

# The environmental biorefinery: state-of-the-art on the production of hydrogen and value-added biomolecules in mixed-culture fermentation (vol 20, pg 3159, 2018)

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1 The environmental biorefinery: state of the art on the production of hydrogen and

2 value-added biomolecules in mixed-culture fermentation

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#### 10 Abstract:

11 The environmental biorefinery consists in recovering and adding value to waste, 12 possibly through a multi-product approach. A first implementation of such concept is the 13 production of methane and nutrient-rich digestate by anaerobic digestion in biogas plants. 14 However, methane and digestate have only a low added-value and biogas plants still require 15 feed-in tariff policies to be economically viable. The aim of this article is to provide a meta-16 analysis of current biomass recovery technologies compatible with environmental applications (i.e. non-sterile conditions and carried out by microbial mixed culture). A 17 18 particular focus on those able to produce high value-added fermentation metabolites was 19 made. To achieve this objective, both qualitative (e.g. substrates, pretreatments) and 20 quantitative data (e.g. yields, productivities, process parameters) were retrieved from 624 21 manually-checked research articles, excluding review papers, and 134 patents published after 22 1997. In addition, a straightforward market study was carried out for nine promising 23 biomolecules: H<sub>2</sub>, ethanol, acetate, propionate, lactate, 1,3-propanediol, butyrate, caproate and 24 polyhydroxyalkanoates (PHAs). Finally, the feasibility of producing each biomolecules in the 25 context of an environmental biorefinery is discussed in the light of the current process 26 performances and their related bottlenecks.

27

28 Keywords: Biohydrogen; Bioeconomy; Biomolecules; Dark fermentation; Microbial
29 electrosynthesis; Microbial consortia; Photofermentation; Pretreatment

#### 30 1. Introduction

31 Anaerobic digestion (AD) is currently going through a strong industrial development in the renewable energy sector all around the world<sup>1,2</sup>. It is a mature biological process involving 32 a complex association of microbial communities (i.e. mixed microbial cultures) able to 33 34 convert into methane a variety of organic substrates including industrial and municipal 35 wastewater, sewage sludge, municipal solid waste and residues and effluents from agricultural activities<sup>3</sup>. To date, the main product considered in AD processes is biogas, consisting of a 36 37 mixture of methane and carbon dioxide. However, hydrogen gas (H<sub>2</sub>) which is an 38 intermediate product of this process (Figure 1) has a higher added value than biogas and is 39 predicted to become a wide energy carrier for transportation and energy storage in a near future<sup>4</sup>. Several process control strategies and microbial selection procedures have been 40 explored over the past 15 years to promote H<sub>2</sub> production during the acidogenic phase of AD 41 in a process called dark fermentation  $(DF)^5$ . During DF, H<sub>2</sub> production is concomitant with 42 43 the accumulation of soluble metabolites (carboxylic acids and alcohols, Figure 1), which have 44 a higher added-value than biogas and could potentially be extracted prior to their conversion 45 into methane<sup>6</sup>. Despite the numerous scientific studies published on mixed-culture DF and the 46 few dozens on pilot-scale reactors, all showing great perspectives for this technology, there is 47 still no industrial-scale implementation of such environmental biorefinery.

48 < Figure 1 >

49 The aim of this article is to provide a meta-analysis of the biomass recovery 50 technologies operated under non-sterile conditions. Mixed-culture DF processes and, more 51 broadly, mixed-culture bioprocesses used for the production of H<sub>2</sub> and value-added 52 fermentation metabolites were considered. To achieve this objective, both qualitative (e.g. 53 substrates, pretreatments) and quantitative data (e.g. vields, productivities, process 54 parameters) were retrieved from 624 manually-checked research articles, except review 55 articles, and 134 patents published after 1997 (Figure 2). In addition, a straightforward market 56 study was carried out for nine promising biomolecules (including H<sub>2</sub>). Finally, the feasibility 57 of producing each biomolecule in the context of environmental biorefinery is discussed in the 58 light of the current process performances and their main bottlenecks.

59 < Figure 2 >

#### 60 2. Methodology

#### 61 **2.1. Database building**

The main database used in this study was established from the Scopus (title, abstract and keywords of research articles) and Orbit (full-text patents) databases and includes documents published from 1997 to January 2017. Documents were first selected by automatic queries based on a keyword list (Table 1) according to the following approach:

• Patents containing keywords from the "Hydrogen" AND "Process" lists

- Patents and research articles containing keywords from ("Hydrogen" OR
  "Biomolecules") AND "Process" AND "Mixed culture" lists
- Patents and research articles containing keywords from "Biomolecules" AND
  "Combined processes") AND "Mixed culture" lists

71 < Table 1 >

This strategy led to the identification of 8853 research articles and 1654 patents (not considering non-extended Chinese patents). In a second step, the database was adjusted through the use of a keyword-based and automatic procedure and further manual evaluation to remove documents dealing with:

- methane production only
- ethanol production by yeasts
- microbial fuel cells
- pure cultures of either wild type or genetically modified organisms
- published results in non-peer-reviewed scientific journal
- state-of-the-art reviews or opinion articles
- 82

The final database includes 624 research articles and 134 patents (see Supplementary information). This database was then checked for the presence of a pre-established list of 25 representative articles to ensure that no key publication was missing. The database was further manually enlarged with most recent articles (2017) to consider the most up-to-date observations about biomolecule production by mixed-culture fermentation.

## 89 **2.2. Calculations**

90 2.2.1. COD mass balance

In some research articles, the Chemical Oxygen Demand (COD) was experimentally measured and was directly retrieved. When this information was not available, the COD equivalents of the substrate (and the products) were assessed from the elemental composition of each compound. More precisely, for a compound  $C_wH_xO_yN_z^{n-}$ , the COD equivalent corresponds to:

96 
$$\operatorname{COD}_{\operatorname{molecule}}\left(g_{\operatorname{COD}}/g_{\operatorname{molecule}}\right) = 8. \frac{4.w + x - 2.y - 3z + n}{12.w + x + 16.y + 14.z}$$
 (1)

97 The COD equivalents of the most often encountered molecules are provided in Table 98 2. In addition, when the macromolecular characterization was provided (i.e. lipid, protein, 99 carbohydrate and moisture content), the following molecular formulas were used:  $C_{57}H_{104}O_6$ 100 for lipids,  $C_5H_7O_2N$  for proteins and  $C_6H_{10}O_5$  for carbohydrates (corresponding to triolein, 101 *Escherichia coli* and cellulose respectively). Additives used in fermentation media such as 102 yeast or beef extract were also considered as pure proteins. According to these hypotheses, the 103 COD equivalent calculation when macromolecular characterization was provided is:

$$104 \qquad \text{COD}_{\text{substrate}} \left( g_{\text{COD}} / g_{\text{substrate}} \right) = 1.19 x \left( g_{\text{carbohydrate}} / g_{\text{substrate}} \right) + 1.42 x \left( g_{\text{protein}} / g_{\text{substrate}} \right) + 2.90 x \left( g_{\text{lipid}} / g_{\text{substrate}} \right)$$
(2)

105 < Table 2 >

2.2.2. Productivities

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107 All productivities reported in this article correspond to average productivities. 108 Depending on the process configuration (*i.e.* continuous or discontinuous), the productivities 109 of the different biomolecules were calculated as follows:

• For batch and fed-batch processes: average productivities were calculated by dividing final concentrations (or total gas production) by the total duration of the fermentation.

- For continuous and semi-continuous processes: in the case average productivities in the stationary phase were not provided, they were assessed by dividing concentrations of the biomolecules during the stationary phase by the hydraulic retention time.
- 115
- 116 2.2.3. Hierarchical clustering and PLS-DA

117 To obtain clusters based on metabolic profiles, all studies were first thoroughly 118 examined to keep the results where more than 60% of initial COD was recovered as products 119 at the end of the process or in the stationary phase, in discontinuous and continuous processes, 120 respectively. The metabolic profiles were then considered as "successful" fermentation where 121 results can be rigorously compared. COD profiles were then analysed by hierarchical clustering using the "pvclust" function of the R package pvclust<sup>7</sup>, using the "average" method 122 123 and the Euclidean distance. The clusters retained were those gathering more than five 124 fermentation profiles and for which the existence was statistically significant (p-values < 125 0.05). Significance was assessed by bootstrap procedures (10,000 bootstraps). The five 126 significant clusters were then graphically represented using a Partial Least Square 127 Discriminant Analysis (PLS-DA) based on COD profiles. The PLS-DA was carried out using 128 the "plsda" function of the R package mixOmics<sup>8</sup>.

129

#### 130 3. Bioprocesses for hydrogen production by mixed microbial consortia

To strengthen the results and conclusions of this section, only the research articles for which it was possible to calculate COD mass balances and showing an H<sub>2</sub> yield higher than  $0.01 \text{ g}_{\text{COD}} \text{ g}_{\text{COD}}^{-1}$  (based on total initial COD) were considered (400 articles). Patents considered in this section were those explicitly claiming H<sub>2</sub> production (100 patents).

135 **3.1. The H<sub>2</sub> market** 

Dihydrogen (H<sub>2</sub>) is a molecule used in industry as chemical reagent, especially for hydrogenation reactions as widely used in petrochemistry or for ammonia and methanol production. Currently, the global hydrogen consumption is about 60,000 kt/yr ( $\sim 700.10^9$ Nm<sup>3</sup>) and is predicted to reach a near-exponential growth in the coming years. Indeed, the use of H<sub>2</sub> as decarbonated energy carrier, both for energy storage or in the transportation sector, could represent up to 30% of H<sub>2</sub> world's consumption in 2030 and even reach more than 60% of the world consumption in 2050 (estimated to be  $\sim 480,000$  kt/yr, source: Mcphy-energy).

143 Currently, bio-based dihydrogen is not present on the market. About 96% of the 144 hydrogen currently present on the market is derived from fossil fuels such as natural gas 145 trough steam reforming, an environmental-impacting process, emitting more than 10 kg of 146 fossil CO<sub>2</sub> per kilogram of H<sub>2</sub> produced. Current H<sub>2</sub> production costs by natural gas reforming is between 1.0 and 2.0  $\notin$ kg but strongly depends on the hydrocarbons market price, and could also be negatively impacted by potential future regulations on CO<sub>2</sub> emissions.

149 The remaining 4% of worldwide hydrogen is produced by water electrolysis, an 150 electrochemical process in which electric current is used to split water into dioxygen and 151 dihydrogen. The cost of electrochemically-produced  $H_2$  is estimated between 3.5 and 5.0 152  $\epsilon$ /kg. Environmental impacts are directly related to the source of the electricity used. Thus, a 153 reasonable target for the overall production costs of a future biobased H<sub>2</sub> production process 154 could be assessed between 1.5 and 3.5  $\notin$ /kg<sub>H2</sub> to be economically competitive with the 155 existing market. It is likely that the environmental impact of biohydrogen production would be favourable when compared to the existing processes<sup>9,10</sup>, but it should be determined on a 156 157 case-by-case basis through Life Cycle Assessments (LCAs).

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#### 159

#### **3.2.** Current biological technologies for bioH<sub>2</sub> production

160 Three technologies have been developed for producing  $H_2$  with microbial consortia: 161 DF, photofermentation and microbial electrolysis (Figure 3). DF corresponds to the anaerobic 162 conversion of organic substrates through fermentation in the absence of light. DF leads to the 163 production of hydrogen and soluble molecules such as short-chain carboxylic acids (acetic, propionic, butyric acids, Figure 1)<sup>5</sup>. DF is by far the most studied technology (75.75% of the 164 165 scientific articles) but also the most patented (40% of the patents). The main advantage of this 166 technology relies on the possibility to use complex low-cost substrates such as industrial or 167 agricultural effluents or residues (Section 3.3). However, only a maximum of 33% of the substrate COD content can be converted into biohydrogen by DF<sup>5</sup>. A way to recover the 168 169 energy remaining in the liquid phase is to inject the DF effluents into a digester to produce 170 methane. Following such coupling, almost all of the COD of the feedstock can theoretically be converted into biohydrogen and methane, either separately or as a mixture that can be sold 171 as hythane<sup>11</sup>. The studies reporting a coupling between DF and AD represent 8.75% of the 172 scientific publications of the field, and 34% of the patents (Figure 3). This high patent 173 174 proportion probably reflects a relative easiness of implementing DF upstream from a pre-175 existing AD plant, also known as two-step AD.

176 < Figure 3 >

177 Photofermentation is a technology involving photosynthetic organisms that can produce  $H_2$  from organic substrates in presence of light<sup>12</sup>. This additional energy input, which 178 179 can be artificial or natural (sun), makes thermodynamically favorable H<sub>2</sub>-producing reactions 180 that are not possible in DF, thus allowing a more complete conversion of organic substrates 181 into biohydrogen. However, this technology is less flexible than DF regarding the types of 182 substrate. It is usually necessary to convert complex substrates into a mixture of carboxylic 183 acids and alcohols prior to  $H_2$  production by photofermentation. This feature makes possible 184 the coupling between DF and photofermentation, in which DF effluents, rich in carboxylic 185 acids, can be converted into biohydrogen. Overall, research on photofermentation and its 186 coupling with DF accounts for 9.25% of scientific articles but only 5% of patents (Figure 3).

187 Finally, microbial electrolysis is the latest technology that has been investigated for 188 the production of biohydrogen by mixed cultures. This technology requires specifically 189 designed bioreactors compatible with the presence of electrodes (see Krieg et al. (2018) for more details)<sup>13</sup>. External supply of electrical energy can here be used to make 190 thermodynamically favorable chemical reactions<sup>14</sup>. When used for hydrogen production, 191 192 microbial electrolysis cells use the presence of electro-active microorganisms on the anodic 193 surface to convert organic substrates into electric current, protons and CO<sub>2</sub>. This electric 194 current can then be used on the cathode surface to abiotically convert protons into 195 biohydrogen. When the anodic and cathodic compartments are separated by a membrane, the 196 hydrogen produced at the cathode is nearly pure. Similarly to photofermentation, microbial 197 electrolysis allows a more complete conversion of organic substrates into biohydrogen when 198 compared to DF. Here, mixtures of carboxylic acids, as found in DF effluents, can be used as 199 substrate at the anode. While microbial electrolysis accounts for only 6.25% of the published 200 articles in the field, 20% of the patents are dealing with this technology, suggesting a high industrial interest. 201

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#### **3.3. Substrates and pretreatments**

204

3.3.1. Diversity of the substrates used for H<sub>2</sub> production

206 One of the main benefits of using mixed-culture fermentation is its flexibility on 207 converting a wide range of substrates<sup>15</sup>. Within the scientific articles, synthetic fermentation

208 media are employed in 53% of the studies with the use of simple substrates such as glucose, 209 sucrose, cellulose, mixtures of volatile fatty acids (e.g. acetate, propionate, butyrate) or 210 purified glycerol (Figure 4A). The COD concentration of these simple substrates is usually low, with an average value of 12.1 and 3.4  $g_{COD}$ .L<sup>-1</sup> when sugars and volatile fatty acids are 211 212 provided as substrates, respectively (Figure 4B). Usually, studies using synthetic fermentation 213 media aim to elucidate the fundamentals of bioH<sub>2</sub> production (e.g. effect of pH, effect of 214 microbial population selection procedures) rather than demonstrating an actual feasibility of, 215 for instance, a sugar-based bioH<sub>2</sub> production process. Indeed, using such purified substrates 216 represents a significant cost in biohydrogen production processes, particularly in comparison 217 with food waste or co-products from the industrial sector. In addition, the use of readily edible sugars (mainly beet, sugar cane and maize crops) for commodity chemicals production 218 competes with food production and raises societal debates<sup>16,17</sup>. 219

220 BioH<sub>2</sub> production from organic residues or agricultural/industrial process co-products 221 concerns 47% of the scientific articles in the field (Figure 4A). Most of these substrates are 222 issued from agriculture and green waste (20.3% of the scientific studies, 43.2% of the 223 complex substrates). They include rice and wheat straws, corn stalks, sugar molasses or fruit 224 production residues. Energy crops (e.g. sorghum, sugarcane, cassava) are only employed in 225 22.9% of the studies using biomass from agriculture and green waste (i.e. 10.8% of the studies using complex substrates). On average, biomass from agriculture and green waste are 226 used in H<sub>2</sub> production process with a COD concentration of 19.4  $g_{COD}L^{-1}$  (Figure 4B). The 227 228 second most important category of complex substrates gathers industrial effluents which are 229 used in 12% of the studies reporting biohydrogen production (25.5% with complex 230 substrates). These substrates are of various kinds and include, among others, residues from the 231 paper, dairy and oilseed industries, as well as crude glycerol generated from the biodiesel industry. An average COD concentration of 29.7 g<sub>COD</sub>.L<sup>-1</sup> is reported in all the identified 232 studies. For the rest of the complex substrates, four categories are distinguished: food waste 233 234 (8% of the studies, average organic matter concentration of 55.9  $g_{COD}$ .L<sup>-1</sup>), municipal waste such as sewage sludge or the organic fraction of municipal solid waste -OFMSW (3.2 % of 235 236 the studies, average organic matter concentration of 44.2  $g_{COD}L^{-1}$ ), macro/microalgae (2% of the studies) and dark or ethanol fermentation effluents (1.5% of the studies). 237

238 < Figure 4 >

239 3.3.2. Substrate pretreatments

240 Due to their complex structure, some organic compounds cannot be directly and easily 241 converted in biological processes. For instance, lignocellulosic materials, agriculture residues 242 or urban green waste are composed of cellulose and hemicelluloses, but also of lignin, a polymer giving stiffness to plants and protecting them from microbial attack<sup>18–21</sup>. Municipal 243 waste may also contain recalcitrant biomass such as cardboard<sup>22</sup> or bacterial cell walls in 244 sewage sludge<sup>23</sup>. To exploit these biomasses in fermentation processes, pretreatments are 245 applied to make their sugars more soluble and biologically more accessible. These 246 247 pretreatments are mainly classified into three categories: mechanical (grinding, sonication), 248 physico-chemical (acid/alkaline hydrolysis, heat treatment, steam explosion) or enzymatic (by 249 microorganisms or enzyme cocktails) methods.

250 About 45% of the studies employing complex substrates reported the use of one or more 251 biomass pretreatment methods. The most common methods are thermal pretreatments (19%), 252 acid or alkaline hydrolysis (18%) and enzymatic pretreatments (8%), whether applied alone or 253 in combination with other pretreatments. Biomasses from agriculture & green waste and 254 municipal waste are the most preferred substrates to be pretreated, representing 67.9% and 255 61.5% of the studies employing at least one pretreatment method, respectively (Figure 5). It is 256 important to note that these pretreatments may represent significant costs prior to 257 fermentation. As an illustration, the authors of a techno-economical study using corn stalks as substrate recently estimated that the price of raw substrate treatment (90 €/t<sub>cornstarch</sub>) was at 258 least doubled to ~ 180  $\notin$ /t<sub>cornstarch</sub> (equivalent to 330  $\notin$ /t<sub>solubleCOD</sub>) when the pretreatment cost 259 was taken into account<sup>24</sup>. Most industrial effluents and food waste do not require any 260 261 pretreatment and are directly used as fermentation substrate (Figure 5). For more detailed 262 information about substrate pretreatments, readers may refer to Carrere *et al.*  $(2016)^{23}$ .

263 < Figure 5 >

264

3.3.3. Inoculum pretreatments

In biological ecosystems, hydrogen is an energy vector favouring electron transfer between microorganisms. To optimize its production, it is not only recommended to favour microbial species that release their excess of electrons as  $H_2$ , but also to prevent the growth of hydrogen-consuming microorganisms such as methanogenic archaea or acetogenic bacteria. The choice and adaptation of an inoculum is one of the most crucial elements when designing a biohydrogen production process. To obtain a suitable inoculum, the most common method (56.1% of the studies, Figure 6A) is to pretreat inocula originating from the environment or

from parent reactors to remove undesirable microorganisms<sup>21,25</sup>. The most widely used 272 273 technique is thermal pretreatment (70.4% of the pretreatments, Figure 6B) which consists in 274 applying a thermal shock to the inoculum. As a result, the microorganisms capable of 275 surviving by forming spores are specifically selected, such as Bacillus and Clostridium species. These genera contain many efficient hydrogen-producing microorganisms<sup>21</sup>, as well 276 277 as acetogenic species. However, thermal pretreatment is efficient to prevent methanogenic 278 archaea growth and is usually sufficient for the start-up of hydrogen-producing processes. 279 Following the same principle, other pretreatment methods aims to the elimination or 280 inhibition of hydrogen-consuming bacteria and the indirect selection of hydrogen-producing 281 bacteria, including acid or alkali treatments (11.7% of the pretreatments), addition of 282 methanogenesis inhibitors such as chloroform and 2-bromoethanesulphonate (6.1%), or 283 aeration (3.6 %) methods.

284 Studies that do not use pretreatment techniques (43.9% of the studies) employ other 285 population selection pressure through process operating parameters. For example, it is 286 possible to maintain acidic conditions in the fermentation medium to inhibit the methanogenic archaea activity<sup>26</sup>. In continuous processes, it is also possible to wash-out archaea, which have 287 a lower growth rate than hydrogen-producing bacteria, by applying a short hydraulic retention 288 289 time<sup>5</sup>. Operating parameters have also been widely optimized throughout the studies using 290 pretreatments to prevent the re-emergence of hydrogen-consuming microorganisms during the 291 process. For more detailed information about inoculum pretreatments, readers may refer to Rafieenia et al.  $(2017)^{21}$ . 292

293 < Figure 6 >

#### 294

#### **3.4. Production performances**

295 As indicated in Sections 3.2 and 3.3, various technologies and a broad range of 296 substrates can be used for biohydrogen production, thus leading to highly variable 297 performances. Hydrogen yields as a function of the technologies and the initial COD are 298 shown in Figure 7. Considering all the technologies, the total substrate COD concentration was lower than 22.2  $g_{COD}$ . L<sup>-1</sup> for 75% of the studies. Regarding hydrogen yields, values below 299 0.16  $g_{COD H2}$ ,  $g_{COD}^{-1}$  were observed in more than 75% of the studies. Yields higher than this 300 301 value were only reached when substrate with lower COD content were employed (8.4 g<sub>COD</sub>.L<sup>-</sup> <sup>1</sup> on average) and mostly by photofermentation, microbial electrolysis technologies or by 302 303 coupling them with DF. When initial substrate concentrations were higher than 22.2  $g_{COD}$ .L<sup>-1</sup>,

the maximum average hydrogen yield was only 0.07  $g_{COD_H2}.g_{COD}^{-1}$ . These observations emphasize that, under the current state of the art, there is a compromise to be found between reaching high hydrogen yields and valorizing substrates at high COD content. In the following sections, the biohydrogen production performances obtained for each technology will be detailed and put into perspective with regard to this specific issue.

309 < Figure 7 >

310

### 3.4.1. Dark fermentation performances

311 One of the main advantages of DF processes is its flexibility regarding a wide range of 312 substrates. However, hydrogen production performances can greatly vary depending on the 313 nature and complexity of the substrates (Figure 8A). The average yield observed in DF was about 0.108  $g_{COD H2}$ ,  $g_{COD}^{-1}$ . This value represents ~ 33% of the maximum theoretical yield, 314 *i.e.* 0.33  $g_{COD H2}$ ,  $g_{COD}^{-1}$ , in DF<sup>5</sup>. Best performances were observed when synthetic 315 316 fermentation media were employed rather than complex substrates (p-value < 0.0001). The average yield reached then 0.124 and 0.089  $g_{COD H2}$ .  $g_{COD}^{-1}$  with purified sugars and complex 317 318 substrates, respectively. Regarding complex substrates, the highest average yields were 319 achieved with industrial effluents and biomass from agriculture and green waste (mostly after pretreatment) with average yields of 0.096 and 0.094  $g_{COD H2}$ .  $g_{COD H2}$ .  $g_{COD}^{-1}$ , respectively. 320 321 Interestingly, food and municipal waste are the substrates for which the lowest hydrogen yields were obtained, with average yields of only 0.064 and 0.056  $g_{COD H2}$ ,  $g_{COD H2}$ ,  $g_{COD}^{-1}$ , 322 323 respectively. Surprisingly, when considering all the data retrieved from the studies dealing 324 with DF for  $H_2$  production from organic biomass, only the intrinsic composition and the 325 structural features of the organic substrates seem to have an influence on the  $H_2$  yields. 326 Although each microbial community had its own optimal parameters, the observed hydrogen 327 yields were not statistically different in all studies, whatever the process parameters (Figure 328 8B) such as working volume (ranging from 0.01 to 3,300 L) and temperature (15 to 80°C), or 329 the mode of operation of the bioreactor (batch, semi-continuous or continuous).

330 < Figure 8 >

Beyond the hydrogen yield, the choice of process parameters can strongly influence the composition of the microbial community (Section 3.3) and thus the hydrogen production kinetics or the stability of the process (Figure 9). In particular, the choice of operation mode (batch VS continuous) plays an important role, especially regarding the easiness of process

335 implementation and the related performances. The batch reactor is the simplest configuration. 336 In this mode, all the substrate is added at start of reactor operation and no withdrawal of the 337 medium is carried out before the end of fermentation. Because of its simplicity, most of the 338 reactors have been carried out in batch mode (60.7% of the studies, Figure 9A) with hydrogen productions generally ranging from 0.70 to 2.76 L<sub>H2</sub>.L<sub>medium</sub><sup>-1</sup> (1st and 3rd quartiles, Figure 339 9B), with a maximum<sup>27</sup> of 12.88  $L_{H2}$ .  $L_{medium}^{-1}$ . In general, batch processes are not the most 340 efficient from a kinetic point of view, because (i) a lag phase is often observed due to 341 342 microbial inoculum storage and the time to adapt to the fermentation medium; (ii) batch tests 343 are ended after a time chosen by the operator which is not necessarily optimal; (iii) most 344 studies using batch reactors do not focus on microbial kinetics. Thus, relatively low hydrogen 345 productivities were achieved in batch mode, with values generally ranging between 0.22 and 1.22 L<sub>H2</sub>.L<sub>medium</sub><sup>-1</sup>.d<sup>-1</sup> (1st and 3rd quartiles, Figure 9C), the median and maximum<sup>28</sup> values 346 being 0.55 and 6.28 L<sub>H2</sub>.L<sub>medium</sub><sup>-1</sup>.d<sup>-1</sup>, respectively. To attain higher productivities, solutions 347 348 were to operate bioreactors in continuous (31.4%) of the studies) or semi-continuous (7.6%)349 mode. In these cases, bioreactors have both inlet and outlet flows for feeding the substrate and 350 withdrawing the products continuously or sequentially, respectively. Hydrogen production 351 kinetics and productivities were optimized with these modes of operation and usually ranged between 1.20 and 7.80 L<sub>H2</sub>.L<sub>medium</sub><sup>-1</sup>.d<sup>-1</sup> (1st and 3rd quartiles, Figure 9C), the median and 352 maximum<sup>29</sup> values being 3.34 and 346.8 L<sub>H2</sub>.L<sub>medium</sub><sup>-1</sup>.d<sup>-1</sup>, respectively. 353

- **354 < Figure 9 >**
- 355

3.4.2. H<sub>2</sub> production performances of photo-fermentation and microbial electrolysis

To improve hydrogen yields, DF can be coupled with photofermentation or microbial electrolysis (Section 3.2). These two processes are based on a common principle: the conversion of volatile fatty acids and alcohols into  $H_2$  and  $CO_2$  is made thermodynamically favourable by providing an additional source of energy.

In the case of photofermentation, this external energy is provided by either artificial or natural light. However, the energy conversion efficiency of photofermentation, *i.e.* the ratio of the energy recovered as  $H_2$  on the energy provided as light, does not exceed 10% under wellcontrolled conditions<sup>12</sup>. That makes this technology non-profitable if artificial light is used and if  $H_2$  is the only product recovered. About 27% of the articles focusing on photofermentation concern the study of photofermentation alone while 73% of the articles deal with its coupling with DF. In both cases, the hydrogen yields were not significantly

different, with average values of 0.279 and 0.246  $g_{COD H2.}g_{COD}^{-1}$  respectively (Figure 10). 367 Overall, the average hydrogen yields of  $0.255 \text{ } \text{g}_{\text{COD} H2} \text{ } \text{g}_{\text{COD}}^{-1}$  obtained by both 368 369 photofermentation or its coupling with DF were significantly higher than those obtained in DF alone, *i.e.* 0.108  $g_{COD H2}$ . $g_{COD}^{-1}$  (p-value < 0.0001). Nevertheless, these results do not 370 371 necessarily reflect realistic working conditions, particularly regarding the use of small 372 working volumes (< 0.25 L in 75% of the studies) and substrates with low COD concentration  $(< 11.2 \text{ g}_{\text{COD}}.\text{L}^{-1} \text{ in } 75\% \text{ of the studies})$ . A pilot-scale photofermentation process dedicated to 373 H<sub>2</sub> production was developed with a pure culture of *Rhodobacter capsulatus*<sup>30</sup>, but no large 374 scale mixed-culture process have been carried out so far. Adaptation to higher concentrations 375 376 of organic matter or higher loading rates as well as the improvement of energy conversion 377 efficiencies remain the main challenges of photofermentation prior to scaling up at larger 378 scale. For more detailed information about photofermentation, readers may refer to Hallenbeck and Liu  $(2016)^{31}$ . 379

**380 < Figure 10 >** 

381 In microbial electrolysis cells, electric energy is provided through an applied voltage 382 between two electrodes. When producing H<sub>2</sub>, these cells require voltage between 0.2 and 0.8 V, which is much lower than the values of 1.8 to 3.5 V typically applied in water electrolysis 383 processes<sup>14</sup>. The energy conversion efficiency of microbial electrolysis is defined as the ratio 384 385 of the energy recovered as H<sub>2</sub> over the electric energy provided to the system. As most of the 386 energy is provided by the oxidation of organic matter at the cathode, efficiency calculated in 387 this way can theoretically by as high as 1094% if based on the higher heating value of  $H_2$  and when acetate is used as substrate<sup>32</sup>. Experimentally, the average energy conversion efficiency 388 389 is  $199 \pm 22\%$  in the scientific studies identified in the present article. Regarding the COD conversion efficiencies, an average hydrogen yield of 0.479 g<sub>COD H2</sub>.g<sub>COD</sub><sup>-1</sup> was reported in 390 391 microbial electrolysis or by coupling it with DF. However, performances are extremely variable (Figure 10). Interestingly, yields higher than 0.950  $g_{COD H2}$ ,  $g_{COD}^{-1}$  were obtained in 392 microbial electrolysis process<sup>33,34</sup>. In addition to the high conversion efficiencies, another 393 394 advantage of microbial electrolysis cells is the possibility to produce nearly pure H<sub>2</sub> when 395 anodic and cathodic compartments are separated by a membrane.

The high performances of microbial electrolysis cells regarding hydrogen yields and energy efficiencies, as well as the high purity of the biohydrogen recovered, makes this technology particularly attractive as a complement to DF. However, this technology is still

399 mostly studied at a small scale (working volume < 0.50 L in 75% of the studies) and with substrates at low COD concentration (< 3.2  $g_{COD}L^{-1}$  in 75% of the studies) that mostly 400 401 correspond to synthetic mixtures of volatile fatty acids (73% of the studies). Nevertheless, 402 few pilot-scale reactors (volumes from 100 to 1000 L) operated with wastewaters at low organic loading rates (0.5 to 2.0  $g_{COD}$ .L<sup>-1</sup>.d<sup>-1</sup>) have been recently implemented and exhibit 403 very promising results<sup>35–38</sup>. Similarly to photofermentation, research efforts are required to 404 405 develop efficient microbial electrolysis cells at higher organic loading rates and treating real 406 DF effluents, prior to its implementation at industrial scale. For more detailed information about microbial electrolysis, readers may refer to Zhen *et al.*  $(2017)^{39}$ . 407

408 < Table 3 >

## 409

#### **3.5.** Downstream processes for H<sub>2</sub> production

410 Biohydrogen production during DF and photofermentation is always concomitant with 411  $CO_2$  production. If the biogas is not diluted, the proportions encountered range generally from 412 30 to 60% for H<sub>2</sub> and 40 to 70% for CO<sub>2</sub>, with possible traces of CH<sub>4</sub> and /or H<sub>2</sub>S. Mature 413 technologies for hydrogen separation that are currently used in petrochemical processes are easily applicable to bioH<sub>2</sub> production processes<sup>40,41</sup>. For instance, the Pressure Swing 414 Adsorption (PSA) process can produce H<sub>2</sub> at a purity of 99.999% with a H<sub>2</sub> recovery ranging 415 from 75 to 92% while a purity of 90-99% and a H<sub>2</sub> recovery of 85-95% can be achieved with 416 membrane permeation technologies<sup>42</sup>. Therefore, the biohydrogen separation step is not a 417 technological obstacle, but remains one of the most costly step of the overall process  $4^{43-45}$ . 418

#### 419

#### 420 **4.** Toward the waste-based biorefinery

421 Optimization of  $H_2$  production has been the main objective of the last 15 years of 422 research concerning DF. However, the hydrogen yields achieved so far (average of 0.108 423  $g_{COD_H2}.g_{COD}^{-1}$ ) and the intrinsic metabolic limitations during DF severely limit the 424 implementation of this process alone in a context of biomass recovery. A first solution, as 425 presented in Section 3.4, is to couple DF with another hydrogen-producing process such as 426 photofermentation or microbial electrolysis. An alternative is to implement a biorefinery 427 approach in which several product streams are considered.

#### 429 **4.1. Two-stage anaerobic digestion for H<sub>2</sub> and CH<sub>4</sub> production**

430 The environmental biorefinery approach has been first and logically considered 431 through the coupling between DF and AD leading to the production of  $H_2$  and  $CH_4$  (Section 432 3.2). This two-stage process presents several advantages<sup>11</sup>:

- At equal COD conversion rates, an energy yield up to 10% higher than the
   one-stage AD can theoretically be achieved, because of the higher energy
   content of H<sub>2</sub> in comparison with CH<sub>4</sub>, *i.e.* heating value of 17.7 MJ/kg<sub>COD-H2</sub>
   versus 12.5 MJ/kg<sub>COD-CH4</sub>, respectively.
- The two-stage process is more stable than the one-stage AD and operating
  parameters can be more easily optimized as hydrolysis/acidogenesis and
  acetogenesis/methanogenesis steps are separated (Figure 1).
- 440 Methane yields can be increased in the two-stage process due to better biomass
  441 hydrolysis in the first DF step
- The two-stage process can be successfully carried out at high organic loading
   rates, increasing subsequently the methane productivity.

In the studies focusing on two-stage AD,  $72.5 \pm 19.1\%$  of the total COD content of substrates were recovered as H<sub>2</sub> and CH<sub>4</sub>, at an average initial total COD concentration of  $47.8 \pm 38.2 \text{ g}_{\text{COD}}\text{.L}^{-1}$  (based on 34 articles). Average hydrogen and methane yields were 0.055  $\pm 0.032$  and  $0.670 \pm 0.187 \text{ g}_{\text{COD}}\text{.g}_{\text{COD}}^{-1}$ , respectively. Most studies used complex substrates (88%) that are representative of the categories presented in Figure 4, thus demonstrating the applicability of such coupling.

450 In addition, the two-stage AD could also increase, theoretically, the added value of the 451 process. Indeed, the hydrogen market price is ranging between 1.5 and 5.0  $\epsilon/kg$  (Section 3.1) 452 while the feed-in tariff of methane is comprised within a range of 0.09 to  $0.20 \notin$  kg (through 453 injection into the natural gas network in France). Even considering a low case scenario with 454 an H<sub>2</sub> price of 1.5  $\notin$ /kg and an identical COD recovery (*i.e.* 72.5%), the added-value of a two-455 stage process would increase by 23 to 65% (23.9 - 45.0 €/t<sub>CODfed</sub>) the economy of a one-stage 456 AD (14.5 - 36.5  $\notin$  / t<sub>CODfed</sub>) process. Such increase is mitigated by the costs related to 457 hydrogen purification and DF reactor operation that should be evaluated on a case by case 458 basis. Consistently, the first technical-economic studies showed that the two-stage process can 459 be financially advantageous compared to single-stage AD for substrates such as food

460 waste<sup>46,47</sup>. For more detailed information about two-stage AD, readers may refer to Xia *et al.* 461  $(2016)^{11}$ .

462

#### 463 **4.2. Production of fermentation by-products**

464

465 A wide range of molecules accumulate during AD (Figure 1) and mixed-culture 466 fermentation processes. These compounds represent new opportunities for recycling waste 467 into added-value molecules, such as short chain carboxylic acids and alcohols. Among the 468 scientific articles identified in this study, the most present metabolites at the end of 469 fermentation in batch and fed-batch reactors, or at the steady state in continuous and semi-470 continuous reactors were acetate (86.7% of the studies), butyrate (79.3%), ethanol (49.9%) 471 and propionate (46.5%) (Figure 11). This result emphasizes that soluble metabolites are 472 mostly produced as a mixture in mixed-culture fermentation. That represents a major 473 challenge regarding the following separation/purification steps. To provide a better overview 474 of the most commonly observed metabolites, a hierarchical clustering was performed based 475 on the fermentation profiles reported in the literature (Figure 12). This clustering took into 476 account the studies with more than 60% of the COD recovered as by-products (57% of the 477 studies) and revealed five "standard" fermentation profiles (Figure 12A).

#### 478 < Figure 11 >

- 479 (1) The first cluster corresponds to the production of  $H_2$  by photofermentation, 480 microbial electrolysis and their coupling, as described in section 3.4.
- 481 (2) The second cluster brings together studies focused on two-stage AD as described in482 the previous section.
- 483 (3) Cluster 3 is predominantly composed of studies focusing on DF and includes 129 484 scientific articles (Figure 12). This cluster is characterized by a fermentation profile dominated by butyrate  $(0.371 \pm 0.148 \text{ g}_{\text{COD}}\text{.g}_{\text{COD}}^{-1})$ , acetate  $(0.167 \pm 0.100 \text{ g}_{\text{COD}}^{-1})$ 485  $g_{COD}.g_{COD}^{-1}$ ) and H<sub>2</sub> (0.117 ± 0.062  $g_{COD}.g_{COD}^{-1}$ ). It is mainly observed during the 486 487 fermentation of sugars (68% of the articles) or of sugar-rich complex substrates such 488 as food waste, dairy or sugar industry wastewaters or hydrolysed lignocellulosic 489 biomasses. The cluster 3 profile, characterized by a predominant production of 490 butyrate and acetate, can be considered as a "typical" profile of DF.

491	(4) Similarly, cluster 4 essentially contains studies focusing on DF and includes 20
492	scientific articles. In these studies, the dominant metabolites were ethanol (0.341 $\pm$
493	$0.079 \text{ g}_{\text{COD}} \cdot \text{g}_{\text{COD}}^{-1}$ ), followed by acetate ( $0.195 \pm 0.120 \text{ g}_{\text{COD}} \cdot \text{g}_{\text{COD}}^{-1}$ ), butyrate ( $0.111$
494	$\pm$ 0.123 g <sub>COD</sub> .g <sub>COD</sub> <sup>-1</sup> ) and H <sub>2</sub> (0.105 $\pm$ 0.066 g <sub>COD</sub> .g <sub>COD</sub> <sup>-1</sup> ). The substrates mainly
495	corresponded to sugars (55% of the articles) and effluents from the sugar and
496	oleaginous (crude glycerol) industries or hydrolysed lignocellulosic biomasses. The
497	main difference with cluster 3 lies in the mode of inoculum selection: in cluster 4,
498	only 25% of the inocula were pretreated whereas 55.1% of the studies involved at
499	least one inoculum pretreatment in cluster 3. Rather than selecting Clostridiaceae
500	species using heat shocks, studies in cluster 4 mainly used aerotolerant inocula (e.g.
501	activated sludge) and/or maintained an acidic pH (< 5) during fermentation. These
502	conditions allowed the enrichment of ethanol-producing bacteria such as species
503	from the Enterobacteriaceae family.

504 (5) Finally, cluster 5 corresponds uniquely to studies that used glycerol (pure or crude) 505 as substrate and gathers 9 studies. In this particular case, the main product is 1,3-506 propanediol  $(0.593 \pm 0.114 \text{ g}_{\text{COD}}.\text{g}_{\text{COD}}^{-1})$  which is accompanied by a variable 507 mixture of carboxylic acids, ethanol and H<sub>2</sub>.

508 < Figure 12 >

The results of this hierarchical clustering highlight that fermentation profiles are relatively stable and repeatable despite the great diversity of substrates and fermentation process conditions. In the following sections, production performance and contextual market elements are discussed for each of the main DF metabolites (acetate, butyrate, ethanol) as well as other molecules with high potential (1,3-propanediol, propionate, caproate, lactate, PHA). Other high-valued metabolites such as butanol and succinate will not be discussed as they are not commonly observed in mixed-culture fermentation (see Figure 11).

516

517

#### 7 **4.3.** Applications and economy of dark fermentation co-products

518 4.3.1. Acetic acid

Acetic acid is a commodity chemical which has a very wide range of applications, including plastics manufacturing, its use as food additive or solvent. The total world market volume was 13,570 kt/yr in 2015<sup>48</sup> with more than 50% of the market located in Asia (mainly

522 China and India). The current market is relatively stable in Europe but growing in Asian 523 countries and its annual growth rate has been estimated at 5% for the period from 2014 to 524 2020. Its production is mostly oil-based while bio-based acetic acid represented only 10% of 525 the global market in 2015. The market price of acetic acid is comprised between 0.33 and 526  $0.67 \notin kg$ , equivalent to 0.31 to  $0.63 \notin kg_{COD}$  (Table 4).

527 **< Table 4 >** 

528 A stable production of acetate can be achieved during DF at an average yield of  $0.167 \pm$ 0.100  $g_{COD}$  acetate.  $g_{COD}^{-1}$  when a butyrate-dominated fermentation profile is observed (Cluster 529 530 3, Figure 12). However, because substrates with low initial COD content are often used in 531 DF, acetate concentrations in the fermentation medium are often low. A final acetate concentration higher than 2.4 g.L<sup>-1</sup> was reached in only 25% of the studies in which acetate 532 533 production was observed (Figure 13). Nonetheless, acetate can be produced at higher 534 concentrations and the best performance was reported with sugarcane bagasse, reaching a final concentration of 35.3 g.L<sup>-1</sup> (Table 5)<sup>49</sup>. By maximizing the acetate yields, values as high 535 as 0.56  $g_{COD\_acetate}$ . $g_{COD}^{-1}$  in fermentation processes<sup>50</sup> or even 0.90  $g_{COD\_acetate}$ . $g_{COD}^{-1}$  were 536 reached in microbial electrosynthesis processes<sup>51</sup> (Table 6). Finally, high acetate productivity 537 values of 57.0 g.L<sup>-1</sup>.d<sup>-1</sup> were achieved even when employing complex substrates (Table 5)<sup>52</sup>. 538

539 The main limitation of acetate recovery when it is produced by mixed microbial 540 cultures is the extraction/purification step of the molecule. Indeed, acetate is mostly produced together with other molecules having similar chemical characteristics (short chain carboxylic 541 542 acids). That makes inefficient the extraction techniques traditionally used in fermentation 543 processes. No scientific publication concerning the specific extraction of acetate from a 544 mixture of carboxylic acids in fermentation processes was identified in the considered 545 database. Moreover, no techno-economic study focused on acetate production by mixed-546 culture fermentation was carried out yet, making difficult to assess precisely the limits and the 547 optimal operating range of potential recovery processes. Nonetheless, few strategies of *in-situ* acetate purification were proposed with, for instance, the case of hyper-thermophilic AD 548 (70°C) carried out at low hydraulic retention time  $(<3i)^{53-55}$ . Here, the acetate re-consumption 549 550 pathways were inhibited while all other compounds were converted into CH<sub>4</sub>. As only acetate 551 remained in the liquid phase, traditional techniques such as liquid-liquid extraction processes were used to efficiently recover acetate<sup>56</sup>. However, the proof of concept of such process 552 553 remains to be carried out on real effluents. Another example is the case of microbial

554 electrosynthesis, in which pure acetate can be produced in the cathodic compartment and then 555 extracted by migration through membranes (electrodialysis). Although the principle is 556 interesting, microbial electrolysis processes still suffer from low productivities that limit their applicability<sup>57</sup>. Instead of extracting acetate, it is also possible to upgrade an acetate-rich 557 558 fermentation broth into a more easily-extractable compound using a secondary biological or chemical process. For instance, a mixture of carboxylic acids can be esterified<sup>58</sup>, converted 559 into lipids by veasts<sup>59</sup>, or used to produce caproic acid or polyhydroxyalkanoates (see Section 560 561 4.4).

562 < Figure 13 >

563 < Table 5 >

564 4.3.2. Butyric acid

565 Butyric acid is a precursor of esters used as food additives or in perfume formulation. It can also be directly used as antibacterial agent in the field of animal nutrition<sup>6</sup> and for 566 bioplastic applications, although the former application would require approval of regulatory 567 568 authorities such as the FDA in USA or EFSA in EU. The butyrate market is currently quite 569 limited, with a current production capacity of about 30 kt/yr. This low capacity can be partly 570 explained by selling prices that are still too high for the commodity market (from 1.67 to 2.09 571  $\epsilon/kg$ . Table 4). Nonetheless the butyrate market could reach an annual growth rate as high as 572 12% between 2016 and 2020, depending on its availability (production volume) and the 573 subsequent price decrease $^{60}$ .

Significant production of butyrate was observed in DF at an average yield of  $0.371 \pm$ 0.148 g<sub>COD\_butyrate</sub>.g<sub>COD</sub><sup>-1</sup> (Cluster 3, Figure 12). Although butyrate is generally produced at low concentration (Figure 13), final concentrations can reach up to 21.4 g.L<sup>-1</sup> (Table 5). The conversion yield in DF can be optimized to achieve 0.74 g<sub>COD\_butyrate</sub>.g<sub>COD</sub><sup>-1</sup> (Table 5)<sup>61</sup>. Butyrate production can also be promoted by chain elongation reactions<sup>62</sup> in which a mixture of acetate and ethanol is converted into butyrate (Table 6). Finally, butyrate productivities are high with 73.2 g.L<sup>-1</sup>.d<sup>-1</sup> as best value achieved with complex substrates (Table 5)<sup>52</sup>.

581 Butyrate is mostly produced together with other carboxylic acids in mixed-culture 582 fermentation which represents a severe limitation to its specific extraction. However, the 583 possible conversion of a mixture of lactate, acetate and ethanol by chain elongation (possibly 584 requiring the addition of ethanol) makes theoretically possible the production of butyrate as sole soluble carboxylic acid. Butyrate could then be extracted by liquid-liquid extraction<sup>56</sup> or electrodialysis. However such proof of concept remains to be demonstrated. Chemical routes such as esterification are also possible to facilitate extraction steps while upgrading butyrate into valued chemical such as butanol or butyl-butyrate<sup>58,63</sup>.

589 < Table 6 >

590 4.3.3. Ethanol

Ethanol is a molecule with a large world market of 76,700 kt/year (2015)<sup>48</sup>. Ethanol is 591 592 predominantly a bio-based product (93%) and is mainly used as biofuel (80 to 85%), or in the 593 food industry and as solvent. A strong market growth has occurred over the past ten years due 594 to energy transition policies, particularly in Europe, Brazil and United States. The largest producers are the United States (59% of bioethanol) and Brazil (27%)<sup>64</sup>, which mainly use 595 maize and sugar cane as raw materials, respectively. The ethanol market price is between 0.30 596 597 and 1.50  $\epsilon$ /kg depending on the product purity and the raw material (corresponding to 0.14 -0.72 €/kg<sub>COD</sub>, Table 4). It is noteworthy that ethanol market price rely heavily on support 598 599 policies, either through direct subsidies or fuel blend obligation<sup>65</sup>.

600 Under certain conditions, ethanol can be produced by mixed cultures of fermentative bacteria at an average yield of  $0.341 \pm 0.079 \text{ g}_{\text{COD} \text{ ethanol}} \cdot \text{g}_{\text{COD}}^{-1}$  (Cluster 4, Figure 12). 601 Similarly to acetate and butvrate, ethanol is generally not the targeted product and therefore 602 accumulation is limited to low concentrations around a median of  $0.5 \text{ g}.\text{L}^{-1}$  (Figure 13). 603 Nonetheless, several studies aimed at optimizing the ethanol/H<sub>2</sub> production from glycerol. 604 Using crude glycerol directly issued from the biodiesel industry, the highest concentration 605 achieved with mixed cultures reached 26.0 g.L<sup>-1</sup>, with a yield and productivity of 0.59 606 g<sub>COD ethanol</sub>.g<sub>COD</sub><sup>-1</sup> and 1.6 g <sub>ethanol</sub>.L<sup>-1</sup>.d<sup>-1</sup>, respectively<sup>66</sup>. This titer must be compared with 607 yeast-based fermentation of sugars which can typically attain titers as high as 150 g.L<sup>-1 67</sup>. 608 609 The highest yield was observed from crude glycerol with a value of and concentration and productivity of 0.91 g<sub>COD</sub> ethanol.g<sub>COD</sub><sup>-1</sup>, 8.0 g ethanol.L<sup>-1</sup> and 4.8 g ethanol.L<sup>-1</sup>.d<sup>-1</sup>, respectively<sup>68</sup>. 610 611 However, the productivity when using a complex substrate remained low with maximum value around 9.5 g.L<sup>-1</sup>.d<sup>-1</sup> (Table 5)<sup>52</sup>. 612

613 The extraction and purification of ethanol from fermentation media is traditionally 614 carried out by distillation followed by dehydration. However, a large amount of energy is 615 required for these two purification steps, depending strongly on the ethanol concentration. In

616 particular, the energy required for the distillation step is higher than the heating value of ethanol (28.9 MJ.kg<sup>-1</sup>) for ethanol concentrations lower than  $\sim 14$  g.L<sup>-1</sup>, thus making its 617 extraction clearly unsustainable in that case <sup>69</sup>. However, within an environmental biorefinery, 618 619 a part of the energy required for distillation could be provided by recycling heat from the 620 cogeneration biogas plant. Ethanol concentration remains the main parameter to be optimized prior to extraction, with an objective of, typically > 40 g.L<sup>-1 69</sup>. Thus, considering the current 621 state of the art, ethanol production by mixed-culture fermentation is far from being 622 623 economically or even energetically competitive in most cases when compared with cornbased or sugar cane-based ethanol. However, Varrone et al. (2013)<sup>66</sup> estimated that bioethanol 624 production costs using crude glycerol issued from a biodiesel production plant could be as 625 low as 0.27  $\notin$ /kg considering a mixed-culture fermentation reaching only 26g <sub>ethanol</sub>.L<sup>-1</sup>, 626 making the process economically competitive. Thus, exploring mixed-culture ethanol 627 628 production processes base on non-edible substrates that cannot be fermented by yeasts could reveal interesting niche with the potential of outcompeting current bioethanol production 629 plants, especially regarding environmental and societal impacts<sup>70</sup>. 630

631

## 4.4. Other value-added metabolites produced in mixed-culture fermentation

632

#### 4.4.1. 1,3-propanediol

1,3-propanediol (PDO) is a bio-based molecule entirely produced by biotechnological processes (currently by genetically modified organism cultures) from glucose or glycerol. PDO is mainly used as precursor of polytrimethylene terephthalate (PTT), a polymer used in the textile industry, or also directly used in the food, cosmetic and pharmaceutical sectors. In 2015, its market volume was 128 kt/yr and its selling price was estimated at 1.76 €/kg, equivalent to 1.05 €/kg<sub>COD</sub><sup>48</sup>. This price could be raised to more than 3 €/kg, equivalent to 1.79 €/kg<sub>COD</sub>, in the coming years<sup>71</sup>.

640 Only few studies are available on PDO production by mixed-culture fermentation but 641 this is a growing research area, representing more than 50% of the articles published after 642 2015. Among these studies, the substrate used for producing PDO is categorized as pure or 643 crude glycerol. The yields are generally high, with an average of  $0.593 \pm 0.114$  $g_{COD PDO} g_{COD}^{-1}$  (Cluster 5, Figure 12), a value close to the maximum theoretical yield (0.82) 644  $g_{COD_PDO.g_{COD}}^{-1}$ <sup>72</sup>. Interestingly, the best production performances were achieved in a fed-645 batch reactor fed with raw glycerol, with a final concentration of 82.7 g.L<sup>-1</sup>, a yield of 0.75 646  $g_{COD PDO}g_{COD}^{-1}$  and a productivity of 73.7 g.L<sup>-1</sup>.d<sup>-1</sup> (Table 5)<sup>73</sup>. These results are comparable 647

648 with the best performances achieved so far during glycerol fermentation by pure culture of 649 unmodified strains<sup>74,75</sup>.

650 Many methods have been developed for 1,3-propanediol extraction and purification from fermentation media<sup>74</sup>. First, a three-step process is based on the high boiling point of 651 1,3-propanediol (214 ° C) and is composed of (1) a filtration step for biomass separation, (2) 652 653 an evaporation step to remove compounds more volatile than PDO such as water and organic acids and, (3) a rectification step to produce PDO with a purity higher than  $99\%^{76}$ . The overall 654 extraction yield of the whole chain can be as high as  $90\%^{76}$  but this method requires a large 655 656 amount of energy<sup>74</sup>. An effective alternative is based on a succession of three successive 657 steps, *i.e.* (1) biomass separation by microfiltration and activated carbon; (2) concentration by 658 vacuum distillation and (3) final separation by silica gel chromatography. Following this procedure, a purity of 98% and an extraction yield of 75% were achieved<sup>77</sup>. An interesting 659 660 improvement of this second downstream pipeline could be the implementation of a simulated 661 moving bed as alternative chromatographic step, as described in a patent by Archer Daniels Midland Co (2001)<sup>78</sup>. Research on low-cost PDO extraction and purification is still active, but 662 663 the mature existing technologies make possible the scaling up of a mixed-culture process for 664 1,3-propanediol production.

665

#### 4.4.2. Propionic acid

666 Propionic acid can serve as food preservative in the fields of human and animal nutrition and is also a platform molecule that is used for example as flavour precursor<sup>79</sup>. 667 668 However, similarly to butyrate, the use of waste-based propionic acid for food or feed 669 applications would require approval of regulatory authorities such as FDA or EFSA in USA and UE, respectively. It is mainly produced by petrochemical routes<sup>79</sup> and represents a market 670 of 400 kt/yr (2013)<sup>6,79</sup>. Its market price is ranging between 1.25 and 1.38 €/kg, which is 671 equivalent to 0.83 - 0.91 €/kg<sub>COD</sub> (Table 4). However, a lower price would be necessary to 672 673 meet the demand of the feed industry and expand the propionate market.

In DF processes, propionate production is generally avoided as this pathway is anticorrelated with H<sub>2</sub> production<sup>5</sup>. As a result, only very few studies have so far focused on the optimization of propionate production by microbial mixed culture and from complex substrates. The highest propionate final concentration found is  $15.8 \text{ g.L}^{-1}$  using food waste as substrate<sup>80</sup>. The best yields and productivities are  $0.31 \text{ g}_{\text{COD\_propionate}}.\text{g}_{\text{COD}}^{-1}$  and  $22.0 \text{ g.L}^{-1}.\text{d}^{-1}$ , observed in two different studies (Table 5). Better yields up to  $0.45 \text{ g}_{\text{COD\_propionate}}.\text{g}_{\text{COD}}^{-1}$  were Page 77 of 136

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attained when using defined fermentation media<sup>81</sup>, in particular when refined glycerol is used as substrate (Table 6). For more detailed information about propionate biological production, readers may refer to Es *et al.*  $(2017)^{79}$ .

Similarly to acetate and butyrate, no low-cost process that could specifically extract propionate from mixtures of short-chain carboxylic acids has been developed. Nonetheless, AD can be used to convert into methane all soluble end-products generated by fermentation except propionate, and more particularly under high ammonium concentration (> 2.9 g.L<sup>-</sup>  $^{1}$ )<sup>81,82</sup>. In that case, traditional carboxylic acid extraction techniques could be used to produce pure propionic acid, but the proof of concept remains to be demonstrated.

689 4.4.3. Lactic acid

690 Lactic acid is an alpha-hydroxy acid widely used in the food industry (bacteriostatic, 691 preservative, flavour enhancer), but also in the pharmaceutical sector and more recently in the 692 polymer industry for polylactic acid manufacturing (PLA, bioplastic). The market value is 693 high, ranging between 0.84 and 1.51 €/kg, equivalent to 0.79 – 1.41 €/kg<sub>COD</sub> (Table 4). The market volume is 472 kt/yr and is expected to grow in the coming years due to the increasing 694 demand in PLA. The lactic acid is currently 100% bio-sourced<sup>48</sup> as high isomeric purity lactic 695 acid can be produced by simple fermentation<sup>83</sup>. This aspect is particularly important for PLA 696 production, which biodegradability depends on the L-isomer purity of the lactic acid<sup>83</sup>. First 697 698 life cycle assessments have shown that

699 Similarly to propionate, lactic acid production is not desired in DF as it does not promote the H<sub>2</sub> production<sup>5</sup>. Nevertheless, very good performances have been achieved 700 701 regarding lactate production by mixed-culture fermentation from both glucose and food waste 702 (Table 5 and Table 6). In particular, lactate was produced with high selectivity even from 703 complex substrates. Using food waste as the substrate, the highest lactate concentration achieved so far is 64.0 g.L<sup>-1</sup>, with a yield and productivity of 0.63  $g_{COD}$  lactate  $g_{COD}^{-1}$  and 12.8 704  $g_{L}^{-1}$ ,  $d^{-1}$ , respectively (Table 5)<sup>84</sup>. Productivities as high as 40.0  $g_{L}^{-1}$ ,  $d^{-1}$  were reached using 705 706 food waste as substrate<sup>85</sup>.

707 Similarly to carboxylic acids, lactate extraction is suitable when its selectivity is 708 sufficiently high. Some studies demonstrated the feasibility of lactate extraction after food 709 waste fermentation, using a process combining centrifugation, nanofiltration and 710 electrodialysis steps with an overall recovery rate of  $73\%^{85}$ . Lactate can also be extracted *in*- *situ* from fermentation medium by adsorption on activated carbon that can be further desorbed
with acetone<sup>86</sup>. However, research on the improvement of such extraction processes, as well
as on the techno-economic aspects of lactate production are still necessary before considering
a scale-up of the process.

715 4.4.4. Caproic acid

Caproic acid is a medium chain fatty acid (6 carbon atoms) used as antimicrobial agent, animal feed additive, food flavouring and potential biofuel precursor (e.g. decane)<sup>87,88</sup>. The industrial production of this molecule remains low, with a production capacity of only 25 kt/yr. Its current selling price ranges between 1.88 and 2.09  $\notin$ /kg, equivalent to 0.85-0.95  $\notin$ /kg<sub>COD</sub> (Table 4) that is far too high for a commodity product. This price would likely decrease if the caproic acid production is intensified.

Caproate can be produced from a mixture of acetate, butyrate, ethanol and lactate by chain elongation according to the following global reactions (butyrate being a reaction intermediate)<sup>87</sup>:

725 12 
$$C_2H_6O$$
 (ethanol) + 3  $C_2H_3O_2^-$  (acetate)  $\rightarrow$  5  $C_6H_{11}O_2^-$  (caproate) + 4  $H_2$  + 8  $H_2O$  + 2  $H^+$  (3)

726 
$$15 \text{ C}_3\text{H}_5\text{O}_3^-(\text{lactate}) + 10 \text{ H}^+ \rightarrow 5 \text{ C}_6\text{H}_{11}\text{O}_2^-(\text{caproate}) + 15 \text{ CO}_2 + 10 \text{ H}_2 + 5 \text{ H}_2\text{O}$$
 (4)

727 Caproate production can therefore be a way to recover value from a mixture of these 728 metabolites. Caproate production by mixed cultures is a recent topic, with more than 90% of 729 the identified articles having been published after 2013. In most studies, chain elongation 730 reactions are favoured by ethanol addition (80% of the studies dealing with caproate). 731 However, such external ethanol addition has a high environmental impact, and increase the costs of the process, and therefore should be minimized <sup>89</sup>. Using complex substrates, the best 732 performances achieved so far are a maximum concentration of 11.9 g.L<sup>-1</sup>, a yield of 0.81 733 g<sub>COD caproate</sub>.g<sub>COD</sub><sup>-1</sup> and a productivity of 26 g.L<sup>-1</sup>.d<sup>-1</sup> (data issued from different studies, Table 734 5)<sup>90,91</sup>. For more detailed information about caproate production, readers may refer to 735 Cavalcante *et al.*  $(2017)^{87}$ . 736

737 Caproate extraction is greatly facilitated by the low water solubility of its acid form ( $\sim$ 738 11 g.L<sup>-1</sup>). When caproate is concentrated in an acidic compartment by electrodialysis, caproic 739 acid accumulation forms an organic phase on the top of the aqueous phase that can be 740 physically removed<sup>88</sup>. Direct liquid-liquid extraction from the fermentation medium is also

possible<sup>91–93</sup>. For instance, using trioctylphosphine oxide as solvent, caproate recovery yields of 97.3% were achieved<sup>93</sup>. Moreover, long-term caproate production (> 1 year) was demonstrated<sup>93</sup> and at least one pilot-scale reactor was already implemented, incorporating an extraction technology<sup>94</sup>. In summary, caproate production by microbial mixed culture could reach a pre-industrial stage in the coming years, the main constraint being the reduction of the external supply of ethanol.

747

## 4.4.5. Polyhydroxyalkanoates (PHAs)

748 Polyhydroxyalkanoates (PHAs) are a family of biodegradable polyesters that can be 749 produced by fermentation. Indeed, in presence of an excess of carbon source content, some 750 microorganisms are able to accumulate PHAs within their cell as a way to store carbon and energy<sup>95</sup>. Depending on the substrate available for the PHA-accumulating microorganisms, 751 752 polymers have different physicochemical characteristics that can be exploited through numerous applications in the fields of packaging and health, *e.g.* surgery<sup>95</sup>. The most common 753 754 PHAs are poly(3-hydroxybutyrate) (PHB) and poly(3-hydroxybutyrate-co-3-hydroxyvalerate) 755 (PHBV) although a wide variety of PHAs can be produced. In 2015, the market volume of PHAs was only 17 kt/yr and its production was 100% bio-based<sup>48</sup>. Its selling price is currently 756 varying between 2.20 and 5.00 €/kg, equivalent to 1.38 - 3.14 €/kg<sub>COD</sub> (Table 4) but is not yet 757 economically competitive when compared to equivalent petro-based plastics (~1  $\epsilon/kg$ )<sup>96</sup>. As 758 one of the main contributor to the overall process operating costs is the carbon source<sup>97</sup>, using 759 waste as substrate appears to be a promising way to reach economic viability<sup>98</sup>. Moreover, it 760 761 would also improve environmental performances of PHA production, for instance when the 762 process is integrated in a wastewater treatment plant<sup>99</sup>.

763 PHAs can be produced from a mixture of carboxylic acids (*i.e.* acetate, propionate, 764 butyrate, valerate, caproate). Similarly to caproate, PHAs production can be used as a way to 765 valorise DF effluents. When microbial mixed cultures are used, PHA production is generally 766 carried out in three steps: (i) an acidogenesis step (e.g. DF) to produce carboxylic acids; (ii) a 767 PHA-producing bacteria selection phase using feast/famine cycles and (iii) a PHA 768 accumulation phase. The selection phase (ii) is generally kinetically limiting the whole process and explains the low productivities observed, typically 1  $g_{PHA}$ . L<sup>-1</sup>. d<sup>-1</sup> (Table 7)<sup>100</sup>. The 769 best conversion efficiency was 0.41 g<sub>COD PHA</sub>.g<sub>COD</sub><sup>-1</sup> using paper industry effluents<sup>101</sup>. For 770 771 more detailed information about PHAs production from waste, readers may refer to Valentino 772 et al.  $(2017)^{96}$ .

773 To date, several PHA extraction methods have been developed. After a biomass 774 recovery phase (e.g. centrifugation), two strategies are generally employed: the most 775 commonly used method consists in solubilizing PHAs in a solvent (e.g. chlorinated solvent) followed by a precipitation step, for example using ethanol<sup>96</sup>. High purities are achieved with 776 777 this method but require high operating costs related to solvent recycling. The other method 778 aims to disrupt or digest the cellular biomass using chemical or enzymatic treatment to release the PHAs as particles<sup>96</sup>. This second technique is still under development to obtain better 779 780 extraction yields and a better stability of the PHA for thermoplastic applications. Pilot-scale 781 reactors for PHAs production using mixed cultures and incorporating extraction/purification processes have recently been implemented<sup>100,102</sup>. The current technologies are however 782 limited by their high production costs as well as their low productivities that does not yet fit 783 784 with the demand of bioplastic purchasers.

785 < Table 7 >

786

#### 787 5. Conclusions

Residual materials represent a significant source of organic matter<sup>103</sup> that can directly 788 789 contribute to a circular and environmentally friendly economy. The development of AD is a 790 first step towards residual materials conversion and recycling, particularly in a context of 791 energy transition. However, methane has a limited added-value and requires feed-in tariffs to 792 ensure the economic viability of the biogas plants. Recovery of other biomolecules upstream 793 biogas plants could therefore be a way to improve the economic competitiveness of this sector 794 while maintaining environmental and societal services, *i.e.* waste treatment and recycling. 795 Thus, biogas plants have the potential to become environmental biorefinery in which biogas 796 production would only be a final stage dedicated to the most recalcitrant organic fractions.

Biohydrogen is by far the most studied molecule that can be easily implemented in "second generation" biogas plants when considering DF processes. Moreover,  $H_2$  should have an important place in the future economic landscape as chemical reagent and energy carrier, particularly as substitute for petro-based fuels in the transportation sector. Thus,  $H_2$ production could be a first way to improve the economic viability of the biogas sector as there is both an emerging market demand and a high price for this molecule. By implementing  $H_2$ production in AD plants, the territorial grid offered by the biogas plants would significantly

804 reduce the costs related to  $H_2$  transportation. Research on biological  $H_2$  production under non-805 sterile conditions has been active for more than 15 years, and mainly focused on the study of 806 DF by mixed microbial communities. This process has been widely tested at laboratory scale 807 for a wide range of operating conditions, such as temperature (from 15 to 80 °C), working 808 volume (from 0.01 to 3300 L), operation modes (discontinuous, semi-continuous and 809 continuous) and for a variety of complex substrates that are representative of most of the 810 resources available for AD (Figure 4). However, an average of only 8.9% of the organic load 811 is converted into H<sub>2</sub> when complex substrates are used in DF (9.6% for industrial effluents, 812 9.4% for green and agricultural waste, 6.4 % for food waste and 5.6% for municipal waste). 813 Therefore, there is still room for improvement to reach the theoretical maximum yield of 814 33%, especially regarding easily biodegradable sugar rich substrates such as food and 815 municipal waste. Because of these low yields, most economic scenarios comparing the 816 different bioH<sub>2</sub> production technologies conclude that DF is not economically competitive yet with technologies such as biomass gasification or biogas reforming<sup>10</sup>. However, these 817 818 scenarios do not take into account the environmental benefits offered by DF when compared to more traditional H<sub>2</sub>-producing processes, as shown by recent LCA<sup>10</sup>, as well as products 819 other than H<sub>2</sub> that can be coproduced and the possible couplings within a biorefinery that 820 821 could improve economic performances of DF.

Nonetheless, DF acts also as pretreatment of the complex organic matter and the effluents are more easily degradable than the initial materials. Thus, DF effluents can be directly injected into biogas plants to recover soluble COD content as methane. Such coupling, also known as two-stage AD, is a mature technology supported by numerous studies and patents that could be readily implemented in a short term (TRL7, see supplementary information for more information about TRL scale). In addition to this coupling, new complementary routes with better added-value could be implemented in a near future:

- Producing more bioH<sub>2</sub> (TRL5-6): it is possible to inject DF effluents in a microbial electrolysis cell or in a photofermentation reactor. However, the development of both processes is currently limited by high capital expenditures and the low organic load rates they can withstand.
- Extracting biomolecules from DF effluents or bioelectrochemical processes
   (TRL2-3): acetate, butyrate and ethanol can be stably co-produced with bioH<sub>2</sub>
   during mixed-culture DF or produced purely by bioelectrochemical processes.
   Specific and low-cost extraction methods still need to be developed for DF

837 effluents whereas bioelectrochemical systems are still limited by low838 productivities.

- Producing biomolecules from DF effluents (TRL6): additional fermentation
   processes can be carried out to produce more easily extractable molecules such
   as caproate or PHAs from DF effluents. Caproate production is undergoing a
   scale-up phase while PHA production processes still suffers from low
   productivities.
- Redirecting DF toward the production of metabolites without H<sub>2</sub> production (TRL3-4): because of their anti-correlation with H<sub>2</sub> production, propionate and lactate production by mixed cultures has received little attention. Nevertheless, these metabolites have a high market value and early studies show that they could be produced with good performance upstream of biogas plants. It is also possible to produce 1,3-propanediol in the case where glycerol is used as substrate.

851 All these complementary or alternative processes are at unequal levels of 852 technological maturity ranging from laboratory-scale pre-studies (lactate, propionate and 1,3-853 propanediol production by mixed cultures and specific extraction of DF metabolites) to pilot-854 scale processes (microbial electrolysis, photofermentation, PHAs and caproate production by 855 mixed cultures). Modelling approaches such as life cycle assessment and techno-economic 856 studies would be helpful to further scaling up steps by providing boundaries for economic and 857 environmental viability. In all cases, the higher added-value of fermentative products when 858 compared to methane leaves room for the potential addition of fermenters and extraction 859 systems to biogas plants when these technologies are more mature. Whatever the recovery 860 scenarios considered, AD will act as a final way to recover the last part of non-valorised 861 organic matter.

862 To conclude, biogas plants transformation into environmental biorefineries responds 863 not only to a concern for better economic viability, but could also represent an interesting 864 source of bio-based platform molecules for the future bioeconomy. By keeping easily 865 fermentable substrates for biomolecules production (e.g. agro-food industries effluents, food 866 waste) and recalcitrant waste for AD (e.g. manure, sludge), significant volumes of biomolecules could be produced. For instance, if 1% of the French methane production 867 expected in 2030<sup>103</sup> is diverted to produce lactate, a total production of 130 kt/yr could be 868 869 achieved, which represents nearly a quarter of current world consumption. Thus, the

- 870 environmental biorefinery has the potential to become a major supplier of biobased molecules
- 871 with the lowest environmental impact and contribute as a sustainable way to the future
- bioeconomy.

874	Abbreviations
875	AD: Anaerobic digestion
876	<b>DF:</b> Dark fermentation
877	COD: Chemical oxygen demand
878	LCA: Life cycle assessment
879	PDO: 1,3-propanediol
880	PHA: Polyhydroxyalkanoate
881	PLA: Polylactic acid
882	PLS-DA: Partial least square discriminant analysis
883	TRL: Technology readiness level
884	
885	Competing interests
886	The authors declare that they have no competing interests.
887	
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#### 1100 Legends

1101 **Figure 1.** The different phases of anaerobic digestion.

**Figure 2.** Publication of research articles and patents related to biomolecule production by mixed-culture fermentation between 1997 and January 2017. Information regarding patents

after mid 2015 is incomplete due to the 18 month delay between patent filing and publication.

1105 Figure 3. Technologies used for biological hydrogen production. The documents represented

1106 correspond to scientific articles displaying a hydrogen yield higher than 0.01  $g_{COD}$ . $g_{COD}$ <sup>-1</sup> (400

1107 documents) and to the patents explicitly claiming hydrogen production (100 documents).

**Figure 4.** Substrates used for the biological production of hydrogen (A) and their total COD concentration (B). The total COD concentration corresponds to the initial concentration of the substrate for batch processes and to the concentration of the feed for continuous/semicontinuous processes. The documents represented correspond to scientific articles displaying a hydrogen yield higher than 0.01  $g_{COD}$ . $g_{COD}^{-1}$  (400 documents). N corresponds to the number of scientific articles taken into account for each category. Only the categories with N  $\geq$  10 are represented. Red dots represent the average of the distributions.

Figure 5. Substrate pretreatment carried out before biohydrogen production. N corresponds to the number of scientific articles taken into account for each category. Mechanical pretreatments: grinding, sonication; physico-chemical pretreatments: acid/alkaline hydrolysis, heat treatment, steam explosion; enzymatic pretreatments: by microorganisms or enzyme cocktails.

1120 Figure 6. Part of the studies focused on dark fermentation using inoculum pretreatment (A)

and the different pretreatment methods employed (B). The documents represented correspond

1122 to scientific articles for which the information concerning inoculum pretreatment is available,

1123 displaying a hydrogen yield higher than 0.01  $g_{COD}$ . $g_{COD}^{-1}$  and focused on dark fermentation,

or on a coupling between dark fermentation and another technology (346 documents).

Figure 7. Hydrogen yield as a function of the total COD concentration of the substrates. The total COD concentration corresponds to the initial concentration of the substrate for batch processes and to the concentration of the feed for continuous/semi-continuous processes. The documents represented correspond to scientific articles displaying a hydrogen yield higher than 0.01  $g_{COD}.g_{COD}^{-1}$  (400 documents).

Figure 8. Hydrogen yield as a function of the substrates employed (A) and process parameters (B). The documents represented correspond to scientific articles displaying a hydrogen yield higher than 0.01  $g_{COD}.g_{COD}^{-1}$  and focused on dark fermentation (303 documents). N corresponds to the number of scientific articles taken into account for each category. Only the categories with N  $\geq$  10 are represented. Red dots represent the average of the distributions.

Figure 9. Frequency of use (A) and performance (B-C) of the different operation modes used for hydrogen production by dark fermentation. The documents represented correspond to scientific articles displaying a hydrogen yield higher than  $0.01 \text{ g}_{\text{COD}} \cdot \text{g}_{\text{COD}}^{-1}$  and focused on dark fermentation (303 documents). N corresponds to the number of scientific articles taken into account for each category. Hydrogen production and productivities are normalized by the working volumes.

Figure 10. Hydrogen yields achieved by photofermentation and microbial electrolysis. The documents represented correspond to scientific articles displaying a hydrogen yield higher than 0.01  $g_{COD}.g_{COD}^{-1}$ . N corresponds to the number of scientific articles taken into account for each category.

Figure 11. Occurrence probability of common metabolites within the database. Scientific articles in which only hydrogen was measured, as well as those dealing with the coupling between fermentation and anaerobic digestion were excluded. Metabolites were considered present when their respective yields were higher than 0.01  $g_{COD}.g_{COD}^{-1}$ . The result is standardized on 353 documents.

Figure 12. Standard fermentation profile identification by hierarchical clustering. Average metabolic profiles of the clusters (A) and their representation by discriminant analysis (PLS-DA)(B). Only scientific articles reporting more than 60% of the substrate total COD recovered as products are taken into account (222 documents). N corresponds to the number of scientific articles of each cluster identified by hierarchical clustering. Only the clusters with  $N \ge 5$  are represented. Error bars corresponds to standard deviations. Ellipses represent 95% confidence intervals.

Figure 13. Final concentrations of acetate, butyrate and ethanol produced by mixed-culture fermentation processes. N corresponds to the number of scientific articles taken into account for each category.

## **Table 1.** Keywords used for the database building.

Category	Keywords
Process	Fermentative process, Photofermentation, Photoautotrophy, Phototrophy, Fermentation / Light, Photosynthesis, MEC, Microbial electro*, Bioelectrochemistry, Bioelectrolysis, Electrofermentation, Electromicrob*, Dark Fermentation, Obscure fermentation, Anaerobic digestion, Anaerobic condition, Anaerobic process, Acidogenesis, Acetogenesis, Methanogenesis, Solventogenesis, Chain elongation
Combined processes	Association of two processes (see previous line)
Hydrogen	Hydrogen, Dihydrogen, H <sub>2</sub>
Biomolecules	List of 130 biomolecules according to Straathof (2014) <sup>104</sup>
Mixed culture	Consortium, Consortia, Co-culture, Microbiome, Microbiota, Microflora, open-culture, Symbiosis, Mixed culture, Community, Population, Dominant

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Biomolecule	Molecular formula	COD equivalent $(g_{COD}/g)$
1,3-propanediol	C <sub>3</sub> H <sub>8</sub> O <sub>2</sub>	1.68
2,3-butanediol	$C_4H_{10}O_2$	1.96
Acetate	C <sub>2</sub> H <sub>4</sub> O <sub>2</sub>	1.07
Butanol	C <sub>4</sub> H <sub>10</sub> O	2.59
Butyrate	$C_4H_8O_2$	1.82
Caproate	C <sub>6</sub> H <sub>12</sub> O <sub>2</sub>	2.21
Cellulose	C <sub>6</sub> H <sub>10</sub> O <sub>5</sub>	1.19
Ethanol	C <sub>2</sub> H <sub>6</sub> O	2.09
Glucose/Fructose	C <sub>6</sub> H <sub>12</sub> O <sub>6</sub>	1.07
Glutamate	C <sub>5</sub> H <sub>9</sub> O <sub>4</sub> N	0.98
Glycerol	C <sub>3</sub> H <sub>8</sub> O <sub>3</sub>	1.22
Hydrogen	H <sub>2</sub>	8.00
Lactate	C <sub>3</sub> H <sub>6</sub> O <sub>3</sub>	1.07
Methane	CH <sub>4</sub>	4.00
Methanol	CH <sub>4</sub> O	1.50
PHA	$(C_4H_6O_2)_n$	1.67
Propanol	C <sub>3</sub> H <sub>8</sub> O	2.40
Propionate	C <sub>3</sub> H <sub>6</sub> O <sub>2</sub>	1.51
Succinate	C <sub>4</sub> H <sub>6</sub> O <sub>4</sub>	0.95
Sucrose/Lactose	C <sub>12</sub> H <sub>22</sub> O <sub>11</sub>	1.12
Valerate	$C_{5}H_{10}O_{2}$	2.04
Xylose/Arabinose	C <sub>5</sub> H <sub>10</sub> O <sub>5</sub>	1.07

1164	<b>Table 2.</b> Molecular formulas and COD equivalents of commonly encountered biomolecules.
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Technology	TRL	Substrate	Theoretical maximum yield (g <sub>COD</sub> .g <sub>COD</sub> <sup>-1</sup> )	Experimental average yield (gcod.gcod <sup>-1</sup> )	Energy conversion efficiency (%)
Dark fermentation	7	Sugars Waste/wastewater	0.333	0.124 (sugars) 0.089 (waste/wastewater)	-
Photofermentat ion	6	Volatile fatty acids Fermentation effluent	1.000	0.255	<10
Microbial electrolysis	6	Volatile fatty acids Fermentation effluent	1.000	0.479	199

1167	Table 3.	Conversion	performances and	features of th	e bioH <sub>2</sub>	production	technologies.
			1			1	U

1168

Molecule	Market price	Market price	Global market	References
	(€/kg)*	(€/kg <sub>COD</sub> )*	volume (kt/yr)	
РНА	2.20 - 5.00	1,38 - 3.14	17	<sup>96</sup> , this study
1,3-propanediol	1.76 - 3.00	1.05 – 1.79	128	48,71
Butyric acid	1.67 – 2.09	0.92 - 1.15	30**	This study
Caproic acid	1.88 - 2.09	0.85 - 0.95	25**	This study
Propionic acid	1.25 – 1.38	0.83 - 0.91	400	<sup>6,79</sup> , this study
Lactic acid	0.84 - 1.51	0.79 – 1.41	472	<sup>48</sup> , this study
Acetic acid	0.33 - 0.67	0.31 - 0.63	13,570	<sup>48</sup> , this study
H <sub>2</sub>	1.50 - 5.00	0.19 - 0.63	60,000	This study
Ethanol	0.30 - 1.50	0.14 - 0.72	76,700	<sup>48,64</sup> , this study
CH <sub>4</sub>	0.09 - 0.20***	0.02 - 0.05***	-	This study

1170 **Table 4.** Market price and volume of biomolecules of interest.

1171 \* Excluding transport costs

1172 \*\* Production capacity

1173 \*\*\* Feed-in tariff for methane injection into the natural gas network in France

## 1175 **Table 5.** Best performance of soluble metabolite production by mixed cultures from complex

#### 1176 substrates.

Metabolite	Substrate	Concentration (g.L <sup>-1</sup> )	Yield* (gcod·gcod <sup>-1</sup> )	Productivity* (g.L <sup>-1</sup> .d <sup>-1</sup> )	Process configuration	Process or start-up duration (d)**	Ref.
Highest concentr	ations		1	1		1	1
Acetate	Sugar cane bagasse	35.3	0.24	1.1	Semi-continuous	90	49
Butyrate	Food waste	21.4	0.26	2.7	Batch	8	80
Caproate	Fermented municipal waste + ethanol	11.9	0.46	26.0	Continuous	140	90
Ethanol	Raw glycerol	26.0	0.59	1.6	Fed-batch	15.8	66
Lactate	Food waste	64.0	0.63	12.8	Batch	5	84
Propionate	Food waste	15.8	0.16	2.0	Batch	8	80
1,3-propanediol	Raw glycerol	82.7	0.75	73.4	Fed-batch	1.1	73
Highest yields	I				1		
Acetate	Food waste	7.9	0.56	1.8	Batch	4.3	50
Butyrate	Municipal waste	1.6	0.74	0.8	Batch	2.1	61
Caproate	Liquid phase of alcoholic fermentation	NA***	0.81	2.1	Continuous	350	91
Ethanol	Raw glycerol	8.0	0.91	4.8	Batch	1.7	68
Lactate	Food waste	64.0	0.63	12.8	Batch	5	84
Propionate	Sago starch wastewater	2.2	0.31	1.1	Semi-continuous	NA	105
1,3-propanediol	Refined glycerol	82.7	0.75	73.4	Fed-batch	1.1	73
Highest producti	ivities						
Acetate	Beverage industry wastewater	3.6	0.18	57.0	Continuous	155	52
Butyrate	Beverage industry wastewater	4.6	0.39	73.2	Continuous	155	52
Caproate	Fermented municipal waste + ethanol	11.9	0.46	26.0	Continuous	140	90
Ethanol	Beverage industry wastewater	0.6	0.06	9.5	Continuous	155	52
Lactate	Food waste	40.0	0.41	40.0	Continuous	152	85
Propionate	Cheese whey	6.9	0.26	27.6	Continuous	40	106
1,3-propanediol	Raw glycerol	82.7	0.75	73.4	Fed-batch	1.1	73

1177 \* Yields are normalized on the total COD content of the substrate. Productivities correspond

1178 to average productivities (batch, fed-batch and semi-continuous reactors) or productivities

1179 during steady states (continuous reactors).

1180 \*\*Process duration stands for total batch/fed-batch duration if applicable. Start-up duration

1181 corresponds to the time required to reach the best performing steady state in continuous/semi-

1182 continuous processes. Both durations are not necessarily optimal due to experimental design.

1183 \*\*\* Continuous extraction

- **Table 6.** Best performance of soluble metabolite production by mixed cultures from synthetic
- 1185 fermentation media.

Metabolite	Substrate	Concentration	Yield*	Productivity*	Process	Process or start-up	Ref.
		(gill )	(gcob.gcob)	(g.2 m )	comgutation	duration (d)**	
Highest concentra	itions						
Acetate	Glucose	34.4	0.31	3.1	Fed-batch	11	53
Butyrate	Refined glycerol	13.5	0.19	0.5	Fed-batch	27	107
Caproate	Lactate	23.4	0.72	1.5	Fed-batch	16	108
Ethanol	Refined glycerol	11.1	0.42	3.7	Batch	3	109
Lactate	Glucose	21.5	0.92	43.0	Continuous	38	110
Propionate	Refined glycerol	22.6	0.45	0.8	Fed-batch	28	81
1,3-propanediol	Refined glycerol	81.4	0.56	23.8	Fed-batch	3.4	111
Highest yields			1	1			1
Acetate	$CO_2$ + electricity	4.7	0.90	0.9	Fed-batch	5	51
Butyrate	Acetate + ethanol	11.5	0.80	20.0	Continuous	NA	62
Caproate	Lactate	23.4	0.72	1.5	Fed-batch	16	108
Ethanol	Xylose	3.1	0.61	1.0	Batch	3	112
Lactate	Glucose	21.5	0.92	43.0	Continuous	38	110
Propionate	Refined glycerol	22.6	0.45	0.8	Fed-batch	28	81
1,3-propanediol	Refined glycerol	1.7	0.77	2.0	Batch	0.8	113
Highest productiv	rities						
Acetate	Sucrose	2.7	0.14	127.9	Continuous	27	114
Butyrate	Sucrose	4.9	0.30	237.1	Continuous	NA	29
Caproate	Acetate + ethanol	8.7	0.51	52.2	Continuous	75	115
Ethanol	Sucrose	0.5	0.05	22.5	Continuous	27	114
Lactate	Glucose	19.2	0.90	115.0	Continuous	35	110
Propionate	Starch	1.0	0.07	46.1	Continuous	NA	116
1,3-propanediol	Refined glycerol	81.4	0.56	23.8	Fed-batch	3.4	111

1186 \* Yields are normalized on the total COD content of the substrate. Productivities correspond

1187 to average productivities (batch, fed-batch and semi-continuous reactors) or productivities

1188 during steady states (continuous reactors).

1189 \*\*Process duration stands for total batch/fed-batch duration if applicable. Start-up duration

1190 corresponds to the time required to reach the best performing steady state in continuous/semi-

1191 continuous processes. Both durations are not necessarily optimal due to experimental design.

Maximized variable	Substrate	Accumulation step		Global process		
		Yield	Productivity	Yield	Productivity	Ref.
		$(\mathbf{g}_{\text{COD}},\mathbf{g}_{\text{COD}}^{-1})$	$(g.L^{-1}.d^{-1})$	$(g_{COD},g_{COD}^{-1})$	(g.L <sup>-1</sup> .d <sup>-1</sup> )	
Synthetic fermentation media						
Yields & productivities	Acetate +					
	Propionate +	0.84	29.3	0.49*	1.2	117
	Butyrate					
Complex substrates						
Yields	Paper mill	0.75	NA	0.41**	NA	101
	wastewater					
Productivity (accumulation)	Sugar-cane	0.63	259.2	NA	NA	118
	molasses					
Productivity (global)	Snack					
	industry	NA	12.0	0.30**	1.0	100
	wastewater					

## 1193 **Table 7.** Best performance of PHA production by mixed cultures.

1194 \* Yield calculated for the selection and accumulation steps

1195 \*\* Yields calculated for the fermentation, selection and accumulation steps



The different phases of anaerobic digestion.

67x55mm (300 x 300 DPI)



Publication of research articles and patents related to biomolecule production by mixed-culture fermentation between 1997 and January 2017. Information regarding patents after mid 2015 is incomplete due to the 18 month delay between patent filing and publication.

64x49mm (300 x 300 DPI)



Technologies used for biological hydrogen production. The documents represented correspond to scientific articles displaying a hydrogen yield higher than 0.01  $g_{COD}$ . $g_{COD}^{-1}$  (400 documents) and to the patents explicitly claiming hydrogen production (100 documents).

77x73mm (300 x 300 DPI)



Substrates used for the biological production of hydrogen (A) and their total COD concentration (B). The total COD concentration corresponds to the initial concentration of the substrate for batch processes and to the concentration of the feed for continuous/semi-continuous processes. The documents represented correspond to scientific articles displaying a hydrogen yield higher than 0.01  $g_{COD}$ . $g_{COD}^{-1}$  (400 documents). N corresponds to the number of scientific articles taken into account for each category. Only the categories with N  $\geq$  10 are represented. Red dots represent the average of the distributions.

121x176mm (300 x 300 DPI)



Substrate pretreatment carried out before biohydrogen production. N corresponds to the number of scientific articles taken into account for each category. Mechanical pretreatments: grinding, sonication; physico-chemical pretreatments: acid/alkaline hydrolysis, heat treatment, steam explosion; enzymatic pretreatments: by microorganisms or enzyme cocktails.

86x90mm (300 x 300 DPI)



Part of the studies focused on dark fermentation using inoculum pretreatment (A) and the different pretreatment methods employed (B). The documents represented correspond to scientific articles for which the information concerning inoculum pretreatment is available, displaying a hydrogen yield higher than 0.01  $g_{COD}.g_{COD}^{-1}$  and focused on dark fermentation, or on a coupling between dark fermentation and another technology (346 documents).

91x100mm (300 x 300 DPI)



Hydrogen yield as a function of the total COD concentration of the substrates. The total COD concentration corresponds to the initial concentration of the substrate for batch processes and to the concentration of the feed for continuous/semi-continuous processes. The documents represented correspond to scientific articles displaying a hydrogen yield higher than 0.01  $g_{COD}.g_{COD}^{-1}$  (400 documents).

119x83mm (300 x 300 DPI)



Hydrogen yield as a function of the substrates employed (A) and process parameters (B). The documents represented correspond to scientific articles displaying a hydrogen yield higher than 0.01  $g_{COD}$ . $g_{COD}$ <sup>-1</sup> and focused on dark fermentation (303 documents). N corresponds to the number of scientific articles taken into account for each category. Only the categories with N  $\geq$  10 are represented. Red dots represent the average of the distributions.

154x138mm (300 x 300 DPI)



Frequency of use (A) and performance (B-C) of the different operation modes used for hydrogen production by dark fermentation. The documents represented correspond to scientific articles displaying a hydrogen yield higher than 0.01 g<sub>COD</sub>.g<sub>COD</sub><sup>-1</sup> and focused on dark fermentation (303 documents). N corresponds to the number of scientific articles taken into account for each category. Hydrogen production and productivities are normalized by the working volumes.

108x142mm (300 x 300 DPI)



Hydrogen yields achieved by photofermentation and microbial electrolysis. The documents represented correspond to scientific articles displaying a hydrogen yield higher than  $0.01 g_{COD} \cdot g_{COD}^{-1}$ . N corresponds to the number of scientific articles taken into account for each category.

72x63mm (300 x 300 DPI)



Occurrence probability of common metabolites within the database . Scientific articles in which only hydrogen was measured, as well as those dealing with the coupling between fermentation and anaerobic digestion were excluded. Metabolites were considered present when their respective yields were higher than  $0.01 \text{ } _{\text{COD}}.9_{\text{COD}}^{-1}$ . The result is standardized on 353 documents.

103x63mm (300 x 300 DPI)



Standard fermentation profile identification by hierarchical clustering. Average metabolic profiles of the clusters (A) and their representation by discriminant analysis (PLS-DA)(B). Only scientific articles reporting more than 60% of the substrate total COD recovered as products are taken into account (222 documents). N corresponds to the number of scientific articles of each cluster identified by hierarchical clustering. Only the clusters with N  $\geq$  5 are represented. Error bars corresponds to standard deviations. Ellipses represent 95% confidence intervals.

158x303mm (300 x 300 DPI)



Final concentrations of acetate, butyrate and ethanol produced by mixed-culture fermentation processes. N corresponds to the number of scientific articles taken into account for each category.

71x61mm (300 x 300 DPI)

## Table of contents entry

The production of energy carriers and bulk chemicals by mixed-culture fermentation is quantitatively analysed and discussed in a biorefinery context.



The production of energy carriers and bulk chemicals by mixed-culture fermentation is quantitatively analysed and discussed in a biorefinery context.

39x19mm (300 x 300 DPI)