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## Hydrogen metabolic patterns driven by Clostridium-Streptococcus community shifts in a continuous stirred tank reactor

R. Palomo-Briones, Eric Trably, N. E. López-Lozano, L. B. Celis, H. O.  
Méndez-Acosta, Nicolas Bernet, E. Razo-Flores

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1 Hydrogen metabolic patterns driven by *Clostridium-Streptococcus* community shifts in  
2 a continuous stirred tank reactor  
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5 Rodolfo Palomo-Briones<sup>1</sup>, Eric Trably<sup>2</sup>, Nguyen Esmeralda López-Lozano<sup>1</sup>, Lourdes B.  
6 Celis<sup>1</sup>, Hugo Oscar Méndez-Acosta<sup>3</sup>, Nicolas Bernet<sup>2</sup>, Elías Razo-Flores<sup>1\*</sup>  
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8  
9

10 <sup>1</sup>División de Ciencias Ambientales, Instituto Potosino de Investigación Científica y  
11 Tecnológica. Camino a la Presa San José 2055, Lomas 4a Sección, C.P. 78216, San Luis  
12 Potosí, S.L.P., México.  
13  
14

15 <sup>2</sup>LBE, INRA, Univ Montpellier, 102 avenue des Etangs, 11100 Narbonne, France.  
16  
17

18 <sup>3</sup>Departamento de Ingeniería Química, CUCEI-Universidad de Guadalajara, Blvd. M.  
19 García Barragán 1451, C.P. 44430, Guadalajara, Jal., México  
20

21 \*Corresponding author: erazo@ipicyt.edu.mx, +51 (444) 8342026  
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25 ORCID IDs:  
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27 Rodolfo Palomo-Briones: 0000-0002-7164-0756  
28

29 Nicolas Bernet: 0000-0003-2710-3547  
30

31 Elías Razo-Flores: 0000-0002-8262-695X  
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## ABSTRACT

1  
2 The hydrogen production efficiency in dark fermentation systems is strongly dependent  
3 on the occurrence of metabolic pathways derived from the selection of microbial species  
4 that either consume molecular hydrogen or outcompete hydrogenogenic bacteria for the  
5 organic substrate. In this study, the effect of organic loading rate (OLR) on the  
6 hydrogen production performance, the metabolic pathways and the microbial  
7 community composition in a continuous system was evaluated. Two bacterial  
8 populations, *Clostridium* and *Streptococcus*, were dominant in the microbial community  
9 depending on the OLR applied. At low OLR (14.7 - 44.1 g<sub>Lactose</sub>/L-d), *Clostridium sp.*  
10 was dominant and directed the system towards the acetate-butyrate fermentation  
11 pathway, with a maximum H<sub>2</sub> yield of 2.14 mol<sub>H<sub>2</sub></sub>/mol<sub>Hexose</sub> obtained at 29.4 g<sub>Lactose</sub>/L-d.  
12 Under such conditions, the volumetric hydrogen production rate (VHPR) was between  
13 3.2 - 11.6 L<sub>H<sub>2</sub></sub>/L-d. In contrast, high OLR (58.8 and 88.2 g<sub>Lactose</sub>/L-d) favored the  
14 dominance of *Streptococcus sp.* as co-dominant microorganism leading to lactate  
15 production. The formate production was also stimulated under these conditions possibly  
16 through the Wood-Ljungdahl pathway as strategy to dispose the surplus of reduced  
17 molecules (e.g. NADH<sub>2</sub><sup>+</sup>), which theoretically consumed up to 5.72 L<sub>H<sub>2</sub></sub>/L-d. Under  
18 such scenario, the H<sub>2</sub> yield was relatively low (0.74 mol<sub>H<sub>2</sub></sub>/mol<sub>Hexose</sub> at OLR = 58.8  
19 g<sub>Lactose</sub>/L-d) regardless of the higher VHPR reached (13.7 – 14.5 L<sub>H<sub>2</sub></sub>/L-d). Overall, this  
20 research brings clear evidence of the intrinsic occurrence of metabolic pathways  
21 detrimental for hydrogen production, *i.e.* lactic acid fermentation and formate  
22 production, during hydrogen production, suggesting the use of low OLR as strategy to  
23 control such undesirable metabolisms.  
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## KEYWORDS

45 Biohydrogen; Dark fermentation; Lactic Acid Bacteria (LAB); Hydrogen-producing  
46 bacteria (HPB): microbial community  
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## 1. INTRODUCTION

The development of zero- and low-carbon technologies for energy production is an important milestone in the mitigation of climate change. In this regard, biofuels have gained great attention due to the possibility to revalorize organic wastes generated by industrial, agricultural, and domestic sectors, and minimize the release of additional carbon into the atmosphere.

Biohydrogen (H<sub>2</sub>) is an energy carrier that can be distinguished among other fuels due to its high-energy content (120 kJ/g), highly efficient conversion to electric energy, and byproducts-free oxidation. The production of biohydrogen can be performed through four major biological processes: direct and indirect biophotolysis, photofermentation and dark fermentation. Among these, the dark fermentative technology has shown higher production rates and faster and simpler operation than its counterparts. Moreover, dark fermentation is independent of light and the microbial communities have a wide potential to metabolize many types of organic wastes by showing a good performance in spite to fluctuations of environmental conditions through metabolic flexibility (Azwar et al. 2014).

Theoretically, the maximum hydrogen metabolic yield of dark fermentative systems is four moles of H<sub>2</sub> per mole of glucose consumed (Agler et al. 2011). This yield is possible to achieve if only *Clostridium* species are involved in the fermentation by producing acetate as byproduct (Eq. 1).



In practice, H<sub>2</sub> yields are substantially lower than the theoretical value by considering the ideal acetate fermentation (Nath and Das 2004). This is mainly due to the diversity of metabolic routes, i.e., the production of metabolites associated with low or none H<sub>2</sub> production. Such is the case of butyrate, propionate, ethanol, and lactate pathways, among others. Special focus has been paid on the lactate production, which is performed by lactic-acid bacteria (LAB) such as *Lactobacillus*, *Sporolactobacillus*, *Streptococcus*, etc. LAB outcompete hydrogenogenic microorganisms for the carbon source, but are also capable to produce growth-inhibitory compounds (Noike et al. 2002; Sikora et al. 2013; Gomes et al. 2016). Recently, it was reported for continuous stirred tank reactors (CSTR) fed with lactose that LAB co-dominated at large hydraulic retention times (HRT = 18 and 24 h). As consequence, short values of retention time (HRT = 6 and 12

1 h) were suggested as strategy to control the proliferation of LAB (Palomo-Briones et al.  
2 2017). However, to the best of our knowledge, the effects of the OLR at fixed HRT on  
3 LAB in a dark fermentative environment remain unexplored in CSTR systems. This  
4 issue is of high interest since the relationship between OLR and LAB can potentially  
5 affect the scaling up and economy of the process. On the other hand, H<sub>2</sub> yield can also  
6 be shortened due to H<sub>2</sub> consumption through the Wood-Ljungdahl pathway (WLP),  
7 which can be carried out by several *Clostridium* species (Diekert and Wohlfarth 1994;  
8 Saady 2013). In such pathway, H<sub>2</sub> and CO<sub>2</sub> are combined to produce acetyl-CoA and a  
9 diversity of other metabolites (Diekert and Wohlfarth 1994; Tracy et al. 2012;  
10 Schuchmann and Müller 2014).

11 Different authors have reported that LAB and WLP-hydrogenotrophic microorganisms  
12 are affected by environmental and operational conditions (e.g. Shanmugam et al. 2014;  
13 Carrillo-Reyes et al. 2014; Si et al. 2015). Nevertheless, most studies have been focused  
14 on the suppression of either LAB or WLP-hydrogenotrophic microorganisms, although  
15 these could be simultaneously present. Under such scenario, the strategies aimed to  
16 suppress one of these groups could result in the enrichment of the second one, and *vice*  
17 *versa*. Therefore, this work aims to investigate the effect of OLR on the performance of  
18 H<sub>2</sub> production, metabolic pathways and microbial community in a lactose fed CSTR,  
19 with special focus on the potential co-occurrence of LAB and WLP-hydrogenotrophic  
20 microorganisms.

## 21 2. MATERIALS AND METHODS

### 22 2.1 Inoculum and substrate

23 Anaerobic granular sludge from a full-scale UASB reactor treating wastewater from a  
24 tequila factory was used as inoculum. Before inoculation, the sludge was disaggregated  
25 and heat pretreated at 90-95 °C for 2 hours. The inoculum was added at a final  
26 concentration of 4.5 g volatile suspended solids (VSS)/L. Cheese whey powder (CWP)  
27 (Darigold, USA) with lactose content of 75.5% was used as substrate at concentrations  
28 ranging from 3.7 to 22.5 g<sub>lactose</sub>/L. The feeding solution was supplemented with (mg/L):  
29 NH<sub>4</sub>Cl, 2100; MgCl<sub>2</sub>·6H<sub>2</sub>O, 100; CuCl<sub>2</sub>·H<sub>2</sub>O, 1.25; MnCl<sub>2</sub> 4H<sub>2</sub>O, 7; FeCl<sub>2</sub> 4H<sub>2</sub>O, 19.1;  
30 NiCl<sub>2</sub> 6H<sub>2</sub>O, 102.5. Additionally, phosphate buffer (KH<sub>2</sub>PO<sub>4</sub>-Na<sub>2</sub>HPO<sub>4</sub>, pH 5.9) was  
31 added to a final concentration of 100 mM.

### 32 2.2. Experimental setup

1 A bioreactor made of glass, with 1 L working volume and 0.3 L of head space  
2 (APPLIKON Biotechnologies, USA) was inoculated with the heat pretreated anaerobic  
3 sludge. The system was started-up in batch mode for 24 h using CWP at a concentration  
4 of 22 g<sub>Lactose</sub>/L. Afterwards, the reactor was shifted to continuous operation at a HRT of  
5 6 h (OLR of 88 g<sub>Lactose</sub>/L-d). In subsequent stages, the OLR was decreased gradually  
6 from 88 to 15 g<sub>Lactose</sub>/L-d by modifying the CWP concentration. Stirring, hydraulic  
7 retention time, temperature and pH were set and controlled at 250 rpm, 6 h, 37 °C and  
8 5.9, respectively.  
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### 10 2.3 Analytical methods

11 Liquid samples were collected in a regularly basis and used to determine biomass,  
12 chemical oxygen demand (COD), total carbohydrates and volatile fatty acids (VFA).  
13 Biomass (as volatile suspended solids, VSS) and soluble COD were quantified as  
14 described in the standard methods (APHA/AWWA/WEF 2012). Total carbohydrates  
15 were determined by the phenol sulfuric method (Dubois et al. 1956). VFA were  
16 quantified from filtered (0.22 mm) samples by capillary electrophoresis (1600A, Agilent  
17 Technologies, Waldbronn, Germany) as reported elsewhere (Davila-Vazquez et al.  
18 2008).  
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20 The volume of gas produced was measured through a liquid displacement device, and  
21 its composition (H<sub>2</sub> and CO<sub>2</sub>) was determined through a gas chromatograph equipped  
22 with a thermal conductivity detector (6890N, Agilent Technologies, Waldbronn,  
23 Germany). All the gas volumes are reported at 1 atm and 273.15 K.  
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### 25 2.4 Capillary Electrophoresis - Single Strand Conformation Polymorphism (CE-SSCP)

26 The CE-SSCP was performed as described elsewhere (Palomo-Briones et al. 2017). In  
27 brief, the bacterial DNA was extracted using the ZR Fungal/Bacterial DNA MiniPrep  
28 extraction kit according to manufacturer's instructions (Zymo Research). The  
29 amplification of the V3 region of the 16S rRNA genes was performed with Pfu Turbo  
30 DNA polymerase (Stratagene, La Jolla, CA, USA) and the universal primers W49 5'-  
31 ACGGTCCAGACTCCTACGGG -3' and W104 5'- TTACCGCGGCTGCTGGCAC -3'.  
32 The PCR conditions were set as follows (Milferstedt et al. 2013): initial denaturation for  
33 2 min at 94°C; 25 cycles of melting (1 min at 94°C), annealing (1 min at 61°C) and  
34 extension (1 min at 72°C); and a final extension step of 10 min at 72°C.  
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36 The PCR products were analyzed by CE-SSCP in an ABI 3130 genetic analyzer  
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1 (Applied Biosystems, Foster City, CA, USA) as reported by Rochex et al. (2008). The  
2 resulting CE-SSCP profiles were aligned with an internal standard (ROX) to consider  
3 the inter-sample electrophoretic variability and were normalized with the package  
4 *Statfingerprints* available on R platform (R Development Core Team 2011).  
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7 The relative abundances of each peak on CE-SSCP profiles were computed with the  
8 spectroscopy functionality of OriginPro 8 (first derivative method, both directions, min  
9 height 1%, min width 1%). Subsequently, a Pearson's distances matrix was computed  
10 using CE-SSCP relative abundances, and it was displayed as a hierarchical cluster  
11 dendrogram. Such computing was carried out with the *corrplot*, *ggplot* and *ggdendro*  
12 packages under R environment (R Development Core Team 2011).  
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## 19 2.5 Illumina sequencing and microbial community analysis

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22 Illumina MiSeq 2x250 paired-end sequencing was performed following the  
23 manufacturers protocol (Illumina, USA). The V3-V4 regions of the rRNA gene (~450  
24 bp) were amplified with the primers 341F (5'-CCTACGGGNGGCWGCAG) and 805R  
25 (5'-GACTACHVGGGTATCTAATCC) fused with Illumina adapters. The polymerase  
26 chain reaction (PCR) was performed using the Phusion High-Fidelity PCR Master Mix  
27 with HF Buffer (Thermo Scientific, USA) and the following conditions: initial  
28 denaturation step at 95 °C for 3 min, followed by 25 cycles (95 °C, 30 sec; 55 °C, 30  
29 sec; 72 °C, 30 sec) and a final elongation step at 72 °C for 5 min. The PCR products  
30 were indexed with Nextera XT index primers in a second PCR (8 cycles) under identical  
31 conditions. The resulting amplicons were purified with Agencourt AMPure XP beads  
32 (Beckman Coulter, USA) and re-suspended in Illumina buffer. The Illumina sequencing  
33 work was carried out by the Unidad Universitaria de Secuenciación Masiva y  
34 Bioinformática, Instituto de Biotecnología, UNAM, Cuernavaca, Morelos, México.  
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46 The downstream sequence processing was performed using the Quantitative Insights  
47 into Microbial Ecology (QIIME) software (Caporaso et al. 2010). The analysis included  
48 the merging of the paired sequences with a minimum overlapping of 20 bp and zero  
49 errors in the overlapping region. The resulting sequences were quality filtered at a Phred  
50 score > Q20. Sequences with less than 350 pb were also eliminated. The chimeric  
51 sequences were filtered with the UCHIME 6.1 software (Edgar et al. 2011). Afterwards,  
52 open OTU picking at a 97% sequence identity was carried out with the UCLUST  
53 algorithm (Edgar 2010) using the SILVA RNA database (128 release) as reference  
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(<https://www.arb-silva.de/download/archive/qiime/>). The sequences of this work were deposited in the NCBI BioProject PRJNA392772.

### 3. RESULTS

#### 3.1 Dark fermentation performance

The CSTR was operated during 80 days under controlled pH (5.9), temperature (37 °C) and HRT (6 h). The reactor was fed at six sequential OLR: 88, 59, 44, 29, 22, and 15 g<sub>lactose</sub>/L-d, referred from now on as Stage I, Stage II, Stage III, Stage IV, Stage V, and Stage VI, respectively. After the first six stages were carried out, an unexpected low performance was noticed in Stage II; thus, the OLR of 59 g<sub>lactose</sub>/L-d was applied again after Stage VI (15 g<sub>lactose</sub>/L-d). In total, the experiments consisted of seven experimental phases as shown in Fig. 1a.

The performance results show that the volumetric hydrogen production rate (VHPR) was directly linked with the OLR (Fig. 1a). A maximal VHPR of  $13.9 \pm 2.2$  L<sub>H<sub>2</sub></sub>/L-d (mean  $\pm$  SD) was observed in Stage I (OLR of 88.2 g<sub>Lactose</sub>/L-d), while the lowest VHPR of  $3.04 \pm 0.9$  L<sub>H<sub>2</sub></sub>/L-d was found in Stage VI (OLR of 14.7 g<sub>Lactose</sub>/L-d). This confirmed that a successful hydrogenogenic fermentation was established. In terms of H<sub>2</sub> yield, the optimal value of  $2.17 \pm 0.29$  mol<sub>H<sub>2</sub></sub>/mol<sub>Hexose</sub> (mean  $\pm$  SD) was found at an OLR of 29.4 g<sub>Lactose</sub>/L-d (Stage IV). Overall, the H<sub>2</sub> yields ranged between 0.67 and 2.17 mol<sub>H<sub>2</sub></sub>/mol<sub>Hexose</sub> (Fig. 1b).

To determine the main metabolic pathways along the experiment, the VFA were also quantified through capillary electrophoresis. In terms of molar yield, steady state values of acetate and butyrate ranged within 0.13 - 0.53 mol<sub>Acetate</sub>/mol<sub>Hexose</sub> and 0.26 - 0.78 mol<sub>Butyrate</sub>/mol<sub>Hexose</sub>, respectively. On the other hand, the steady state values of formate and lactate were between 0.07 - 0.51 mol<sub>Formate</sub>/mol<sub>Hexose</sub> and 0.03 - 0.59 mol<sub>Lactate</sub>/mol<sub>Hexose</sub>, respectively. Further analysis of the steady states revealed positive correlations between the H<sub>2</sub> yield and the molar yields of acetate and butyrate (Fig. S1, b and d). On the contrary, the molar yields of acetate and butyrate were negatively correlated with the OLR (Fig. S1, f and h). It is worth to mention that during the operation of the CSTR, acetate and butyrate were produced in a roughly constant acetate/butyrate ratio of 0.7, independently of OLR, VHPR and H<sub>2</sub> yield. Moreover, the

1 H<sub>2</sub> yield was negatively associated with lactate and formate yields (Fig. S1, a and c).  
2 The data show that the metabolic routes associated with these two compounds seemed  
3 to be favored at relatively high OLR (59 and 88 g<sub>Lactose</sub>/L-d) (Fig. S1, e and g).  
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6 Considering that the synthesis of one mole of formate implies the direct or indirect  
7 consumption of one mole of H<sub>2</sub>, the amount of H<sub>2</sub> depleted in such route was estimated.  
8 As result, the maximum amount of H<sub>2</sub> converted to formate was equivalent to 5.7  
9 L<sub>H<sub>2</sub></sub>/L-d at an OLR of 88 g<sub>Lactose</sub>/L-d (Stage I). In contrast, the stage with the minimum  
10 production of formate was Stage IV (OLR = 29 g/L-d) during which the equivalent  
11 amount of H<sub>2</sub> depleted was of 0.25 ± 0.08 L<sub>H<sub>2</sub></sub>/L-d. Consistently, the maximum H<sub>2</sub> yield  
12 was also presented at the same experimental stage (Table 1).  
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### 19 3.2 Microbial community analysis

20 16S-rRNA amplicons obtained at steady states were analyzed by CE-SSCP to  
21 characterize the microbial community structure and reveal the OLR-associated changes.  
22 The analysis showed that during the CSTR operation the microbial community was  
23 composed principally by three different microorganisms numbered 150, 224 and 910 in  
24 reference to the SSCP retention time (Fig. 2b). Based on relative abundances, the  
25 microorganism numbered 910 was dominant at OLR ≥ 59 g<sub>Lactose</sub>/L-d. In contrast, two  
26 different organisms (150 and 224) dominated at OLR ≤ 44 g<sub>Lactose</sub>/L-d. An Unweighted  
27 Pair Group Method with Arithmetic Mean (UPGMA) analysis of the CE-SSCP profiles  
28 showed a clear association among stages I, II and VII, regardless of the amount of time  
29 separating such experimental stages (Fig. 2a). On the other hand, stages III, IV, V and  
30 VI were also clustered with each other. Overall, two cohesive and OLR-dependent  
31 microbial community groups were unveiled.  
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43 To identify the microbial genera involved in the fermentation, the V3-V4 regions of  
44 16S-rRNA gene were sequenced and compared with the Silva 16S RNA database to  
45 assign taxonomy. The 16S RNA sequencing resulted in 664101 ± 67239 high quality  
46 reads per sample, grouped in 14559 operational taxonomic units (OTU), identified up to  
47 the genus level. Overall, the results showed the presence of two main genera that  
48 dominated all along the fermentation time, *Clostridium* and *Streptococcus* (Fig. 3). To  
49 identify and characterize the link between CE-SSCP and 16S-rRNA sequencing, a  
50 correlation analysis was conducted. The results showed that *Clostridium* was well  
51 correlated with microorganisms numbered 150 (R<sup>2</sup>=0.96, p<0.01) and 224 (R<sup>2</sup>=0.96,  
52 p<0.01) while *Streptococcus* was strongly correlated with the microorganism numbered  
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910 ( $R^2=0.98$ ,  $p<0.01$ ). Therefore, 16S-rRNA sequencing results were utilized for microbial community analysis.

As shown in Fig. 3, *Clostridium* and *Streptococcus* accounted for more than 88% of the relative abundance, while other microbial genera such as *Enterobacter*, *Escherichia*, *Lactobacillus*, *Lactococcus* and *Enterococcus*, remained subdominant. In general, the relative abundance of *Clostridium* was strongly associated with the reduction of the OLR, while the *Streptococcus* abundance was higher as the OLR increased (Fig. 3b).

In order to better understand and visualize the relationship between the microbial community composition and the performance of the reactor (i.e. VHPR, OLR, H<sub>2</sub> yield, and VFA yields), a Principal Components Analysis (PCA) was conducted (Fig. 4). Two principal components accounted for more than 80 percent of the dataset variance. The results showed a clear relationship between *Clostridium* and the butyrate and acetate yields. On the other hand, *Streptococcus* was strongly linked to VHPR, OLR, and lactate yield. Interestingly, formate and H<sub>2</sub> yields showed negative influence on each other, confirming the aforementioned negative correlation between these two metabolic products. Nevertheless, no linear relationship was found between formate and H<sub>2</sub> yields with the *Clostridium* nor *Streptococcus* abundance.

#### 4. DISCUSSION

The continuous H<sub>2</sub> production from CWP was successfully established in a continuous reactor and was comparable to previous works under similar conditions (Davila-Vazquez et al. 2009; Cota-Navarro et al. 2011). Davila-Vazquez et al. (2009) reported a VHPR of 12.5 L<sub>H2</sub>/L-d at an OLR of 92.4 g<sub>Lactose</sub>/L-d, while Cota-Navarro et al. (2011) reported a VHPR of 16.1 L<sub>H2</sub>/L-d at an OLR of 95 g<sub>Lactose</sub>/L-d. Both values were quite similar to the VHPR found in this research ( $13.7 \pm 1.3$  L<sub>H2</sub>/L-d at an OLR of 88 g<sub>Lactose</sub>/L-d) and demonstrate the reliability and reproducibility of dark fermentation with CSTR systems.

Next generation sequencing analysis revealed that a low diverse and highly specialized microbial community composed mainly by *Clostridium* and *Streptococcus* drove the lactose-based dark-fermentative hydrogen production in the CSTR. Such a low microbial diversity was previously described as a common characteristic of hydrogen-producing bioreactors (Etchebehere et al. 2016). This feature becomes accentuated due

to the strong selection pressure that is typical of suspended-growth systems.

Interestingly, the relative abundances of these genera were negatively associated to each other, suggesting competitive interactions. In addition, the changes in the OLR had a critical impact on the microbial community distribution and subsequent metabolites production, including H<sub>2</sub>. In this regard, two different OLR-dependent states of operation were identified.

#### 4.1 Highly efficient H<sub>2</sub> producing phase

The operation of the dark fermentative CSTR under  $OLR \leq 44.1 \text{ g}_{\text{Lactose}}/\text{L-d}$  was found to favor the efficiency of H<sub>2</sub> production, i.e. the H<sub>2</sub> yield. At such conditions (Stages III-VI), the microbial community was clearly dominated by microorganisms from the *Clostridium* genera (Fig. 3). These microorganisms have been widely found in dark fermentative systems and have been identified as highly desirable species for H<sub>2</sub> production (Cabrol et al. 2017). Theoretically, *Clostridium* species are capable to produce H<sub>2</sub> with a metabolic yield of  $4 \text{ mol}_{\text{H}_2}/\text{mol}_{\text{Hexose}}$  following the acetate pathway (Eq. 1). However, this route is only feasible at low H<sub>2</sub> partial pressures ( $P_{\text{H}_2} < 60 \text{ Pa}$ ); otherwise, the synthesis of H<sub>2</sub> from NADH becomes thermodynamically unfavorable (Angenent et al. 2004). *Clostridium* microorganisms can also perform the synthesis of H<sub>2</sub> through the butyrate pathway ( $\text{C}_6\text{H}_{12}\text{O}_6 \rightarrow \text{CH}_3\text{-CH}_2\text{-CH}_2\text{-COOH} + 2 \text{ CO}_2 + 2\text{H}_2$ ) which leads to a theoretical H<sub>2</sub> yield of  $2 \text{ mol}_{\text{H}_2}/\text{mol}_{\text{Hexose}}$ . These two pathways (acetate and butyrate) are considered as the most efficient pathways for H<sub>2</sub> production through the dark fermentative process.

In agreement, acetate and butyrate were the principal VFA produced under the dominance of *Clostridium* genera (Fig. 2c); the H<sub>2</sub> yield reached a maximum mean value of  $2.14 \text{ mol}_{\text{H}_2}/\text{mol}_{\text{Hexose-consumed}}$  (Stage IV). Considering the metabolic limitation and that most of literature has reported H<sub>2</sub> yields of about  $1.3 \text{ mol}_{\text{H}_2}/\text{mol}_{\text{Hexose}}$ , the results of the present study at  $OLR \leq 44.1 \text{ g}_{\text{Lactose}}/\text{L-d}$  are quite remarkable. On the other hand, the VHPR ( $3.2 - 11.6 \text{ L}_{\text{H}_2}/\text{L-d}$ ) was still low, compared to that reported in other studies (Davila-Vazquez et al. 2009; Lee et al. 2012; Sivagurunathan and Lin 2016). The increase of the OLR could possibly lead to higher VHPR but, as discussed in the following section, the increase of the organic loading rate could cause new problems that have significant impacts on the efficiency of the process.

#### 4.2 Lactate and formate favored at high OLR

1 The operation of the CSTR at  $OLR \geq 58.8 \text{ g}_{\text{Lactose}}/\text{L-d}$  caused an important increase in  
2 the VHPR (12.3 - 14.5 L/L-d) while the efficiency of the process was notably reduced  
3 (1.21 - 1.9 mol<sub>H<sub>2</sub></sub>/mol<sub>Hexose</sub>). This seems to be a disjunction point between productivity  
4 and efficiency of hydrogen production. A possible explanation for such phenomenon is  
5 that, under high OLR conditions, H<sub>2</sub> is produced in such an amount that probably it is  
6 not transferred off the system with the required efficiency, i.e. the process is limited by  
7 mass transfer. Under such conditions, the microorganisms pursue alternative pathways  
8 to dispose the electrons gathered from the organic substrate and tend to produce less H<sub>2</sub>  
9 (Nath and Das 2004). The results clearly showed that the alternative metabolic routes  
10 were lactate and formate production.  
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12 The presence of lactate is a clear signal of the occurrence of lactic acid fermentation,  
13 which is usually found in dark fermentative systems (Baghchehsaraee et al. 2010;  
14 Sikora et al. 2013; Etchebehere et al. 2016). Such finding was confirmed by the  
15 presence of *Streptococcus*, a lactic acid bacterium that was mainly present at relatively  
16 high OLR (Fig. 3). *Streptococcus* has been previously found inside hydrogenogenic  
17 granules where they presumably strengthen the granule structure (Hung et al. 2011).  
18 Davila-Vazquez et al. (2009) also reported the presence of *Streptococcus* in a CSTR fed  
19 with lactose-CWP at an OLR of 92.4 and 138.6 g<sub>Lactose</sub>/L-d with HRT of 6 h and 4 h,  
20 respectively. In a recent report, it was concluded that the HRT is a factor of selection  
21 that strongly affects the microbial community composition; it was shown that LAB  
22 (*Streptococcaceae* and *Sporolactobacillaceae*) could be eliminated at low HRT (6 h)  
23 (Palomo-Briones et al. 2017). In the present work, although the HRT was maintained at  
24 6 h, the elimination of LAB was only possible when using low OLR values.  
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26 The microbial community dynamics is often associated to the differences in growth  
27 capabilities of the involved species. However, in the case of LAB and *Clostridium*,  
28 different studies have reported similar Monod-type growth kinetics (in terms of  $\mu_{\text{max}}$  and  
29  $K_s$ ) with lactose (Table 2); therefore, the community behavior observed in the present  
30 study could be barely explained by kinetic differences. Rather, the community structure  
31 could be the result of product inhibitory effects over *Clostridium* at high OLR that  
32 allowed LAB to better compete for the substrate uptake. Napoli et al. (2011) reported  
33 that *Clostridium acetobutylicum* could be inhibited by the accumulation of acetate and  
34 butyrate, with critical concentrations of 26 mmol/L and 34 mmol/L, respectively. In the  
35 present study, the acetate and butyrate concentrations were in the range of those  
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1 reported as inhibitory by Napoli et al., (2011) and strongly associated with the OLR,  
2 reaching their highest concentrations at OLR of 88.2 g<sub>Lactose</sub>/L-d (Table 1). On the  
3 contrary, the lowest concentrations of acetate and butyrate were observed at OLR of 15  
4 g<sub>Lactose</sub>/L-d, where *Clostridium* was strongly dominant. Therefore, the inhibition  
5 phenomenon seems to be an important driver of the *Clostridium-Streptococcus*  
6 dynamics.  
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10 Considering the microbial community results, the formate synthesis was probably  
11 performed by *Clostridium* species. Theoretically, the production of formate is carried  
12 out with the catalysis of the pyruvate:formate lyase (*pfl*) and the formate dehydrogenase  
13 (*fdh*). The former enzyme catalyzes the activation of pyruvate to acetyl-CoA with the  
14 concomitant production of formate and CO<sub>2</sub>, while the later catalyzes the synthesis of  
15 formate from NADH<sub>2</sub><sup>+</sup> and CO<sub>2</sub>. The *pfl* is broadly found in facultative anaerobes, but it  
16 has been also found in the genome of different *Clostridium* species such as *C.*  
17 *butyricum*, *C. acetobutylicum*, *C. beijerinckii*, *C. pasteurianum*, and *C. tyrobutyricum*  
18 (Nölling et al. 2001; Pyne et al. 2014; Noar et al. 2014; Kwok et al. 2014; Lee et al.  
19 2016)  
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30 In addition, the gene of *fdh* enzyme has been reported to be part of the genome of  
31 different *Clostridium* species, such as *C. carboxidivorans* (Bruant et al. 2010), *C.*  
32 *ljungdahlii* (Köpke et al. 2010), *C. beijerinckii* (Milne et al. 2011), and *C. acetobutylicum*  
33 (Senger and Papoutsakis 2008). *fdh* is also the first enzyme to participate in the WLP  
34 which leads to the synthesis of acetate (homoacetogenesis). Considering the actual  
35 concentrations of VFA, acetate and formate synthesis from H<sub>2</sub> and CO<sub>2</sub> are both  
36 favorable reactions (Table 3). Nevertheless, no evidence of autotrophic acetate  
37 (homoacetogenesis) was found in the experiments here reported. Therefore, formate was  
38 probably the main hydrogen sink under the conditions tested.  
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47 To determine the exact mechanism of formate production will require further studies.  
48 However, as formate was OLR dependent, our hypothesis is that such conditions caused  
49 an excess of reduced equivalents (NADH<sub>2</sub><sup>+</sup>, Fd<sub>red</sub>) that accumulated in the cell due to  
50 kinetic limitation at the hydrogenases (*hyd*) level. The primary method to dispose  
51 electrons is by the action of hydrogenases, but their activity is retro-inhibited at high  
52 concentrations of H<sub>2</sub>. Thus, the production of formate was a possible strategy to dispose  
53 the excess of electrons gathered from the organic substrate, and maintain NAD<sup>+</sup>/NADH  
54 and Fd<sub>ox</sub>/Fd<sub>red</sub> equilibriums. Under this hypothesis, not only homoacetogenesis but also  
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1 formic acid synthesis through either the *fdh* (i.e. WLP) or *pfl* route plays an important  
2 role in H<sub>2</sub> consumption that deserves to be studied with detail.

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4 To overcome the detrimental consequences of formate synthesis and boost hydrogen  
5 production, new ways to recover H<sub>2</sub> (and possibly CO<sub>2</sub> as well) as soon as it is produced  
6 should be developed and implemented. In this regard, different alternatives have been  
7 proposed with the aim to increase H<sub>2</sub> productivities. Nasr et al. (2015) reported 22%  
8 increase of H<sub>2</sub> yield using KOH pellets to capture CO<sub>2</sub> *in situ*. The capture of CO<sub>2</sub>, as  
9 discussed by the authors, favored the shift of the hydrogenogenic reactions to the  
10 forward direction (i.e. production of H<sub>2</sub>). Moreover, CO<sub>2</sub> sequestration also had an  
11 influence on the metabolic pathways, favoring acetate productivity while lowering  
12 butyrate production. Other researchers conducted experiments under a continuous  
13 release of biogas generated maintaining the headspace pressure at or below of 0.116 atm  
14 (Esquivel-Elizondo et al. 2014). They found that under such conditions the system  
15 enhanced in terms of H<sub>2</sub> yield (from 1.2 to 1.9 mol H<sub>2</sub> /mol<sub>glucose</sub>) and VHPR (from 36 to  
16 108 mL H<sub>2</sub>/L-h) in comparison to their control (gas released when pressure was above  
17 1.36 atm).

## 31 5. CONCLUSIONS

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34 This research shows the results of continuous H<sub>2</sub> production in a CSTR from cheese  
35 whey powder as substrate. Overall, the microbial community was dominated by three  
36 bacterial phylotypes from two main genera, *Clostridium* and *Streptococcus*, whose  
37 relative abundances were strongly affected by the OLR. The shift in the microbial  
38 community composition also influenced the metabolic pathways performed. At low  
39 OLR (14.7-44.1 g<sub>Lactose</sub>/L-d), *Clostridium* was the dominant genus and drove the system  
40 to a highly efficient acetate-butyrate fermentation with a maximum H<sub>2</sub> yield of 2.14  
41 mol<sub>H<sub>2</sub></sub>/mol<sub>Hex-consumed</sub> obtained at an OLR of 29.4 g<sub>Lactose</sub>/L-d. In contrast, high OLR  
42 (58.8 and 88.2 g<sub>Lactose</sub>/L-d) caused an increase of acetate and butyrate concentrations,  
43 which possibly inhibited *Clostridium* growth and prompted the competition of LAB.  
44 Under such scenario, *Streptococcus* aroused as the co-dominant microorganism and was  
45 successfully associated with the production of lactate. In consequence, the efficiency of  
46 H<sub>2</sub> production was negatively affected (min H<sub>2</sub> yield=0.74 mol<sub>H<sub>2</sub></sub>/mol<sub>Hexose</sub> at OLR=58.8  
47 g<sub>Lactose</sub>/L-d) regardless of the higher VHPR observed (max 13.7 L/L-d) in comparison  
48 with low OLR conditions. Moreover, it was found that H<sub>2</sub> was probably consumed  
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1 through metabolic pathways leading to the production of formate as alternative to  
2 dispose the excess of reduced equivalents. Most probably, either the *pfl* or *fdh* (through  
3 the WLP) were possible involved in such phenomenon. Thus, not only  
4 homoacetogenesis but also formic acid synthesis plays an important role in H<sub>2</sub>  
5 consumption has and deserves to be studied with detail. An overall analysis of the  
6 results of this research revealed that the detrimental metabolisms of lactic acid  
7 fermentation and formate synthesis could be minimized at low OLR. Otherwise, the  
8 effective liberation of H<sub>2</sub> right after its production will be also required.  
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## 17 6. CONFLICT OF INTEREST

18 The authors declare that they have no conflict of interest.  
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## 24 7. ETHICAL STATEMENT

25 The authors confirm that the article does not contain any studies with human  
26 participants or animals.  
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## 33 8. SUPPORTING INFORMATION AVAILABLE

34 Additional supporting information is available in the online version of this article at the  
35 publisher's web-site.  
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## FIGURE CAPTIONS

**Fig. 1** Hydrogen production performance of the CSTR operated under different values of OLR. Box plots of VHPR and H<sub>2</sub> yield include all data of the corresponding periods.

a) VHPR and OLR b) H<sub>2</sub> yield and biomass concentration

**Fig. 2** Microbial communities and metabolites productivities of dark fermentative systems under different values of OLR. a) Ward D2 hierarchical cluster analysis based on Pearson distances; b) CE-SSCP profiles of microbial communities developed under different OLR; c) VFA and hydrogen yields from steady states. B, butyrate; L, lactate; A, acetate; F, formate

**Fig. 3** a) Profiles of the microbial communities from the operation of the CSTR at different conditions of OLR obtained by Illumina MiSeq analysis. b) Relationship between *Clostridium* and *Streptococcus* species with the OLR

**Fig. 4** Principal Components Analysis of biohydrogen production under different OLR.

**Table 1.** Summary of the steady state performance of the dark fermentative CSTR operated under different OLR.

Stage	OLR g <sub>lactose</sub> /L -d	Theoretical <sup>a</sup> VHPR, L <sub>H2</sub> /L-d	Experiment al VHPR, L <sub>H2</sub> /L-d	H <sub>2</sub> yield mol <sub>H2</sub> /mol <sub>Hexose</sub>	H <sub>2</sub>				
					consumed in formate synthesis <sup>b</sup> L <sub>H2</sub> /L-d	Formate mmol/L	Acetate mmol/ L	Lactate mmol/ L	Butyrate mmol/L
I	88.2	52.4	13.7 ± 1.3	1.21 ± 0.11	5.72	63.9	26.8	33.4	39.6
II	58.8	34.9	5.5 ± 0.3	0.74 ± 0.04	2.01	22.5	19.3	34.1	23.2
III	44.1	26.2	11.6 ± 0.4	2.08 ± 0.12	0.44	5.0	16.9	3.9	30.1
IV	29.4	17.5	7.8 ± 1.1	2.14 ± 0.45	0.25	2.8	8.6	1.0	13.7
V	22.0	13.1	5.5 ± 0.7	1.93 ± 0.23	0.58	6.5	14.6	3.5	24.7
VI	14.7	8.7	3.2 ± 0.7	1.84 ± 0.73	0.54	6.0	8.0	3.7	7.1
VII	58.8	34.9	14.5 ± 0.1	1.90 ± 0.02	1.47	16.4	10.8	49.7	22.3

<sup>a</sup>Theoretical VHPR based on the theoretical yield of 4 mol<sub>H2</sub>/mol<sub>Hexose</sub> and lactose added.

<sup>b</sup>Based on the assumption that formate was produced by the consumption of H<sub>2</sub>: CO<sub>2</sub> + H<sub>2</sub> → CHOOH  
Samples considered as steady state = 4, 4, 4, 4, 3, 5, and 3 for stages I-VII, respectively.

**Table 2.** Growth kinetic parameters of representative species of hydrogen producing bacteria and LAB.

Microorganism	Kinetic conditions	$\mu_{\max}$ , 1/h	$K_S$ , g/L	Reference
<i>Clostridium acetobutylicum</i>	Lactose, pH 5, 35 °C	0.95	1.34	(Napoli et al. 2011)
<i>Lactococcus casei</i>	Whey-lactose, pH 5.5, 37 °C	0.265	0.72	(Altiok et al. 2006)
<i>Lactobacillus bulgaricus</i>	pH 5.6, 42 °C	1.14	3.36	(Burgos-Rubio et al. 2000)
<i>Lactococcus lactis</i>	Lactose, pH 6.5, 30 °C	1.1	1.32	(Boonmee et al. 2003)
<i>Lactobacillus rhamnosus</i>	Glucose, pH 5.5, 40 °C,	0.45	0.30	(Berry et al. 1999)

**Table 3.** Gibbs' energy of acetate and formate autotrophic reactions.

	$\Delta G^\circ$	$\Delta G$ (Stage VI)	$\Delta G$ (Stage I)
$2 \text{HCO}_3^- + 2 \text{H}^+ + 4 \text{H}_2 \rightarrow \text{CH}_3\text{COO}^- + \text{H}^+ + 4 \text{H}_2\text{O}$	-144.4 kJ	-101.2 kJ	-98.15 kJ
$\text{HCO}_3^- + \text{H}^+ + \text{H}_2 \rightarrow \text{CHOO}^- + \text{H}^+ + \text{H}_2\text{O}$	-1.3 kJ	-35.7 kJ	-29.6 kJ

$\Delta G^\circ$  were calculated at 25°C and standard concentrations.

$\Delta G$  were calculated at pH 5.9, 37°C and the following concentrations:  $[\text{HCO}_3^-] = 0.05 \text{ M}$ ;  $[\text{H}_2] = 0.05\text{M}$ ;

$[\text{VFA}] = \text{Table 1}$ .

Gibbs' energy values were computed in accordance with Kleerebezem and Van Loosdrecht (2010).







