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Annegret Kohler, Alan Kuo, Laszlo G. Nagy, Emmanuelle Morin, Kerrie W. Barry, et al.. Convergent losses of decay mechanisms and rapid turnover of symbiosis genes in mycorrhizal mutualists. Nature Genetics, 2015, 47 (4), pp.410-U176. 10.1038/ng.3223 . hal-02639336

HAL Id: hal-02639336 https://hal.inrae.fr/hal-02639336v1

Submitted on 28 May 2020

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Convergent losses of decay mechanisms and rapid turnover of symbiosis genes in mycorrhizal mutualists

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To elucidate the genetic bases of mycorrhizal lifestyle evolution, we sequenced new fungal genomes, including 13 ectomycorrhizal (ECM), orchid (ORM) and ericoid (ERM) species, and five saprotrophs, which we analyzed along with other fungal genomes. Ectomycorrhizal fungi have a reduced complement of genes encoding plant cell walldegrading enzymes (PCWDEs), as compared to their ancestral wood decayers. Nevertheless, they have retained a unique array of PCWDEs, thus suggesting that they possess diverse abilities to decompose lignocellulose. Similar functional categories of nonorthologous genes are induced in symbiosis. Of induced genes, 7-38% are orphan genes, including genes that encode secreted effector-like proteins. Convergent evolution of the mycorrhizal habit in fungi occurred via the repeated evolution of a 'symbiosis toolkit', with reduced numbers of PCWDEs and lineage-specific suites of mycorrhiza-induced genes.

Fungi are often described as either saprotrophs, which degrade complex organic substrates, or biotrophs, which obtain carbon compounds from living hosts. Among the latter, ECM fungi provide crucial ecological services in interacting with forest trees. They are portrayed as mutualists trading host photoassimilates for nutrients and having limited capacity to decompose soil lignocellulose¹⁻³, as a result of their reduced repertoire of PCWDEs⁴⁻⁶. However, recent studies are challenging this view⁷⁻¹⁰. An improved understanding of the ability of ECM fungi to decompose lignocellulose is needed to resolve mechanisms of nutrient cycling in forests. The ECM lifestyle in Laccaria bicolor is associated with the expression of new mycorrhizainduced small secreted proteins (MiSSPs) that are required for establishment of symbiosis^{11,12}. Mycorrhizal symbioses have arisen repeatedly during fungal evolution and include not only ECM associations but also those with ERM and ORM mycorrhizae¹³. It is not known whether these symbioses share the genomic features found in L. bicolor⁴ and Tuber melanosporum⁵. Here we assess whether there

Received 13 October 2014; accepted 28 January 2015; published online 23 February 2015; corrected online 9 March 2015 (details online); doi:10.1038/ng.3223

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Figure 1 Evolution of mycorrhizal symbiosis inferred from 49 fungal genomes. The tree is a chronogram estimated with r8s on the basis of a maximum-likelihood phylogeny inferred with RAxML. Nodes receiving less than maximal support in all analyses are indicated with asterisks. Curved arrows indicate alternate placements for Ustilaginomycotina and Auriculariales (Supplementary Fig. 2). Mean ages (ma) are indicated adjacent to selected nodes. Circles indicate observed (right of tree) and reconstructed (left) copy numbers for selected genes encoding enzymes involved in decay of lignin (POD and GLX; blue circles) or crystalline cellulose (GH6, GH7 and LPMO; beige circles). Absence of gene copies is indicated with 'x'. Areas of circles are proportional to gene copy numbers. (Copy numbers are indicated for internal nodes; gene counts in terminal taxa are shown in Supplementary Table 9.) Selected clades are labeled at internal nodes; 'st.' indicates the stem node for a taxon. Shading of terminal taxon names indicates nutritional modes (as shown in the key). Solid red triangles, estimated origins of ECM or ERM and ORM mycorrhizal symbioses; unfilled red triangle, alternate reconstruction with a single origin of ECM in Boletales and at least one reversal to saprotrophy; colored triangles below the geological timescale, ages of major ECM hosts based on fossils (solid triangles) and molecular-clock estimates (unfilled triangles); light-gray shading, temporal period when the origins of ECM are most plausible. Orch/eric/endomyc, orchid, ericoid or endomycorrhizae; litter/soil/other, litter, soil or other saprotroph; Cryog., Cryogenian;



Ediac., Ediacaran; Cam., Cambrian; Ord., Ordovician; Sil., Silurian; Dev., Devonian; Carb., Carboniferous; Per., Permian; Tri., Triassic; Jur., Jurassic; Cret., Cretaceous; Cen., Cenozoic. Abbreviations for taxon names are defined in **Supplementary Note**.

are general evolutionary and functional properties of mycorrhizal Basidiomycota and Ascomycota, using comparative analysis of 18 newly sequenced genomes (**Supplementary Tables 1** and **2**), including 13 from ECM, ERM and ORM fungal symbionts.

Genomes of mycorrhizal fungi range in size from approximately 38 to 125 Mb, and their predicted gene contents range from approximately 15,000 to 23,000 genes (Supplementary Tables 3 and 4). We combined the 18 new genomes with 31 others and performed phylogenomic analyses (Supplementary Note, Supplementary Tables 1 and 5-10, and Supplementary Figs. 1-23). An organismal phylogeny resolved six to eight independent lineages of ECM and two lineages of ORM symbionts in Agaricomycetes as well as two mycorrhizal lineages in Ascomycota (Fig. 1). Molecular-clock analyses have suggested that the Pezizomycotina and Agaricomycetes are approximately 400 and 300 million years old, respectively. In contrast, the Pinaceae (which may be the oldest ECM hosts), rosids and orchids are of Jurassic or Cretaceous origin (~100 million years ago), according to fossil and molecular-clock evidence; this is consistent with the view that ECM and other mycorrhizal associations have evolved repeatedly across Dikarya¹⁴.

The first three sequenced mycorrhizal genomes, i.e., the ECM *L. bicolor* and *T. melanosporum*^{4,5} and the arbuscular mycorrhizal fungus *Rhizophagus irregularis*¹⁵, demonstrated substantial losses in PCWDE genes. To assess the diversity of lignocellulose-decay

capabilities of other mycorrhizal lineages, we cataloged 28 gene families and subfamilies encoding oxidoreductases and carbohydrateactive enzymes (CAZymes) associated with plant cell wall degradation (Fig. 2, Supplementary Note, Supplementary Tables 7–10 and Supplementary Fig. 3). Among Agaricomycetes, saprotrophs (including white-rot decayers, which can degrade lignin as well as cellulose), *Botryobasidium botryosum, Jaapia argillacea* and soil and litter saprotrophs possess on average 133 gene copies across all PCWDE families, whereas brown-rot species (which lack the ability to degrade lignin) possess 81 gene copies, and ECM species maintain 62 gene copies, on average. Thus, the evolution of ECM parallels that of brownrot lineages, with both guilds having lost much of the plesiomorphic enzymatic apparatus of white rots (Supplementary Note).

To reconstruct the evolution of decay capabilities, we performed gene tree-species tree reconciliation for 16 gene families encoding PCWDEs (**Supplementary Note** and **Supplementary Figs. 4–23**). Here we focus on enzymes acting on lignin and crystalline cellulose, including class II peroxidases (PODs), glyoxal oxidase (GLX; an accessory enzyme that generates H_2O_2 as a substrate for PODs), GH6 and GH7 (cellobiohydrolases) and lytic polysaccharide monooxygenases (LPMOs) (**Supplementary Figs. 4–23**). Expansion of LPMO genes, from 4 copies in the ancestor of Basidiomycota to 27 copies in the ancestor of Agaricomycetes, preceded the initial duplication of ligninolytic POD genes and the origin of the GLX

Basidiomycota Agaricomycotina Sullia sinutationa Sullia sinutati	Phylum	Subphylum	Species	Ecology 1	Ecology 2	Oxidoreductases	CAZymes	CBM1
Basidomycota Agaricomycotia Hebeloma cylindrosporum 22 39 2 Agaricomycotia Desitius rubicurdulus Pavilius involutus 23 39 0 Pavilius involutus Pavilius rubicurdulus 24 36 0 Piolithus microcarpus Piolithus microcarpus 17 29 0 Piolithus microcarpus 22 26 0 Scleroderma citrium Scleroderma citrium 22 26 0 Sullus tubus Tremela mesenterica 9 178 0 Nycoparasite 5 14 0 0 Vititomospora indica Nycoparasite 5 14 0 Nycoparasite 5 14 0 0 0 Printitomospora indica Nycoparasite 5 14 0 0 Basidomycota Galerina marginata 10 10 10 10 10 Hebrobasido manoguna Sphaerodolus stellaus 17 128 22 83 26			Amanita muscaria	Biotroph	Ectomycorrhizal	29	28	0
Basidiomyota Agariconycotina			Hebeloma cylindrosporum			22	39	2
Basidomycota Parillas invicutus Piodima croceum Solico direma citrium Solico direma citrium Solico direma citrium Solico direma citrium Solico direma citriu Piodima croceum Mycoparasite Solico direma citrium Piritormospora indica Mycoparasite Solico direma citrium Piodima croceum Piodima croceum Solico direma citrium Piodima croceum Root endophyte Solico direma citrium Agaricomycolina Pierotas cistrium Pierotas cistrium			Laccaria bicolor			34	47	1
Basidiomyoota Paxilia tubicundulus Pilodoma corocaum Pilodoma corocaum Pilodoma corocaum Pilodoma corocaum Pilotota microarpus Neillus tuteus Biotroph 24 35 0 17 29 0 17 29 0 22 28 0 22 28 0 Solillus tuteus Tramella mesotrica 29 40 0 Tubasneli actospora 70 9 178 110 Printomospora indica Auriculara delicata Auriculara delicata Root endophyte 15 103 64 Auriculara delicata Bateria angrinata Root endophyte 16 97 29 Fomitiporia mediterranea Gateria angrinata 16 97 29 40 81 10 Phaerochaete chrysopsorium Pilotaturgisis crispa Palaerochaete chrysopsorium Pilotaturgiss crispa 16 97 29 40 81 10 Phaerochaete chrysopsorium Pilotaturgiss crispa Palaeta angliace Planeturgiss crispa 17 102 24 28 Gonophora puteana Dacryopinax sp. Fonitoporia x sp. Teomitoporia x sp. S			Paxillus involutus			23	39	0
Basidiomycota Pilodierma croceum Pisolithus microcarpus Solienotorius Biotroph 17 29 0 Pisolithus microcarpus Solienotorius Biotroph 17 29 0 Sulfus titeus Solienotorius 22 26 0 Sulfus titeus 29 40 0 Tremella mesenterica Sulfus titeus 21 121 50 Mycoparasite 5 14 0 0 Auricularia delicata 21 121 121 50 Orchid symbiont 9 178 110 0 Pinitoromaspora indica Root endophyte 15 103 64 Auricularia delicata 16 97 29 29 40 10 Pinitoromanediterranea 16 97 28 28 28 28 28 28 28 28 28 28 28 28 28 28 28 28 28 28 28 28 21 70 9			Paxillus rubicundulus			24	35	0
Basidiomycota Agaricomycotina Pisolitus microcarpus Pisolitus tinconius Scleroderma cirinum Sullus luteus Biotroph 17 29 0 Yesolitus tinconius Scleroderma cirinum Sullus luteus Nuesenterica Scheroderma cirinum 24 25 0 Question de compositions Sebacina vermifera 29 40 0 Tramela masenterica Sebacina vermifera 21 121 50 Tuiasnella calospora Pritormospora indica Root endophyle 15 103 64 Auricularia deficata Gotoryobasidium botryosum Root endophyle 16 97 29 Fomiliporia mediteranea Galerina marginata 40 81 10 Galerina marginata Heterobasicion annosum 77 128 52 Pleurotus ostratus Pleurotus ostratus 17 102 24 Phanerochaete chrysosporium 77 128 52 83 28 Pleurotus ostratus Pleurotus ostratus 17 102 24 Phanerochaete chrysosporium Saprotroph 17			Piloderma croceum			41	50	0
Basidiomycota Peloithus tinctorius Sciercoderma citrirum Sullus luteus Peloithus tinctorius Sciercoderma citrirum Sullus luteus Peloithus tinctorius Sciercoderma citrirum Sullus luteus Peloithus tinctorius Sciercoderma citrirum Sullus luteus Peloithus tinctorius Sciercoderma citrirum Sebacina vermifera Peloithus tinctorius Sebacina vermifera Peloithus tincorius Sebacina tinctorius Sebacina vermifera Se			Pisolithus microcarpus			17	29	0
Basidiomycota			Pisolithus tinctorius			22	26	0
Basidiomycota Suillus luteus Tremella mesenterica Sebacina vermillera Diridomospora indica Aurculara delicata Botryobasidum botryosum Pinitomospora indica Basidiomycotina Mycoparasile Sebacina vermillera Orchid symbiont 29 40 0 Reading Sebacina vermillera Diridomospora indica Aurculara delicata Botryobasidum botryosum Pomitopica mediteranea Galerina marginata Heterobasidion annosum Hypholoma sublateritium Jaapia argillacea Phanerochaete chrysosporium Plenotus ostraetus Phanerochaete serviscolor Sphaerobolus stellatus Trametes versicolor Coniophora putenaa Dacryopinax sp. Fomitopica sp. Septual lacrymans Agaricus bisporus Agaracus bispo			Scleroderma citrinum			24	25	0
Basidiomycota Tremella mesenterica Sebacina vermifera Tulsanella calospora Mycoparasile 5 14 0 Name Sebacina vermifera Tulsanella calospora Orchid symbiont 21 121 50 Pitformospora indica Auricultaria delicata Botryobasidium botryosum 9 178 110 Basidiomycota Botryobasidium botryosum Root endophyte 15 103 64 Basidiomycota Galerina marginata Root endophyte 16 97 29 Heterobasidion anosum Hypholoma sublateritum 35 65 17 Jaapia argillacea Higholoma sublateritum 31 75 28 Pharencchaete chrysosporium Pluctularia strigosozonata 46 93 26 Schizophyllum tabeum Saprotroph 46 93 26 Coniophora puteana Dacryopinax sp. 16 80 1 Dacryopinax sp. 16 80 3 1 Dacryopinax sp. 16 65 0 1 Hydioposis pinicola G			Suillus luteus			29	40	0
Basidiomycota Sebacina vermifera Tulasnella calospora Piriformospora infica Orchid symbiont 21 121 50 Agaricomycotina Virialization consoura Hypholoma sublateritum Jaapia argilizea Pleurotus ostreatus Plicaturopsis orinspa Punctularis strigoszonata Schizophyllum commune Sphaerobolus stellatus Trametes versicolor Coniophora putena Dacropopinax sp. Fomitiposis pinicola Gleoophyllum tabeum 0 121 121 50 Brown rot 16 97 29 16 97 29 White rot 16 97 29 10 40 81 10 Basidiomycota Hetrobasidium anosum Hypholoma sublateritum Jaapia argilizeea Pleurotus ostreatus Plicaturopsis orispa Punctularis strigoszonata Schizophyllum commune Sphaerobolus stellatus Trametes versicolor Coniophora putenan Dacropopinax sp. Fomitopsis pinicola Gleoophyllum tabeum Hydionomerulus pinastri Coprinopsis cinerea Agaricus bisponus Amanit thiersii Coprinopsis cinerea Gymmous luxurinas Saprotroph Soil, litter or other Saprotroph 80 103 51 Soil, litter or other Saprotroph Soil, litter or other Saprotroph 27 71 10 Ustilaginomycotina Ustilaginomycotina Ustilaginomycotina Ustilaginomycotina 92 0			Tremella mesenterica			5	14	0
Basidiomycota Tulasnella calospora Priformospora indica Root endophyte 9 178 110 Basidiomycota Agaricomycotina Botryobasidium botryosum Fomiliporia mediterranea Galerina marginata Root endophyte 15 103 64 Botryobasidium botryosum Fomiliporia mediterranea Galerina marginata Botryobasidium botryosum Heterobasidion annosum Hypholoma sublateritium Jaapia argiliacea 16 97 29 35 65 17 128 52 9 110 40 81 10 Heterobasidion annosum Hypholoma sublateritium Jaapia argiliacea 17 102 24 Phanerochaete chrysosporium Plicaturopsis crispa 17 102 24 Phanerochaete chrysosporium Plicaturopsis crispa 21 70 9 Quinctularia strigosozonata Schizophyllum commune Golephyllum trabeum Hydnomerulus pinastri Saprotroph 16 80 3 Brown rot 16 65 0 1 1 Hydnomerulus pinastri Schizophyllum trabeum Agaricus bisponus 47 65 13 Amanta thiersii Coprinopsis cinerea 50 12			Sebacina vermifera		Orchid symbiont	21	121	50
Basidiomycota Piriformospora indica Root endophyte 15 103 64 Auricularia delicata Auricularia delicata 60 140 42 Botyobasidium botyosum Fomitiporia mediterranea 60 140 42 Galerina marginata Heterobasidion annosum 40 81 10 Hypholoma sublateritium Jaapia argillacea 77 128 52 Pharecohaete chrysosporium Pharecohaete chrysosporium 17 102 24 Pharecohaete chrysosporium Plicaturopsis crispa 46 93 26 Schizophyllum commune Spineroblus stellatus 16 80 35 Trametes versicolor 50 94 23 Coniophora puteana Dacryopinas sp. 16 80 3 Fomitopsis pinicola Gloecphyllum trabeum 16 65 0 Hydionemerulus pinastri Septula lacrymans 47 65 13 Agaricus bisporus 47 65 13 3 Gloecphyllum trabeum </td <td>Tulasnella calospora</td> <td>9</td> <td>178</td> <td>110</td>			Tulasnella calospora			9	178	110
Basidiomycota Auricularia delicata 60 140 42 Basidiomycota Baricomycotina Baricomycotina 60 140 42 Agaricomycotina Agaricomycotina Galerina marginata 16 97 29 Heterobasidion annosum Galerina marginata 16 97 29 Heterobasidion annosum Hypholoma sublateritium 35 65 17 Jaapia argillacea Phanerochaete chrysosporium 17 102 24 Plicaturopsis crispa 21 70 9 Punctularia strigoszonata Schizophyllum commune 8 103 5 Schizophyllum commune 50 94 23 0 Coniphora putena 16 80 3 1 Dacryopinax sp. 16 80 3 1 Fomitopsis pinicola 6 6 1 1 Mydnomerulius pinastri Serputa lacrymans 47 65 13 Agaricus bisporus Agaricus bisporus 47			Piriformospora indica		Root endophyte	15	103	64
Basidiomycota Batryobasidium botryosum Fomitiporia mediterranea Galerina marginata 16 97 29 40 81 10 Galerina marginata Heterobasidion annosum 77 128 52 Hypholoma sublateritium Jaapia argillacea 77 128 52 Phanerochaete chrysosporium Jaapia argillacea 17 102 24 Phanerochaete chrysosporium Pleurotus ostreatus 43 111 31 Plicaturopsis crispa 46 93 26 Schizophyllum commune Sphaerobolus stellatus 50 94 23 Coniophora puteana Dacryopinax sp. 50 94 23 Coniophora puteana 16 80 3 Dacryopinax sp. 16 65 0 Fornitopsis pinicola Gloeophyllum trabeum 16 65 0 Hydnomerulius pinastri Sepula lacrymans 47 65 13 Agaricus bisporus 47 65 13 3 Ustilaginomycotina			Auricularia delicata	+ +		60	140	42
Basidiomycota Fonitiporia mediterranea Galerina marginata Heterobasidion anosum Hypholoma sublateritium Jaapia argillacea Phanerochaete chrysosporium Pleurotus ostreatus Plicaturopsis crispa Punctularia strigoszonata Schizophyllum commune Sphaerobolus stellatus Trametes versicolor Coniophora puteana Dacryopinax sp. Fonitopsis pincola Giloeophyllum trabeum Hydnomerulius pinastri Serpula lacrymans Agaricus bisporus Amanita thiersii Coprinopsis cinerea Ustilaginomycotina Saprotroph Ustilaginomycotina White rot 40 81 10 40 81 10 77 128 52 83 28 41 75 28 11 13 52 83 28 9 Pleurotus ostreatus Plicaturopsis crispa Punctularia strigoszonata Schizophyllum commune Sphaerobolus stellatus Trametes versicolor Saprotroph 46 93 26 8 103 5 6 0 3 1 16 80 3 1 1 1 13 61 1 1 1 1 12 52 8 16 1 1 13 61 1 1 1 1 14 50			Botrvobasidium botrvosum	1		16	97	29
Basidiomycota Agaricomycotina Galerina marginata Heterobasicion annosum Hypholoma sublateritium Jaapia argillacea White rot 77 128 52 35 65 17 52 83 28 Phanerochaete chrysosporium Pleurotus ostreatus 71 102 24 Plunctularia strigosozonata Schizophyllum commune Sphaerobolus stellatus 31 75 28 Brown rot 8 103 5 6 80 3 Daryopinax sp. 16 80 3 Formitopsis pinicola Gleophyllum trabeum Hydnomenulius pinastri 14 50 1 Brown rot 13 61 1 1 Hydnomenulius pinastri Soil, litter or other Saprotroph 27 71 10 Ustilaginomycotina Ustilago maydis Blant pathogen			Fomitiporia mediterranea	1		40	81	10
Basidiomycota Heterobasidion annosum Hypholoma sublateritum Jaapia argillacea Meterobasidion annosum Hypholoma sublateritum Jaapia argillacea Meterobasidion annosum Hypholoma sublateritum Jaapia argillacea Miterot 35 65 17 52 83 28 17 102 24 17 102 24 Phineturopsis orispa Pleurotus ostreatus Plicaturopsis orispa 43 111 31 75 28 Punctularia strigosozonata Schizophyllum commune Saprotroph 46 93 26 Schizophyllum commune Saprotroph 16 80 30 5 Dacryopinax sp. 144 50 1 1 Promitopsis pinicola Brown rot 16 65 0 Gloeophyllum trabeum Hydnomerulus pinastri 21 86 16 Serpula lacrymans Agaricus bisporus 47 65 13 Amanita thiersii Soil, litter or other Saprotroph 27 71 10 Ustilaginomycotina Ustilago maydis Plant pathogen 6			Galerina marginata	1		77	128	52
Basidiomycotina Hypholoma sublateritium Jaapia argillacea Phanerochaete chrysosporium Pleurotus ostreatus White rot 52 83 28 17 102 24 31 75 28 43 1111 31 21 70 9 Punctularia strigosozonata Schizophyllum commune Sphaerobolus stellatus 8 103 5 17 102 24 31 75 28 46 93 26 50 94 23 Coniophora puteana 2(284) (195) 0 Dacryopinax sp. 14 50 1 Fomitopsis pinicola 6 13 61 1 Hydnomenulus pinastri Saprotroph 16 65 0 Gleeophyllum trabeum Hydnomenulus pinastri 21 86 16 Serpula lacrymans Agaricus bisporus 47 65 13 Argaricus bisporus 47 65 13 Argaricus bisporus 47 65 13 Argaricus bisporus Sapotroph 47			Heterobasidion annosum			35	65	17
Basidiomycota Image: Imag		Agaricomycotina	Hvpholoma sublateritium	1		52	83	28
Phanerochaete chrysosporium White rot 31 75 28 Pleurotus ostreatus Pleurotus ostreatus 111 31 Plicaturopsis crispa Punctularia strigosozonata 21 70 9 Schizophyllum commune Sphaerobolus stellatus 46 93 26 Trametes versicolor 50 94 23 Coniophora puteana 50 94 23 Dacryopinax sp. 16 80 3 Dacryopinax sp. 16 65 0 Gloeophyllum trabeum 13 61 1 Hydnomerulius pinastri 21 86 16 Serpula lacrymans 47 65 13 Amanita thiersii 27 71 10 Coprinopsis cinerea Gaymopus luxurians 8 22 0	Basidiomycota	l I	Jaapia argillacea			17	102	24
Pleurotus ostreatus 43 111 31 Plicaturopsis crispa Punctularia strigosozonata 21 70 9 Schizophyllum commune Sphaerobolus stellatus 1 6 93 26 Schizophyllum commune Sphaerobolus stellatus 1 6 93 26 Trametes versicolor 50 94 23 6 3 Coniophora puteana Dacryopinax sp. 16 80 3 Dacryopinax sp. 16 65 0 Gloeophyllum trabeum Hydnomerulius pinastri 21 86 16 Serpula lacrymans Agaricus bisporus 47 65 13 Amanita thiersii Soil, litter or other 27 71 10 Coprinopsis cinerea Gymnopus luxurians 66 118 32 Ustilaginomycotina Ustilago maydis Plant pathogen 6 22 0			Phanerochaete chrvsosporium	1	White rot	31	75	28
Plicaturopsis crispa Plicaturopsis crispa Punctularia strigosozonata Schizophyllum commune Schizophyllum commune Saprotroph Sphaerobolus stellatus (284) Trametes versicolor (284) Coniophora puteana 50 Dacryopinax sp. 16 Gloeophyllum trabeum 14 Hydnomerulius pinastri 11 Serpula lacrymans 12 Agaricus bisporus 47 Agaricus bisporus 47 Goymopus luxurians Soil, litter or other Saprotroph 21 Ustilaginomycotina Ustilago maydis			Pleurotus ostreatus			43	111	31
Punctularia strigosozonata Saprotroph Schizophyllum commune Saprotroph Sphaerobolus stellatus (284) Trametes versicolor (284) Coniophora puteana (284) Dacryopinax sp. 16 Fomitopsis pinicola 14 Goloophyllum trabeum 14 Hydromenilus pinastri 21 Serpula lacrymans 12 Agaricus bisporus 47 Agaricus bisporus 47 Oprinopsis cinerea 30 Gymnopus luxurians 27 Ustilaginomycotina Ustilago maydis			Plicaturopsis crispa	1		21	70	9
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Figure 2 Summary of lignocellulose-degrading oxidoreductases, carbohydrate-active enzymes (CAZymes) and cellulose-binding modules in 49 fungal genomes. Relative abundance of genes is represented by a color scale, from the minimum (blue) to maximum (red) number of copies per species (excluding *Sphaerobolus stellatus*; values in parentheses). Individual gene families are shown in **Supplementary Table 7**. NA, not applicable.

gene, which are localized to the lineage leading to the ancestor of Auriculariales (**Fig. 1**). From that point, multiple copies of genes encoding LPMOs, PODs and GLXs, as well as GH6 and GH7, are reconstructed in the 'backbone' nodes of the Agaricomycete phylogeny (**Fig. 1**), thus suggesting that white rot was a conserved trait in the early evolution of mushroom-forming fungi.

Although all ECM species have reduced complements of PCWDEs (Figs. 1 and 2, and Supplementary Figs. 3 and 12–23), they have arisen from functionally diverse saprotrophic precursors and have retained distinct suites of PCWDEs. For example, within the Agaricales, the ECM *Amanita muscaria* is nested within a group of soil and litter saprotrophs, including *Amanita thiersii*, which lacks PODs, whereas another ECM species, *Hebeloma cylindrosporum*, is nested within a group of white-rot decayers that have multiple POD, GLX, GH6, GH7 and LPMO enzymes (Fig. 1 and Supplementary Figs. 12–16). *A. muscaria* has lost genes encoding GLXs, GH6 and GH7, and it has a reduced complement of LPMO genes; both may be consequences of a shift to ECM, but the loss of POD genes in *A. muscaria* preceded (and therefore could not have been caused by) the

evolution of the ECM habit. In contrast, *H. cylindrosporum* has three POD genes encoding manganese peroxidases (**Supplementary Fig. 4**), thus suggesting that it may possess ligninolytic capabilities similar to those in *Cortinarius glaucopus*¹⁰.

The most densely sampled clade of ECM species in our analysis, the Boletales, contains six ECM species that are nested within a paraphyletic assemblage of brown-rot wood decayers lacking PODs and GLXs (**Fig. 1**). The ECM Boletales have further lost all copies of GH6 and GH7 genes and have no more than five copies of LPMO genes, thus suggesting that they have limited capacity to degrade lignocellulose (**Fig. 1** and **Supplementary Figs. 22** and **23**). Gene tree-species tree reconciliations of LPMOs and other decay-related CAZymes suggest that parallel losses of brown-rot saprotrophy have occurred in the three ECM lineages in Boletales (Boletineae, Suillineae and Sclerodermatineae; **Supplementary Figs. 15–23**), although the ability to oxidize organic matter remains in *Paxillus involutus*⁹.

Cantharellales and Sebacinales are early-diverging lineages of Agaricomycetes that include the ORM symbionts *Sebacina vermifera* and *Tulasnella calospora*. In contrast to ECM taxa in Boletales and

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Figure 3 Presence and sequence similarity of symbiosis-upregulated genes from *L. bicolor* in 55 genomes of saprotrophic (white rots, brown rots, soil and litter decayers), mycorrhizal (ECM, ERM and ORM), pathogenic and endophytic fungi. The heat map depicts a double-hierarchical clustering of 588 symbiosis-upregulated *L. bicolor* genes (rows, fold change >5, false discovery rate–corrected *P* < 0.05; **Supplementary Data Set 1**) based on their percentage sequence identity (color scale at left) with their orthologs (if any) in selected fungal species (columns). Right, color-coded gene clusters (clusters I to VI), with dots representing differentially expressed genes upregulated >100-fold; for genes with regulation less than 100-fold, the fold-change value is set to 1 (majority of the genes). The *x* axis is a logarithmic scale of the fold change in gene expression (natural algorithm with Euler's number). Genes of cluster VI are *L. bicolor*–specific (orphan) genes, whereas genes of cluster V have sequence similarity only with predicted proteins of the sister species *L. amethystina*. Double-hierarchical-clustering heat maps of symbiosis-upregulated genes for the ECM *A. muscaria*, *H. cylindrosporum*, *P. involutus*, *P. croceum*, *S. luteus*, the orchid mycorrhizal *T. calospora*, the ericoid mycorrhizal *Oidiodendron maius*, the endophytic *S. vermifera* and the brown-rot *S. lacrymans* are shown in **Supplementary Figure 25**, whereas double-hierarchical-clustering heat maps of symbiosis-double-hierarchical-clustering heat maps of symbiosis-double-hierarchical-clustering heat maps of symbiosis-upregulated 1.

Agaricales, symbiotic members of Cantharellales and Sebacinales have a robust apparatus for degradation of crystalline cellulose, particularly T. calospora, which has 7 GH6, 27 GH7 and 33 LPMO genes (even more than its putatively saprotrophic sister taxon, B. botryosum) (Fig. 1 and Supplementary Figs. 15 and 16). Moreover, proteins with a cellulose-binding domain (CBM1) are rarely detected in ECM, whereas they are abundant in the ORM and ERM symbionts (Fig. 2 and Supplementary Table 7). Unlike typical ECM fungi, ORM fungi transfer carbohydrates to their hosts in the juvenile state of orchids, and they exploit nonliving organic substrates to feed their host¹⁶. Similarly, the ERM Oidiodendron maius (Ascomycota) maintains multiple copies of GH6, GH7 and LPMO genes (Fig. 1 and Supplementary Table 7), thus explaining its saprotrophic ability in Sphagnum peat¹⁷. Reconciliation analyses suggest that the divergence of Cantharellales and Sebacinales occurred before the diversification of PODs in Agaricomycetes and the origin of white rot (Fig. 1). The well-developed capacity to attack crystalline cellulose in these lineages and in the Ascomycota may reflect a primitive mode of symbiotic life substantially relying on the saprotrophic ability to decay nonwoody substrates with modest lignin content.

In L. bicolor, symbiosis requires lineage-specific genes encoding small secreted effector proteins that control host-plant development and immunity^{4,11,12}. By sequencing RNA from free-living mycelia and mycorrhizal roots, we identified genes regulated by symbiosis development in the ECM A. muscaria, H. cylindrosporum, P. involutus, Piloderma croceum and Suillus luteus, the ERM O. maius and the ORM S. vermifera and T. calospora. Of the expressed genes, 1.3-6.0% are upregulated during symbiosis and 0.2-4.9% are downregulated by the interaction (fold change >5, false discovery rate-corrected *P* <0.05; **Supplementary Note** and **Supplementary Fig. 24**). Though genes belonging to similar functional gene ontology (GO) categories (signaling, information storage and processing, and metabolism) were upregulated in these interactions (Supplementary Fig. 25; downregulated genes in Supplementary Fig. 26), each species expressed a distinct set of genes thought to be involved in redox reactions, nutrient transport and metabolism. A large set of symbiosis-upregulated genes have orthologs in brown- and white-rot fungi (cluster III, Fig. 3), thus suggesting that they are not unique to mycorrhizal symbionts and tend to be associated with essential core metabolic pathways. These conserved mycorrhiza-induced genes might provide clues to how ancestral



Figure 4 Distribution of symbiosis-upregulated genes of clusters I to VI into functional categories, including MiSSPs and nonsecreted orphan genes (no KOG). MiSSPs represent 16% of the symbiosis-upregulated transcripts in cluster V, a significant enrichment compared to their percentage in the total gene repertoire (i.e., 2%). CAZyme, carbohydrate-active enzymes; FOLyme, fungal oxidative lignin-degrading enzymes.

gene complements have been adapted to the ECM lifestyle. For example, the few retained PCWDEs acting on pectin (GH28, GH88 and CE8), hemicellulose (GH30) and cellulose (GH5_5 and LPMOs) are expressed in ECM root tips likely to modify the plant cell wall during colonization of the host root apoplastic space (**Supplementary Fig. 27**). In contrast, ORM and ERM symbionts expressed a full complement of PCWDEs in symbiosis (**Supplementary Figs. 27** and **28**), thus suggesting that they are used to penetrate host cells.

Many (7-38%) of the symbiosis-induced genes are restricted to a single ECM species (clusters V and VI, Fig. 3; Supplementary Fig. 25), even in the densely sampled Boletales (23% in S. luteus; Supplementary Fig. 25). Only one-third of the Laccaria symbiosisinduced orphan genes have homologs in both L. bicolor and Laccaria amethystina (Fig. 3). The latter diverged from the L. bicolor lineage ~20 million years ago 18 , thus indicating that even after the evolution of the ECM habit species within a genus continued to develop a specific symbiosis protein 'toolkit' and to diverge from each other. Lineagespecific orphan genes may represent either ancestral genes that have diverged so far that their similarities to other sequences have been obscured, a phenomenon frequently observed for effector genes¹⁹, or genes formed *de novo* from previously noncoding sequences²⁰. Orphan fungal genes are over-represented among up- and downregulated genes found in mycorrhizal roots (Figs. 3 and 4, and Supplementary Figs. 25 and 26). To assess whether previously uncharacterized but highly induced genes may represent candidates for secreted effector proteins (CSEPs), we assessed which and how many of these genes encode proteins that possess properties (for example, predicted signal peptide, size <300 amino acid residues and no sequence similarity) common to known secreted effectors, such as mycorrhiza-induced small secreted protein of 7 kDa (MiSSP7)^{11,12}. Of the induced genes, 8–28% encode proteins with a predicted signal peptide, a significantly higher fraction than the 3-7% of noninduced and non-symbiosis-related

genes that encode a protein with a signal peptide ($P = 2.04 \times 10^{-6}$ to $<2.20 \times 10^{-16}$, Fisher's exact test; **Fig. 4** and **Supplementary Fig. 25**). Except for *S. vermifera*, there is a significantly higher proportion of genes encoding secreted proteins of <300 amino acid residues (4–11% compared to 1–2% in the noninduced set; P = 0.00333 to $<2.20 \times 10^{-16}$, Fisher's exact test; **Fig. 4** and **Supplementary Fig. 25**).

Serpula lacrymans is a member of a paraphyletic clade of brownrot lineages from which the ECM Boletales are derived (**Fig. 1**). *S. lacrymans* is able to form a loose hyphal mantle around pine roots, and this may provide clues to the antecedent condition of ECM²¹. Transcript profiling of the root-associated mycelium showed a significant proportion of root-induced transcripts, but we detected no small secreted proteins, whereas ECM Boletales expressed a large set of MiSSPs (**Supplementary Fig. 25**). Although proteins known to interact with host immunity and development, such as MiSSP7, are not the only functional class to be over-represented among lineage-specific short secreted proteins, the prevalence of CSEPs among symbiosis-induced genes suggests that at least some of these genes encode new symbiosis-related effectors.

Mycorrhizal symbioses evolved from ecologically diverse decayer precursors and radiated in parallel, following the origins of their hostplant lineages (**Fig. 1**). Polyphyletic evolution of the ECM lifestyle is marked not only by convergent losses of different components of the ancestral saprotrophic apparatus but also by rapid genetic turnover in symbiosis-induced genes (**Fig. 3**), some of which may reflect lineage-specific functional innovations, such as MiSSPs. In contrast, ERM and ORM fungi retained an extensive decay apparatus that is probably exploited indirectly by the plant for carbohydrate supply, thus explaining their known saprotrophic ability¹⁷. The available genome sequences of mycorrhizal fungi will represent foundational information for understanding symbiosis development and functioning. These resources will facilitate field studies aiming to predict responses of mycorrhizal communities to environmental shifts, such as altered forest-management practices and climate change.

METHODS

Methods and any associated references are available in the online version of the paper.

Accession codes. Genome assemblies and annotations for the organisms used in this study are available via the JGI fungal genome portal MycoCosm²² (Supplementary Tables 2 and 3). In addition, the newly sequenced genome assemblies and annotations have been deposited in GenBank under the following accession codes and BioProjects, respectively: A. muscaria Koide BX008, JMDV00000000 and PRJNA207684; Gymnopus luxurians FD-317 M1, JJNP00000000 and PRJNA68535; H. cylindrosporum h7, JMDQ00000000 and PRJNA207849; Hydnomerulius pinastri MD 312, JMSK0000000 and PRJNA207871; Hypholoma sublateritium FD-334 SS-4, JMSJ00000000 and PRJNA70685; L. amethystina LaAM-08-1, JMSL00000000 and PRJNA196025; O. maius Zn, JMDP00000000 and PRJNA74727; P. involutus ATCC 200175, JOMD00000000 and PRJNA60449; Paxillus rubicundulus Ve08.2h10, JMDR00000000 and PRJNA243391; P. croceum F 1598, JMDN00000000 and PRJNA61203; Pisolithus microcarpus 441, JMDM00000000 and PRJNA60815; Pisolithus tinctorius Marx 270, JMDO00000000 and PRJNA207840; Plicaturopsis crispa FD-325 SS-3, JOMB00000000 and PRJNA207847; Scleroderma citrinum Foug A, JMDU0000000 and PRJNA207859; S. vermifera MAFF 305830, JMDS0000000 and PRJNA207844; Sphaerobolus stellatus SS14, JOMA0000000 and PRJNA207858; S. luteus UH-Slu-Lm8-n1, JMSM00000000 and PRJNA242126; T. calospora MUT 4182, JMDT00000000 and PRJNA207843). Electronic files of the phylogenomic and phylogenetic analyses have been deposited in the Dryad Repository under doi:10.5061/dryad.f2g0f. The complete gene expression data sets have been submitted to Gene Expression Omnibus (superseries GSE63947). For *L. bicolor* and *S. lacrymans*, microarray data^{4,21} were reanalyzed (GSE9784, GSE27839 and GSE63929).

Note: Any Supplementary Information and Source Data files are available in the online version of the paper.

ACKNOWLEDGMENTS

This material is based on work conducted by the US Department of Energy Joint Genome Institute, a DOE Office of Science User Facility, supported under contract no. DE-AC02-05CH11231. This work was also supported by the Laboratory of Excellence ARBRE (ANR-11-LABX-0002-01), the Genomic Science Program (Plant-Microbe Interactions project) funded by the US Department of Energy, Office of Science, Biological and Environmental Research (contract DE-AC05-000R22725), the Lorraine Region Council (to F.M.), US National Science Foundation grants DEB-1208719 and DEB-0933081 (both to D.S.H. and DEB-1021606 (to A.P.)), the German Centre for Integrative Biodiversity Research (iDiv) Halle-Jena-Leipzig (DFG FTZ 118) and the German Science Foundation (DFG, grant BU941/20-1) (to F.B.) and the Swedish Research Council (to A. Tunlid). This work was partly supported by the Laboratory of Excellence TULIP (ANR-10-LABX-41 and ANR-11-IDEX-0002-02). F.M. would like to acknowledge M.A. Selosse and B. Lindahl for helpful discussions.

AUTHOR CONTRIBUTIONS

F.M. conceived and guided the entire Mycorrhizal Genomics Initiative. I.V.G. directed all genome-sequencing and analysis efforts at JGI. D.S.H. directed the phylogenomic analyses. A. Tunlid coordinated the P. involutus genome project. F.M., D.S.H., I.V.G. and A. Kohler wrote the paper with contributions and input from all project members. A. Kohler performed overall management of biological materials and DNA and RNA preparations at INRA. K.W.B. performed overall project management at JGI. N.C., M.D.C., J.D., D.F., T.J., U.L., E. Martino, M.P., J.R. and M.T. extracted genomic DNA for sequencing. J.D., N.H., M.G., M.T., H.-R.K., S.H., U.L., E. Martino, U.N., J.M.P., T.J. and F.R. provided RNA for transcript profiling. A. Kohler, E. Morin, F.M., C.M., C.V.-F., G.G., S.H., S.P., M.G., A.Z., F.B., J.C., R.M. and A.P. analyzed genomic and transcriptomic data. C.C. and C.Y.N. performed genome sequencing. A. Clum, A. Copeland, K.L., A. Tritt and H.S. assembled the genomes. A. Kuo, R.A.O., R.R. and A.S. annotated and analyzed the genomes. B.C. and F.S. analyzed the P. involutus genome. E.A.L. and A. Lipzen performed transcriptome sequencing and analysis at JGI. L.G.N., D.F. and D.S.H. performed organismal phylogeny and analyzed evolution of decay-related gene families. A. Kuo and E. Morin performed protein clustering analysis. B.H. and A. Levasseur annotated the CAZyme and fungal oxidative lignin enzyme (FOLyme) families. J.H. analyzed the repeated elements in A. muscaria.

COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

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ONLINE METHODS

Sequencing, assembly and annotation. The 18 new genomes were sequenced with a combination of the Sanger, 454, Illumina and PacBio sequencing platforms (**Supplementary Note** and **Supplementary Tables 2** and **3**). All genomes were assembled in a platform-dependent manner and annotated with the JGI Annotation Pipeline²³, which combines several gene prediction and annotation methods with transcriptomics data and integrates the annotated genomes into MycoCosm²², a web-based fungal resource for comparative analysis (**Supplementary Note**).

Transcript profiling. Gene expression in mycorrhizal root tips and free-living mycelium from the ECM *A. muscaria*, *H. cylindrosporum*, *P. involutus*, *P. croceum* and *S. luteus*, the ERM *O. maius* and the ORM *S. vermifera* and *T. calospora* was assessed with RNA-seq (**Supplementary Note** and **Supplementary Table 11**).

Protein sequence clustering. Predicted protein sequences were clustered with the Markov Cluster Algorithm (MCL) program²⁴, with an inflation parameter of 2.0 (**Supplementary Note**).

- **Phylogeny.** From protein sequence clustering, we identified single-copy gene families (1,809 clusters) that contained genes for >15 species (**Supplementary Note** and **Supplementary Fig. 1**). We found 617 such clusters, 5 of which contained suspected nonorthologous genes and were excluded. The remaining 611 gene families were retained, and ambiguously aligned sites were removed by 3 different settings altogether (with GBlocks and PRANK posterior probabilities). The single-gene alignments were then concatenated to result in 3 data sets with 19,567 sites and 149 loci (PRANK, 1.0 exclusion threshold), 114,814 sites and 542 loci (PRANK, 0.95 exclusion threshold) and 34,323 sites in 259 loci (MAFFT + GBlocks) (**Supplementary Fig. 2**). We then inferred maximum-likelihood and Bayesian trees by using RAxML 7.2.8 and PhyloBayes 3.3, respectively. The inferred trees were largely congruent across data sets and analyses. The inferred maximum-likelihood tree (data set PR0.95; **Supplementary Fig. 2**) was used for subsequent analyses.
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Corrigendum: Convergent losses of decay mechanisms and rapid turnover of symbiosis genes in mycorrhizal mutualists

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In the version of this article initially published online, the Online Methods indicated that 612 single-gene families were included in the phylogenetic analysis. However, one gene family was excluded because of significant topological conflict, and the total number of gene families included was therefore 611. The error has been corrected for the print, PDF and HTML versions of this article.

