

# Implementation of a quality by design approach in the potato chips frying process

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15 Abstract:

The purpose of the article is to implement a holistic concept namely Quality by Design (QbD) 16 approach for assessment of deep frying of potatoes chips. Critical quality attributes (CQAs), 17 critical process parameters (CPPs) and quality target parameters (QTPs) were identified and 18 measured all along the chips processing chain in 98 independent experiments. Temperature, 19 time and oil quality usually used in the food industry were applied. Multilinear regression 20 (MLR) was conducted to identify the variables (CQAs and CPPs) that could explain variation 21 of the QTPs. An aggregation of significant QTPs was also performed in order to determine a 22 23 single value that could express final products quality coupled to MLR analysis. It was possible to identify the main CQAs and CPPs that can explain the variation of some QTPs 24 (colour a\*, "flavour roast" sensory attribute, pentylfuran content and acrylamide content) as 25 26 well as aggregated data.

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29 Keywords: Quality by Design, Potatoe Chips, Deep frying, Multilinear regressions, temperature

#### **30** 1. INTRODUCTION

31 Food consumer and retailer expectations are incessantly increasing, market requires safe and nutritious food that looks appetizing, tastes good, at an affordable price and with a minimal 32 environmental impact. To achieve consistency in all the product properties the process 33 conditions (path to endpoint or process signature) must also be kept under statistical control 34 [Kourti, 2006]. However, food materials are complex biological matrices, and the variability 35 introduced by the sequence of unit operations in food processing directly influences the 36 compositional and sensorial properties as well as the safety and the shelf-life of the final food 37 products. To reduce this variability, the strategies based on Quality Assurance can be quite 38 39 effective but are expensive and not flawless (Chen et al., 2011).

Therefore, the food producers must frequently manage poor repeatability of food quality 40 attributes and batch failures; unsuitable or noncompliant batches must be discarded or 41 42 reworked with high additional costs. To overcome these problems, the food industry is trying to shift to a novel holistic concept, the Quality by Design (QbD), which initially has been 43 implemented by the pharmaceutical industry in 2004 by the United States Food and Drug 44 Administration (FDA, 2004; Bakeev, 2010; van den Berg et al. 2013; Tajmmal Munir et al. 45 2015). The QbD hypothesis is that the quality of the food products should be incorporated 46 47 during their development by precisely designing and controlling the process, and not by postproduction quality testing (Rathore & Kapoor, 2017). Adoption of such innovative process 48 concept can also give a broader view of the parameters to be optimized to ensure safe and 49 50 high-quality food products (Cullen et al. 2014).

51 Examples of QbD applications in the food industry are increasing, even if examples of real 52 industrial during-production monitoring are rare in the scientific literature because it might 53 reveal confidential product and process information. In many cases there is, however, a clear need to bridge the gap between the many promising scientific reports and actual use of these
methods in the food industry (van den Berg et al. 2013; Panikuttira & O'Donnell 2018).

Among the industrial food processes, deep-frying is a common, but complex, multifunctional 56 57 unit operation for fast dewatering, texturing or cooking foods, which simultaneously involves heat and mass transfer. One of the most widespread fried products are the potato chips, whose 58 production embraces different steps, such as washing and peeling of raw materials, slicing, 59 blanching and dewatering, etc. Deep frying is considered the more critical step, because the 60 quality and safety of the final fried products are influenced by many factors, such as the 61 nature and composition of fried materials, the combination of processing time and 62 63 temperature, the heating profile, the oxidation status of frying oil, etc. (Rojo & Perkins, 1987; Vitrac et al., 2003; González-Martínez et al., 2004; Chatzilazarou et al., 2006; Romani et al., 64 2009; Kalogianni et al., 2010; Zhang et al., 2012; Kalogianni et al. 2017). 65

The main objective of the present study is to establish a Quality by Design approach in order to identify main quality parameters of the final products related with safety, taste and colour and to identified the useful quality and process parameters that can explain variation during production of deep-fried potatoes "chips". Another objective is the evaluation of suitable data aggregation strategies that could predict the quality and safety parameters of the final product.

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#### 72 2. MATERIAL AND METHODS

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#### 74 2.1 Fresh potatoes and frying oils

Homogenous 30 kg batches of potatoes (cultivar Agria) suitable for deep frying (Yang et al.,
2016) were provided by Frufesc (Disbesa Grup, Barcelona, Spain) during a period of 5 month
(from October to February). Each batch was used to carry out five frying experiments during

the same working day. The potato batch was randomly divided in 5 aliquots of 5 kg each,
which were processed sequentially along the same working day. Commercial fresh and
exhaust sunflower oil, commonly used in the industry were both provided by an industrial
manufacturer of potatoes chips (Grupo Siro, Palencia, Spain).

82

### 83 2.1 Frying equipment

The frying process was carried out with a continuous fryer model Frymatic24 (Nilma S.p.a., 84 85 Parma, Italy), with a maximum capacity of 40 kg/h, and equipped with an original Distribute Temperature Sensor (DTS) made by the Institute of Photonic Sciences (ICFO, Casteldefelds, 86 Spain). The DTS probes were based on Fibre Bragg Gratings (FBG) written in two optical 87 fibres. Each of the two probes consisted of five single FBGs, equi-spaced (15 cm) on the same 88 optical fibre, protected by a stainless tube and connected only at one end, on an armoured 89 patch-cord terminated with a FC/UPC connector. Therefore DTS probes recorded 90 simultaneously, each second, oil temperature in ten points along the frying tank (Figure 1). 91 Temperature values recorded by the two probes in the same position along the tank were 92 aggregated to define five temperature zones called E, M1, M2, M3 and Ex, where "E" zone 93 corresponded to the entrance of the potatoes in the frying tank, and the "Ex" zone 94 corresponded to the exit (Figure 1). Oil temperature was measured before starting  $(TO_{av})$  and 95 96 during  $(TC^{\circ}_{E}, TC^{\circ}_{M1}, TC^{\circ}_{M2}, TC^{\circ}_{M3}$  and  $TC^{\circ}_{Ex})$  frying process. The average temperature of the oil  $(TC^{\circ}_{av})$  was also calculated as the average of all the values recorded in the five zones at 97 98 the same time.

99

#### 100 2.2 Frying experiments

101 A specific design of experiment (DoE) was defined, based on 65 independent frying 102 experiments for the calibration set and 33 independent frying experiments for the validation 103 set. Independent variables considered in the DoE were: *i*) frying temperature (ranging from 104 150 °C to 175 °C; n = 5 levels), *ii*) time of frying (ranging from 150 to 180 seconds, n = 5105 levels) and *iii*) oil quality (ranging from 100% fresh oil to 100% exhaust oil defined as used 106 oil with a level of total polar material above 12%, n = 5 levels).

For all the frying experiments the same protocol was followed, which included: *i*) washing of
the fresh potatoes with cold water and peeling (potato peeler M5, Sammic S.L., Azkoita Spain) *ii*) immersion of peeled potatoes in cold-water, *iii*) slicing (Robot Coupe CL50 with a
1 mm disk, Dijon, France), and *iv*) final washing with cold water (5 °C).

Oil temperature and time of frying were precisely adjusted to the DoE by the controller of the continuous fryer. The frying tank was filled with 100 L of sunflower oil and oil quality was modified by mixing fresh with exhaust sunflower oil in established proportions according to the DoE. When oil reached the target temperature, a batch of about 4 kg sliced potatoes was loaded in the fryer.

116

117 2.4 Process monitoring and sampling

For each one of the 98 independents frying experiments (Calibration and Validation sets), nine CQAs of the raw material and nineteen CQAs (related to oil quality), were monitored during the frying process in addition to three critical process parameters (CPPs). Every day, before starting the frying experiments, ten potatoes were randomly selected from the potato batch, in order to assess the CQAs of the raw material before frying. Each sampled potato was cut in two halves; the first one was used to immediately measure the colour, the second one was divided in five aliquots, which were separately packed in multilayer PP-aluminium bags
and immediately stored at -80 °C.

Oil samples were taken during each frying process with a stainless spoon; samples were
immediately transferred in a 100 mL aluminium bottle (ISO Al 99.5; Bürkle, Bad Bellingen,
Germany), refrigerated with liquid nitrogen and stored at -80 °C for chemical analyses.

After processing, and taking out the first kg of sliced potatoes to stabilize the fryer, an aliquot of chips was taken for each one of the frying experiments, then packaged in multilayer PPaluminium bags and immediately stored at -80 °C for analysis of twelve QTPs (Quality target Parameters), including both chemical and sensorial parameters related with quality and safety. Average, standard deviation, maximum and minimum of all parameters (CQAs and CPPs) for calibration and validation sets are presented in table 1, while QTPs are presented in table 2.

135

#### 136 2.4.1 Colour measurement

Instrumental colour parameters in fresh potatoes samples, before frying, were measured with 137 a Konica Minolta chromameter Model CR-400 HS (Minolta, Tokyo, Japan) with an aperture 138 of 8 mm. In potatoes chips, after frying, a Konica Minolta chromameter Model CR-410 HS 139 (Minolta, Tokyo, Japan) with an aperture of 50 mm was used. In both cases, the equipment 140 was set up for illuminate D65 (2° observer angle) and calibrated using a standard white 141 reflector plate. On the Model CR-400 HS, 5 points were measured for each samples while for 142 the Model CR-410 HS, 3 measurements were taken in succession on a batch of chips. 143 144 Readings were obtained applying the standard CIE 1976  $L^*$ ,  $a^*$  and  $b^*$  (1976) colour system space. 145

146 2.4.2 Total Soluble Solids Content

Total Soluble Solids (TSS) content in fresh potatoes was determined by using a Quick Brix
TM90 (Mettler Toledo GmbH, Giessen, Germany). Potatoes samples were smashed, and one
drop placed on the refractometer glass, measurements were done in triplicate.

150 2.4.3 Sugars Content

Sucrose, Glucose and Fructose content in fresh potatoes were quantified by HPLC-RI 151 following the method of Folgado et al., (2014). Briefly, fresh potato samples (4 grams) were 152 homogenised and extracted two times with cold (-20 °C) ethanol 95%. After centrifugation, an 153 aliquot of the ethanolic fractions was evaporated with N<sub>2</sub>, re-dissolved in 0.5 mL of ultrapure 154 155 water, membrane filtered (pore size 0.2 µm) and injected in the HPLC system (20 µL). Chromatographic separation was carried out with a binary pump 515 equipped with a 2414 156 Refractive Index detector (Waters, Milford MA, USA) and an Aminex HPX-87C 300 x 7.8 157 mm column (Bio-Rad, CA, USA) thermostated at 80 °C. Isocratic elution was carried out with 158 ultrapure MilliO<sup>®</sup> water (Merck KGaA, Darmstadt, Germany) at a flow of 0.6 mL/min., and 159 quantification was made with an external calibration curve. 160

161

#### 162 2.4.4 Oil oxidation parameters

Total Polar Material (TPM) in oil was quantified during frying with a cooking oil tester mod.
270 (Testo, Lenzkirch, Germany). Results were express in percentage (%) of Total Polar
Material. Data was collected in triplicate during each frying process. Peroxide Index, Acidity
Index and p-anisidine value in frying oil were assessed with a FoodLab Fat system (CDR s.r.l,
Florence – Italy) following the protocols and the reactants provided by the fabricant.

168

169 2.4.5. Fatty acids profile

Fatty acids profile in frying oil was analysed according to Mach et al. (2006). Fatty acid
methyl esters (FAMEs) were obtained by following the ISO method 5509E (ISO 5509E,
1978) and analysed using an HP 5890 Series II gas chromatograph (Hewlett Packard SA,
Barcelona, Spain). Individual fatty acids (FA) were identified by comparison of their retention
times with those of pure standards. Quantification was made by using an internal standard
calibration with glyceryl tritridecanoate.

176

#### 177 2.4.6. Volatile compounds

Furan, acrolein, hexanal, pentylfuran and 2,4-decadienal in sunflower oils and chips were 178 analysed by SPME-GC/MS with a 6850 Network GC system equipped with a 5975C VL MS 179 180 axis detector (Agilent Technologies, Santa Clara, CA, U.S.A.) and a Combi Pal autosampler (CTC Analytics AG, Zwingen, Switzerland). One gram of sample was added with 1 µL of 181 mixed internal standard solution (acrolein- ${}^{13}C$  and hexanal-d<sub>12</sub>, both at 100 mg/L in 182 isopropanol) in a 10 mL glass vial, vortexed for 30 seconds and pre-incubated at 50 °C for 2 183 min at a speed of 500 rpm. A SPME DVB/CAR/PDMS fibre assembly (Supelco, Bellefonte -184 USA) was used with an extraction time of 30 min and constant agitation at 40 °C. The 185 chromatographic separation was carried out on a DB-5MS column (30 m, 0.250 mm ID, 1.00 186 um film thickness; Agilent J&W GC Columns, Santa Clara CA, USA) with helium as carrier 187 gas at a flow of 0.8 mL/min. Initial temperature of the oven was set at 33 °C, then followed by 188 a 2 °C/min ramp up to 50 °C, a 3 °C/min ramp up to 72 °C, a 6 °C/min ramp up to 180 °C and 189 a 10 °C/min ramp up to 220 °C. For quantification purposes, aliquots of samples were spiked 190 with defined amounts of labelled (acrolein- ${}^{13}C$  and hexanal- $d_{12}$ ) and unlabelled compounds in 191 different mass ratios. The ratios of the area counts for the specific ions of the analytes and the 192 labelled standards were plotted against the ratio of the corresponding concentrations, and the 193 response factors were calculated according to Ewert et al. (2011). 194

195

#### 196 *2.4.7 Acrylamide assessment*

Acrylamide was quantified in frying oil and chips by HPLC-MS. One gram of frying oil or 197 potato chips were extracted following the protocol of Al-Taher (2012) based on Quechers. 198 Ten µL of the purified extracts were injected in the Agilent 1200 Series HPLC system, 199 equipped with an Agilent 6100 Series Single Quadrupole MS detector (Agilent Technologies, 200 Inc., CA, USA) and a reverse phase C<sub>18</sub> column (2.1 i.d. x 100 mm, 3 µm). Elution was 201 carried out isocratically with mobile phase A (water: methanol:formic acid 97.4:2.5:0.1) at a 202 flow rate of 0.2 mL/min. MS detector was operated in positive electrospray ionization mode, 203 and the ion with m/z = 72, corresponding to the [M-H]<sup>+</sup> of the acrylamide, was monitored. 204 Quantification was made considering the response of the ion with m/z = 75, corresponding to 205 the molecular ion of the internal standard (acrylamide  $13^{\text{C}}$ -3). 206

207

#### 208 2.4.8 Quantitative Descriptive Analyses

209 Five Sensory descriptors ("odour roast", "flavour rancid", "flavour roast", "crunchy" and "oil mouth feel") were generated by open discussion in two preliminary sessions by eight trained 210 211 assessors. A non-structured scoring scale was used, where 0 meant the absence of the descriptor and 10 meant the highest intensity of the descriptor. Sensory evaluation was 212 performed for each session time in two sessions (per sampling time) using chips samples 213 corresponding to a frying experiment. Samples were coded using three random numbers and 214 presented to assessors. The first order and the carry-over effects were balanced according to 215 MacFie et al., (1989). For each frying experiment, the average score of the assessors and 216 sessions have been calculated. 217

218

#### 219 2.5. Modelling, Statistics and Aggregation

#### 220 2.5.1 Multilinear regression and statistic values

Multilinear regression (MLR) coupled to a Step-Wise model (probability for entry: 0.1 and probability for removal: 0.1) was used to develop calibration models on the QTP values from 65 experiments. Two parameters, coefficient of determination of calibration ( $R^2_{cal}$ ) and probability (Pr > |t|) for each explanatory variables (CQAs and CPPs) were reported. Models were determined using the XLSTAT Premium software version 2018.1 (Addinsoft, France).

The different model gives also a predictive equation and a root mean square error ofcalibration (RMSEC).

228 RMSEC = 
$$\sqrt{\frac{1}{M-1} \times \sum_{i=1}^{M} (y_i^{ref} - y_i)^2}$$
 EQ.01

- 229 Where:
- 230 M is the number of samples
- 231  $y_i^{ref}$  is the reference value for sample i
- 232  $y_i$  is the predicted value for sample i

The different models were tested on a validation set of 33 experiments and the quality of the models on each QTP values was assessed with the root mean square error of prediction (RMSEP), coefficient of determination ( $R^2_{val}$ ), Bias and range error ratio (RER):

236 RMSEP = 
$$\sqrt{\frac{1}{M} \times \sum_{i=1}^{M} (y_i^{\text{ref}} - y_i)^2}$$
 EQ.02

237 Bias = 
$$\frac{\sum_{i=1}^{M} (y_i^{ref} - y_i)}{M}$$
 EQ.03

238 RER = 
$$\frac{y_{max}^{ref} - y_{min}^{ref}}{RMSEP}$$
 EQ.04

Where:

240  $y_{max}^{ref}$  and  $y_{min}^{ref}$  are respectively the maximum and minimum values of the validation set

#### 241 2.5.2 Data aggregation

The idea to aggregate QTPs parameters is to have only one data to describe the quality of our potatoes chips product using a mid-level fusion approach (Borràs et al. 2015). To do so a minmax normalisation of selected quality target product profile was done using equation EQ. 05 followed by the weighting of normalised data  $(y_i^{norm})$  before calculation of the aggregated data (CDF<sub>i</sub>) with EQ. 06.

247 
$$y_i^{norm} = \frac{(y_i - y_{min})}{(y_{max} - y_{min})}$$
 EQ.05

248 
$$CDF_i = \sum_{i=1}^{MN} \beta_{i\times} y_i^{norm}$$
 EQ.06

249 where M N is the number of selected QTPs,  $\beta_i$  is the weight a number between 0 and 1 and 250 have been selected by authors to give more importance to some QTP parameters.

Four "negative" quality attributes, colour parameter a\*, sensory descriptor "flavour roast", 251 252 acrylamide content and volatiles content pentylfuran content, have been selected to be aggregated. Four aggregated indexes CDF<sub>11</sub>, CDF<sub>12</sub>, CDF<sub>13</sub> and CDF<sub>14</sub> have been calculated 253 using EQ. 06 and different weights  $\beta_i$ . In the first aggregation CDF<sub>11</sub>, all quality attributes had 254 the same weight [0.25, 0.25, 0.25, 0.25]. For the second one  $\text{CDF}_{12}$ , the weights of volatile 255 quality attribute have been reduced to 0.1 and the others increase to 0.3 in order to take more 256 into accounts safety attribute and attributes related with consumer perception. For the third 257 CDF<sub>13</sub> [0.2, 0.3, 0.4, 0.1] and fourth CDF<sub>14</sub> [0.2, 0.2, 0.5, 0.1] aggregation more emphasis was 258 given to safety issues realty with acrylamide content. In the first aggregation index,  $CDF_{II}$  all 259 quality attributes [a\*, roast, acrylamide, pentylfuran] had the same weight [0.25, 0.25, 0.25, 260 0.25]. For the second index, CDF<sub>12</sub>, the weight of pentylfuran content has been reduced to 0.1 261 and the others increased to 0.3 in order to highlight safety (acrylamide content) and consumer 262

perception. For the third  $\text{CDF}_{I3}$  [0.2, 0.3, 0.4, 0.1] and fourth  $\text{CDF}_{I4}$  [0.2, 0.2, 0.5, 0.1] indexes more emphasis was given to safety issues related with acrylamide content. Weights for a\*, flavour roast, acrylamide and pentylfuran are [0.25, 0.25, 0.25, 0.25] for  $\text{CDF}_{I1}$ , [0.3, 0.3, 0.3, 0.1] for  $\text{CDF}_{I1}$ , [0.2, 0.3, 0.4, 0.1], for  $\text{CDF}_{I3}$  and [0.2, 0.2, 0.5, 0.1] for  $\text{CDF}_{I4}$ . A principal component analysis (PCA) has been carried out on the four quality parameters and the first PCA factor was retained as an additional aggregated index (PCA factor 1).

269

270 3.<u>Results</u>

Table 1 shows the average, standard deviation, maximum and minimum values for the selected CQAs as well as for CPPs for the calibration and validation sets. Most of the CQAs display important standard deviations indicating substantial variations in the composition of the raw materials and deep frying conditions and, therefore, including in the predictive models sources of variations usually found in the real processes.

276

277 3.1. Multilinear analysis on single QTPs parameters

The coefficient of determination from calibration set  $(R^2_{cal})$ , the root mean square error of 278 calibration (RMSEC), the standardized regression coefficients and the p-values from the 279 multilinear regressions calculation are presented in table 2.  $R^2_{cal}$  gives the strength of a 280 relationship between exploratory variables and QTPs and it is generally admitted (Moore et 281 al. 2013) that a coefficient above 0.7 indicates that the proposed model explains correctly the 282 variation of the QTPs. Colour parameters a\* and b\*, sensory descriptors "Odour roast" and 283 284 "Flavour roast" and volatile parameters hexanal and pentylfuran presented coefficients of determination above 0.7. Others QTPs such as sensory descriptor "Flavour rancid", 285 acrylamide content and 2.4 decadienal content, showed R<sup>2</sup><sub>cal</sub> between 0.5 and 0.7, indicating 286

that the predictive models do not explain completely their variations. L\*, sensory descriptors "crunchy" and "oil mouth feel" had  $R^2_{cal}$  below 0.5, indicating that our models do not explain their variation. Table 2 shows that, out of 29 explanatory variables, 2 to 8 have been retained to explain the variation of each QTPs. On the opposite, 7 explanatory variables (Fructose content, reducing sugars content, TPM, p-anisidine value, fatty acid (FA) 18:2 cis-9 trans-12,  $\Sigma$ FA ui6,  $\Sigma$ FA trans and monosaturated fatty acids or MUFA) have not been retained by none of the models to explain variation of the QTPs and were discarded.

MLR models describing QTPs a\* and b\*, retained respectively 4 and 8 exploratory variables 294 related with raw materials, oil quality, volatile, fatty acids, variables related with oil 295 temperature and process time. For sensory descriptors "odour roast" and "flavour roast", 5 296 and 4 explanatory variable were respectively retained, related with Sucrose content, L\*, 297 hexanal content, saturated FA, oil temperature TC°<sub>E</sub> and time. For acrylamide content, the 298 MLR model retained 4 explanatory variables related with red colour, volatile, ratio u6/u3 299 300 and TC°<sub>E</sub> oil temperature. For QTPs volatiles pentylfuran and 2.4 decadienal, MLR model did not retain any explanatory variable of raw materials, but it retained oil quality parameters, 301 volatile parameter, Saturated FA and TC°<sub>E</sub> oil temperature for the first. For QTP 2.4 302 decadienal only 4 explanatory variables related with oil quality, volatiles and fatty acids. For 303 QTP hexanal, 3 explanatory variables are related with raw materials and 4 with oil 304 characteristics (volatile and fatty acids). 305

In 5 of the 6 QTPs with a  $R^{2}_{cal}$  above superior to 0.7, exploratory variables related with CPPs have a positive standardized regression coefficients indicating that an increase of temperature or time will increase the different QTPs. Only sensory descriptor "flavour rancid" presents a negative standardized regression coefficient for the exploratory variables  $TC^{\circ}_{av}$ . Considering raw materials and oil exploratory variables, positive and negative standardized regression coefficients have been calculated by the model for QTPs a\*, b\*, "odour Roast", "flavour 312 rancid" and "flavour roast". For volatiles, all QTPs present positive standardized regression 313 coefficients indicating that an increase of all exploratory variables will lead to an increase of 314 the volatiles in the chips. For acrylamide content, an increase of exploratory variable a\* will 315 lead to an increase of acrylamide content while an increase of hexanal and ratio uu6/uu3 will 316 have the opposite effect.

317

318 3.2 Prediction with multilinear models

319 Multilinear model have been used to predict the evolution of selected QTPs with a validation set of 33 experiments. Quality parameters of the prediction are reported in table 3. Taking into 320 account colour parameters of the potatoes chips, only a\* presents a coefficient of 321 determination of validation (R<sup>2</sup><sub>val</sub>) superior to 0.7. For colour parameter b\*, results are 322 disappointing with  $R^2_{val}$  below 0.5. Models for the sensory descriptors "odour roast" and 323 "flavour rancid" have a  $R^2_{val}$  between 0.6 and 0.7, and "flavour roast" has a  $R^2_{val}$  above 0.7. 324 For the acrylamide content, when 2 outliers are removed from the analysis,  $R^2_{val}$  are between 325 0.5 and 0.7. Concerning the volatile parameter hexanal, the step-wise model give a  $R^2_{val}$ 326 below 0.5, while for volatile parameters pentylfuran and 2-4 decadienal,  $R^2_{val}$  are between 0.5 327 and 0.7. 328

To summarise, only 2 QTPs (a\* and "flavour roast") have a  $R^2_{val}$  above 0.7, while others 5 329 ("odour roast", acrylamide content; hexanal, pentylfuran and 2.4-decadienal) have a  $R^2_{val}$ 330 between 0.5 and 0.7. The quality of the models could also be provided by the RER 331 parameters. The OTP acrylamide gives a value of RER of 5.0, while our best predictive 332 models were obtained for sensory descriptors "flavour rancid" and "odour roast" with a 333 respective RER of 6.9 and 6.6. The best RER values ranged between 4.0 and 10.0 indicating 334 that our models have a performance corresponding to screening target (AACC Method 39-335 00.01). 336

#### 338 3.3 Aggregation of QTPs parameters

The contribution of each QTPs to the first PCA factor was 37.2% for a\*, 27.8% for "flavour 339 roast", 27.4% for acrylamide content and 7.6% for pentylfuran. Multilinear regression 340 analyses were conducted on different aggregated indexes and results on the calibration set are 341 shown in Table 4.  $R_{cal}^2$  is above superior to 0.7 for 3 of the 4 indexes, CDF<sub>14</sub> being the 342 exception with a value of 0.692, and for the first PCA factor, thus indicating that our models 343 can explain the variation of aggregated chips quality parameters. It can be noted that, an 344 increase of the weight of acrylamide content in aggregated indexes, had the effect to reduce 345  $R^{2}_{cal}$ . Number of explanatory variables retained by the MLR model have been reduced to 7: a\* 346 in CDF<sub>12</sub>, CDF<sub>13</sub> and CDF<sub>14</sub>; b\* in only one case (CDF<sub>11</sub>), when all selected QTPs have the 347 348 same weight; glucose content in only one case (CDF<sub>I4</sub>), when the weight of acrylamide content has been set up at 0.5; hexanal volatile content of the oil in CDF<sub>12</sub>, CDF<sub>13</sub> and CDF<sub>14</sub>; 349 u6 content of the oil in only one case (CDF<sub>11</sub>); MUFA in CDF<sub>12</sub> and CDF<sub>13</sub>; Oil temperature 350  $TC_{E}^{\circ}$  in all aggregated index. It is significant that all oil quality parameters (TPM, acidity, p-351 anisidine and peroxide value) have been discarded by the model as well as Time. All 352 standardized regression coefficients of oil temperature  $TC_E^{\circ}$  are positive as well as MUFA 353 and a\* and glucose when they are retained by the model. On the contrary, b\*, hexanal and u6 354 present a negative standardized regression coefficients when they are retained. 355

Models have been applied to the validation data set to explain the variation of our aggregated indexes (table 5). Predictive results of the variation of  $CDF_{I1}$ ,  $CDF_{I2}$  and  $CDF_{I3}$  are encouraging with  $R^2_{val}$  between 0.668 and 0.728. RER values are between 6.2 and 7.8, indicating a performance target corresponding to screening target. Although first PCA factor shows the best coefficient of determination of validation  $R^2_{val}$  with one outlier, the aggregated index  $CDF_{I2}$  explained by the Step-Wise model seems to be a good option (Figure 2). Model for the aggregated index  $\text{CDF}_{I2}$  used only 4 explanatory variables (colour a\*, hexanal content, MUFA and oil temperature  $\text{TC}^{\circ}_{E}$ ), had a  $\text{R}^{2}_{\text{val}}$  of 0.718 and no outliers in the validation set.

364

#### 365 4. <u>DISCUSSION</u>

In order to define the final chips product a total of 12 QTPs, including 3 colour parameters, 5 sensory attributes, 3 volatiles parameters and acrylamide content, have been used. Usually, research works evaluate the impact of some processing parameters on single compounds, like the acrylamide content (Zhang et al. 2015) or texture and oil intake in the potatoes (Pedeschi et al. 2005) but few had a more global approach (Yang et al 2016; Santos et al. 2018).

In the present study only results from MLR algorithm are presented even if non-linear algorithms (Random forest regression and log-linear regression models) have been tested on our dataset. Results of non-linear algorithms have proven to be disappointing. The limited number of independent experiments seems to be a limiting factor to use such non-linear approaches.

Our results show that colour parameters L\* and a\* had a significant variation that can be 376 explained by CPPs parameters such as the average oil temperature. Yang et al. (2016) had 377 have compared the evolution of colour of potatoes strips retrieved issue from Agria, 378 Kennebec and Red Pontiac cultivars regarding oil temperatures and frying time 190°C / 160 s, 379 170°C / 240 s, 150°C / 330 s. In contrast with our results, few colour variations of the final 380 products have been measured for Agria cultivar, much more have been detected for the other 381 382 two cultivars. Pedreschi et al. (2005) proved that the oil temperature and time of frying is related to the colour a<sup>\*</sup> parameter of the potato and the acrylamide formation. Our predictive 383 results for acrylamide are lower than expected but some positive points could be extracted. 384 Yang et al. (2016) established that the correlations between selected studied factors of raw 385

materials (such as asparagine, fructose, glucose, sucrose, reducing sugar, oil uptake, colour 386 L\*, colour b\* and shear force) were significant to explain the acrylamide content in the final 387 product. Some of the parameters have been measured in our study and the explanatory 388 variables colour a\*, hexanal content, ratio u6/u3 and average frying temperature have been 389 used by the MLR model to explain and predict the variation of acrylamide content. Our study, 390 as a new approach, took into account sensory attributes, because chip taste is related with 391 Maillard reactions, which is the main responsible for the formation of acrylamide (Lee & 392 Shibamoto, 2002). However, no clear relationship ( $R^2 < 0.5$ ) could be found between measured 393 acrylamide content and sensory descriptors or other compositional parameters of potatoes 394 395 chips. Even if such results are in discrepancy with finding of Pedreschi et al. (2005), it should be pointed out that a different cultivar was used (Agria versus Panda) and that our experiment 396 was carried out with a continuous semi-industrial fryer and using oil at different degree of 397 oxidation to mimic the industrial condition. On the other hand, formation of acrylamide 398 involves complex mechanism reactions that probably the CQAs and CPPs included in the 399 400 model cannot describe completely (Purlis, 2010).

Aggregated indexes with different QTPs parameters describing potatoes chips characteristics 401 have also been analysed, in order to predict a global potatoes chips quality. In food science, 402 low and mid-level data fusion have been undertaken for a wide range of applications such as 403 quality parameters correlation, sensory properties assessment, cultivar selection or origin 404 authentication (Borras et al., 2015). In our case, four parameters describing potatoes chips 405 have been used, and different weight has been given to acrylamide content. Using aggregated 406 data indexes a compromise have been found between the need to obtain safe products with 407 lower acrylamide contents, but taking into accounts the sensory profile. Whatever the 408 aggregated index selected to obtain the "best product", within the experimental domain here 409 410 studied and with our frying equipment, we should use fresh potatoes with highest intensity of 411 yellow/green colour (highest b\* and lowest a\* values) and the lowest frying oil temperature 412 (150 °C). As time did not appear as an explanatory variable in aggregated indexes, we could 413 use the shortest time (150 seconds) to achieve the maximum production efficiency. If we 414 consider  $CDF_{14}$ , which gives more importance to acrylamide content, fresh potatoes with the 415 lowest glucose content should be selected. MUFA, hexanal and u6 oil contents are indicators 416 of the oil quality. The variation of these parameters with respect to those of the fresh oil could 417 be used to establish the oil turnover, which will depend on the aggregated index selected.

In the present work, online measurements were possible for some of the attributes, such as colour parameters (L\*, a\* and b\*) in raw materials, oil quality (TPM) and process parameters (time and temperature), but others key parameters (sugar content of raw materials, volatiles, fatty acids) were analysed off-line at laboratory scale. So, future improvements of Quality by Design approach are also strictly linked to the implementation of suitable online analytical methods for a comprehensive monitoring of the process.

424

#### 425 5. <u>CONCLUSION</u>

The Quality by Design approach has been used to identify the main quality and process 426 parameters that can be modified for the production of deep-fried potatoes "chips". To conduct 427 processing, specific target parameters related with sensory descriptors could be predicted with 428 MLR models with some accuracy by measurement of few explanatory variables related with 429 potatoes brightness, oil volatile, saturated fatty acid and oil temperature, but for safety issues 430 such as acrylamide content the predictive models are far from satisfactory. A general 431 aggregated index incorporating 4 different quality parameters of the chips can be predicted 432 with a reasonable accuracy, and can be used to establish the optimal process conditions. They 433 are still a number of complex mechanisms and factors to be identified that can influence the 434 quality parameters of potatoes chips. The work had shown the need of further studies to 435

explore the data fusion strategies for quality parameters of the final products to define single
parameter that can be easily predicted and still full fit the goal to optimise sustainable
processing.

439

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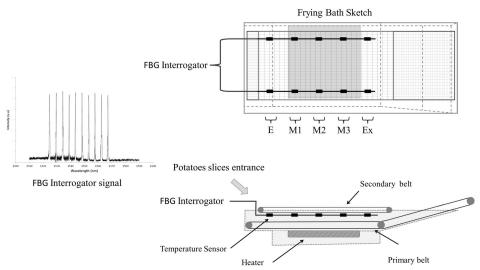
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#### Figure 1

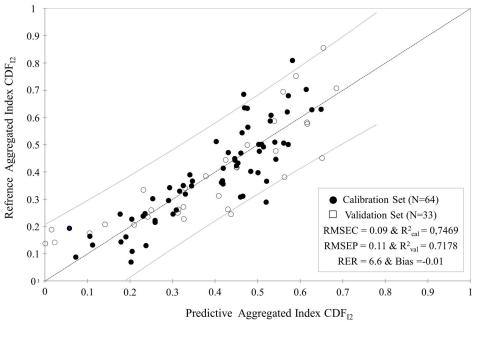


Figure 2

Table 1: Mean ±standard deviation (SD), maximum and minimum of the different critical quality attributes (CQAs) and Quality Process Parameters (CPPs) measured for the calibration set (N=65) and Validation Set (N=33). TPM stands for total polar materials; FA stands for fatty acid; MUFA stands for monosaturated fatty acids; PUFA stands for polysaturated fatty acids.

	-	Calibration Set $(N = 65)$			Validation Set (N=33)			
		Mean	Max	Min	Mean	Max	Min	
	L*(CIELAB)	66.4 ±1.1	68.5	62.7	66.4 ±1.6	68.5	62.7	
$\mathbf{As}$	a*(CIELAB)	-3.6 ±0.8	-2.7	-5.6	$-3.6 \pm 0.9$	-2.7	-5.6	
Potatoes CQAs	b*(cielab)	14.4 ±5.0	25.1	10.2	$14.4 \pm 5.4$	25.1	10.2	
es (	TSS (°Brix)	1.8 ±0.3	2.5	1.2	$1.8 \pm 0.4$	2.5	1.2	
ato	Sucrose (mg/100L)	575 ±157	759	217	633 ±124	759	217	
Pot	Glucose (mg/100 L)	215 ±128	500	26	$236 \pm 146$	500	26	
	Fructose (mg/100 L)	299 ±60	447	198	319 ±64	447	198	
	TPM (%)	8.6 ±3.6	15.1	1.1	8.3 ±3.6	14.3	1.7	
	Acidity index (%)	$0.30 \pm 0.22$	0.81	0.03	0.26 ±0.19	0.73	004	
	p-anisidine value	$14.2 \pm 15.3$	46.9	0.5	13.3 ±15.7	48.6	0.5	
	Peroxide index (meqO2/kg)	$4.8 \pm 2.8$	14.5	1.0	4.2 ±2.2	10.4	1.2	
	Acrolein (ppb)	499 ±245	1205	150	548 ±237	1017	155	
	Furan (ppb)	38 ±28	139	1	35 ±25	133	4	
	Hexanal (ppm)	2.15 ±0.77	5.21	0.59	$2.26 \pm 0.78$	4.40	1.24	
	Pentylfuran (ppm)	1.71 ±0.68	3.69	0.12	1.76 ±0.81	5.10	0.51	
Ās	2,4-decadienal (ppm)	137 ±96	445	0	158 ±115	553	23	
Oil CQAs	FA 18:1 trans u9 (%)	0.13 ±0.08	0.28	0	0.11±0.07	0.27	0.00	
Dil	FA 18:2 cis-9 trans-12 (%)	$0.07 \pm 0.02$	0.16	0.04	$0.07 \pm 0.01$	0.09	0.02	
Ŭ	FA 18:2 trans-9 cis-12 (%)	0.07 ±0.01	0.11	0.05	0.07±0.01	0.10	0.04	
	∑FA m6 (%)	8.4 ±1.1	10.0	6.5	8.5 ±1.0	9.8	6.5	
	$\sum$ FA trans (%)	$0.27 \pm 0.07$	0.41	0.13	$0.25 \pm 0.07$	0.38	0.14	
	Ratio ui6/ ui3	152 ±64	414	40	147 ±56	229	26	
	∑FA m3 (%)	$0.06 \pm 0.03$	0.24	0.02	$0.07 \pm 0.05$	0.32	0.04	
	Saturated FA (%)	9.3 ±0.2	9.8	8.9	9.3 ±0.3	9.8	8.8	
	MUFA (%)	82 ±1	84	80	82 ±1	84	80	
	PUFA (%)	8.4±1.1	10.0	6.5	$8.5 \pm 1.0$	9.9	6.6	
~	Time (s)	164 ±10	180	150	164 ±10	180	150	
CPPs	TC° <sub>av</sub> (°C)	159 ±7	172	147	158 ±8	172	147	
U	$TC^{\circ}_{E}$ (°C)	157 ±7	170	142	156 ±8	169	144	

Table 2: Standardized regression coefficients and p-value (Pr > |t|) in parenthesis of the F statistic from an analysis of variance (ANOVA) and coefficient of determination  $R^2_{cal}$ , Root Mean Square Error of calibration (RMSEC) of the multi linear regression (MLR) using the model Step-wise (probability for entry: 0.1 and probability for removal: 0.1) for the different QTPs of potatoes chips. FA 18:2 trans(2) stands for FA 18:2 trans-9 cis-12; FA stands for fatty acid; PUFA stands or polysaturated fatty acids.

				Qua	ality Target Pa	rameters (QTP	s) of potatoes c	hips				
		Colour				Sensory	_	-	Safety		Volatiles	
	L*(CIELAB)	a*(cielab)	b*(cielab)	Odour Roast	Flavour rancid	Flavour Roast	Crunchy	Oil Mouth feel	Acrylamide	Hexanal	Pentylfuran	2.4decadienal
R <sup>2</sup> Cal	0.375	0.711	0.739	0.777	0.633	0.764	0.439	0.480	0.539	0.729	0.755	0.642
RMSEC	3.6	1.4	1.7	0.7	0.7	0.8	0.5	0.6	0.68 ppm	99 ppb	82 ppb	10 ppm
$L^{*}_{(CIELAB)}$						0.12 (0.066)		0.33 (0.004)		0.26 (0.004)		
a*(cielab)									0.46 (<0.001)			
b* <sub>(CIELAB)</sub>		-0.20 (0.037)	0.51 (< 0.001)									
TSS			-0.17 (0.020)					-0,23 (0.031)		-0.22 (0.010)		
Sucrose				-0.17 (0.056)	-0.16 (0.070)					-0.35 (<0.001)		
Glucose		0.39 (< 0.001)			0.39 (<0.001)							
Acidity			-0.49 (<0.001)				-0.45 (< 0.001)	-0.28 (0.095)			0.36 (0.006)	
peroxide											0.16 (0.089)	0.21 (0.018)
Acrolein			-0.17 (0.042)					-0.22 (0.034)				
Furan				-0.32 (0.002)	-0.30 (0.024)	-0,14 (0.039)	0.18 (0.093)					
Hexanal	0.41 (<0.001)	-0.19 (0.017)					0.26 (0.009)		-0.35 (<0.001)	0.20 (0.028)		0.37 (< 0.001)
Pentylfuran					0.36 (0.007)					0.43 (< 0.001)	0.47 (< 0.001)	
2.4-decadienal										-0.21 (0.013)		0.30 (0.001)
FA 18:1 trans uv9				0.21 (0.043)				-0.36 (0.320)				
FA 18:2 trans(2)										0.33 (<0.001)		
$\sum$ FA trans												
Ratio ui6/ ui3									-0.21 (0.063)			0.30 (0.001)
∑FA ui3			0.17 (0.025)		-0.20 (0.037)							
Saturated FA						-0,16 (0.020)					0.33 (< 0.001)	
PUFA			-0.34 (0.015)									
Time (s)			0.14 (0.063)	0.13 (0.083)	0.20 (0.027)							
$TC^{\circ}_{av}(^{\circ}C)$			0.40 (< 0.001)		-0.44 (< 0.0001)		0.42 (< 0.0001)					
$TC^{\circ}_{E}(^{\circ}C)$	-0.48 (< 0.001)	0.79 (< 0.001)		0.77 (< 0.001)		0.83 (< 0.001)			0.54 (< 0.001)		0.23 (0.002)	

Table 3: Validation of the different models used to explain the variability of selected QTPs. N<sub>v</sub>: number of experiments from the validation set; R<sup>2</sup><sub>Val</sub>: coefficient of determination of the validation set; RMSEP: root mean square error of prediction; Bias: model bias; RER: range error ratio.

QTPs	$N_{\rm v}$	$R^2_{Val}$	RMSEP	Bias	RER
a* <sub>(CIELAB)</sub>	33	0.789	1.6	0.0	5.1
b*(CIELAB)	31	0.316	2.5	-0.4	4.8
Odour Roast	32	0.656	0.8	0.0	6.4
Flavour Rancid	33	0.614	0.7	0.0	6.9
Flavour Roast	33	0.736	0.9	0.0	6.6
Acrylamide (ppm)	31	0.520	0.9	0.0	5.0
Hexanal (ppb)	32	0.319	137	13	4.1
Pentylfuran (ppb)	32	0.613	91	25	5.7
2.4decadienal (ppm)	32	0.514	10	1.4	5.5

Table 4: Standardized regression coefficients and p-value (Pr > |t|) in parenthesis of the F statistic from an analysis of variance (ANOVA) and coefficient of determination  $R^2_{cal}$ , Root Mean Square Error of calibration (RMSEC) of the multi linear regression (MLR) using the model Step-wise (probability for entry: 0.1 and probability for removal: 0.1) for PCA factor 1 and aggregated indexes CDF<sub>I1</sub>, CDF<sub>I2</sub>, CDF<sub>I3</sub> and CDF<sub>I4</sub>. MUFA stands for monosaturated fatty acids

	CDF <sub>I1</sub>	CDF <sub>I2</sub>	CDF <sub>I3</sub>	CDF <sub>I4</sub>
R <sup>2</sup> <sub>Cal</sub>	0.778	0.747	0.719	0.692
RMSEC	0.08	0.09	0.09	0.10
a* <sub>(CIELAB)</sub>		0.29 (<0.001)	0.33 (<0.001)	0.39 (< 0.001)
$b*_{(CIELAB)}$	-0.27 (0.001)			
Glucose				0.23 (0.010)
Hexanal		-0.16 (0.028)	-0.19 (0.015)	-0.25 (0.002)
∑FA ш6	-0.37 (< 0.001)			
MUFA		0.24 (0.003)	0.21 (0.010)	
$TC^{\circ}_{E}$	0.81 (< 0.001)	0.84 (< 0.001)	0.82 (< 0.001)	0.81 (< 0.001)

Table 5: Validation of the different models used to explain the variability of PCA factor 1 and aggregated indexes (CDF<sub>I1</sub>, CDF<sub>I2</sub>, CDF<sub>I3</sub> and CDF<sub>I4</sub>). N<sub>v</sub>: number of experiments from the validation set;  $R^2_{Val}$ : coefficient of determination of the validation set; RMSEP: root mean square error of prediction; Bias: model bias; RER: range error ratio.

	$N_v$	$R^2_{Val}$	RMSEP	Bias	RER
PCA factor 1	32	0.747	0.84	-0.07	7.1
CDF <sub>11</sub>	32	0.728	0.09	0.00	6.9
CDF <sub>12</sub>	33	0.718	0.11	-0.01	6.6
CDF <sub>I3</sub>	33	0.668	0.12	0.00	6.2
CDF <sub>I4</sub>	32	0.650	0.14	0.00	5.5