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1 **Analysis of soybean germination, emergence, and prediction**
2 **of a possible northward establishment of the crop under**
3 **climate change**

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15 **Abstract**

16 Soybean (*Glycine max* (L.) Merr.) has the potential to improve sustainability of
17 agricultural production systems. A higher focus on this crop is needed to re-launch its
18 production in the EU. A better understanding of key determinants affecting soybean
19 establishment represents a first step to facilitate its adoption in cropping systems. To
20 this objective, we conducted laboratory and field experiments in order to better
21 characterize seed germination and seedling growth in relation to temperatures, water
22 content, and soil structure. We then used these data to parametrize the SIMPLE crop
23 emergence model and to evaluate its prediction quality, by comparing observed field

24 germination and emergence data with the predicted ones. Finally, we performed a
25 simulation study over the 2020-2100 period, for three sowing dates, from mid-March to
26 mid-April, in the northern climate of France to evaluate whether soybean will
27 successfully establish in the Northern part of the country under future climate change.
28 Experimental results showed that soybean germination was very fast, taking only 17 °C
29 days to reach 50% germination at optimal conditions. The base, optimum and maximum
30 temperatures were determined as 4, 30 and 40°C, respectively while the base water
31 potential was -0.7 MPa, indicating a high sensitivity to water stress. The SIMPLE model
32 finely predicted germination and emergence courses and their final rates, compared
33 with the observed field data. The simulation study showed average emergence rate
34 ranging from 61 to 78% with little variability among sowing dates and periods, but a
35 high variability between years. Main causes of non-emergence were: i) seedling
36 mortality due to clods or soil surface crust, followed by ii) non-germination, and iii)
37 seedling mortality due to drought. When sown in mid-April, seedling mortality due to
38 drought was higher compared with earlier sowing dates. These results provide a better
39 knowledge of soybean establishment that are encouraging to introduce soybean with
40 early sowings to diversify current cropping systems.

41 Key words: Crop diversification, crop establishment, leguminous crops, modeling,
42 SIMPLE.

43

45 **1. Introduction**

46 Crop diversification is one of the three measures of the EU common agricultural policy
47 greening initiative that aims to enhance the environmental performance of the EU
48 agricultural system (European Commission, 2013). Leguminous crops in arable
49 rotations are encouraged to meet this objective as they provide multiple ecosystem
50 services (Schreuder and De Visser, 2014; Stagnari et al., 2017).

51 Soybean (*Glycine max* (L.) Merr.) is one of the leguminous crops with a strong potential
52 to improve sustainability of agricultural production systems. This is because soybean is
53 more rustic and less vulnerable to abiotic and biotic stresses than other widely grown
54 leguminous crops in France and Europe including field pea, which is heavily attacked by
55 pests and pathogens with consequent high number of treatments with conventional
56 pesticides (Agreste, 2019; Lamichhane et al., 2020). Despite this potential, a great
57 decrease of the soybean acreage has been observed in the EU since 2002 which was
58 mainly due to an insufficient economic competitiveness of the crop compared with non-
59 leguminous crops (FAOSTAT, 2018; Labalette et al., 2010). A reintroduction of soybean
60 is one of the public policy priorities both to reduce import dependency of this crop and
61 also to satisfy the demand for locally produced, non-genetically modified protein crops
62 (Bertheau and Davison, 2011). There is an effort to increase the competitiveness of this
63 crop at the expense of cereals by maximizing quantitative and qualitative yield per unit
64 area. A better understanding of key biotic and abiotic factors that affect crop
65 establishment remains crucial and represents a first step to meet this objective.

66 In France, the soybean acreage has been increasing over the last years and the crop is
67 mainly grown in east-central and southwestern regions, characterized by low annual

68 rainfall (Labalette et al., 2010). Nevertheless, soybean was already grown to the north of
69 the Paris basin (Lejeune-Henaut, 1991), where the surface area has increased from 30 to
70 340 hectares in the last four years (Ribault, 2018). While no important losses due to
71 biotic stresses have been reported to date, cultivation across southern regions often
72 poses risks related to the seedbed abiotic components that affects the crop
73 establishment. Indeed, stand establishment of field crops is widely affected by soil
74 temperature, water potential, as well as mechanical obstacles such as soil aggregates
75 composing the seedbed or a soil surface crust (Awadhwal and Thierstein, 1985;
76 Constantin et al., 2015; Dürr et al., 2016; Dürr and Aubertot, 2000; Gallardo-Carrera et
77 al., 2007). Nevertheless, only little knowledge exists to date concerning the impact of
78 seedbed abiotic components on soybean crop establishment.

79 The objectives of this study were three-fold. First, get a better knowledge of soybean
80 parameter values with regard to germination and early seedling growth in different
81 environmental conditions. Second, use these data to parametrize the SIMPLE crop
82 emergence model for soybean, and evaluate the prediction quality of the model in terms
83 of germination and emergence courses and their final rates by comparing the predicted
84 and observed data obtained under field conditions. Third, use this model adapted to
85 soybean to perform a simulation study will help analyze whether there will be suitable
86 seedbed sowing conditions for soybean in Northern France under future climate change.
87 This simulation study is important given that a global northward expansion of
88 agricultural climate zones has been predicted under 21st-century climate change (King
89 et al., 2018).

90 **2. Materials and methods**

91

2.1. Overview of the SIMPLE crop emergence model

A comprehensive description including the functioning of the SIMPLE model, and the list of equations and input variables, has been provided by Dürr et al. (2001). Briefly, the model predicts the germination and emergence process and their final rates in relation to environmental conditions during sowing. The model has previously been parameterized and positively evaluated for a number of crop species: wheat, sugar beet, flax, mustard, French bean, oilseed rape, (Dorsainvil et al., 2005; Dürr et al., 2016, 2001; Moreau-Valancogne et al., 2008), several catch crops (Constantin et al., 2015) and a plant model *Medicago truncatula* (Brunel et al., 2009). Here we mainly focus on the model's key features and the input variables measured for the model parametrization for soybean crop without listing equations.

SIMPLE creates 3D representations of seedbeds with sowing depth distribution and the size, number, and position of the soil aggregates as input variables. Soil temperature at the mean sowing depth and daily soil water potential in several layers are also used as input variables for simulations, along with plant characteristics for germination and seedling growth. Seeds are randomly placed into the seedbed using the sowing depth distribution chosen for the simulation. The model predicts germination and emergence, seed by seed, at a daily time step. The time required for germination of the seed i is chosen at random in the distribution of thermal times that characterizes the seed lot used. Cumulative thermal time from sowing is calculated above the base temperature (T_b) for germination, provided that the soil water content at the seed sowing depth is above the base water potential (Ψ_b). The T_b and Ψ_b for germination are input variables. If seed i has not germinated after a given time (often fixed at 30 days for the simulation), the model considers it will never germinate. If the seed germinates, then a seedling

116 grows from the seed. To better include the effect of early water stress on seedling
117 growth, we added a water stress function to the SIMPLE model (Constantin et al., 2015).
118 This water stress in the seedbed reduces time courses and final rates of emergence after
119 germination, by blocking hypocotyle elongation and causing seedling mortality,
120 respectively. With this function, the fate of seedlings is determined by considering soil
121 water potential in the soil layer in which the radicle grows in the two days following
122 germination. If it remains lower than Ψ_b , the seedling dies the following day. In the
123 opposite case, the time it takes for the seedling to reach the soil surface after
124 germination is calculated by SIMPLE based on its seed's sowing depth, the length of the
125 pathway the shoot takes through the aggregates, and the shoot's elongation function,
126 whose parameters are input variables.

127 The probability of the seedlings remaining blocked under aggregates depends on the
128 size and position of the clods in the seedbed, i.e. lying on the surface or below it. Soil
129 surface crusting depends on cumulative rainfall after sowing. The proportion of
130 seedlings that can remain blocked under the crust depends on daily crust water content
131 (dry or wet soil surface).

132 Simulations are run for 1000 seeds to predict the emergence rate over time and final
133 emergence percentage. The causes of non-emergence simulated by SIMPLE are (i) non-
134 germination, (ii) death of seedlings caused by water stress after germination and (iii)
135 mechanical obstacles (clods or a soil crust). The SIMPLE model does not consider biotic
136 stresses, such as pests and diseases or the effect of high temperatures, which could
137 inhibit germination.

138 2.2. Characterization of seed germination and early seedling growth via 139 laboratory experiment

140 Laboratory experiments were carried out to establish reference values on soybean
141 germination, especially under water stress conditions, and on early seedling growth
142 with or without mechanical obstacles as this information was lacking in the literature.

143 2.2.1. Seed germination response to temperature

144 Seed germination tests were carried as previously described (Gardarin et al., 2016;
145 Raveneau et al., 2011). A commercial seed lot of cv. ES Pallador, which was produced
146 under good standard growing conditions in France, was used. No seed treatment was
147 applied prior to sowing. For each temperature, four replicates of 25 seeds were sown in
148 90 mm Petri dishes on Whatman® filter paper of the same size placed both below and
149 above the seeds and imbibed with 8 ml deionized water. The dishes were incubated at
150 3.5, 6.5, 10, 15, 20, 25, 30, 34.5, 37 and 40 °C and temperatures were hourly recorded
151 with sensors. Depending on the incubation temperature, seed germination was assessed
152 up to three times per day until no further germination was observed. A given seed was
153 considered germinated when the radicle was >3 mm. At each observation, the
154 germinated seeds were removed from the dishes. The base temperature for germination
155 was determined by fitting a Gompertz function to the observed germination rates as
156 described previously (Brunel et al., 2009). Adjustments of germination dynamics were
157 made for each temperature and values of T_b for germination were defined as the X-
158 intercept of the linear regression between the temperature and germination rate (Dahal
159 and Bradford, 1994; Gummerson, 1986). We determined the range of temperatures for
160 which a strong linear relationship existed between germination rates (1/time to reach
161 20, 40, 50, 60, 80, and 90% germination) to calculate the X-intercepts. Base temperature
162 was defined as the intercept of the linear regression with the X-axis: the corresponding
163 temperature is thus the point at which no germination occurs (Y-axis = 0%). The

164 optimum temperature for germination, corresponding to the maximum germination
165 speed, was determined using a non-linear equation (Yin et al., 1995), where maximum
166 and minimum temperatures were found as function parameters.

167 2.2.2. Seed germination response to water potential

168 Germination was also tested under four different water potentials (0, -0.10, -0.25, 0.50, -
169 0.75, -1.0 and -1.50 MPa) by adapting the previously described methods (Brunel et al.,
170 2009; Gardarin et al., 2016; Raveneau et al., 2011). The same number of seeds per
171 replicate as described above was used. Because the use of polyethylene glycol (PEG)
172 solution delay seed germination (and increase the length of incubation), the seeds were
173 disinfected by using 1% Sodium hypochlorite solution for 10 min followed by two rinses
174 in distilled water. In preliminary tests, non-disinfected seeds were contaminated by
175 mold beginning from 5 days post-incubation at 20°C that hindered the follow-up of
176 measurements. Twenty-five disinfected seeds were laid onto flat Whatman filter paper
177 in 90 mm Petri dishes with 20 mL of osmotic solutions of high molecular weight PEG
178 (Polyethylene glycol 8000, ref. SIGMA 25322-68-3). The latter was used at different
179 concentrations to control water potential as described previously (Michel, 1983). The
180 quantity of PEG solution used was higher (20 ml) than the quantity of deionized water
181 used (8 ml) since time to reach the maximum germination is longer with PEG solution.
182 The same adjustments as described above were used to calculate base water potential
183 for germination.

184 2.2.3. Hypocotyl and radicle elongation

185 Destructive measurements of hypocotyl and radicle growth under dark conditions
186 (heterotrophic growth) were performed adapting the methods described by Brunel et al.
187 (2009) and Durr and Boiffin (1995). Seeds were sown at a depth of 3 cm in polyethylene

188 pots (five seeds per pot; 30 pots in total; $\varnothing = 10.5$ cm; $h = 11.5$ cm) filled with 500 ml
189 white sand (150-210 μm). The pots were leaned on underlying plastic pots. The
190 moisture gravimetric content was raised to 0.20 g g^{-1} before sowing by watering the
191 sand with 100 ml of distilled water. The pots were covered with aluminum foil, to avoid
192 light penetration and prevent water loss, and incubated in a dark growth chamber at 20
193 $^{\circ}\text{C}$ and 80% relative humidity.

194 Seeds and seedlings were harvested by sampling three pots every day beginning from 3
195 days after sowing (DAS). At each observation (10 in total), 15 seedlings were recovered.
196 Plant organs were separated from the sand, washed and radicle and hypocotyl lengths
197 were measured. Results were fitted to a Weibull and a probability function for hypocotyl
198 and radicle growth, respectively.

199 2.2.4. Seedling death under soil aggregates

200 The test was performed in a growth chamber following the method described by Dürr
201 and Aubertot (2000). Soil was sampled from 0-20 cm soil horizon of a field plot (the
202 same where field experiment was conducted), sieved through 5 mm holes and stocked in
203 sealed plastic containers until its use to avoid water loss. The gravimetric water content
204 of the soil sample was measured as described in Gallardo-Carrera et al. (2007).
205 Aggregates of different sizes were collected from the same field plot and air-dried for
206 four weeks. The aggregates were grouped in three classes according to their longest axis
207 L: 30-40, 40-50 and 50-60 mm.

208 Two experiments were performed: the first with aggregates completely buried under
209 soil and the second with aggregates on the soil surface. In each experiment, three
210 replicates per class of aggregate (24 aggregates/replicate = 72 aggregates/class) were
211 made. Each replicate consisted of a plastic tank ($42 \times 30 \times 10 \text{ cm}^3$) within which four

212 liters of the field soil were placed on the bottom to create a first layer (~4 cm depth).
213 Twenty-four seeds were sown on this soil layer using a grid to define their position in
214 the tank. Another two liters of the soil was placed over the seeds to make a second layer
215 (~ 2 cm depth) of the soil. For each class, aggregates were positioned on top using
216 another grid, so that their centers were just above the seeds. The aggregates were then
217 covered with a third (and last) layer of soil (Ca. 3 cm), until their complete burial. This
218 final layer of soil was not added in experiments with aggregates laid on the soil surface.
219 All plastic tanks were carefully sealed with aluminum foil, to avoid light penetration and
220 water loss, and were incubated in the dark at 20°C and 100% relative humidity for two
221 weeks until when the maximum length of the hypocotyl was reached. Two weeks after
222 incubation, each seed or seedling was observed in a vertical plan and its state was
223 recorded: germinated or not, abnormal without striking an obstacle, blocked under an
224 aggregate or not. The percentage of seedling blocked under aggregates (buried and on
225 the soil surface) observed was fitted to a probability function as described by Dürr and
226 Aubertot (2000).

227 **2.3. Field experiments**

228 2.3.1. Experimental site and climatic data

229 The experiment was carried out in May 2018 in Auzeville experimental station of INRA
230 (43.53°N, 1.58°E), southwest of France. The soil had the following soil granulometry and
231 chemical characteristics over the 0-30 cm soil profile: 0.253 g.g⁻¹ clay, 0.380 g.g⁻¹ silt and
232 0.366 g.g⁻¹ sand, 0.00914 g.g⁻¹ C, 0.001 g.g⁻¹N, C/N ratio 9.14, pH 8.57, organic matter
233 0.009 g.g⁻¹, and bulk density at sowing depth 1.0 g.cm⁻³. After the harvest of previous
234 crop (i.e. wheat) in July, conventional tillage was performed with 4-body plough in
235 October 2017 at 30 cm depth. A 9-cm tillage was followed with seedbed cultivator

236 (Kongskilde, tractor New Holland 115) on 13 March 2018 to prepare the seedbed.
237 Soybean (cv. ES Pallador) was sown in 72 m² plots (each of 12 m long and 6 m wide, 4
238 replicates in total) on 22 May 2018 at 3 cm sowing depth with 40 seeds m⁻² and 50 cm
239 inter-row distance.

240 Soil temperature and water content were recorded using climate sensors (ECH O 5TM,
241 METER Group, Inc. USA). The sensors were installed in the seedbed following sowing at
242 three soil horizons (3 sensors/depth/block at -3 cm, - 5 cm and -10 cm). These sensors
243 delivered hourly temperatures, measured by an onboard thermistor, along with
244 volumetric water content. Data were recorded from sowing until crop emergence.
245 Rainfall data were obtained from an automatic meteorological station installed at the
246 experimental site. The cumulative degree-days (°Cd) were calculated from sowing as the
247 sum of the average daily air temperature minus base temperature of soybean.

248 2.3.2. Seedbed characterization

249 The distribution of seedbed aggregate size was characterized as described by Aubertot
250 et al. (1999) and Gallardo-Carrera et al. (2007). Seedbed samples were taken just after
251 sowing. A surface was delimited along the row of the seedbed with a comb (20 cm
252 length, 10 cm width and 10 cm depth). The soil from this delimited surface was sampled
253 to determine the numbers of aggregates in this precise soil volume. The sample surface
254 was painted with an aerosol bomb, to ensure complete cover to distinguish aggregates
255 visible on soil surface (painted) from those buried within the seedbed (not painted).
256 Four samples per replicate block (16 samples in total) were carefully extracted with a
257 spoon from four different rows, brought to the laboratory, and dried in an oven at 105 °C
258 for 24 hours. The samples were sieved with a gently shaking machine (30 s, 50-mm
259 amplitude) and grades <5, 5-10, 10-20, 20-30, 30-40, 40-50, and >50 mm were obtained.

260 Painted and non-painted aggregates were separated, weighed and counted. Three
261 classes of aggregate burial were assessed visually from the painted portion of the
262 aggregate surface: completely buried aggregates (no painted surface), partially buried (\leq
263 50 % of painted surface), and no buried aggregates (\geq 50% of painted surface).

264 Since soil water content – transformed into water potential – was the input variable of
265 the SIMPLE model, sieved soil (5mm) from the same field plot was introduced into
266 Richards' press to determine soil water-holding capacity at several pressures : -0.1, -0.3, -
267 1.0, -1.3 and -1.5 MPa . Three replicates for each pressure were made and the
268 relationship between soil water content and water potential was fitted to van Genuchten
269 equation (van Genuchten, 1980).

270 2.3.3. Measurement of sowing depth

271 The sowing depth was determined by performing a semi-destructive measurement the
272 day after sowing. A micro-profile was made toward the sowing depth by digging up the
273 soil carefully until reaching seeds along the row. Once seeds were retrieved, the sowing
274 depth (i.e. the distance between the seed and soil surface) was measured using a ruler.
275 The measurement was performed for 100 random seeds/plot (four replicates of 25
276 seeds along four rows in diagonal) over the four plots (i.e. 400 seeds).

277 2.3.4. Seed germination and seedling emergence rates

278 The number of germinated seeds was counted every day starting from 2 DAS. The same
279 method, as for sowing depth, was used on the same number of seeds. Seeds were
280 considered to have germinated successfully if the radicle was > 0.5 cm in length. The
281 measurements were continued until a plateau was reached (i.e. when the same number
282 of germinated seeds for three consecutive days was observed) and when no rainfall was
283 foreseen in the coming days. However, the measurements continued when rainfall was

284 foreseen as it triggers germination of those seeds which did not germinate due to water
285 stress.

286 Seedling emergence was counted in 4 m²/plot (2 linear meters/ row, 4 rows in diagonal,
287 for a total of 16 m²/block) delimited with plastic pegs. A seedling was considered
288 emerged when cotyledons were clearly visible over the soil surface (Fehr and Caviness,
289 1977). The counting was continued until reaching a plateau with no new emerging
290 seedlings for three consecutive countings.

291 2.3.5. Causes of non-emergence

292 The causes of non-emergence were identified using a visual diagnostic key. Each
293 symptoms or characteristics observed in the field was assigned to a specific stress factor
294 **(Table 1)**. To this objective, 60 empty points without seedling emergence per plot (15
295 along the row, 4 rows in diagonal) were randomly selected. A micro-profile was made
296 using a spoon at each empty point moving vertically until reaching non-germinated
297 seeds or non-emerged seedlings. Once seeds or seedling parts were found, their status
298 was annotated according to their characteristics and possible causes of non-emergence
299 were noted.

300 2.4. Simulation study

301 2.5.1. Climatic scenarios and simulations of the seedbed conditions

302 We used the RCP 8.5 emission scenario based on the regionalized climate projections
303 data provided by Drias platform (www.drias-climat.fr). These data were used to
304 generate soil temperature and water content within the seedbed using the STICS soil-
305 crop model (Brisson et al. 2003). This model daily simulates soil water contents and
306 temperatures, according to daily weather and soil characteristics. Variations in soil

307 moisture were predicted using STICS at 0-2, 2-4, 4-6 and 6-10 cm. The STICS model has
308 been reported to finely predict the soil moisture and thus predicted values are reliable
309 (Constantin et al., 2015). We chose Estrées-Mons (49°52'44"N 3°00'27"E) located in
310 Northern France as representative area of North European climate. A detailed
311 description of the study site and soil granulometry and chemical characteristics have
312 been previously published (Lamichhane et al., 2019). The predicted daily values were
313 used to feed the SIMPLE model.

314 2.5.2. Soybean sowing scenarios

315 A coarse seedbed, the same as the one from our field experiment, and typical of that
316 prepared by growers, was considered. This seedbed had 33 % of the soil aggregates >20
317 mm in diameter and 77% of its aggregates <20mm in diameter. The simulated sowing
318 depths were 3 ± 1.5 cm.

319 A total of 243-soybean emergence simulations were performed for a period between
320 2020 and 2100, taking into account three sowing dates: mid-March, 1st April, and mid-
321 April. Although farmers in Northern France currently practice late April sowing, we
322 included earlier sowings taking into account a possible shift in future sowing dates due
323 to climate change.

324 We did not consider the seedbed weather conditions of the past (1999-2019) in our
325 simulation study as the reference. This is because we demonstrated in a previous study
326 conducted in the same study site that the seedbed weather conditions of the study site
327 for the period 2020-2040 or 2041-2060 will be very similar compared to the period
328 1999-2019 (Lamichhane et al., 2019). This study demonstrated that seedbed conditions
329 of the study site will be significantly different after 2060, with consequent effects on the

330 crop establishment quality. Seedbed weather data of the study site for the period 2000-
331 2100 are presented in **Supplementary Table 1**.

332 **2.5. Statistical analyses**

333 2.5.1. Statistical analysis of laboratory and field experiments

334 Germination and emergence rates at each observation, and their final rates were
335 averaged. For germination, the number of average germinated seeds at each observation
336 was divided by the total number of seeds tested and the value obtained was multiplied
337 by 100 to get the percentage of germinated seed at each observation and the final rate of
338 germination. The same procedure was used to calculate the percentage of seedling
339 mortality under clods as well as the dynamic of seedling emergence at each observation
340 and its final rate. All statistical analysis was performed using Excel 2010.

341 2.5.2. Statistical criteria used for model evaluation

342 Three statistical criteria – model efficiency (EF), root mean square error (RMSE) and
343 mean deviation (MD) – were calculated to assess the quality of model predictions for
344 germination and emergence.

$$345 \quad EF = 1 - \frac{\sum_{j=1}^n (P_j - O_j)^2}{\sum_{j=1}^n (O_j - \bar{O})^2} \quad (1)$$

$$346 \quad RMSE = \sqrt{\sum_{j=1}^n [(P_j - O_j)^2 / n]} \quad (2)$$

$$347 \quad MD = \frac{1}{n} - \sum_{j=1}^n (P_j - O_j) \quad (3)$$

348 P_j and O_j are predicted and observed values, respectively, n is the number of
349 observations, and \bar{O} is the mean of observed values. EF (ranges from $-\infty$ to 1) represents
350 model accuracy relative to the mean of observed data and is = 1 for a perfect model

351 prediction. The more EF approaches 1, the more is the match between observed and
352 predicted values. RMSE is root mean squared error and its unit is the same as that of the
353 analyzed variables. MD provides model deviation that is a measure of the tendency of
354 the model to under- or overestimate predicted values compared with observations. A
355 negative value indicates that the majority of predicted values are lower than the
356 observed ones.

357 2.5.3. Statistical analysis of simulation results

358 Data were pooled and analyzed by sowing date for each 20-year period. Twenty years
359 considered for each period were treated as replicates. One-way ANOVA followed by a
360 Tukey's HSD *post-hoc* test was performed to assess significant differences between
361 treatments. A two-way ANOVA was applied to determine any possible interaction effects
362 among treatments on the tested variables. All statistical analyses were applied using the
363 R software (Hothorn and Everitt, 2009).

364 The variability of germination and emergence rates and their duration were analyzed
365 over 20-year period as described by Lamichhane et al. (2019). Two classes of final
366 germination and emergence rates (poor and good) and duration (i.e. the number of days
367 required to reach maximum germination (NG_{max}) and emergence (NE_{max})) were
368 established. The final germination and emergence rates for each class and their duration
369 were expressed as the frequency of each class over the 20-year period. Thresholds for
370 poor germination and emergence rates were <75% and <50%, respectively while
371 thresholds for sufficient germination and emergence were >75% and >50%,
372 respectively. Thresholds for low NG_{max} and NE_{max} were < 14 days and < 28 days,
373 respectively while thresholds for high NG_{max} and NE_{max} were > 14 days and > 28 days,
374 respectively. The frequency of poor germination and emergence rates as well as high

375 NGmax and NEmax duration were determined as they explain risks related to crop
376 emergence failure and potential re-sowing.

377 The variability of non-emergence causes was analyzed by establishing two classes of
378 seed and seedling mortality rates (low and high) for each cause of mortality. For non-
379 germination, the two classes were “low” (<25%) and “high” (>25% non-germinating
380 seeds). For seedling mortality due to clod, crust and drought, the two classes were “low”
381 (<15%) and “high” (>15% of seedling mortality). Frequency of high risks of non-
382 germination (>25%) and seedling mortality due to clod, crust, and drought (each >15%)
383 cases are presented for the same reason as described above.

384

385 3. Results

386 3.1. Laboratory experiments

387 3.1.1. Seed germination under laboratory conditions

388 The optimum temperature for soybean was 30°C and germination speed strongly
389 decreased over this temperature (**Fig. 1a**). The calculated base temperature for seed
390 germination was 4 °C. The germination curve was then expressed as a function of
391 thermal time (**Fig. 1b**) which finely grouped the germination results obtained in the
392 linear part of Figure 1a (i. e. 10, 15, 20, 25 °C). A Gompertz function was fitted to these
393 results and classes of germination times were created as input values for the SIMPLE
394 model (**Table 2**). Over 98% seeds germinated very rapidly only at 35 °Cd and time to
395 reach 50% germination corresponded to 17 °Cd (**Fig. 1b**). When grouped by classes of
396 °Cd, 8% of seeds germinated within the class 5-10 °Cd, followed by 51, 29, 8 and 2% of
397 seeds germinated within the class 10-15, 15-20, 20-25, and 25-35 °Cd, respectively.

398 When PEG 8000 was used, the rate of seed germination was 98%, 94% and 48% at
399 water potential of -0.10, -0.25 and -0.50 MPa, respectively. No seeds germinated at water
400 potential of -0.75, -1 and -1.50 MPa. The germination speed decreased with increasing
401 water potential. The base water potential of the genotype ranged from -0.75 MPa for the
402 fastest germinating seeds to -0.50 MPa for the slowest germinating seeds with an
403 average value corresponding to -0.67 MPa (**Fig. 1c**).

404 3.1.2. Radicle and hypocotyl elongation under laboratory conditions

405 The maximum length of the radicle in heterotrophic conditions was 60 mm. Following
406 50% germination, 143 °Cd were required for radicles to reach this maximum length
407 (**Fig. 1d**). A Weibull function was fitted with good efficiency to experimental results
408 (**Fig. 1e, Table 2**). The radicle elongation rate during the two days following
409 germination was 0.58 mm °Cd⁻¹. The hypocotyl required 67 °Cd to reach a length
410 corresponding to a common sowing depth (i.e. 30 mm).

411 3.1.3. Seedling mortality under clods

412 When aggregates of 34, 45 and 56 mm were completely buried, 23, 26 and 31% of
413 seedlings were blocked, respectively. When the aggregates of the same size were laid on
414 the soil surface, 11, 14 and 18% of seedlings were blocked (**Fig. 1f; Table 2**). The rate of
415 non-emergence due to seedlings blocked under clods was higher when aggregates were
416 buried in the soil compared with those laid on the soil surface, especially for aggregates
417 having higher diameter.

418 3.2. Field experiments

419 3.2.1. Seedbed characterization

420 The number and mass for each class of the soil aggregates are presented in **Table 3**. The
421 number of soil aggregates was the highest under totally buried conditions, followed by
422 that under partially buried conditions and that on the soil surface. Over 33% of the soil
423 aggregate masses in the seedbed had >20 mm suggesting that the obtained seedbed was
424 quite coarse.

425 Sowing depth had a normal distribution and was highly variable which ranged from 2 to
426 4.5 cm. Over 60% seeds were located at sowing depth between 2.5 and 3.2 cm while
427 other seeds were found at lower or higher soil horizons.

428 3.2.2. Weather data

429 The sowing conditions were hot and dry. Daily mean seedbed climate data from sowing
430 to emergence are reported in **Figure 2a**. The seedbed temperature ranged from 18 to
431 25°C at 3 cm depth and from 19 to 25°C at 5 cm depth. The mean seedbed humidity
432 ranged from 9 to 18% at 3 cm depth and 16 to 19% at 5 cm depth. The seedbed
433 humidity at the upper soil layer showed a high variability but this variability markedly
434 reduced with increased soil depth. At sowing depth, soil humidity was low at sowing and
435 until the first rainfall. Most of the rainfall occurred on 5, 6, 13 and 14 DAS. The measured
436 and fitted data showing the relationship between the seedbed water potential and the
437 water content are reported in **Figure 2b**. The threshold at which the water stress began
438 in the seedbed corresponded to 12% wet weight.

439 3.2.3. Seed germination and seedling emergence under field conditions

440 Seed germination and seedling emergence dynamics under field condition are reported
441 in **Figure 3**. Germination was slow under field conditions, which took 8 DAS to reach the
442 maximum rate (i.e. 100%). Seedling emergence started at 5 DAS (i.e. 111 °Cd) and

443 reached the maximum level (i.e. 88%) at 14 DAS (i.e. 261 °Cd) showing a gradual
444 increase in emergence rate over time.

445 3.2.4. Causes of non-emergence

446 All the observed seeds were germinated. There were 12% losses in seedling emergence.
447 Almost 11 % of seedling death was due either to soil clods or to a soil surface crust that
448 was difficult to distinguish due to heavy rainfall events after sowing that created
449 seedbed soil compaction. Soil-borne pests and pathogens caused 0.55 and 0.40%
450 seedling emergence failure, respectively.

451 3.3. Model evaluation

452 Predicted vs. observed germination and emergence rates are reported in **Figure 3**. The
453 model finely predicted germination rate, over germination courses compared with the
454 observed dataset. The predicted final germination rate matched with the observed
455 dataset (98.6 predicted vs. 100% observed). For germination, the model showed a
456 relatively good fitting quality with EF of 0.65, RMSE of 0.10, and MD of -3.02. The model
457 prediction was very good also for emergence rate with the final predicted emergence
458 rate almost exactly the same to the observed values (i.e. 87 predicted vs. 88% observed).
459 For emergence, the model showed even a better fitting quality obtaining EF of 0.70,
460 RMSE of 0.11, and MD of 4.96.

461 The time to reach the maximum germination rate was the same for both predicted and
462 observed values (i.e. 8 DAS) and the predicted time to reach the maximum emergence
463 rate was also quite close (i.e. 18 DAS predicted vs. 14 DAS observed). The model also
464 well-predicted causes of non-emergence, which was due to non-germinated seeds (1.4%
465 predicted vs. 0% observed) and non-emerged seedlings (13 % predicted vs. 12%

466 observed). Predicted causes of non-emergence were seedling mortality under clod
467 (13%), followed by non-germinated seeds (1.4%). Under field observations, it was
468 however not possible to distinguish seedlings blocked under clods from those blocked
469 under a soil surface crust (a total of 11% emergence losses), and that the both factors
470 were interacting together.

471 **3.4. Simulation study over 2020-2100**

472 3.4.1. Emergence rate, duration and frequency

473 Results on emergence rate, duration and frequency are reported in **Table 4**. Mean
474 emergence rates ranged from 72-78% for mid March sowing, from 66-76% for 1st April
475 sowing, and from 61-76% for mid-April sowing depending on the 20-year period. No
476 significant interaction effect of sowing date x period was observed on emergence rate.
477 Likewise, we did not find any statistically significant difference in terms of average
478 emergence rate among periods and sowing dates. The frequency of poor emergence rate
479 (<50%) ranged from 0 to 25% depending on sowing date × period.

480 Mean NEmax ranged from 28-37 DAS for mid-March sowing, from 21-26 DAS for 1st
481 April sowing, and from 18-22 DAS for mid-April sowing depending on the 20-year
482 period. Mean NEmax values did not significantly decrease among periods, except for the
483 mid-March sowing. The frequency of high NEmax (>28 days) ranged from 5 to 86%
484 among sowing dates and periods, and it was higher for earlier sowing dates and for
485 earlier periods.

486 3.4.2. Causes of non-emergence rates and frequencies

487 Simulation results describing the main causes of non-emergence and their frequencies
488 are reported in **Table 5**. Seedling death due to clod, seedling death under soil surface

489 crusting, non-germination and seedling death due to drought were main causes in
490 decreasing order of importance. Simulation outcome on the effect of sowing dates for
491 each period and their interactions on germination rate, duration and frequency are
492 reported in **Table 6**.

493 3.4.2.1. Non-germination

494 The variability in mean non-germination rate ranged from 2 to 12% depending on the
495 period and sowing date. The sowing date x period interaction effect on non-germination
496 rate was not statistically significant ($p < 0.05$). The frequency of high non-germination
497 (>25%) ranged from 0 to 10% (**Table 5**). The main causes for non-germination were
498 low temperatures for early sowings and drought for later sowings (**Supplementary**
499 **Table 1**).

500 There was no statistically significant effect ($p < 0.05$) of sowing date, period and their
501 interaction on mean NGmax values. Average NGmax values ranged from 8 to 16 days,
502 which generally tended to decrease with later sowing dates and periods (**Table 6**). The
503 frequency of high NGmax (>14 days) ranged from 14 to 67%, which also generally
504 decreased with later sowings and over the periods.

505 3.4.2.2. Seedling mortality due to clods

506 No statistically significant effect of the sowing date x period interaction was observed on
507 seedling mortality rate due to clods. There was no variability in seedling mortality rate
508 due to clods among the sowing dates or periods, which ranged from 12 to 14% (**Table**
509 **5**). This little variability was due to the same seedbed structure used for all simulations,
510 without any influence of the sowing dates and periods.

511 3.4.2.3. Seedling mortality due to crust

512 There was no significant effect ($p < 0.05$) of sowing date, period or their interaction on
513 seedling mortality rate due to crust. The variability of seedling mortality rate due to soil
514 surface crust ranged from 3 to 12% (**Table 5**). Because soil surface crust prevents
515 emergence only when it becomes dry with soil evaporation, this effect was observable
516 for mid-April sowing, particularly for the last two periods characterized by much higher
517 seedling mortality rate compared with the first two periods. The frequency of high
518 seedling mortality rate due to soil surface crust ($>15\%$) ranged from 10 to 35% and was
519 higher for the 2081-2100 period for all but 1st April sowing (**Table 5**).

520 3.4.2.4. Seedling mortality due to drought

521 Average seedling mortality rate due to drought ranged from 0 to 6% (**Table 5**). No
522 significant effect of sowing date, period or their interaction was found on seedling
523 mortality rate due to drought. The frequency of high mortality due to drought ($>15\%$)
524 ranged from 0 to 10% and was higher for the latest sowing date and it also increased
525 with periods. Some seedling mortality due to drought was observed even for the earliest
526 sowings.

527 4. Discussion

528 4.1. Observed and predicted germination and emergence dynamics

529 This study has generated reference values related to soybean seed germination and
530 seedling emergence. This makes possible a comparison of soybean with a set of other
531 leguminous crops and cover crops, for which the same variables were measured and
532 values have been reported in the literature (Dürr et al., 2015; Tribouillois et al., 2016).
533 We only measured the base temperature for seed germination as a previous study
534 (Parent and Tardieu, 2012) indicated that the base temperature value was the same also

535 for other plant stages or physiological responses to temperature of a given species. In
536 contrast, another study on pea and bean (Raveneau et al., 2011) suggested that these
537 base temperatures could be different. In our study, we used the same value for both seed
538 germination and seedling emergence and that emergence courses and their final rates
539 were predicted rather efficiently, suggesting that the two values are not different. The
540 comparison among species shows that leguminous crops have a wide range of
541 temperature and water potential threshold values for germination (Dürr et al., 2015
542 Tribouillois et al., 2016), and this information can help while making decisions related to
543 cropping system adaptation to changing climate or new environments (see . We found
544 that the base water potential value of soybean is high (-0.67 MPa), close to that of barrel
545 clover (-0.7 MPa), and other cover crop species including grass pea (-0.8 MPa), wild
546 lentil (-0.7 MPa), hop (-0.6 MPa), yellow sweet (-0.7 MPa), Egyptian clover (-0.8 MPa)
547 and crimson (-0.8 MPa) clovers, common sainfoin (-0.6 MPa), fenugreek (-0.5 MPa) and
548 purple vetch (-0.7 MPa). However, the base water potential value of soybean is higher
549 than other leguminous crops such as pea (-2.2 MPa), common bean (-2.3 MPa), lupin (-
550 1.5 MPa) and chickpea (-1.8 MPa), and cover crops including common (-1.1 MPa), and
551 winter (-1.1 MPa) vetches, highlighting that soybean is very sensitive to water stress in
552 the seedbed compared to some legume crops.

553 The base temperature for germination of soybean was 4°C, the same as described by a
554 previous study (Covell et al., 1986). This is an intermediate value close to that of some
555 cover crop species such as grass pea (3.5°C), fenugreek (4.2 °C), and common vetch (4.1
556 °C). Nevertheless, this base temperature for germination of soybean was lower than that
557 of common bean (8°C), cowpea (8.5 °C), mungbean (10°C), and other cover crop species
558 including Egyptian (6.1 °C) and crimson (6.4 °C) clovers; while it was higher than that of
559 faba bean (0.4°C), chickpea (0°C), lentil (2°C), lupin (-0.8°C) and pea (-1°C), and other

560 cover crop species including wild lentil (0.8 °C), blue lupin (0.8 °C), hop clover (0.6 °C)
561 and yellow sweet (0.8 °C) clovers, common sainfoin (0 °C), purple (2.1 °C) and winter
562 (1.4 °C) vetches.

563 Time to reach mid-germination was 17°Cd for soybean suggesting how fast this species
564 germinates when water is not a limiting factor. The time to mid-germination of soybean
565 was lower than many other leguminous crops including pea (24-34 °Cd), lentil (21-25
566 °Cd), chickpea (45°Cd), cowpea (27°Cd), and fababean (47°Cd), while it was much closer
567 to that of common bean (14°Cd) and mungbean (12°Cd).

568 The optimum temperature for soybean germination was 30°C, which is higher than that
569 of pea (22°C), lentil (24°C), and faba bean (25°C) and many other cover crop species
570 such as grass pea (27°C), blue lupin (26°C), hop (26°C), yellow sweet (25°C), and
571 crimson (26°C) clovers, common sainfoin (24°C), purple (24°C), common (22°C) and
572 winter (20°C) vetches. The optimum temperature for soybean germination is close to
573 that of fenugreek (30°C), Egyptian clover (30°C) and also wild lentil (32°C) and chickpea
574 (32.5°C), but lower than that of common bean (32-34°C), cowpea (35°C), and mungbean
575 (40°C).

576 While soybean germination rapidly occurred under laboratory conditions (ca. 2 days or
577 35°Cd) with no temperature or water stress, time to reach the maximum germination
578 was much longer (8 days or 169°Cd) in our field experiment. Despite an optimal
579 seedbed temperature, the slow germination was due to the low water content in the
580 seedbed that prevented seeds from being readily germinated. Only the first rainfall at 5
581 DAS allowed to complete germination of seeds. Also the emergence was very slow in the
582 field, despite an optimum seedbed temperature, due always to water stress in the
583 seedbed. Comparison of the predicted germination and emergence courses and final
584 rates showed only little differences between observed and predicted values. This clearly

585 highlights the robustness of the prediction quality of the model which was also reported
586 previously (Constantin et al., 2015; Dürr et al., 2016) and the good estimates of the
587 parameters values obtained for germination and growth via the laboratory experiments.

588 4.2. Simulation study under future climate change

589 A previous study (Lamichhane et al 2019) showed that, for early spring sowings, climate
590 change, simulated under the IPPCC 8.5 scenario, ie the most pessimistic, will become
591 more significant after 2060 in Northern France, with progressively increasing mean
592 seedbed temperatures by +2 °C in February, March and April after 2060. There will be a
593 higher variability of rainfall as well, although with no overall change of its cumulated
594 values with the exception for the mid-April sowing. Indeed, for this latter sowing date,
595 the simulated average cumulated rainfall will be almost two-fold lower compared with
596 the 2000–2018 period. These climatic data were used to feed the SIMPLE model and run
597 the present simulation study on soybean establishment. This study showed no strong
598 risks for a successful establishment of soybean in Northern France in the coming
599 decades for the simulated sowing dates under the chosen scenario. A previous study
600 (Lamichhane et al., 2019), that considered the same periods took into account in this
601 study, highlighted that the 2020 – 2040 period (will be very close to the current climate
602 and that important changes in climatic conditions will occur only after 2040. The
603 average simulated soybean emergence rates for the period 2020-2040 were 71-78%
604 depending on the sowing date. Soybean has a rather low base temperature for
605 germination and it can readily germinate and emerge, as long as there is water
606 availability in the seedbed. However, emergence time is much delayed for the earlier
607 sowings, due to low seedbed temperatures, that may provide opportunities for

608 pathogens to attack germinating seeds and emerging seedlings (Pannecouque et al.,
609 2018; Serrano and Robertson 2018).

610 In terms of the percentage of seedling losses, seedling mortality rates under clods or soil
611 surface crusting were the most frequent. Germination stage was less impacted than
612 emergence by the abiotic factors, although too low temperature with earlier sowings or
613 drought during the later sowings slightly affected the seed germination process. Taking
614 together both the germination and seedling losses, water stress however can have a
615 quite large effect on emergence results, especially for the mid-April simulated sowing.

616 Seed germination and seedling emergence rates of soybean simulated by the SIMPLE
617 crop emergence model could be overestimated because this model does not take into
618 account the effect of soil-borne pests and pathogens. Nevertheless, stand losses due to
619 these biotic stresses could be still limited under current cropping practices. While
620 damages due to *Rhizoctonia solani*, one of the most important soil-borne pathogen
621 causing damping-off, have been sporadically reported on soybean (TerresInovia, 2018),
622 biotic stresses are not still a constraint for soybean crop establishment in France.
623 Indeed, currently, soybean is grown in France without chemical seed dressing also
624 because this practice is often not compatible with soil or seed inoculation of bacteria
625 that promote nodulation (Campo et al., 2009; Zilli et al., 2009). Another reason
626 explaining the absence of biotic stresses on soybean is that this crop is still grown on a
627 small surface in France and it is often introduced in diversified cropping systems --
628 especially rotations with maize and wheat— once every five to six years (Lecomte and
629 Wagner, 2017). Moreover, the most important soybean basin is Southwestern France
630 where cool and moist seedbed conditions, favorable for soil-borne pathogens, rarely
631 occur. Indeed, field diagnosis on the causes of non-emergence further confirmed that

632 soil-borne pests and pathogens rarely affect soybean stand. However, the situation may
633 change in the future as farmers may tend to perform early sowings to escape from
634 summer drought and save irrigation water (Maury et al., 2015). Another reason for early
635 sowings could be that this practice allows growing late season varieties that are
636 generally more productive than the early season ones. On the other hand, introducing
637 soybean more frequently in the rotation could increase risks of soil-borne pathogens,
638 especially without chemical seed dressing, although this is yet to be investigated in
639 future studies. In the last five years, there is an exponential increase in surface grown to
640 soybean (approximately 15000 ha/year; FAOSTAT 2018) and this crop could expand to
641 the Northern regions of France, characterized by higher risks of biotic stresses. Stand
642 losses due to biotic stresses have been reported from Northern Europe such as Belgium
643 (Pannecouque et al., 2018). Likewise, soybean is one of the crops subjected to heavy
644 attacks from soil-borne pathogens during its crop establishment phase across countries
645 such as USA (Ajayi-Oyetunde and Bradley, 2016; Rojas et al., 2016), the major producer
646 of soybean worldwide. In the US, frequent returns of this crop into the same field and
647 rotations with crops (especially maize) subjected to attacks from the same soil-borne
648 pathogens have been reported as key drivers of this disease pressure.

649 Seedling predation due to birds and other vertebrate pests, especially for early sowings
650 can also be a serious problem and seedling losses can reach 100% if no protection
651 measures are applied during the emergence phase. In our experiments, field plots were
652 regularly covered with nylon nets above the soil surface to avoid seed and seedling
653 damages due to vertebrate pests, including birds and wild rabbits.

654 The SIMPLE model does not consider the effect of high temperatures on the crop
655 establishment quality. While this effect may be relevant for crops sown in summer

656 (cover crops, oilseed rape etc.), high temperatures are unlikely to have any negative
657 effect on the soybean establishment quality given that this crop is sown in spring (April-
658 May).

659 **5. Conclusions**

660 Soybean is an interesting leguminous crop at the global level both from socio-economic
661 and environmental points of view. Nevertheless, this crop still does not occupy the place
662 it would merit in the European cropping systems, despite the availability of several
663 genotypes with different maturity groups. Relevant information on this crop including
664 key characteristics of the seeds and seedlings, as well as biotic and abiotic factors
665 affecting its establishment are still poorly known, hindering exploration of suitable areas
666 for soybean cultivation. Therefore, more research on soybean in general, and on factors
667 affecting its stand development in particular, can contribute to increased soybean
668 cultivation in the EU. To this objective, this study is a first attempt to determine the
669 quality of soybean establishment not only in a region with previous cropping history,
670 but also to explore new areas that were not a historical growing basin of this crop, but
671 would become suitable for its establishment under future climate change.

672 Results of the field observations in this study showed that soybean sowing under sub-
673 optimal conditions (coarse seedbed, late sowing date, no irrigation before the crop
674 establishment etc.) resulted favorable in Southwestern France in terms of crop stand
675 establishment. Results of field observation were confirmed by simulation that took into
676 account the most pessimistic future climate change scenario in northern European
677 climatic region. Therefore, risks of poor stand establishment seem to be relatively low
678 for soybean due to the capacity of this crop to promptly germinate when water is not a
679 limiting factor. While the RCP weather scenario considered in this study was the most

680 pessimistic from climate change point of view, it actually did not result such unfavorable
681 for soybean establishment. In contrast, the less pessimistic RCP scenarios could result in
682 less favorable conditions for soybean establishment given that lower average
683 temperature of the seedbed will mean higher number of days needed for soybean
684 germination and emergence, with higher risks of attacks from biotic factors dwelling in
685 the soil. Given that soybean is particularly sensitive to water stress in the seedbed,
686 growers are encouraged to perform sowings at the beginning of April, i.e. earlier than
687 currently practiced sowing date. Nevertheless, field access could be a limiting factor to
688 perform early sowings, especially across northern European regions, due to higher
689 water content of the soil top layers (Lamichhane et al 2019). Therefore, farmers should
690 find a trade-off and perform early sowing as soon as the soil humidity allows them to
691 enter into the field with agricultural equipments.

692 Such results was obtained by using local daily predicted data for future climate and
693 crop-model simulations. Despite all the limits of these models, such studies contributes
694 to better understanding and anticipating the future possible changes in cropping
695 systems. Overall, our results are encouraging for farmers who will be willing to perform
696 early sowing in order to adapt to ongoing climate change. Farmers in France and
697 Northern Europe, who currently do not grow soybean or hesitate perform sowing are
698 encouraged to consider soybean to diversify their cropping systems.

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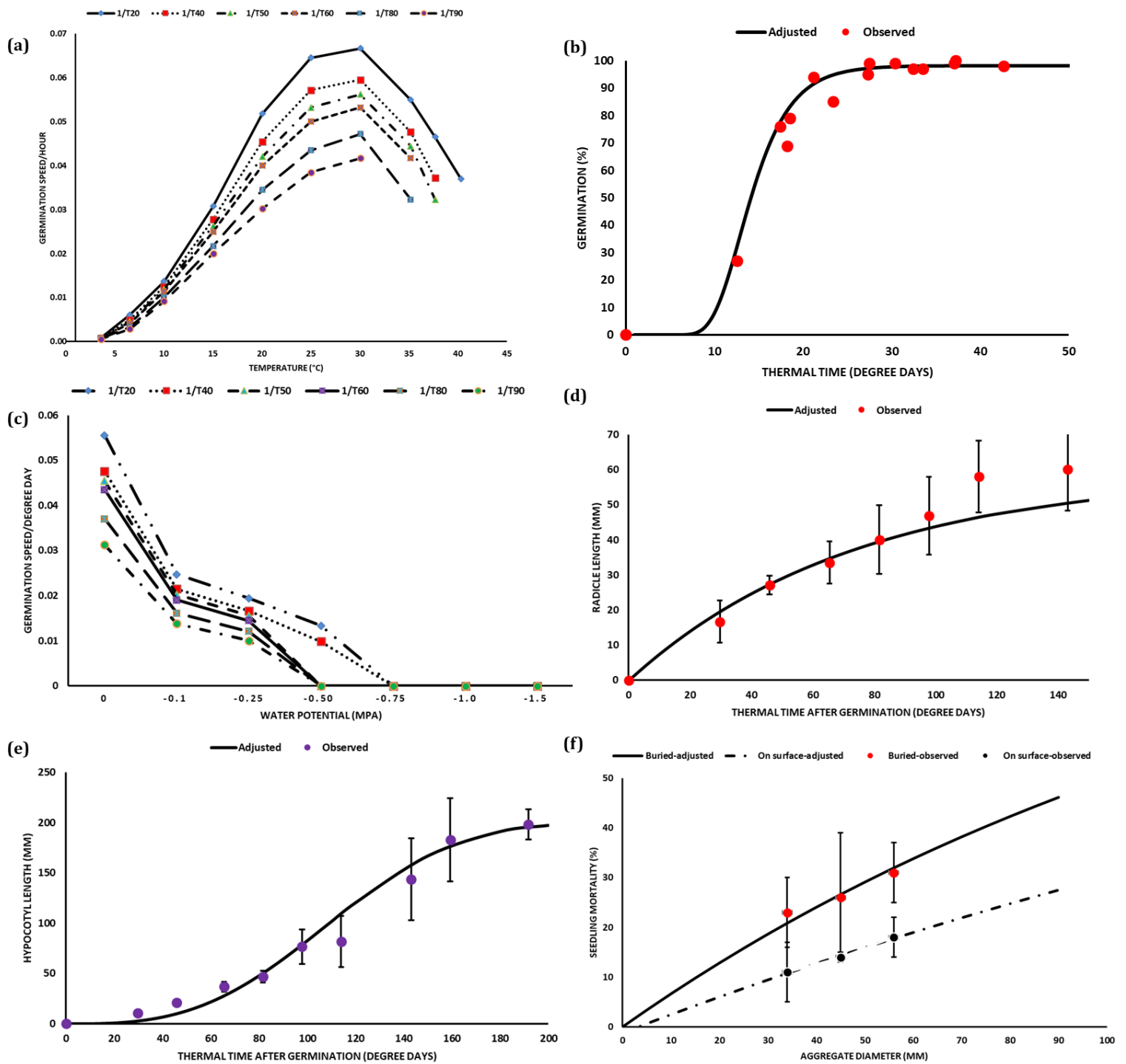
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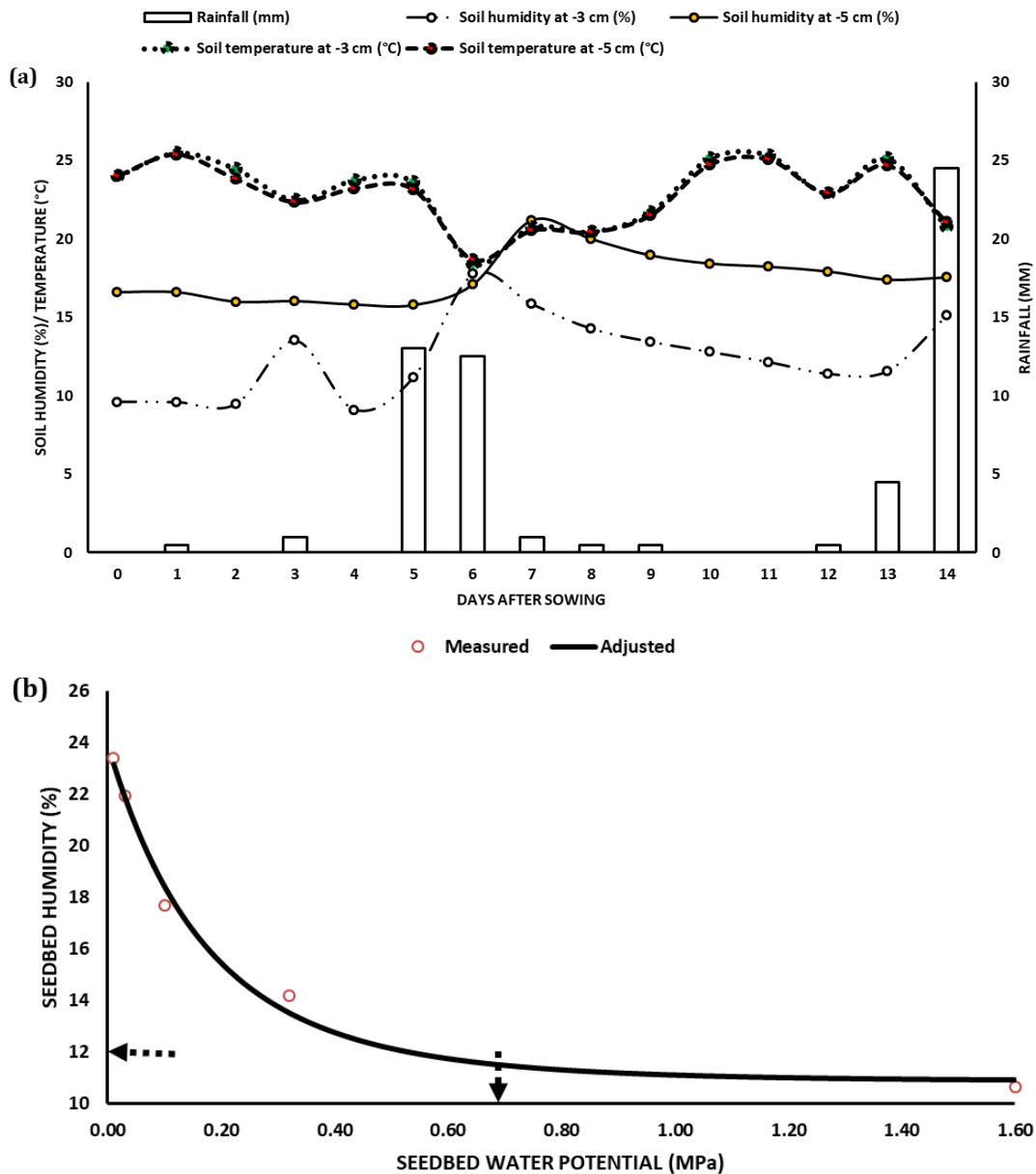
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848 **Figure 1.** Measurement of soybean seed germination speed at 3.5, 6.5, 10, 15, 20, 25, 30,
 849 34.5, 37 and 40 °C (a); combined values of seed germination dynamics obtained in the
 850 linear part of Figure 1a (i.e. observed at 10, 15, 20 and 25 °C) in relation to degree days
 851 (b); seed water potential (c); radicle (d), and hypocotyl (e) elongation; and seedling
 852 mortality under different soil aggregate sizes and spatial distribution (f) at 20 °C under
 853 laboratory conditions. Vertical bars reported in the figure represent standard deviations
 854 while 1/T20, 1/T40, 1/T50, 1/T60, 1/T80, and 1/T90 indicate germination speed to
 855 reach 20, 40, 50, 60, 80, and 90% germination, respectively.

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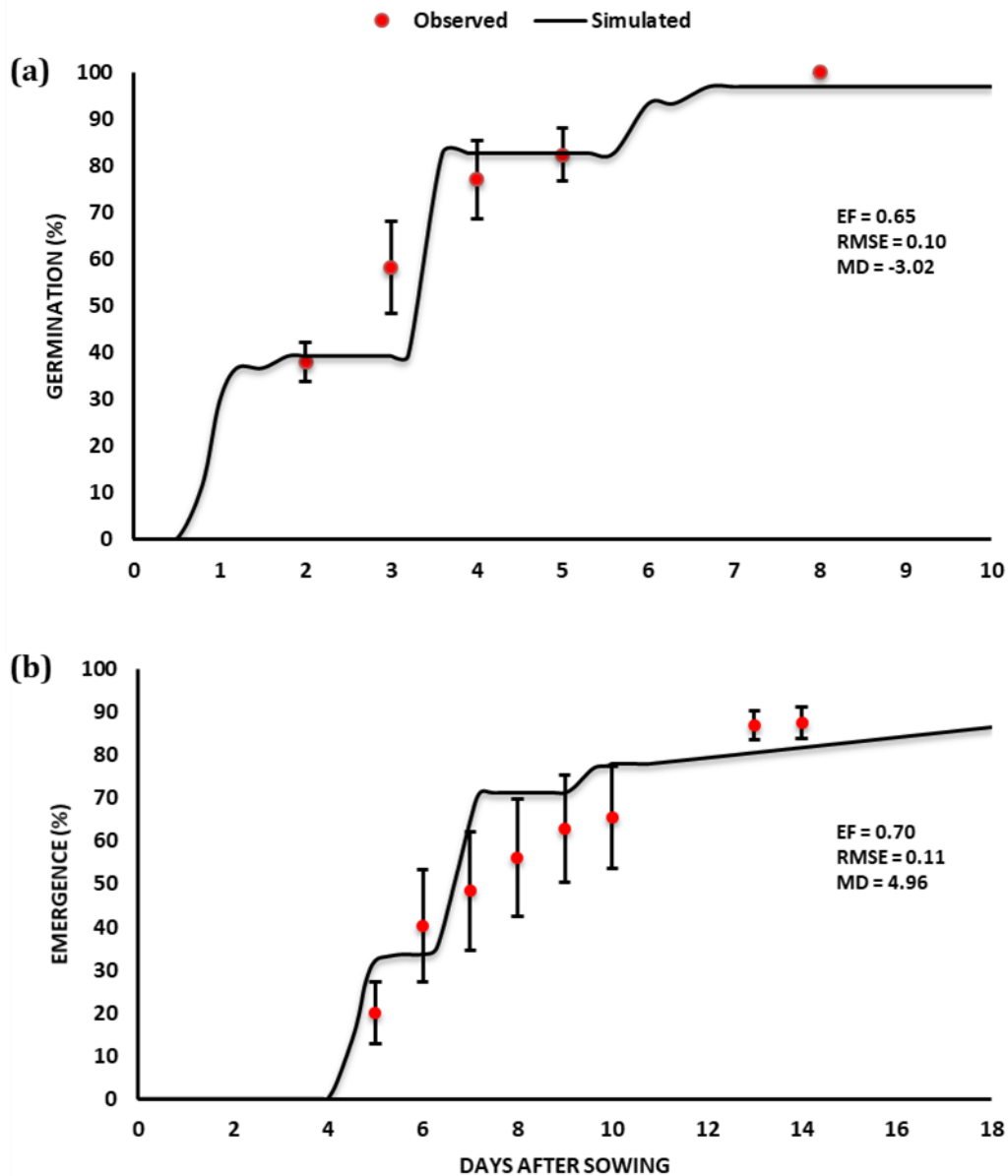


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858 **Figure 2.** Dynamics of the seedbed soil temperature and the seedbed soil humidity and
 859 rainfall at the study site until two weeks after sowing (a), and measured and fitted soil
 860 water characteristic curves -- showing relationship between the seedbed water potential
 861 and the water content (b) -- based on the model of van Genuchten (1980). The vertical
 862 arrow on the x-axis represents the seed water potential while the horizontal arrow on
 863 the y-axis indicates threshold at which the water stress began in the seedbed that
 864 corresponded to 12%.

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868 **Figure 3.** Simulated and observed values of soybean seed germination and seedling
 869 emergence rates at Auzeville experimental site, Toulouse in 2018. Vertical bars reported
 870 in the figure represent standard deviations.

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874 **Table 1.** Visual diagnostic key used to identify major causes of non-emergence in the field

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Development phase	Observation	Associated causes
Pre-germination	No seed found	Technical problem at sowing or predation of seeds by birds
	Empty seed coat or damaged seed or seed parts found on the soil surface	Damage caused by granivores
	Intact seed without N/R	Pre-germination abiotic stress (water or temperature).
Post-germination	Germinated seed or seedling showing N/R	Pre-emergence damping-off
	Presence of holes or larvae in or around germinated seeds/ or seedling	Soil pests (seed maggot, wireworm etc.)
	Twisted seedling, no N/R, no aggregate above, but presence of soil crust	Stress due to soil crust
	Twisted seedling, no N/R, no soil crust but presence of aggregates above	Seedling blocked under aggregates
	Drying seedling, no twisted seedling, no N/R, no soil crust or aggregates, no or little rainfall after sowing	Post-germination water stress
	No twisted seedling, no N/R, no beating crust and/or large clods, no seedbed water stress	Too high sowing depth due to technical problem at sowing

N: necrosis; R:rotting

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878 **Table 2.** Values of the input variables of SIMPLE for soybean used in this study

Parameter	Value	Unit
Germination		
Base temperature, $T_{b,germ}$	4	°C
Germination percentages per thermal time class STT_g		°Cd (%)
5-10	8	
10-15	51	
15-20	29	
20-25	8	
25-35	2	
Residual percentage of non-germinated seeds	2	
Base water potential $\Psi_{b,germ}$	-0.67	MPa
Heterotrophic growth		
Base temperature for elongation $T_{b,elon}$	4	°C
Parameters of the Weibull elongation function		
(i) for hypocotyl		
a	200	mm
b	0.0081	°C ⁻¹ d ⁻¹
c	3	
(ii) for radicle		
v	0.58	mm °C ⁻¹ d ⁻¹
Mechanical obstacles - clods		
Parameters of the probability function of seedling death under clod		
(i) Buried clods		
α_b	0.0069	mm ⁻¹
L_{ob}	0	mm
ii) Clods laid on the soil surface		

α_{ss} 0.0037 mm⁻¹

L_{oss} 3 mm

Mechanical obstacles - soil surface crust

Probability (p) for a seedling to emerge through a dry crust 60 %

Daily rain threshold causing the appearance of a crust 5 mm

Cumulative rain-threshold causing the appearance of a crust 12 mm

Daily rain threshold causing humidification of the crust during the last 3 days 3.5 mm

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882 **Table 3.**

883

Aggregate size (mm)	Number \pm SD			Aggregate mass (%) per class \pm SD
	On the surface	partially-buried	Totally-buried	
> 50	0 \pm 0	0 \pm 0	1 \pm 1	2 \pm 2
40 - 50	0 \pm 0	0 \pm 0	5 \pm 2	9 \pm 4
30 - 40	0 \pm 0	0 \pm 0	9 \pm 3	9 \pm 2
20 - 30	0 \pm 1	2 \pm 1	33 \pm 6	13 \pm 3
10 - 20	4 \pm 4	13 \pm 4	217 \pm 30	16 \pm 2
5 - 10	ND	ND	ND	15 \pm 1
< 5	ND	ND	ND	35 \pm 5

SD: standard deviation; ND: not determined

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886 **Table 4.** Emergence rate and duration (means \pm standard deviation) and frequencies with <50% emergence rate and >28 days to reach the
 887 maximum emergence when analyzed by sowing date for each 20-year period and their interaction

Sowing date	Period	Emergence (%)	Frequency (%) of emergence rate <50%	NEmax (days)	Frequency (%) of NEmax >28 days
Mid-March	2020-2040	78 ^a \pm 13	0	37 ^b \pm 10	86
	2041-2060	73 ^a \pm 17	10	34 ^{ab} \pm 13	70
	2061-2080	76 ^a \pm 19	5	28 ^a \pm 7	65
	2081-2100	72 ^a \pm 15	10	28 ^a \pm 9	55
1 st April	2020-2040	71 ^a \pm 21	10	26 ^a \pm 7	43
	2041-2060	76 ^a \pm 15	10	26 ^a \pm 7	30
	2061-2080	66 ^a \pm 27	20	24 ^a \pm 8	25
	2081-2100	75 ^a \pm 20	5	21 ^a \pm 8	15
Mid-April	2020-2040	76 ^a \pm 17	10	20 ^a \pm 5	5
	2041-2060	75 ^a \pm 20	10	22 ^a \pm 7	20
	2061-2080	61 ^a \pm 27	25	21 ^a \pm 7	15
	2081-2100	70 ^a \pm 19	20	18 ^a \pm 7	15
Sowing dates x Periods	Df	6		6	
	Significance level	NS		NS	

Means followed by the same letter are not significantly different at P<0.05; NS: not significant

888

889 **Table 5.** Rates (means \pm standard deviation) and frequencies (%) of non-emergence causes of seedlings as analyzed by sowing date for each 20-year
 890 period and their interaction. Only causes with a high frequency that could pose risks of crop emergence failure were considered which included
 891 frequency of non-germination >25% and frequency of seedling mortality due to clod, crust and drought, each >15%.

Sowing date	Period	Non-Germination (%)	Frequency (%) of NG>25%	Average rate of SMC (%)	Frequency (%) of SMC >15%	Average rate of SMSC (%)	Frequency (%) of SMSC >15%	Average rate of SMD (%)	Frequency (%) of SMD >15%
Mid-March	2020-2040	2 ^a \pm 0	0	13 ^a \pm 1	0	6 ^a \pm 13	19	0 ^a \pm 0	0
	2041-2060	2 ^a \pm 1	0	13 ^a \pm 1	0	10 ^a \pm 15	30	2 ^a \pm 9	5
	2061-2080	7 ^a \pm 21	5	13 ^a \pm 3	10	4 ^a \pm 9	10	1 ^a \pm 3	0
	2081-2100	2 ^a \pm 1	0	14 ^a \pm 1	0	11 ^a \pm 15	30	2 ^a \pm 5	10
1 st April	2020-2040	6 ^a \pm 20	5	13 ^a \pm 3	5	10 ^a \pm 14	29	0 ^a \pm 1	0
	2041-2060	2 ^a \pm 0	0	14 ^a \pm 1	0	5 ^a \pm 11	15	3 ^a \pm 10	5
	2061-2080	11 ^a \pm 29	10	12 ^a \pm 4	5	7 ^a \pm 13	20	3 ^a \pm 9	5
	2081-2100	7 ^a \pm 20	5	13 ^a \pm 3	0	5 ^a \pm 10	15	0 ^a \pm 1	0
Mid-April	2020-2040	2 ^a \pm 0	0	13 ^a \pm 1	5	6 ^a \pm 13	19	2 ^a \pm 7	10
	2041-2060	2 ^a \pm 0	0	14 ^a \pm 1	10	3 ^a \pm 10	10	6 ^a \pm 18	10
	2061-2080	12 ^a \pm 30	10	12 ^a \pm 4	10	12 ^a \pm 16	35	4 ^a \pm 12	5
	2081-2100	3 ^a \pm 5	0	14 ^a \pm 1	15	9 ^a \pm 15	30	4 ^a \pm 9	10
Sowing dates X Periods	Df	12		12		12		12	
	Significance level	NS		NS		NS		NS	

Means followed by the same letter are not significantly different at P<0.05; NS: not significant; NG: non-germination; SMC: seedling mortality due to clod; SMSC: seedling mortality due to soil crust; SMD: seedling mortality due to drought

893 **Table 6.** Germination rate and duration (means \pm standard deviation) and frequencies with <75% germination rate and >14 days to reach the
 894 maximum germination when analyzed by sowing date for each 20-year period and their interaction

Sowing date	Period	Germination (%)	Frequency (%) of germination rate <75%	NGmax (days)	Frequency (%) of NGmax >14days
Mid-March	2020-2040	98 ^a \pm 0	0	16 ^a \pm 7	67
	2041-2060	98 ^a \pm 1	0	15 ^a \pm 8	40
	2061-2080	93 ^a \pm 21	5	15 ^a \pm 6	35
	2081-2100	98 ^a \pm 1	0	13 ^a \pm 7	40
1 st April	2020-2040	94 ^a \pm 19	5	11 ^a \pm 5	24
	2041-2060	98 ^a \pm 0	0	11 ^a \pm 5	25
	2061-2080	89 ^a \pm 29	10	12 ^a \pm 8	35
	2081-2100	93 ^a \pm 20	5	11 ^a \pm 6	30
Mid-April	2020-2040	98 ^a \pm 0	0	9 ^a \pm 6	14
	2041-2060	98 ^a \pm 0	0	11 ^a \pm 8	30
	2061-2080	88 ^a \pm 30	10	11 ^a \pm 7	25
	2081-2100	97 ^a \pm 5	0	8 ^a \pm 8	20
Sowing dates x Periods	Df	6		6	
	Significance level	NS		NS	

Means followed by the same letter are not significantly different at P<0.05; NS: not significant

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