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1 **Rapid spread of the invasive yellow-legged hornet in France:**
2 **the role of human-mediated dispersal and the effects of control measures**

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18
19 **Running title:** Rapid spread of invasive yellow-legged hornet

20

21 **Summary**

22 **1.** The invasive yellow-legged hornet was first discovered in Europe, in south-western France, in
23 2004. It has since spread very rapidly and has caused significant mortality among honey bees and
24 native entomofauna. It also poses a risk to humans because its sting provokes allergic reactions. The
25 objectives of this study were the following: (i) to disentangle the roles played by human-mediated
26 dispersal and self-mediated dispersal in the species' rapid range expansion and (ii) to estimate the
27 intensity of control measures in France and determine what needs to be done to slow the hornet's
28 spread and dramatically reduce its population densities.

29 **2.** A mathematical model was developed to describe the hornet's potential spread. This model
30 included parameters describing the population growth rate, carrying capacity, self-mediated
31 dispersal, human-mediated dispersal and the efficacy of control measures (i.e. the destruction of
32 detected nests). Model parameters were estimated using 2004–2009 occurrence data for France and
33 the model was then validated using 2013 occurrence data. Several scenarios were tested: human-
34 mediated dispersal was present or absent and control intensity varied. Then, the species' spread in
35 coming years was simulated (from 2013 to 2020).

36 **3.** Despite some uncertainty on the value of the parameters, this model is relatively robust. Human-
37 mediated dispersal may not be necessarily responsible for the hornet's rapid range expansion; the
38 species could spread rapidly on its own. It is likely that, to date, an average of 30–40% of detected
39 nests have been destroyed each year.

40 **4.** Increasing the percentage of destroyed nests from 30 to 60% could reduce the species' spread by
41 17% and its nest density by 29%. If 95% of nests are destroyed, the species' spread and nest density
42 could decline by 43% and 53%, respectively.

43 **5. *Synthesis and applications.*** The mathematical model developed in this study shows that human-
44 mediated dispersal of the invasive yellow-legged hornet may not be the only factor explaining the
45 hornet's rapid range expansion and that controlling this invasive pest is still possible. Therefore,
46 there is an urgent need to reduce self-mediated dispersal and to intensify and improve control
47 measures to diminish the species' impact and prevent its further spread. Control measures could
48 combine the mechanical removal and destruction of individuals or infested materials with biological
49 control techniques.

50

51 **Key-words:**

52 biological invasion, CLIMEX, insect, dispersal, long distance, nest, reaction-diffusion model, pest

53 management, spread model, *Vespa velutina*

54 Introduction

55

56 The number of biological invasions has grown considerably over recent decades, mainly due to the
57 increased frequency and facility of human travel and international trade (e.g., Levine & D'Antonio
58 2003; Evans & Oszako 2007). Terrestrial invertebrate species account for a large proportion of the
59 invasions that have occurred in Europe (Hulme *et al.* 2009). Most such species come from Asia and
60 are largely found in France and Italy (Roques *et al.* 2009). Social insects are highly invasive because
61 they can easily adapt their reproductive modes and social structures to match new environments
62 (Beggs *et al.* 2011; Arca *et al.* 2015). For instance, there have been a large number of introductions of
63 *Vespidae* species across the world ($n = 34$) but only some ($n = 9$), all of them eusocial, have been
64 found to have negative ecological effects (Beggs *et al.* 2011).

65 The yellow-legged hornet, *Vespa velutina*, is the first exotic *Vespidae* species to successfully
66 arrive and establish itself in Europe (Beggs *et al.* 2011). It was first discovered in southwestern France
67 in the summer of 2004, and the first individual hornet was caught and identified in 2005 (Haxaire *et*
68 *al.* 2006; Villemant *et al.* 2006). It was probably introduced via bonsai pots imported from China and,
69 according to genetic analyses, likely came from the eastern Chinese provinces of Jiangsu and Zhejiang
70 (Arca *et al.* 2015). It has since very rapidly expanded its range in France and is now spreading to
71 neighboring European countries. It is found throughout most of France (more than 2/3 of the country
72 has been colonized; Rome *et al.* 2013); it is also present in Spain (López *et al.* 2011), Portugal
73 (Grosso-Silva & Maia 2012), Italy (Federazione Apicoltori Italiani 2013), and Germany (Rome *et al.*
74 2015). While a single male was observed in Belgium in 2011, very close to the French border (Rome
75 *et al.* 2012), the species does not seem to have established itself in that country yet (pers. com., Dr.
76 J.C. Grégoire, Université Libre de Bruxelles, Belgium, in 2014). A large proportion of Europe, including
77 France, seems to be suitable for the species' establishment (Villemant *et al.* 2011a), and an even
78 larger area could become suitable in the future given various climate change scenarios (Barbet-
79 Massin *et al.* 2013). To more accurately assess the potential spread of an invasive species, it is
80 important to consider the species' dispersal abilities. Along with environmental heterogeneity,
81 dispersal ability can shape a species' distribution and affect population growth (Roques *et al.* 2008).
82 In France, the yellow-legged hornet spreads at a rate of around 100 km/year, and several nests have
83 been found more than 200 km from the invasion front (Villemant *et al.* 2011a; Rome *et al.* 2012).
84 Three locations at which infestations have been observed are thought to have been colonized as a
85 result of long-distance dispersal events (Villemant *et al.* 2011a). It is common to find pioneer colonies
86 of invasive species at such large distances from the invasion front because of accidental transport by

87 humans. Indeed, in models of pest spread, human population density is a key factor that can explain
88 such jumps (*e.g.*, see the European gypsy moth, Sharov & Liebhold 1998; the horse-chestnut leaf
89 miner, Gilbert *et al.* 2004; the emerald ash borer, Muirhead *et al.* 2006; the pine wood nematode,
90 Robinet *et al.* 2009; the pine processionary moth, Robinet *et al.* 2012). However, in case of the
91 yellow-legged hornet, distinguishing between dispersal patterns caused by self-mediated flight
92 versus those caused by human-mediated transport is challenging because the hornet can fly very
93 long distances. Gynes—females destined to become queens and found new colonies—can fly an
94 average of 18 km/day and can cover up to 200 km over 10 days in flight mill experiments (*pers. com.*,
95 Dr. Daniel Sauvard, INRA, France). Although yellow-legged hornet gynes might not have the same
96 flight capabilities in nature, they may cover very long distances over their extensive flight periods
97 (*i.e.*, before and after hibernation).

98 At present, France is clearly the most infested country in Europe. Although the full impact of
99 the yellow-legged hornet is not yet known, some concerns are hornet predation of domestic honey
100 bees (*Apis mellifera*) and local entomofauna and the allergic reactions caused by the hornet's sting
101 (Monceau *et al.* 2014). As soon as the yellow-legged hornet was detected in France, beekeepers,
102 among others, became worried about the possible impact the species could have on honey bees and
103 tried to eliminate it by removing and destroying its nests. However, the overall control intensity and
104 efficiency (*e.g.*, the effects on population size over time) of such control efforts remain unclear. Due
105 to the hornet's rapid range expansion in France and the threat it poses, there is an increasing
106 demand for effective control measures. Even if traps and other control methods are available, they
107 remain inefficient and do not exclusively target the yellow-legged hornet. Mass trapping using food
108 baits could have negative impacts on native entomofauna, and it is uncertain how much it would
109 truly affect the yellow-legged hornet (Rome *et al.* 2011; EEA 2012).

110 Consequently, the objectives of this study were the following: 1) to disentangle the roles
111 played by human-mediated dispersal and self-mediated dispersal in the rapid range expansion of the
112 yellow-legged hornet in France, and 2) to estimate the intensity of control measures in France and
113 determine what needs to be done to slow the hornet's spread and dramatically reduce its population
114 densities. To this end, a spread model was developed and various scenarios were tested.

115

116

117

118

119 **Materials and methods**

120

121 STUDY SPECIES

122 The yellow-legged hornet produces one generation a year. After hibernation, fertilized queens found
123 new colonies. They build relatively large nests that are around 60–80 cm in diameter and 60–100 cm
124 in length and that can be easily detected (Darrouzet 2013; Rome *et al.* 2015). They are usually
125 located at the tops of trees but can also be found in bushes, in open buildings, or on walls. Since an
126 object of 1 cm x 1 cm can easily be seen from 1 m away and given that nests are generally at least 50
127 cm x 50 cm, according to the intersect theorem, it should be possible to detect the hornet's nests
128 from up to 50 m away. This detection distance is also the one used when conducting surveys for
129 animals such as rabbits (Mutze *et al.* 1998).

130 Yellow-legged hornets catch a large range of insect species, but especially domestic honey
131 bees (37%), to feed their larvae (Villemant *et al.* 2011b). Adults mainly consume the sweet liquids
132 and proteinaceous juice produced by the larvae. Workers also gather a variety of suitable materials
133 for nest building and nest maintenance (*i.e.*, plants and water). Because yellow-legged hornets can
134 disperse over long distances, exploit a wide range of prey species, and build/maintain their nests
135 with readily available materials, they likely face few limiting factors as they spread. In addition, they
136 compete very little with other *Vespa* species (Arca *et al.* 2015).

137

138 SPECIES OCCURRENCE DATASETS USED IN PARAMETER ESTIMATION AND MODEL VALIDATION

139 It is difficult to monitor the rapid spread of an invasive species across broad spatial scales. In the case
140 of the invasive yellow-legged hornet in France, this is made in the form of a citizen science project
141 organized by the French Museum of Natural History (MNHN, Paris). General information on the
142 yellow-legged hornet and also a guide that can be used to distinguish it from other species is
143 provided on a special webpage created by the museum (<http://frelonasiatique.mnhn.fr/>). Anyone
144 can report a species sighting by filling out a form indicating the precise location of the sighting,
145 describing what they observed, and sending either a photograph (of the nest or insect) or sending a
146 dried insect by regular mail. The MNHN then confirms the species' identity, and the record is
147 entered into a database.

148 The MNHN provided the 2004–2009 occurrence data used in this study (*e.g.*, number of
149 nests, nest spatial coordinates, and the year of first observation; see maps in the Supplementary
150 Material of Villemant *et al.* 2011a). A total of 4,802 nests were observed between 2004 and 2009 (2
151 in 2004, 4 in 2005, 257 in 2006, 1,670 in 2007, 1,233 in 2008, and 1,636 in 2009). This dataset was
152 used to estimate the parameters of the spread model (see below).

153 The map of the species' occurrence in France in 2013 was retrieved from the webpage
154 mentioned above; a total of 1,003 grid cells, each representing an area of 10 km x 10 km, were
155 marked as having been invaded. Since occurrence data for the period between 2010 and 2012 were
156 not available, this second dataset was used to independently validate the results of the model for
157 2013.

158

159 LOCAL DISPERSAL AND POPULATION GROWTH

160 A reaction-diffusion model was used to simulate local spread of the yellow-legged hornet. This model
161 is commonly used to describe the spatial spread of invasive species (*e.g.*, Shigesada & Kawasaki
162 1997). The reaction-diffusion model describes not only population dispersal but also population
163 growth. The model can be expressed using the Fisher equation:

$$164 \quad \frac{\partial N}{\partial t} = D \left(\frac{\partial^2 N}{\partial x^2} + \frac{\partial^2 N}{\partial y^2} \right) + rN \left(1 - \frac{N}{K} \right) \quad \text{Equation 1}$$

165 where N is nest density (km^{-2}) and depends on time t and spatial location (x,y) ; D is the diffusion
166 coefficient ($\text{km}^2 \text{ year}^{-1}$); r is growth rate (year^{-1}); and K is carrying capacity (km^{-2}). This model
167 generates a travelling wave that has a constant speed defined by:

$$168 \quad c = 2\sqrt{rD} \quad (\text{km year}^{-1}) \quad \text{Equation 2}$$

169 The parameters c , K , and r were estimated and D was then calculated using those values and
170 Equation 2. Some calculations (see below) were performed at the departmental level: in France, the
171 department is an administrative division that corresponds to the European classification level NUTS3
172 (<http://ec.europa.eu/eurostat/web/nuts/overview>).

173 First, the local rate of spread, c , was estimated by measuring the radial distances between
174 the range edge observed in consecutive years from 2004 to 2009 in southwestern France; the three
175 locations at which hornet presence has been attributed to human-mediated dispersal were excluded
176 (Villemant *et al.* 2011a; Rome *et al.* 2012).

177 Then, carrying capacity, K , was given by the highest nest density in the most infested
178 department of France, which was where the yellow-legged hornet was initially discovered, in the
179 southwest. This value was assumed to represent what the environment can sustain. Since plant
180 resources used in nest building (Monceau *et al.* 2014) and prey are found throughout France, K was
181 considered to be homogeneous across the country. Although nests are usually found close to rivers,
182 this factor was not incorporated into the model because its level of spatial resolution was too coarse
183 (*i.e.*, 10 km x 10 km; see the model description below).

184 Finally, growth rate, r , was estimated. Population growth (based on survival and
185 reproduction) may not be homogeneous across France because it usually depends on climatic
186 conditions, and more specifically temperature. Growth index values (GI , varying from 0 to 100) were
187 extracted from a CLIMEX model previously developed for the yellow-legged hornet (Sutherst *et al.*
188 2007; Ibáñez-Justicia & Loomans 2011). This index describes the growth potential of a population
189 during favorable conditions and depends on temperature, moisture, and solar radiation. To obtain a
190 spatial estimate of r , we performed a linear regression between GI and r . For each infested
191 department and for each year i , we calculated the annual growth rate:

192
$$r_i = \ln\left(\frac{n_i}{n_{i-1}}\right) \quad \text{Equation 3}$$

193 where n_i is the number of nests found in year i in the department. Then, we took the mean value of
194 these growth rates r_i over the exponential section of the population growth curve (*i.e.*, before it
195 reaches $K/2$). For each department, we calculated the mean of GI and performed the regression.
196 Then, we used the regression equation and the GI values to estimate r for all of France. These values
197 were then used in the logistic growth (see Equation 1).

198 The diffusion coefficient, D , was assumed to be homogeneous across France because of the
199 fast spread rate (and thus likely few local barriers) and no evidence of a spatial heterogeneity in
200 terms of invaded areas per unit of time. However, since r and c likely vary, D was estimated using the
201 values of r and c for southwestern France (the Aquitaine region). First, we calculated the mean value
202 of r using the r values that had been estimated for each department in southwestern France. Second,
203 we calculated D for each department using Equation 2 and the previously determined value of c .
204 Finally, we calculated the mean value of D across all these departments.

205 Based on the results of bioclimatic envelope models (Ibáñez-Justicia & Loomans 2011;
206 Villemant *et al.* 2011a), most of France is suitable for the establishment of the yellow-legged hornet
207 and the least suitable areas in Europe seem to be mainly located in mountainous regions (Péré &
208 Kenis 2011). Therefore, we defined an elevation limit E above which the yellow-legged hornet should

209 not be able to establish itself; we used the highest elevation at which it was found between 2004 and
210 2009 (dataset used for parameter estimates). Climate change could possibly affect the elevational
211 threshold. However, in this study, we did not explore this possibility as the hornet's spread is
212 relatively fast compared to the effects of climate change.

213

214 LONG-DISTANCE DISPERSAL

215 Points where hornets occurred at distances greater than the local dispersal distance from their
216 nearest neighbors were identified. In addition, a subset of points with the highest dispersal distances
217 which fell within the same range than the three points thought to result from long-distance dispersal
218 (according to Villemant *et al.* 2011a) was also identified. For all these locations, the effects of human
219 population density (retrieved from CIESIN & CIAT 2005) on long-distance dispersal were analyzed.
220 We obtained estimates of human density at the points and compared those values with the values
221 associated with 100 randomly chosen points found both within habitat favorable to hornets (where
222 elevation < E) and outside the short-distance dispersal range. Since the data were not normally
223 distributed, a Wilcoxon test was used to compare human population densities between the two sets
224 of points (Millot 2011). A threshold value for human population density (H) above which long-
225 distance dispersal events could be observed was defined. This threshold distinguished the long-
226 distance dispersal points from the randomly chosen points.

227 Then, to simulate human-mediated dispersal, we defined a yearly probability of observing a
228 long-distance dispersal event, denoted as P_{ldd} , which was based on the number of occurrences
229 observed every year between 2004 and 2009 that likely resulted from human-mediated dispersal.
230 Then, for each event, we randomly chose a nest founding point within the area where human
231 population density and elevation were suitable (above H and below E). Since the model was
232 stochastic, we ran 100 replicate simulations to obtain result convergence (see Appendix S1 in
233 Supplementary Information).

234

235 CONTROL MEASURES

236 Control measures (*e.g.*, nest removal and destruction) may affect the range expansion of the yellow-
237 legged hornet. Their effects in the form of nest density reduction were included in the model for two
238 reasons: 1) to determine the percentage of nests removed and destroyed in the past in France and 2)
239 to explore the possible effects in the future if control efforts increased. Since some control measures

240 have been applied since the species was detected in France, the model had to account for them both
241 in the model calibration and validation.

242 Control measures are likely not uniformly applied across France because their application
243 largely depends on the likelihood of encountering nests. The nests can be detected by chance or
244 when yellow-legged hornets are reported and then the nests are searched for destruction.
245 Beekeepers face some dramatic problems in rural areas but anyone living in urban areas can also
246 face important problems because the yellow-legged hornet also occurs there, it can be aggressive
247 toward humans and its colony size is relatively large (Choi *et al.* 2012). Since there is a high number
248 of potential observers and a high number of people likely to get stung in urban areas, we assumed
249 that the probability of applying control measures is greater in these areas.

250 In the model, we assumed that people can detect a yellow-legged hornet nest from within a
251 50-m radius ($d = 0.05$ km). We then defined the parameter ρ as the proportion of a given area that
252 one person can observe, where $\rho = (\pi \times d^2)/A$. For a cell of 10 km x 10 km ($A = 100$ km²), the area
253 observed is $\pi \times d^2 = 7.85 \times 10^{-3}$ km². Therefore $\rho = 7.85 \times 10^{-5}$ for that cell. The intensity of control
254 measures, $C(h)$, is given by (**Figure 1**):

$$255 \quad C(h) = \frac{\alpha h}{\frac{1}{2\rho} + h} \quad \text{Equation 4}$$

256 where h is the number of humans associated with the cell (*i.e.*, human population density) and α is
257 the maximal control intensity if all nests were detected ($0 \leq \alpha \leq 1$, where $\alpha = 0$ means that no nest is
258 destroyed and $\alpha = 1$ means that all the detected nests are destroyed). As human population densities
259 increase, $C(h)$ approaches α . Control intensity describes the proportion of nests that are destroyed.
260 Although actual control efforts are more complex, this simple function based on the detection radius
261 and human population density likely captures the main pattern that explains heterogeneity in
262 attempts to control the yellow-legged hornet.

263

264 MODEL DESCRIPTION, VALIDATION, UNCERTAINTY ANALYSIS AND PREDICTIONS

265 We combined estimates of local spread and growth with estimates of long-distance dispersal and
266 control intensity. We applied these models to a grid that covered France with a spatial resolution of
267 10 km x 10 km; implementation took place in R (R Development Core Team 2014; Robinet *et al.* 2016;
268 see also Appendix S1). The simulations began with the two nests that were present in 2004 at the
269 location where the hornet first appeared in France (**Figure 2**).

270 To validate the model and identify the most likely dispersal and control scenarios, the
271 species' spread between 2004 and 2013 was simulated with and without long-distance dispersal
272 events (representing human-mediated dispersal). Different levels of control intensity were also used;
273 α ranged from 0 to 1 and varied by increments of 0.1. The simulated results obtained for 2013 were
274 compared with actual observations from that year (**Figure 2**). We calculated the percentage of
275 departments for which hornet presence/absence was correctly predicted.

276 Due to uncertainty on the value of some parameters, we did an uncertainty analysis. First, we
277 identified the possible range of the parameters' values for the local spread rate (c), the carrying
278 capacity (K), the growth rate (r) and the detection radius (d). Then, we simulated the potential spread
279 of the yellow-legged hornet between 2004 and 2013 using these values to obtain the possible range
280 of the model outputs. We considered no long-distance dispersal and $\alpha = 0.30$, and we calculated the
281 percentage of departments correctly predicted.

282 To predict the effects of future control efforts, we took into account the simulated spread in
283 2013 (as initial conditions) and the most likely dispersal scenario (spread without human-mediated
284 dispersal; see results). We then simulated the species' potential spread through 2020. The effects of
285 control intensity were tested (at $\alpha = 0.3, 0.6, \text{ and } 0.95$, which represent the current control intensity,
286 a doubling of control efforts, and nearly maximal control efforts, respectively).

287

288 **Results**

289 LOCAL DISPERSAL AND POPULATION GROWTH

290 The yellow-legged hornet spread over a radius of 112 km during 2004-2005, 75 km during 2005-2006,
291 89 km during 2006-2007, and 75 km during 2008-2009; a pioneer population spread by 30 km during
292 2007-2008. Therefore, the rate of spread ranged between 75 and 112 km/year. Given that the
293 yellow-legged hornet was discovered in 2004 but had probably arrived before then and needed time
294 to build up and spread (*i.e.*, spreading less rapidly, possibly by around 30 km for the first year, like
295 the pioneer population above), the local rate of spread was more likely between 75 and 82 km/year.
296 In the simulations, we considered that $c = 78$ km/year in southwestern France (Table 1).

297 In the department with the greatest infestation levels, the highest number of nests was
298 about 330. Since the area of this department is 5,361 km², we estimated K at 0.06 nest/km².

299 There was a weak positive correlation between r and GI ($R^2 = 0.11$; $F_{1,19} = 2.347$, $P > 0.05$)
300 (**Figure 3**). The growth rate was defined by the following formula:

$$301 \quad r = -1.29593 + 0.09502 \times GI \quad \text{Equation 5}$$

302 D was estimated for each department in the Aquitaine region using their respective r values
303 ($r = 1.35, 1.80, 2.39, 1.08, \text{ and } 1.65$). Given that $c = 78$ km/year, the mean value of D was 984 km²
304 year⁻¹ (Table 1).

305 Between 2004 and 2009, the highest elevation where the yellow-legged hornet was found
306 was $E = 791.5$ m. Consequently, this was the threshold used in the simulations.

307

308 LONG-DISTANCE DISPERSAL

309 A total of 30 occurrence points were found further than 78 km (the mean radial distance) from their
310 nearest neighbors. Distances ranged almost continuously from 78 km to 327 km (**Figure 4**), including
311 the three furthest points generally attributed to human-mediated dispersal in the literature; they are
312 located in Côte d'Or (point number 1), Ille-Et-Vilaine (point number 2), and Seine-Saint-Denis (point
313 number 3) (**Figure 4**). Since the distance distribution was rather continuous, there was no clear
314 threshold separating short-distance events from long-distance events, which calls into question
315 previous assumptions regarding human-mediated dispersal.

316 At these 30 occurrence points, human population densities were higher than at the 100
317 randomly selected points ($W = 2034$, $P < 0.001$) (average of 322.3 and 86.9 inhabitants/km²,
318 respectively). There were a subset of two to five points located further than the others, at the
319 periphery of the species' range (**Figure 4**), which were therefore more likely associated with long-
320 distance dispersal events. At these points, human population densities were the following: 126.3
321 (point 1), 566.1 (point 2), 1,490.4 (point 3), 228.9 (point 4), and 19.0 (point 5). We chose $H = 125$
322 inhabitants/km² for the threshold above which the yellow-legged hornet arrives via human-mediated
323 dispersal. Four of the five extreme points were above this distance threshold. Although point 5 had a
324 relatively low human population density, it was located very close to point 4. The yellow-legged
325 hornet was observed at points 4 and 5 the same year, in 2007, so it is possible that it actually arrived
326 before then and spread from one point to the other.

327 A stochastic approach was used to simulate human-mediated dispersal. As the number of
328 points discovered at very long distance and possibly resulting from human-mediated dispersal events
329 was possibly between two and five for a 6-year period (2004-2009) (**Figure 4**) and a large proportion

330 of France is suitable for species establishment (meaning that most human-mediated dispersal events
 331 could result in establishment), we estimated that the yearly probability of hornets being accidentally
 332 dispersed by humans in France, denoted as P_{idd} , ranged from 0.3 to 0.8. A Bernoulli distribution with
 333 probability P_{idd} was used to simulate the occurrence of human-mediated dispersal. In case of long-
 334 distance events, we randomly selected a nest founding location within suitable habitat (where $E \leq$
 335 791.5 m) that was not yet invaded and where human population density was above H .

336

337 MODEL VALIDATION: ROLE OF HUMAN-MEDIATED DISPERSAL AND CURRENT CONTROL INTENSITY

338 A higher percentage of departments had their statuses correctly predicted (*i.e.*, hornet
 339 presence/absence) in the simulations without human-mediated dispersal and when control intensity
 340 was intermediate ($\alpha = 0.3-0.4$; **Figure 5**). When human-mediated dispersal was present, the model
 341 overestimated the hornet's spread, especially in northern and eastern France (**Figure 6; Figure S1 in**
 342 **SM1**). In simulations without human-mediated dispersal but with higher control intensity ($\alpha \geq 0.6$),
 343 spread was underestimated (**Figure 6; Figure S1 in SM1**) and predictions were less accurate (**Figure**
 344 **5**). These results suggest that past control efforts have been moderate. In addition, when control
 345 intensity was maximal ($\alpha = 1$), all the dispersal scenarios converged. This finding makes sense since,
 346 in the model, colonies dispersed by humans arrive in urban areas and most are destroyed ($C(h) \approx \alpha$).

347

348 UNCERTAINTY ANALYSIS

349 Uncertainty on the local spread rate (c from 75 to 82 km/ year) and on the carrying capacity (K from
 350 0.06 to 0.18 nests/km²) impacted the percentage of departments correctly predicted by -3.1 to +1.1
 351 %. Uncertainty on the detection radius (d from 10 to 1000 m) impacted this percentage by 0 to -5.2%,
 352 with a best fit for values ranging from 40 to 70 m, which includes the default value (50 m). The model
 353 output was mostly sensitive to the population growth (r). To estimate this parameter, we calculated
 354 the observed growth rate each year (before it reached half of the carrying capacity), and then these
 355 values were averaged for each invaded department. In this uncertainty analysis, we considered the
 356 lower and upper limit of the 95% confidence interval of this mean, and we considered the following
 357 linear regressions:

$$358 \quad r_{inf} = -1.45386 + 0.07018 \times GI \quad \text{Equation 6}$$

$$359 \quad r_{sup} = -1.13801 + 0.11985 \times GI \quad \text{Equation 7}$$

360 for the lower limit of the 95% confidence interval (r_{inf}) and the upper limit of the 95% confidence
361 interval (r_{sup}), respectively. The percentage of correctly predicted departments decreased by 7.3%
362 when considering r_{inf} and by 31.5% when considering r_{sup} . The spread model developed in this study
363 was relatively robust, even if there was some uncertainty associated with the estimations of certain
364 parameters (see Appendix S2 for more details).

365

366 MODEL PREDICTIONS: EFFECTS OF INCREASING CONTROL INTENSITY

367 Based on the simulations run for the period between 2013 and 2020 in which human-mediated
368 dispersal events were excluded, the yellow-legged hornet could continue to expand its range in the
369 future (**Figure 7**). When control intensity was increased from 30 to 60%, the hornet's spread was
370 reduced by 17.1% (453,400 versus 375,800 km² of total invaded area) and nest density was reduced
371 by 27.9% (from 16,249 to 11,708 nests). When control intensity was raised even further, to 95%, the
372 hornet's spread was reduced by 42.7% (to 259,900 km² of invaded area) and nest density was
373 reduced by 53.3% (to 7,587 nests).

374

375 **Discussion**

376

377 YELLOW-LEGGED HORNET INVASIVENESS IS TIED TO DISPERSAL AND ESTABLISHMENT ABILITIES

378 This study presents the first evidence that the rapid spread throughout France of the yellow-legged
379 hornet may not be necessarily mediated by humans. Although the model used was stochastic and
380 may not precisely predict the area to be invaded, it nonetheless performed better than a purely
381 random model (see Appendix S1). It was clear that including human-mediated dispersal in the model
382 resulted in less accurate predictions than when only dispersal over "short" distances was allowed. In
383 fact, such "short-distance" dispersal could represent self-mediated dispersal by the yellow-legged
384 hornet and occur over relatively large distances: the average was 78 km/year in this study. How can
385 this unexpected result be explained?

386 First, founder females are capable of flying very long distances (Beggs *et al.* 2011). They can
387 cover 200 km in several days in flight mill experiments (Pers. Com., Dr. Daniel Sauvard, INRA, Orléans,
388 France). Despite the possible bias of such experiments, the distances recorded fit with the rate of
389 spread observed for some species in the field. Indeed, species range expansion can result from the

390 long-distance dispersal of just a few individuals with extreme dispersal abilities (e.g., around 5
391 km/year for the pine processionary moth, Battisti *et al.* 2005; Robinet *et al.* 2012). The overall flight
392 period of yellow-legged hornet gynes is relatively long because they may fly before hibernation (i.e.,
393 while looking for a hibernation site) and after hibernation (i.e., while building new nests and thus
394 founding new colonies). This long flight period could therefore translate into long dispersal distances.

395 Second, the yellow-legged hornet is extremely successful at establishing new colonies. The
396 invasion in France probably resulted from the introduction of no more than a few and perhaps even
397 just one mated female (Arca *et al.* 2015). If so, it means that if a mated female manages to fly a
398 hundred kilometers and arrives in favorable habitat, then there is a high probability that she will
399 succeed in founding a new population. The fact that a single individual can give rise to a whole
400 population makes the yellow-legged hornet a formidable invader (EEA 2012). Because of the species'
401 high degree of establishment success, only a few females need to disperse long distances to create
402 satellite colonies far from the species' main range.

403

404 DISENTANGLING HUMAN-MEDIATED DISPERSAL FROM SELF-MEDIATED LONG-DISTANCE DISPERSAL

405 This study suggests that individual hornets would be able to disperse long distances on their own and
406 thus generate stochastic patterns of spread similar to those resulting from human-mediated
407 dispersal. If the patterns they produce are similar, it is difficult to discriminate between the two
408 potential scenarios. This study therefore differs from other studies of invasive species in which the
409 long-distance dispersal events associated with rapid range expansion are mainly attributed to human
410 activities. For instance, human-mediated dispersal was considered as the factor explaining long-
411 distance dispersal and the subsequent rapid spread of the following species: (i) the pine
412 processionary moth, *Thaumetopoea pityocampa*, whose spread across France was hypothesized to
413 result from the transport and planting of large potted trees whose soil was infested (Robinet *et al.*
414 2012); (ii) the horse-chestnut leafminer, *Cameraria ohridella*, whose spread across Europe was
415 attributed to the transport of infested leaves via car traffic (Gilbert *et al.* 2004); (iii) the pine wood
416 nematode, *Bursaphelenchus xylophilus*, which is thought to have spread across China because of the
417 transport of infested wood material (Robinet *et al.* 2009); and (iv) the emerald ash borer, *Agrilus*
418 *planipennis*, which may have spread across North America because of the transport of infested
419 firewood (Muirhead *et al.* 2006). The results of this study therefore indicate that greater caution is
420 needed when interpreting the mechanisms explaining long-distance dispersal events.

421

422 FUTURE RESEARCH: APPLYING THE MODEL TO OTHER COUNTRIES

423 It is not known whether there have been other, more recent introductions of the yellow-legged
424 hornet from Asia to Europe. The model presented here was developed to describe the potential
425 spread of the yellow-legged hornet in France. However, due to the species' ability to spread rapidly
426 and effectively, it is now necessary to assess its potential spread across Europe. This same model
427 could be used to predict the hornet's spread in Spain, Portugal, and Italy, countries where the hornet
428 is already present. The estimates of the model parameters could be adjusted and the model
429 eventually refined with these additional datasets. This model can also be used as a companion to
430 genetic studies, which are commonly used to trace the origins of populations (*e.g.*, Arca *et al.* 2015);
431 simulations can be used to test whether the yellow-legged hornet likely arrived from neighboring
432 countries (if the simulation results fit with observations) or following other introduction events (if the
433 simulation results do not fit with observations). It is also possible to determine the likelihood that the
434 species will spread to uninvaded neighboring countries with favorable climates, such as Switzerland,
435 Belgium, and the United Kingdom.

436

437 COMBINING CONTROL METHODS TO IMPROVE EFFICIENCY

438 Insect eradication may be possible when the invasion is confined to small areas or a sharply delimited
439 breeding habitat (Pluess *et al.* 2012). Since the yellow-legged hornet has already spread throughout
440 most of France, it is no longer possible to eradicate it. Instead, the best strategy would be to slow its
441 spread and reduce its population densities at large scale. Control measures could combine the
442 mechanical removal and destruction of individuals or infested materials with biological control
443 techniques. For example, such an approach was successfully used to control the spruce beetle,
444 *Dendroctonus micans* (Fielding & Evans 1997; Pauly & Meurisse 2007). In the United Kingdom and
445 France, clearcutting infested trees and releasing a natural predator, *Rhizophagus grandis*, caused the
446 population to decline; it ultimately reached a low-level equilibrium, particularly in recently colonized
447 areas and areas experiencing outbreaks. Combining control measures in this way could also be useful
448 when dealing with rapidly spreading invaders. For instance, removing leaves infested by the horse-
449 chestnut leafminer, *Cameraria ohridella*, and releasing one of the insect's generalist predators could
450 cause populations to reach an economically acceptable threshold (Anița *et al.* 2014).

451 Combining different approaches—such as (1) removing and destroying hornet nests, (2)
452 trapping hornets with more selective traps and more specific attractant, and (3) releasing natural
453 enemies—could be highly valuable in efforts to control the yellow-legged hornet in France and in

454 Europe in general. Although none of these methods would be 100% effective, they might be more
455 efficient when combined, applied at larger scales, and coupled with certain phenomena, such as
456 inbreeding, that naturally reduce the population sizes of invaders (Darrouzet *et al.* 2015a). Nest
457 removal and destruction can be performed more frequently if they are required by a country's
458 regulations. Trapping yellow-legged hornets is not yet efficient since traps and attractants are not
459 selective enough (Monceau *et al.* 2012; Goldarazena *et al.* 2015). Reducing nest density should be
460 possible if traps catch gynes. There is therefore an urgent need to develop selective traps. In
461 addition, choosing appropriate locations at which to set traps (*e.g.*, not necessarily near hives) could
462 improve trapping efficiency (Monceau *et al.* 2012). Since gynes are trapped under certain climatic
463 conditions, removing or closing traps when these conditions are not present could help prevent the
464 capture of non-target species (Monceau *et al.* 2012). Another promising control method that merits
465 further study is the use of biological control agents. These agents include the endoparasitoid, *Conops*
466 *vesicularis*, which was recently observed in dead queens in France (Darrouzet *et al.* 2015b) and
467 parasitic nematodes such as *Pheromermis vesparum*, which were collected from adult hornets in
468 France (Villemant *et al.* 2015). Although these biological agents by themselves would hardly hamper
469 the invasion (Villemant *et al.* 2015), if more efficient natural enemies were found, especially in the
470 yellow-legged hornet's native range, they could be used to weaken populations. Nevertheless, like
471 for any intentional release of natural enemies, caution is needed as these controlling agents
472 themselves could have unexpected impact on the environment (Hajek *et al.* 2016). Some infamous
473 examples are: the marine cane toad, *Bufo marinus*, introduced in Australia to control cane beetles,
474 which has rapidly spread for unclear reasons and caused severe problems (Shanmuganathan *et al.*
475 2010), and the Harlequin ladybird, *Harmonia axyridis*, an Asian coccinellid introduced in America,
476 Europe and Africa to control aphids, which became invasive after some admixture with bridgehead
477 populations (Lombaert *et al.* 2010).

478 Combining different control methods in these ways, whilst preventing potential side effects,
479 could be the best approach to reduce the yellow-legged hornet's impact and slow its spread in
480 France and in Europe in general. To this end, species-specific management policies should be
481 adopted in France and Europe in addition to the recent EU regulations instituted regarding invasive
482 alien species (see Regulation 1143/2014;
483 http://ec.europa.eu/environment/nature/invasivealien/index_en.htm). European countries should
484 anticipate the potential spread of invaders, especially given climate warming (Barbet-Massin *et al.*
485 2013), such that appropriate regulations are in place prior to the arrival of invasive species. In France,
486 the yellow-legged hornet has been considered a noxious species since 2012, yet prevention,
487 monitoring, and/or management efforts are still not mandatory (Monceau *et al.* 2014). The results of

488 this study (which underscore that it is possible to slow the spread and reduce the nest densities of
489 the yellow-legged hornet if stronger control measures are deployed), and the development of new
490 control techniques (such as selective traps) will encourage decision makers to adopt stronger pest
491 management policies to control the invasive yellow-legged hornet and thereby reduce its impact on
492 honey bees, native entomofauna, and humans.

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502 espèce invasive prédatrice des abeilles”; 2011-2014).

503

504 **Data accessibility**

505 The R script and the data needed to use this script are available at <https://zenodo.org/record/49021>
506 (Robinet *et al.* 2016). The R code of the core function and 2013 occurrence data are provided in the
507 Appendix S1 of this manuscript. Occurrence data for 2004 to 2009 are provided in the Supplementary
508 Material in Villemant *et al.* (2011b).

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- 645

646 **TABLES**

647 **Table 1.** Estimates of model parameters. (*) Since parameters c and r vary across France, the
 648 estimates provided are those for southwestern France.

Parameter	Symbol	Estimate
Rate of spread	c	78 km year ⁻¹ (*)
Carrying capacity	K	0.06 nest km ⁻²
Growth rate	r	1.66 (*)
Diffusion coefficient	D	984 km ² year ⁻¹
Maximum elevation above sea level where hornets are found	E	791.5 m
Annual probability of long-distance dispersal	P_{LDD}	0.3-0.8
Threshold of human population density	H	125 km ⁻²

649

650 **FIGURES**

651 **Figure 1.** Control intensity as a function of human population density (given by the number of
652 inhabitants within 10 km x 10 km cells) when $\alpha = 1$. The map shows the corresponding control
653 intensity throughout France which goes from low (less than 10%) in light gray areas to high (more
654 than 90%) in black areas. The dark gray polygons delineate the borders of the French departments.

655 **Figure 2.** Distribution of the yellow-legged hornet in 2013 (source: INPN website). The data are
656 projected onto a grid with a resolution of 10 km x 10 km (on the left) and depicted per department
657 (on the right). The black point in southwestern France indicates where the yellow-legged hornet was
658 first found in 2004.

659 **Figure 3.** Growth rate. (a) Correlation between the observed growth rates (r) and the growth index
660 values estimated from the CLIMEX model (G_I) (one point per department). (b) Predicted growth rates
661 estimated from the G_I values across France (10-km grid resolution).

662 **Figure 4.** Long-distance dispersal. (A) Number of hornet occurrence points found more than 78 km
663 from their nearest neighbors. (B) Locations of these long-distance dispersal events (black dots). The
664 most extreme points (largest black dots) are numbered so their distances can be identified in (A). In
665 the literature, points 1, 2, and 3 are hypothesized to have resulted from long-distance dispersal
666 (Villemant *et al.* 2011a). The gray dots are points located less than 78 km from their nearest
667 neighbors.

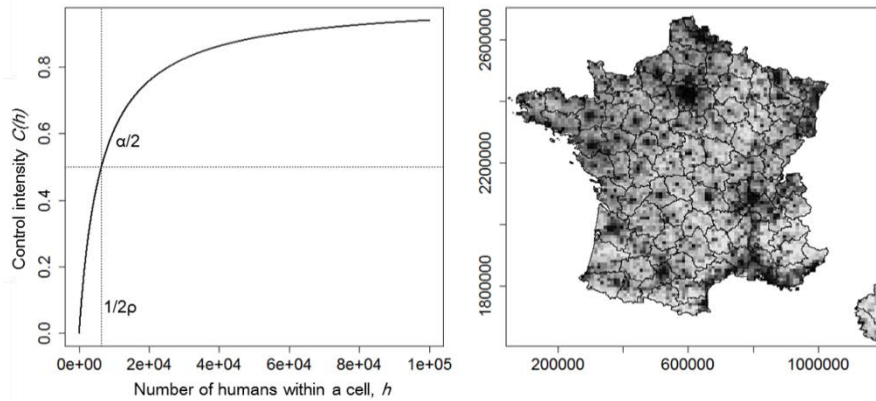
668 **Figure 5.** Percentage of departments for which the model correctly predicted the presence/absence
669 of the yellow-legged hornet in 2013 at different control intensities (α from 0 to 1). Several dispersal
670 scenarios were tested—the yearly probability of human-mediated dispersal events varied ($P_{ldd} = 0.3,$
671 $0.5,$ and 0.8) and human-mediated dispersal events were also excluded. In gray are the 95%
672 confidence intervals for each human-mediated dispersal scenario.

673 **Figure 6.** Potential spread in 2013 for simulations under different control intensities (α) and different
674 human-mediated scenarios (without human-mediated dispersal “no LDD” and with different yearly
675 probability of human-mediated dispersal event, P_{ldd}). The color gradient expresses differences in
676 nest density (yellow: 0.01–0.1 nests per cell; orange: 0.1– 1 nests per cell; red: 1–3 nests per cell; and
677 dark red: more than 3 nests per cell).

678 **Figure 7.** Potential spread in 2020 for different control intensities ($\alpha = 0.3, 0.6,$ and 0.95) where long-
679 distance, human-mediated dispersal was excluded. The color gradient expresses the differences in

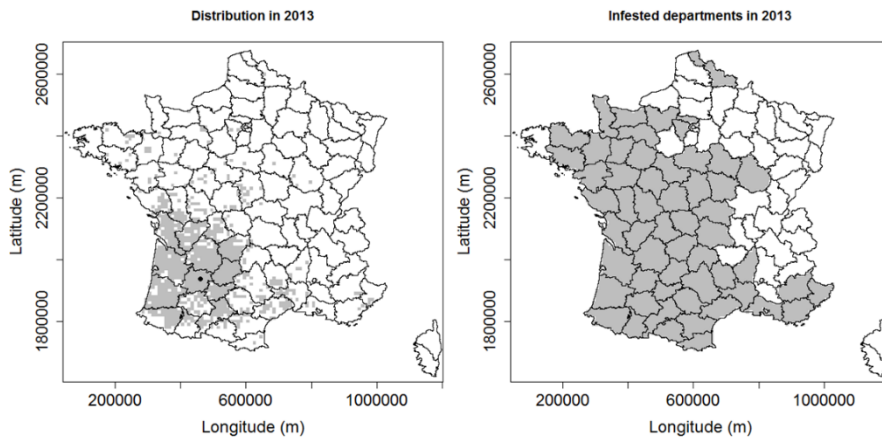
680 hornet population density as estimated via the number of nests in each grid cell (cell size = 10 km x
681 10 km).

682 Figure 1.



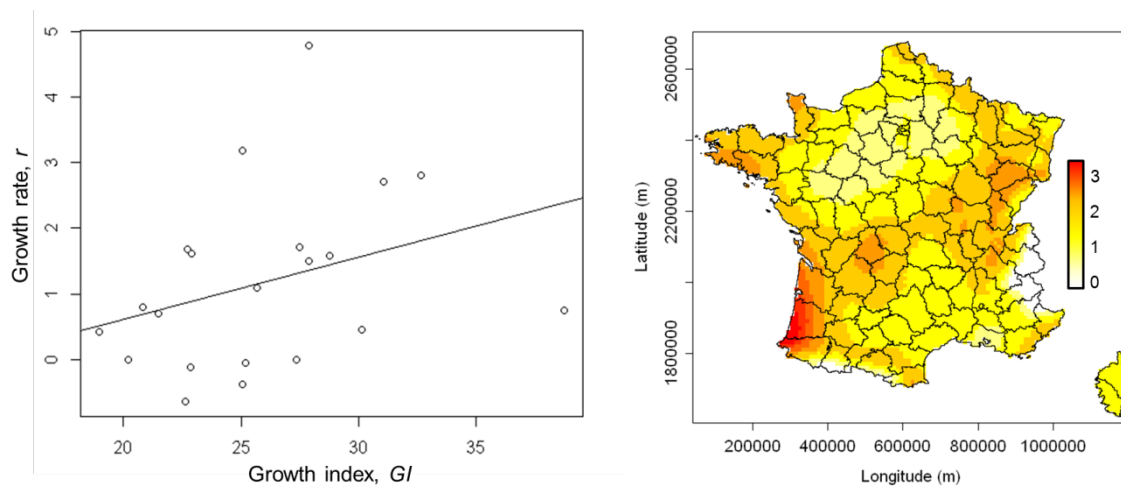
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684 Figure 2.



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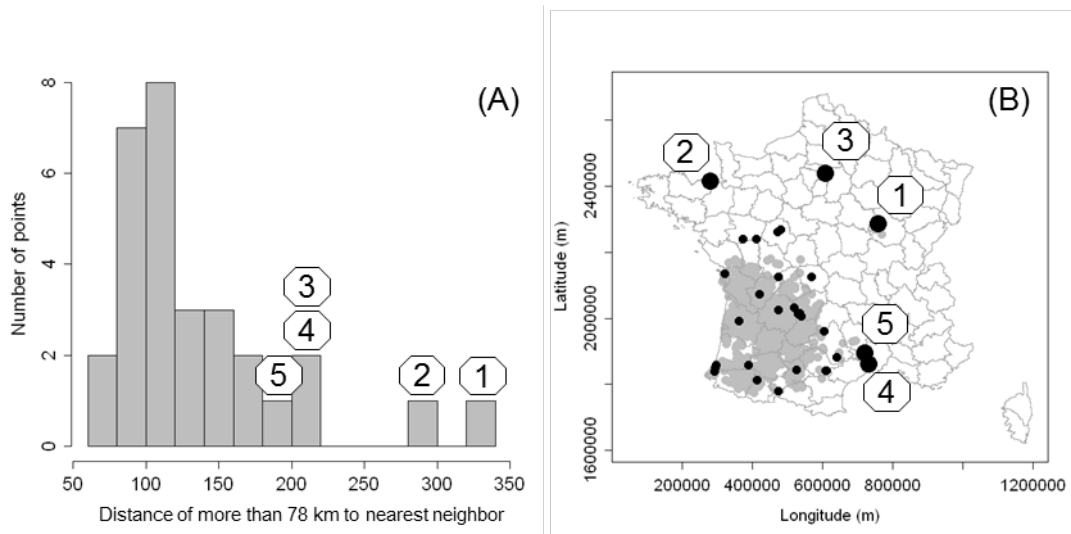
686 Figure 3.



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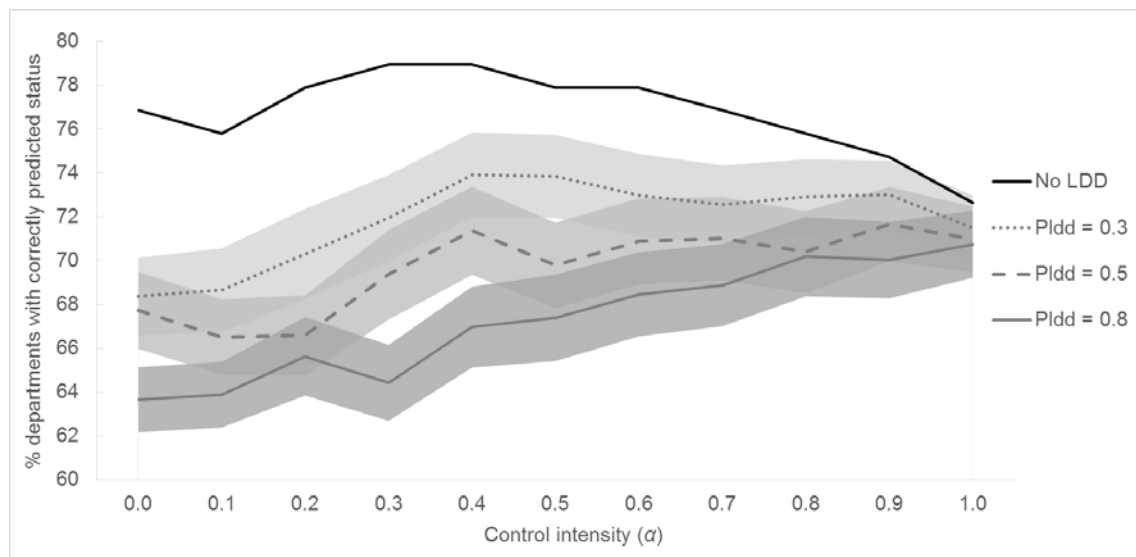
689 Figure 4.



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692 Figure 5.

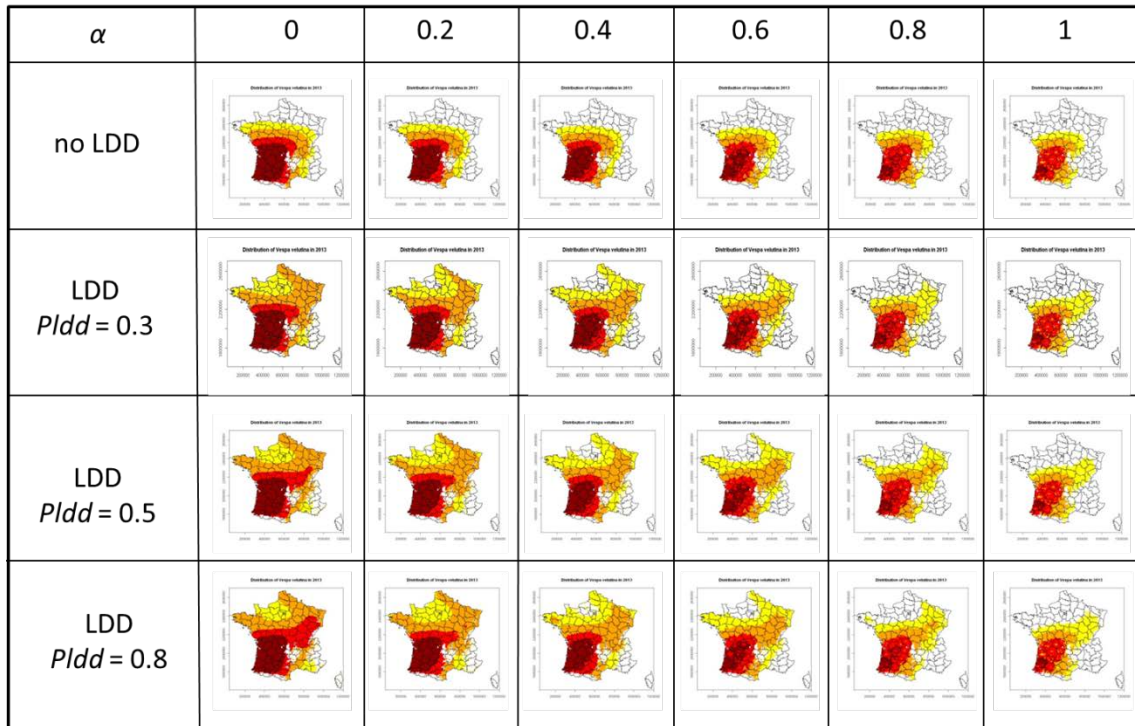


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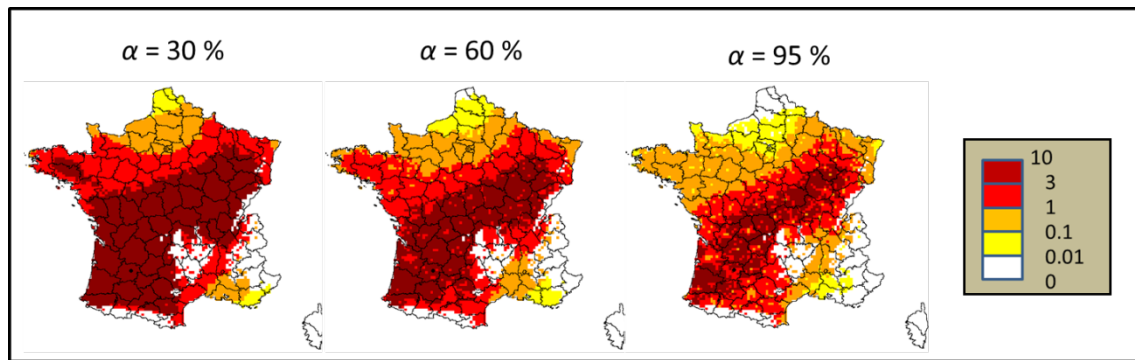
696 Figure 6.



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699 Figure 7.



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702 **Supporting Information**

703 **Appendix S1.** Technical description of the model and additional supporting results.

704 **Appendix S2.** Uncertainty analysis.