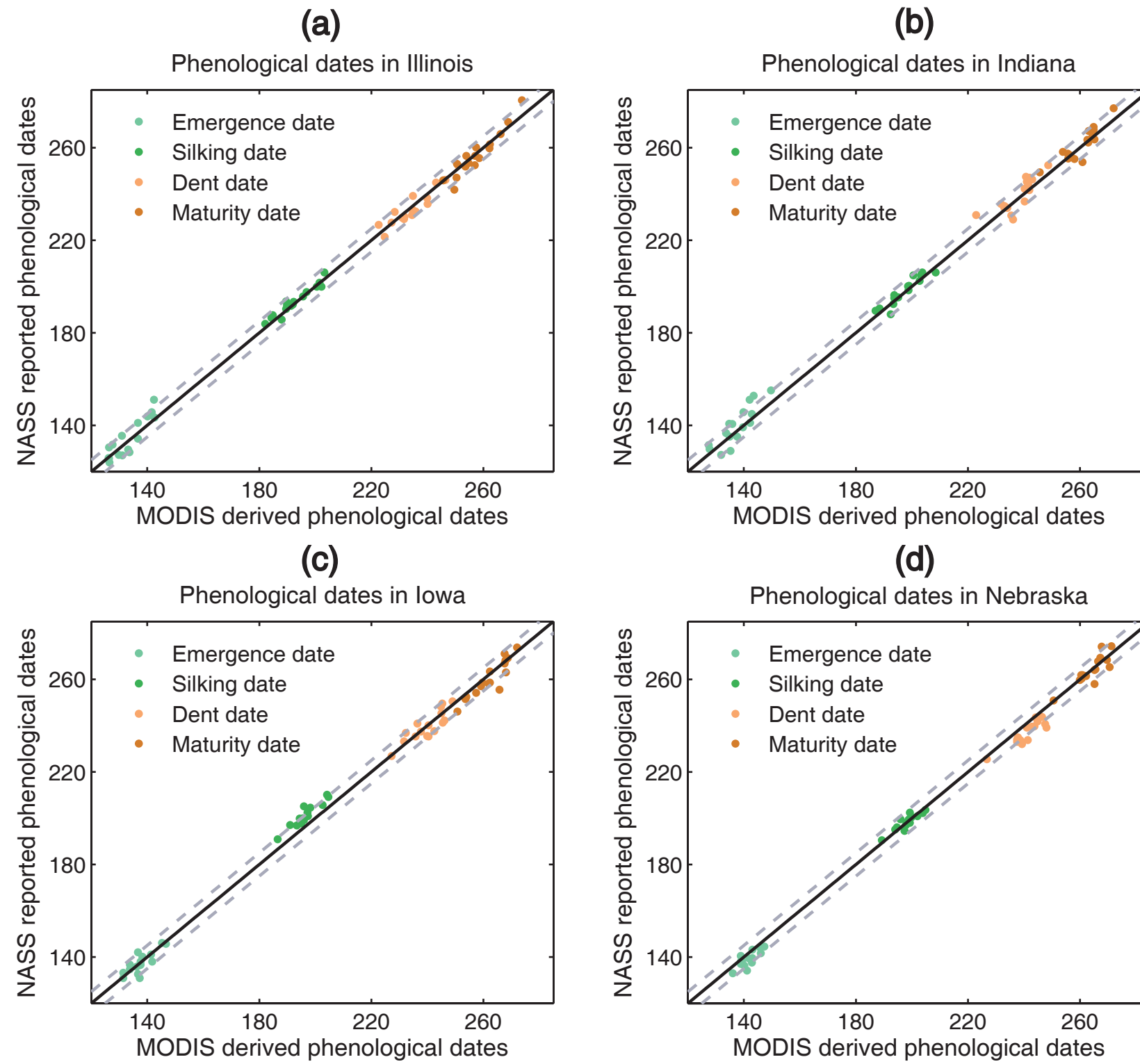
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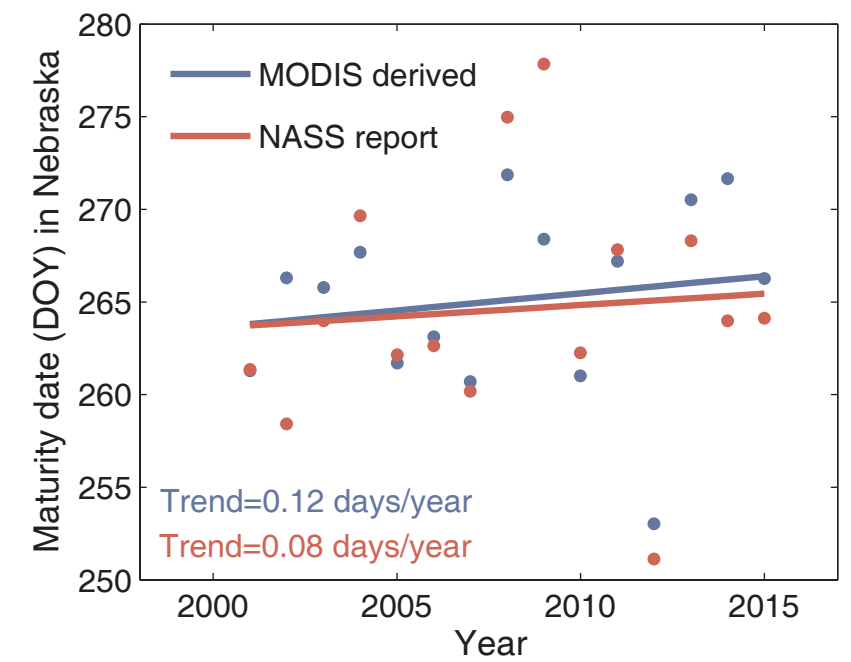
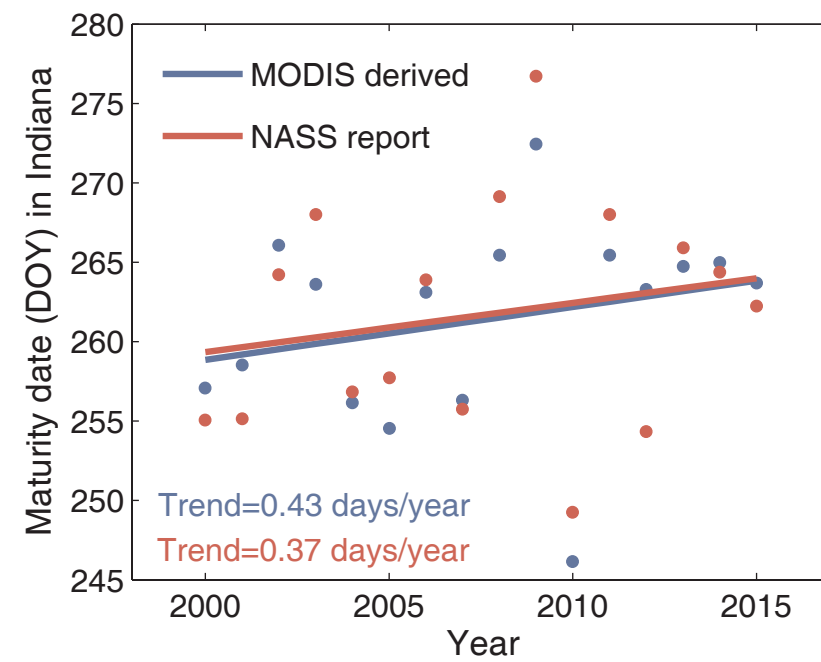
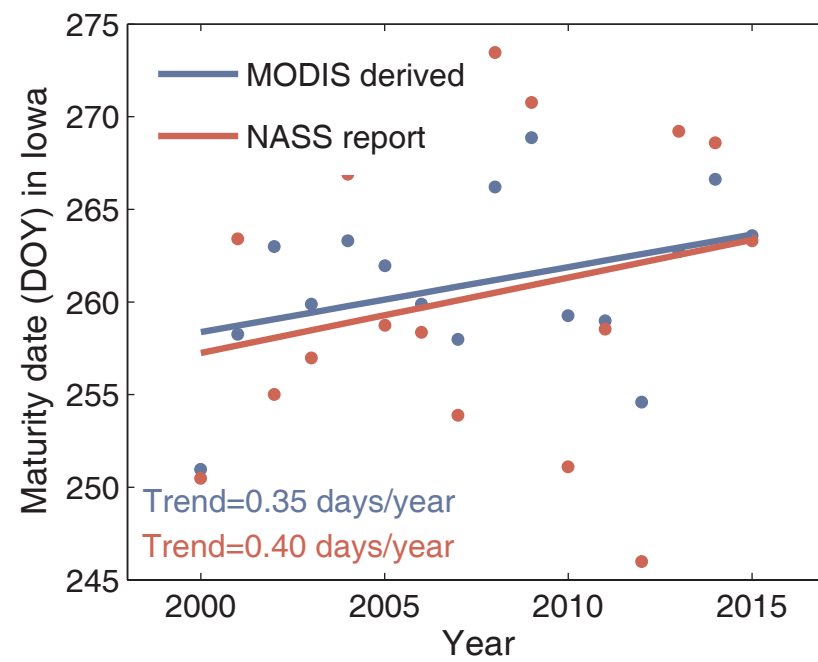
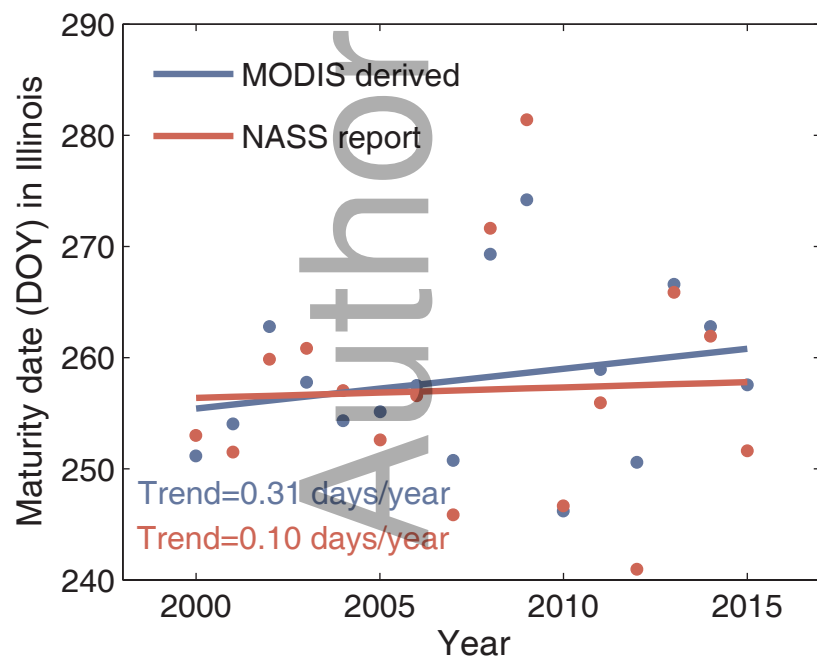
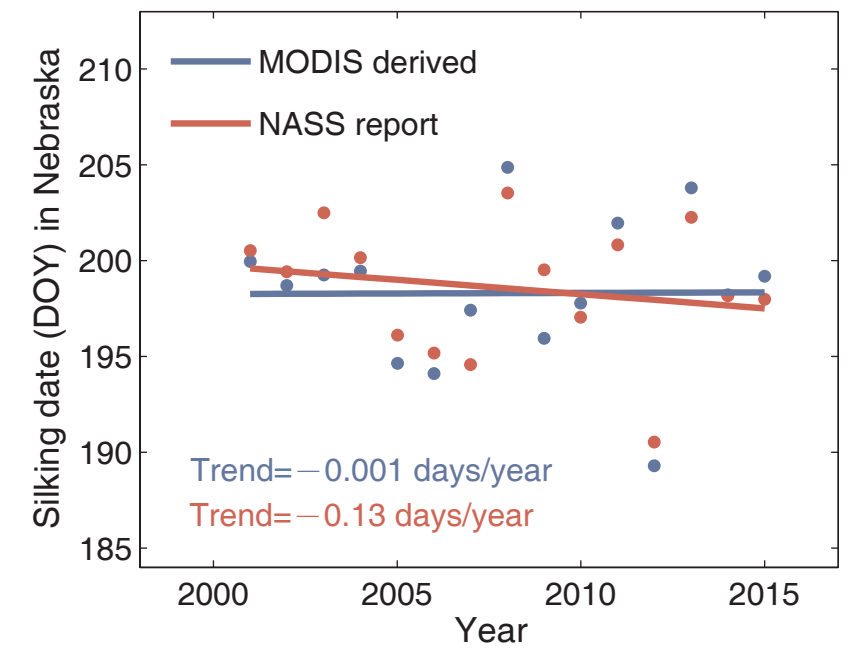
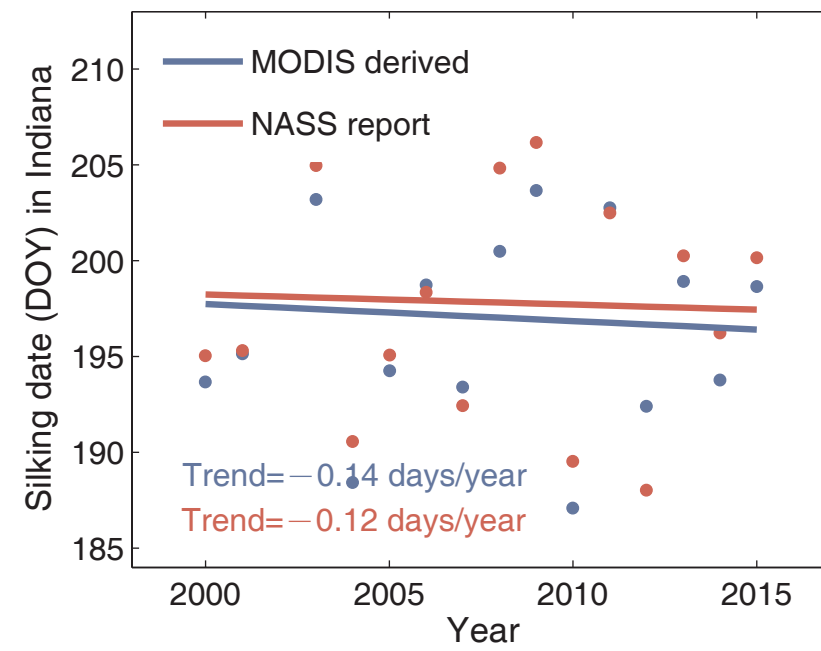
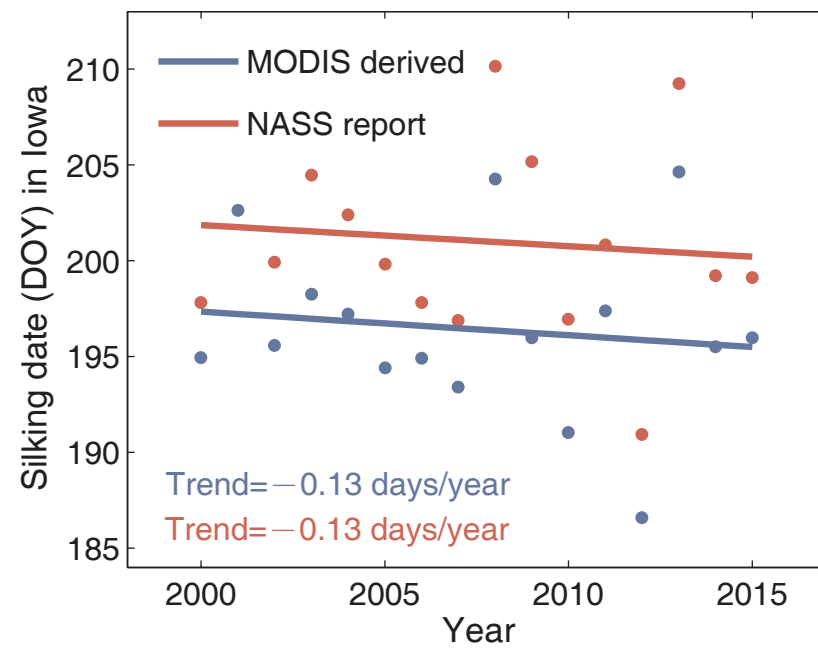
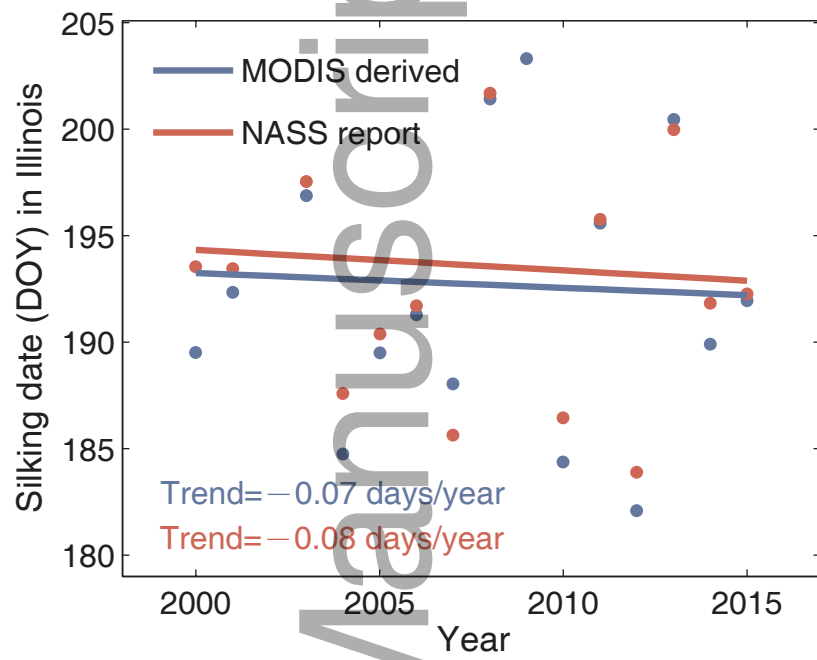


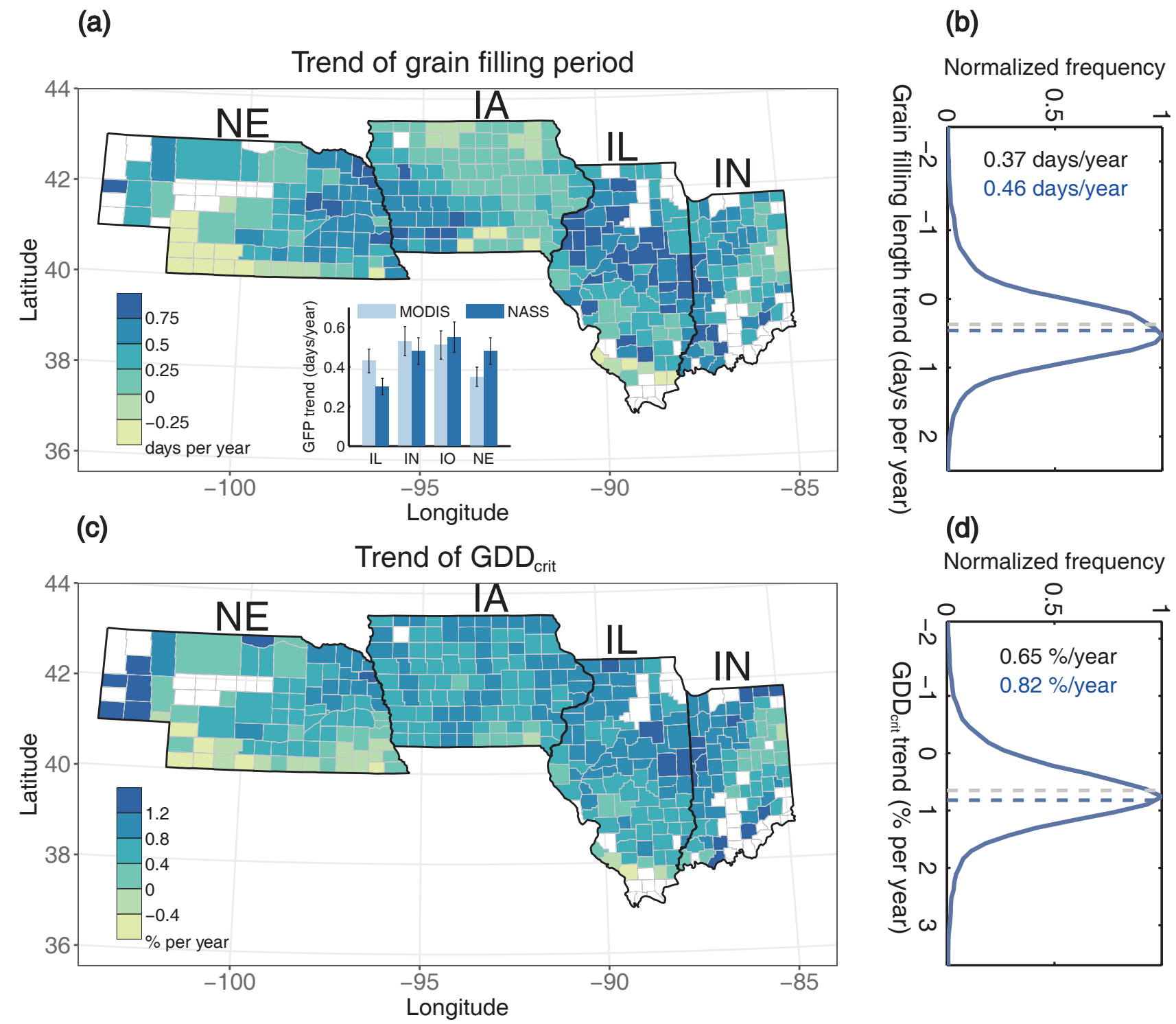




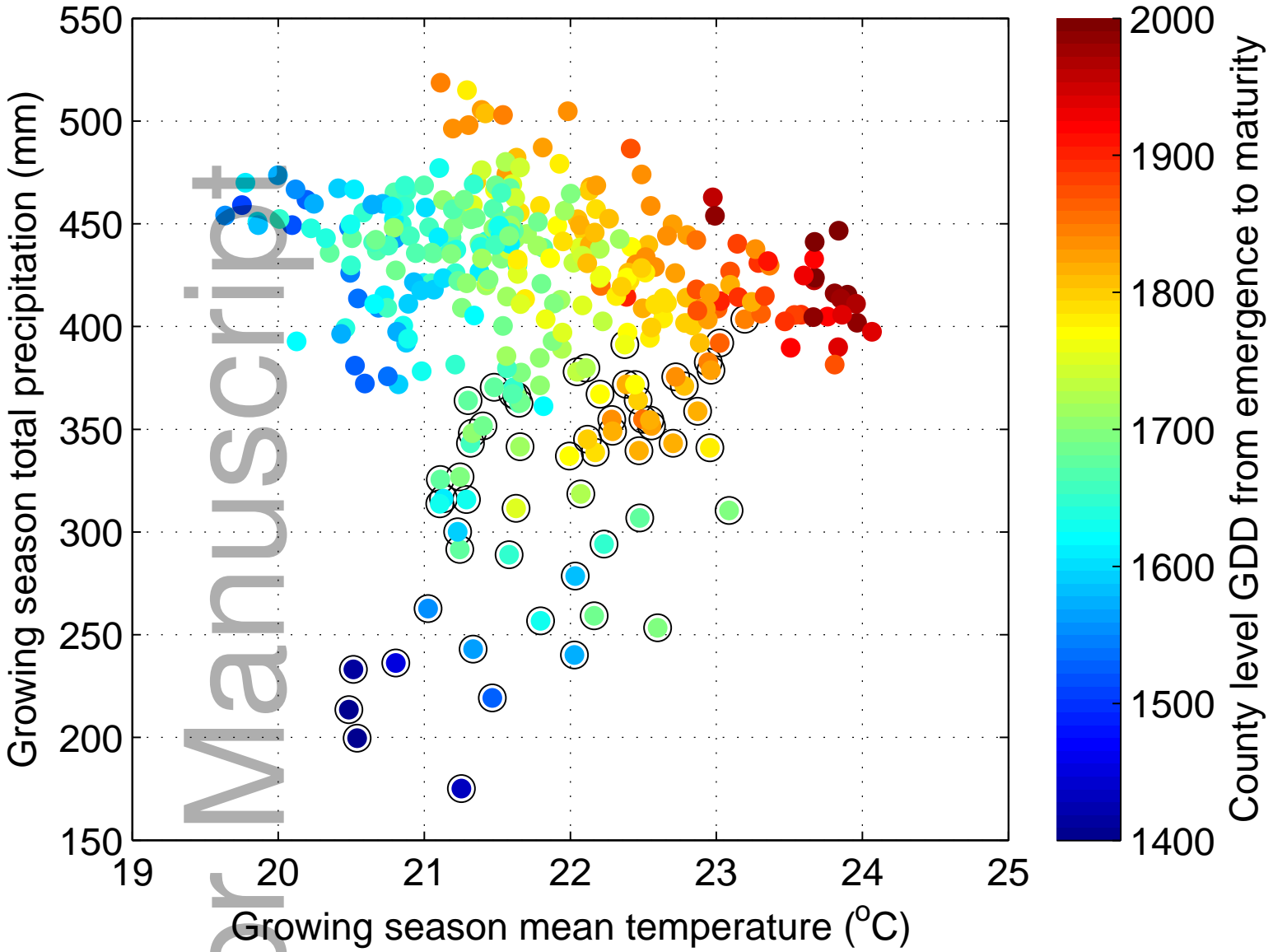








County level GDD from emergence to maturity



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