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## **A standardized biohydrogen potential protocol: An international round robin test approach**

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1 **REVISED VERSION**

2 **A standardized biohydrogen potential protocol: an international round**  
3 **robin test approach**

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## 44 **A standardized biohydrogen potential protocol: an international round** 45 **robin test approach**

46

### 47 **Abstract**

48 Hydrogen production by dark fermentation is an emerging technology of increasing interest  
49 due to its renewable feature. Recent scientific advances have well investigated the  
50 operational conditions to produce hydrogen through the valorization of several wastes or  
51 wastewaters. However, the development of standardized protocols to accurately assess the  
52 biohydrogen potential (BHP) is of crucial importance. This work is the first interlaboratory  
53 and international effort to validate a protocol estimating hydrogen potential using batch  
54 tests, using glucose as individual model substrate. The repeatability of the hydrogen  
55 potential (HP) increased with variations of the proposed protocol: reducing substrate  
56 concentration, increasing the buffer capacity, and using an automatic device. The  
57 interlaboratory variation of the HP was reduced from 32 to 12 %, demonstrating the  
58 reproducibility and robustness of the proposed protocol. Recommendations to run BHP  
59 tests were formulated in terms of i) repeatability and reproducibility of results, ii) criteria  
60 for results validation and acceptance, iii) workload of the proposed protocols.

61

### 62 **Keywords**

63 Biohydrogen; batch protocol; dark fermentation; glucose; heat-treated inoculum

64

## 65 **1. INTRODUCTION**

66 Hydrogen constitutes a valuable energy carrier due to its highest energy density among  
67 fuels, producing only water as by-product. Dark fermentation is a suitable strategy to  
68 produce renewable hydrogen, wherein fermentative bacteria are able to produce it mainly  
69 from fermentable sugars from wastewaters or wastes with a high organic content as  
70 substrates, or other molecules like proteins and glycerol [1,2]. According to the Web of  
71 Science data-base, more than 1600 scientific papers dealing with ‘hydrogen production’ by  
72 ‘dark fermentation’ have been published since 2000, with an average of 175 published  
73 papers per year over the past four years. The high flexibility of dark fermentation to process  
74 a wide variety of renewable organic wastes sets a remarkable gap of opportunity for

75 bioenergy generation [2,3]. This shows an optimistic scenario for biohydrogen production,  
76 as an added-value process to the conventional anaerobic digestion-based waste stream  
77 treatment processes. Significant improvements have been made in hydrogen yields and  
78 production rates in the last two decades [4].

79 Alongside the positive outlook for hydrogen production through dark fermentation, there is  
80 an increasing demand for better understanding of the main factors impacting the system at a  
81 process level [5]. Through the assessment of the biological hydrogen potential (BHP), the  
82 information provided by former case studies has been valuable to evaluate several  
83 parameters, like new potential substrates, e.g., crop residues, microalgal biomass, aquatic  
84 plants [6–9]; the effect of different substrate compositions, carbohydrates content and its  
85 complexity, or solids content [10–12]; and further optimizing of operational parameters like  
86 substrate pretreatment [13]. However, observations are mostly depending on the type of  
87 substrate, the source of inoculum and the operational conditions, wherein comparisons  
88 between them become a challenging task.

89 Particularly, two major limitations have been identified when dealing with the comparison  
90 of BHP results issued from the literature: one of them is the lack of fairness when  
91 comparing these results with regard to the use of different protocols, and, on the other hand,  
92 the diverse precision levels due to non-standardized practices (i.e., due to manual  
93 operations), which causes a broad inconsistency as well as different reliability level of the  
94 experimental data. In this context, the normalization of the testing guideline criterion  
95 becomes a key factor in order to count with homogenized data on hydrogen production.

96 One way to attain a better comparison viability is through the establishment of a  
97 comprehensive technique that can encompass the most suitable conditions reported for dark  
98 fermentation, as they have been already identified in previous studies. In this sense, the  
99 design and implementation of a standardized BHP protocol could allow to systematically  
100 characterize and compare different process alternatives under the same technical baseline.

101 At the same time, it is important to establish quality control criteria on central parameters of  
102 the process discerning whether the experimental data are valid. Nonetheless, Venkata  
103 Mohan et al. [14] proposed a batch mode protocol to evaluate the BHP of wastewater. This  
104 method was validated with two real substrates but only one source of inoculum and  
105 pretreatment. To the best of our knowledge, no interlaboratory study to validate a batch

106 mode protocol giving an evaluation of biohydrogen potential (BHP) has been conducted so  
107 far.

108 Among the most influencing parameters on hydrogen production stand the initial pH,  
109 hydrogen partial pressure, temperature, as well as the acclimation of the microbial  
110 communities in the inoculum for the selection of hydrogen-producing bacteria [15].  
111 Specifically, Davila-Vazquez et al. [16] performed a thorough study on the effect of  
112 different pH and initial substrate concentrations for different substrates on the hydrogen  
113 molar yield (HMY) and the volumetric hydrogen production rate (VHPR) at a temperature  
114 of 37°C, confirming that the best set of conditions for higher HMY were initial pH 7.5 and  
115 5 g L<sup>-1</sup> when glucose was used as a model substrate.

116 On the other hand, there is groundwork in the effort of standardizing the method for the  
117 acclimation of microbial community in the anaerobic mixed culture. This step is essential to  
118 inactivate methanogens while selecting spore-forming hydrogenogenic microorganisms.  
119 Some authors have converged in the higher suitability of applying thermal shock to  
120 anaerobic mixed cultures at 103-106°C [17,18]. Also, due to the fact that a high partial  
121 pressure will cause higher dissolved hydrogen concentration, with the consequential  
122 reduction of oxidized ferredoxin and thus hindering the hydrogen production yield [3], the  
123 selection of a system that allows for the continuous release of such pressure – such as an  
124 automatic gas release monitoring unit – is strategic for maximizing hydrogen production  
125 during BHP testing.

126 In addition, the modified Gompertz equation constitutes by far the most widely accepted  
127 model to describe the kinetics of hydrogen production when using anaerobic mixed cultures  
128 [19,20]. This consideration is fundamental in the establishment of a suitable BHP protocol.  
129 The statement of important criteria for biochemical methane potential test has been  
130 suggested [21], likewise quality control criteria such as repeatability and reproducibility of  
131 results, to properly validate or reject them, and in this way, guarantee its reliability [22].  
132 Such quality criteria could apply to BHP testing.

133 The aim of this study was to propose and validate a comprehensive standard protocol for  
134 BHP testing in batch-mode considering all of the aforementioned conditions, in order to  
135 assess the level of repeatability and reproducibility of the results, at an interlaboratory level.  
136 For this purpose, the protocol was validated in several laboratories from different countries

137 using the same inoculum at first instance, and then using several sources of fermentative  
138 bacteria, all performed with a model substrate. The collected data were compared in terms  
139 of the main kinetic parameters for hydrogen production, with the purpose of providing  
140 insight on the level of interlaboratory (between-lab) and intralaboratory (within-lab)  
141 replication, as well as the identification of the main key factors and specific challenges of  
142 this tool for performing further hydrogen production studies.

143

## 144 **2. METHODOLOGY**

### 145 **2.1 Substrate**

146 Glucose was used as the only carbon source at 5 and 20 g L<sup>-1</sup>. Two different buffer  
147 solutions – modified from the medium proposed by Mizuno et al. [23] – were tested, one  
148 based in phosphate salt and another using MES monohydrate (2-[N-Morpholino]  
149 ethanesulfonic acid, 4-Morpholineethanesulfonic acid monohydrate), as suggested  
150 previously [11]. The phosphate buffer composition in mg L<sup>-1</sup> was: NH<sub>4</sub>Cl, 2600; K<sub>2</sub>HPO<sub>4</sub>,  
151 250; MgCl<sub>2</sub>·6H<sub>2</sub>O, 125; FeSO<sub>4</sub>·7H<sub>2</sub>O, 100; CoCl<sub>2</sub>·6H<sub>2</sub>O, 2.5; MnCl<sub>2</sub>·4H<sub>2</sub>O, 2.5; KI, 2.5;  
152 NiCl<sub>2</sub>·6H<sub>2</sub>O, 0.5; ZnCl<sub>2</sub>, 0.5. In the MES-based buffer, the K<sub>2</sub>HPO<sub>4</sub> was substituted by  
153 MES at 1200 mg L<sup>-1</sup>.

154

### 155 **2.2 Sources of inoculum**

156 Different sources of inoculum were tested among the laboratories: i) heat treated anaerobic  
157 sludge from a thermophilic digester of sewage sludge (HTT); ii) heat treated anaerobic  
158 sludge from a mesophilic full-scale UASB reactor treating brewery wastewater (HTM); iii)  
159 biomass from an auto-fermented effluent rich in sucrose (AF) [24]; iv) aerobic sludge  
160 pretreated by cell wash-out (WO); v) compost from kitchen wastes (C) [25]; vi) heat treated  
161 anaerobic sludge from a pilot-scale digester treating the organic fraction of solid wastes  
162 (HTS). The heat pretreatment procedure applied to HTT, HTM, and HTS was at 105°C for  
163 24 hours to select spore-forming bacteria, suppressing potential hydrogen consumers such  
164 as methanogens [17]; then the dried sludge was grounded and mesh-sieved through a #20-  
165 mesh (particle size of 850 µm). During the WO pretreatment, the aerobic sludge was fed  
166 into a completely stirred tank reactor with glucose at 10 g L<sup>-1</sup> and at 8 h of hydraulic  
167 retention time (HRT) to select fermentative bacteria and wash-out those not capable to

168 grow at such HRT [1]. For all tests, the inoculum was added at a substrate/inoculum ratio  
169 of 2.7 (g glucose/g volatile solids).

170

## 171 **2.2 Biohydrogen production batch mode protocol**

172 Two different gas measuring strategies were evaluated: i) manual procedure for periodic  
173 biogas release, and ii) automatic device with a continuous biogas release. Common  
174 conditions among manual and automatic procedures were the following: initial pH was  
175 adjusted at 7.5 with HCl or NaOH 5N solutions; bottles were closed and sealed, exchanging  
176 the headspace with N<sub>2</sub> by 1 min, and incubated at 37°C. All evaluated conditions were run  
177 in triplicates with their respective endogenous controls without substrate. When tests came  
178 to a halt, liquid phase samples were collected to determine soluble metabolites and residual  
179 glucose as indicated in the analytical methods (section 2.4).

180

### 181 **2.2.1 Manual protocol**

182 The manual test procedure was proposed by Davila-Vazquez et al. [16]; in brief,  
183 experiments were performed in serological bottles of 120 mL, with 80 mL of working  
184 volume, and horizontal shaking at 150 rpm. Gas produced was released every three hours  
185 and measured by liquid displacement using an acidic solution (pH < 2), to avoid CO<sub>2</sub>  
186 absorption, in an inverted graduated cylinder. Gas samples were taken for its composition  
187 analysis by chromatography with a thermal conductivity detector. Run stopped when the  
188 hydrogen production reached the stationary phase and the coefficient of variation between  
189 the last three measurements was lower than 5 %, as suggested previously for biochemical  
190 methane potential tests [21]. The temperature during the gas production records and the  
191 atmospheric pressure of each laboratory was considered to express the gas production  
192 results at standard conditions (273.15 K, 101.325 kPa).

193

### 194 **2.2.2 Automatic protocol**

195 The automatic tests procedure was run in the Automatic Methane Potential Test System  
196 (AMPTS II, Bioprocess Control AB, Sweden). Tests were run in glass bottles of 600 mL,  
197 with 360 mL of working volume, accordingly to manufacturer recommendations. Bottles  
198 were incubated with intermittent shaking, 60 s on/180 s off at 60 % (approx. 120 rpm). Gas

199 produced was continuously released, passing through a CO<sub>2</sub>-absorption unit (NaOH, 3N)  
200 and measured online each 10 mL. Run stopped when the hydrogen production achieved the  
201 stationary phase, according to the online graph shown by the software in the automatic  
202 device. Gas samples from the headspace were taken at the end of the gas production for its  
203 composition analysis. The automatic device reported the gas production at standard  
204 conditions by using its internal temperature and pressure sensors.

205

## 206 **2.3 Interlaboratory tests**

207 The protocol was tested in 8 independent laboratories from 5 different countries (Brazil,  
208 Chile, France, Mexico and Uruguay). Testing was divided into stages as specified in the  
209 following subsections.

210

### 211 **2.3.1 Use of phosphate buffer in manual protocol**

212 At first instance, a set of interlaboratory experiments by 6 different independent  
213 laboratories was performed under a manual procedure (2.2.1) with phosphate buffer and 20  
214 g L<sup>-1</sup> glucose as model substrate, using the same inoculum (HTT).

215

### 216 **2.3.2 Phosphate vs. MES buffer in manual protocol**

217 Manual procedure (2.2.1) was run among 4 laboratories using glucose at 5 g L<sup>-1</sup>, two  
218 different buffer solutions and the own inoculum from the laboratories, HTT, HTM, AF,  
219 WO were evaluated as comparison.

220

### 221 **2.3.3 Use of MES buffer in automatic protocol**

222 Finally, the automatic procedure in AMPTS II (2.2.2) was tested using 5 g L<sup>-1</sup> glucose with  
223 the selected buffer solution (MES), comparing three inocula, HTT, HTM, and HTS, among  
224 4 laboratories.

225

## 226 **2.4 Analytical methods**

227 The final soluble chemical oxygen demand (COD) was analyzed by standard methods [26],  
228 and residual sugars were determined by the sulfuric acid-phenol method [27]. Soluble  
229 metabolites (e.g. acetate, propionate, butyrate, ethanol) were determined by different

230 methodologies previously reported, gas chromatography with flame ionization detector  
231 [17,24,25,28,29] and High Performance Liquid Chromatography (HPLC) [11,30], and  
232 capillary electrophoresis [16]. H<sub>2</sub> and CO<sub>2</sub> content in the biogas were determined by gas  
233 chromatography with thermal conductivity detector [11,16,17,24,25,28,29].

234

## 235 **2.5 Data analysis**

236 The experimental cumulative hydrogen production was fitted to the modified Gompertz  
237 equation 1 [16] using the Solver add in Microsoft Excel (v 16.18, Microsoft, USA):

$$238 \quad H(t) = H_{max} \exp \left\{ -\exp \left[ \frac{2.71828 R_{max}}{H_{max}} (\lambda - t) + 1 \right] \right\}$$

239 Eq.1

240 where H(t) (L) is the total volume of hydrogen produced at culture time t (d); H<sub>max</sub> (L) is  
241 the maximal amount of hydrogen produced; R<sub>max</sub> (L d<sup>-1</sup>) is the maximum hydrogen  
242 production rate and λ (d) is the lag time before exponential hydrogen production. The  
243 hydrogen potential (HP), the hydrogen production rate (HPR), and the hydrogen molar  
244 yield (HMY), were defined as response variables. HP and HMY were calculated from H<sub>max</sub>  
245 and defined as L H<sub>2</sub> L<sup>-1</sup> (per liter of working volume) and mol H<sub>2</sub> mol glucose<sub>consumed</sub><sup>-1</sup>,  
246 respectively; and the HPR was calculated from R<sub>max</sub> and defined as L H<sub>2</sub> L<sup>-1</sup> d<sup>-1</sup>.

247 Average values and the corresponding standard deviations of response variables were used  
248 to calculate the intralaboratory (within-lab) and interlaboratory (between-lab) coefficient of  
249 variation (CV), or relative standard deviation, as repeatability and reproducibility  
250 indicators, respectively. The statistical analysis of the data for estimate of the precision  
251 statistics was a one-way and two-way analysis of variance (ANOVA), carried out for each  
252 response variable, as recommended for interlaboratory studies [31]. Variables with  
253 statistically significant effects were analyzed. The statistical analysis was run in the R  
254 environment (v 3.1.2, RStudio, RStudio Inc.).

255

## 256 **3. RESULTS AND DISCUSSION**

257

### 258 **3.1 Effects on hydrogen potential, production rate and molar yield**

259 In the present work, BHP assays were performed by different laboratories to evaluate the  
260 HP, HMY and HPR for each laboratory, using glucose as an individual model substrate  
261 with mixed anaerobic consortia.

262 In experiments with 20 g L<sup>-1</sup> glucose, using the manual protocol and phosphate buffer  
263 (Figure 1), values for HP, HPR and HMY ranged among 0.65 – 2.03 L H<sub>2</sub> L<sup>-1</sup>, 0.63 – 5.23  
264 L H<sub>2</sub> L<sup>-d</sup>, and 0.65 – 1.25 mol H<sub>2</sub> mol<sub>glucose</sub><sup>-1</sup>, respectively; whereas for 5 g L<sup>-1</sup> glucose at  
265 the same manual protocol and buffer conditions (Figure 2) the intervals were 0.14 – 0.78 L  
266 H<sub>2</sub> L<sup>-1</sup>, 0.06 – 1.58 L H<sub>2</sub> L<sup>-d</sup>, and 0.21 – 1.34 mol H<sub>2</sub> mol<sub>glucose</sub><sup>-1</sup>, respectively. Various  
267 studies have focused on the evaluation of BHP from different feedstocks using mixed  
268 anaerobic consortia and manual protocols. Some of these studies have been intended for  
269 evaluating different model substrates in individual mode [16,32], co-substrate mode [32],  
270 industrial wastewater effluents [18], among others. In terms of the protocol conditions, the  
271 selected for the present work was based on the best according to some previous studies on  
272 batch protocols for hydrogen production [16], and using heat-treated inoculum to overcome  
273 the activity of methanogenic archaea [17,33]. Several other authors have also addressed the  
274 relevance of initial pH [5,15,20,34], temperature [5,15,20,34,35], and concentration of  
275 inoculum and substrate [20,35]. From previous works and to maximize the production of  
276 hydrogen, in this work the starting pH was at 7.5, the temperature of incubation at 37°C and  
277 the substrate on inoculum ratio at 2.5 for all experiments. The suitability of the modified  
278 Gompertz model to describe and to model a batch fermentative hydrogen production  
279 process with mixed anaerobic cultures has been successfully proved [16,36], in this work  
280 all hydrogen production kinetics were adjusted to this model.

281 The values of HP and HPR obtained for the manual mode with phosphate buffer and 20 g  
282 L<sup>-1</sup> glucose, were similar to the values reported in previous studies with the same substrate,  
283 buffer, and a similar heat-treatment of inoculum. For instance, Davila-Vazquez et al. [16]  
284 produced 2.2 L H<sub>2</sub> L<sup>-1</sup> and 6.36 L H<sub>2</sub> L<sup>-d</sup>, with 25 g L<sup>-1</sup> glucose; and Gupta et al. [32]  
285 produced 2.1 L H<sub>2</sub> L<sup>-1</sup> and 3.12 L H<sub>2</sub> L<sup>-d</sup> with 11.5 g L<sup>-1</sup> glucose. These small differences  
286 might be due to the initial substrate/inoculum ratio. Such consistency in the range of results  
287 as compared with previous works [16,32] reflects the robustness of using a batch protocol  
288 and heat-treated sludge as inoculum.

289 To increase the repeatability of the proposed protocol, glucose concentration was decreased  
290 to 5 g L<sup>-1</sup> (as explained below, section 3.2), and two different buffers were tested. Despite  
291 the substrate concentration decreased by 4-fold, the average HP and HPR only decreased by  
292 50% and 62%, respectively, in comparison to using 20 g L<sup>-1</sup>; and the average HMY  
293 increased by 56% (Figure 1 and 2). In addition to the better HMY, lowering the glucose  
294 concentration increased its consumption from 74 ± 29 to 98 ± 4 %, and increased the  
295 hydrogen content in the biogas, from 43 ± 9 to 56 ± 11 %, comparing experiments with  
296 initial values at 20 and 5 g L<sup>-1</sup>, respectively. The average final pH using phosphate buffer  
297 were 4.6 ± 0.3 and 3.8 ± 0.5 at 20 g L<sup>-1</sup> and 5 g L<sup>-1</sup>, respectively. The lower yield at 20 g L<sup>-1</sup>  
298 could be affected by the ethanol concentration (2.6 g L<sup>-1</sup>), where its accumulation at 1.14 g  
299 L<sup>-1</sup> has been proved to affect the metabolic pathways in dark fermentation [37]. In this  
300 sense, the preferential yields of produced metabolites change from  
301 ethanol>acetate>propionate to acetate>propionate>butyrate, comparing 20 to 5 g L<sup>-1</sup> using  
302 phosphate buffer, respectively (Figure 3, A and C).

303 When comparing phosphate with MES buffer in manual mode at 5 g L<sup>-1</sup> glucose, using  
304 MES improved HP, HMY and HPR by 2.20, 1.80 and 0.27-fold, respectively (Figure 2).  
305 Although no other studies have addressed the use of MES buffer for H<sub>2</sub> production  
306 protocols, this improvement could be attributed to the fact that these two buffers portray  
307 clear differences in buffer capacity. In this sense, the buffer capacity for both solutions  
308 from pH 7.5 to 6.5 is similar, 1.3 and 1.1 mM for MES and phosphate; respectively; but at  
309 lower values from 6.5 to 4.5 the MES buffer capacity (4.1 mM) is higher than that for  
310 phosphate (1 mM). This difference in the buffer capacity was reflected by the final average  
311 pH comparing both experiments, being 4.4 ± 0.6 and 3.8 ± 0.5, for MES and phosphate,  
312 respectively. The metabolic pathways were also driven by the buffer solution, with  
313 preferential production of acetate>butyrate and acetate>propionate, using MES and  
314 phosphate buffer, respectively (Figure 3, B and C). Buffer implications have also already  
315 been discussed in previous works [38]. However, the buffer composition is not usually  
316 adjusted to the substrate concentration, which is a relevant consideration working with  
317 batch protocols without pH control.

318 Recently, the hydrogen potential of agroindustrial effluents has been tested in automatic  
319 equipment [39,40]. To compare the selected parameters of this work, 5 g L<sup>-1</sup> glucose and

320 MES buffer, different inocula were evaluated with the automatic protocol by different  
321 laboratories.

322 Further experiments were performed at 5 g L<sup>-1</sup> glucose in automatic equipment (AMPTS II)  
323 with the selected buffer system (MES), achieving a level of 0.47 – 1.02 L H<sub>2</sub> L<sup>-1</sup>, 1.60 –  
324 2.79 L H<sub>2</sub> L<sup>-1</sup>, and 0.78 – 1.65 mol H<sub>2</sub> mol<sub>glucose</sub><sup>-1</sup> (Figure 4). The general comparison  
325 showed a similar metabolic pathway using the manual or the automatic protocol  
326 (acetate>butyrate, with the same average value 0.29 and 0.28 mol/mol; Fig. 4 D to F).  
327 Besides, values of glucose consumption and hydrogen content, 94 ± 6 and 53 ± 6 %  
328 respectively, were similar to the achieved with the manual protocol. The closest comparison  
329 of a manual and automatic protocol is the one reported by Wang et al. [41], who evaluated  
330 anaerobic digestion for cellulose under both regimes, without a significant effect on  
331 methane yield. In the present study for hydrogen production, using the automatic protocol  
332 decrease 25% the HP and HMY compared to the manual one (Fig. 2 and 3). Only the HPR  
333 was improved by the automatic protocol, becoming 70% higher than the manual one;  
334 explained by the continuous gas released and its online recording. Several authors have  
335 addressed the positive effects and implications of low hydrogen partial pressure (among  
336 other different process conditions) on H<sub>2</sub> production [5,15]. Even though the slight  
337 difference between HP, HPR and HMY in the manual and automatic protocol, considering  
338 results with the same inoculum (HTT or HTM), the statistical analysis showed non-  
339 significant difference considering p values higher than 0.01, but significant differences  
340 considering p values higher than 0.05. In this sense, both methods resulted in comparable  
341 results, regardless of operational differences like working volume and stirring conditions.

342 In terms of the different inoculum tested, average values were in narrow range for HP, HPR  
343 and HMY at 0.6 – 0.8 L H<sub>2</sub> L<sup>-1</sup>, 1.8 – 2.0 L H<sub>2</sub> L<sup>-1</sup> and 1.1 – 1.4 mol H<sub>2</sub> mol<sub>glucose</sub><sup>-1</sup>,  
344 respectively. Those similar results are attributed to the same heat-treatment selecting spore-  
345 forming bacteria [17], regardless of the different source of anaerobic sludge.

346 The COD measurements were stable at different evaluated conditions, with final average  
347 concentrations at 19.4 ± 1.3, 5.1 ± 0.4, and 5.3 ± 0.9 g L<sup>-1</sup>, for the manual protocol with 20  
348 and 5 g L<sup>-1</sup> glucose, and the automatic protocol, respectively; which correspond to COD  
349 removals from 1-11%. Similar COD removals have been reported for dark fermentation,  
350 where most of the COD is converted to acids; other strategies like coupling dark

351 fermentation to photo-fermentation are meant to increase the hydrogen productivity and  
352 decrease the COD content in wastewaters [42].

353

### 354 **3.2 Repeatability and reproducibility evaluation**

355 The repeatability and the reproducibility of common process variables (HP, HPR and  
356 HMY) were evaluated in the present international work, evaluating the effect of substrate  
357 concentration and buffer solution on different inoculum (Figure 1 and 2), and the use of an  
358 automatic device comparing different inoculum (Figure 4). The first interlaboratory  
359 approach analyzing the same inoculum with the manual protocol and glucose at  $20 \text{ g L}^{-1}$   
360 resulted in a low reproducibility, where the variable “Laboratory” had a significant effect on  
361 HPR and HMY (Table 1). This low reproducibility is explained by the intra-laboratory  
362 variation (intra CV), where one-third of the laboratories had values higher than 20% for  
363 HP, HPR and HMY; resulting in an inter-laboratory variation (inter CV) up to 72% (Figure  
364 1). A concentration of  $20 \text{ g L}^{-1}$  was tested following the recommendation of Davila-  
365 Vazquez et al. [16] between their highest HPR at 15 and  $25 \text{ g L}^{-1}$  of glucose, with intra CV  
366 from 5 to 21 %, respectively. In the present study, using glucose at  $20 \text{ g L}^{-1}$  produced a  
367 maximum volume of 120 mL of biogas every three hours during the exponential phase,  
368 which could produce errors during the data register using the manual method, due to the  
369 resolution of the graduated cylinder.

370 To increase the repeatability and reproducibility, a second approach in the present work  
371 was evaluated using  $5 \text{ g L}^{-1}$  glucose, considering the lower CV (from 3 to 10%) found by  
372 Davila-Vazquez et al. [16] at such concentration. Previously, several glucose  
373 concentrations were tested between 5 and  $25 \text{ g L}^{-1}$ , where the lowest concentration  
374 maximized the HMY [16]; in this sense, evaluating  $5 \text{ g L}^{-1}$  had the additional purpose to  
375 increase the BHP. Indeed, different inoculum commonly used for each laboratory and  
376 different buffer solution were evaluated. In average, the repeatability using  $5 \text{ g L}^{-1}$  glucose  
377 reduced the intra CV to 18% (17 % and 20 %, for MES and phosphate buffer, respectively)  
378 compared to the 22% at  $20 \text{ g L}^{-1}$ . Phosphate buffer produced significant differences in  
379 terms of hydrogen potential and molar yield, whereas using MES buffer had no statistical  
380 effect on hydrogen potential and molar yield, whatever the tested inoculum (Table 1). The  
381 higher repeatability achieved using MES is attributed to a better buffer capacity than the

382 phosphate solution. In this sense, buffer and the microbial inoculum showed both  
383 significant effects on HP and HMY (Table 2).  
384 Using the automatic equipment, the repeatability improved in comparison to the manual  
385 protocol, reducing the average intra CV to 9.3, 15 and 10.5% for HP, HPR and HMY  
386 (Figure 4). However, such comparable reproducibility was only achieved using the HTT  
387 inoculum, where inter CV of 15, 42 and 25%, were similar than the obtained using the  
388 manual protocol, i.e. 12, 56 and 16%, for HP, HPR and HMY, respectively. The different  
389 inoculum had a significant effect on the response variables (Table 2), resulting in higher  
390 inter CV using HTS and HTM inoculum, 113 and 28% for HP and HMY, compared to the  
391 obtained with HTT.

392

### 393 **3.3 Recommendations to run BHP batch tests**

394 To our knowledge, there is only one previous study focused on the design and  
395 standardization of a methodology (protocol) to evaluate Biological Hydrogen Production  
396 (BHP tests) [14]. As the authors proposed a workflow for a process optimization [14], their  
397 aim was not to determine statistical parameters to evaluate the repeatability or  
398 reproducibility of the protocol at interlaboratory level. The closest approaches to this work  
399 are those that aim to standardize a biochemical batch protocol for methane production,  
400 specially using organic solid wastes [22,43,44]; which is a common practice for the design  
401 of industrial anaerobic digesters [22]. The first studies were focused on proposing general  
402 parameters for methane production tests [45] and analyzing the method repeatability and  
403 reproducibility [43]. Two approaches have focused on interlaboratory studies [44] and  
404 general recommendations for the test validation [22]. In this sense, the results of the present  
405 work are analyzed to made recommendations in terms of: i) parameters that influence the  
406 repeatability and reproducibility of results, ii) criteria for results validation and acceptance,  
407 iii) workload analysis of the different tested protocols.

408

#### 409 **3.3.1 Repeatability and reproducibility**

410 Considering HP and HPR, the repeatability of the proposed protocol was significantly  
411 improved (reduction of intralaboratory variation), with the following criteria and sequence:  
412 reducing substrate concentration>increasing the buffer capacity> using an automatic device

413 (Table 3). In terms of reproducibility, the criteria and sequence were as follows: reducing  
414 substrate concentration > increasing the buffer capacity; where using the manual or the  
415 automatic protocol produced higher and lower inter CV for HP and HPR, respectively  
416 (Table 3). A common strategy to optimize hydrogen producing processes is to look for the  
417 maximum organic load supported [14]; however, awareness is needed on the fact that at  
418 carbohydrate concentrations higher than 5 g L<sup>-1</sup> repeatability decrease, and possible  
419 comparison to literature will be limited due to the loss of reproducibility.

420

### 421 **3.3.2 Criteria for results validation and acceptance**

422 One common criterion for validation of results established for methane potential tests is the  
423 methane recovery using a model substrate in comparison to the theoretical recovery [22].  
424 The theoretical hydrogen yield is not a suitable criterion for accepting BHP results,  
425 considering that the well-known maximum biochemical yield 4 mol H<sub>2</sub> mol<sub>glucose</sub><sup>-1</sup>, depends  
426 mainly on low H<sub>2</sub> partial pressure, the pH, and other parameters such as the nature of the  
427 inoculum [5].

428 Based on the relative standard deviation proposed as acceptable by Holliger et al. [22] for  
429 methane potential tests, the HP results obtained in the present work fitted to both criteria, 5-  
430 10% for intralaboratory CV, and ≥ 14 % of interlaboratory CV using the manual protocol;  
431 but only the intralaboratory CV criteria was fitted by the automatic protocol (Table 3).  
432 However, the HPR results did not fit to any of both, having closer results if the automatic  
433 protocol is used. Since BHP tests are normally carried out by only one laboratory,  
434 acceptable intra CV for the HPR are 25 and 15 %, using the manual or automatic protocol,  
435 respectively (average values, Table 3).

436

### 437 **3.3.3 Workload analysis**

438 A helpful criterion to choose a particular protocol is the available time for performing the  
439 test, where a time-saving experimental set-up is pursued [43,44]. The workload for  
440 particular operations in the protocols include the preparation of the inoculum and mineral  
441 solution, set-up of batch reactors, monitoring gas production and composition, as well as  
442 data management and evaluation (Table 4). For one or five samples sets, the automatic  
443 protocol reduces the workload time from 32 to 65 % compared to the manual protocol,

444 mainly due to the time saved for chromatographic analysis; but the manual protocol has the  
445 advantage that no specialized equipment is required. To reduce the workload analysis in the  
446 manual protocol a CO<sub>2</sub> trap could be applied similarly to the automatic device, but the  
447 proper experiment setup would need further validation.

448

#### 449 **4. CONCLUSIONS**

450 In this work, a standardized protocol for biohydrogen production potential was evaluated in  
451 interlaboratory mode. Evaluating the effect of operational parameters, such as substrate  
452 concentration, buffer capacity and a manual or automatic gas measuring method, the  
453 repeatability and reproducibility were increased. In this sense, the interlaboratory variation  
454 of the HP and HPR were reduced from 32 to 12 %, and from 72 to 33 %, respectively,  
455 showing the robustness of the proposed protocol. The intralaboratory variation among their  
456 replicates was reduced up to 13 and 9% for HP, and up to 25 and 15 % for HPR, using the  
457 manual and automatic protocol, respectively; such variations were stated as criteria for  
458 acceptance of the results. The automatic protocol increases the reproducibility and  
459 repeatability of the BHP test, while also reduces the workload in comparison with the  
460 manual protocol, although the manual protocol also reaches acceptable variation values.  
461 Hence, both protocols – manual and automatic – are suitable to get acceptable results. Due  
462 to this protocol was standardized with a model substrate, further validation is envisioned  
463 using real wastewater or effluents, following the same operational parameters S/X ratio,  
464 soluble carbohydrate content, and buffer capacity. The present work sets a precedent for  
465 further interlaboratory comparison of other worth studying parameters for hydrogen  
466 production by dark fermentation.

467

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478

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- 627  
628

629 **Figure and table captions**

630

631 Figure 1. Evaluation of the manual protocol using glucose at 20 g L<sup>-1</sup> and phosphate buffer  
632 for 6 different laboratories: hydrogen potential (A), production rate (B), molar yield  
633 achieved for consumed glucose (C), and the coefficient of variations (CV) obtained at each  
634 laboratory (intra) and interlaboratories (inter).

635

636 Figure 2. Evaluation of the manual protocol using glucose at 5 g L<sup>-1</sup> comparing the  
637 phosphate and MES buffer, for 4 different laboratories using different inoculum: hydrogen  
638 production potential (A), production rate (B), molar yield achieved for consumed glucose  
639 (C), and the intralaboratory coefficients of variation (CV).

640

641 Figure 3. Evaluation of the automatic protocol using glucose at 5 g L<sup>-1</sup> with MESS buffer,  
642 comparing different inoculum among 4 different laboratories: hydrogen production  
643 potential (A), production rate (B), molar yield achieved for consumed glucose (C), and the  
644 coefficient of variations (CV) obtained at each laboratory (intra) and interlaboratories  
645 (inter) for each parameter are shown.

646

647 Figure 4. Molar yield per mol of consumed glucose for the metabolites produced at the  
648 different experiments: evaluation of the manual protocol using glucose at 20 g L<sup>-1</sup> and  
649 phosphate buffer (A); evaluation of the manual protocol using glucose at 5 g L<sup>-1</sup> comparing  
650 the MES (B) and phosphate buffer (C); evaluation of the automatic protocol with MES  
651 buffer, comparing different inoculum HTT (D), HTM (E) and HTS (F).

652

653 Table 1. One-way ANOVA results for the evaluation of the manual protocol using the same  
654 inoculum (20 g L<sup>-1</sup> glucose with phosphate buffer), and the different inoculum (5 g L<sup>-1</sup>  
655 glucose with phosphate and MES buffer).

656

657 Table 2. Two-ways ANOVA results for the evaluation of the manual protocol using  
658 different buffer (5 g L<sup>-1</sup> glucose, phosphate and MES buffer) and the automatic protocol  
659 using different inoculum (5 g L<sup>-1</sup> glucose and MES buffer).

660

661 Table 3. Summary of the average coefficient of variations obtained at the tested parameters  
662 for HP and HPR.

663

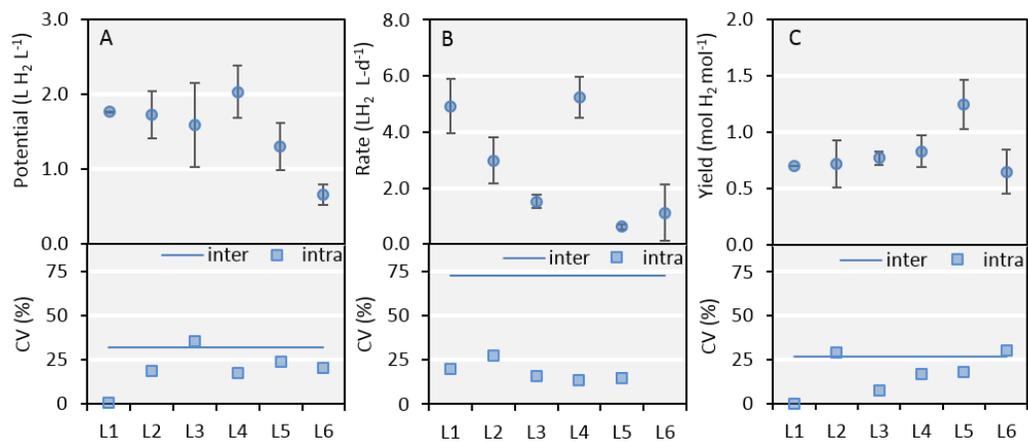
664 Table 4. Workload of BHP test by different experimental setups, considering one and five  
665 samples by triplicate.

666

667

668

669 Figure 1

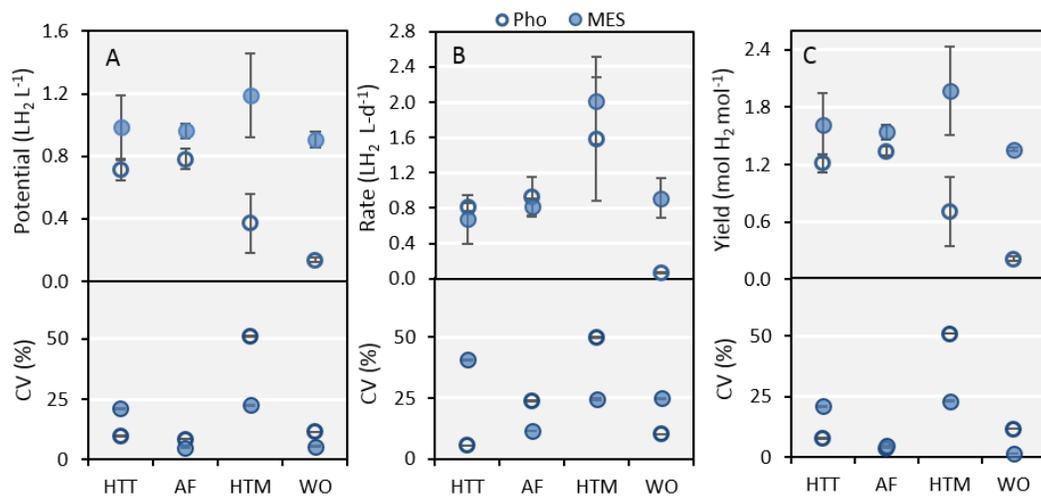


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673 Figure 2

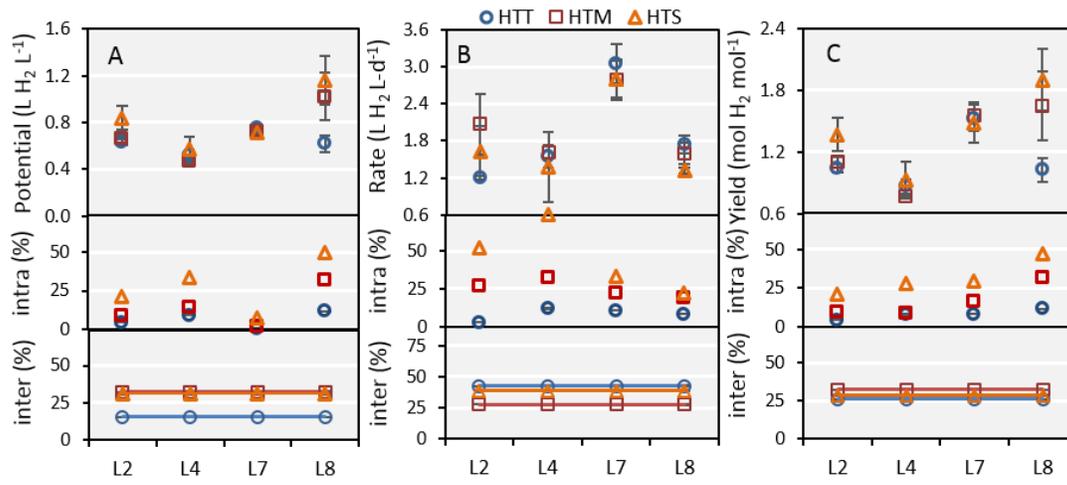


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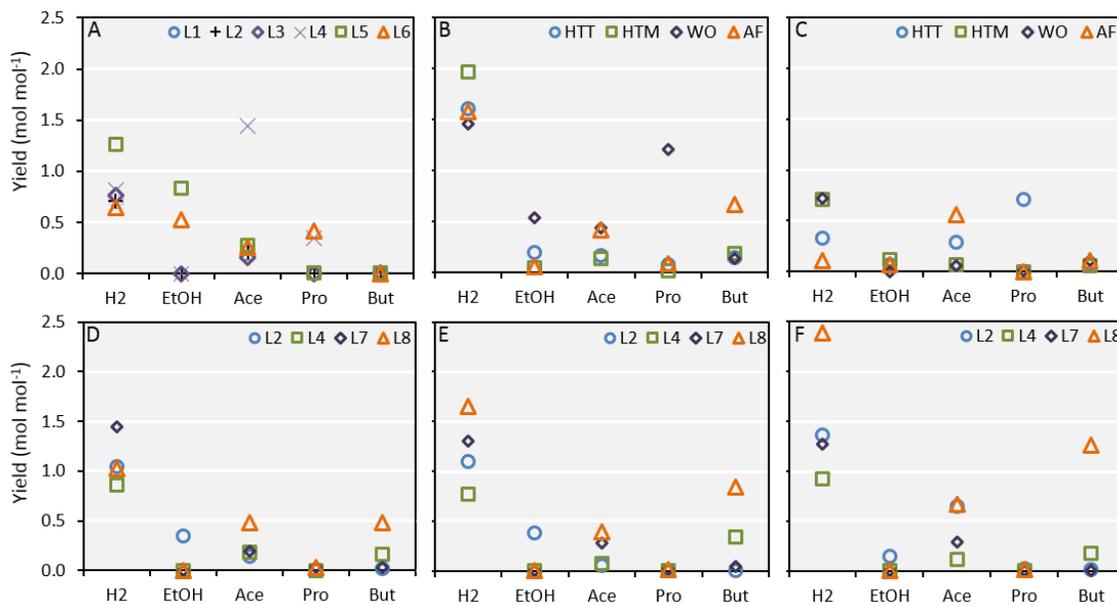
677 Figure 3



678

679

680 Figure 4



681

Table 1

Response variable	Evaluated variable	<i>p</i> value	Groups of variables*	Response variable	Evaluated variable	<i>p</i> value	Groups of variables *
<b>20 g L<sup>-1</sup> and phosphate buffer</b>				<b>5 g L<sup>-1</sup> and phosphate buffer</b>			
Potential	Laboratory	0.075		Potential	Laboratory	<b>2.7e<sup>-3</sup></b>	a) L1
Rate	Laboratory	<b>1.1e<sup>-3</sup></b>	a) L1, L2, L4 b) L2, L3, L4 c) L6 d) L3, L5, L6	Rate	Laboratory	0.110	b) L2,L5
Yield	Laboratory	<b>0.022</b>	a) L1, L2, L3 b) L4, L6 c) L4, L5	Yield	Laboratory	<b>2.5e<sup>-4</sup></b>	a) L1, L2 b) L5
				<b>5 g L<sup>-1</sup> and MES buffer</b>			
				Potential	Laboratory	0.385	
				Rate	Laboratory	0.429	
				Yield	Laboratory	0.332	

\* Groups without significant difference determined by a Tukey test; Bold letters stands for significant effects.

Table 2

Response variable	Evaluated variable	<i>p</i> value	Groups of variables*	Response variable	Evaluated variable	<i>p</i> value	Groups of variables *
Manual protocol				Automatic protocol			
Potential	Inoculum	<b>1.5e<sup>-3</sup></b>	a) HTM, AF b) HTT c) WO	Potential	Inoculum	<b>4.6e<sup>-6</sup></b>	a) HTS b) HTT, HTM
Potential	Buffer	<b>4.8e<sup>-7</sup></b>		Potential	Laboratory	<b>1.9e<sup>-7</sup></b>	a) L2, L7 b) L4 c) L8
Potential	Inoculum:Buffer	<b>2.2e<sup>-3</sup></b>		Potential	Inoculum:Laboratory	<b>3.1e<sup>-4</sup></b>	a) L2,L4,L8
Rate	Inoculum	<b>1.0e<sup>-3</sup></b>	a) AF, HTT, WO b) HTM	Rate	Inoculum	0.065	b) L4,L8 c) L7
Rate	Buffer	0.205		Rate	Laboratory	<b>4.6e<sup>-9</sup></b>	
Rate	Inoculum:Buffer	0.217		Rate	Inoculum:Laboratory	0.052	
Yield	Inoculum	<b>2.1e<sup>-3</sup></b>	a) HTM, AF, HTT b) WO, HTT	Yield	Inoculum	<b>6.4e<sup>-5</sup></b>	a) HTS b) HTM, HTT
Yield	Buffer	<b>2.7e<sup>-7</sup></b>		Yield	Laboratory	<b>1.7e<sup>-8</sup></b>	a) L2, L7 b) L4 c) L8
Yield	Inoculum:Buffer	<b>0.015</b>		Yield	Inoculum:Laboratory	<b>4.2e<sup>-6</sup></b>	

\* Groups without significant difference determined by a Tukey test; Bold letters stands for significant effects.

Table 3.

Method	Substrate concentration (g L <sup>-1</sup> )	Buffer	HP			HPR		
			Coefficient of variation (%)*					
			intra		Inter	intra		Inter
Ave	Min	Ave	Min					
Manual	20	Phosphate	19	1	32	30	14	72
Manual	5	Phosphate	20	9	60	22	6	73
Manual	5	MES	13	5	12	25	12	55
Automatic	5	MES	9	0.5	28	15	3	33

\*Intra, intralaboratory; Inter, interlaboratory; Ave, average value; Min, minimal value.

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Table 4.

Experimental set-up	A (h)	B (min)	C (min)	D (min)	E (min)	F <sup>a</sup> (min)	G <sup>a,b</sup> (min)	H <sup>b</sup> (min)	I (min)	Total (h)	Total/sample (h)
Set of one sample per triplicate											
Manual	5	30	30	10	-	10x7x3	10x7x3	-	15x3	13.9	4.6
Automatic	5	30	30	60	60	-	-	10x3	15x3	9.3	3.1
Set of five samples per triplicate											
Manual	5	30	150	10	-	10x7x15	10x7x15	-	15x15	46.9	3.1
Automatic	5	30	150	60	60	-	-	10x15	15x15	16.3	1.

4 Workload times for: A; preparation of inoculum and buffer solution; B, preparation of substrate  
5 solution; C, set up of reactors (inoculum weight, addition of substrate and buffer); D, set-up of  
6 device for gas measuring; E, connecting batch reactors to gas measuring; F, monitoring gas  
7 production during whole incubation; G, monitoring gas composition during whole incubation; H,  
8 monitoring final gas composition; I, data management (using an existing MS Excel-sheet) and  
9 interpretation. Total workload: A+B+ C+D+E+F+G+H. <sup>a</sup> Considering 7 gas production records  
10 during the incubation time around 75 h; <sup>b</sup> Considering in average 10 min for chromatographic gas  
11 analysis  
12  
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