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Review

# Lignocellulosic Biomass Mild Alkaline Fractionation and Resulting Extract Purification Processes: Conditions, Yields, and Purities

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**Abstract:** Fractionation of lignocellulose is a fundamental step in the valorization of cellulose, hemicelluloses, and lignin to produce various sustainable fuels, materials and chemicals. Strong alkaline fractionation is one of the most applied processes since the paper industry has been using it for more than a century, and the mineral acid fractionation process is currently the most applied for the production of cellulosic ethanol. However, in the last decade, mild alkaline fractionation has been becoming increasingly widespread in the frame of cellulosic ethanol biorefineries. It leads to the solubilization of hemicelluloses and lignin at various extent depending on the conditions of the extraction, whereas the cellulose remains insoluble. Some studies showed that the cellulose saccharification and fermentation into ethanol gave higher yields than the mineral acid fractionation process. Besides, contrary to the acid fractionation process, the mild alkaline fractionation process does not hydrolyze the sugar polymers, which can be of interest for different applications. Lignocellulosic mild alkaline extracts contain hemicelluloses, lignin oligomers, phenolic monomers, acetic acid, and inorganic salts. In order to optimize the economic efficiency of the biorefineries using a mild alkaline fractionation process, the purification of the alkaline extract to valorize its different components is of major importance. This review details the conditions used for the mild alkaline fractionation process and the purification techniques that have been carried out on the obtained hydrolysates, with a focus on the yields and purities of the different compounds.

**Keywords:** Lignocellulose; mild alkaline fractionation; hemicelluloses and lignin; extract purification; yield and purity

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## 1. Introduction

Second generation biorefineries essentially aim at valorizing sugar polymers—cellulose and hemicelluloses—from lignocellulosic biomass. The first process step of such biorefineries is to fractionate the biomass into cellulose, hemicelluloses, and lignin. However, biomasses from plants are naturally recalcitrant, and therefore in order to increase the accessibility of cellulose and hemicelluloses, the hemicelluloses-lignin complex cross-links must be broken [1,2]. The major fractionation processes studied are biological, physical and chemical sometimes with some combinations [3]. Mineral acid fractionation is currently the method of choice in several model processes for ethanol production from lignocellulosic biomasses [4,5].

However, the papermaking industry was the first historical lignocellulosic biorefinery, where severe alkaline conditions were applied to fractionate lignocellulosic biomass. The first patents where strong alkaline solutions were used to produce cellulose from wood were recorded in the second half of the 19<sup>th</sup> century [6,7]. Commercial pulping processes include the soda, the sulfite, and the sulfate

(also known as Kraft) processes. These processes induce the dissociation of cellulose fibers from lignin and hemicelluloses by the cooking chemicals [8,9]. Kraft and soda processes rely on alkaline chemicals, the former being mainly used for wood hydrolysis, while the latter is commonly applied to non-wood biomass, such as bagasse, straw, grass or bamboo [9]. In both processes, lignin, low molecular weight hemicelluloses and other extractives from the wood are dissolved in what is called the black liquor [10]. The third process in the papermaking industry is the sulfite process, developed by Tilghman [11]. The wood chips are cooked in a mixture of sulfurous acid and bisulfide ions which dissolve lignin and hemicelluloses [12]. Sulfite pulps account for less than 10% of the total chemical pulp production [13].

For decades, the black liquor was burnt to produce steam and electricity. The characterization, fractionation, and recovery of the compounds from black liquor in order to valorize them into materials and chemicals appeared only in the 1980s [14,15]. With the expansion of lignocellulosic biorefineries to produce other materials than paper - energy (liquid fuels like ethanol) and chemical intermediates - and the constraint of limiting the cost of the fractionation step, mild alkaline fractionation gained importance [16,17]. It also challenges the acid fractionation process, currently used in most second-generation ethanol biorefineries, since improved overall ethanol yields were obtained with mild alkaline fractionation followed by enzymatic saccharification [18] and it can reduce the need for expensive equipment to deal with corrosion and severe reaction conditions [17].

Besides, lignin and hemicelluloses are extracted under their oligomeric form in alkaline media which represents alternative opportunities of valorization. Hemicelluloses under their polymeric form can form hydrogels thanks to their numerous properties such as adsorption capacity, mechanical strength, hydrophilicity, biodegradability, biocompatibility, transparency, low cost, and non-toxicity which find applications in various fields such as water depollution, food additives, food packaging, cosmetics and pharmaceuticals [19–21]. The use of hemicelluloses under their polymeric form is not commercially as advanced as their use under their monomeric form.

Unlike hemicelluloses, all current commercial uses of lignin, except the burning and production of synthetic vanillin, take advantage of lignin's polymer and polyelectrolyte properties [22]. Currently, the main applications include dispersants, emulsifiers, binders and sequestrant [22]. Lignin can be used for new applications having a higher value and larger markets such as bioplastics, composites, nanoparticles, adsorbents, resins (especially for formaldehyde-free resins) and carbon fibers [22,23]. To facilitate the modification of the lignin, e.g., polymerization to form resins; sulfur-free lignin obtained through mild alkaline extraction among other processes (e.g., soda pulping or Organosolv process) are more interesting than the thio-lignin obtained through the traditional pulp and paper processes (i.e., sulfite and sulfate processes) [24].

Under alkaline conditions, phenolic monomers (mainly ferulic and *p*-coumaric acid) forming bridges between lignin units and carbohydrates are solubilized in the extract. These molecules can be used as food additives, in cosmetic and pharmaceutical products due to their antioxidant activity, cholesterol-lowering activity, prevention against thrombosis and atherosclerosis, antimicrobial and anti-inflammatory activity, and anticancer effect [25–27]. Ferulic acid can also be used to produce vanillin, another important synthon, by microbial transformation [28].

In this review, the mild alkaline fractionation of lignocellulosic biomass is explained in the first part. The purification of the resulting lignocellulosic alkaline hydrolysate is exposed in the second part of this review. A focus was put on the conditions used for the different fractionation and purification processes and on the associated yields and purities of the extracted and purified molecules. The cost and environmental impact of the different processes are not detailed in this review, only general trends are provided.

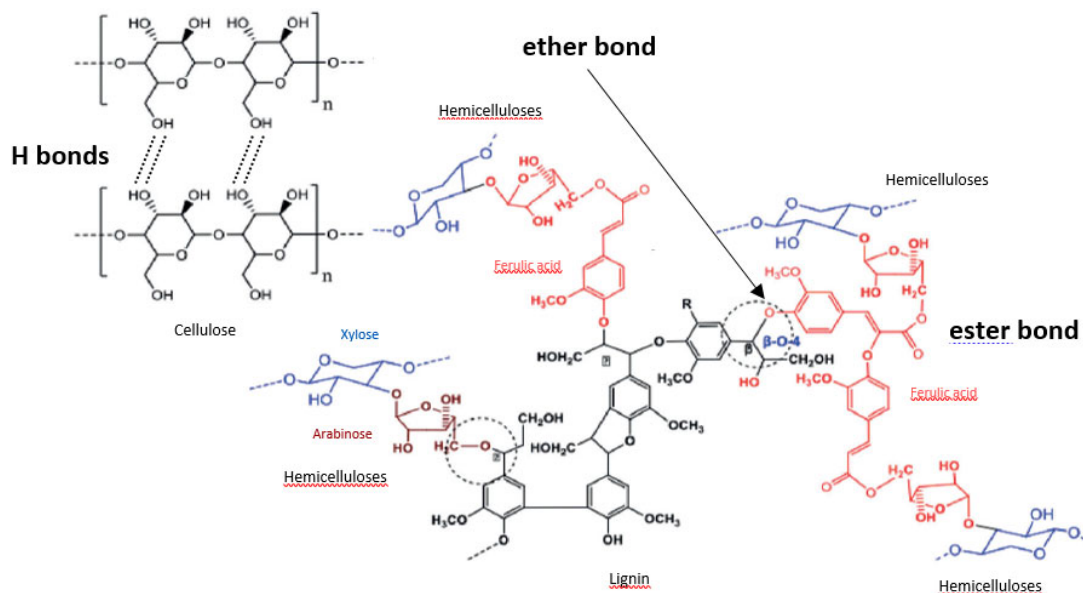
## 2. Mild Alkaline Fractionation

### 2.1. Effect and Mechanism

The main features of mild alkaline extraction, similar to Kraft or soda pulp and paper processes, are that it solubilizes both lignin and hemicelluloses without degrading cellulose, and it increases the

porosity and surface area of cellulose, thereby enhancing potential enzymatic hydrolysis of cellulose [2,16,17]. The solid residue (mainly cellulose) can be used in its polymeric form in an application such as paper and cellulose derivatives (e.g., cellulose acetate), or in its monomeric form (glucose), after acid or enzymatic hydrolysis of the  $\beta$ 1-4 glycosidic bonds, in applications such as biofuels (ethanol) and chemical intermediates [4,17]. Less than 3% degradation of glucan (accounting for cellulose) was reported on mild alkaline extraction of sugarcane bagasse (SCB) [29,30]. Hemicelluloses are usually dissolved to a lower extent than lignin [4,16]. Conditions are milder than with acid extraction which can eliminate the need for expensive materials and special designs to cope with the corrosion of the vessels [17]. However, reaction times are usually longer and unlike acid fractionation, a limitation occurs because some of the alkaline chemicals are converted to irrecoverable salts or incorporated as salts into the biomass by the fractionation reactions [31]. Mild alkaline fractionation and the alkaline pulping processes (i.e., Kraft and soda processes) share the same fundamental principles; therefore, the mature techniques and equipment used in the pulping process, for instance, to recover the reaction chemicals as well as energy, are applicable to the mild alkaline fractionation process [32]. The activation energy (50–54 kJ/mol) used for delignification of herbaceous species (e.g., bagasse and corn stover) by mild alkaline fractionation is lower than that required for delignification of wood by the Kraft process [33], making mild alkaline extraction particularly suitable for herbaceous biomass.

The treatment of lignocellulosic biomasses by alkaline solutions induces several mechanisms. Cellulose swells due to the disruption of intermolecular hydrogen bonds that bind cellulose molecules together [34]. In parallel, some alkali-labile linkages between lignin monomers or lignin and polysaccharides are broken (Figure 1). The ester-linked substituents of the hemicelluloses (acetate groups, uronic molecules) are also broken, as well as ester-linked phenolic monomers such as ferulic acid (FA), *p*-coumaric acid (*p*-CA) and sinapic acid [16,35,36]. This improves the digestibility of the undissolved hemicelluloses recovered in the solid residue and the dissolved hemicelluloses are quite similar to the native polysaccharides, except for the removed groups (acetate) or molecules (uronic acids, phenolic acids) [37,38]. The dissolved hemicelluloses contain a relatively small amount of bound lignin (0–5%) [39]. Xylans undergo only partial hydrolysis in alkaline solution at room temperature. An increase in the severity of the treatment (e.g., base concentration or temperature) produces smaller oligomers [24,39].



**Figure 1.** Alkali-labile linkages between lignocellulose components.

Lignin is insoluble under neutral or acidic conditions, its solubilization in alkaline conditions comes from acidic moieties such as carboxylic or phenolic groups that are ionized in alkaline media, and its hydrolysis comes from the cleavage of  $\beta$ -O-4 ether bonds in poly-phenolic units [34,40].

Molecules linked to lignin by their carboxyl group via ester bonds such as uronic acids, *p*-CA, FA are cleaved in mild alkaline media whereas some of the FA molecules linked by their phenolic group via ether bond requires stronger alkaline conditions to be cleaved [41–43]. Lignin extracted under mild alkaline fractionation contain a very low level of bound sugars (1–3%) [37]. Besides, alkaline solutions are a better reaction media than acidic or neutral media, for the valorization of lignin for the synthesis of phenolic resins [44].

Lignin issued from alkaline fractionation is sulfur-free, unlike the lignin produced by Kraft and sulfite pulping processes, which is a great advantage for further chemical activation, opening up valorization pathways, for instance as fuel additives or bio-based polymers (adhesives and asphalt extenders) [17].

## 2.2. Nature of the Base

Lignocellulosic biomass can be treated with an alkali such as sodium, potassium, calcium and ammonium hydroxides at ambient temperature and pressure [45]. Among the different alkalis, sodium hydroxide has received the most attention [31] and is typically preferred because of the high extraction yields for lignin (60–80%) and hemicelluloses (50%) [17,46].

Calcium hydroxide, or lime, is also commonly employed for fractionation under alkaline conditions [45] because it has a lower cost, fewer safety requirements, it is less corrosive and can be recovered from the hydrolysate by reaction with CO<sub>2</sub> so that the carbonate formed, can then be reconverted to lime [47]. Lime fractionation leads to the extraction of lignin and hemicelluloses because it cleaves  $\alpha$ - and  $\beta$ -ether bonds in phenolic units and  $\beta$ -ether bonds in non-phenolic units [48] and to the removal of acetyl groups from hemicelluloses [17]. However, its fractionation effect is not as strong as with sodium hydroxide or ammonia [46]. For instance, under similar conditions, the use of sodium hydroxide led to lignin and hemicelluloses removal yields of 70 and 22%, respectively, whereas the use of calcium hydroxide led to lignin and hemicelluloses removal yields of 28 and 8%, respectively [29].

Aqueous ammonia treatment differs from other alkali fractionation processes as it is run at elevated temperatures or high pressures or for long durations (e.g., over 170 °C for 1h30 at atmospheric pressure, or 100 °C for 5 min at 20 bar, or 75 °C for 48 h at atmospheric pressure) [45,49]. These conditions correspond to three types of ammonia fractionation: ammonia recycle percolation (high temperatures), ammonia fiber explosion (high pressures) and soaking in aqueous ammonia (long durations). The three processes sufficiently reduce lignin content (65 to 75% delignification) and remove some hemicelluloses (up to 92%), while cellulose is decrystallized, leading to an improved yield of enzymatic saccharification [45,49]. The use of ammonia requires recycling, to reduce the cost of the fractionation process as ammonia is expensive, and special care as ammonia is toxic for the environment.

## 2.3. Conditions and Yields

Contrary to the pulp and paper industry, where extraction conditions are drastic regarding base concentration, temperature or pressure [10,50], fractionation conditions studied in the frame of the lignocellulosic ethanol biorefinery are milder [18,51].

Mild alkali treatment was shown to be more effective on agricultural residues than on wood materials [3]. Mild reaction conditions prevent condensation of lignin leading to its high solubility and greater removal [45]. In the frame of second-generation ethanol biorefinery, and similarly to acid fractionation processes [5], comparing the efficiency of various mild alkaline fractionation processes based on the dissolution of lignin and hemicelluloses is more relevant than comparing yields of saccharification or fermentation at the following process steps, since saccharification and fermentation conditions differ from one study to another. One might target high rates of solubilization, particularly for lignin, as they are coupled with high yields of saccharification at the next step [29,32,52] and it potentially allows the purification and valorization of larger quantities of lignin and hemicelluloses. Alkali fractionation conditions with sodium hydroxide at 0.5–10.0% (w/v) and with a solid:liquid ratio (S:L ratio) of 10–30% (w/v) at 60–180 °C during 5–60 min give generally

about 50–80% dissolution rate for lignin and hemicelluloses (Table 1). In table 1, SCB was taken as the model biomass, as it is one of the most studied lignocellulosic biomasses and it contains mainly 3 sugars—glucose, xylose, arabinose—facilitating the comparison between the different processes regarding conditions and obtained yields of solubilization for lignin and hemicelluloses. Other biomasses are presented as a comparison with the reference. Sodium hydroxide was taken as the reference for the base, as it was the most studied and led to higher yields.

**Table 1.** Yields of solubilization for lignin and hemicelluloses after optimized mild alkaline fractionation on various lignocellulosic agricultural residues. SCB, sugarcane bagasse; S:L, solid:liquid.

Biomass	Variable	Optimized conditions	Solubilization yields	Reference
SCB (dewaxed)	1–3% NaOH (w/v)	3% NaOH (w/v)	54% lignin 75% hemicelluloses	[53]
	No variation of S:L ratio	S:L ratio of 1:25		
	No variation of temperature	50 °C		
	No variation of duration	3 h		
SCB (dewaxed)	No variation of alkaline concentration	2% NaOH (w/v)	55% lignin 48% hemicelluloses	[54]
	No variation of S:L ratio	S:L ratio of 1:30		
	No variation of temperature	55 °C		
	No variation of duration	2 h		
SCB	2–6% NaOH (w/v)	4% NaOH	43% hemicelluloses	[55]
	No variation of S:L ratio	S:L ratio 5:1		
	150–190 °C	170 °C		
	40–80 min	1 h		
SCB	No variation of alkaline concentration	1.5% NaOH (w/v)	46% lignin 22% xylan 50% arabinan	[30]
	No variation of S:L ratio	S:L ratio of 1:20 (w/v)		
	No variation of temperature	60 °C		
	No variation of duration	6 h		
SCB	No variation of alkaline concentration	2% NaOH (w/v)	70% lignin 22% xylan 22% arabinan	[29]
	No variation of S:L ratio	S:L ratio 1:10 (w/v)		
	No variation of temperature	80 °C		

	No variation of duration	2 h		
SCB	No variation of alkaline concentration	2% Ca(OH) <sub>2</sub> (w/v)	28% lignin	[29]
	No variation of S:L ratio	S:L ratio 1:10 (w/v)	9% xylan	
	No variation of temperature	80 °C	6% arabinan	
	No variation of duration	2 h		
SCB	No variation of alkaline concentration	1% Ca(OH) <sub>2</sub> (w/v)	14% lignin	[47]
	No variation of S:L ratio	S:L ratio of 1:10	0% hemicelluloses	
	No variation of temperature	120 °C		
	No variation of duration	1 h		
SCB	No variation of alkaline concentration	1% Ca(OH) <sub>2</sub> (w/v)	30% lignin	[48]
	No variation of S:L ratio	S:L ratio of 1:100	5% hemicelluloses	
	No variation of temperature	120 °C		
	7–60 min	1 h		
SCB	0.03–0.3% NH <sub>3</sub> (w/w)	0.3% NH <sub>3</sub> (w/w)	46% lignin	[56]
	No variation of S:L ratio	S:L ratio of 1:8 (w/v)	27% hemicelluloses	
	No variation of temperature	30 °C		
	0–40 day	40 day		
SCB	20–28% NH <sub>3</sub> (v/v)	20% NH <sub>3</sub> (v/v)	42% lignin	[57]
	No variation of S:L ratio	S:L ratio of 1:10 (w/v)	69% hemicelluloses	
	50–70 °C	70 °C		
	8–24 h	24 h		
Wheat straw	3% NaOH (w/v)	3% NaOH (w/v)	70% lignin	[37]



	S:L ratio of 1:26.6 (w/v)	S:L ratio of 1:26.6 (w/v)		
	45 °C	45 °C		
	2–15 h	15 h		
	0.5–10% NaOH (w/v)	1.5% NaOH (w/v)		
Wheat straw	No variation of S:L ratio	S:L ratio 1:40 (w/v)	59% lignin	[34]
	0–80 °C	20 °C	83% hemicelluloses	
	0–144 h	144 h		
	0.5–10% NaOH (w/v)	1.5% NaOH (w/v)		
Wheat straw	No variation of S:L ratio	S:L ratio 1:40 (w/v)	62% lignin	[34]
	0–80 °C	80 °C	75% hemicelluloses	
	0–144 h	6 h		
	0.5–10% NaOH (w/v)	10% NaOH (w/v)		
Wheat straw	No variation of S:L ratio	S:L ratio 1:40 (w/v)	47% lignin	[34]
	0–80 °C	20 °C	81% hemicelluloses	
	0–144 h	6 h		
	No variation of alkaline concentration	4% NaOH (w/v)		
Maize stems	No variation of S:L ratio	S:L ratio of 1:100	78% lignin	[58]
	No variation of temperature	30 °C	72% hemicelluloses	
	No variation of duration	18 h		
	No variation of alkaline concentration	4% NaOH (w/v)	79% lignin	[58]
Rye straw	No variation of S:L ratio	S:L ratio of 1:100	73% hemicelluloses	
	No variation of temperature	30 °C		

	No variation of duration	18 h		
Rice straw	No variation of alkaline concentration	4% NaOH (w/v)	82% lignin	[58]
	No variation of S:L ratio	S:L ratio of 1:100	85% hemicelluloses	
	No variation of temperature	30 °C		
	No variation of duration	18 h		
Sorghum bagasse	2–10% NaOH (w/v)	4% NaOH (w/v)		[32]
	No variation of S:L ratio	S:L ratio of 1:20 (w/v)	76% lignin	
	No variation of temperature	25 °C	60% xylan	
	0.5–2 h	2 h		
Sorghum bagasse	2–10% NaOH (w/v)	10% NaOH (w/v)		[32]
	No variation of S:L ratio	S:L ratio of 1:20 (w/v)	80% lignin	
	No variation of temperature	25 °C	81% xylan	
	0.5–2 h	2 h		

Four main parameters influence the dissolution of hemicelluloses and lignin during mild alkaline fractionation: base concentration, S:L ratio, temperature and duration of the treatment. Increasing the value of one parameter increases the solubilization for lignin and hemicelluloses, or it can be compensated by decreasing the value of another parameter to maintain the yields of solubilization stable. For instance, on apple tree pruning residues, decreasing the S:L ratio from 1:18 to 1:10 (w/v) during mild alkaline extraction (7.5% NaOH (w/w), at 90 °C for 90 min) led to an increase of 53% for the delignification [59]. Optimal conditions for delignification (up to 60%) and dissolution of hemicelluloses (up to 80%) on wheat bran were obtained with 1.5% (w/v) sodium hydroxide and a S:L ratio of 1:40 (w/v) at 20 °C for 144 h [34]. Similar yields of solubilization were achieved by increasing the temperature to 80 °C and decreasing the duration of the treatment to 6 h. The same phenomenon was observed on SCB with alkaline fractionation using calcium hydroxide; high temperatures (85–135 °C) coupled with short treatment duration (1–3 h) gave similar yields of glucose at the following saccharification step, as fractionation with lower temperatures (50–65 °C) and a longer treatment duration (24 h) [47]. During the last decade, methodical optimization of these parameters through response surface methodology to maximize the yield of monomeric sugars, after alkaline pretreatment and enzymatic hydrolysis, was developed [60–63]. Overall, independently from the studied lignocellulosic biomass, the results using statistical optimization were in the same range of values for the four different parameters to maximize the yields of solubilization, like those found by previous studies (Table 1). However, the coupling of optimization through response surface methodology with cost analysis was explored only recently. For instance, Łukajtis et al. (2018), showed that the best parameters to optimize glucose yield (alkaline concentration, time, duration, biomass particle size) following Box-Behnken design on energetic willow were 7% NaOH (w/v), 65 °C and 6 h and a particle size of 0.25 mm [63]. The biomass particle size is another parameter significantly influencing the efficiency of the pretreatment, but since reducing the size of the biomass is very costly compared to changing the value of other parameters (e.g., increasing the temperature), it is not cost-effective to grind biomass to less than 2 mm [63]. With low S:L ratios (1:40 – 1:30 (w/v)), low temperatures (20–60 °C) and short treatment durations (2–6 h), increasing the sodium hydroxide concentration increased the dissolution rate for lignin and hemicelluloses, at first rapidly until a concentration of about 1.5% (w/v), then a further increase in concentration led to a smaller increase in dissolution rates [34,54]. During mild alkaline treatment, the dissolution rates for lignin and hemicelluloses vs. time are different depending on the lignocellulosic feedstock. The dissolution rate for hemicelluloses was quicker than the dissolution rate for lignin on wheat bran [34], but the reverse phenomenon was observed on sweet sorghum bagasse [32].

The alkaline conditions for fractionation have an impact on the size and the functional groups of the dissolved lignin oligomers [22]. Soft alkaline extraction conditions helped in producing large oligomers [24,39]. These polymers could be interesting for some applications where a long chain of lignin or hemicelluloses are looked for, as non-exhaustively in coatings, surfactants, adhesives and cosmetics applications. [19,22]. Along with lignin oligomers and hemicelluloses, phenolic monomers are released during mild alkaline treatment of lignocellulosic biomass. The content and nature of the phenolic monomers vary from one biomass to another, but the major phenolic monomers released are *p*-CA and FA with about 1 g for each compound extracted from 100 g of biomass [27,64,65].

The yields of solubilization for lignin and hemicelluloses from lignocellulosic biomass after mild alkaline fractionation with sodium hydroxide can be increased with the addition of different chemicals during the fractionation [45]. For instance, with a peroxide-alkaline fractionation (4% H<sub>2</sub>O<sub>2</sub> (w/v), 0.25% MgSO<sub>4</sub> (w/v), pH of 11.6 adjusted with NaOH, S:L ratio of 1:20 (w/v), 40 °C, 10 h) on SCB, the yields of solubilization for lignin and hemicelluloses reached 88% and 95%, respectively [66]. As for the other fractionation conditions reported, a change in the value of one parameter influenced the yields of solubilization for lignin and hemicelluloses. For instance, a decrease in the S:L ratio from 1:10 to 1:30 (w/v) increased the removal rates for lignin and hemicelluloses from 66% to 72% and from 79 to 85%, respectively [54]. However, the high cost of hydrogen peroxide and the requirement for reaction vessels that can withstand oxidative conditions are important drawbacks regarding the use of hydrogen peroxide [45].

Overall, several studies showed that mild alkaline treatments combined with enzymatic saccharification led to higher yields for monomeric sugars, than acid treatments alone or followed by enzymatic saccharification, and therefore to higher yields for ethanol in the downstream fermentation step. For instance, on agave bagasse and sugarcane bagasse a dilute acid treatment (1.2% HCl (v/v), S:L ratio of 1:15, 121 °C, 4 h) yielded 10 and 37% reducing sugars, respectively, whereas an alkaline treatment (2% NaOH (w/v), S:L ratio of 1:5, 121 °C, 4 h) followed by enzymatic saccharification yielded about 50% reducing sugars for both materials [67]. On sorghum bagasse, alkaline treatment (2% NaOH (w/v), S:L ratio of 1:20, 25 °C, 2 h) followed by enzymatic saccharification was more efficient to produce monomeric sugars than acid fractionation (0.5% H<sub>2</sub>SO<sub>4</sub> (w/w), S:L ratio of 1:20, 170 °C, 0.5 h) with yield of 92% and 70%, respectively [32]. Increasing sodium hydroxide concentration to 10% (w/v) even led to a yield of 99%. On rice hull, with an alkaline peroxide treatment (7.5% H<sub>2</sub>O<sub>2</sub> (v/v), pH 11.5 adjusted with NaOH, S:L ratio of 1:6.7, 35 °C, 24 h) followed by enzymatic saccharification, a yield for the monomeric sugars of 90% was reached [18], whereas dilute acid treatment (1% H<sub>2</sub>SO<sub>4</sub> (v/v), S:L ratio of 1:6.7, 121 °C, 1 h) followed by enzymatic saccharification under the same conditions yielded 60% monomeric sugars [68].

#### 2.4. Industrial Applications

In the frame of second-generation ethanol production, mild alkaline treatment was developed for the fractionation step by some companies, such as SuGanit. The company set a sequential treatment of lignocellulosic biomass with ionic liquid fractionation followed by mild alkaline treatment to generate cellulosic and lignin fractions [69]. This is a two-step process: first lignocellulosic biomasses are contacted with an ionic liquid for about 2 h to swell the lignocellulosic biomass without dissolution of the lignocellulosic biomass in the ionic liquid; and secondly, the swelled lignocellulosic biomass is treated under mild alkaline conditions with 5% (w/v) NaOH for about 1 h at about 75 °C to separate the lignin from the cellulose and hemicelluloses. The yields of monomeric sugars after enzymatic saccharification reached about 95% and 65% for glucose and xylose, respectively.

Mild alkaline fractionation can also be adapted to existing industrial pulp and paper processes. For instance, mild alkaline pre-extraction (10% NaOH (w/v), 90 °C, 1 h) before soda-anthraquinone pulping (anthraquinone is a catalyst sometimes added to the soda pulping process) largely preserved the pulp yield, while a substantial amount of xylan was pre-extracted under polymeric form (about 15 kDa), allowing specific valorization where long oligomeric chains are required [70].

### 3. Purification Routes Applied to Alkaline Hydrolysates

Mild alkaline fractionation applied to lignocellulosic biomass coupled with enzymatic saccharification and fermentation of the solid residue containing cellulose is more efficient than acid fractionation for the production of cellulosic ethanol. However, the cost of bases, such as sodium hydroxide, is high, making mild alkaline fractionation uncompetitive for large scale plants [71]. Mild alkaline hydrolysates are composed of lignin oligomers, hemicelluloses oligomers, phenolic monomers, acetic acid, and salts. Some of these compounds present high added value, but their purification is required to make their valorization possible and improve the economic efficiency of biorefineries using a mild alkaline fractionation process [32]. The purification usually focuses on hemicelluloses oligomers or lignin oligomers and consists of removing inorganic salts (sodium hydroxide used in the process and potentially solubilized silica from the biomass), acetate and phenolic monomers. However, purifying and valorizing, inorganic salts (to reuse them in the process), acetate or phenolic monomers can bring additional economic value to the process. Purification can also occur within a given pool of molecules, for instance, lignin oligomers can be further separated depending on their size or their functional groups for various application [22]. Research on the separation of the components of mild alkaline hydrolysates is very recent. Since their composition is similar to those of lignocellulosic alkaline hydrolysates obtained in severe conditions, the purification routes described here include both types of alkaline hydrolysates.

### 3.1. Flocculation

Lignin can be flocculated from alkaline hydrolysates obtained with the use of sodium hydroxide, but not from hydrolysates obtained with the use of calcium hydroxide [29]. With the addition of calcium chloride in sodium hydroxide hydrolysate, calcium ions can replace the sodium ions to bridge the negative charges of lignin components and induce the flocculation of lignin. The best lignin recovery (23% of the dry solid content of the hydrolysate) by flocculation was obtained with a loading of calcium hydroxide:hydrolysate of 1:11 (w/v).

### 3.2. Precipitation

#### 3.2.1. Acidification

In alkaline extracts, i.e., high pH solutions, phenol, carboxyl, and hydroxyl groups within the lignin are deprotonated making them negatively charged. Acidification of lignocellulosic alkaline extract leads to the precipitation of lignin. Indeed, the acidic environment tends to neutralize through protonation the anionic charges of lignin [72,73]. The neutralization of the charge, prevent repulsion from the different lignin molecules and allow the interaction between aromatic moieties of the different compounds leading to their precipitation. When the pH is decreased, high molecular weight lignin molecules precipitate first, smaller molecules precipitate at lower pHs [72,74,75].

This process has been widely studied in the pulp and paper industry and many patents are reported to specify the conditions of lignin precipitation from black liquor [76–79]. By extension, lignin precipitation via acidification was applied to lignocellulosic mild alkaline extract [80].

Sulfuric acid or carbon dioxide are usually used for acidification of Kraft black liquor [8,15]. Other inorganic acids have also been studied for the precipitation of lignin from lignocellulosic alkaline hydrolysates, such as phosphoric, hydrochloric or nitric acid [80]. Phosphoric acid gave the higher yield of precipitation for the lignin but its price and the larger volume required for the pH adjustment compare to sulfuric acid, is making sulfuric acid more efficient [80]. The precipitation is usually run at a temperature ranging from 60 to 85 °C, then the precipitate is recovered by filtration (filter press industrially), centrifugation or decantation [8,76]. The temperature influences the yield of the precipitate, temperatures higher than 50 °C are required to reach the highest yields [80]. The temperature also affects the size of lignin flocks, which in turn affects the precipitate/supernatant separation by filtration, good filterability occurring above 70 °C [81]. However, at temperatures above 85 °C, the acid precipitated lignin become soft and tacky and large clumps of lignin bound together making the mixing difficult [15]. For the acidification, sulfuric acid can be added at high concentration (about 72% (v/v)) [59] or diluted (about 2% (v/v)) [80,82].

When the black liquor (pH 13.8) from oil palm empty fruit bunch was precipitated with phosphoric acid, pH 2 was the optimum for the highest recovery of lignin, further acidification did not improve the recovery [41]. On centrifuged black liquor from wheat straw, an optimal pH of 3.5 was determined for the acidification of the black liquor based on the yield of precipitation for the lignin (80%) and on the consumption of sulfuric acid (100 mEq/L of black liquor) [83]. Differential acid precipitation of lignin on alkaline hydrolysate (7.5% NaOH (w/w), 90 °C, 90 min) from apple tree pruning waste showed that 20% of the lignin was recovered with acidification until pH 6–5, then about 80% of the lignin was recovered when the pH was further adjusted to 2 [59]. When sulfuric acid was added at 80 °C to softwood black liquor until the pH was decreased to 9, 67–77% of the lignin was recovered and when pH was decreased to 3, up to 93–95% of the lignin was recovered with the sodium content of the precipitate decreasing with decreasing pH [15]. Another study on softwood black liquor with sequential precipitation at pH 10.5, 5 and 2.5, showed that majority of the lignin (74–89%) was precipitated at pH 5, whereas a further decrease in pH to 2.5 increased the precipitation yield only by 4–5% [75].

Acid precipitated lignin usually showed very high ash contents (up to 55% (w/w) of precipitate) [59], which required extensive washing with dilute sulfuric acid and water to eliminate the salts [8,15]. Sugars are also recovered in the precipitate, thus decreasing the purity of the lignin. SCB black liquor obtained from the soda-anthraquinone pulping process, precipitated by sulfuric acid until pH

3 at 65 °C, and then, washed with hot water (50 °C) led to a purity of lignin of 70%, with the 30% remaining being carbohydrates [50]. To increase lignin purity, some studies proposed a two-step precipitation process as carbohydrates, silica and other inorganic salts tend to precipitate together with lignin at pH about 7 to 5, then lignin with higher purity was precipitated between pH 5 and 3 [80,82]. However, another study on softwood black liquor, with sequential precipitation at pH of 10.5, 5 and 2.5 showed opposite results since lignin precipitated at lower pH had a lower purity due to more co-precipitated sugars [75]. An extensive wash of the lignin did not remove the hemicellulosic sugars probably due to the polymeric form of xylan and its linear structure making it insoluble in water [75].

Metso corporation published several patents about lignin separation from black liquor by acid precipitation, among which, WO 2006/031175 [76] disclosed the basic two-stage acidic process and WO2006/038863 [78] disclosed an improvement of the process where sulfate ions are added to the process. pH was adjusted to 1-3.5 with carbon dioxide or sulfuric acid, then a press filter was used to separate the lignin precipitate from the black liquor, and the cake was rinsed with the acid solution (pH from 1 to 3.5) [76]. The addition of sulfate ions (e.g., in the form of sodium sulfate) into the black liquor before precipitation, enabled the increase of lignin yield [78]. For instance, the addition of sodium sulfate to the black liquor with a ratio of 1:20 (w/v), before acidification with carbon dioxide until pH 9.6 at 80 °C enabled to increase the lignin yield from 60.5 to 66.8%. Innventia, a Swedish company, is precipitating lignin from softwood Kraft black liquor (pH 13) by the addition of carbon dioxide or mineral acid at 80 °C until pH 8 only [8].

### 3.2.2. Ethanol Addition

Ethanol addition to lignocellulosic alkaline extract leads to the precipitation of the hemicelluloses, whereas the lignin remains dissolved. Ethanol is the solvent most commonly used, but other organic solvents have also been applied for the precipitation of hemicelluloses [38]. Hemicelluloses, like polysaccharides, contained many hydroxyl groups that form hydrogen bonds with the water molecules. When ethanol is added, it adheres to the polysaccharides through hydrophobic interactions and rearranges hydrogen bonds between water and ethanol, resulting in the possibility for the polysaccharide chains to set hydrogen bonds between each other, thus inducing their precipitation [84,85].

Ethanol concentration in the hydrolysate after ethanol addition is the operating condition having the most influence on the precipitation yield for the hemicelluloses [86]. Increasing the final concentration of ethanol led to higher yields of precipitated hemicelluloses; yields of hemicelluloses ranged from 70–80% with an ethanol concentration of 70% (v/v) or above, and 80 to 95% with concentration of ethanol at 80% (v/v) or above, depending on the initial biomass and on the conditions of extraction of the hemicelluloses [86–89]. The structural features of polysaccharides (e.g., nature of the sugar or ramifications) also impacted the precipitation behavior and the yields. Higher arabinose/xylose ratios were obtained in the precipitated hemicelluloses, with increasing concentration of ethanol [54,89]. In hydrolysates with ethanol at 80% (v/v), the hemicelluloses still dissolved are short-chained polysaccharides [38]. It was confirmed using a synthetic solution of glucans; as the molecular size of the glucans increased from 1 kDa to 270 kDa, the precipitate yield increased from 10% to 100% in ethanol 80% (v/v) [86]. Finally, addition of ethanol 95% (v/v) at room temperature with constant stirring for a few minutes to an hour or initial stirring associated with sedimentation at lower temperatures (4–6 °C) for a few hours to 12 hours are the main process described, then the precipitated hemicelluloses are recovered by centrifugation or by filtration on 0.45 µm nylon [38,65,89,90].

However, ethanol addition to mild alkaline hydrolysates, leads to the co-precipitation of lignin, impacting the purity of the precipitated hemicelluloses and the recovery of lignin in the supernatant. For instance, the precipitation of hemicelluloses from a wheat straw mild alkaline extract by the addition of ethanol, with an ethanol:extract ratio of 4:1 (v/v), led to the recovery of 38% of the lignin in the precipitated hemicellulosic fraction [37].

Depending on which fraction is the most important at the outlet of the purification step, the process can be adjusted to favor the purity or recovery of lignin or hemicelluloses. Indeed, in some processes, pH was first adjusted to 5–7, then 3–4 volumes of ethanol were added to precipitate the hemicelluloses, and finally, the ethanol was evaporated and the pH was lowered down to 1.5–2 to precipitate the lignin [37,41,91,92]. This process applied on corn cob mild peroxide-alkaline hydrolysate led to 89% recovery for the hemicelluloses after the first precipitation step and 78% recovery for the lignin after the second precipitation step [91]. A pH adjustment to 5–7 with acid addition before ethanol addition increased the recovery of precipitated hemicelluloses but led to the co-precipitation of some lignin, and therefore decreased hemicelluloses purity and but increased the purity of the lignin fraction [92].

### 3.3. Adsorption

#### 3.3.1. Activated charcoal

Activated charcoal, also known as activated carbon, is usually used on lignocellulosic alkaline hydrolysates to adsorb high molecular weight lignin, whereas carbohydrates remained mainly unadsorbed, and phenolic monomers present different behaviors [93,94]. The adsorption of lignin onto activated charcoal is an endothermic and spontaneous process, and at least two layers of lignin can be adsorbed [95]. Adsorption of phenol molecules on activated charcoal is controlled by the dispersion force between the  $\pi$ -electrons in activated charcoal, under the form of carboxyls, lactones, aldehydes, and ketones groups among others, and those in phenolic molecules [96].

In the literature, the treatment of lignocellulosic alkaline extracts by activated charcoal was mainly carried out to pretreat the extracts before further purification by adsorption on anion exchange resins [25,93,94]. Adsorption of lignin on activated charcoal before adsorption on resin enabled good recycling of the anionic resin [93]. The targeted molecules were either adsorbed on the activated charcoal like FA [25], or the molecules considered impurities were adsorbed whereas the targeted molecules were not, like *p*-CA [93], and hemicelluloses [94]. For instance, when activated charcoal was added to an SCB alkaline extract, *p*-CA was weakly adsorbed (14%) and could be separated from lignin and FA that were adsorbed at 80% [93].

The adsorption of FA from a neutralized SCB alkaline extract was strongly influenced by the ratio of activated charcoal:extract (w/v); indeed a ratio of 1:100 enabled the adsorption of 48% FA, whereas a ratio of 3:100 enabled the fixation of 98% FA [25]. Sequential desorption can help in purifying FA, for instance, water at 90 °C or acetic ether desorbed 60% of the color and 10% of the FA, then sodium hydroxide at 2% (w/v) desorbed 85% of the adsorbed FA and 40% of the total desorbed color [25].

#### 3.3.2. Resin

Adsorption of phenol from a synthetic solution is affected by the pH of the solution and the resin used [97]. A non-functionalized resin with a polystyrene–divinylbenzene (PS-DVB) matrix, reported maximum loading capacity under acidic conditions, where undissociated phenol form predominates. In contrast, an anion exchange resin, also with a PS-DVB matrix, reported a higher loading capacity under optimized conditions (91% of phenol adsorbed at equilibrium) than a non-functionalized resin (65% of phenol adsorbed at equilibrium), but under alkaline conditions, where phenoxide form predominated, and thus, a combined effect of both adsorption and ion exchange mechanisms occurred. From the same study, desorption of phenol with sodium hydroxide on the non-functionalized resin was inefficient, but a solution of methanol:water 1:1 (v/v) yielded a 90% recovery. On the anion exchange resin, desorption with sodium chloride at 4% (w/v) at pH 12 yielded a recovery of 90% for phenol [97].

The adsorption of FA from a mild alkaline maize bran extract was tested on a polyvinyl pyrrolidone resin, involving hydrogen binding with phenolic and carboxyl groups, and on non-functionalized PS-DVB resins, involving hydrophobic interaction with the aromatic ring of FA [27]. The later resins led to a higher binding capacity. After desorption from the non-functionalized PS-

DVB resin, Amberlite XAD-16, with an ethanol:NH<sub>3</sub> solution at a ratio of 1000:1 (v/v), the purity of FA was 51% and its recovery was 58%. Purification by adsorption on the resin was also performed on *p*-CA from a mild alkaline sugarcane bagasse extract [26]. 85% of the *p*-CA was adsorbed on a strong base anion exchange PS-DVB resin packed in a column. Optimization on the composition of the desorption solution showed that the best result was obtained with water:ethanol:HCl solution with a ratio of 36:60:4 (v/v/v), desorption of 99% of the adsorbed phenolic acids was achieved and the purity of *p*-CA was 90%.

For the purification of hemicelluloses from a wheat bran mild alkaline extract, macroporous-type strong base anion exchange resin with an acrylic DVB matrix exhibited better adsorption capacity for the color (lightness of the purified hemicelluloses,  $L^* = 71$ , black is 0, white is 100) than a macroporous-type strong base anion exchange resin with a PS-DVB matrix ( $L^* = 69$ ) and a macroporous-type non-functionalized resin with an aliphatic-DVB matrix ( $L^* = 61$ ) [92]. Ion exchange resins were also used to purify hemicelluloses by adsorbing organic acids (mainly acetic acid); sulfuric acid was suggested for the desorption of acetic acid [94].

### 3.4. Low-Pressure Chromatography

Some chromatographic processes using ion exchange resins on lignocellulosic alkaline extracts have been reported. A pine soda-anthraquinone hydrolysate was acidified until pH 1.2 to precipitate the lignin, then complete separation of aliphatic carboxylic acids and sodium sulfate from the acidified hydrolysate was achieved using chromatography on strong acid cation (SAC) exchange resin and water as eluent [98]. More recently, chromatography on SAC exchange resins with PS-DVB matrix using water as eluent applied on sugarcane bagasse mild alkaline extract has been reported [30]. Depending on the size of the pores of the resin, different separations were obtained by pulse chromatography. On a gel-type resin (pores of about 3 nm), phenolic monomers with a carboxyl group (e.g., FA and *p*-CA) were recovered at 75% in a fast eluted fraction and phenolic monomers without carboxyl group (e.g., vanillin) were recovered in a fraction eluted later at 75%. On a macroporous-type resin (pores of about 20–50 nm), a fraction containing the largest oligomers of lignin (14% recovery) and hemicelluloses (30% recovery) was obtained free from salts, phenolic monomers, and acetic acid.

### 3.5. Cross-flow Membrane Filtration

Membrane filtration has been used in the pulp and paper industry to concentrate black liquor (hemicelluloses and lignin) and to remove some of the salts [99,100]. Hemicelluloses have a low heating value and can be used for other valuable applications, so membrane filtration was studied to concentrate and purify hemicelluloses from black liquor and by extension from other lignocellulosic alkaline extracts [70,101–103]. Lignin has a higher heating value than hemicelluloses, but it can be the starting point of a chemical phenolic platform, therefore their concentration and purification from lignocellulosic strong alkaline extract have also been widely studied [99,104,105].

Ultrafiltration, for instance on ceramic membranes (with MWCO values of 0.8  $\mu\text{m}$ , 0.2  $\mu\text{m}$ , and 50 nm) at 30–60 °C, can be used on black liquors from raw materials with high content in silica (e.g., rice straw) to retain lignin (75%) and silicate (80%), whereas cooking chemicals are recovered in the permeate [106]. Ultrafiltration has been studied to replace the traditional acid precipitation process to recover Kraft lignin, as it presents the advantage of not altering the pH or the temperature of the black liquor [15,105]. Kraft lignin obtained via ultrafiltration were more contaminated by ash than lignin obtained via acidification [15]. However, lignin obtained from the soda pulping process (7.5% NaOH (w/w), 90 min, 90 °C) of *Miscanthus sinensis* and then passed through 5, 10 or 15 kDa membranes were less contaminated by hemicelluloses than acid precipitated lignin [103]. Ultrafiltration has been used at one Scandinavian mill to produce Karatex®, a Kraft lignin used as an extender for phenol-formaldehyde resin in the manufacture of plywood [15]. Both organic and ceramic membranes can retain lignin, but ceramic membranes can be used at higher temperatures, and therefore higher flux can be achieved, but a side effect is the lower retention of lignin. Filtration



of black liquors at 145 °C and pH of 13–14 at 4 bar on 15 kDa and 5 kDa ceramic membranes led to fluxes of 100 and 50 L/h/m<sup>2</sup> but low retention of lignin with 20% and 30%, respectively [104].

Retention of hemicelluloses from black liquor, whereas lignin was recovered in the permeate, has also been demonstrated by membrane filtration, and the most efficient membranes had usually an MWCO between 1 and 15 kDa [15,102,104,105,107]. However, the MWCO of the membranes has to be adapted for every alkaline lignocellulosic extract as the variability of raw materials and extraction conditions lead to different sizes and configuration of hemicelluloses and lignin oligomers. For instance, the concentration of black liquor from hardwood by a volume reduction factor (VRF) of 3 carried out on a 15 kDa ceramic membrane at 1 bar, 5.0 m/s and 90 °C resulted in an average flux of 33 L/h/m<sup>2</sup>, in the retention of 15–25% of the lignin and in the retention of 75–95% of the hemicelluloses, whereas cooking chemicals (sodium hydroxide and sodium sulfide) were not retained [105]. On the contrary, lignin and hemicelluloses were not separated from a mild alkaline extract of sugarcane bagasse by filtration on 1 to 50 kDa organic and inorganic membranes, both compounds being retained over 90% at 20°C on a 10 kDa polysulfone hollow fiber membrane whereas inorganic salts, acetic acid and phenolic monomers passed through the membrane [108]. Membranes with even smaller MWCO, for instance about 200–400 Da, can retain more than 97% of the hemicelluloses from a wood steam hydrolysate, but are not suitable for purifying hemicelluloses extracts as small molecules present high retention rate as well, i.e., acetic acid (70%), furfural (70%) or HMF (85%) [109].

Membrane filtration processes are mainly operated in concentration mode, where the volume reduction factor (VRF) corresponds to the ratio of the initial volume of solution to filter (feed) on the volume of the retentate, and in diafiltration mode, where a given volume of solvent (usually water) is added continuously or discontinuously at the same rate as the removal rate of permeate. Concentration by a VRF of 3.4 of *Eucalyptus globulus* cold caustic extract on flat-sheet polyethersulfone membrane of 10 kDa at 40 °C with a cross-flow velocity in the range of 1 to 3 L/min and a transmembrane pressure (TMP) in the range of 2 to 8 bar, led to an increase in concentration of xylans up to 67.4 g/L from 22.0 g/L, and at the same time, their concentration in permeate was lower than 1 g/L [70]. The concentration of sodium hydroxide was maintained in the retentate around 80 g/L, so the xylans/NaOH ratio was increased from 0.28 to 0.84. Purification can be improved if the filtration is carried out in diafiltration mode [15], 90% of the impurities can be removed when 2.3 diavolumes of water are used before concentration by VRF 2 of softwood black liquor on 25 kDa polysulfone membrane at 60 °C. The permeate flux for dialysis and post-concentration was 90 and 70 L/h/m<sup>2</sup>, respectively, with a global lignin recovery of 54%.

Caustic silicate also known as waterglass can be found at high levels in black liquors from raw materials with high content in silica, such as herbaceous biomass, and recovered in the permeate and further valorize for application such as detergents, paper, water treatment, and construction materials [110].

The initial water flux of the membrane, used to check the efficiency of a cleaning procedure after the filtration of an alkaline hydrolysate, should be measured after the rinsing of the new membrane with an alkaline solution (e.g., sodium hydroxide). Indeed, an alkaline solution increases the hydrophilicity and the flux, by the swelling of the membrane [70,111]. Cleaning is usually performed at low TMP in order to avoid compression of a possible cake formed at the membrane surface [104]. Care is needed when membranes are cleaned after the treatment of Kraft cooking liquors because the solubility of lignin decreases when the pH decreases. This means that if the water is used for rinsing, lignin could precipitate and foul the membranes. If the permeate is not of interest, a cleaning method based on the use of collected permeate as the first rinsing solution, followed by synthetic alkaline solution cleaning was successfully tested [104].

### 3.6. Electrodialysis

Electrodialysis was studied to acidify black liquor to recover lignin through precipitation and at the same time to recycle sodium hydroxide [100]. Hydrogen ions were produced in the black liquor stream leading to a decrease in pH and thus the precipitation of the lignin, and they also replaced the

sodium ions that migrated into the sodium hydroxide stream. The implementation of electro dialysis led to a lower chemical consumption than the traditional acidification method previously described.

### *3.7. Combination of different purification techniques*

The previously detailed purification technologies present different modes of action and limits that are summarized in Table 2. The cost and environmental impact of the purification techniques were not included. The cost analysis is inherently complex and it needs to take into account local factors (e.g., the biomass used or the local cost of utilities). The environmental impact can be directly or indirectly converted as a cost, for instance, using an organic solvent as desorption solvent after adsorbing molecules on activated charcoal or resin is often considered as non-environmental friendly, but this can be converted into the cost of recycling the solvent through distillation to make the process environmentally friendly.

**Table 2.** Purification technologies used on the lignocellulosic alkaline extract.

Purification technologies	Conditions	Mode of action	Limits
Flocculation	Addition of divalent cation (e.g., Ca <sup>2+</sup> , Mg <sup>2+</sup> ) Batch process	Flocculation of lignin	Limited to sodium hydroxide extracts, low recovery of lignin
Precipitation by acid addition	Sulfuric acid is mainly used (2% v/v to 72% v/v) pH adjusted to 2–5 Temperature: 60–85 °C Batch process	Precipitation of lignin (80–95%)	Lignin purity: co-precipitation of sugars, extensive washing of the precipitated lignin to remove the salts
Precipitation by ethanol addition	Final ethanol concentration in the hydrolysate: 80–95% v/v Room temperature Batch process	Precipitation of hemicelluloses (80–95%)	Hemicelluloses purity: co-precipitation of lignin High volume of solvent is required (recycling is necessary)
Adsorption on AC	AC:hydrolysate ratio 3:100 (w/v) Batch process	Adsorption of lignin and ferulic acid (80–98%) Low adsorption of hemicelluloses and <i>p</i> -CA	Sodium hydroxide 2% (w/v) is required for the desorption
Adsorption on non-functionalized resin	pH adjustment (acidification) Resin packed in a column Continuous process can potentially be applied	Adsorption of phenolic compounds (about 60%)	Low adsorption capacity Desorption with organic solvent
Adsorption on anion-exchange resin	No pH adjustment Resin packed in a column Continuous process can potentially be applied	Adsorption of phenolic compounds (70–90%) Desorption up to 99% (e.g., for <i>p</i> -CA)	Desorption with sodium chloride (4%) or organic solvent

Low-pressure chromatography	<p>Macroporous cation-exchange resin</p> <p>Water as eluent</p> <p>Continuous process can potentially be applied</p>	<p>High molecular weight lignin and hemicelluloses obtained in a fast eluted fraction free of salts, phenolic monomers, and acetic acid</p>	<p>Low lignin and hemicelluloses recovery (15% and 30%, respectively)</p>
Membrane filtration	<p>High temperatures (about 100 °C) on ceramic membranes leading to high fluxes</p> <p>Lower temperatures (20–40°C) on organic membranes</p> <p>UF and NF membranes (MWCO from 100 Da to 30 kDa)</p> <p>Continuous process can potentially be applied</p>	<p>Lignin and hemicelluloses recovered in the retentate to different extent (up to 95%)</p> <p>Phenolic monomers, acetic acid, salts collected in the permeate</p>	<p>Usually no separation of lignin and hemicelluloses (but it depends on the biomass and the alkaline conditions of the fractionation)</p>
Electrodialysis	<p>Current density: 330 A/m<sup>2</sup></p> <p>Temperature: 35 °C</p> <p>Batch process so far</p>	<p>Precipitation of lignin via acidification and recovery of sodium ions</p>	<p>Studies are missing</p>

These technologies have sometimes been combined in integrated purification processes of lignocellulosic alkaline extracts to overcome their individual limits and reach a higher level of purification. Examples of integrated processes are presented below.

In the study of Zhao et al. (2011), the purification of *p*-CA from SCB mild alkaline extract involved ultrafiltration, adsorption on activated charcoal, adsorption on anion exchange resin and finally crystallization [93]. Ultrafiltration on a 3 kDa hollow fiber membrane produced a permeate free of hemicelluloses and lignin oligomers, but still containing phenolic monomers responsible for a brown color and considered impurities. The addition of activated charcoal in the permeate with a ratio of 3:100 (w/v), was the optimal ratio to remove the color (78% of removal), whereas 14% of the *p*-CA was adsorbed. The removal of these phenolic compounds improved the adsorption and desorption performance of anion exchange resins after several adsorption-desorption cycles. *p*-CA was crystallized from the desorption solution (water:ethanol:HCl at a ratio of 36:60:4 (v/v/v)), by evaporating the ethanol and the resulting crystal had a purity of 95.2% for *p*-CA. Overall, 8 g of *p*-CA was formed from 1 kg of SCB.

Another method was developed by Buranov and Mazza (2009) to purify FA and hemicelluloses from lignocellulosic mild alkaline hydrolysates, using a combination of neutralization, ethanol precipitation, ultrafiltration and a second ethanol precipitation step [65]. After neutralization of the alkaline extract and addition of ethanol 95% (v/v) to reach an extract:ethanol ratio of 65:35 (v/v), wax and glucomannans were precipitated. They were separated by centrifugation and the supernatant was ultrafiltered on a 30 kDa PS membrane resulting in the separation of high polymeric hemicelluloses in the retentate from oligomeric hemicelluloses and FA in the permeate. Oligomeric hemicelluloses were precipitated from the permeate by the addition of ethanol and FA was recovered after evaporation of the ethanol. However, no purity and recovery values for hemicelluloses and FA were reported.

An integrated process to produce purified hemicelluloses from a wheat bran alkaline extract has been developed including ultrafiltration and adsorption steps [90]. The alkaline extract was first separated from the solid residue of the extraction by centrifuge filtration with a 1  $\mu$ m mesh. A 30 kDa polyethersulfone hollow fiber membrane was used in concentration mode with a VRF of 1.8 and led to the removal of 65% of the initial salts. The retentate containing hemicelluloses was treated on an anion exchange resin to remove color compounds by adsorption on the resin, 8% of the xylan being lost by adsorption.

#### 4. Conclusion

Among the variety of fractionation processes that can be applied to lignocellulosic biomass, mild alkaline fractionation presents interesting advantages. Both hemicelluloses and lignin are solubilized under the oligomeric form, which opens up different valorization pathways, than monomeric sugars obtained in the acid fractionation process for example. The rate of solubilization depends on the severity of the conditions employed and on the lignocellulosic biomass treated, but 60 to 80% solubilization for lignin and hemicelluloses can reasonably be achieved with mild alkaline conditions. Besides, better enzymatic saccharification of cellulose, contained in the solid residue, was achieved compared to the traditional acid fractionation process.

Lignocellulosic mild alkaline extracts contain pools of molecules similar to those obtained under severe alkaline conditions (black liquors), made of oligomeric hemicelluloses, oligomeric lignin, phenolic monomers, acetic acid, and inorganic salts. So far, purification strategies mainly rely on precipitation of lignin by the addition of acid, adsorption of phenolic molecules by AC or resins and membrane filtration to separate oligomers of lignin and hemicelluloses from inorganic salts, acetic acid and phenolic monomers. Low-pressure chromatography with the use of polymeric resins as sorbents and water as eluent is an environmentally friendly technique that recently showed interesting results that could be further explored for the purification of lignocellulosic mild alkaline extracts. New purification technologies could emerge but future works might focus on associating the technologies presented in this paper to produce purified pools of molecules ready for further transformation and valorization.

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