

Variability of effects of spatial climate data aggregation on regional yield simulation by crop models

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2 simulation by crop models for a selected region in Germany

3 Suggested running page head: Crop model climate input data aggregation effects

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Abstract Field-scale crop models are often applied at coarser spatial resolutions than the field. However, little is known on the response of the models to spatially aggregated climate input data and why these responses can differ across models. Depending on the model, regional yield estimates from large-scale simulations may therefore be biased as compared to simulations with highresolution input data.

38 We therefore evaluated this so-called aggregation effect for 13 crop models for a selected region in 39 Germany. For this purpose, the models were supplied with climate data of 1 km resolution and 40 spatial aggregates of up to 100 km resolution raster. The models were used with two crops (winter 41 wheat and silage maize) and three production situations (potential, water limited and nitrogen-42 water-limited growth) to improve the understanding of errors in model simulations related to data aggregation and possible interactions with the model structure. The most important climate 43 variables identified to determining the model-specific input data aggregation on simulated yields 44 45 were mainly related to changes in radiation (winter wheat) and temperature (silage maize). Additionally, aggregation effects were systematic since models differed in the systematic fraction of 46 47 the aggregation effect, regardless of the extent of the effect (20 to 66 % as compared to 1.7 % for random effects). Climate input data aggregation changed the mean simulated regional yield up to 48 49 0.2 t ha⁻¹, whereas simulated yields from single years and models differed considerably depending 50 on the data aggregation. This implies that large-scale crop yield simulations are robust against 51 climate data aggregation on average. However, they can be systematically biased at higher temporal 52 or spatial resolutions, depending on the model and its parametrization.

53

54 **Key words**: Spatial aggregation effects, climate, crop simulation model, input data, scaling, 55 variability, yield simulation, model comparison

56 1) Introduction

57 Process-based crop models have typically been developed for the field-scale, for which model 58 driving variables (e.g. soil variables) are easily obtained (Van Ittersum et al. 2003, Hansen et al. 59 2006). However, crop models are increasingly used for large-scale simulations (Chipanshi et al. 1998, 60 Folberth et al. 2012). Scale in the following refers to the spatial extent and resolution of a given grid 61 cell size, ignoring temporal scales (van Bussel et al. 2011a, Weihermüller et al. 2011). Thus, using 62 field-scale crop models with input data at scales other than they were developed for, raises the 63 question how the choice of scale influences the simulation outputs. Changing the spatial resolution 64 by aggregation or disaggregation of data bears the risk of missing the relevant scale of a process or 65 phenomenon, since these are often scale dependent (Meentemeyer 1989). Thus, it is essential to determine the impact of input data (dis-)aggregation on crop model outputs. 66

67 Although the relevance of scale (Hansen & Jones 2000, Ewert et al. 2011, Nendel et al., 2013) and spatial data aggregation (Gardner et al. 1982, Cale et al. 1983, Cale & O'Neill 1988, Rastetter et al. 68 69 1992, Pierce & Running 1995, Nungesser et al. 1999, Gong et al. 2003, Syphard & Franklin 2004, 70 Lorite IJ et al. 2005, Ershadi et al. 2013) are well-known and data aggregation has been addressed for 71 instance in soil or hydrological process modelling (Heuvelink & Pebesma 1999, Haverkamp et al. 72 2005, Leopold et al. 2006, Bormann et al. 2009), few studies have characterized the impact in 73 application of crop models with spatially aggregated climate input data on simulated regional yields, 74 hereinafter called aggregation effect. For instance, De Wit et al. (2005) used precipitation and 75 radiation aggregated from 10 km to 50 km resolution as model input to simulate winter wheat and 76 grain maize yields in Germany and France. These yields showed a root mean square error (RMSE) of 77 0.33 t ha^{-1} (R² >0.96) and a low bias between results from 10 and 50 km resolutions (estimated from 78 Fig. 4, De Wit et al. 2005). This low bias is in agreement with findings of Folberth et al. (2012) who 79 found small differences in maize yields in the U.S. within resolutions of 7.5 to 45 km resolution. Also 80 Angulo et al. (2013) found small differences in spring barley yields in Finland within resolutions of 10

to 100 km. Similarly, Van Bussel et al. (2011) reported a low bias in simulated winter wheat 81 82 phenology using aggregated temperatures and sowing dates. Thus, aggregation of climate input data 83 at resolutions of 10 to 100 km could be expected to have low impact on crop model output. This 84 should be considered for instance in large-scale simulations, since data aggregation may destroy 85 physical consistency (Hoffmann & Rath 2012) or combine data beyond the meaningful range of the 86 underlying process. The latter may be taken into account by keeping aggregated grid size below the 87 range estimated via semivariogram models (Brown et al. 1992, Artan et al. 2000). While this previous 88 research indicates the spatial resolution at which crop models may be applied without larger errors 89 in the average simulated crop yields, this research only represented a small sample of crop models 90 and output variables. Furthermore, the systematic behaviour of aggregation effects of models across 91 scales, production situations or crops has not been quantified beyond reporting magnitude and 92 distribution.

93 We hypothesize that crop models differ in their sensitivity to climate input data aggregation as well 94 as in the fraction of explained variance of the aggregation effects. It is however unknown, whether 95 this affects regional yield estimates from simulations in a systematic way. The objective of this work

96 is therefore to compare the response of regional yields simulated by crop models to climate input

- 97 data aggregation and to propose a measure for the systematic proportion of the aggregation effects.
- 98

99 **2) Methods**

100 **2.1) General procedure and regional focus**

The hypotheses given above were tested in the state of North-Rhine Westphalia (NRW), one of the 101 102 larger a federal states of Germany with a total area of 34,098 km² (Cologne district council 2013). 103 NRW is characterized by a humid, temperate climate and heterogeneous topography with elevations 104 between 9 m and 843 m above sea level resulting in several agro-ecological zones with different 105 temperature and rainfall regimes. In order to assess spatial aggregation effects, climate input data 106 were aggregated to spatial resolutions varying between 1 km and 100 km (Fig. 1) and used for 107 driving crop models for simulations of winter wheat (Triticum aestivum L.) and silage maize (Zea 108 mays L.) for the entire state area (Fig. 2). Aggregation effects were estimated by relating model 109 output variables to varying climate inputs. Finally, main determinants of these aggregation effects 110 were identified by analyzing the relative contributions from the climate variables and separately the 111 relative importance of model variables employing Partial Least Squares Regression (PLS).

112 **2.2)** Climate data processing, aggregation and characterization

113 **Climate data.** Time series of daily minimum, mean and maximum air temperature (2 m above 114 ground), precipitation, global radiation, wind speed and relative humidity for the period 1982 to 115 2011 from 280 daily weather stations, as well as an interpolated grid of 1 km resolution of monthly 116 time series were obtained from the German Meteorological Service (DWD). The monthly grids were 117 combined with the daily weather station data as described by Zhao et al. (2014b). Regional climate 118 properties for the different resolutions are given by Table 1 and for 1 km also given by Van Bussel et 119 al. (2014).

120

121 **Grids / Aggregation.** Daily climate data in spatial resolution α of 1 km² were spatially averaged for 122 four different coarser grid sizes of 10, 25, 50 and 100 km (see the Appendix for equations). Coarser 123 grids were technically set-up starting in the north-west corner of the study region.

Semivariance. In order to avoid the aggregation of spatially incoherent data, the climate was characterized by semivariograms, which provide information about the extent of spatial dependency (Brown et al. 1993). This so-called range was estimated by fitting Gaussian (precipitation, temperature) and exponential (global radiation) variogram models to the empirical semivariance (Minsasny 2005, Wackernagel 1995, Webster 2001).

130

Sub-regions. Due to incomplete data coverage beyond NRW, data means of grid cells of resolutions larger than 1 km and thus crossing the boundary of the state may be biased, depending on the grid cell size and data coverage (Fig. S3). Therefore, all calculations were validated with the help of subregions, being two cells of 100 km resolution and a spatial data coverage of >80 % (C0:R4 and C1:R3,

- 135 Fig.1) and five cells of 50 km resolution with a corresponding coverage of 100 % (z50, Fig.1).
- 136

137 2.3) Crop Simulations

138 In our study 13 models currently used in addressing different research questions at various scales 139 were selected (appendix Table 5, Suppl. 2). This model ensemble was used to simulate development, 140 growth and yield for the period 1982 to 2011 for each grid cell at each spatial resolutions for winter 141 wheat and silage maize. If not further specified in the following, yield of winter wheat refers to grain 142 yield whereas silage maize yield is aboveground biomass. Simulations (see appendix Table 6 for an 143 overview) were conducted, and both crops were evaluated for i) potential, ii) water-limited and iii) 144 nitrogen-water-limited production situations; correspondingly limited by i) temperature and 145 radiation, ii) precipitation, temperature and radiation, and iii) nitrogen, precipitation, temperature 146 and radiation (Evans and Fisher 1999; Van Ittersum and Rabbinge 1997). Models were set up with a 147 single soil profile (sandy loam; Table S1) which represents a typical deep cropland soil with high 148 water holding capacity and with a common management for any of the grid cells (Appendix Table 7). 149 Models were calibrated at 1 km resolution, using one typical sowing and one typical harvest date per 150 crop as well as the whole region weighted average winter wheat yield and aboveground biomass of 151 silage maize derived from county statistics respectively from 1999 to 2011 and from 2000 to 2008 152 (Statistische Ämter des Bundes und der Länder 2013). The county statistics are point data and 153 partially based on expert knowledge and are therefore shown only with the purpose to put results 154 into context.

155 2.4) Model intercomparison / Taylor diagrams

156 Crop model results were compared via Taylor diagrams (Taylor 2001), presenting the correlation 157 coefficient *R*, centered root-mean-square difference (*RMSD*) and standard deviations σ of all grid 158 cells and years as compared to the model ensemble mean (see the appendix for equations). *R* and 159 *RMSD* show correlation and difference, respectively, of each model to the model ensemble mean. 160 *SD* is shown for each model as well as for the ensemble mean. Statistics were calculated from all grid 161 cells of the 1 km resolution and years, thus showing the model agreement in time and space.

162 **2.5) Probability density functions (PDFs)**

PDFs were obtained by kernel density estimation with a Gaussian kernel (see Hoffmann & Rath 2013 for equations). In order to assure comparability between the PDF of a given crop, the bandwidth was

165 kept constant to 0.1 t ha^{-1} (winter wheat) and 0.3 t ha^{-1} (silage maize).

166 **2.6) Analysis of aggregation effects**

167 **Mean regional effects.** Climate data aggregation effects were evaluated in the climate data itself 168 and in model outputs. For this purpose, regional means and spatial variances of daily climate data

- and of annual crop model outputs were calculated as absolute differences from coarser resolutions to the 1 km resolution (see appendix 8.2 for equations). Model outputs were thus analyzed only at the resolution of the input data (e.g. to calculate the spatial variance) as well as the mean of the entire region (e.g. to calculate regional yields).
- 173

Fraction of directed effects. We tested if winter wheat and silage maize yields follow a specific ascending or descending order related to the order of the spatial resolution, for instance if yields at 100 km resolution were larger than yields at 50 km resolution and if yields at 50 km resolution were larger than yields at 25 km resolution and so on. For this purpose, the fraction *P* of simulated yields following monotonously the order or inverse order of the spatial resolutions for each model was calculated (see appendix 8.2 for equations).

180

181 Partial Least Squares Regression (PLS). While P gives insights into the direction and behavior of 182 aggregation effects, the relevance of factors (e.g. of single climate variables) to aggregation effects 183 remains unclear. However, while the estimated effects and possible causes will be highly auto-184 correlated, regression algorithms may fail to establish statistical relations between independent 185 (aggregated input dataset) and dependent (crop model output, e.g. yield) data (Luedeling & Gassner 186 2012). Additionally, the algorithm must handle a large number of independent variables, while 187 avoiding over fitting. In order to evaluate aggregation effects, which are likely driven by numerous 188 complementary as well as contrary processes of crop model and input data interaction, Partial Least 189 Squares Regression (PLS; also known as Projection to Latent Structures Regression) is applied. PLS 190 has been employed for similar purposes related to impacts of climate variation, i.e. on tree 191 phenology (Luedeling & Gassner 2012, Guo et al. 2013). The method takes the dependent variable 192 into account, selecting only the most relevant linear combinations for regression. We thus introduce 193 PLS as a method for quantifying the fraction of variance of aggregation effects explained by climate 194 data or model outputs. PLS was used to assess the relative importance of the climate variables and a 195 limited number of model variables to the aggregation effects.

196 Dependent variables were changes in mean yields (winter wheat) and final aboveground biomass 197 (silage maize), whereas i) the climate variables during the entire growing period, from sowing to anthesis and from anthesis to maturity, as well as ii) model outputs (winter wheat grain yield, silage 198 199 maize aboveground biomass, maximum leaf area index, cumulative evapotranspiration, cumulative 200 intercepted photosynthetic active radiation, duration of phenological phases) were used as 201 independent variables. The importance of these variables to the aggregation effect was estimated 202 calculating the variable importance (VIP) from the PLS loadings (Wold 1994, 2001). The highest five 203 predictors with a VIP above 1 were selected.

- 204 (Figure 1)
- 205 (Figure 2)

206 3) Results

207 3.1) Characterization of the climate input data: Semivariance and aggregation effects

208 Spatial aggregation of climate time series removed climate extremes of the region, while area means 209 were stable across resolutions (Fig. 3). Consistently, the corresponding spatial variance of the 210 climate variables decreased with increasing spatial resolution. The climate variables daily mean 211 temperature, global radiation and precipitation exhibit a spatial autocorrelation in the range and above the largest aggregation used in this study (Fig. 4, effective range of 93.9, 122.5 and 161.9 km 212 for precipitation, daily mean temperature and global radiation, respectively; R²: 0.998, 0.997, 0.995, 213 214 respectively). Aggregating the climate data decreases the semivariance, as shown for precipitation 215 (Fig. 4).

216 **3.2) Characterization of simulated crop yields**

217 Crop-specific yields. The ensemble means of simulated winter wheat and silage maize yields of the 218 region were in the range of 7.6 to 8.7 t ha⁻¹ and 15.4 to 17.6 t ha⁻¹, respectively depending on the 219 production condition (Table 2, Fig. 5). On average, simulated yields were above the observed yields 220 of 7.2 t ha⁻¹ and 14.3 t ha⁻¹, respectively.

221

227

Temporal variability of simulated crop yields. On average, crop models reproduced the year-to-year variability of simulated yields calculated from county statistics (Fig. 5). However, the majority of the models simulated a larger year-to-year variability in yields than observed. Generally, simulated temporal variations of yields were better for winter wheat than for silage maize. Single year yield distributions are shown exemplarily in Fig. S2.

- Influence of the production situation on simulated crop yields. Yields decreased consistently from 228 229 potential to water-limited to nitrogen-water-limited production (Table 2, Fig. 5). While on average water-limited winter wheat and silage maize yields were respectively 0.4⁻¹ and 1.2 t ha⁻¹ lower than 230 potential yields, they were additionally 0.6 t ha⁻¹ and 0.7 t ha⁻¹ lower under nitrogen-water-limited 231 232 conditions as under water-limited conditions (Table 2). Noticeably, while nitrogen availability was 233 thus limiting yields comparably stronger on average than water limitations, the latter were 234 noticeably stronger in 1996, 2010 and 2011, corresponding to 74 % of the decline in these years as 235 compared to potential conditions.
- 236

Spatial variability of simulated crop yields. The interquartile range of yields across the region and from all three production situations was in the range (silage maize) and partially above the range (winter wheat) of observations (not shown). The corresponding coefficient of variation of crop yield across the region for the mean of years was up to 8.2 % and 12.9 % for winter wheat and silage maize, respectively. Distributions of simulated yields across the region at 1 km resolution consistently showed a negative skew in the mean (Fig. 6).

243

Crop model variability in simulating yields. Simulated yields differed across models and crops, showing a higher agreement among models for winter wheat than for silage maize (Fig. 7). For winter wheat, most models – with the exception of DailyDayCent and LandscapeDNDC – had a standard deviation across all years and grid cells in the range of 1 t ha⁻¹, while the standard deviation of the ensemble mean was about 0.5 t ha⁻¹. Similar results were found for silage maize, where models showed a larger spread in the standard deviation (approximate range 1.3 to 3.5 t ha⁻¹) compared to the ensemble mean (1.0 to 1.4 t ha⁻¹). However, models are more dispersed for

potential silage maize aboveground biomass than for potential winter wheat grain yield, with only 251 252 Simplace<LINTUL5> exhibiting a larger spatio-temporal standard deviation of biomass than other 253 models. Contrastingly, while simulations for winter wheat varied little between production 254 situations, simulations for silage maize showed an increasing standard deviation and RMSD between 255 single models and the ensemble mean of model outputs, from potential to water-limited and 256 nitrogen-water-limited production. However, correlation coefficients revealed a range of agreement 257 of 0 to 0.75 and -0.5 to 0.95 for winter wheat and silage maize, respectively, underpinning the larger 258 agreement between single models and model ensemble for wheat than for maize.

259

260 **3.3) General characterization of aggregation effects**

261 Crop-specific aggregation effects. Yield and biomass distributions were distinctly different for the 262 two crops and affected by aggregation (Table 2, Fig. 6). On average, aggregation of climate input 263 data led to an increase of winter wheat grain yields (Fig. 8). Mean aggregation effects up to 0.2 t ha^{-1} 264 were found for both winter wheat and silage maize, thus resulting in a lower relative aggregation 265 effect in relation to the yield for silage maize as compared to winter wheat (Table 2). While the mean and maximum likelihood of yield probability density functions (PDFs) of the ensemble results 266 267 over the region were hardly affected by aggregation, the width was reduced with increasing 268 aggregation (Fig. 6). Contrary to the net aggregation effect of the region, crops differed in their PDF 269 as aggregation led to a mode at higher yields of winter wheat in contrast to the PDF mode of silage 270 maize.

271

272 Temporal variability of yield aggregation effects. Single-year aggregation effects followed no clear 273 pattern, as positive and negative aggregation effects were simulated. In some years, the aggregation 274 effect consistently increased or decreased with the resolution (see example in Fig. S2). For instance, 275 the annual mean yield of winter wheat and silage maize of the region followed the order of the 276 spatial resolutions *P* in 50.1 % and 34.9 % of all years in the mean of models, respectively.

277

278 Spatial variability of yield aggregation effects. With coarser spatial resolutions, grid cells located at 279 the region boundary were increasingly less represented by data (Fig. 1, Fig. S1, Fig. S3). As the 280 number of grid cells decreases with coarser spatial resolution, a higher fraction of grid cells extends 281 beyond the boundary of the region. However, analysing sub-regions of 50 and 100 km resolution, 282 revealed similar patterns for mean aggregation effects compared to the entire region of NRW (Fig. 283 8). Similar to the mean aggregation effects, extremes of aggregation effects of sub-regions were 284 comparable to those of NRW. For silage maize, however, sub-region C0:R4 showed larger 285 aggregation effects under water-limited conditions, resulting from simulations of the AquaCrop 4.0 286 model.

287

Influence of the production situation on yield aggregation effects. Aggregation effects were similar in the median for all three production situations (Fig. 8), showing a similar pattern across resolutions. Again, larger aggregation effects were found under water-limited conditions for silage maize.

- 292
- **3.4)** Model-specific interaction with aggregation effects as influenced by treatments
- 294

Aggregation effect - crop model interaction with crop. While aggregation effects were similar
 among the crops for the ensemble mean, they differed largely in their extent between models (Fig.
 8, Tables 3 and 4). The range of effects was larger on average for silage maize than for winter wheat.

However, some single models showed larger positive aggregation effects for silage maize (e.g.
HERMES), comparable aggregation effects for both crops (e.g. MONICA) or lower net aggregation
effects for silage maize (e.g. SIMPLACE<LINTUL5>).

- 302 Aggregation effect - crop model interaction with time. Crop models differed substantially in their 303 sensitivity to climate input data aggregation when single years are considered (Tables 3 and 4). Years 304 with lowest and largest aggregation effects (Fig. S4) differed among crop models with no clear 305 pattern. In addition, models differed in their fraction of yields following clearly the order of the 306 resolutions, P, with models ranging from 20.0 % (EPIC) to 66.1 % (HERMES). As compared to 1.7 % 307 probability for a randomly ascending or descending sequence out of 120 permutations, the results 308 indicate systematic processes. Ranking models from high to low systematic effects for winter wheat yields according to P results in the following order: STICS > APSIM(modified) > APSIM > 309 310 SIMPLACE<LINTUL5> > LandscapeDNDC > HERMES > APSIM-Nwheat > MONICA > COUP > EPIC > 311 MCWLA > DailyDayCent. For silage maize aboveground biomass the order is: HERMES > 312 LandscapeDNDC > AquaCrop4.0 > DailyDayCent > SIMPLACE<LINTUL5> > STICS > APSIM(modified) > MONICA > EPIC > APSIM. 313
- 314

319

301

Aggregation effect - crop model interaction with space. Differences in the interaction between models and the choice of the sub-region did not show a clear trend (data not shown). However, the proportion of crop models where the aggregation effects followed the order of the resolution was lower in the sub-regions (*P*: 18.3 %, 21.8 % and 26.6 % for C0:R4, C1:R3 and z50, respectively).

- 320 Aggregation effect - crop model interaction with production situation. Crop models differed in their 321 sensitivity to climate input data aggregation across production situations (Table 3 and 4). While most 322 models showed no clear trend, aggregation effects followed a specific order in the case of few 323 models: increasing limitations led to more positive aggregation effects in HERMES and 324 LandscapeDNDC for both winter wheat and silage maize and for MONICA in the case of silage maize, 325 whereas APSIM and APSIM(modified) where not altered for both winter wheat and silage maize and 326 MCWLA in the case of winter wheat. For the crops simulated, aggregation effects of 327 SIMPLACE<LINTUL5>, DailyDayCent, COUP and Apsim-NWheat decreased with increasing limitation 328 in the production situation whereas STICS, EPIC and AquaCrop did not alter or showed an increasing 329 range.
- 330

331 3.5) Systematic effects in crop model output due to climate input data aggregation and variable332 importance

- 333 Using differences in climate variables and their spatial variances as independent variables for PLS-334 regression led to model-specific sets of variables which are most determinant for single year 335 aggregation effects when wheat or silage maize yield are simulated (Tables 3 and 4). The explained 336 variance by PLS varied between crops, models and production situations. For instance, the explained 337 variance was 60, 91 and 82 % of the single year aggregation effects in the wheat yields simulated by 338 LandscapeDNDC for potential, water-limited and nitrogen-water-limited production, respectively, 339 whereas SIMPLACE<LINTUL5> showed an opposite trend with 80, 61 and 54 %, respectively. Other 340 models showed constantly high (HERMES, 73 to 80 %) or mid (STICS, 59 to 61 %) ranges of explained 341 variance in aggregation effects of simulated wheat yields. Key variables that statistically explained the variance for aggregation effects of single years were 342
- identified by the variable importance for projection (VIP). Concerning winter wheat yield, most models showed the highest VIP for variables related to radiation, followed by variables related to

345 temperature (e.g. HERMES) or again radiation (e.g. STICS, COUP, APSIM). Changes in the aggregation effects of wheat yields of STICS for instance are apparently driven mainly by radiation in the period 346 347 before anthesis, followed by the temperature before anthesis. Few models indicated precipitation-348 related terms as most important, mainly for water-limited and nitrogen-water-limited runs (SIMPLACE<LINTUL5>, LandscapeDNDC, EPIC, MONICA). For silage maize, the climate variables with 349 350 the highest VIP to explain aggregation effects are precipitation- and temperature-related variables, 351 and less related to radiation. Furthermore, while changes in aggregation effects in winter wheat 352 were mainly related directly to changes in climate variables, changes in aggregation effects in silage 353 maize were related mainly to changes in the spatial variance of individual climate variables. No clear 354 trend was obtained for the importance of model outputs (Tables S1 and S2) to explain the effects of 355 climate input aggregation on either wheat or silage maize yields. 356

- 357 (Figure 3)
- 358 (Figure 4)
- 359 (Figure 5)
- 360 (Figure 6)
- 361 (Figure 7)
- 362 (Table 2)
- 363 (Table 3)
- 364 (Table 4)
- 365
- 366

367 4) Discussion

368 4.1) Data aggregation effects on regional climate

As expected for homogeneous data, changes in the climate variables due to spatial aggregation did 369 370 not alter the regional mean significantly, but decreased the spatial variance and the semivariance 371 while narrowing extremes. The range estimated from semivariograms was above or in the range of 372 the largest spatial resolution investigated. Thus, the requirement of aggregating data of spatial coherence was met. However, aggregation effects at resolutions coarser than 100 km were not 373 374 investigated. Thus, without further analysis and depending on the research question, averaging 375 climate variables should probably be restricted to spatial resolutions up to the semivariogram range, 376 which was 94 to 162 km in this study depending on the climate variable. Climate input data 377 aggregation up to 100 km is supported by Van Bussel et al. (2011) and Angulo et al. (2013).

378 4.2) General effects of climate input data aggregation on regional mean simulated yields and 379 biomass

380 Simulated regional winter wheat and silage maize yields (mean of region and years) were biased 381 when using aggregated climate input data. However, aggregation effects were small compared to 382 the effect of production situations, year-to-year variability or variations across crop models. Aggregation effects in mean yields up to 0.2 t ha⁻¹ as compared to 1 km grid cells are in line with 383 findings of Folberth et al. (2012) who found a decrease in mean yields of approximately 0.18 t ha⁻¹ of 384 385 maize after aggregating input data from approximately 7.5 to 45 km. Also Angulo et al. (2013) found biases in the median yield of up to 0.26 t ha⁻¹ (LINTUL-SLIM) and 0.21 t ha⁻¹ (WOFOST) due to the use 386 387 of input data with resolutions ranging from 10 to 100 km, while biases from other models were 388 lower (<0.08 t ha⁻¹). Thus, aggregation effects on crop yields are on average low in all studies.

389 Our results show that aggregation hardly influenced the mean climate conditions, but decreased the 390 variance of the climate data. Consequently, an impact on crop model outputs was expected through 391 non-linear functions in the models. The low overall aggregation effect can however be explained as 392 follows. Firstly, the present study is situated in a region with a humid, temperate climate favourable 393 for crop growth and all simulation runs used a typical cropland soil with high water retention 394 capacity. Thus, changes in present climate extremes only slightly influenced the simulated mean 395 regional yield. Secondly, aggregation effects may partially cancel out (Rastetter et al. 1992) at the 396 grid cell level when several climate input variables are aggregated simultaneously and at the regional 397 level when effects of single grid cells cancel out over the region. However aggregation effects have 398 an impact at the grid cell level or on spatial patterns (Zhao et al. 2014a), which was also observed in 399 our study. In conclusion, the low biases in simulating mean crop yields improve the confidence in 400 applying crop models across scales for mean yield estimates of a given region in humid temperate 401 conditions. However, the remaining biases still add to the simulation error and they are likely to 402 increase with climate data variance and absolute level, increasing aridity of climate conditions, 403 model complexity and sensitivity, whenever non-linear effects do not cancel out. This mean 404 aggregation effect may therefore be best observed in stress situations as well as under near-405 optimum conditions.

Unlike the mean, yield variance and distribution narrowed down in this study with aggregation. This
is partially in contrast to Angulo et al. (2013), who found the range of the yield distribution to be
only marginally influenced by spatial resolution of climate input variables. However, the Angulo et al.
study was based on climate data of a relatively topographically uniform region in south-western
Finland with humid climate conditions. Thus, the discrepancies between influences of aggregation

effects for the two regions (NRW and south-western Finland) on yield distributions are explained bythe spatial variance of the climate.

413 **4.3)** Crop model-specific sensitivity to input climate data aggregation

414 Aggregation effect interaction of crop model and crop. Despite an overall low bias in mean 415 simulated yield or biomass over the region due to climate input aggregation, the presented results 416 showed differences in the model response. For silage maize biomass, some models showed lower 417 sensitivity to the climate input aggregation (e.g. MONICA, effect in maize biomass: <1 t ha^{-1}), whereas other models showed stronger aggregation effects of up to 1.9 t ha⁻¹, (HERMES, APSIM). 418 419 Although most models showed nearly equally positive and negative aggregation effects, only in a 420 few models the aggregation effect had a dominant direction over all spatial resolutions (e.g. 421 dominant negative effect of input data aggregation in STICS, SIMPLACE<LINTUL5>). It is 422 questionable, whether these responses are the result of the model structure, or whether they result 423 from model parameterizations. Finally, major differences were found between crops regarding the 424 climate variables, which explain most of the variance of the single year aggregation effects (radiation 425 for winter wheat, temperature for silage maize). The variance in the aggregation effects were 426 explained in most models to approx. 60 % and in few occasions up to >80 % by PLS. While the crop 427 model structure does not change largely between simulating winter wheat and silage maize, these 428 changes in the model sensitivity again emphasize the role of model settings for the aggregation 429 effects.

430

Aggregation effect interaction of crop model and time. Analysis of the aggregation effects at single model- and single-year level (figures S2 and S4a – S4f) did not reveal general trends, but underlined
 the high variability in model responses to climate input data aggregation. It can thus be concluded
 that the year-to-year variability of yields masks the aggregation effects on long-term simulated
 yields.

436

437 Aggregation effect interaction of crop model and production situation. Models reacted differently, 438 depending on the production situation. While the minimum and maximum aggregation effects of 439 AquaCrop4.0 showed a threefold increase from potential to water-limited production, no trend was 440 found across production situations with DailyDayCent, APSIM_modified and SIMPLACE<LINTUL5>. 441 However, some models (HERMES, LandscapeDNDC, Monica, EPIC) showed a tendency towards 442 larger negative aggregation effects on silage maize biomass when comparing potential and limited 443 production. Interestingly, the variance in aggregation effects explained by PLS decreased with 444 increasing limitations in the production situation, being on average 70.3, 62.8 and 60.9 % for winter 445 wheat and 57.9, 52.1 and 50.3 % for silage maize under potential, water-limited and nitrogen-water-446 limited conditions. This indicates that increasing model complexity by adding sub-routines to 447 account for additional processes potentially increases the fraction of aggregation effects, which can 448 be regarded as not systematic, i.e. residual variability or noise. Angulo et al. (2013) proposed model-449 specific fingerprints in the form of yield probability density functions (PDFs) after finding larger 450 discrepancies between models than between aggregation levels.

While no characteristic fingerprints were found for soil input data aggregation (Angulo et al. 2014), the model-specific fingerprints remain to be validated for climate input data aggregation. Since the model fingerprint certainly is modulated by the model structure, it may be co-determined by further factors like model parametrization. Considering the different aggregation effects from similar models (Supplementary 2: Apsim, Apsim_modified and Apsim-NWheat), aggregation effects seem to be partly the result of model parametrization. This does not support the hypothesis of modelspecific fingerprints (Angulo et al. 2013). This is similar to the findings by Gardner et al. (1982), who assumed – after testing hypothetical models of varying structure - that the level of complexity does
not alter the aggregation effect noticeably. Consequently, climate data aggregation effects cannot
directly be attributed to a given process when using regional, ensemble or other pooled outputs (e.g.
mean of years). The processes causing the aggregation effects must thus be assessed at the process
level, before generalizing the findings at coarser scales.

463

464 Model-specific drivers for aggregation effects. PLS-regression was used to identify possible drivers 465 of the aggregation effects on mean simulated yields, which were largely masked by the spatial and 466 temporal variance. However, possible model candidates for further analysis could be identified 467 since: 1) The explained variance by PLS shows which models exhibit systematic aggregation effects 468 explainable by a low number of factors, 2) the selected predictors identified climate variables and 469 model outputs as relevant key drivers. While the variance in aggregation effects for HERMES for 470 instance were explained by >70 % by PLS, this was only approx. 50 % for DailyDayCent. Similar 471 results were obtained with generalized linear models (GLM, data not shown). Thus, models differ not 472 only in their sensitivity, but also in their systematic component of aggregation effects. However, no 473 clear general trend distinguishing crop models in their drivers for aggregation effects was found. 474 While few models were identified as being influenced more by e.g. temperature or radiation, the 475 attribution of these variables to processes in the model remain to be interpreted. For instance, 476 HERMES showed aggregation effects well approximated by the duration of the growing period, 477 which itself largely depends on crop specific parameters (temperature sum, base temperature).

478 Although the structure of the models is known, a direct attribution of aggregation effects to the 479 model structure (supplement 2) fails due to the high variability of the effects. For instance, 480 aggregation effects on average were larger for i) simple light interception approaches than for 481 detailed approaches, ii) models accounting for vernalization than models not considering 482 vernalization, iii) yield formation based on harvest index than for yield formation based on other 483 approaches (e.g. partitioning during reproductive stages). Hence, for a deeper understanding of 484 aggregation effects and causal processes the analysis needs to be combined with other multivariate 485 methods (e.g. pattern recognition).

486

487 **4.6)** Generalization of findings towards the assessment of aggregation effects

488

489 Following Pierce et al. (1995) and Rastetter et al. (1992), the aggregation effect should increase with 490 increasing variance of the input data. Larger climate variability as well as different average climate 491 conditions could lead to different aggregation effect distributions especially under growth limiting 492 conditions. While this depends on the data (type, spatial heterogeneity) it is unknown how the 493 aggregation effects from climate data compare to those from other data types. Most of the spatial 494 yield variability in Germany is caused by soil properties and its interactions with climate. In order to 495 focus solely on climate data aggregation effects, soil was not considered as a factor in this study. 496 Aggregation effects from soil properties may therefore differ (Angulo et al. 2014) from the present 497 findings. Pierce et al. (1995) compared the contributions of aggregated input data to the resulting 498 bias in simulated net primary production, which was by 32 % due to spatially averaging climate data 499 (topography 32 %, vegetation and soils 34 %). This remains to be verified for crop models.

500

501 5) Conclusions

502 Spatial aggregation of climate input data caused considerable aggregation effects for single models 503 and in single years. Simulated regional yield estimates (average of region and years) were less 504 affected. Differences in simulated mean regional yields across models and/or production situations 505 or in single year yields were larger than the aggregation error. The mean aggregation effects across models and years of up to 0.2 t ha⁻¹ (<3 %) contribute to the uncertainty of the estimate of regional 506 507 yield and biomass. Nevertheless, it has been shown that the effects are systematic. Crop models 508 differ in their sensitivity to aggregated data, showing different means and distributions of 509 aggregation effects, which also depend on the production situation and the crop. Crop models differ 510 in their systematic component of aggregation effects, regardless of the extent of the aggregation 511 effect. Aggregation effects can be attributed to different sources, including climate, climate 512 variability and model structure. Having studied a region in which precipitation rarely limits crop 513 growth, global radiation and temperature were identified as the relevant climate variables, which 514 strongly influence the aggregation effects on winter wheat and silage maize yields, respectively.

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802 8) Appendix

- 803 8.1) Tables
- 804 (Table 5)
- 805 (Table 6)
- 806 (Table 7)
- 807 8.2) Equations
- 808 Grids. We define a regular grid *R* (http://spatialreference.org/ref/epsg/31467/) with grid points

$$r = \{ (\lambda_i; \phi_j) | \lambda_{i,j} - \lambda_{i-1,j} = \phi_{i,j} - \phi_{i,j-1} = \alpha \}$$
(1)

- λ : gauss-krüger northing value [km]
- ϕ : gauss-krüger easting value [km]
- i, j : grid index [-]
- α : resolution [km]

810 Grids of aggregated data were obtained by taking the spatial mean of data at $\alpha = 1$ km resolution:

$$f_{\theta}^{\beta}(\mathbf{X}) = \mathbf{X}' \text{ with}$$

$$\left\{ \mathbf{X}' | x'_{s,z} = \frac{\sum_{i=\beta(s-1)+1}^{i=\beta s} \sum_{j=\beta(z-1)+1}^{j=\beta z} x_{i,j}}{\beta^2} \right\} \text{ with}$$

$$X : \text{ grid to be aggregated } (\alpha = 1)$$

$$X' : \text{ aggregated grid}$$

$$\beta : \text{ resolution after aggregation [km]}$$

$$i, j : \text{ grid indices at } \alpha = 1 \text{ km}$$

$$s, z : \text{ grid indices at } \beta$$

$$(2)$$

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Five grids of resolutions of 1, 10, 25, 50 and 100 km with the corresponding number of grid cells 34168, 20, 80, 24 and 9 were constructed. Empty grid cells (data unavailable) were ignored in the calculations. Having time series of daily time steps, f_{θ} was applied on each time step. The standard distance of the resulting grids, being a measure for the grid point dispersion (Bahrenberg et al. 2010), is given by Fig. S1. Maps displaying main regional climate variables are given by Zhao et al. (2014a).

818 **Variable notation.** A simplified notation will be used in the following, indexing variables in their 819 dimensions $\Omega = \{A_1, A_2...A_k\}$. In the following, equations are conducted over all elements of a 820 given dimension and are applied to all dimensions indicated. For instance, averages are given by

$$\mu_{A_1,A_2\dots A_3}(x) =: \frac{1}{\prod_{k=1}^k A_k} \sum_{a_1=1}^{A_1} \sum_{a_2=1}^{A_2} \dots \sum_{a_k=1}^{A_k} x_{a_1,a_2\dots a_k}$$
(3)

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where the variable x is averaged over dimensions A. In the following, these dimensions were considered $\Omega' = \{\alpha, c, t, m\}$ where

(4)

- Ω' : dimension, array of dimensions
- α : resolution [km]
- c : grid cell [-]
- t : time step [d],[yr]
- 824 m : crop model [-]

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825 e.g. $Yield_{\alpha,c,t,m}$ refers to a yield of a given resolution, grid cell, year and crop model.

Analysis of aggregation effects. Mean aggregation effects were calculated as:

- $\Delta_{c,t}(x_{\alpha}) = \mu_{c,t}(x_{\alpha,c,t}) \mu_{c,t}(x_{\alpha=1,c,t}) \text{ with }$ $\Delta_{c,t}(x_{\alpha}) : \text{ Aggregation effect for resolution } \alpha \text{ and variable } x$ c : grid cell [-] t : time step [d], [yr] $\alpha : \text{ resolution }$ (4)
- Eq. 4 was applied on climate data with daily time steps (t, [d]) as well as on yearly yields (t, [yr]) of each model. Consistently, having the spatial variance

$$\sigma^2(x_\alpha) = \mu_{c,t} \left(\left\{ x_{c,t,\alpha} - \mu_c(x_{c,t,\alpha}) \right\}^2 \right)$$
(5)

832 aggregation effects in the spatial variance were quantified as

833
$$\Delta(\sigma^2(x_{\alpha})) = \sigma^2(x_{\alpha}) - \sigma^2(x_{\alpha=1})$$
 with (6)

Analysis of systematic effects in simulated yields. The order of mean changes in a given model
 output due to input data aggregation was analyzed by calculating the fraction of simulated yields
 following monotonously the order of the resolutions for each model:

$$\begin{split} P &= \mu(\gamma_t) \cdot 100 \text{, with} \\ \gamma_t &= \begin{pmatrix} 1 & if & Y_{t,\alpha=100} > Y_{t,\alpha=50} > Y_{t,\alpha=25} > Y_{t,\alpha=10} > Y_{t,\alpha=1} \\ 1 & if & Y_{t,\alpha=100} < Y_{t,\alpha=50} < Y_{t,\alpha=25} < Y_{t,\alpha=10} < Y_{t,\alpha=1} \\ 0 & else \\ \end{split}$$

$$\begin{split} Y_{\alpha,t} &= \mu_c(x_{\alpha,c,t}) \\ P &: \text{Percentage of yields following monotonously the order of resolutions } \alpha \ [\%] \\ Y &: \text{Winter wheat grain yield of silage maize aboveground biomass [t ha^{-1}]} \end{split}$$

837 γ : counting variable

838 Equations for Taylor diagrams.

839 The centered root-mean-square difference (RMSD) was calculated as follows:

$$RMSD_{m} = \left[\frac{1}{N}\sum_{c,t,m}\left(\left(x_{c,t,m} - \mu_{c,t}(x_{c,t,m})\right) - \left(\mu_{m}(x_{c,t,m}) - \mu_{m,c,t}(x_{c,t,m})\right)\right)^{2}\right]^{1/2}$$
(8)

$$c : \text{grid cell [-]}$$

$$t : \text{time step [yr]}$$

$$m : \text{model}$$

$$N : 990872 (\text{winter wheat}), 1025040 (\text{silage maize})$$

841 The standard deviation $\frac{9}{7}$ for single models and for the model ensemble mean were calculated as 842 described in eq. 5. The correlation coefficient *R* was calculated as follows:

$$R_{m} = \frac{\frac{1}{N} \sum_{c,t,m} \left(x_{c,t,m} - \mu_{c,t}(x_{c,t,m}) \right) \cdot \left(\mu_{m}(x_{c,t,m}) - \mu_{m,c,t}(x_{c,t,m}) \right)}{\mu_{c,t} \left(\left\{ x_{c,t,m} - \mu_{c}(x_{c,t,m}) \right\}^{2} \right) \cdot \mu_{c,t} \left(\left\{ \mu_{m}(x_{c,t,m}) - \mu_{c,m}(x_{c,t,m}) \right\}^{2} \right)}$$
(9)
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845 Tables

Table 1. Climate of North-Rhine Westphalia (1982-2011, not area weighted). Standard deviations arecalculated from all grid cells and years of a corresponding resolution.

Scale	Annual precipita	tion sum	Mean daily tem	perature	Mean annual global radiation sum		
[km]	Mean [mm yr ⁻¹]	σ [mm yr ⁻¹]	Mean [°C]	σ [°C]	Mean [MJ m ⁻² yr ⁻¹]	σ [MJ m ⁻² yr ⁻¹]	
1	898.9	214.0	9.7	1.2	3758.0	169.4	
10	881.7	204.0	9.6	1.2	3757.1	166.8	
25	873.1	191.9	9.5	1.2	3756.6	163.8	
50	853.8	170.8	9.4	1.1	3754.0	162.0	
100	824.4	149.8	9.4	1.0	3765.5	160.6	

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Table 2. Impact of climate input data aggregation on model ensemble mean and spatial variance of

853 simulated yields.

Production situation*	Scale	Winter wheat grain yield		Silage maize aboveground biom 854		
[-]	[km]	mean	σ^2	mean	σ^2	
		[t ha⁻¹]	[t ha ⁻¹] ²	[t ha ⁻¹]	[t ha ⁻¹] ²	
Р	1	8.6	0.3	17.4	4.2	
Р	10	8.6	0.3	17.4	3.8	
Р	25	8.6	0.2	17.4	3.3	
Р	50	8.6	0.2	17.5	2.5	
Р	100	8.7	0.1	17.6	1.5	
W	1	8.2	0.5	16.2	4.1	
W	10	8.2	0.5	16.1	3.7	
W	25	8.3	0.4	16.2	3.2	
W	50	8.3	0.3	16.3	2.4	
W	100	8.4	0.2	16.4	1.6	
Ν	1	7.6	0.4	15.5	4.0	
Ν	10	7.6	0.4	15.4	3.6	
Ν	25	7.6	0.3	15.5	3.1	
Ν	50	7.6	0.2	15.6	2.3	
Ν	100	7.7	0.2	15.7	1.6	

855 * P: Potential; W: Water-limited; N: Nitrogen-water-limited

Table 3. Climate variables related to aggregation effects with <u>winter wheat</u> grain yield. Nomenclature: **d**: difference; **v**: spatial variance; **P**: precipitation

858 sum, **Tmin/Tmean/Tmax**: minimum, mean, maximum air temperature; **R**: global radiation sum; **GP**: growing period (=sowing to maturity); **SA**: sowing-to-

859 Anthesis; AM: anthesis-to-maturity; L30A/L30M: period of 30 days before anthesis, maturity. Examples: dRSA, difference in the global radiation sum from

sowing to anthesis; dvTminGP, difference in the spatial variance of the daily minimum temperature during the growing period. Variables are sorted from

861 one to five in the order of their variable importance.

		Single year	aggregation						
	Droduction*	grain viold	$[+ ba^{-1}]$	Evolainad					
Model	Situation	minimum	, [t lia]) maximum	Variance	Variable 1	Variable 2	Variable 3	Variable 4	Variable 5
HERIVIES	P	-0.04	0.37	0.80	URGP		URL3UA		d meange
HERMES	W	-0.14	0.57	0.73	dRGP	dvTmeanSA	dvTmeanGP	dImeanGP	dvTmaxGP
HERMES	N	-0.13	0.58	0.74	dRGP	dvTmeanSA	dvTmeanGP	dTmeanGP	dvTmaxGP
MONICA	Р	-0.33	0.47	0.75	dRGP	dvPSA	dvTmaxSA	dvTmeanSA	dvPGP
MONICA	W	-0.60	0.47	0.59	dvPSA	dvPGP	dTmaxSA	dvTmeanSA	dvTmaxSA
MONICA	Ν	-0.60	0.47	0.59	dvPSA	dvPGP	dTmaxSA	dvTmeanSA	dvTmaxSA
SIMPLACE <l5></l5>	Р	-0.06	0.37	0.80	dRSA	dRGP	dPL30A	dvTmeanSA	dvTminGP
SIMPLACE <l5></l5>	W	-0.77	0.30	0.61	dvPGP	dvPSA	dTmeanSA	dvTmeanL30M	dvTminL30M
SIMPLACE <l5></l5>	Ν	-0.73	0.37	0.54	dvPGP	dvPSA	dTmeanSA	dvTminL30M	dvTmeanL30M
STICS	Р	-0.60	0.62	0.59	dRSA	dRL30A	dTmaxL30A	dRGP	dTmeanL30A
STICS	W	-0.58	0.58	0.61	dRSA	dRL30A	dTmaxL30A	dvTminGP	dvTmaxSA
STICS	Ν	-0.56	0.62	0.60	dRSA	dRL30A	dTmaxL30A	dTmeanL30A	dvTmaxSA
MCWLA	Р	-0.72	0.73	0.64	dRSA	dRGP	dRL30A	dPGP	dvTmeanGP
MCWLA	W	-0.72	0.73	0.64	dRSA	dRGP	dRL30A	dPGP	dvTmeanGP
DayCent	Р	-0.51	0.49	0.52	dRL30M	dvRL30M	dPL30M	dTminGP	dTmaxL30M
DayCent	W	-0.48	0.47	0.35	dPL30M	dvPGP	dTminL30M	dTmaxGP	dTmaxL30M
DayCent	Ν	-1.90	0.18	0.73	dRL30M	dRGP	dvTmaxGP	dvTmeanGP	dvRL30M
LandscapeDNDC	Р	-2.36	0.17	0.60	dPL30M	dvPGP	dRL30M	dvPL30M	dvTmaxGP
LandscapeDNDC	W	-0.88	0.18	0.91	dPL30M	dvPGP	dRL30M	dvTmaxGP	dRGP
LandscapeDNDC	Ν	-0.70	0.49	0.82	dvPGP	dPL30M	dRL30M	dvPL30M	dvTmaxGP
COUP	Р	-0.04	0.51	0.71	dRL30A	dRGP	dvTminGP	dRSA	dTmaxL30A
COUP	W	-0.48	0.30	0.45	dRSA	dRL30A	dRL30M	dvTminGP	dvPL30A
APSIM	Р	-0.03	0.39	0.77	dRGP	dRSA	dvTmeanSA	dvTmaxSA	dTmeanSA

APSIM	W	-0.03	0.41	0.77	dRGP	dRSA	dvTmeanSA	dTmeanSA	dvTmaxSA
APSIM	Ν	-0.13	0.27	0.57	dRSA	dRGP	dRL30A	dvTmaxSA	dvTmeanSA
APSIM (modified)	Р	-0.08	0.84	0.79	dRSA	dRGP	dTminAM	dvTmaxSA	dvTmeanGP
APSIM (modified)	W	-0.15	0.83	0.77	dRSA	dRGP	dTminAM	dvPSA	dvPGP
APSIM (modified)	Ν	-0.21	0.58	0.71	dRSA	dTminAM	dTmaxL30M	dvPGP	dRGP
EPIC	Р	-0.02	0.24	0.73	dRGP	dvTminGP	dvRL30M	dTmeanGP	dRL30M
EPIC	W	-0.37	0.57	0.50	dRGP	dvTminGP	dvTmaxGP	dTmeanGP	dvTmeanGP
EPIC	Ν	-0.27	0.25	0.18	dvPGP	dvTminGP	dPGP	dvRL30M	dTmaxGP
APSIM-NWHEAT	Р	-0.10	0.32	0.74	dTminAM	dPL30A	dRGP	dRSA	dRAM
APSIM-NWHEAT	W	-0.50	0.32	0.61	dvPSA	dvPGP	dTmaxL30M	dPL30M	dTmeanL30M

862 *P: Potential; W: Water-limited, N: Nitrogen-water-limited.

865 Table 4. Climate variables related to aggregation effects with <u>silage maize</u>. Nomenclature: **d**: difference; **v**: spatial variance; **P**: precipitation sum,

866 **Tmin/Tmean/Tmax**: minimum, mean, maximum air temperature; **R**: global radiation sum; **GP**: growing period (=sowing to maturity); **SA**: sowing-to-

867 Anthesis; AM: anthesis-to-maturity; L30A/L30M: period of 30 days before anthesis, maturity. Examples: dRSA, difference in the global radiation sum from

sowing to anthesis; dvTminGP, difference in the spatial variance of the daily minimum temperature during the growing period. Variables are sorted from

869 one to five in the order of their variable importance.

		Single year	aggregation						
		effect (diffe	erence in						
	Production*	grain yield,	[t ha⁻¹])	Explained					
Model	Situation	minimum	maximum	Variance	Variable 1	Variable 2	Variable 3	Variable 4	Variable 5
HERMES	Р	-0.15	1.42	0.85	dvPGP	dTminGP	dvTmaxGP	dPL30M	dTmaxGP
HERMES	W	-0.15	1.42	0.85	dvPGP	dTminGP	dvTmaxGP	dPL30M	dTmaxGP
HERMES	Ν	-0.06	1.97	0.83	dvTmaxGP	dvRL30M	dTminGP	dTminL30M	dvPGP
MONICA	Р	-0.71	0.30	0.55	dvRL30M	dvTmeanL30M	dTmaxGP	dvTminL30M	dRGP
MONICA	W	-0.63	0.83	0.49	dTmaxGP	dvRL30M	dvPGP	dTmeanGP	dTminGP
MONICA	Ν	-0.63	0.83	0.49	dTmaxGP	dvRL30M	dvPGP	dTmeanGP	dTminGP
SIMPLACE <l5></l5>	Р	-1.24	0.68	0.49	dTmaxGP	dTminGP	dvTmaxGP	dvPGP	dPGP
SIMPLACE <l5></l5>	W	-1.21	0.24	0.58	dTminGP	dvPGP	dvTmaxGP	dvRL30M	dTmaxGP
SIMPLACE <l5></l5>	Ν	-1.20	0.42	0.46	dvPGP	dTmaxGP	dTminGP	dPL30M	dvRGP
STICS	Р	-0.61	0.25	0.56	dvPGP	dTminL30M	dRL30M	dTminGP	dTmeanGP
STICS	W	-1.58	0.40	0.30	dvPGP	dRGP	dPL30M	dvRGP	dvRL30M
STICS	Ν	-1.58	0.42	0.29	dvPGP	dRGP	dvRGP	dPL30M	dvRL30M
DayCent	Р	-0.71	1.07	0.52	dvPGP	dTmaxGP	dRL30M	dPGP	dTmeanGP
DayCent	W	-0.71	1.07	0.52	dvPGP	dTmaxGP	dRL30M	dPGP	dTmeanGP
DayCent	Ν	-0.71	1.07	0.52	dvPGP	dTmaxGP	dRL30M	dPGP	dTmeanGP
LandscapeDNDC	Р	0.00	0.57	0.80	dvPGP	dvPL30M	dPL30M	dRGP	dvTmaxGP
LandscapeDNDC	W	0.00	0.60	0.79	dvPGP	dvPL30M	dPL30M	dvTmaxGP	dRGP
LandscapeDNDC	Ν	-0.11	0.90	0.71	dvPGP	dRGP	dPL30M	dvTmaxGP	dvPL30M
APSIM	Р	-0.94	1.93	0.30	dPL30M	dTmaxGP	dTmeanL30M	dRGP	dTmaxL30M
APSIM	W	-0.81	1.93	0.29	dPL30M	dTmaxGP	dTmeanL30M	dRGP	dvPL30M
APSIM	Ν	-0.80	1.92	0.29	dPL30M	dTmaxGP	dTmeanL30M	dRGP	dvPL30M
APSIM (modified)	Р	-1.00	1.48	0.41	dTmaxGP	dvPL30M	dTmeanL30M	dTmeanGP	dTminL30M
APSIM (modified)	W	-1.00	1.48	0.40	dTmaxGP	dvPL30M	dTmeanL30M	dTmeanGP	dvPGP

APSIM (modified)	Ν	-1.00	1.48	0.39	dTmaxGP	dvPL30M	dTmeanL30M	dTmeanGP	dTminL30M
EPIC	Р	-0.30	0.80	0.57	dvRL30M	dvTminGP	dvTminL30M	dvTmeanGP	dRGP
EPIC	W	-1.04	0.98	0.55	dvRGP	dPGP	dPL30M	dvRL30M	dvTmaxGP
EPIC	Ν	-1.04	0.98	0.55	dvRGP	dPGP	dPL30M	dvRL30M	dvTmaxGP
AquaCrop4.0	Р	-0.40	0.55	0.74	dPGP	dvRL30M	dvPL30M	dvRGP	dvTmaxGP
AquaCrop4.0	W	-1.26	1.99	0.44	dvTminGP	dvRL30M	dvPGP	dPGP	dRL30M

870 *P: Potential; W: Water-limited, N: Nitrogen-water-limited.

871	Table	5.	Crop	Models*
0/1	TUDIC	э.	Crop	WIGGEIS .

	No.	Model	References							
	1	APSIM-Nwheat	Asseng et al. 1998, 2004; Keating et al. 2003							
	2	APSIM	Keating et al. 2003, Holzworth et al. 2014							
	3	APSIM, modified	Chen et al. 2	010; Keating et al	. 2003; Wang et a	al. 2002				
	4	AquaCrop4.0	Raes et al. 2	009; Steduto et al	. 2009; Vanuytred	cht et al. 2014				
	5	COUP	Conrad & Fo	hrer 2009; Jansso	n & Karlberg 200	4				
	6	DailyDayCent	Del Grosso e	et al. 2001, 2006; F	Parton et al. 2001	; Yeluripati et al. 2009				
	7	EPIC v. 0810	Williams 199	95						
	8	HERMES	Kersebaum 2	2007, 2011						
	9	LandscapeDNDC	Haas et al. 2	012, Kraus et al. 2	014					
	10	LINTUL5	Van Ittersum	n et al. 2003; Shib	u et al. 2010					
	11	MCWLA	Tao et al. 20	09, 2013						
	12	MONICA	Nendel et al	. 2011						
	13	STICS	Bergez et al.	2013; Brisson et a	al. 1998, 2008					
872	* A m	ore detailed descrip	tion is given in	the supplementa	ry 2.					
873			-							
874										
875	Table	6 Simulation runs o	onducted by n	nodels						
0/5	Facto	r la								
			vei lintorwhoat 9	ilago Maizo						
	Brodu	Production situation Dotential ^a Water-limited ^b Nitrogen-water-limited ^c								
	Resolution [km] 1 10 25 50 100									
076	^a grou	ution [Kill] 1,	10, 23, 30, 10	adiation						
070	b succession	with is limited by terri								
8//	grov	with is limited by prec	ipitation, tem	perature and radi	ation					
8/8	grov	with is limited by nitro	ogen, precipita	ition, temperature	e and radiation					
879										
880										
881	Table	7. Crop model settir	ngs and assum	ptions.						
	Doma	ain	Unit	Winter Wheat	Silage Maize					
	Sowir	ng date	DOY ^a	274	110					
	Harve	est date	DOY ^a	213	263					
	Avera	age Yield ^b	t ha⁻¹	7.2	14.3					
	Max.	rooting depth	m	1.5	1.5					
	Time	of ploughing	-	autumn	autumn					
	Planti	ing density	m⁻²	400	10					
	Sowir	ng depth	m	0.04	0.06					
	Initial	soil moisture relativ	'e %	50	80					
	to ava	ailable field capacity ^c								
	Initial	Nmin ^d	kg ha⁻¹	56	56					
	Nitro	gen fertilization	kg ha ⁻¹	130, 52, 26	30, 208					
	Date	of fertilization	DOYa	60, 105, 152	91, 152					
882	^a Dav	of the year of a no	n-lean vear ^b	Area weighted av	verage vield deriv	ved from county statistics				

^a Day of the year of a non-leap year. ^b Area weighted average yield derived from county statistics, moisture content: 0 %. ^c Set for each soil layer. ^d Total mineral Nitrogen of the soil profile. Values

differ with soil layer.

887 Figure Captions

- Fig. 1. Shape and elevation of the state of North-Rhine Westphalia, Germany. Squares displayselected areas used to validate results at state level.
- Fig. 2. Illustration of climate data resolution $\mathbb{B} = 1::100$ [km] used as input for crop models.

Fig. 3. Area weighted mean and range of key climate variables as well as their spatial variance as affected by data aggregation (Tmin, Tmean, Tmax: daily minimum, mean and maximum temperature respectively). Values are average area means and extremes.

Fig. 4. Spatial dependency of selected climate variables in North-Rhine Westphalia. A) Empirical semivariance (dots) and fitted variogram model (solid lines). A Gaussian model was fitted to daily mean temperature (Tmean) and precipitation whereas an exponential model was used for global radiation. B) Empirical semivariance (dots) and fitted Gaussian variogram model (solid lines) for precipitation at varying resolutions α .

Fig. 5. Simulated winter wheat grain yield and silage maize aboveground biomass for three production situations at 1 km resolution: Single model and observed 25 to 75 percentile range across the region (shaded areas), model ensemble and observed area weighted mean from county-level statistics (white lines). Areas are plotted with transparency, thus darker areas illustrate coinciding simulation results of several models or coincidence of simulation results with observations.

Fig. 6. Probability density functions (pdf) of winter wheat grain yield and silage maize aboveground
 biomass. Pdfs were estimated from mean grid cell yields and biomass (mean of years) using a
 Gaussian kernel of bandwidth 0.1 and 0.3 t ha⁻¹ for winter wheat and silage maize, respectively.

Fig. 7. Taylor diagrams of simulated winter wheat and silage maize yields from respectively 29 and 30 years and from 34168 grid cells ($\alpha = 1$ km), showing: the standard deviation of each model (σ), the correlation between the models (*R*) and the centered root mean square difference to the ensemble mean (RMSD). Denser distributions show smaller diversity among models and vice versa. RMSD and standard deviation are given in t ha ⁻¹. For each model n = 990,872 and n = 1,025,040 for for winter wheat and silage maize, respectively.

Fig. 8. Differences of winter wheat grain yield and silage maize aboveground biomass simulated with aggregated input climate data to yield and biomass simulated with climate time series at 1 km resolution of North-Rhine Westphalia (NRW), two 100 km² subregions C0:R4 and C1:R3 and one subregion, z50, consisting of five 50 km² grid cells (see Fig. 1 for regions). The figure displays the minto-max ranges over the models (shaded and hatched areas) and the ensemble median (thick lines). Values are mean values of 1983 to 2011.

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922 Figures



932 Fig.2











959 Fig. 7

