

Pycnoporus cinnabarinus glyoxal oxidases display differential catalytic efficiencies on 5-hydroxymethylfurfural and its oxidized derivatives

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Pycnoporus cinnabarinus glyoxal oxidases display differential catalytic efficiencies on 5-hydroxymethylfurfural and its oxidized derivatives --Manuscript Draft--

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Abstract: Background					
	5-hydroxymethylfurfural (HMF), a major residual component of a lignocellulosic bio- refinery process, can be transformed into fundamental building blocks for green chemistry via oxidation. While chemical methods are well established, interest is also being directed into the enzymatic oxidation of HMF into the bio-plastic precursor 2,5- furandicarboxylic acid (FDCA).				
	Results We demonstrate that three glyoxal oxidases (PciGLOX) isoenzymes from the Basidiomycete fungus Pycnoporus cinnabarinus were able to oxidize HMF, with PciGLOX2 and PciGLOX3 being the most efficient. The major reaction product obtained with the three isoenzymes was 5-hydroxymethyl-2-furancarboxylic (HMFC/ a precursor in polyesters and pharmaceuticals production, and very little conversion this compound was observed. However, small concentrations of 2,5-furandicarboxyli acid, a substitute for terephthalic acid in the production of polyesters, were also obtained. The oxidation of HMF was significantly boosted in the presence of catalase for PciGLOX2 leading to 70% HMFCA yield. The highest conversion percentages we observed on 2,5-Furandicarboxaldehyde (DFF), a minor product from the reaction of PciGLOX in oxidizing DFF and FFCA towards FDCA production, HMF was oxidized a cascade reaction with an aryl alcohol oxidase (UmaAAO). After 2 hours of reaction UmaAAO completely oxidized HMF to DFF and further to FFCA, with FDCA only beid detected when PciGLOX3 was added to the reaction. The maximum yield of 16% FDCA was obtained 24 hours after the addition of PciGLOX3 in the presence of catalase. Conclusions At least two conversion pathways for HMF oxidation can be considered for PciGLOX				
	polyester precursor HMFCA. The three isoa catalytic efficiencies and substrate specifici	enzymes showed differences in their ties when reacted with HMF derivatives.			
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21 Abstract

Background: 5-hydroxymethylfurfural (HMF), a major residual component of a lignocellulosic bio-refinery process, can be transformed into fundamental building blocks for green chemistry via oxidation. While chemical methods are well established, interest is also being directed into the enzymatic oxidation of HMF into the bio-plastic precursor 2,5-furandicarboxylic acid (FDCA).

27 **Results:** We demonstrate that three glyoxal oxidases (*Pci*GLOX) isoenzymes from the Basidiomycete fungus *Pycnoporus cinnabarinus* were able to oxidize 28 HMF, with PciGLOX2 and PciGLOX3 being the most efficient. The major 29 30 reaction product obtained with the three isoenzymes was 5-hydroxymethyl-2furancarboxylic (HMFCA) a precursor in polyesters and pharmaceuticals 31 production, and very little conversion of this compound was observed. 32 33 However, small concentrations of 2,5-furandicarboxylic acid, a substitute 34 for terephthalic acid in the production of polyesters, were also obtained. The oxidation of HMF was significantly boosted in the presence of catalase for 35 *Pci*GLOX2 leading to 70% HMFCA yield. The highest conversion percentages 36 37 were observed on 2,5-Furandicarboxaldehyde (DFF), a minor product from the reaction of PciGLOX on HMF. To bypass HMFCA accumulation and 38 exploit the efficiency of *Pci*GLOX in oxidizing DFF and FFCA towards FDCA 39 production, HMF was oxidized in a cascade reaction with an aryl alcohol 40 41 oxidase (UmaAAO). After 2 hours of reaction, UmaAAO completely oxidized HMF to DFF and further to FFCA, with FDCA only being detected when 42 43 PciGLOX3 was added to the reaction. The maximum yield of 16% FDCA was 44 obtained 24 hours after the addition of *Pci*GLOX3 in the presence of catalase.

Conclusions: At least two conversion pathways for HMF oxidation can be 46 considered for *Pci*GLOX however the highest selectivity was seen towards the 47 production of the valuable polyester precursor HMFCA. The three isoenzymes 48 showed differences in their catalytic efficiencies and substrate specificities 49 when reacted with HMF derivatives.

51 Keywords: 5-Hydroxymethylfurfural, bio-catalysis, glyoxal oxidase, 2,5 52 furancarboxylic acid, furan derivatives

67 With the growing concerns about the depleting supply of fossil fuel and the global problem of climate change, the demands for sustainable substitutes for 68 69 petroleum-based products are increasing. Being one of the most abundant renewable natural materials on earth, plants, especially those low-cost 70 71 residues from agro-industrial processing, have become a major candidate for 72 this role. Approximately 75% of annual production of agro-industrial residues is in the form of carbohydrates, making this material highly exploitable [1]. 73 Efforts are being directed for the conversion of plant carbohydrates into 74 75 valuable chemicals and fuels. Among the valuable platform chemicals that can be obtained from biomass carbohydrates are 5-hydroxymethylfurfural 76 77 (HMF) and furfural [2], produced by triple dehydration of hexoses [3] and acid 78 hydrolysis of pentosans respectively [4].

79 HMF is particularly important due to its biodegradability and its versatility as a precursor for a wide selection of furan-based products, and has been 80 recognized as top value-added molecule in biotechnology [5]. Important 81 82 molecules derived from HMF include dimethylfuran, levulinic acid, 2,5furancarboxylic 83 acid (FDCA), 2,5-diformylfuran (DFF), 3,5dihydroxymethylfuran, 5- hydroxy-4-keto-pentenoic acid and 5-hydroxymethyl-84 2-furancarboxylic acid (HMFCA) [6]. DFF is a stable derivative of HMF. This 85 molecule is considered important for the synthesis of pharmaceutical 86 compounds [7], antifungal products [8], electroconductors [9] and polymeric 87 materials [10]. Another important product from the oxidation of the aldehyde 88 89 group of HMF is HMFCA. This is particularly important for the production of 90 polyesters [11] and interleukin inhibitors [12], and to have in vivo antitumor

91 activity against Sarcoma 180 cells [13]. Furan-based derivatives also include 2,5-furandicarboxylic acid (FDCA), which was considered among the 12 most 92 promising sugar-based molecules for the production of bio-based material 93 94 [14]. FDCA is particularly important because it can replace fossil-based terephthalic acid for the production of bio-based polyesters [15]. Chemically, 95 HMF derivatives are produced using metals as catalysts under extreme 96 conditions such as high temperature, high pressure and in the presence of 97 toxic solvents. The development of bio-catalytic processes is therefore 98 99 advantageous since enzymes work under mild conditions which can reduce 100 the energy required and the production cost.

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102 Among the enzymes that were previously tested and used for the oxidation of HMF are three alcohol oxidases (EC 1.1.3.13) and one galactose oxidase 103 (GAO; EC 1.1.3.9) that have been reported to oxidize HMF leading to the 104 105 formation of DFF as the sole product following the reaction presented in scheme 1 [16]. Synthesis of HMFCA by bio-catalysis remained limited due to 106 the need of high reaction selectivity in order to oxidize the aldehyde group and 107 leave the hydroxyl group intact [17]. Xanthine oxidase (EC 1.17.3.2) from 108 Escherichia coli was used for the selective oxidation of HMF to HMFCA [16]. 109 Oxidative conversion of HMF to FDCA was also performed following a two-110 steps reaction using fungal aryl-alcohol oxidase (AAO; EC 1.1.3.7) and an 111 unspecific heme peroxygenase (UPO; EC 1.11.2.1) [18]. Full HMF conversion 112 113 with high FDCA yields was also achieved using an FAD-dependent oxidase, named HMF oxidase (HMFO; EC 1.1.3.47) [19, 20]. 114

Although HMF derivatives are highly important, limited examples describing the synthesis of these compounds via enzymatic reactions were previously described and the pursuit for new enzymes that can be involved in this process is still highly interesting. Promising candidates are enzymes with versatile substrate specificity able to perform the successive oxidation steps required in the process by recognizing HMF and its derivatives as substrates.

Glyoxal oxidases (GLOX; EC 1.2.3.15) are metalloenzymes containing one 121 copper metal ion, and belong to a class of enzymes called radical copper 122 oxidases (CRO) [21]. These enzymes have been grouped within the AA5 123 124 family in the CAZymes (Carbohydrate Active Enzymes database: http://www.cazy.org/) classification system [22, 23]. GLOX catalyzes the 125 oxidation of aldehydes to their corresponding carboxylic acids, generating 126 127 simultaneously hydrogen peroxide (H₂O₂) [24, 25]. This enzyme was first isolated in ligninolytic cultures of the white-rot Basidiomycete Phanerochaete 128 129 chrysosporium under restricted nitrogen supply, suggesting a role in lignin 130 modification and peroxidase H₂O₂-dependent action [26]. Subsequent sequencing of the *P. chrysosporium* genome has indicated the presence of 131 132 only one gene encoding GLOX in this fungus [27]. The genome of another Polyporale fungus, *Pycnoporus cinnabarinus* [28] indicated that this fungus 133 contained 3 glox genes. In vitro characterization of these GLOX revealed their 134 135 ability to act on a broad range of substrates including toxic and inhibitory 136 aldehydes [24, 25]. In addition, GLOX were able to recognize and oxidize the alcohol group of glycerol extending further the specificity range of these 137 enzymes [29, 25]. Although the utilization of GLOX for biotechnological 138 applications had not previously been elaborated upon, the reactions catalyzed 139

by this enzyme made it a promising candidate, especially for the oxidation ofHMF and its derivatives.

In this work, the ability of two previously produced and characterized GLOX from *P. cinnabarinus* BRFM 137 (*Pci*GLOX1 and *Pci*GLOX2) [25] and a third isoform from this fungus (*Pci*GLOX3) to oxidize HMF and its derivatives was investigated.

146 147

RESULTS

148 *Pci*GLOX3 Characterization

PciGLOX3 shared 84% and 89% protein sequence identity with PciGLOX1 149 and PciGLOX2, respectively, and the amino acids at the active site were 150 highly conserved (Fig. 1). PciGLOX3 showed a generally lower activity on the 151 152 tested substrates compared to the other two *Pci*GLOX enzymes, however, the specificity range for all three isoenzymes was similar except in the case of 153 glycerol, which was only oxidized by *PciGLOX2* and *PciGLOX3* (Table 1). 154 155 One major difference observed was that highest activity detected on formaldehyde for *Pci*GLOX3 while the two other enzymes were most active on 156 glyoxylic acid. Differences in the kinetic constants were also observed for 157 PciGLOX3 that showed the highest specificity and catalytic efficiency on 158 glycerol compared to the other enzymes (Table 2). In addition, PciGLOX3 159 160 was less efficient in oxidizing glyoxylic acid compared to the two other GLOX. 161

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162 Enzyme Stability under the Conditions of Reaction

163 The standard reaction conditions for the oxidation of HMF and its derivatives

164 were chosen based on the stability and performance of the enzymes under these conditions. The optimal pH was 6 and the enzymes were active in 165 dimethylsuccinate and tartrate buffers [25]. The reactions were performed in 166 167 tartrate buffer, as dimethylsuccinate could not be resolved from the substrates and expected products of the enzymatic reaction in the HPLC analysis. The 168 stability of *Pci*GLOX enzymes under these conditions and over a prolonged 169 period of time were assessed. PciGLOX2 was the least stable of the three 170 isoenzymes and lost around 90% of its activity after 2 hours of incubation 171 172 (Figure 2a). On the other hand, *Pci*GLOX1 and *Pci*GLOX3 retained 70% and 40% of their activities after 48 hours of incubation, respectively. The stability 173 of the three enzymes was also assessed in the presence of 3 mM HMF. In the 174 175 presence of this substrate, no activity was detected for PciGLOX2 after 2 hours of incubation (Figure 2b). On the other hand, the activities of 176 PciGLOX1 and PciGLOX3 were relatively stable with PciGLOX3 showing 177 178 increased residual activity after 48 hours. The stability of the three PciGLOX enzymes was further investigated in the presence of 3 mM HMFCA the major 179 theoretically expected product of the reaction on HMF. In the presence of this 180 molecule, the significant loss in activity after 24 hours of incubation was 181 182 comparable between the three isoforms (Figure 2c). HRP was highly stable 183 under the tested conditions (Additional file 1).

- 184
 - 185 Stability towards H₂O₂

The stability of the three *Pci*GLOX isoenzymes was investigated in the presence of varying concentrations of H₂O₂. *Pci*GLOX1 was the most stable enzyme in the presence of this reaction product, retaining 60% of its activity when incubated with 10 mM H_2O_2 for 24 hours (**Figure 3**). Although more stable than *Pci*GLOX3, *Pci*GLOX2 significantly lost activity with increasing concentrations of H_2O_2 and lost more than 95% of its activity after incubation in the presence of 8 mM H_2O_2 . *Pci*GLOX3 on the other hand lost 60% of its activity with only 2 mM H_2O_2 and was completely inactive with 10 mM H_2O_2 after 24 hours of incubation.

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196 Oxidation of HMF and its derivatives by *Pci*GLOX

The three *Pci*GLOX isoenzymes were found to be active on HMF and this became evident by the decrease in HMF concentration and the appearance of the oxidation products over time (**Figure 4, Additional file 2**). Although the catalytic efficiencies of the three enzymes were comparable on HMF (**Table** 3), the conversion percentage of this substrate varied between the *Pci*GLOX enzymes (14% for *Pci*GLOX1, 34% for *Pci*GLOX2 and 28% for *Pci*GLOX3). In addition, the three isoenzymes noticeably differed in their products' patterns.

204 The major product of the reactions of the *Pci*GLOX isoenzymes on HMF was HMFCA with PciGLOX2 and PciGLOX3 producing the highest yields (39% 205 and 41%, respectively; Figure 4b, c). By adding catalase to the reaction of 206 PciGLOX2, the amount of produced HMFCA was considerably increased to 207 208 76 % (Figure 4b right). This increase was coupled to a significant boost in 209 HMF conversion. Interestingly, the addition of catalase seemed to shift the reaction more towards the production of HMFCA and decreased the amount 210 of FFCA produced from 5% to 0.7%. This activity-enhancing effect in the 211

212 presence of catalase was also observed with *Pci*GLOX3 but not with
213 *Pci*GLOX1.

Interestingly, small amounts of DFF, the alternative oxidation product of HMF, 214 were also detected. The concentration of detected DFF slightly increased over 215 time in the reaction with PciGLOX1 (0.017 mM at 24 hours). In the cases of 216 PciGLOX2 and PciGLOX3, smaller DFF concentrations were detected early in 217 the reaction. The substrate specificity and catalytic efficiencies of PciGLOX2 218 and PciGLOX3 on DFF as a substrate (Km =0.2 mM; Kcat/Km = 23403 s⁻¹ M⁻¹ 219 and Km =0.2 mM; Kcat/Km = 7267 s⁻¹ M⁻¹, respectively) were significantly 220 better than that of PciGLOX1 (Km =4.3 mM; Kcat/Km = 124.3 s⁻¹ M⁻¹). This 221 was further supported in the results obtained when DFF was used as the 222 initial substrate and 80% to 84% converted by PciGLOX2 and PciGLOX3, 223 224 respectively (Figure 5a).

225 The three PciGLOX were also reacted with HMFCA as the initial substrate. Although this compound was produced as the major product in HMF 226 oxidation, the three PciGLOX showed very weak activity towards HMFCA, 227 with *Pci*GLOX3 having the best conversion rate (2%; **Figure 5b**). The extent 228 of HMFCA to FFCA conversion by PciGLOX was not sufficient to calculate 229 catalytic constants. In addition, Higher concentrations of FFCA and FDCA 230 231 were obtained when the enzymes were reacted with DFF and FFCA as substrates, respectively (Figure 5a and c). 232

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GLOX and AAO Cascade Reactions

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5 6 235 A cascade reaction where UmaAAO was used to oxidize HMF followed by the addition of PciGLOX was tested. PciGLOX3 was used in this experiment 236 since this enzyme showed the highest conversion percentage on DFF (84%) 237 after 24 hours) and the highest FDCA yields (14% after 24 hours on FFCA). 238 Two conditions were tested: (1) PciGLOX3 was added after 2 hours of 239 UmaAAO reaction on HMF, and (2) PciGLOX3 was added after 24 hours of 240 241 UmaAAO reaction on HMF. Under condition (1) HMF was completely oxidized by UmaAAO and the major product before the addition of PciGLOX3 was DFF 242 243 whereas in condition (2) FFCA was the predominant product (Figure 6; Additional file 2). 244

After 4 hours of adding PciGLOX3, FFCA was the major product (2.6 mM) in 245 the reaction and a little amount of DFF (0.5 mM) was detected (Table 4). 246 Twenty hours later, DFF was almost completely consumed and the 247 concentration of FDCA increased (0.03 mM). In the presence of catalase, 248 DFF was completely oxidized after 4 hours of reaction and the concentration 249 of FDCA obtained was 6 folds higher after 24 hours (Table 4). The yield of 250 251 FDCA was further increased in condition (2) to reach 14% and 16% after 24 252 hours in the absence and presence of catalase, respectively (**Table 4**). The obtained yields in both tested conditions were comparable to the ones 253 obtained when PciGLOX3 was reacted with DFF and FFCA as the initial 254 255 substrates (Figure 5).

256

257 Discussion

As mentioned in the introduction, HMF, a residue of the 2G lignocellulosic

259 biorefinery, is of particularly industrial importance due to its biodegradability and its versatility as a precursor for a wide selection of furan-based products. 260 and as such has been recognized as top value-added molecule in 261 262 biotechnology. Currently, large chemical plants are being constructed to produce HMF, but a number of biological conversions have been identified in 263 recent years, including the use of glyoxal oxidases as described in this paper. 264 265 Although the three GLOX from *P. cinnabarinus* have a high protein sequence similarity and a conserved copper center, significant catalytic differences were 266 267 observed and it was therefore interesting to compare the activity of all three enzymes on the conversion of HMF and its derivatives. The observed catalytic 268 269 differences, in addition to the fact that *Pci*GLOX3 has not yet been found to be 270 secreted during fungal growth on different substrates [28], suggests different 271 physiological roles of these enzymes during fungal growth.

272 While enzymatic assays with PciGLOX were performed at 30°C, the 273 temperature at which fungi usually grows and secretes these enzymes in vitro, the pH and buffer system were also previously found to be critical 274 factors for activity [25]. PciGLOX1 and PciGLOX3 were very stable under the 275 reaction conditions used, while *PciGLOX2* lost significantly activity over time, 276 277 especially in presence of its substrate, HMF. The stability of AAO from P. 278 eryngii, which can also catalyze the oxidation of HMF to DFF, was previously determined in the presence of 3 mM HMF, and the enzyme was found to lose 279 30% of its activity after 24 hours [18]. Similarly to HMF, the major reaction 280 281 product HMFCA seems to alter considerably the catalytic properties of the PciGLOX enzymes. However, it is difficult to determine in the presence of 282 HMF and HMFCA if the observed effect is related to changes in stability or if it 283

is due to these molecules remaining in or close to the active sites of the
enzymes, especially in the case of *Pci*GLOX2 that was completely inactive on
GA in the presence of HMF.

287 When incubated with different concentrations of H₂O₂ over time, the three isoenzymes again showed differences in stability. The inhibition of PchGLOX 288 by exogenous H₂O₂ has previously been reported [30]. However, the three 289 290 *Pci*GLOX isoenzymes were found to be more stable than *Pch*GLOX, which retained only 25% of its initial activity in the presence of 2.1 mM exogenous 291 292 H₂O₂ in the reaction mixture [30]. Furthermore, the detection of the reaction product of *Pch*GLOX on glycerol was only possible when the activity was 293 294 extended by adding catalase [29], which is not the case for PciGLOX [25]. 295 H₂O₂ can oxidize proteins and alter the functional groups of certain amino 296 acids leading to cleavage of the polypeptide chain and protein aggregation [31]. Therefore, one could postulate that the differences in stability observed 297 298 between the three *Pci*GLOX in the presence of H₂O₂ could be explained by 299 structural variations between the three isoenzymes.

Significant difference in the kinetic parameters and products' yields for the 300 oxidation of HMF were observed between the three enzymes, with the highest 301 catalytic efficiency on HMF obtained with PciGLOX3 (Kcat/Km = 118.35 s⁻¹ M⁻ 302 ¹). The oxidation of HMF using a phylogenetically different GLOX from 303 Myceliophthora thermophila M77 (MtGLOX) has been recently published [32]. 304 Similarly to PciGLOX described in this paper, low conversion levels were 305 observed with HMF. However MtGLOX showed a higher catalytic efficiency 306 and specificity towards HMF ($K \text{cat}/K \text{m} = 787 \text{ s}^{-1} \text{ M}^{-1}$, $K \text{m} = 0.02 \text{ M}^{-1}$) 307

308 compared to *Pci*GLOX. Interestingly MtGLOX oxidized the alcohol group of
 309 HMF leading to the formation of DFF.

Higher specificity and two-fold higher catalytic efficiency of AAO from P. 310 eryngii were reported on HMF (Kcat/Km = 210 s⁻¹ M⁻¹) compared to that 311 recorded in this study on *PciGLOX* [18]. In a more recent study using AAO for 312 the oxidation of HMF, Karich and co-workers reported varying catalytic 313 constants for the homologously produced AAO from P. eryngii on HMF with 314 catalytic efficiency comparable to that obtained for PciGLOX [33]. However, P. 315 eryngii AAO was less specific on HMF. Another enzyme used for the oxidation 316 317 of HMF is the FAD-dependent oxidase, HMFO, which was more specific on HMF compared to *PciGLOX* and has a significantly higher catalytic efficiency 318 $(K \text{cat}/K \text{m} = 7000 \text{ s}^{-1} \text{ M}^{-1})$ [19]. A 95% conversion yield of HMF to FDCA was 319 320 achieved using HMFO, however the concentration used was 60 times higher than the one used for *Pci*GLOX in this work. HMFO also requires oxygen as 321 322 an electron acceptor.

The major product of the PciGLOX reaction on HMF was HMFCA. This result 323 was expected since GLOX recognizes the aldehyde group of its substrate 324 [25], HMF in this case (Scheme 1). The need for this specificity has limited 325 the number of described bio-catalytic pathways for the production of HMFCA. 326 A previously described enzyme for this purpose is the bacterial xanthine 327 oxidase (XO), which recognizes the formyl group of HMF [16]. Using 2.2 µM 328 329 of this enzyme, HMFCA was obtained with a yield of 94% after 7 hours of reaction on 26 mM HMF. The amount of PciGLOX used in this work was 330 comparable to XO, but the yield of HMFCA obtained with PciGLOX2 after 24 331 332 hours of reaction was significantly lower. However, a two folds increase in

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333 HMFCA yields was observed when catalase was added to PciGLOX2 reaction. This was in agreement with the results showing that *Pci*GLOX2 was 334 very sensitive to accumulating H_2O_2 concentrations. Catalase converts H_2O_2 335 336 to O_2 , protecting the enzymes from oxidative damage and supplying O_2 . This enzyme was previously used to eliminate the accumulating H₂O₂ from the 337 action of alcohol oxidases on HMF [16]. In addition, catalase was found to 338 339 boost HMF conversion in the coupled reaction of GAO and HRP, which is similar to the effect observed in this work [16]. 340

In addition to HMFCA, small amount of DFF were detected at early stages of 341 the reaction especially with PciGLOX1. This result shows that PciGLOX are, 342 to a smaller extent, able to act on the alcohol group of HMF. This is the 343 second example of alcohol oxidation by these enzymes, which have been 344 345 previously found to be active on glycerol [25, 29]. HMF oxidation to DFF as the only product was recently observed with *Mt*GLOX; however this enzyme 346 was unable to further oxidize DFF in the reaction [32]. Enzymes known to 347 convert HMF to DFF include also AAO, alcohol oxidase, GAO, and HMFO 348 [16, 18, 34, 19, 33] and these enzymes showed higher yields of DFF 349 compared to PciGLOX. However, the substrate specificity and catalytic 350 efficiencies of PciGLOX2 and PciGLOX3 on DFF as a substrate (Km =0.2 351 mM; Kcat/Km = 23403 s⁻¹ M⁻¹ and Km =0.2 mM; Kcat/Km = 7267 s⁻¹ M⁻¹, 352 respectively) were significantly better than that of AAO from P. eryngii (Km 353 =3.3 mM; Kcat/Km = 158 s⁻¹ M⁻¹) [18] and HMFO (Km =1.7 mM; Kcat/Km = 354 940 s⁻¹ M⁻¹) [19]. PciGLOX2 and PciGLOX3 were also more efficient than 355 PciGLOX1 in oxidizing DFF which explains the presence of constant 356 concentrations of DFF with PciGLOX1. On the other hand, in the cases of 357

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3 4 358 *Pci*GLOX2 and *Pci*GLOX3, it is more likely that DFF was produced and 359 consumed very fast in the reaction.

360 On the contrary, the major reaction product, HMFCA was very weakly 361 oxidized by *Pci*GLOX. In addition, although the three enzymes and especially 362 *Pci*GLOX2 and *Pci*GLOX3 were able to oxidize HMF, higher concentrations of 363 FFCA and FDCA were obtained when the enzymes were reacted with DFF 364 and FFCA as substrates, respectively.

In the reactions of *Pci*GLOX on HMF, very little DFF is produced compared to 365 the "dead-end product" HMFCA which might be strongly altering the stability 366 of the enzymes at early stages of the reaction preventing further oxidation 367 368 steps. In addition, the obtained results suggest that similarly to AAO, HMFO and chloroperoxidase [18, 19, 34], PciGLOX enzymes produce FFCA mainly 369 via the oxidation of DFF (**Scheme 1**), which is a minor product in the oxidation 370 371 of HMF by *Pci*GLOX. However, *Pci*GLOX are more specific and catalytically 372 efficient than these enzymes in oxidizing DFF. For these reasons a cascade reaction, where UmaAAO was used to oxidize HMF followed by the addition 373 374 of *Pci*GLOX, was tested. AAO was previously used in tandem reactions with an unspecific oxidase for the oxidation of HMF [18, 33]. Similarly to AAOs 375 from Pleurotus eryngii, and Pleurotus ostreatus AAO, the AAO from U. maydis 376 (UmaAAO) generates FFCA via the oxidation of HMF to DFF [18, 33]. 377 UmaAAO was also found as efficient as P. eryngii AAO in oxidizing HMF 378 379 leading to full conversion after 2 hours of reaction. In contrary to P. eryngii AAO, UmaAAO seems less efficient in converting DFF to FFCA in the 380 reaction. However, the used concentration of UmaAAO in this study is 381 382 significantly lower and a kinetic study is needed to determine the efficiency.

383 In the current PciGLOX tandem reaction, the yields of obtained FDCA were lower compared to the ones obtained in the cascade reactions with UPO [18, 384 33]. A limiting step in the reaction of *PciGLOX* seems to be the conversion of 385 386 FFCA to FDCA and this was observed when FFCA was used as initial substrate. This effect is most possibly due to exogenous factors affecting the 387 reaction since the enzymes exhibit relatively high specificity and catalytic 388 efficiency towards FFCA. A probable factor could be the inhibition of the 389 enzymes by the substrate and/or the product of the reaction. A second 390 391 possible factor affecting this reaction is the accumulation of H₂O₂ throughout the first oxidation steps inhibiting the progress of the reaction in the FFCA 392 393 oxidation stage. The sensitivity of *PciGLOX* enzymes in the presence of H₂O₂ 394 supports this hypothesis. It is also interesting to mention that this effect was 395 previously reported for PostAAO where the sensitivity of FFCA oxidation reaction to H_2O_2 was 300-times higher than that of the initial reaction [33]. 396

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398 Conclusion

The potential of *Pci*GLOX for the production of valuable furan derivatives from 399 HMF was investigated in this work. Interestingly, the three GLOX belonging to 400 the same organism and sharing high sequence similarity of 84-89%, showed 401 402 differences in their catalytic properties and product patterns on the same 403 substrates. Although known for their activity on aldehydes, these proteins were also able to act on the alcohol group of the substrate. Previously, this 404 405 activity has been explained by the hypothesis that enzymes such as HMFO for example recognizes the hydrated form of the aldehyde in the reaction 406

407 which explains their activity on DFF that rapidly forms hydrate in buffer pH 5-8 [19]. When the substrate has a carboxylic acid group in addition to the 408 aldehyde group such as in the case of FFCA, the formation of hydrate is 409 410 highly unfavorable and this explain the low activity of HMFO on FFCA [35, 19]. However, *PciGLOX* were active on FFCA and were found to efficiently 411 oxidize the aldehyde group of HMF. HMF was previously reported not to form 412 413 gem-diol under conditions similar to the ones used in this work [18]. This shows that *Pci*GLOX are able to recognize and oxidize both, aldehyde and, in 414 415 certain cases, alcohol groups. HMFCA remains the major product of the reaction preventing the production of FDCA. However, the specificity of 416 PciGLOX towards producing HMFCA itself can be considered of high 417 biotechnological significance. The addition of catalase, to remove the 418 419 influence of self-inhibition through the production of H₂O₂, improved reaction yields, while the addition of an AAO prior to the addition of *Pci*GLOX, shifted 420 421 the reaction pathway through DFF and towards the production of FDCA, the desired precursor for bioplastic synthesis. 422

423 MATERIALS AND METHODS

424 Chemicals and Enzymes

All chemicals were of analytical grade. HMF, DFF, HMFCA, 5-formyl-2-furan 425 carboxylic acid (FFCA), FDCA, 2,2'-azino-bis(3-ethylbenzothiazoline-6-426 427 sulphonic acid (ABTS) and glyoxylic acid (GA), together with the enzymes horseradish peroxidase (HRP) and catalase were purchased from Sigma 428 429 Aldrich (Lyon, France). *Pci*GLOX1 and *Pci*GLOX2 enzymes were heterologously produced in Aspergillus niger as described previously [25]. 430

The same protocol was used to produce the third isoform, *Pci*GLOX3, and is reported here for the first time. AAO from *Ustilago maydis* was produced as previously reported in our laboratory [36]. The sequences of *Pci*GLOX1, *Pci*GLOX2 and *Pci*GLOX3 are available in GenBank under accession numbers KU215437, KU215438 and MK268804, respectively.

436

437 Enzyme Activity Assay

The activity of the three PciGLOX enzymes was assayed in a coupled 438 439 reaction with HRP following the protocol previously described [25]. When purified, GLOX enzymes are inactive and their oxidative activation was found 440 to be possible in the presence of lignin peroxidase or HRP, or by the addition 441 442 of strong oxidants such as molybdicyanide (K₃Mo(CN)₈), hexachloroiridate (Na₂IrCl₆), or Mn₃₊ EDTA [24, 37]. HRP was used in this study since it is 443 readily obtained commercially, highly stable under the conditions used, and 444 does not act on any of the substrates under investigation for oxidation by 445 GLOX. The reaction mixture consisted of 50 mM sodium tartrate buffer (pH 6) 446 447 containing HRP (8 U), 0.1 mM ABTS, *Pci*GLOX (1 µg) and GLOX substrate at varying concentrations depending on the reaction in 1 mL final volume. The 448 lag period was eliminated by adding 5 μ M H₂O₂. The reaction was initiated by 449 450 the addition of the GLOX substrate and the oxidation of ABTS was followed at 451 436 nm for 1.5 minute. The standard assay was performed at 30 °C. All assays were performed in triplicate. 452

453

454 PciGLOX3 Characterization

455 The substrate specificity of PciGLOX3 on 10 mM of previously tested molecules (Table 1) with PciGLOX1 and PciGLOX2 was investigated 456 following the activity test described above. The same standard assay was 457 458 used to determine the kinetic constants for *PciGLOX3* on methylglyoxal (0.02 to 5 mM), glyoxal (0.1 to 7 mM), glyoxylic acid (0.1 to 10 mM), and glycerol 459 (0.3 to 80 mM) as substrates. Lineweaver-Burk plots, obtained using the 460 461 GraFit (version 4) program [38], were used to calculate the kinetic 462 parameters.

The three *Pci*GLOX sequences were aligned using the ClustalW program within the MegAlign module (version11.0.0) of DNAStar software (Madison, WI).

466

467 Enzyme Stability

The three PciGLOX were incubated at 30 °C and 800 rpm agitation for 48 468 469 hours in 50 mM sodium tartrate buffer pH6. Samples were taken after 2, 4, 24 and 48 hours and the residual activity was measured using GA as substrate 470 and calculated as a percentage of the activity at time zero. The same 471 472 experiment was also performed in the presence of 3 mM HMF or HMFCA to determine the stability of the enzymes in the presence of these molecules. 473 The stability of HRP was also assessed under the same conditions. All 474 475 measurements were performed in triplicates.

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477 Kinetic Studies

The coupled reaction with HRP and ABTS under standard conditions was used to determine the steady-state kinetic parameters for *Pci*GLOX oxidation of HMF (0.3-50 mM), DFF (0.01-2 mM), HMFCA (0.1-3 mM) and FFCA (0.2-5 mM). Kinetic parameters were obtained by fitting the data to the Michaelis-Menten equation using R statistical software (R Core Team, Vienna Austria).

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HPLC Analysis of Reaction Products

All oxidation reactions were performed at 30 °C under agitation at 800 rpm in 485 486 Eppendorf ThermoMixer tubes (Eppendorf, Montesson, France) over 24 hours period in 100 mM tartrate buffer, pH6. To follow the reaction of the three 487 PciGLOX isoenzymes on HMF, DFF, HMFCA and FFCA over time, reaction 488 489 mixtures containing PciGLOX (20 µg), HRP (8 Units), ABTS (0.1 mM) and the substrate (3 mM) were prepared. Samples were taken after 0, 0.5, 1, 1.5, 2, 3, 490 4, 5, 6, 8 and 24 hours and analyzed. Reactions containing the same 491 constituents except *Pci*GLOX were followed for the same period of time and 492 used as controls. All measurements were performed in duplicates. 493

Reaction mixtures were separated on Aminex HPX-87H column (300 x 7.8 mm) (BioRad) at 45 °C, with 2.5 mM sulfuric acid as the mobile phase with a flow rate of 0.6 mL/min. Eluted compounds were detected using a diode array detector at 280 nm. The reactions were stopped by incubating the mixture at 90 °C for 10 min and centrifuging at 15000 xg for 15 minutes. The samples were then filtered using 0.45µm polyvinylidene difluoride syringe filters (Restek, Lisses, France) before injection in the column. Peak areas from the

501 obtained chromatograms were converted to molar concentration using 502 calibration curves of pure substrates and products standards.

503 The percentage conversion of the used substrate was determined according

to the following equation:

505 %Conversion = $(1 - \frac{Concentration of substrate}{Initial concentration of substrate}) \times 100$

506 The percentage yield of the reaction products was determined according to 507 the following equation:

508 %Yield =
$$\left(\frac{Concentration of product}{Initial concentration of substrate}\right) \times 100$$

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510 Effect of H₂O₂ on HMF Oxidation

The stability of the three *Pci*GLOX isoenzymes in the presence of H₂O₂ was assessed by measuring the residual activity of the enzymes after preincubation with different concentrations of H₂O₂ over 24 hours. The H₂O₂ was removed before adding the enzyme to the reaction mixture by washing the samples with buffer in an Amicon ultrafiltration unit with a 10-kDa-molecularmass-cut-off membrane (Merck Millipore). All measurements were performed in duplicate.

To determine the effect of hydrogen peroxide on the oxidation of HMF and its derivatives by *Pci*GLOX, the standard reaction was performed in the presence of 10 μ g catalase (2000 – 5000 Units/mg protein). The reaction was followed over time as described above. A control reaction containing all the components except *Pci*GLOX was analyzed to determine the effect of catalase on HMF oxidation.

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525 PciGLOX and UmaAAO Cascade Reactions

The oxidation of HMF by *Uma*AAO in the presence and absence of 10 μg catalase was followed. The reaction mixture contained *Uma*AAO (20 μg) and HMF (3 mM) in 100 mM tartrate buffer, pH6. Samples were taken after 0, 0.5, 1, 1.5, 2, 3, 4, 5, 6, 8 and 24 hours and analyzed on HPLC. All measurements were performed in duplicates.

*Uma*AAO was then used in a cascade reaction with *Pci*GLOX3 for the oxidation of HMF and its derivatives. The reaction was performed by reacting *Uma*AAO with HMF for 2 or 24 hours before adding *Pci*GLOX3. Following the addition of *Pci*GLOX3, the samples were further incubated for 4 or 24 hours and then analyzed. The reactions were again performed in the presence and absence of catalase.

537

538 List of abbreviations

HMF, 5-hydroxymethylfurfural; FDCA, 2, 5-Furandicarboxylic Acid; DFF,
diformylfuran; HMFCA, 5-hydroxymethyl-2-furancarboxylic acid; GAO,
galactose oxidase; AAO, aryl alcohol oxidase; UPO, unspecific peroxigenase;
HMFO, HMF oxidase; GLOX, glyoxal oxidase; FFCA, 5-formyl-2-furan
carboxylic acid; ABTS, 2,2'-azino-bis(3-ethylbenzothiazoline-6-sulphonic acid;
GA, glyoxylic acid; HRP, horseradish peroxidase

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65

546 **Declarations**

547 Ethics approval and consent to participate

548 Not applicable.

549 **Consent for publication**

550 Not applicable.

551 Availability of data and materials

552 The datasets used and/or analyzed during the current study are available

553 from the corresponding author on reasonable request.

554 Competing interests

555 The authors declare that they have no competing interests.

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565 Authors' contributions

566 MD, CBF and ER designed the experiments. MD and SW performed the 567 production and biochemical characterization of *Pci*GLOX3. MD and BY 568 performed the reactions and HMF and the HPLC analysis. MD, EB, CBF and 569 ER analyzed and interpreted the data and results. MD was the major 570 contributor in writing the manuscript. CBF, EB, FD and ER provided critical 571 feedback and helped in writing the manuscript. All authors read and approved 572 the final manuscript.

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574 Not applicable.

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Table 1. Substrate specificity of *Pci*GLOX3 compared to previously

characterized *Pci*GLOX1 and *Pci*GLOX2 (Daou et al. 2016).

	Activity (nkat/mg)				
Substrate (10 mM)	PciGLOX1	PciGLOX2	PciGLOX3		
Methyl glyoxal	814	291	90		
Glyoxal	392	163	68		
Glyoxylic acid	1562	384	59		
3-phenylpropionaldehyde	10	135	51		
Formaldehyde	50	202	133		
DL-glyceraldehyde	11	66	26		
Dihydroxyacetone	108	157	64		
Glycerol	2	61	88		
2,4-dimethoxybenzaldehyde	ND	2	ND		
Veratraldehyde	ND	ND	ND		
4-hydroxybenzaldehyde	ND	ND	ND		
Phenyl glyoxilic acid	ND	ND	ND		
Formic acid	ND	ND	ND		
D-Glucose	ND	ND	ND		
D-Galactose	ND	ND	ND		
D-Xylose	ND	ND	ND		
Methanol	ND	ND	ND		

ND, activity not detected under these assay conditions

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Table 2. Kinetic constants of *Pci*GLOX3 on Methylglyoxal, glyoxal, glyoxylic

acid and glycerol compared to PciGLOX1 and PciGLOX2 (Daou et al. 2016).

	<i>Pci</i> GLOX1		PciGLOX2		<i>Pci</i> GLOX	(3
Substrate	K _m (mM)	K _{cat} /K _m	K _m (mM)	K _{cat} /K _m	<i>K</i> _m (mM)	K _{cat} /K _m
		(s ⁻¹ mM)		(s ⁻¹ mM)		(s⁻¹ mN
Methylglyoxal	1.3	58.4	0.2	7	0.1	75.8
Glyoxal	13.1	6.3	2.2	0.6	0.7	11.2
Glyoxylic acid	0.08	2136.3	0.1	17	0.5	15.3
Glycerol	660.5	0.04	9.4	0.06	5.5	1.4

739 740

741 **Table 3.** Kinetic parameters for the oxidation of HMF, DFF, and FFCA by the

three *Pci*GLOX isoenzymes.

Substrate		<i>Pci</i> GLOX1	<i>Pci</i> GLOX2	<i>Pci</i> GLOX3
	K _m (mM)	15.66	5.872	6.353
HMF	<i>K</i> _{cat} (s ⁻¹)	2.59	0.56	0.75
	K _{cat} /K _m (s ⁻¹ M ⁻	101.66	96.04	118.35
	<i>K</i> _m (mM)	4.38	0.205	0.176
DFF	K _{cat} (s ⁻¹)	0.54	4.80	1.28
	K _{cat} /K _m (s ⁻¹ M ⁻ ¹)	124.39	23403.66	7267.72
	<i>K</i> _m (mM)	0.85	1.404	0.608
FFCA	<i>K</i> _{cat} (s ⁻¹)	0.03	2.02	0.04
	K _{cat} /K _m (s ⁻¹ M ⁻	38.55	1435.45	72.03

Table 4. Percentage content of HMF, DFF, FFCA and FDCA in the cascade reactions of *Uma*AAO and *Pci*GLOX3 following condition (1) that consisted of reacting *Uma*AAO with HMF for 2 hours before adding *Pci*GLOX3 and condition (2) in which *Pci*GLOX3 was added after 24 hours of the reaction of *Uma*AAO with HMF. Reactions were performed in the presence (C) and absence of catalase (NC) for both conditions. *Pci*GLOX 3 was reacted for 2 or 24 hours before analyzing the reaction products.

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	Condition (1)				Condition (2)			
	After 2 hours		After 24 hours		After 2 hours		After 24 hours	
	NC	С	NC	С	NC	C	NC	С
HMF	ND	ND*	ND	ND	ND	ND	ND	ND
DFF	17%	ND	1%	ND	ND	ND	ND	ND
FFCA	83%	96%	98%	95%	91%	88%	87%	84%
FDCA	ND	4%	1%	5%	9%	12%	13%	16%
*ND,		value	es	b	elow		1	%

752 List of Schemes

753 Scheme 1. The oxidation reaction pathways of HMF to FDCA (Carro et al.754 2015)

755 List of Figures

Figure 1. Custal W alignment of *P. cinnarbarinus* GLOX proteins. Identical residues are highlighted in red. Arrows indicate residues essential for catalysis

Figure 2. Residual activity of the three *Pci*GLOX after incubation at 30°C and 800 rpm shaking in 50 mM tartrate buffer pH6 in the absence (**a**) and presence (**b**) of 3 mM HMF, and in the presence of 3 mM HMFCA (**c**). The residual activity was calculated as a percentage of the activity before incubation.

Figure 3. Stability of the three *Pci*GLOX in increasing concentrations of
 hydrogen peroxide after an incubation period of 24 hours.

Figure 4. HMF oxidation reaction follow-up over time in the absence (left) and presence (right) of catalase by (a) *Pci*GLOX1, (b) *Pci*GLOX2 and (c) *Pci*GLOX3.

Figure 5. Follow-up of the reactions of *Pci*GLOX1, *Pci*GLOX2 and *Pci*GLOX3
on (a) DFF, (b) HMFCA and (c) FFCA as initial substrates.

Figure 6. Oxidation of HMF overtime by UmaAAO in the presence (A) andabsence (B) of catalase.

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774 Additional files

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Additional file 1. Residual activity of HRP on ABTS after incubation for different time periods in tartrate buffer pH 6 at 30°C and 800 rpm in the presence and absence of HMF or HMFCA.

Additional file 2. HPLC chromatogram of the reactions of (**A**) the three *Pci*GLOX enzymes on HMF after 24 hours of incubation and of (**B**) the reaction of *Uma*AAO on HMF overtime.

PeiGLOX1	-APSAPGMREDLEAERSGIVALESIVASPTLALWEDRAS-DDPEQENNHEARGALANEET 58
PeiGLOX2	APSTPTONOSNIKAERSGIVALESIVASPTLAVFTDRAT-NDPIQENHEARGALANEET 59
PeiGLOX3	-APSA <mark>FORSEDLE</mark> KETEGIVALEAIVVSPTLAVFTDRAS-DDPIQENHEARGALANEES 58
	Cys70
PciGLOX1	TVEP NULTNER CASCALLENG SAAV DOR FP NPAIN FN AIN I FER AFTED 118
PciGLOX2	STVBA DVLIN Z CASCALLENG TAA I DODEN FP NPAIH OT DAIR LEFED DOT E 119
PciGLOX3	STVRP DVLIN SECASCALLENG TAAV DODED FV NPAIR PONCALE LEFED DOT D 118
	P Tyr135
PeiGLOX1	OCTVERDED LELLE DENYPESIELEDOSELEDOSELED NOT DUNENEUS LE MANSFERET PRESS 178
PeiGLOX2	CCTLFEDRUELELEK MYPESAREFDOSELED NOT EETPEN TOTALSFERE PRESS 179
PeiGLOX3	CCTLFEDRAELELEK MYPESAREFDOSELED NOT EATPEN TOTALSFERE RESC 178
PciGLOX1	PRUSAFIE DELFANLEERI FALFOSKVERVANNOSIIYDIEANTERILPII ESNVAVINU 238
PciGLOX2	DRUSEFINNSLPANLEERVFALPOCKVERVANNOSIIYDIEANTERILPIV ENVRVINU 239
PciGLOX3	DRUSEFINNSLPANLEERVFALPOCKVERVANNOSIIYDIEAKTERILPIVENVRVIVU 238
PciGLOX1	IDESAILLEET PERTINAVIVOGOTSTEPLDE-SLUSSOTEATTOCSRIREDESGIAKSE 297
PciGLOX2	IDESAILLEES PERFVERVIVOGOTOTOTIDE-SLUTSOTEASSOCSRIREDESGIARSE 298
PciGLOX3	MERSAILLEES PERFVERVIVOGOSOTOTIDE-SLUTSOTEASSOCSRIREDESGIARSE 297
PeiGLOX1	OVERMESPET SPELVEIPHOSILLANGARIGEAAIKONODEIINSSILAVIVPSILUPU 357
PeiGLOX2	Evermesgemerelvelphosvelangarigeaaiasesdevogsaabhavivpslutpo 358
PeiGLOX3	Evermesgeimpelvelphosvelanggregeaaiasesvensaahpvevpslutpo 357
PciGLOX1	APLOCALSNALMESSG ARVYESSILL POUNFLEARSNAMGNTTVGPOVEFDSEFOV 415
PciGLOX2	ANLOTRIANVELESSG ARVYESSILL POUNFLEARSNAMNNSSVTACVEPSEFOV 416
PciGLOX3	APLOREISNVELESSG PELYHOSVILL POUNFLEASSNAMNRTTVGPOISSPERFOV 415
	HIS471
PciGLOX1	QELENDERFYERERIENTRERIGENKEF VOESVERTLASPGARVOVSIMOLGFESHAFE 475
PciGLOX2	OTLENDEFFYERERIESMERKIAAGKSF VOESVERTLAHPGARVOVSIMOLGFESHAFE 476
PciGLOX3	OTLENDEFSVERERIENMERKIGENKSF VOESVERSTARPGARVOISIKOLGFESHAFE 475
PciGLOX1	ESARLVFNDATIERDRRELIFTTPPNGRVFPPGPRTVELTIDGVTEEBAWVMNGSGNPPI 535
PciGLOX2	SSARLVFNNAK SCOGKELIFTTPPNGRVYPPGPRTIFLTIDDVTEEBAWVMNGSGNPPI 536
PciGLOX3	SSARLVFN <mark>NGKIGCOSKELIFTTPPNGRVYPPGPRTVFLTIDDVTEEG</mark> AWVMNOSGNSPI 535
PciGLOX1	538
PciGLOX2	539
PciGLOX3	538













Α.









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Supplementary Figure 1

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