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Supplementary information

POsitive Multistate Protein design

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1 Proof of theorem 1

This Theorem is in two parts. The first part says that positive MSD is NP-complete, the second part says that negative MSD is NPNP-complete. We start with the first part, proving that positive MSD is only NP-complete:

Proof. The problem is in NP because it is possible to verify a positive instance given a short certifi- $\text{cate defined by a sequence } \mathbf{a} \in \prod_i S_i \text{ and a set of conformation sequences } \mathbf{c}_j = \arg \min_{\mathbf{c} \in \prod_i R_{i,a[i]}^j} E_j(\mathbf{a}, \mathbf{c})$ for sequence **a** on each of the backbone $B_j \in \mathbf{B}^+$. It suffices to compute the joint fitness of all states and check if it is lower than the threshold k . It is complete for NP since SSD is just the case where $|\mathbf{B}^+| = 1$ and is NP-complete (Pierce and Winfree, 2002). \Box

The second requires to show that the general \bigoplus -MSD problem is $\mathsf{NP}^{\mathsf{NP}}$ -complete.

Proof. We must prove that:

- it belongs to the class $\mathsf{NP}^{\mathsf{NP}}$;
- any problem in NP^{NP} reduces to \bigoplus -MSD in polynomial time.

Let us introduce the following NPNP-complete problem, called ∃∀3DNF :

Given two sets of propositional variables $\mathbf{p} = (p_1, \ldots, p_n)$ and $\mathbf{q} = (q_1, \ldots, q_m)$, and a boolean formula $H(\mathbf{p}, \mathbf{q})$ over these variables, in disjunctive normal form (DNF), with each cube conjunction of three literals, is there a valuation ν_p of p, such that for any valuation ν_q of the variables of $\mathbf{q}, \nu_{\mathbf{q}}\nu_{\mathbf{p}}(H(\mathbf{p}, \mathbf{q}))$ is true?

Assuming we had a NP-oracle, that could solve any instance of SSD, it would be possible to verify a positive instance of \bigoplus -MSD defined by a sequence $\mathbf{a} \in \prod_i S_i$, by calling the oracle to compute the minimum conformation energy $E_j(\mathbf{a}) = \min_{\mathbf{c} \in \prod_i C_{i,\mathbf{a}[i]}^j} E_j(\mathbf{a}, \mathbf{c})$ on each backbone $B_j \in \mathbf{B}^+ \cup \mathbf{B}^$ and combining these energies to check that

$$
\left(\bigoplus_{B_j\in \mathbf{B}^+}\min_{\mathbf{c}\in \prod_i C_{i,\mathbf{a}[i]}^j}E_j(\mathbf{a},\mathbf{c})\right)-\left(\bigoplus_{B_j\in \mathbf{B}^-}\min_{\mathbf{c}\in \prod_i C_{i,\mathbf{a}[i]}^j}E_j(\mathbf{a},\mathbf{c})\right)\leq k
$$

Let us reduce $\exists \forall 3DNF$ to \oplus -MSD. Let $\mathbf{p} = (p_1, \ldots, p_n), \mathbf{q} = (q_1, \ldots, q_m), H(\mathbf{p}, \mathbf{q})$ be a ∃∀3DNF instance, where $H(\mathbf{p}, \mathbf{q}) = C_1 \vee \cdots \vee C_k$, and for each $i \in [[1, k]], l_i^1, l_i^2$ and l_i^3 are the literals of C_i . Let us construct an instance of \bigoplus -MSD with $n + m + k$ variables, represented as a CFN:

- For each variable $p \in \mathbf{p}$, we introduce the variable V_p with domain $\{T, F\}$, representing the valuation of p;
- For each variable $q \in \mathbf{q}$, we introduce the variable V_q with domain $\{T, F\}$, representing the valuation of q ;
- For each cube C_i of $H(\mathbf{p}, \mathbf{q})$, we introduce the variable V_{C_i} with domain $\{l_i^1, l_i^2, l_i^3\}$.

For each cube C_i and each variable $x \in \mathbf{p} \cup \mathbf{q}$ that appears in C_i , the binary cost between V_{C_i} and V_x is defined as follows:

$$
E_{x,C_i} : (v, l_i) \in D^{V_x} \times D^{V_{C_i}} = \begin{cases} 1 & \text{if } v = T \text{ and } l_i = x \\ 1 & \text{if } v = F \text{ and } l_i = \neg x \\ 0 & \text{otherwise} \end{cases}
$$

If the literal l_i is satisfied by the valuation v of its variable x, the corresponding binary cost is 1.

The total energy is the sum of the binary terms: $E = \sum_{x,C_i} E_{x,C_i}$. Given an assignment of all variables, the energy is zero if and only if all binary terms are zero. This means that for each cube C_i , the variable V_{C_i} is assigned a literal l_i that is not satisfied by the valuation $\nu_p\nu_q$ defined by the assignment. Finally, we consider the ⊕-MSD instance with a single negative backbone:

Does there exist $\mathbf{a} \in \prod_i D^{V_{p_i}}$ such that:

$$
-\left(\min_{\mathbf{c}\in\prod_{i}D^{V_{C_i}}\times\prod_{j}D^{V_{q_j}}}E(\mathbf{a},\mathbf{c})\right)\leq -1
$$

If the $\exists\forall$ 3DNF instance is positive, there exists a valuation ν_p such that $\nu_pH(p,q)$ is a tautology. So, if **a** is the assignment of the variables $V_p, p \in \mathbf{p}$ that corresponds to ν_p , then for any assignment of $V_q, q \in \mathbf{q}$, there always exists a cube C_i , which all literals are satisfied, hence, the binary cost is greater than 1. This is equivalent to:

$$
\min_{\mathbf{c}\in\prod_i D^{V_{C_i}}\times\prod_j D^{V_{q_j}}} E(\mathbf{a}, \mathbf{c}) \geq 1
$$

So the ⊕-MSD instance is positive.

Conversely, if the \oplus -MSD instance is positive, there exists $\mathbf{a} \in \prod_i D^{V_{p_i}}$, corresponding to a valuation $\nu_{\mathbf{p}}$, such that the energy of any assignment of the remaining variables is greater than 1, meaning that $\nu_{\mathbf{p}}H(\mathbf{p},\mathbf{q})$ is a tautology.

Note that the \bigoplus -MSD instance consists of $n + m + k$ variables, each with domain size less than 3, and $k \times (n + m)$ binary energies, that can be described in a 3 \times 2-sized matrix, where each coefficient is straightforward to compute. Therefore, the reduction is valid and polynomial.

 \Box

2 Description of protein benchmark systems

Table S1: Description of protein systems: For each instance: system name, reference PDB id, crystallographic resolution or number of conformations for NMR structures, number of amino acid residues(N), SCOP stuctural classification(Class).

3 Solving positive min -MSD with iCFN

Recently, a guaranteed CFN-based algorithm for both positive and negative min-MSD was introduced as the iCFN method (Karimi and Shen, 2018). The authors did not use a reduction of the problem to CFN but proposed and implemented a new algorithm that exploits some of the underlying machinery of CFN algorithms (arc consistencies (Cooper et al., 2010)). The authors showed that their method outperforms the guaranteed COMETS software (Hallen and Donald, 2016). We therefore decided to compare $POMP^d$ against iCFN only.

The iCFN website (<https://shen-lab.github.io/software/iCFN/>) gives access to both the software in binary format and to multistate design energy matrices. We wrote a first python script to translate iCFN-formatted problems into the cfn.gz CFN format that can be directly read by the CFN solver toulbar2. iCFN uses double resolution floating point energies and the cfn.gz format relies on a fixed point representation of energies. We used a "6 digits after the decimal point" representation. We wrote a second python/PyRosetta script to generate energy matrices in iCFNformat directly from PyRosetta. These scripts make it possible to either apply $POMP^d$ to the positive min-MSD instances available on the iCFN website or to apply the iCFN algorithm on our benchmark set (for the min-MSD problem only as iCFN is not able to tackle Σ-MSD).

The iCFN command line used on the positive min-MSD problems was iCFN -just pos -ecutDEE=2 -ecutDEE_across=2 -ecutDEE_seq=10 -ecut_stability=5 -max_conf_seq=1 $-max \text{dis_seq}=9999 \text{ (files)}$ which asks for one solution of the min-MSD problem, with no limitation on the number of mutations in the produced design sequence. Except for the effect of the various pruning thresholds used by iCFN that reduce computing time, this precisely matches the min-MSD problem we solve using CFN reductions.

The iCFN multistate designs use a specific rotamer library that includes 2 extra protonated states for glutamate (Glu) and aspartate (Asp) as well as 3 protonated states for histidine (His). Because the 'cpd' branch of toulbar2 relies on the one letter code of amino acids, it is currently unable to process the corresponding energy matrices. We therefore used the 'master' branch of toulbar2 to solve these problems. The command line used in this case is simply -m -hbfs: which deactivates the default Hybrid Best First Search algorithm (Allouche et al., 2015) for a simple Depth-First Search and activates the median cost variable ordering heuristic (Allouche et al., 2014). All computations were done on a laptop equipped with 16GB of RAM and a Intel(R) Core(TM) i7-7600U CPU at 2.80GHz.

4 Clustering distance thresholds

NMR structures		X-ray structures	
PBD ID	$d\left(\AA\right)$	PBD ID	$d\left(\AA\right)$
5vso	2.0	1hyp	$0.5\,$
517 _b	0.4	1hoe	0.4
$5 \mathrm{mmc}$	2.0	1 mjc	0.6
1gb1	0.3	1 _{pga}	0.4
5t8a	0.2	2b8i	0.4
215t	1.0	4y2k	$0.4\,$
2n6r	0.3	1f94	$0.4\,$
1bmw	$1.2\,$	1who	$0.4\,$
5ix5	0.6	1tud	0.5
5x0s	1.5	1yu5	0.15
6ews	0.5	1 _{bxy}	0.5
6fwn	0.8	1 ^{cf}	0.3
6qf8	1.2	1guu	$\rm 0.3$
6jlt	$0.5\,$	1wvn	$0.5\,$
6hkc	$1.0\,$	1ucs	$\rm 0.3$

Table S2: User-defined clustering distance thresholds (d) for each protein structure.

5 Search space sizes for different design problems

Table S3: Multistate design problems: for each problem we give the average search space of four SSD problems, search space for the min-MSD problem, defined as the sum of all SSD search space sizes, the raw Σ-MSD search space size, defined by the product of the size of all variable domains and the search space size reduced by the SS constraints that impose that all states use the same sequence.

	PBD ID average \overline{SSD}	$min-MSD$	Σ -MSD	$\Sigma\text{-MSD}$ reduced
	search space		search space search space	search space
		NMR structures		
5vso	$1.3 \,\, 10^{181}$	$5.4~10^{181}$	$8.5\ 10^{723}$	$1.6 \,\, 10^{431}$
$517\mathrm{b}$	$2.6~10^{170}$	$1.0 10^{171}$	$6.4~10^{680}$	$3.1~10^{411}$
5 mmc	$5.6\ 10^{158}$	$2.3\ 10^{159}$	4.1 10634	$8.3 \,\, 10^{380}$
1gb1	$2.5\ 10^{137}$	$1.0 \ 10^{138}$	$5.9\ 10^{547}$	$1.6\ 10^{329}$
$5t8a$	$9.4~10^{133}$	$3.8\ 10^{134}$	$5.9\;10^{535}$	$4.8 \,\, 10^{297}$
$215t$	$2.2\ 10^{185}$	$9.0\ 10^{185}$	$7.2 \,\, 10^{738}$	$2.1\ 10^{438}$
2n6r	$3.4~10^{168}$	$1.3 \,\, 10^{169}$	$4.0\ 10^{673}$	$9.3 \,\, 10^{376}$
1 _{bmw}	$5.2~10^{229}$	$2.1\ 10^{230}$	$1.1~10^{914}$	$1.4 \,\, 10^{547}$
5ix5	$4.4 \,\, 10^{148}$	$1.7 \,\, 10^{149}$	$2.0\ 10^{593}$	$7.8 \,\, 10^{327}$
5x0s	$1.2 \,\, 10^{119}$	$4.9 10^{119}$	$1.4~10^{470}$	$2.0\ 10^{263}$
6ews	$8.1 \ 10^{155}$	$3.2~10^{156}$	$4.3~10^{622}$	$5.4 \,\, 10^{376}$
6fwn	$2.8\ 10^{188}$	$1.1~10^{189}$	$2.4~10^{751}$	$4.3 \,\, 10^{419}$
6qf8	$2.3\ 10^{188}$	$9.1~10^{188}$	$4.0\ 10^{750}$	$9.3 \,\, 10^{453}$
6jlt	$6.9 \,\, 10^{188}$	$2.8~10^{189}$	$1.5\,\,10^{755}$	$3.5 \,\, 10^{458}$
6hkc	$4.5~10^{174}$	$1.8 \,\, 10^{175}$	$6.6\ 10^{694}$	$1.2 \,\, 10^{402}$
		Xray structures		
1hyp	$2.1\ 10^{166}$	$8.2\ 10^{166}$	$3.2\ 10^{664}$	$4.8 \,\, 10^{375}$
1hoe	$2.2~10^{171}$	$8.9 10^{171}$	$5.8\ 10^{684}$	$8.6\ 10^{395}$
1 mjc	$4.3 \,\, 10^{165}$	$1.7 \,\, 10^{166}$	$10.0\ 10^{661}$	$4.9 \,\, 10^{392}$
1 _{pga}	$4.7 \,\, 10^{137}$	$1.9 10^{138}$	$1.5 \,\, 10^{550}$	$4.0\ 10^{331}$
2b8i	$7.7~10^{189}$	$3.1~10^{190}$	$5.1\ 10^{758}$	$1.5 \,\, 10^{458}$
4y2k	$2.5\ 10^{161}$	$9.8 \,\, 10^{161}$	$1.7~10^{644}$	$3.4~10^{390}$
1f94	$2.9\ 10^{134}$	$1.2 \,\, 10^{135}$	$1.4 \,\, 10^{537}$	$1.8 \,\, 10^{291}$
1who	$2.6 \; 10^{227}$	$1.0\ 10^{228}$	$6.1~10^{907}$	$7.8 \,\, 10^{540}$
1tud	$3.1~10^{146}$	$1.3 \,\, 10^{147}$	$5.0\ 10^{585}$	$3.3 \,\, 10^{351}$
1yu5	$9.4~10^{165}$	$3.8\ 10^{166}$	$2.9\ 10^{663}$	$9.0\ 10^{401}$
1 _{bxy}	$5.8 \,\, 10^{147}$	$2.3\ 10^{148}$	$7.6\ 10^{590}$	$5.0\ 10^{356}$
$1{\mathrm{ctf}}$	$5.2 \,\, 10^{164}$	$2.1~10^{165}$	$1.9 \,\, 10^{658}$	$9.38\ 10^{388}$
1guu	$1.2 \,\, 10^{123}$	$4.7 \,\, 10^{123}$	$1.4~10^{492}$	$1.2 \,\, 10^{293}$
1wvn	$8.0\ 10^{179}$	$3.2~10^{180}$	$4.5\ 10^{718}$	$6.8 \,\, 10^{429}$
1ucs	$5.9\ 10^{153}$	$2.4~10^{154}$	$7.9~10^{614}$	$1.3\ 10^{365}$

Table S4: iCFN multistate design problems: for each problem we give the position of the redesigned residue, the number of flexible residues around the redesigned residue and the search space for the min-MSD problem, defined as the sum of all SSD search space sizes, the raw Σ-MSD search space size, defined by the product of the size of all variable' domains and the actual search space size, reduced by the SS constraints that impose that all states use the same sequence.

redesigned	$#$ of flexible	min-MSD	Σ -MSD	Σ -MSD
position	residues			search size search size reduced search size
26	18	$7.6~10^{30}$	$1.6 \; 10^{323}$	7.710^{308}
28	18	$3.1 \; 10^{34}$	$6.3\;10^{362}$	$3.1 \; 10^{348}$
98	19	4.910^{31}	7.710^{334}	$3.7 \; 10^{320}$
100	29	$1.4~10^{42}$	5.210^{447}	2.5×10^{433}

6 Energy difference between SSD optimal sequences and Σ-MSD sequence

NMR PDB	Σ -MSD- \overline{SSD}		X-ray PDB Σ -MSD- \overline{SSD}
5vso	16.0	1 _{hyp}	12.7
517 _b	10.4	1 _{hoe}	14.8
5 mmc	14.3	1 mjc	8.9
1 gb 1	11.6	1 _{pga}	12.8
5t8a	5.6	2b8i	13.3
215t	25.4	4y2k	5.5
2n6r	11.7	1f94	9.2
1 _{bmw}	44.9	1who	17.5
5ix5	21.7	1tud	4.2
5x0s	30.3	1yu5	6.3
Mean	19.2	Mean	10.5

Table S5: Difference in energy for each protein in the benchmark between the average of all SSD optimal sequences and the energy of the optimal Σ-MSD sequence (kcal).

\bullet NMR SSD ● X-Ray SSD **NMR Σ-MSD** X-Ray Σ-MSD \mathbf{r} F 10,000.00 5,000.00 1,000.00 r Ė 500.00 $\overline{}$ \bullet $\bullet\bullet$ 100.00 Ω ſ 50.00 50 60 70 80 90 100

7 CPU-time for SSD and Σ-MSD as a function of protein size

Figure S1: The CPU-time (Y logscale axis) is represented for SSD and Σ-MSD for both NMR and X-ray structures as a function of the protein size (X-axis). The general trend is exponential as expected with closely related slopes but a constant shift in computational cost by a factor of 1.5 orders of magnitude.q

8 3D representation of local optima networks

Figure S2: 3D view of local optima networks. From left to right: 1bmw with min-MSD and Σ -MSD, 1who with min-MSD and Σ -MSD.

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