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## Accepted Manuscript

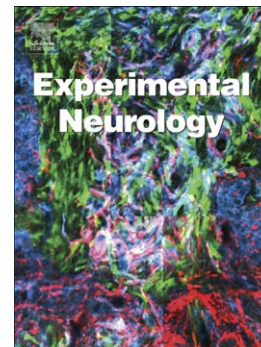
Lack of CAR impacts neuronal function and cerebrovascular integrity *in vivo*

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# Lack of CAR impacts neuronal function and cerebrovascular integrity *in vivo*

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**Abstract**

Nuclear receptors (NR) are a group of transcription factors emerging as key players in normal and pathological CNS development. Clinically, an association between the constitutive androstane NR (CAR) and cognitive impairment was proposed, however never experimentally investigated. We wished to test the hypothesis that the impact of CAR on neurophysiology and behavior is underlined by cerebrovascular-neuronal modifications. We have used CAR<sup>-/-</sup> C57BL/6 and wild type mice and performed a battery of behavioral tests (recognition, memory, motor coordination, learning and anxiety) as well as longitudinal video-electroencephalographic recordings (EEG). Brain cell morphology was assessed using 2-photon or electron microscopy and fluorescent immunohistochemistry.

We observed recognition memory impairment and increased anxiety in CAR<sup>-/-</sup> mice, while locomotor activity was not affected. Consistent with the memory deficits, EEG monitoring revealed a decrease in 3.5-7 Hz theta waves during the awake/exploration and sleep periods. Behavioral and EEG abnormalities in CAR<sup>-/-</sup> mice mirrored structural changes, including tortuous fronto-parietal penetrating vessels and a few localized vascular micro-leakages. At the cellular level we found reduced ZO-1, but not CLDN5, tight junction protein expression in cortical and hippocampal isolated microvessel preparations. Interestingly, the neurotoxin kainic acid, when injected peripherally, provoked a rapid onset of generalized convulsions in CAR<sup>-/-</sup> as compared to WT mice, supporting the hypothesis of vascular permeability. The morphological phenotype of CAR<sup>-/-</sup> mice also included some modifications of GFAP/IBA1 glial cells in the parenchymal or adjacent to collagen-IV<sup>+</sup> or FITC<sup>+</sup> microvessels. Neuronal defects were also observed including increased cortical NEUN<sup>+</sup> cell

density, hippocampal granule cell dispersion and increased NPY immunoreactivity in the CA1 region in  $CAR^{-/-}$  mice. The latter may contribute to the *in vivo* phenotype.

**Our results indicate that behavioral and electroencephalographic changes in adult  $CAR^{-/-}$  mice are concomitant to discrete developmental structural brain defects. The latter could also increase the vulnerability to neurotoxins. The possibility that interfering with nuclear receptors during development could contribute to adulthood brain changes is proposed.**

## Introduction

Nuclear receptors (NR) are a superfamily of transcription factors. Recent evidence is expanding the breadth of NR functions to include a role in brain cortical development (Fan *et al.*, 2008), memory formation (Hawk *et al.*, 2012; Kaur and Sodhi 2015; Yang and Wang 2014), anxiety (Tan *et al.*, 2012), vascular or barrier integrity and tissue homeostasis (Banerjee *et al.*, 2015; Ogura *et al.*, 2012; Venkatesh *et al.*, 2014; Wang *et al.*, 2014; Zhao and Bruemmer 2010; Zhao *et al.*, 2010). Among the existing NR, xenobiotic receptors, such as the Pregnane X Receptor (NR1I2) and the Constitutive Androstane Receptor (CAR, NR1I3), are sensors of toxic byproducts (Tolson and Wang 2010). Experimental evidence supports a functional impact of a NR1I2 receptor in memory function in mice upon receptor activation (Kaur and Sodhi 2015). Interestingly, regulation of vascular inflammation and mechanisms of homeostasis were shown to encompass pathways controlled by NR (Banerjee *et al.*, 2015; Wang *et al.*, 2014; Zhao and Bruemmer 2010; Zhao *et al.*, 2010). For instance, loss of NR1I2 receptor was associated with a disrupted gastro-intestinal barrier and decreased tight junction levels resulting in increased susceptibility to toxic insults (Venkatesh *et al.*, 2014).

No studies have investigated whether loss of CAR may impact neuronal functions *in vivo*. The latter is clinically **relevant as a missense CAR mutation was reported in patients** presenting with intellectual disability and comorbidities including spontaneous seizures (Kleefstra *et al.*, 2012). It is unknown whether, and consistent with CAR ablation, cellular brain changes exist in the adulthood, including developmental vascular barrier modifications (Venkatesh *et al.*, 2014; Wang *et al.*, 2014). Remarkably, experimental and clinical evidence demonstrated cerebrovascular damage as an etiological player involved in brain diseases,

including psychiatric illness (Khandaker *et al.*, 2015), seizure onset and progression (Friedman 2011; Marchi *et al.*, 2007; Marchi *et al.*, 2012; van Vliet *et al.*, 2014), and cognitive decline (Abbott *et al.*, 2006; Obermeier *et al.*, 2013; Snyder *et al.*, 2015; Zhao *et al.*, 2015). Both developmental or acquired pathological factors can affect cerebrovascular permeability or the inflammatory basal state, thus perturbing brain homeostasis and neurophysiology in the adult brain (Obermeier *et al.*, 2013).

**Xenobiotic receptors are functionally expressed at the cerebrovasculature, as demonstrated by the modulation of specific downstream gene targets (Bauer *et al.*, 2006; Wang *et al.*, 2010). We therefore tested the hypothesis that genetic ablation of CAR is associated with modifications of basal *in vivo* neuronal functions in the adult brain (Dai *et al.*, 2012; Fan *et al.*, 2008; Hawk *et al.*, 2012; Kaur and Sodhi 2015; Tan *et al.*, 2012). We also evaluated whether neuro-vascular morphological changes exist in CAR<sup>-/-</sup> brains concomitant to *in vivo* functional modifications. The possible contribution of *maldevelopmental* or homeostatic mechanisms is discussed.**

## Materials and methods

### *Animals*

All experiments followed European Union (Council directive 86/609EEC) and institutional guidelines for the care and use of laboratory animals. Mice were hosted at the IGF or INRA animal facilities (institutional license approved by the French Ministry of Agriculture N° D34-172-13 and B31-555013). Mice were housed on a 12h lightdark cycle with food and water *ad libitum*. The animal experiment protocols were approved by the local ethical committee for animal testing (05185.01 and 00846.01). CAR<sup>-/-</sup> mice and wild type on a C57BL/6J genetic background (Wei *et al.*, 2000) were originally established and previously used by the authors of this manuscript (INRA, see (Roques *et al.*, 2013)). Colony founders were provided to LK (INRA) by Pr. Urs A Meyer (Biozentrum, University of Basel, Switzerland). We used adult male mice (8 - 12 weeks old).

### *Behavioral studies*

A total of n = 12 CAR<sup>-/-</sup> and n = 12 WT mice were used for behavioral testing (see Fig. 1 and 2). *Spatial Object Recognition*. The spatial object recognition test was performed as previously described (Gangarossa *et al.*, 2014). Briefly, mice were habituated to the experimental arena for 10 min. The following day, mice were allowed to freely explore 2 different objects (A and B) located in the same site of the arena. Object interaction was defined as approaching the object with the nose (> 1 cm). Following a retention interval of 24 h, mice underwent a 5 min recall session when the arena contained one of the two objects displaced in the other site (novel place). Following each session, the objects and the open field



were cleaned with 70% ethanol. The experiments were videotaped and the time spent exploring the objects was scored. The percentage of exploration time was calculated as % exploration =  $((\text{Time B})/(\text{Time A} + \text{Time B})) \times 100$ . *Rotarod test*. Balance and motor coordination as well as motor learning were assessed using a mouse accelerating rotarod (Ugo Basile, Comerio, Italy) as previously described (Gangarossa *et al.*, 2014). Mice were placed on the rotating drum accelerating from 4 to 40 rpm over 5 min for 3 trials a day and for 3 consecutive days. The trial interval was 45 min for all the mice. Rotarod sessions were scored for latency to fall or ride around the rod. *Spontaneous locomotor activity*. Locomotor activity was measured as previously described (Gangarossa *et al.*, 2014; Gangarossa *et al.*, 2014). Horizontal and vertical activities were measured in a circular corridor (Imetronic, Pessac, France). Counts for horizontal activity were incremented by consecutive interruption of two adjacent beams placed at a height of 1 cm per 90° sector of the corridor (mice moving through one-quarter of the circular corridor) and counts for vertical activity (rearing) as interruption of beams placed at a height of 7.5 cm along the corridor (mice stretching upwards). *Elevated-Plus Maze (EPM)*. The elevated plus maze was elevated 1 m above the floor (black plastic with 2 open arms 5 cm width  $\times$  35 cm length  $\times$  0.5 cm height; 2 closed arms 5 width  $\times$  35 cm length  $\times$  15 cm height). Mice were placed in the center of maze facing one of the open arms and were allowed to explore the maze for 10 min. Experiments were videotaped and scored for entries and time spent in the closed arms (4 paws within closed arm) or open arms (4 paws within open arms). *Open field*. Spontaneous exploratory behavior was monitored in an open field (white plastic arena with 35 cm width  $\times$  50 cm length  $\times$  20 cm height) for 10 min. The center zone was defined as a virtual perimeter within 5 cm from the sides of the arena. Experiments were videotaped and an observer scored the time spent in the center (4 paws inside the center zone) and the number of transitions in the center zone.

*Video-encephalography and signal analysis*

*Baseline EEG and frequency analyses.* n=4 CAR<sup>-/-</sup> C57/BL6j and n=4 WT mice were implanted in the fronto-parietal cortex and were used to characterize baseline EEG activity. Each mouse was monitored using Video-EEG for a total of 50 hours (50% night and 50% day) over a period of 1 week. EEG signals were acquired at 200-600 Hz using band pass filter (50-100Hz), stored and analyzed using Neuroscore and MatLab. Ten minutes EEG samples were extracted from all recordings and: i) classified as day or night; ii) separated by awake/exploratory vs. sleep (Video); iii) video analysis ruled out motion artifacts associated with scratching, eating, drinking or chewing. As a result we obtained the following number of EEG extracts: n = 39 WT awake/exploratory, n = 43 WT sleep, n = 34 CAR<sup>-/-</sup> awake/exploratory and n= 31 CAR<sup>-/-</sup> sleep. The latter corresponded to the EEG time: 390 minutes WT awake/exploratory, 430 minutes WT sleep, 340 minutes CAR<sup>-/-</sup> awake/exploratory and 310 minutes CAR<sup>-/-</sup> sleep. All EEG samples were processed using Neuroscore (Periodogram Power Bands; epoch duration 10 sec) to calculate the relative value (0-100%) within each 0.5Hz increments (0.5 – 30 Hertz).

*Kainic acid injection.* A total of n = 16 CAR<sup>-/-</sup> C57/BL6j and n = 16 C57/BL6j WT mice were used for Video-EEG or Racine behavioral monitoring of status epilepticus (SE) induced using i.p. kainic acid (KA, 25mg/Kg; stock solution 10mg/ml KA in PBS, pH=7). In particular, n=7 CAR<sup>-/-</sup> C57/BL6j and n=7 C57/BL6j WT mice were implanted with fronto-parietal cortical electrodes and EEG recordings. Mice were monitored up to 24 hours after KA. The following endpoints were determined: i) number of mice developing SE; ii) time of SE onset; iii) time spent in generalized SE normalized by the total duration of each EEG recording (from KA injection to death or up to 5 hours to follow SE onset and evolution). Briefly, mice were anesthetized with ketamine/xylazine, placed on a stereotaxic frame and the

skull exposed. A preamplifier (2 differential channels, Pinnacle Inc., USA) was connected to EEG leads. Mice were left unrestrained for one week.

*CINPA1 injections.* A cohort of male adult C57/BL6j WT mice (n = 3) was injected with CINPA1 (5605, Tocris Bioscience), a novel and potent CAR antagonist exhibiting high selectivity for CAR over other xenobiotic NR1I (Cherian *et al.*, 2015; Cherian *et al.*, 2015). CINPA1 has low molecular weight (395 Da) and it is lipophilic (LogP>3), therefore penetrating cell membranes (Cherian *et al.*, 2015; Cherian *et al.*, 2015). A dose response was performed (1mg/Kg, 10mg/Kg and 50mg/kg) based on available IC<sub>50</sub> (Cherian *et al.*, 2015). Video-EEG was recorded for 24 hours following each injection. Control group refers to video-EEG (12 to 24 hours) recorded before CINPA1 injections in each animal. Video-EEG data were sorted and extracted as described above (see Supplemental Table 1). The following number of EEG extracts were analyzed: n = 31 awake/exploration and n = 33 sleep (control); n = 52 awake/exploration and n = 87 sleep (1 mg/Kg CINPA1); n = 63 awake/exploration and n = 97 sleep (10 mg/Kg CINPA1).

#### *Microvessels isolation, western blot and quantifications*

*Capillary Isolation.* We performed 2 experiments pulling together the cortical and hippocampal extracts obtained from: i) n=5 CAR<sup>-/-</sup> and n=5 WT; ii) n=6 CAR<sup>-/-</sup> and n=6 WT mice (see Fig. 4). The latter was implemented to obtain a sufficient protein yield, amenable for subsequent western blot analysis. A detailed procedure is described in (Shawahna *et al.*, 2011). Briefly, white matter, meninges, midbrain, choroid plexus, blood vessels, and olfactory lobes were removed from the brains under and the remaining tissue was homogenized. Tissue was kept in cold PBS (2.7 mM KCl, 1.5 mM KH<sub>2</sub>PO<sub>4</sub>, 136.9 mM NaCl, 8.1 mM Na<sub>2</sub>HPO<sub>4</sub>, 1 mM CaCl<sub>2</sub>, 0.5 mM MgCl<sub>2</sub>, 5 mM D-glucose, and 1 mM

sodium pyruvate) throughout the isolation procedure. An aliquot of 30% Ficoll was added to an equal volume of brain homogenate and capillaries were separated from the parenchyma by centrifuging at 5800g for 20 min. Capillaries were isolated using selective filtrations (>20  $\mu\text{m}$  and < 100 $\mu\text{m}$ ). *Whole brain tissues.* Briefly, cortical, and hippocampal tissues were homogenized using a buffer containing 0.1% sodium dodecyl sulfate (SDS), protease inhibitor cocktail (Promega, Madison, WI, USA), 50 mM Tris-HCl (pH 7.4), 10 mM EDTA, 1 mM  $\text{Na}_3\text{VO}_4$ , 40 mM sodium pyrophosphate, 50 mM NaF, and 1 mM dithiothreitol (DTT). Samples were then centrifuged (12,000 rpm for 10 min) and protein content quantified as previously describe (REF). *Western Blots.* Samples were separated by electrophoresis and then transferred onto a nitrocellulose membrane. After 1 h of blocking in skimmed milk, the membranes were probed overnight at 4 °C with a mouse anti-Zona Occludens 1 (1:400; 339100, Invitrogen), mouse anti-Claudin 5 (1:800; 352500, Invitrogen) or mouse anti-Actin (1:10,000; ab6276, Abcam). Secondary goat anti-mouse horseradish peroxidase (HRP)-conjugated (1:4000) antibody was used. Relative band densities were measured and normalized using ImageJ. WB bands obtained using isolated microvessels (Fig. 4D) are representative of i) n=5 and ii) n=6 mice/group.

#### *Transparent SeeDB brain, Electron Microscopy and Immunohistochemistry*

Transparent brain preparation was performed on  $\text{CAR}^{-/-}$  and WT mice following the procedures described by (Ke *et al.*, 2013). Briefly, mice were perfused intracardially using a 25mg/ml solution of FITC-Albumin (300  $\mu\text{l}$  / mouse). The fronto-parietal cortices were isolated, treated using the SeeDB protocol (Ke *et al.*, 2013) and analyzed using 2-photon microscopy (see Fig. 4A and Supplemental Movies 1-2). Electron microscopy was performed at the Core Facility (University of Montpellier, France) from hippocampal and cortical blocks.

*Tight junctions.* A total of  $n = 6$  WT and  $n = 6$  CAR<sup>-/-</sup> mice were used specifically for this immunohistochemistry. After perfusion with PBS mice brains were dissected and immersed in sucrose 30%. Brains were then snap frozen and stored at -80C. Slices (20um) were obtained using a cryostat. Slices were post-fixed directly on the slide using Methanol/Acetone (v/v 50/50) at -20C and immersed in the solution for 1 minute. Immunohistochemistry is performed after PBS washes. Slices were added with blocking solution (PBS, triton 0.3%, goat or horse serum depending on the secondary antibody used) for 1hour at 4C. Primary antibody is diluted in blocking solution (ZO1 or CLDN5, see Table 2) overnight at 4C. After PBS washes, secondary antibody was added in PBS (1:2000, donkey anti-rabbit Cy3, Jackson Immunoresearch 711-165-152) for 2 hour at room temperature. Slices were mounted using moviol. *Neuro-peptide Y.* Immunostaining was performed of PFA 4% fixed brains. Slices (30 um) were cut using a vibratome and stored in cryoprotectant solution at -20C. Free floating slices were rinsed using PBS and blocking solution (PBS, BSA 2%, triton 0.25% and horse or goat serum 3%) added for 2 hour at room temperature. Primary antibody (anti-NPY see Supplemental Table 2) is added for 36 hours at 4C. After PBS washed, secondary antibody (1:2000, donkey anti-rabbit Cy3, Jackson Immunoresearch 711-165-152) was added (PBS + triton 0.25%). *Collagen IV.* Basal lamina was stained using Collagen IV (polyclonal rabbit anti-collagen IV antibody, ab6586, 1:100, Abcam,). Staining was performed as previously described, using an antigen retrieval technique based on citrate buffer (pH = 6). *GFAP/IBA1 inflammation.* PFA fixed brain slices were incubated with blocking solution (PBS, triton 0.25% and horse serum 20%) for 1 hour at room temperature. Primary antibodies were then added in PBS (see Supplemental Table 2) overnight at 4C. After PBS washes, secondary antibodies were added (1:2000, donkey anti-rabbit Cy3, Jackson Immunoresearch 711-165-152 for IBA1; donkey anti-mouse Jackson 715-165-151 for GFAP and NEUN). For triple

immunohistochemistry (Fig. 5A-A1) a goat anti-mouse Alexafluor 350 was used for GFAP (see Supplemental Table 2).

### *Statistics*

Data were analyzed using one-way or two-way ANOVA followed by Bonferroni *post hoc* test for specific comparisons. F(x,y) indicates cumulative distribution and degree of freedom (Prism). Student's *t*-test with equal variances was used for groups of 2, when relevant. In all cases, significance threshold was set at  $p < 0.05$ . Statistical analyses were performed using GraphPad Prism 5.0 (GraphPad Prism Software Inc., San Diego, USA) or Origin Microcal.

## Results

### *Impaired memory function and increased anxiety in CAR<sup>-/-</sup> mice*

We performed a battery of behavioral tests investigating whether CAR ablation may impact memory, learning and mood-related modifications such as anxiety. We measured the integrity of recognition and motor/procedural memory processes. In the object place recognition test CAR<sup>-/-</sup> mice did not show preference for the familiar or relocated object during the recall session (24 h after exploratory phase) as opposed to WT mice (Fig. 1A and B). This was not due to an impairment of the exploratory drive since exploration of the two objects was not affected in CAR mice during the familiarization phase (*data not shown*). Motor skill learning was also assessed using the accelerating rotarod. No differences were observed during the first day of training, suggesting unaltered motor function and coordination in CAR<sup>-/-</sup> mice (Fig. 1C). Interestingly, CAR<sup>-/-</sup> mice showed a decreased improvement of rotarod motor performance compared to WT (Fig. 1C-D); this is consistent with decreased learning ability. Thus, all mice gradually acquired motor skills following prolonged training, even though the learning index was higher in WT as compared to CAR<sup>-/-</sup> mice (3 days; Fig. 1C and D). No differences in the spontaneous horizontal (Fig. 1E-E1) and vertical activity (Fig. 1F-F1) were observed in CAR<sup>-/-</sup> mice, thus indicating intact exploratory drive. Our results suggest a link between lack of CAR and memory or learning performances, although sparing locomotor coordination and activity.

Anxiety-like behavior was also observed in CAR<sup>-/-</sup> mice; this was evaluated by the elevated plus maze (EPM) and the open field tests (Fig. 2). In the EPM test, CAR<sup>-/-</sup> mice spent less time in the open arms as compared to WT mice. Differences were observed in the number

of entries in both closed and open arms. We further measured the spontaneous behavior using the open field test (novel and stressful environment; Fig. 2D-E).  $CAR^{-/-}$  mice spent less time and had a reduced number of transitions in the center of the field as compared to WT, indicating increased anxiety (Fig. 2). These results indicate a link between deletion of the nuclear receptor CAR and anxiety-like behaviors in the adulthood.

*Constitutive decrease of 3.5-7Hz theta activity in  $CAR^{-/-}$  mice in vivo*

We performed a longitudinal video-EEG study to test whether the observed behavioral changes were associated with abnormal electrographic activity (Chauviere *et al.*, 2009). Compared to WT,  $CAR^{-/-}$  mice displayed a constitutive reduction in relative 3.5-7 Hz theta power during sleep and awake/exploratory states. Fig. 3A-B shows examples (10 minutes) of EEG recordings during awake/exploratory or sleep periods and relative frequency abundances ( $\mu V^2$ ; 0-30 Hz). Data relative to  $CAR^{-/-}$  and WT mice (50 hours Video-EEG recordings each mouse; see Methods for details) are shown in Fig. 3C (means  $\pm$ SD). Note that the contribution of 3.5-7 Hz theta waves was decreased in  $CAR^{-/-}$  mice. Fig. 3D-D1 details the changes in theta activity in  $CAR^{-/-}$  mice observed during awake/exploratory and sleep stages. Data were obtained using the following cumulative EEG durations: 390 minutes WT awake/exploratory, 430 minutes WT sleep, 340 minutes  $CAR^{-/-}$  awake/exploratory and 310 minutes  $CAR^{-/-}$  sleep. All data are pulled together in Fig. 3E-E1. Changes in theta activity in  $CAR^{-/-}$  mice are consistent with memory deficits (Fig. 1; see also (Chauviere *et al.*, 2009)).



*Cerebrovascular and parenchymal cells changes in CAR<sup>-/-</sup> mice*

Loss of the xenobiotic receptor NR1I2 has been associated with altered barrier function in peripheral organs (Venkatesh *et al.*, 2014). We tested the hypothesis that, when CAR is deleted, congenital cerebrovascular changes are concomitant to the behavioral and EEG modifications. Cerebrovascular dysfunction underlies changes in neuronal activity in brain diseases as demonstrated in human and experimental models (Khandaker *et al.*, 2015; Marchi *et al.*, 2014). Using a transparent brain preparation and 2-photon reconstruction we found the presence of truncated penetrating cortical vessels in CAR<sup>-/-</sup> mice (Fig. 4A-A1 and Supplemental Movies 1 and 2). Sporadic and point form FITC-Dextran leakages (10KDa) were observed in CAR<sup>-/-</sup> mice (Fig. 4B4 and D). At the molecular level, we quantified the expression of the tight junctions ZO1 and CLDN5 proteins in brain homogenates (Fig. 4C) or in microvessels isolated from hippocampi and fronto-parietal cortices (Fig. 4E). While no significant changes in CLDN5 expression were observed, ZO1 levels were reduced in CAR<sup>-/-</sup> mice. Immunohistochemistry indicate distinct perivascular regions of discontinuous ZO1 lining the FITC<sup>+</sup> microvessels (Fig. 4D-D1) in CAR<sup>-/-</sup> mice.

Vascular modifications were accompanied with discreet changes in neuronal architecture. The latter included an increased fronto-parietal cortical NEUN density (Supplemental Fig. 2A-A1 and D-D3) and dispersion of hippocampal granule cells in CAR<sup>-/-</sup> mice (Supplemental Fig. 2B-C). In addition, staining with the neurotransmitter Neuropeptide Y (NPY) was increased in CA1 pyramidal interneurons (Supplemental Fig. 2E-F). Interestingly, changes in brain neuronal architecture were also reported in other NR deficient mice (Fan *et al.*, 2008). The latter is consistent with impaired neuronal functional (Thorsell *et al.*, 2006; Thorsell *et al.*, 2000).

We also found signs of GFAP<sup>+</sup> astrocytes and IBA<sup>+</sup> microglial cells morphological abnormalities in CAR<sup>-/-</sup> mice. In CAR<sup>-/-</sup> mice hippocampal astrocytes presented with dishomogenous morphology and irregular perivascular distribution as compared to WT where astrocytes were uniformly arranged (Fig. 5A-B). GFAP<sup>+</sup> cell rarefication was observed in CAR<sup>-/-</sup> mice. Quantification of total GFAP fluorescence indicated no significant changes between WT and CAR<sup>-/-</sup> mice (*data not shown*) (Wilhelmsson *et al.*, 2006). IBA<sup>+</sup> microglia displayed increased cell body size and a trend increase of microglial-microvascular contacts in CAR<sup>-/-</sup> mice (Fig. 5C, D and E). Interestingly, the total number of IBA<sup>+</sup> cells was decreased in CAR<sup>-/-</sup> as compared to WT. Analysis of microvessels using electron microscopy indicated localized micro-morphological changes consistent with signs of microvascular inflammation (Fig. 5F-G) in CAR<sup>-/-</sup> mice. Our results are in accordance with a role of NR in inflammatory vascular reactivity (Ogura *et al.*, 2012; Zhao and Bruemmer 2010; Zhao *et al.*, 2010).

#### *CAR<sup>-/-</sup> mice are susceptible to neurotoxins*

We then tested the hypothesis that morphological changes observed in CAR<sup>-/-</sup> mice are associated with increased susceptibility to systemically injected neurotoxins (kainic acid; KA). CAR<sup>-/-</sup> mice developed generalized *status epilepticus* (SE) more rapidly as compared to WT (Fig. 6A-B). CAR<sup>-/-</sup> mice also experienced longer SE episodes (Fig. 6C). Although only an indirect association can be hypothesized, a more severe seizure outcome could be sustained by the cellular changes described in Fig. 4-5 and Supplemental Fig. 1-2. Changes in vascular permeability may favor passage of systemically circulating neuro-toxins. The latter is in accordance with (Fan *et al.*, 2008; Hawk *et al.*, 2012; Kaur and Sodhi 2015; Kleefstra *et al.*,

2012; Tan *et al.*, 2012; Venkatesh *et al.*, 2014) and a role of NR in barrier homeostasis (Venkatesh *et al.*, 2014; Wang *et al.*, 2014).

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## Discussion

We report an unexplored role of the nuclear receptor CAR in brain pathophysiology. The phenotype associated with loss of CAR in mice endorses future studies on the cellular mechanisms underlying memory deficits and anxiety-like behavior in the absence of NR as well as the potential role of CAR during development. Interestingly, a recent clinical study identified an uncharacterized missense mutant of CAR in subjects affected by intellectual disabilities (Kleefstra *et al.*, 2012). However, significant differences exist between the human data and our experimental approach as in our study we used CAR knockout mice. CAR might have both genomic and non-genomic function, resulting in dissimilar functional outcomes.

Our results bear clinical significance as a number of xenobiotic and environmental toxins, including pesticides, modulate CAR activity (Banerjee *et al.*, 2015; Wei *et al.*, 2000). Activation or inhibition of CAR occurring during the gestation period could promote developmental changes impacting basal functions in the adult brain. We found signs of constitutive cerebrovascular barrier dysfunctions and parenchymal changes possibly reflecting homeostatic modifications (Wilhelmsson *et al.*, 2006). The latter is clinically relevant as the association between cerebrovascular permeability, the immune system and neuronal dysfunction is gaining momentum (Friedman 2011; Khandaker *et al.*, 2015; Marchi *et al.*, 2014). Thus, loss of cerebrovascular integrity impacts cognition, affection (Falcone *et al.*, 2015; Khandaker *et al.*, 2015), behavior and susceptibility to seizures (Friedman 2011; Khandaker *et al.*, 2015; Marchi *et al.*, 2014). Recent studies also indicated neuronal *maldevelopment* (Fan *et al.*, 2008), architecture and neuronal metabolism of

neurotransmitters in other NR deficient mice (Huang *et al.*, 2015; Tan *et al.*, 2012) including PXR, the CAR cognate xenobiotic receptor (Frye *et al.*, 2013; Zhou *et al.*, 2009).

### *CAR loss and behavioral-electroencephalographic changes in the adult brain*

We show agreement between behavioral results and EEG recordings, pointing to a link between loss of CAR and memory deficits. The decreased contribution of the theta component during sleep and awake/exploration is a neurophysiological substrate of memory impairment (Chauviere *et al.*, 2009). Lack of CAR was also associated with increased anxiety scores while no changes in locomotor activity were detected. Our results indicate a localized increased of hippocampal NPY in CAR<sup>-/-</sup> mice. Interestingly, increased NPY expression has been associated to impaired spatial learning in mice (Thorsell *et al.*, 2006; Thorsell *et al.*, 2000). The impact of CAR in brain development is further supported by initial data obtained using a cohort of adult WT mice treated with the specific CAR antagonist CINPA1 (Cherian *et al.*, 2015). Our results (Supplemental Table 1) indicate that CINPA1 did not recapitulate the EEG phenotype observed in CAR<sup>-/-</sup> mice. Thus, at dosage of 1 and 10mg/Kg CINPA1 did not provoke consistent changes in the EEG frequency spectra, particularly in the 3-7Hz range (see Supplemental Table 1). Video review showed that, at these dosages, CINPA1 did not alter the awake/sleep cycles and pattern, while 50 mg/Kg did. **The fact that CINPA1 in adult WT mice did not recapitulate the EEG phenotype observed in CAR<sup>-/-</sup> could reflect the difference between pharmacologic inhibition of CAR transcriptional activity and the genetic absence of the entire CAR protein or even developmental changes associated with the lack of this NR.**

The developmental role of CAR expression follows evidences obtained for other NR (Fan *et al.*, 2008; Hawk *et al.*, 2012; Tan *et al.*, 2012; Tan *et al.*, 2010). Our behavioral read-out shares similarities with other NR deficiencies, including ablation of X liver receptor (Tan *et al.*, 2012) and the NR4A (Hawk *et al.*, 2012). It was also suggested that PXR, the closest relative of CAR, plays a role in memory performance (Huang *et al.*, 2015; Kaur and Sodhi 2015). Changes in neuro-steroid levels were proposed as a mechanism contributing to behavioral changes *via* xenobiotic NR modulation (Frye *et al.*, 2013). The homeostasis of multiple neurotransmitters is altered in Farnesoid X Receptor deficient mice (Huang *et al.*, 2015). It remains to be investigated whether these mechanisms apply to loss of CAR.

#### *Do NRs control developmental barrier integrity?*

CAR is involved in a plethora of cellular functions extending beyond its classic role in controlling downstream metabolic enzymes (Yang and Wang 2014). Lack of the cognate CAR receptor PXR is associated with gastro-intestinal barrier permeability and reduced tight junction protein levels (Venkatesh *et al.*, 2014). This indirectly supports our results since peripheral and CNS barrier have overlapping molecular machinery controlling paracellular permeability. We report decreased microvascular ZO1 expression in CAR<sup>-/-</sup> mice. As reported for peripheral barriers (Venkatesh *et al.*, 2014), increase permeability could constitute a risk factors for xenotoxicity. Overwhelming experimental and clinical evidences indicate that loss of BBB-mediated CNS homeostasis is a recognized etiological factor in behavioral and seizure disorders (Falcone *et al.*, 2015; Khandaker *et al.*, 2015; Marchi *et al.*, 2012; Snyder *et al.*, 2015). Our results are in agreement with this evidence showing a rapid onset of status epilepticus following intra-peritoneal injection of a prototype pro-convulsant toxin in CAR<sup>-/-</sup> mice.

Nuclear receptors are also implicated in peripheral organ hypertrophy (Ross *et al.*, 2010), cell growth or differentiation, including tumors, (Chakraborty *et al.*, 2011) and barrier structure (Venkatesh *et al.*, 2014). Interestingly, altered radial glia architecture was reported in the absence of NR, which may explain an abnormal neuro-vascular development (Fan *et al.*, 2008; Tan *et al.*, 2010). Negative NR modulation could promote activation of pro-inflammatory pathways and a defective neurovascular homeostasis (Banerjee *et al.*, 2015; Venkatesh *et al.*, 2014; Wang *et al.*, 2014; Zhao and Bruemmer 2010; Zhou *et al.*, 2009). It remains to be elucidated whether these effects are linked to cerebrovascular permeability, neuronal dysfunction or both.

#### *Final remarks*

Accumulating evidence support a link between NR and inflammatory processes, including vascular inflammation (Ogura *et al.*, 2012; Venkatesh *et al.*, 2014; Zhao and Bruemmer 2010; Zhou *et al.*, 2009). Specific evidence connects nuclear receptors to endothelial cell proliferation (Zhao and Bruemmer 2010). Vascular inflammatory processes also include mechanisms of monocyte recruitment to the vascular wall under the control of NR (Zhao *et al.*, 2010). PXR was demonstrated to be sensitive to changes in flow and hemodynamics, impacting the expression of detoxification genes in vascular endothelial cells (Wang *et al.*, 2013). Mice deficient for PXR displayed over-expression of NF- $\kappa$ B target genes in multiple tissues accompanied by intestinal inflammation. NF- $\kappa$ B modulation impact NR activity and the expression of its target genes (Zhou *et al.*, 2009). A link between NR and pro-inflammatory cytokines was also reported. Finally, gene array data indicate increased genes linked to cell proliferation, including extracellular matrix, in the absence of CAR (Li *et al.*, 2015).

Our results introduce CAR among the xenobiotic nuclear receptors possibly involved in neuro-vascular pathology (Fan *et al.*, 2008; Frye *et al.*, 2013; Hawk *et al.*, 2012; Huang *et al.*, 2015; Kaur and Sodhi 2015; Litwa *et al.*, 2015; Tan *et al.*, 2012; Tan *et al.*, 2010). Behavioral and electrographic changes in CAR<sup>-/-</sup> mice may be sustained by constitutive neuro-vascular defects. The exact cellular pathological pathways involved in the behavioral and morphological changes observed CAR<sup>-/-</sup> mice remains to be fully investigated.

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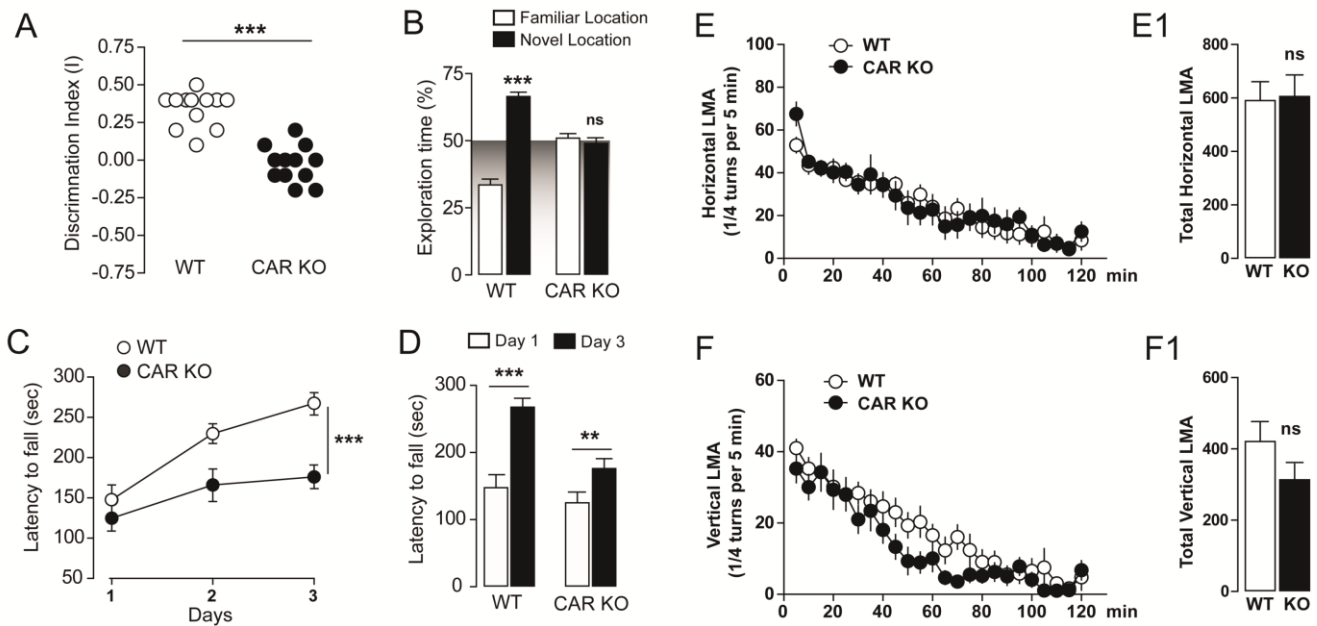


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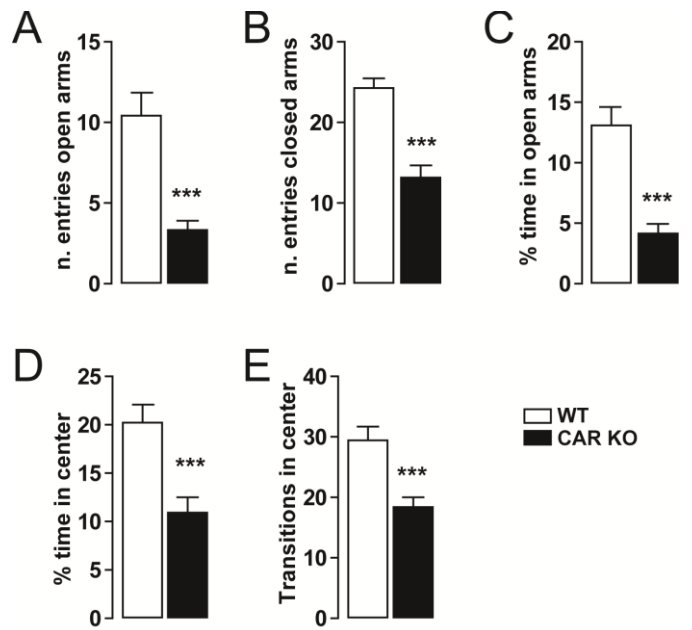
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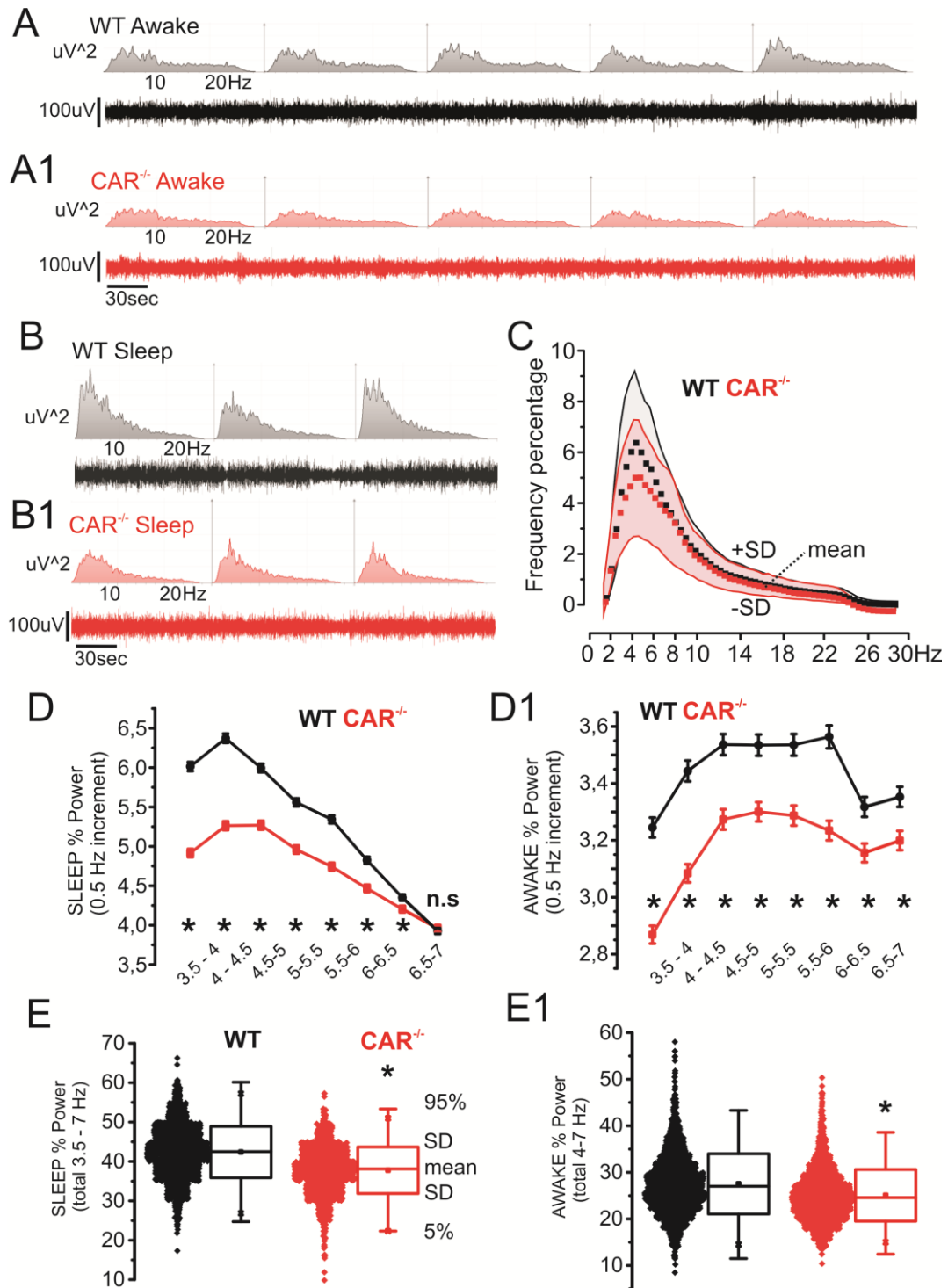


**Figure 1. Memory and motor functions in  $CAR^{-/-}$  mice.** **A)** Discrimination index in  $CAR^{-/-}$  mice (spatial object recognition test). Data (means  $\pm$  SEM) were analyzed using one-way ANOVA:  $F_{(2, 35)} = 20.85$ , \*\*\*  $p < 0.001$ . **B)** Time of exploration of the novel location in  $CAR^{-/-}$  mice. Data were analyzed using two-way ANOVA: (Object exploration  $\times$  Genotype:  $F_{(2, 66)} = 36.77$ ,  $P < 0.0001$ ). Specific comparisons: \*\*\*  $p < 0.001$  (WT-new  $vs$  WT-familiar). **C)** Coordination and motor learning over a training period of 3 days in  $CAR^{-/-}$  mice (rotarod). Data were analyzed using two-way ANOVA (Time  $\times$  Genotype:  $F_{(4, 66)} = 1.93$ ,  $P = 0.11$ ; Time:  $F_{(2, 66)} = 25.67$ ,  $P < 0.0001$ ; Genotype:  $F_{(2, 66)} = 15.63$ ,  $P < 0.0001$ ). Specific comparisons: \*\*\*  $p < 0.001$  (CAR KO-Day3  $vs$  WT-Day3). **D)** Specific comparison of motor learning in WT ( $n = 12$ ) and CAR KO ( $n = 12$ ) at Day3. Data were analyzed using within t-test. Specific comparisons: \*\*\*  $p < 0.001$  (WT-Day3  $vs$  WT-Day1) and \*\*  $p < 0.01$  (CAR KO-Day3  $vs$  CAR KO-Day1). **E-F)** Spontaneous horizontal and vertical (rearing) locomotor activity in  $CAR^{-/-}$  mice (novel non-stressful environment). Data were analyzed using two-way ANOVA: (Time  $\times$  Genotype:  $F_{(46, 528)} = 0.79$ ,  $P = 0.83$ ; Time:  $F_{(23, 528)} = 20.01$ ,  $P < 0.0001$ ; Genotype:  $F_{(2, 528)} = 33.89$ ,  $P < 0.0001$ ) (E). Data were analyzed using two-way ANOVA: (Time  $\times$  Genotype:  $F_{(46, 528)} = 0.76$ ,  $P = 0.88$ ; Time:  $F_{(23, 528)} = 34.64$ ,  $P < 0.0001$ ; Genotype:  $F_{(2, 528)} = 18.94$ ,  $P < 0.0001$ ) (F). (E1 and F1) Histograms show cumulative activities. Student's t-test:  $p > 0.05$ , ns.



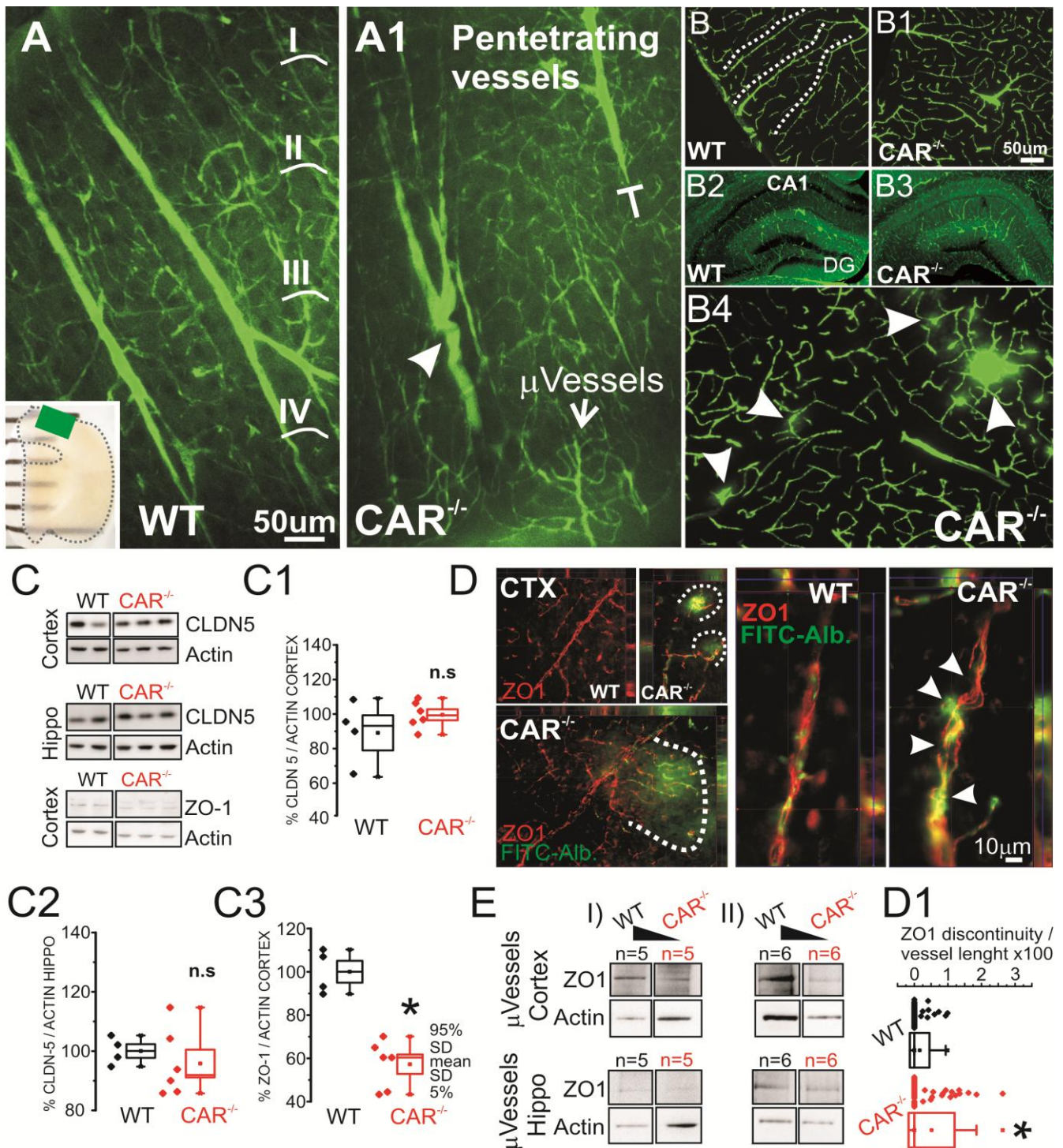
**Figure 2 – Anxiety-like behavior in CAR deficient mice.** (A-B) Histograms indicate the number of entries of CAR KO (n = 12) and WT mice (n = 12) in the open and closed arms of the EPM. (C) Histograms show the percentage of time CAR KO (n = 12) and WT mice (n = 12) spent in the open arms. (D) Histograms show the percentage of time CAR KO (n = 12) and WT mice (n = 12) spent in the center zone of the open field. (E) Histograms indicate the number of transitions CAR KO (n = 12) and WT mice (n = 12) made in the center zone of the open field. All data (means  $\pm$  SEM) were analyzed using Student's t-test: \*\*\* p < 0.001.

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**Figure 3. Decreased theta EEG activity in CAR<sup>-/-</sup> mice.** A-B) Examples of EEG and correspondent spectrogram (WT and CAR<sup>-/-</sup>, awake/exploration and sleep; 0-30Hz). C) Relative power (percentage; n=4 mice / group) show a decrease in the 3.5-7 hertz range (red = CAR<sup>-/-</sup>, grey = WT; data points and shadows indicate mean and +/-SD respectively). D-D1) detailed frequency analysis (0.5 Hz increment) relative to sleep and awake/exploration stages. E-E1) Representation of D-D1 data accumulation (see Methods for details).



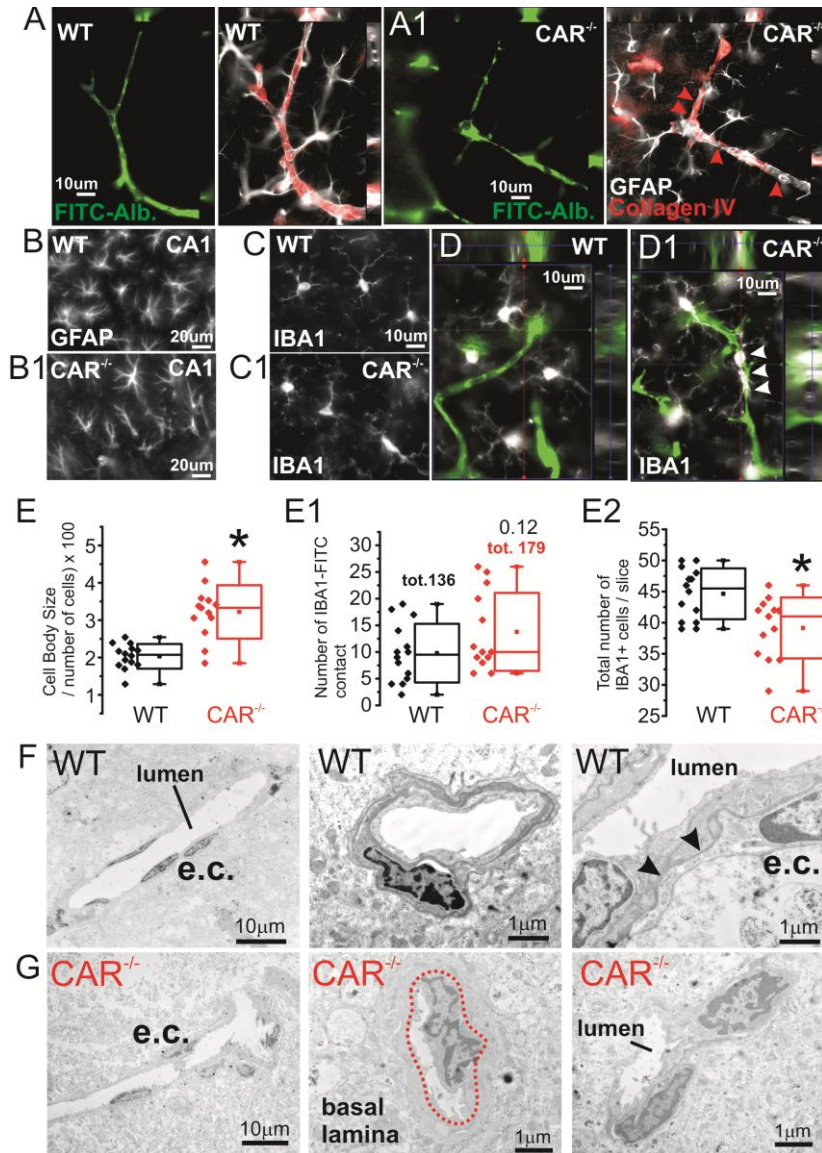


**Figure 4. Cerebrovascular morphology and tight junction expression in CAR<sup>-/-</sup> mice.** A-A1) 2-photon images obtained from SeeDB transparent brain preparations (ROI in the insert). Truncated penetrating cortical vessels (corresponding to layers I-IV) were found in CAR<sup>-/-</sup> as compared to WT mice (*t-shape* line and *arrowhead*). See Supplemental Movies 1 and 2. B-B1) Differential patterns of FITC<sup>+</sup> penetrating (*dotted* lines) in WT vs CAR<sup>-/-</sup> mice. B2-B3) At the macroscopic level hippocampal microvessel anatomy was marginally affected. See Supplemental Figure 2 for quantifications. B4) Example of localized microvascular permeability visualized using FITC leakages (*arrows*). C-C3) Decreased ZO1 expression and not CLDN-5 in total brain tissues. D) Immunohistochemistry displaying FITC-albumin leakages (*dotted* lines) consistent with decreased tight junction levels. An example of discontinuous ZO1 microvascular signal is provided (*arrowheads*). D1) Quantification of ZO1 discontinuity on FITC-Albumin microvessels. Each data point refers to one vessel. See methods for details. E) ZO1 decreased in isolated hippocampal and cortical brain microvessels of CAR<sup>-/-</sup> mice. Inserts i) and ii) refers to two separate experiments where a total of n=10 and n=12 mice were used. N refers to the number of mice that were pulled together obtaining one corresponding WB band.

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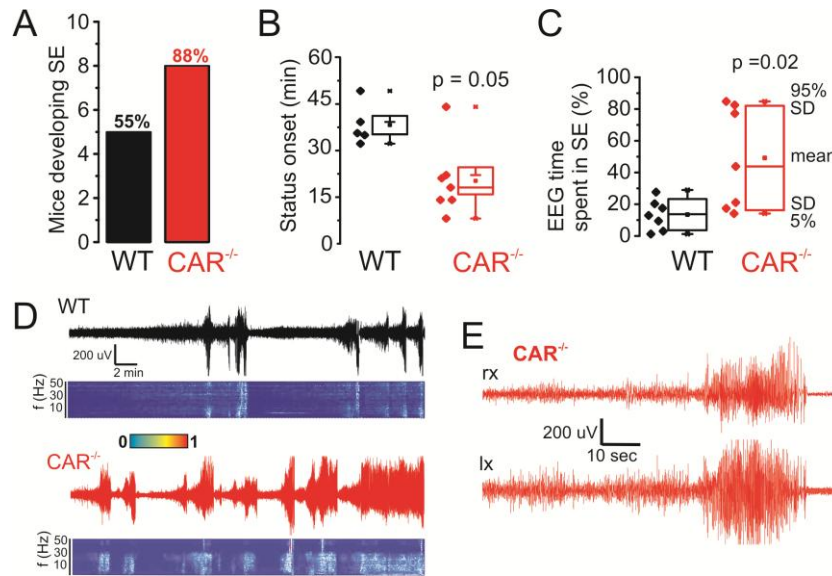
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**Figure 5. Signs of microvascular and parenchymal inflammation in CAR<sup>-/-</sup> mice.** **A-A1)** Collagen IV immunoreactivity and astrocyte end-feet consistently define the FITC-Albumin microvessels in WT and not in CAR<sup>-/-</sup> mice. **B-B1)** Signs of inflammation included hippocampal GFAP morphological changes and **C-D)** presence of perivascular (white arrowheads) IBA<sup>+</sup> cells. **E-E1)** Quantification indicates increase in cell body size, a trend increase in the number of IBA1<sup>+</sup> ramifications lining the microvessels but a decrease in the number of IBA1<sup>+</sup> cells. The total number of microglial cells was diminished. **F-G)** Microvascular changes in CAR<sup>-/-</sup> mice also included: i) dishomogenous cellular (e.c.) distribution around the lumen, ii) basal lamina remodeling and iii) irregular cell-to-cell contact.





**Figure 6.  $CAR^{-/-}$  are more susceptible to systemic KA as compared to WT.** A-C)  $CAR^{-/-}$  mice rapidly developed status epilepticus (SE) after i.p. KA. Video-EEG confirmed  $CAR^{-/-}$  mice susceptibility to KA as indicated by increased EEG % time spent in SE (see methods). D-E) Examples (SE) of EEG and time-joint frequency analysis observed WT and  $CAR^{-/-}$  mice.

## Highlights

- 1) **Lack** of the nuclear receptor CAR impacts adulthood neuronal functions *in vivo*
- 2) Lingering cerebrovascular pathology **could reflect** behavioral and electroencephalographic changes observed in the absence of CAR
- 3) **The involvement of nuclear receptors in neuro-vascular development and a link to toxin exposure and inflammatory processes is proposed.**
- 4) **Nuclear receptors may represent a mechanistic entry point for chronic or neurodegenerative diseases as well as anxiety, schizophrenia and addiction.**