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Periyasamy Sivagurunathan, Chandrasekhar Kuppam, Ackmez Mudhoo, Ganesh D. Saratale, Abudukeremu Kadier, et al.. A comprehensive review on two-stage integrative schemes for the valorization of dark fermentative effluents. Critical Reviews in Biotechnology, 2018, 38 (6), pp.868-882. 10.1080/07388551.2017.1416578. hal-02629225

# HAL Id: hal-02629225 https://hal.inrae.fr/hal-02629225

Submitted on 4 Aug 2023

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# A comprehensive review on two stage integrative schemes for the valorization of dark fermentative effluents

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# 1 Abstract

2	This review provides the alternative routes towards the valorization of dark $H_2$
3	fermentation effluents that are rich in volatile fatty acids mainly acetate and butyrate. Various
4	enhancement and alternative routes such as photo fermentation, anaerobic digestion, utilization
5	of microbial electrochemical systems and algal system for the generation of bioenergy and
6	electricity are highlighted. What's more, various integration schemes and two-stage fermentation
7	for the possible scale up are reviewed. Moreover recent progress in process efficiency for the
8	performance achieved in wastes stabilization, overall recovery of useful and higher COD
9	removal into value-added products are discussed extensively.
10	
11	Keywords: Biohydrogen; Volatile fatty acids; Dark and Photo-fermentation; Bioelectrochemical
12	Systems (BESs); Biomethane; Bioplastics
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18 10	1. Introduction
20	Over the past decades, Hydrogen (H <sub>2</sub> ) has gained a great interest for its potential to be used
21	as new, clean and sustainable energy vector. Indeed, $H_2$ has the intrinsic advantages of a very
22	high energy content per mass unit (120 kJ.g <sup>-1</sup> ) that is much superior to other usual energy vectors,
23	as well as combustion properties that only produces water vapour [1, 2] The energetic value of
24	H <sub>2</sub> is more than twice higher than natural gas or propane and gasoline, but also seven times

higher than wood [3] All these factors make the H<sub>2</sub>-based technologies as serious candidates to

replace the polluting fossil fuel-based transportation systems in a near future. This is particularly
true since many concerns are worldwide rising about global warming and fossil fuel depletion. In
a near future, an emerging economy market based on H<sub>2</sub> energy is foreseen to become an earnest
alternative to substitute fossil-based fuels for transportation.

5 Presently, H<sub>2</sub> is mainly produced for non-energetic uses in chemical and petrochemical 6 industries. The production of ammonia represents the major part of H<sub>2</sub> consumption (54%) with more than 80 Mt of ammonia was used as fertilizer in agriculture in 2013 [4]. Other industrial 7 8 applications of  $H_2$  mainly include concerning oil refining and methanol production (35%) and, at 9 a lower extent, in metallurgical, electronical, glass and agro-industries [5]. Beyond actual industrial uses of H<sub>2</sub>, new routes for local and diffuse sources of H<sub>2</sub> production will be required 10 in case of the emergence of H<sub>2</sub>-based transportation system. To date, the production of H<sub>2</sub> at 11 large scale is principally based on natural gas reforming which having the negative 12 environmental impacts by releasing extensive amounts of carbon dioxide (CO<sub>2</sub>). The steam 13 14 reforming technology generates about 96% of total  $H_2$  (Lin et al., 2012). The development of environment-friendly technologies is therefore crucial for sustaining H<sub>2</sub> in the transportation 15 sector. The remaining 4% of H<sub>2</sub> are produced through water electrolysis that can be considered 16 17 as clean and sustainable when renewable resources are considered (wind, sun, water, etc.). Although renewable energy based water electrolysis will be certainly widely implemented to 18 answer to the growing demand in H<sub>2</sub> energy. In this context, technologies using raw biomass or 19 20 any type of organic waste through thermochemical and biological processes could be considered as a very promising for the production of fully green hydrogen with the lowest environmental 21 22 impact [6, 7]. For now, these bio-technologies remain at pilot scale demonstration however there 23 are many chances for the upscaling in the next coming decades.

When considering waste materials, dark fermentation (DF) process has to be seriously 1 considered for its proficiency to convert and adapt to an ample array of wastes to produce H<sub>2</sub> [5]. 2 Among all biological processes, DF process has the main advantage do not require light to occur, 3 4 with regards to photo-fermentation or green algae photobiolysis. The generation of biohydrogen 5 through DF processes is based on the activity of anaerobic microorganisms that are able to degrade and convert many types of organic matter into H<sub>2</sub> and other by-products [8, 9]. These 6 microorganisms are found in natural and anthropogenic environments such as marshes, 7 sediments, manure, sewage sludge or digestive animal tracts, and are thus easily available for 8 9 sampling. Indeed, this microbial process is derived from anaerobic digestion and is widespread in natural ecosystems [10]. In nature, firstly hydrolytic bacteria hydrolyze organic biomass into 10 simple molecules which are further converted into H<sub>2</sub> and CO<sub>2</sub> mainly through the acetate and 11 butyrate pathways [11]. Both pathways generate 2 moles of  $H_2$  per mole of co-product: 12

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14 
$$C_6H_{12}O_6 (Glucose) + 2H_2O \rightarrow 2 CH_3COOH (Acetate) + 2 CO_2 + 4 H_2$$
  
15  $(\Delta G'_0 = -215 \ kJ. \ mol^{-1})$ 

16  $C_6H_{12}O_6(Glucose) + 2H_2O \rightarrow CH_3CH_2CH_2COOH (Butyrate) + 2CO_2 + 2H_2 (\Delta G'_0 = -264 \text{ kJ. mol}^{-1})$ 18

Among all biomass constituents, mainly carbohydrates participate to produce  $H_2$  through these pathways [12]. However, carbohydrates can also be converted into other metabolic products such as ethanol, butanol, lactic acid or other volatile fatty acids. The metabolic shift of the process depends on the environmental parameters, the microbial inoculum and the type of used biomass [5, 13]. Additionally,  $H_2$  could be directly consumed by homoacetogens or propionic acid-producing bacteria and the presence of such  $H_2$ -consuming bacteria might also considerably reduce the amounts of cumulated  $H_2$ , even when methanogenesis is unfavoured due to low pH, and high organic loads. Nonetheless, in mixed culture, Hawkes et al. [14] proposed an
equation of an average of 2.5 moles of H<sub>2</sub> when considering mixed culture fermentation.

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4 4C_6H_{12}O_6(Glucose) + 2H_2O \rightarrow

5 3CH_3CH_2CH_2COOH(Butyrate) + 2CH_3COOH(Acetate) + 8CO_2 + 10H_2

6
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7 That implies a butyric/acidic acids ratio of 1.5 which has been controversially discussed in several studies. In particular, Guo et al [12] demonstrated a statistical independence between 8 9 acetate accumulation as generated by both the acetate pathway and homoacetogenesis, and the H<sub>2</sub> yield, invalidating the relevance of the butyric/acidic acid ratio. Moreover, in the case of more 10 complex biomass such as lignocellulosic biomass, the degree of polymerisation of the 11 carbohydrates can strongly affect the H<sub>2</sub> yield since a slow hydrolytic step is required which 12 could subsequently favour H<sub>2</sub> consumers. Nonetheless, the heterogeneous composition of 13 lignocellulosic materials requires the use of different types of hydrolytic enzymes to be fully 14 15 degraded. Such complex enzymatic potential is produced by microbial consortia increasing biomass degradation thanks to their synergistic enzymatic functions [15]. Thus, the use of 16 17 complex microbial consortia represents having many advantages including not require sterile 18 conditions and can easily adapt to culture condition changes.

However, considering the flexibility and the variability of metabolic pathways in mixed cultures, the H<sub>2</sub> produced during the conversion of organic matter in mixed cultures represents an average maximum COD ranging between 20% and 25% of the initial COD from the substrate, which is equivalent to 2 or 3 moles H<sub>2</sub>/mole Glucose. In general, the remaining 80% are retrieved in the forms of fermentative metabolites co-produced. To work out an integrated process which embodies most of the metrics that could bring economic viability, such amounts

of COD has to be further considered in downstream processes within an integrated scheme. However, fermentative metabolic routes may influence the downstream process, especially when considering biological processes that can be more or less sensitive to by-product patterns. So far, various methods have been proposed to utilize DF effluents efficiently and adding further revenue to the process.

Numerous reports demonstrated the application of integrated schemes for the valorization 6 of DF effluents to generate value added end-products. However, the least amount of review 7 papers with a limited discussion on the integrated schemes were found in the literature [16, 17]. 8 9 For example, a review by Guwy et al. [16] doesn't elaborate the key challenges and overcoming opportunities associated with the integrated systems, in particular on the aspect of BESs. 10 Recently, Turon et al. [17] reviewed the potential application of dark fermentative effluents for 11 microalgae cultivation and assessed controlling both biotic and abiotic factors is essential for 12 enhanced microalgal biomass production from dark fermentation effluents. To fulfill the existing 13 research gaps in the area of integrated schemes for the valorization of dark fermentative effluents 14 to generate value added end-products, this review article overviewed the challenges associated 15 with various integration schemes and their upcoming opportunities. Also, the enhancement of  $H_2$ 16 17 production routes are discussed in the frame of photofermentation and BES systems, the effectiveness of two-stage methanogenic systems are discussed. The potential of heterotrophic 18 algae cultivation process for lipids production and anoxygenic nutrient-limiting process for 19 20 bioplastics production also reviewed. Finally, the scientific and technical challenges for integrated schemes are discussed to provide the insights of the integrated biorefinery scheme for 21 22 value-added chemicals and energy production.

#### **1 2.** Enhancement routes for H<sub>2</sub> production

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The greatest challenge of the DF process is its low hydrogen yield with a maximum of 2.5–3 mol H<sub>2</sub>/mol glucose ( $C_6H_{12}O_6$ ) as proposed theoretically [14] and which is also observed in practice [5]. However, this represents only 20–25% of the 12 mol of hydrogen available according to the stoichiometric conversion of  $C_6H_{12}O_6$  to hydrogen [18] and leads to the formation of fractions of organic matter which consist of weak organic and ethanolic molecules not further convertible by fermentative metabolisms to H<sub>2</sub> [19]. In DF, enhancement of overall hydrogen yield over 4 mol H<sub>2</sub>/mol glucose can be made DF economically viable [4].

In the course of bio-hydrogen production, intermediate metabolites/by-products produced by the biocatalyst will compete with the metabolic pathways responsible for H<sub>2</sub> production, and this rerouting of metabolic pathways leads to a drastic reduction in the overall H<sub>2</sub> yields [20]. However, several researchers made an effort to redirect the H<sub>2</sub>-evolving metabolic bioreactions to reduce the generation of low-end molecules [21]. To overwhelm the stoichiometric limitation of DF and in order to produce closely theoretical hydrogen yield that is 4 mol H<sub>2</sub>/mol glucose, new strategies need to be examined.

#### 17 **2.1.** Strategies to enhance the process efficacy of H<sub>2</sub> fermentations

In the course of conventional bio-hydrogen production, low substrate utilization, its conversion efficiency and accumulation of low-end metabolites were considered as great challenges [22]. In specific, the DF process has major problems for practical applications due to the low hydrogen yield (4 mol H<sub>2</sub>/mole glucose), with a maximum conversion efficiency of 33% [23]. Moreover, significant amounts of residual organic acids/low-end metabolites were still present in the DF process [24]. As a result, further treatments are necessary prior to reactor effluent disposal. In view of environmental and economic factors, it is preferable and wise to
reuse the residual organic fraction of bioreactor effluents at the end of the DF process for
additional energy or value-added products production together with waste remediation (Figure
1).

5

#### 6 2.2. Integration schemes

7 Over the past years, in the direction of enhancing DF H<sub>2</sub> production process efficiency, several process integration schemes have been suggested to overcome the existing limitations 8 9 and challenges. Utilization of the residual low-end metabolites (such as volatile fatty acids) issued from hydrogen bioreactor effluents as potential organic feed stock for additional energy 10 recovery has been proposed in the form of integrated schemes (Table 1). Numerous secondary 11 bioprocesses together with DF process including, photofermentation process for biohydrogen 12 production, electro-fermentation/bioelectrochemical systems for H<sub>2</sub> and bioelectricity 13 production, methanogenesis process for methane production, nutrient-limiting process for 14 bioplastics production, and heterotrophic algae cultivation process for lipids production have 15 been investigated [18, 25-28]. Secondary bioprocesses which can be integrated with DF 16 17 hydrogen production process are recent emergence. This review describes the possibilities in integrated schemes for the utilization/reuse of effluents from the primary DF process as potential 18 carbon-rich substrate in a secondary process for additional energy generation, as well as value-19 20 added bio-fuel and chemicals production through which the entire process could become economically viable and practically applicable (Figure 1). The integrated schemes for the 21 utilization of DF effluents are depicted in Figure 1 and discussed in details in further sections. 22

23

#### 1 2.2.1. Integrating DF with photobiological process

2 In this scheme, the residual low-end metabolites issued from the DF reactions will be considered as carbon-rich organic substrate in a photobiological  $H_2$  production process for 3 additional energy generation (Figure 2). In this scheme, residual organic acids such as volatile 4 fatty acids (VFAs) can be readily consumed by photosynthetic bacteria for their metabolic 5 6 requirements [29]. While low-end metabolites of the DF process are efficiently consumed by few purple non sulphur (PNS) phototrophic bacteria, the 2-stage integration scheme of DF 7 bioprocesses with anoxygenic photo-fermentation process has the dual benefits of a higher  $H_2$ 8 9 yield and simultaneous treatment of the effluents. Photosynthetic microbial colonies which convert solar energy to chemical energy can be further engineered to transform the weak organic 10 acids to essentially biohydrogen through photo-fermentation processes [30]. The extensively 11 studied bacteria for photo-fermentation of volatile fatty acids are *Rhodobacter* species. However, 12 green microalgae can also consume low-end metabolic intermediates produced from the DF 13 process, specifically when acetic acid is used as a potential substrate [31, 32]. The maximum 14 theoretical biohydrogen yield of the photo-fermentation process of acetic acid is 4 moles of 15 biohydrogen from one mole of acetate as recorded [5]. If we assume that acetic acid is only the 16 17 low-end metabolite and used by the dark and photo-fermentation, biohydrogen yields of 12 moles of hydrogen per mole glucose could be achieved. Here, 4 moles of hydrogen were 18 retrieved from the DF process and the remaining 8 moles of hydrogen were produced by photo-19 20 fermentation. So far, the maximum average biohydrogen yields was reported to be in the middle of 6 and 7.2 moles of biohydrogen for every one mole of glucose by integrated DF with photo-21 22 fermentation process [18]. Su et al. [33] conducted an extensive investigation in a 2-stage 23 combined dark and photo-fermentation process to enhance the H<sub>2</sub> production from glucose

(20 g  $L^{-1}$ ), where 1.32 mol  $H_2$  mol<sup>-1</sup> glucose and 4.16 mol  $H_2$  mol<sup>-1</sup> glucose, respectively by 1 dark and photo fermentative hydrogen production was observed. The cumulative hydrogen yield 2 of the integrated system was of 5.48 mol  $H_2$  mol<sup>-1</sup>glucose. Recently, Lo et al. [34] reported the 3 utilization of starch feedstock (35 g  $L^{-1}$ ) for combined dark and photo-fermentation strategy 4 where the overall yield was 16.1 mmol H<sub>2</sub>  $g^{-1}$  COD equivalent to 3.1 mol H<sub>2</sub> mol<sup>-1</sup> glucose, with 5 6 a COD elimination efficiency of 54.3%. The literature survey suggests that an efficient and 7 effective photo-fermentation of DF effluent has to meet certain conditions such as total volatile fatty acid and  $NH_4^+$  concentrations should be lower than 2500 mg L<sup>-1</sup> and 40 mg L<sup>-1</sup>, 8 respectively [35-39]. In addition to this, the DF effluents should be glucose deficient since it 9 mainly affects the efficiency of photo-fermentative hydrogen production [33, 38] The quantities 10 of CO<sub>2</sub> generated were very high. Microalgae have better efficiency in CO<sub>2</sub> fixation and thus 11 may be considered as yet one more resource for the generation of biofuels [9, 40, 41]. Lee et al. 12 [42] have studied biohydrogen evolution from effluents in CSTR, ASBR, and UASB using a 13 dark and photo fermentation system to achieve better  $H_2$  production and waste remediation. 14 They reported that the stoichiometric evolution of biohydrogen seemingly occurred because of 15 the reactions from the anaerobic citric-acid cycle accompanied by the photo-mediated reactions 16 which lead to the prompt oxidation of the "reduced NAD<sup>+</sup>" produced by the former species 17 [43]. On the other hand, photo-fermentation of these residual low-end organic metabolites from 18 the hydrogen bioreactor is considered to be more challenging than DF process with respect to 19 process efficiency, sensitivity to fixed nitrogen, due to poor light diffusion, inadequacy in 20 harnessing the energy from high photo-intensities, maintaining microenvironment, substrate 21 inhibition, nutritional requirements for microbial growth, risk of contamination and the quest to 22 reduce costs in the design of process-effective photo-bioreactors [30]. 23

2

#### 2.2.2. Integrating DF with bioelectrochemical systems (BESs)

3 Compared to conventional treatment technologies, bioelectrochemical systems (BESs) offer a novel and transformative solutions for integrated waste treatment and energy generation 4 5 [44]. These BESs offers an appropriate platform for both reduction and oxidation reaction-6 oriented processes [45, 46]. However, all the BESs share one similar principle in the anode compartment, in which organic substrates like glucose, fructose and starch etc., or carbon rich 7 biodegradable waste materials get oxidized and generate electrical current. However, a wide 8 9 variety of applications have been realized by employing this self-induced in situ current, hydrogen/chemical production in microbial electrolysis cells (MEC) and microbial 10 electrosynthesis (MES) hydrolysis of complex organic substrate in bio-electro hydrolysis system 11 (BEH), or water desalination in microbial desalination cells (MDC) [2, 28]. 12

13

#### 14 2.2.2.1. DF and MEC coupled system can achieve high H<sub>2</sub> rate and yield

MECs can be applied to biohydrogen production [2], CH<sub>4</sub>, H<sub>2</sub>O<sub>2</sub> and formic acid 15 production [2, 47, 48], wastewater treatment [49], environmental sensors [50], and 16 bioremediation [51]. In recent years, a remarkable interest on MEC technology, majorly due to 17 18 the possibility of integration with other bioprocess technologies such as DF biohydrogen process 19 [18, 52]. Electrochemically active bacteria (EAB) can completely convert biodegradable organic matter into H<sub>2</sub> and CO<sub>2</sub>. EAB are very ineffective in metabolizing directly complex organics as 20 21 electron donors do in MFCs or MECs [53-55]. DF effluents consist of a high proportion of volatile fatty acids (VFAs) and MECs that may be viewed as 'electrochemical factories' where 22 electricity is generated under microbial action. The possible organic matter for MEC are 23 confined to certain compounds, such as acetate, cellulose, starch and wastewater [56, 57]. Such 24

1 two-stage process integration scheme has been investigated to exploit carbon rich effluents of DF biohydrogen reactor as potential substrate for harnessing more biohydrogen. It was supposed that 2 this two-stage process integration schemes have the high substrate conversion efficiency (90%) 3 of MEC system. Moreover, integration of MEC technology with DF process reactions 4 5 accounting for more  $H_2$  generation could be potentially a solution to achieve higher overall 6 hydrogen yield and to improve total substrate conversion efficiency [18]. Several research groups have reported a significant enhancement in the generation of H<sub>2</sub> and the corresponding 7 yields in a joint DF and MECs system using acid-rich DF effluents from various substrates [52, 8 9 58, 59] (Figure 3). Lalaurette et al. [60] assessed a two-stage bioprocess for hydrogen generation which used both DF with cellulose and a MEC, and found that there had been an enhancement in 10 the net biohydrogen yield to 9.95 mol  $H_2$ / mole glucose from the fermentative hydrogen yield of 11 1.64 mol  $H_2$ / mol glucose using cellulose. Babu et al. [61] reported a peak  $H_2$  generation rate of 12 0.53 mmol/h and a cumulative biohydrogen generation of 3.6 mmol, for a 49.8% of the VFAs 13 metabolized at 0.6 V [61]. Similarly, Liu et al. [58] reported that the biohydrogen yield and 14 generation rate had peaked at 1.2 mL H<sub>2</sub>/mg COD and 120 mL H<sub>2</sub>/g VSS/d, respectively, whilst 15 in another work, Li et al. [54] indicated a 5 mmol H<sub>2</sub>/g-corn stalk yield coupled with a 81–91% 16 17 removal of acetate. Moreover, Moreno et al. (2015) recorded 94.2 L H<sub>2</sub>/kg VS from a two-stage DF-MEC system with cheese whey wastewater, whilst Dhar et al. [62] calculated net H<sub>2</sub> yield of 18 19 25% of the total COD from the metabolic conversion of sugar beet juice in an integrated 20 biohydrogen generation uint.

21

#### 22 2.2.2.2. DF and MFC coupled system for efficient treatment of DF effluents

1 One of the main shortcomings with DF  $H_2$  generation relates to the much-observed low bioconversion extents of the organic matter in the substrates. MFC technique has fortunately 2 addressed this limitation reasonably very well by further harnessing the residual untapped 3 bioenergy from the available substrates [46]. MFC is a bio-electrochemical device which 4 5 converts the chemical energy in the naturally available substrates into electric energy with the 6 use of exoelectrogenic microorganisms [63]. MFCs consist of anode where the bio-catalytic action takes place between substrates and the biofilm formed on the electrode surface [63]. The 7 generated electrons reach anode electrode and passes through the external circuit were current is 8 9 produced. The protons migrate through the proton exchange membrane via anolyte and gets collected at the cathode were the electron, proton and oxygen combined to form water [64]. 10 MFCs are simultaneously used for wastewater treatment and the production of clean energy from 11 biomass. Thus, use of this MFCs has a great potential in broad applications, such as bio-sensing 12 applications, emergency locator transmitters (ELTs), recently researchers designed origami MFC 13 14 which is able to transmit radio signals in parallel configuration [65].

MFCs can be operated with a wide range of complex organics [63]. Besides, the 15 effluents from the bioreactor are rich in volatile fatty acids such as acetate, propionate, butyrate 16 17 etc., which serve as a potential source of MFC fuel. The acid rich effluents of bioreactor are rich in readily biodegradable organic matter and could be effectively consumed by the 18 electrochemically active anodic biocatalyst for bio-electricity generation with concurrent waste 19 20 remediation [66]. Such two-stage process integration strategy has been investigated to exploit carbon rich effluents of dark fermentative biohydrogen reactor as potential substrate for 21 22 additional energy generation in the form of bio-electricity (Figure 4) [18, 63].

1 Sharma and Li [67] reported coupled biohydrogen and electricity generation from glucose fractions from wastewaters fed at variable organic loading rates. Furthermore, 2 Mohanakrishna et al. [68] demonstrated the bioconversion of VFAs in batch-mode hydrogen-3 producing DF bioreactor equipped with an MFC and successfully came to observe the generation 4 of electrical energy and organic matter solubilisation of up to 80%. Moreover, Pandit et al. [20] 5 6 reported the following in their work based on MFC: 8.23 mol  $H_2/kg$  COD; reductions in the amounts of COD and total carbohydrates to the tune of 85% and 88%, respectively; and an 7 electrical power production of  $3.02 \text{ W/m}^3$ . 8

9

#### 10 **2.2.3. Integrating DF with biomethane production process**

Biomethane production process involves oxidation of carbon-rich substrate by a group of 11 12 microorganisms that works syntrophically under anaerobic conditions. However, hydrolysis/breakdown of the complex organic substrate into simple sugars/compounds and 13 methanogenesis are considered as major limiting steps in this process. Substrate hydrolysis is 14 15 limited/influenced by fermentative biocatalysts whose optimum pH is around 5.5, despite the fact the optimum pH for methanogenic biocatalysts is around 7.0. Therefore, by separating this 16 substrate hydrolysis process from methanogenesis, both processes can be improved 17 18 independently. The benefits of acidogenesis-methnaogenesis two-stage integration schemes include: (i) utilizing complex organic substrate for biohydrogen production in the first stage 19 which is cleaner than biogas alone; (ii) utilization of reactor effluents from H<sub>2</sub> bioreactor for 20 reaching better recoveries in the second stage [18]. This type of two-stage system found to be 21 22 suitable for solid organic wastes those are rich in carbohydrates [69] whereby significant biogas and COD removal efficiency was observed. Thus, a two-stage integration scheme of bio-H<sub>2</sub> and 23 bio-CH<sub>4</sub> generation needs a dissimilar process handling to single stage bio-H<sub>2</sub>/CH<sub>4</sub> generation. 24

Sequential anaerobic digestion (methanogenesis) of the residual low-end carbon rich metabolites
 issued from the H<sub>2</sub> bioreactor for additional energy generation has indeed to be considered to
 make the entire process viable [18].

4

# 5 2.2.4. Integrating DF with biopolymers/bioplastics production process

6 The utilization of DF effluents waste streams for biopolymers production makes the process more sustainable, economically feasible. These carbon-rich effluents are promising 7 production and 8 feedstocks for efficient accumulation of biopolymers (namelv 9 polyhydroxyalkanoates, PHA) and polyhydroxybutyrate (PHB) in bacterial cells at second stage integrated bioprocess. The PHAs are a biopolyesters of PHA which accumulates as cellular 10 reserve storage materials and are formed under additional nutrient and carbon deprived 11 circumstances [66, 70, 71]. The production of PHA by single-strains cultures by feeding 12 synthetic substrates as carbon source (e.g., acetate, butyrate, etc), which is economically not 13 viable for its production at large scale. These volatile fatty acids are simple low-end acid rich 14 metabolites with a lower number of carbons, which enables PHA production by the involvement 15 of a less number of enzymes when compared to glycolysis and  $\beta$ -oxidation [66]. During 16 17 application of mixed culture for bioplastics production using DF effluents enrichment step to select microorganisms it is important to enhance PHA storing capacity and PHA yield [72]. 18 However, enrichment step mainly depends on the presence or absence of carbon source, an 19 20 electron acceptor as well as cycle length and aeration. Moreover, organic loading rate, pH and nitrogen limitation are also need to be optimized to enhance the PHB accumulation in the 21 22 microbial system [73, 74]. Recently, the production of PHB from diverse fatty acids and carbon 23 rich organic effluents from a dark fermentative biohydrogen process were investigated under an

1 anoxic condition by employing a mixed culture/microbial population [18, 66, 75]. Ntaikou et al. [76] explored the combined production of biohydrogen from DF of olive mill wastewater and 2 polyhydroxyalkanoates (PHAs) production using the resulted DF effluents. Recently, Patel et al. 3 [26] utilized pea shell slurry for integrated H<sub>2</sub> and PHB production using defined mixed culture 4 5 (MMC4) of *Enterobacter*, *Proteus*, *Bacillus* spp.) in batch as well as continuous mode operation. 6 They have also immobilized the microbial culture on coconut coir which results in increase in both H<sub>2</sub> yield and PHB content. Moreover, some investigators studied the feasibility of coupled 7 biohydrogen and polyhydroxyalkanoate production using Calophyllum inophyllum oil cake 8 9 by Enterobacter aerogenes and Rhodobacter sphaeroides under dark and photo fermentation conditions [77] .The biopolymers of PHAs could be used in food processing industries for 10 packaging purposes [73, 78]. Thus, biopolymers production integrated with biohydrogen 11 production process enabled the whole process to be more economically viable [79]. 12

13 14

#### 2.3. Microalgae cultivation on DF effluents

In this scheme, DF effluents that contain 67 to 80% of the initial COD in forms of 15 variable liquid metabolites can be used to support heterotrophic or mixotrophic microalgae 16 growth [17]. Such process combination is in favour of a full valorization of DF end-products, 17 where microalgae biomass could return to the fermentation process as additional biomass. 18 19 Although the organic matter that can be used as a substrate in DF can be from various origins, it is well accepted that H<sub>2</sub> generation from first generation biomass, ie. crops, enters in competition 20 with food usages of lands and subsequent ethics conflicts, and should be firmly precluded. 21 22 Therefore, second and third generation biomass, respectively the remaining biomass after crop harvesting and waste/algal biomass should be seriously considered for bioenergy production. 23 Amongst the possibilities of 2<sup>nd</sup> generation biomass to generate hydrogen by DF, the substrates 24

containing high amount of soluble sugars have only to be considered, in regard to methanogenic
reactors where more complex biomass could also be degraded by hydrolytic bacteria ([80].
Recently, microalgae biomass as 3<sup>rd</sup> generation feedstock was considered as potential biomass
feedstock with significant H<sub>2</sub> production [81, 82]. In this case, mixed bacterial cultures are
strictly required to increase the potential of biomass conversion and the origin of seed microbial
inoculum plays a crucial role, suggesting a prerequisite of time adaptation to the biomass.

7 Overall, the fermentation process can be coupled to a microalgae-based bioreactor where microalgae are grown under heterotrophic (no light) or mixotrophic (low light) conditions to 8 9 produce more biomass feedstock that can be further recycled in the first step process [17, 81]. Heterophic/mixotrophic growth of microalgae could also be used to accumulate carbohydrates or 10 biolipids that are naturally produced when microalgae are grown on carboxylic acids under 11 starvation conditions, eg. nitrogen deficient conditions [17, 81]. As heterotrophic microalgae 12 model, Chlorella sorokiniana was reported as an efficient consumer of several types of carbon 13 14 sources, such as acetate, in absence of light to produce lipid-rich microalgal biomass [17]. Many other genera of microalgae have been reported to be able to accumulate carbohydrates or 15 biolipids (from 20 to 77% of biomass weight) under starvation conditions (nitrogen, phosphorus, 16 17 sulfur, and silicon) either under autotrophic or heterotrophic growth [83]. Most efficient strains are related to Botryococcus braunii (high lipid accumulator), Chlorella sp. - Chlamydomonas 18 reinhardtii - Scenedesmus sp. - Dunaliella sp. (as models of study), new strains from the 19 20 Selenastraceae family such as Monoraphidium sp., as well as more particular strains of Monodus Monallanthus Nannochloropsis 21 subterraneus, salina, Sp, Neochloris oleoabundans, 22 Schizochytrium sp, [83-86]. Some of the genera are able to grow under strict heterotrophic (no 23 light) or mixotrophic (low light) conditions making the bioprocesses more compact with higher

cell concentrations (from 4 g/L with raw effluents up to 109 g/L with synthetic organic carbon)
[17]. By using organic compounds as external carbon source, lipid oil content can be increased
up to four times when compared to autotrophic conditions [86]. However, most of the study
focusing on microalgal heterotrophy have dealt with simple sugars, ie. glucose or fructose, as
main carbon sources, making the process costly and unsustainable. The use of DF effluents is an
interesting alternative to make the process more economically feasible while similarly supporting
the microalgal growth in well-known fermenter technology based reactors [17].

In this context, *Chlorella* sp. has been widely investigated as a model of heterotrophic 8 9 growth. Miao and Wu [87] reported a lipid content of 55% when grown heterotrophically on glucose at 10g/L, versus less than 15 % under phototrophic conditions. Chen et al. [81] compared 10 the mixotrophic growth of Chlorella vulgaris FSP-E, Scenedesmus subspicatus GY-16 and 11 Anistrodesmus gracilis GY-09 under N depletion for their ability to store carbohydrates with 12 acetate as sole carbon source. Interestingly, when compared to phototrophic growth, the authors 13 reported an increase of 25% and 30% of biomass and carbohydrate productivities, respectively. 14 When considering the growth on fermentation end-products, only few studies have dealt with 15 lipid production [17]. Especially, the metabolic pattern of the fermentation effluents constitutes 16 17 the key bottleneck when coupling DF and microalgal growth [17]. Indeed, while acetate was found favorable to microalgal hetrotrophic growth, butyrate may affect the growth of Chlorella 18 sp. even at a low concentration of 0.25 g/L [88]. Interestingly, when considering a mixture of 19 20 acetate and butyrate, as found in DF effluents, a diauxic growth of Auxenochlorella protothecoides and Chlorella sorokiniana was observed with first consumption of acetate prior 21 to butyrate uptake [88]. Turon et al. [17]. suggested considering the total VFAs concentration as 22 23 well as the acetate: butyrate ratio that has to be respectively lower than 10g/L and higher than 2.5

to be favourable to heterotrophic microalgal growth. Venkata Mohan and Devi [89] showed that 1 a mixture of fermented fatty acids issued from a DF reactor supported the biomass growth up to 2 1.42 g/L with a lipid yield of 26.4%. Although the acetate:butyrate ratio was not optimal with a 3 value of approximately 1.4, the growth was mainly supported by acetate with only low impact of 4 butyrate and propionate. Consistently, Fei et al. [90] reported that VFAs supported the 5 6 heterotrophic growth of *Chlorella protothecoides*. With an acetate:butyrate ratio of 2 and a total concentration of 2 g/L and with urea (500 mg/L) as sole nitrogen source, the authors reported a 7 high lipid content of 48.7% and a maximal microalgae cell concentration of around 0.55 g/L with 8 9 only low degradation of butyrate and propionate. The use of these compounds by heterotrophic microalgae constitutes the main current challenge remains therefore to full valorization of DF 10 effluents and the selection of microalgae potentially able to grow on butyrate and others DF 11 metabolites, such as propionate, lactate for further carbohydrates or lipid production are crucial 12 to make sustainable such process coupling [17]. 13

Apart from the composition in metabolites, another factor is the possible contamination of the microalgal reactor by bacteria issued from the DF fermenter. When considering *Chlorella* sp. on raw or synthetic DF effluents, the microalgae outcompeted successfully the bacterial populations, with an extra carbon yield reaching 55% on DF effluents likely due to nutrients issued from the effluents [27].

19

#### 20 3. Scientific and technological challenges for integrated schemes

Successfully developing and implementing such integrated schemes for the utilization of DF effluents to energy and value-added bio-products would demand to approach a number of interrelated scientific, engineering, and to some extent, the technological challenges before these techniques and bioenergy-producing equipment may be brought into large scale usage.
Elucidating the interdependencies and sensitivity of the key operational process parameters is
more than needed in view to optimize the process performances and energy output.

During photofermentation, main scientific challenges are the high cost of photobioreactors, low light conversion efficiency as well as excess energy demand of nitrogenase which make the process expensive and less efficient. These bottlenecks can be overcome by maintaining proper media composition, to increase light conversion efficiencies can be possible by reducing antenna size, developing H<sub>2</sub> impermeable plastics bioreactor, and using metabolic engineering approaches to replace N<sub>2</sub>ase with H<sub>2</sub>ase.

Similarly, during methanogenesis process main challenges are requirement of different
 media as well as microbial growth is not constant. This can be solved by using large size
 reactors, applying higher HRT and using low-cost alkalinization method.

Moreover, microbial electrolysis processes have some limitation for commercial applications including the requirement of expensive precious metal cathodes, the higher voltage required for significant yield and resulted current densities need to be increased.
 These challenges can be overwhelmed by developing inexpensive cathodes (Ni, stainless steel), by designing better anode geometry, by eliminating H<sub>2</sub> cycling metabolic reactions as well as by developing engineered cells with lower internal resistance.

Reproducible and reliable factorial experimental design approaches have to be formulated
 to better probe the influence of the different biological, physical and chemical parameters,
 their optimization and interactions on the desired process dynamics.

1	•	Moreover, the essential relevant techniques of metabolic and biological engineering
2		should be tapped to produce microbial strains which may champion the metabolic process
3		reactions in view to overexpress the biohydrogen and/or electrical power production in
4		integrated systems.
5	•	Although tremendous and extensive R&D is required before the integrated hybrid system
6		can be deployed on a practical level and economically feasible.
7		
8	Ackn	owledgements
9	Dr.Go	palakrishnan Kumar is highly acknowledged the financial assitance from Ton Duc Thang
10	Unive	ersity, Hochih minh city, Vietnam. Dr. Periyasamy Sivagurunathan acknowledges the
11	finaci	al support by the Korea Research Fellowship Program through the National Research
12	Found	lation of Korea (NRF) funded by the Ministry of Science and ICT
13	(2017	H1D3A1A01054358).
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- 37 **Table 1:** List of few two-stage integration schemes investigated with DF hydrogen production.

	<b>First stage</b> DF (H <sub>2</sub> )	Second stage Photo Fermentation	References
Substrate	HY mol H <sub>2</sub> / mol substrate	HY mol H <sub>2</sub> / mol substrate	
Cassava starch	2.53	3.54	[36]
Cassava starch	2.00	0.86	[39]

Hydrolyzed			
Cheese Whey	2.04	2.00	[20]
Wastewater	2.04	2.69	[29]
Corn stalk	163 <sup>a</sup>	339 <sup>a</sup>	[91]
Glycerol	0.57	0.68	[92]
Starch hydrolysate	0.54	1.07	[34]
Sucrose	0.98	4.48	[41]
Sucrose	1.90	3.22	[93]
Sugar beet molasses	2.10	4.75	[35]
Sweet potato starch	2.4	4.6	[94]
Sweet potato starch	2.7	4.5	[95]
<b>1</b>	First stage DF (H <sub>2</sub> )	Second stage MFC (Electri	city)
Fruit juice industry		550 °	[0,6]
WW	1.4	550	[96]
Cereal WW	0.79	371 <sup>c</sup>	[97]
Cellulose	2.92	85 <sup>c</sup>	[98]
Glycerol	0.55	92 <sup>c</sup>	[99]
Glucose	2.72	4.2 <sup>d</sup>	[67]
Molasses	1.58	3.02 °	[20]
Vegetable waste	0.56	111.76 °	[68]
	First stage DF (H <sub>2</sub> )	Second stage MEC (H2)	
Cassava starch WW	260 <sup>e</sup>	205 <sup>e</sup>	[100]
Cellobiose	1.64	0.96 <sup>f</sup>	[61]
Corn stover	1.6f	1.00 <sup>f</sup>	[60]
Corn stalk	129 <sup>a</sup>	257 <sup>a</sup>	[101]
Fruit juice	95 <sup>f</sup>	1478 <sup>t</sup>	[102]
wastewater			[102]
Sugar production	18 8 <sup>f</sup>	311 <sup>f</sup>	[102]
wastewater	18.8	544	[102]
Vinnase residues	35.4 <sup>f</sup>	1399 <sup>f</sup>	[102]
	First stage DF (H <sub>2</sub> )	Second stage AD (CH4)	
Cassava WW	54 <sup>a</sup>	164 <sup>g</sup>	[103]
Cornstalk	58 <sup>a</sup>	200 <sup>g</sup>	[104]
Food waste	85 <sup>a</sup>	63 <sup>g</sup>	[70]
Food waste	290 <sup>a</sup>	240 <sup>g</sup>	[105]
Food waste	205 <sup>a</sup>	464 <sup>g</sup>	[106]
Food waste (pilot	$66.7^{a}$ and $1^{t}$	$490^{\text{g}}$ and $1.9^{\text{f}}$	[107]
scale)			[107]
Laminaria japonica)	115.2 <sup>a</sup>	329 <sup>g</sup>	[108]
Microalgal biomass	135 <sup>a</sup>	414 <sup>g</sup>	[109]
OFMSW	43 <sup>a</sup>	500 <sup>g</sup>	[110]
OFMSW:WAS	29 <sup>a</sup>	287 <sup>g</sup>	
(Waste activated			[111]
sludge) 1:5			
Pulverized garbage	5.4 <sup>f</sup>	6.1 <sup>t</sup>	[112]

and shredded paper			
wastes			
Rice straw	20 <sup>a</sup>	260 <sup>g</sup>	[23]
Vegetable waste	17 <sup>a</sup>	61.7 <sup>g</sup>	[70]
Water hyacinth	0.38 <sup>f</sup>	0.29 <sup>f</sup>	[113]
Water hyacinth	51.7 <sup>a</sup>	43.4 <sup>g</sup>	[114]
	First stage DF (H <sub>2</sub> )	Second stage PHA	
Distillery spent wash	142 <sup>e</sup>	40% <sup>h</sup>	[66]
Biological waste	54 <sup>a</sup>	41.7% <sup>h</sup>	[115]
Food waste	118 <sup>e</sup>	36% <sup>h</sup>	[116]
Food waste	9.3 <sup>e</sup>	39.6% <sup>h</sup>	[117]
Glucose	1.92	11.3% <sup>h</sup>	[118]
Glucose	0.58	18.6% <sup>h</sup>	[119]
Glucose	1.92	8.8% <sup>h</sup>	[120]
Olive oil mill WW	196.2 <sup>a</sup>	8.9% <sup>h</sup>	[76]
Pea-shells	54 °	64.7% <sup>h</sup>	[26]
Sweet sorghum	0.68	71.4% <sup>h</sup>	[121]
Taihu blue algae	105 <sup>e</sup>	43.3% <sup>h</sup>	[122]
	First stage DF (H <sub>2</sub> )	Microalgae	·
Food waste	69 <sup>a</sup>	26.4% <sup>h</sup>	[89]
glucose	1.37	55% C-based biomass yield	[88]
Glucose:xylose (9:1)	2.78	1.12 <sup>i</sup>	[123]
Glucose	110 <sup>a</sup>	1.57 <sup>i</sup>	[124]
Glucose	272 <sup>a</sup>	1.98 <sup>i</sup>	[125]
Starch	198 <sup>a</sup>	1.27 <sup>i</sup>	[126]

2

a- mL H2/g- substrate; b- mmol H<sub>2</sub>/day ; c- mW/m<sup>2</sup>; d- mW/m3; e- mL H<sub>2</sub>/g COD; f - L/L-

d; g- ml CH<sub>4</sub>/g biomass, h- dry cell weight; i- algal biomass g/L; WW- wastewater

### **3** Table 2 Pros and Cons for the Integrated schemes with dark fermentation process

Process	Pros	Cons	Strategies to
			overcome/improve process
			performances

PF	+Maximum	-	ammonia inhbition	Co culture of DF and PF
	substrate utilization	-	biomass from DF	process to reduce the
	+Additonal energy		effluents affects	toxicity of PF bacteria
	production (H <sub>2</sub> )		growth and	
	+ Catabolize DF		hydrogen yield	
	effluents	-	high cost	
			photobioreactors	
BESs	+ Harnessing more	-	Energy input needed	Modification of the BESs
	H <sub>2</sub> production		to stimualate the	bioreactor to improve the
	+ Electricity		electrochemical	process efficiency
	generation		reaction	
	+ Effective COD	-	Expensive bioreactor	
	removal		setup	
AD	+ Effective COD	-	Slow digestion	Large size bioreactors with
	removal		process	different support media to
	+ Maximum	-	Microbial	colonize the methanogens and
	bioenergy potential		community senstive	application of long HRT to
	+ Combined with		to high organic	enhance methane production.
	hydrogen to produce		loading rates	
	a upgraded hythane			
	biofuel			
PHA	+ Alternative source	-	Sterilization needed	Combination of defined mixed
	for renewable PHA		for maximum PHA	culture is an useful strategy to
	production		production	improve the PHA production
	+ Biotransformation			from unsterile DF effluent
	of DF effluent to			
	useful product			
Microalgae	+ Effective	-	Microbes present in	Selective enrichment of algal

		utilization of		DF effluent affects	species to utilize the DF
		nitrogen and		the algal growth	effluents towards
		phosphorus source	-	Distrubution ratio of	carbohydrates or lipid
		+ Utilization of		VFAs affected the	production
		VFAS for biodiesel		biomass yield	
		production			
1	PF- photofer	mentation; BESs-bioel	ectroch	emical systems; AD- an	erobic digestion; PHA-
2	polyhydroxy	valkonates			
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22	Figure 1: In	tegrative scheme of val	orizatio	on of VFAs rich effluent	t to various value-added
23	products.				

1	Figure 2: Schematic presentation of integration scheme, Stage-I: Dark fermentation (DF) and
2	Stage-II (Microbial fuel cell (MFC), Photo-fermentative hydrogen production process and
3	Microbial electrolysis cell (MEC).

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