

# Predictive Models of Biohydrogen and Biomethane Production Based on the Compositional and Structural Features of Lignocellulosic Materials

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1 2	Predictive models of biohydrogen and biomethane production based on the compositional and structural features of lignocellulosic materials										
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16	fermentation, crystallinity										

17

#### 18 Abstract:

19 In an integrated biorefinery concept, biological hydrogen and methane production from lignocellulosic substrates appears to be one of the most promising alternatives to produce 20 energy from renewable sources. However lignocellulosic substrates present compositional and 21 structural features that can limit their conversion into biohydrogen and methane. In this study, 22 biohydrogen and methane potentials of twenty lignocellulosic residues were evaluated. 23 Compositional (lignin, cellulose, hemicelluloses, total uronic acids, proteins and soluble 24 sugars) as well as structural features (crystallinity) were determined for each substrate. Two 25 26 predictive Partial Least Square (PLS) models were built to determine which compositional and structural parameters affected biohydrogen or methane production from lignocellulosic 27 substrates, among proteins, total uronic acids, soluble sugars, crystalline cellulose, amorphous 28 holocelluloses and lignin. Only soluble sugars had a significant positive effect on biohydrogen 29

30 production. Besides, methane potentials correlated negatively to the lignin contents. In a 31 lower extent, crystalline cellulose showed also a negative impact on methane potentials. In 32 contrast, soluble sugars, proteins and amorphous hemicelluloses increased the methane 33 production. These findings will help to develop further pretreatment strategies for enhancing 34 both biohydrogen and methane production.

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# **36 Graphical abstract:**

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# 42 **Introduction:**

43 Development of new technologies for renewable energy generation such as biohydrogen and biomethane from lignocellulosic materials in a concept of integrated biorefinery appears as a 44 very promising alternative to fossil fuels <sup>1,2</sup>. Worldwide, the lignocellulosic biomass was 45 evaluated about 200 billion tons annually<sup>3</sup>. The use of lignocellulosic biomass, and more 46 particularly agricultural residues as bioenergy sources is interesting because of (i) its 47 renewability, (ii) it provides additional incomes to farmers, (iii) it uses the non edible part 48 (stalks, leaves) of the plants and thus does not enter in competition with food and (iv) it permits 49 to treat residues which are often burnt in the field creating environmental pollution  $^{3,4}$ . 50

Methane is produced by a biological process in four steps (hydrolysis, acidogenesis, acetogenesis 51 and methanogenesis) so-called anaerobic digestion. Biohydrogen is produced by dark 52 fermentation which consists of an intermediate stage of anaerobic digestion where the last step of 53 54 methanogenesis does not occur. Biohydrogen and methane can be produced using undefined mixed microbial cultures <sup>2,5</sup>. Mixed cultures are easier to use than pure cultures as they do not 55 require aseptic conditions and can convert a large range of feedstocks into biohydrogen or 56 methane <sup>6,7</sup>. Nevertheless, in the case of biohydrogen production with mixed cultures, it is 57 necessary to apply heat shock or chemical pretreatments in order to block the conversion of 58 acetate or hydrogen and carbon dioxide into methane<sup>5</sup>. 59

Lignocellulosic substrates are composed of three main fractions: lignin, cellulose and hemicelluloses. Contrary to lignin, the holocelluloses, ie cellulose and hemicelluloses, can be converted into biohydrogen and methane <sup>2</sup>. Nevertheless, lignocellulosic substrates present structural features that limit the accessibility of holocelluloses to microorganisms and thus their conversion to biohydrogen or methane.

65 Only few studies have attempted to give some insights on the effect of compositional and 66 structural features of lignocellulosic substrates on biohydrogen and methane production <sup>8-12</sup>. The 67 correlations found in the literature between the composition of lignocellulosic residues and68 biohydrogen or methane production are summarized in Table 1.

For hydrogen production, Guo et al., (2012) recently showed a good correlation ( $R^2 = 0.89$ ) 69 between biohydrogen yields and soluble carbohydrates extracted under mild acidic conditions (2 70 N hydrochloric acid) <sup>12</sup>. The main bottleneck of using lignocellulosic biomass in dark 71 fermentation processes is to convert holocelluloses into fermentable sugars <sup>13</sup>. Recently, Yuan et 72 al. (2011) showed that hydrogen production from wheat straw was well correlated with the 73 74 degradation of cellulose and hemicelluloses into fermentable sugars <sup>14</sup>. However, knowledge about the effect of compositional and structural features on biohydrogen potentials remains very 75 limited. 76

According to Gunaseelan (2007), methane potentials can be predicted from five main chemical 77 constituents (total soluble carbohydrate, acid detergent fibers (ADF), lignin/ADF, nitrogen and 78 ash) which accounted for 90% of the total variation in methane potentials ( $R^2=0.90$ )<sup>8</sup>. Negative 79 80 correlations were also found between lignin contents and biochemical methane potentials for manure and energy crops ( $R^2=0.88$ )<sup>11</sup>. Similarly, Buffiere et al. (2006) showed a negative 81 correlation between anaerobic biodegradability and the sum of cellulose and lignin contents <sup>10</sup>. In 82 contrast, Eleazer et al. (1997) reported that methane potentials from several municipal solid 83 wastes correlated positively to the sum of cellulose and hemicelluloses contents <sup>15</sup>. In all these 84 studies, lignin seemed to be the main restrictive factor for methane production, likely by limiting 85 the microbial accessibility to holocelluloses during the fermentative process <sup>2,16</sup>. Overall, the 86 effect of other compositional features, especially cellulose, is still not clear and sometimes 87 contradictory between the different studies. 88

Except models established by Gunasselan (2007 and 2009), all models previously described were built with only one or two compositional characteristics <sup>8,9</sup>. Moreover, only compositional features have been considered and the effect of structural characteristics such as cellulose

92 crystallinity have not been investigated yet. Indeed, cellulose presents both crystalline and
93 amorphous parts and the crystalline one prevents cell penetration by micro-organisms or
94 extracellular enzymes <sup>17</sup>. Other compositional characteristics such as the presence of pectin
95 (polymer of uronic acids) have not been considered in models. Recently, Pakarinen et al. (2012)
96 showed that pectin removal can significantly increase enzymatic hydrolysis of lignocellulosic
97 substrates <sup>18</sup>.

Information about the influence of compositional and structural features on fermentative processes is thus limited especially for biohydrogen production, and sometimes results are contradictory. So the determination of compositional (lignin, holocelluloses, uronic acids and soluble fractions) and structural (crystallinity of cellulose) characteristics appears essential to understand the limitation of lignocellulosic material conversion into biohydrogen or methane. Moreover, this study can be valuable to obtain guidelines for establishing further pretreatment strategies to improve biohydrogen and methane production from lignocellulosic residues.

The objectives of this study were: (1) to characterize the compositional (cellulose, hemicelluloses, lignin, uronic acids, proteins, soluble carbohydrates) and structural features (crystallinity of cellulose) of various lignocellulosic substrates, (2) to evaluate their biohydrogen and methane potentials and (3) to develop multilinear PLS models for predicting biohydrogen and methane potentials from their compositional and structural features. 110

# 111 2. Materials and methods

#### 112 2.1. Lignocellulosic materials

113 The substrates used in this study were selected among various lignocellulosic residues, biomass crops and carbohydrate-rich substrates, but no lipid-rich substrate was considered. They 114 corresponded to rice straw, giant reed (stalks and leaves), three varieties of sunflower stalks (1, 2, 115 and 3), sunflower bark, sunflower oil cakes, maize (stalks, leaves and cobs), Jerusalem artichoke 116 (stalks, leaves and tubers), and six varieties of sorghum (1: seed sorghum stalks, 2: biomass 117 sorghum, 4: forage sorghum, 3,5, and 6: sweet sorghum). All substrates were milled into particles of 118 2 mm using a cutting milling Restch, SM 100. The substrates were analyzed for Total Solids (TS) 119 and Volatile Solids (VS) (Table 1) according to the APHA standard method<sup>19</sup>. 120

#### 121 **2.2.** Chemical composition

Soluble sugars (glucose and fructose) from starch, sucrose and inulin were extracted using a mild 122 acid hydrolysis method <sup>20</sup>. Samples (200 mg) were hydrolyzed at 121°C, for 1h, with 0.2% H<sub>2</sub>SO<sub>4</sub>. 123 The supernatant was filtrated with nylon filters (20 µm) and released carbohydrates (glucose and 124 fructose) were quantified by High-Pressure Liquid Chromatography (HPLC) method coupled to 125 refractometric detection. The analysis was done with a combined Water/Dionex system (Ultimate 126 3000), using a Biorad HPX-87P column at 85°C. The eluent corresponded to deionized water under 127 a flow rate of 0.6 mL min<sup>-1</sup>. The system was calibrated with glucose and fructose standards (Sigma-128 Aldrich<sup>®</sup>). 129

130 Structural-carbohydrates (glucose, xylose, arabinose, uronic acids) from cellulose, hemicelluloses 131 and pectins were measured using a strong acid hydrolysis method adapted from Effland et al. 132 (1977) <sup>21</sup>. Samples (200 mg) were first hydrolyzed with 12 M H<sub>2</sub>SO<sub>4</sub> acid for 2 h at room 133 temperature, then diluted to reach a final acid concentration of 1.5 M and kept at 100°C for 3 h. The

insoluble residue was separated from the supernatant by filtration on fibreglass paper (GFF, 134 135 WHATMAN). This insoluble residue was washed with 50 mL of deionized water and then placed in a crucible. The crucible and the paper fibreglass were dried at 100°C during 24 h to determine by 136 weighing the amount of Klason lignin. The supernatant was further filtrated with nylon filters (20 137 µm) and analyzed for quantification of monomeric carbohydrates. All monosaccharides (glucose, 138 xylose, arabinose, uronic acids) were analyzed by HPLC coupled to refractometric detection. The 139 analysis was carried out with a combined Water/Dionex system (Ultimate 3000), using a Biorad 140 HPX-87H column at 50°C. The eluent corresponded to 0.005 M H<sub>2</sub>SO<sub>4</sub> under a flow rate of 0.3 mL 141 min<sup>-1</sup>. A refractive index detector (Waters 2414) was used to quantify the carbohydrates. The 142 system was calibrated with glucose, xylose, arabinose, and uronic acids (galacturonic and 143 glucuronic) standards (Sigma-Aldrich®). Thereafter, cellulose and hemicelluloses contents were 144 estimated as follows (equation 1 and 2): 145

where 1.11 is the conversion factor for glucose-based polymers (glucose) to monomers and 1.13 is
the conversion factor for xylose-based polymers (arabinose and xylose) to monomers according to
Petersson et al. (2007) <sup>22</sup>.

151

# 152 **2.3.** Crystallinity measurement assessment

Fourier Transform Infrared Spectroscopy (FTIR) spectroscopy was used to determine the crystallinity of lignocellulosic materials. FTIR spectra were collected in the 4000–600 cm<sup>-1</sup> range using a Nexus 5700 spectrometer (ThermoElectron Corp.) with built-in diamond ATR single reflection crystal and with a cooled MCT detector. Spectra were recorded in absorption mode at 4 cm<sup>-1</sup> intervals with 64 scans, at room temperature. Three spectra were recorded for each sample and all spectra pre-treatments were analyzed using Omnic v7.3.software. Among the different FTIR bands, the bands at 1430 and 898 cm<sup>-1</sup> are sensitive to the amount of crystalline cellulose and amorphous cellulose respectively <sup>23</sup>. The bands ratio H 1430/ H 898 commonly called Lateral Order Indice (LOI) can be used to determine the amount of crystalline cellulose. Using equations 3 and 4, the crystalline cellulose content was estimated (equation 5).

166

164	Cellulose = Crystalline cellulose + Amorphous cellulose	(3)
165	LOI = Crystalline cellulose / Amorphous cellulose	(4)

167 Where  $CrI_{IR} = LOI / (1+LOI)$ 

Crystalline cellulose (IR) = Cellulose x  $CrI_{IR}$ 

168 To validate the use of FT-IR spectra to assess cellulose crystallinity, crystallinity was also determined by a more common technology, as X-ray diffraction, on eight lignocellulosic substrates 169 (giant reed stalks, sunflower stalks 1, maize stalks, rice straw, sorghum 1, Jerusalem artichoke 170 stalks, maize cobs and sunflower oil cakes). X-ray measurements were performed in a Philips 171 Analytical X-diffractometer, using Cu Ka radiation at k = 0.1540 nm (40 kV, 40 mA). The 172 measurements were carried out on powder compacted to small mats. DRX data were collected at  $2\theta$ 173 angle range from  $5^{\circ}$  to  $50^{\circ}$  with a step interval of  $0.02^{\circ}$ . The degree of crystallinity was expressed 174 as a percentage of crystallinity index (% CrI). The equation used to calculate the CrI was previously 175 described by Segal et al. (1959) in the following form<sup>24</sup>: 176

177 
$$\operatorname{CrI}_{DRX} = (I_{002} - I_{am})/I_{002})*100$$
 (6)

where  $I_{002}$  corresponds to the counter reading at peak intensity at a 2  $\theta$  angle of 22° and  $I_{am}$  the counter reading at peak intensity at 2 $\theta$  angle of 16° in cellulose.  $I_{002}$ .  $I_{am}$  corresponds to the intensity of the crystalline peak and  $I_{002}$  is the total intensity after subtraction of the background signal measured without cellulose <sup>25</sup>. Crystalline cellulose was the determined using the equation 7:

(5)

182 Crystalline cellulose (DRX) = Cellulose x  $CrI_{DRX}$ 

A good correlation ( $R^2 = 0.93$ ) was found between crystalline cellulose values determined by DRX 183 and FTIR (Figure 1). However, the amounts of crystalline cellulose determined by FTIR were 184 higher than DRX, likely because CrI<sub>IR</sub> measurements corresponded only to approximated values. 185 Indeed, although 1430 and 898 cm<sup>-1</sup> bands are sensitive to the amount of crystalline cellulose and 186 amorphous cellulose, respectively, and each band contains contributions from both crystalline and 187 amorphous regions. Therefore, FTIR measurements must be considered as relative values and the 188 FTIR method was only used to compare crystalline cellulose contents from different lignocellulosic 189 materials. 190

191

#### 192 **2.4. Biohydrogen and methane production**

#### 193 **2.4.1 BioHydrogen Potential (BHP)**

BHP experiments were carried out in batch mode at 37°C. The volume of each flask was 600 mL, 194 with a working volume of 400 mL. A quantity of 3.5 g VS of substrate was initially introduced in 195 196 each flask. Then, 200 mL of MES (2-[N-morpholino] ethane sulfonic acid, 50 mmol.L<sup>-1</sup>) buffer and 3 mL of seed sludge of an anaerobic digester (as inoculum) (final concentration of 225 mg-COD.L<sup>-</sup> 197 <sup>1</sup>) were added to the flask. The inoculum was first treated at 90°C for 15 minutes to inhibit the 198 199 activity of methanogens and enrich in hydrogen producing bacteria. No additional nutrient medium solution was added. The initial pH value was adjusted to 5.5 with NaOH 2 N or 37 % HCl. The 200 headspace of the flasks was flushed with nitrogen gas to reach anaerobic conditions. The 201 experimental procedure ended when the pressure in the flask headspace started to drop off 202 indicating hydrogen consumption. Each experiment was performed in duplicates. 203

204

#### 205 **2.4.2 Biochemical Methane Potential (BMP)**

Lignocellulosic substrates were digested anaerobically in batch anaerobic flasks at 35°C during 40 206 days. The volume of each flask was 600 mL, with a working volume of 400 mL. Each flask 207 contained: macroelements (NH<sub>4</sub>Cl, 26 g.L<sup>-1</sup>; KH<sub>2</sub>PO<sub>4</sub>, 10 g.L<sup>-1</sup>; MgCl<sub>2</sub>, 6 g.L<sup>-1</sup>; CaCl<sub>2</sub>, 3 g.L<sup>-1</sup>), 208 oligoelements (FeCl<sub>2</sub>, 2 g.L<sup>-1</sup>; CoCl<sub>2</sub>, 0.5 g.L<sup>-1</sup>; MnCl<sub>2</sub>, 0.1 g.L<sup>-1</sup>; NiCl<sub>2</sub>, 0.1 g.L<sup>-1</sup>; ZnCl<sub>2</sub>, 0.05 g.L<sup>-1</sup>; 209 H<sub>3</sub>BO<sub>3</sub>, 0.05 g.L<sup>-1</sup>; Na<sub>2</sub>SeO<sub>3</sub>, 0.05 g.L<sup>-1</sup>; CuCl<sub>2</sub>, 0.04 g.L<sup>-1</sup>; Na<sub>2</sub>MoO<sub>4</sub>, 0.01 g.L<sup>-1</sup>), bicarbonate 210 buffer (NaHCO<sub>3</sub>, 50 g.L<sup>-1</sup>), an anaerobic sludge at 5 g VS.L<sup>-1</sup> and the substrate at 5 g TS.L<sup>-1</sup>. Once 211 the flasks were prepared, a degasification step with nitrogen gas was carried out to obtain anaerobic 212 conditions. The bottles were closed with air impermeable red butyl rubber septum-type stoppers. 213 Bottles were incubated at 35°C and each experiment was carried out in duplicates. 214

#### 215 **2.4.3 Gas analysis**

216 Biogas volume was monitored continuously with a water displacement method. Acidified water (pH =2) was used to minimize dissolution of carbon dioxide. All volumes were expressed under 217 temperature and pressure standard conditions. The gas composition (O<sub>2</sub>, CO<sub>2</sub>, CH<sub>4</sub>, H<sub>2</sub> and N<sub>2</sub>) was 218 analysed using a gas chromatograph (Clarus 580, Perkin Elmer) equipped with two columns, a 219 molecular sieve (Molsieve, 5Å) and a thermal conductivity detector (TCD). One column 220 (RtMolsieve) was used to separate H<sub>2</sub>, O<sub>2</sub>, N<sub>2</sub> and CH<sub>4</sub>, and the second one (RtQBond) was used to 221 separate CO<sub>2</sub> from other gases. The calibration was carried out with a standard gas (Linde <sup>TM</sup>) 222 composed of 25 % CO<sub>2</sub>, 2 % O<sub>2</sub>, 10 % N<sub>2</sub> and 5 % H<sub>2</sub> and 58 % CH<sub>4</sub>. 223

224

# 225 2.5 Partial least square regression

PLS (Partial Least Square) models were developed using Unscrambler Version 10.2 software (CAMO software, A/S, Oslo, Norway). This method is particularly adapted for data with highly correlated variables. PLS models were used in full cross validation so-called leave-one-out cross validation procedure. This is a model validation method in which one sample is left out iteratively, a new calibration model is built, and then the sample that was left out is predicted using this model <sup>26</sup>. The iteration is continued until all samples are left once out of the calibration set. The prediction performances of the models were evaluated by calculating the coefficient of determination ( $R^2$ ) and the root mean square error of the calibration data set (RMSEPc). High  $R^2$  and low RMSEPc values indicate a good predictive robustness of the model. PLS models built were then tested on an independent set, and the root mean square error of independent validation set (RMSEPiv) was calculated to define the quality of the model. The RMSEP was defined as follow:

237 
$$RMSEP = \sqrt{\frac{\sum_{1}^{n} (\widehat{y}i - yi)^{2}}{n}}$$
(8)

where:  $\hat{yt}$  is the prediction value of the sample i in a calibration data set (or independent validation set); yi, is the measured BHP or BMP value of the sample i in a calibration data set (or in a independent validation set) and n is the number of samples in calibration data set (or independent validation set).

242

#### 243 **3. Results and discussion**

# 244 3.1 Compositional and structural characteristics of the lignocellulosic substrates

Soluble sugars (SolSu), uronic acids (Ua), proteins (Pro), hemicelluloses (Hem), cellulose (Cell), 245 and lignin (Lig) contents of twenty lignocellulosic substrates are presented in Table 2, in % of TS. 246 Soluble sugars (non structural carbohydrates like starch, sucrose and inulin) were mainly present in 247 sorghum substrates (ranging from 8.2 to 22.8 %, except for sorghum 1). Gunaseelan (2007) noticed 248 as well a high content of soluble carbohydrates up to 23 % of VS in sorghum bicolour roots <sup>8</sup>. 249 According to Thuesombat et al. (2007), Jerusalem artichoke presents 70-90% of inulin (linear poly-250 fructose chain) which explains the high values of soluble sugars found in Jerusalem artichoke stalks 251 and tubers, ie 32.9 % and 59.1 % per TS respectively <sup>27</sup>. Proteins content ranged from 2.3 % 252

(sunflower stalk 2) to 29.7 % (sunflower oil cakes). This result is consistent with Raposo et al., 253 (2008) who evaluated a protein content of 31 % per TS in sunflower oil cakes <sup>28</sup>. Uronic acids 254 (galacturonic and glucuronic) which originated from both hemicelluloses and pectins were also 255 256 quantified. Uronic acids contents ranged from 0.2 % (giant reed and Jerusalem artichoke stalks) to 7 % (sunflower stalks 1). Concerning the holocelluloses fraction, hemicelluloses content ranged from 257 5 % (Jerusalem artichoke tubers) to 34.6 % (maize cobs) and cellulose contents ranged from 5.4 % 258 (Jerusalem artichoke bulbs) to 33.1 % (giant reed stalks). Crystalline cellulose and amorphous 259 holocelluloses expressed in % TS using FTIR spectra are presented in Table 2. The crystalline 260 cellulose content ranged from 2.5 % for Jerusalem artichoke bulbs to 16.3 % for giant reed stalks. 261 The content of amorphous holocelluloses, which is the sum of amorphous cellulose and 262 hemicelluloses, ranged from 7.5 % (Jerusalem artichoke tubers) to 50.3 % (maize cobs). Finally, 263 lignin content ranged from 12.3 % (Jerusalem artichoke tubers) to 35 % (sunflower stalks bark). 264 265 Moreover on a same plant, lignin content was found higher in stalks than in leaves, except for giant reeds that presented almost similar lignin contents. Similar trends were observed with 14.1 % and 266 18.4 % of lignin for wheat straw leaves and stalks, respectively <sup>29</sup>. The range of the variables values 267 (% per TS) is relatively high to permit to screen a wide range of compositional and structural 268 features (Table 2). 269

270

# 3.2 Biological hydrogen potential (BHP) and biological methane potential (BMP) tests

Hydrogen and methane potentials of lignocellulosic substrates are presented in Figure 2. Biohydrogen potentials ranged from 1.6 ( $\pm$  0.1) mL H<sub>2</sub> g<sup>-1</sup>TS (sunflower stalk bark) to 120 ( $\pm$  11) mL H<sub>2</sub> g<sup>-1</sup>TS (Jerusalem artichoke tubers). Similarly to Jerusalem artichoke tubers, Jerusalem artichoke stalks presented an interesting biohydrogen potential as 62 ( $\pm$  6) mL H<sub>2</sub> g<sup>-1</sup> TS were produced. Except for sorghum 1, high sorghum hydrogen potentials from 23 ( $\pm$  1) mL H<sub>2</sub> g<sup>-1</sup> TS to 64 ( $\pm$  14) mL H<sub>2</sub> g<sup>-1</sup> TS were observed for sorghum substrates. Similar hydrogen yields were reported on sweet sorghum stalks with 52 mL H<sub>2</sub> g<sup>-1</sup> VS <sup>30</sup>. Sunflower stalks were found to produce low hydrogen potentials: 1.8 (± 0.9), 2.1 (± 0.7) and 2.5 (± 0.5) mL H<sub>2</sub> g<sup>-1</sup> TS for sunflower stalks numbers 3, 2, and 1, respectively. With similar lignocellulosic residues, slightly lower hydrogen yields of 1 mL H<sub>2</sub> g<sup>-1</sup> VS and 3.16 mL H<sub>2</sub> g<sup>-1</sup> VS were observed for wheat straw and cornstalks, respectively <sup>31,32</sup>.

In addition, methane potentials ranged from 155 ( $\pm$  2) mL CH<sub>4</sub> g<sup>-1</sup> TS (sunflower stalks bark) to 300 283 (± 14) mL CH<sub>4</sub> g<sup>-1</sup> TS (Jerusalem artichoke tubers). Such results are in agreement with literature 284 data as Dinuccio et al. (2010) found methane potentials of 317 mL CH<sub>4</sub> g<sup>-1</sup>VS for maize residues, 285 229 mL CH<sub>4</sub> g<sup>-1</sup>VS for barley straw and 195 mL CH<sub>4</sub> g<sup>-1</sup> VS for rice straw <sup>33</sup>. Besides Jerusalem 286 artichoke tubers, interesting methane production of 230 ( $\pm$  18) and 260 ( $\pm$  4) mL CH<sub>4</sub> g<sup>-1</sup> TS were 287 observed for Jerusalem artichoke stalks and leaves, respectively. All sorghum substrates present 288 methane potentials higher than 210 ( $\pm$  33) mL CH<sub>4</sub> g<sup>-1</sup> TS. Maize leaves and sunflower oil cakes 289 also led to good methane potentials with respectively 235 ( $\pm$  3) and 244 ( $\pm$  9) mL CH<sub>4</sub> g<sup>-1</sup>TS. Low 290 methane potentials were observed for the different varieties of sunflower stalks as 167 ( $\pm$  27), 172 291  $(\pm 5)$ , and 175  $(\pm 9)$  mL CH<sub>4</sub> g<sup>-1</sup>TS for sunflower stalks numbers 3, 1, and 2, respectively. 292 293 Moreover, on a same plant, the leaves appeared to have higher methane potentials than stalks. As an example, methane potentials of 170 ( $\pm$  22) and 210 ( $\pm$  13) mL CH<sub>4</sub> g<sup>-1</sup> TS were respectively 294 295 observed for giant reed stalks and leaves, respectively. Overall, all results were lower than 480 mL CH<sub>4</sub> / kg TS which is the theoretical methane potential of lignocellulosic substrates as proposed by 296 Frigon and Guiot (2010)<sup>34</sup>. Some biodegradable parts are indeed not accessible during anaerobic 297 298 digestion of lignocellulosic substrates likely due to the compositional and structural characteristics that limit the accessibility of microorganisms to holocelluloses, as previously suggested by Triolo et 299 al. (2011)<sup>11</sup>. 300

301

302 3.3 PLS models

303 One of the main objectives of this study was to identify the compositional and structural features 304 affecting both biohydrogen and methane production from lignocellulosic residues, such as lignin (Lig), amorphous holocelluloses (Am), crystalline cellulose (Cri), protein (Pro), uronic acids (Ua) 305 and soluble sugars (SolSu) contents. PLS models were built on eighteen lignocellulosic substrates 306 and an independent validation set of two substrates (sorghum 1 and sorghum 6) was used to validate 307 308 the PLS models. Table 2 shows the range values of the variables (Lig, Am, Cri, Pro, Ua, SolSu) in 309 which PLS models are relevant and should not be extrapolated out of these ranges. In particular, the models should not be applied to lipid-rich substrates. Although these models are valid to estimate 310 biohydrogen or methane yields in relation to compositional and structural features of lignocellulosic 311 312 biomass, they provide no information about substrate degradation rates. Other abiotic and biotic factors such as pH, particle size, accessible surface area, porosity, moisture content,... were not 313 considered and may also impact biohydrogen and methane yields. 314

315

# 316 3.3.1 Compositional and structural features affecting biohydrogen production

PLS analysis led to equation 9 as a multi-linear model for biohydrogen potentials. The quality of the model to predict hydrogen potential was confirmed by a high  $R^2$  (0.87) and a low value of RMSEPc (11.6 mL H<sub>2</sub> g<sup>-1</sup> TS).

320 BHP (mL H<sub>2</sub> g<sup>-1</sup> TS) = 19.43 + 1.84\* SolSu (g.g<sup>-1</sup> TS) -0.36\* Lig (g.g<sup>-1</sup> TS) + 0.53Ua (g.g<sup>-1</sup> TS) 321 -0.14\*Cri (g.g<sup>-1</sup> TS) -0.05Am (g.g<sup>-1</sup> TS) -0.02\*Pro (g.g<sup>-1</sup> TS) (9)

This model was validated using a set of two independent samples (sorghum 1 and sorghum 6) which were not included in the calibration data set. Results are presented in Table 3. Hydrogen potentials of 9.2 and 45.9 mL H<sub>2</sub> g<sup>-1</sup> TS were predicted compared to 9.7 and 37.9 mL H<sub>2</sub> g<sup>-1</sup> TS measured respectively for sorghum 1 and 6. The REMSEPiv was calculated on the validation data set and a promising result of 5.7 mL H<sub>2</sub> g<sup>-1</sup> TS was observed showing the high accuracy of the model.

Another interest of the PLS models is to determine which variables significantly impact the 328 329 predicted variable. Centred and reduced weighted regression coefficients for hydrogen potentials are shown in Figure 3a. A strong positive correlation was found between hydrogen potentials and 330 soluble sugars (SolSu) whereas all other studied variables (Lig, Am, Cri, Ua, Pro) had no significant 331 impact. Considering the correlation of hydrogen production versus only soluble carbohydrates, a 332 high correlation of  $R^2 = 0.95$  was observed (data not shown). These results are in accordance with 333 Zhang et al., (2007) who suggested that hydrogen yield enhancement was due to an increase of 334 soluble sugar content of the substrate  $^{32}$ . Recently, Guo et al. (2011) found a similar correlation ( $R^2$ 335 = 0.87) between biohydrogen potentials and carbohydrates extracted under mild conditions (2 N 336 hydrochloric acid) <sup>12</sup>. Shi et al. (2012) suggested as well that the enhancement of hydrogen yields 337 nearly coincided with an increase in water soluble sugars available from alkali pretreated and raw 338 sweet sorghum stalks, which were 2.23 and 0.86 g  $L^{-1}$ , respectively <sup>30</sup>. Accordingly Pan et al. 339 340 (2011) showed that hydrolysis of cellulosic biomass led to an enhancement of hydrogen production due to an increase of soluble compounds that were much easier to be degraded <sup>35</sup>. In addition, our 341 342 results showed that proteins did not affect hydrogen potentials within the range of studied protein 343 contents (< 30% TS – see Table 2). This is consistent with Guo et al. (2012) who reported that hydrogen potentials were lower in the case of protein rich-substrates, but mainly because of their lower contents in 344 carbohydrates<sup>12</sup>. 345

In addition, pH is an important factor that can affect biohydrogen production. Although the optimal pH for hydrogen production from carbohydrates is rather acidic (about 4.5 - 6), alkaline pH (about 8.5-11) are more favourable for proteins-rich substrates  ${}^{36,37}$ . In our study, the initial pH was set up at 5.5 that can explain the absence of significant effect of the protein content. The absence of significant positive correlation between amorphous holocelluloses and hydrogen potentials can be explained by the poor efficiency of H<sub>2</sub>-producing bacteria to assimilate directly cellulosic materials. To achieve high yields of hydrogen from lignocellulosic substrates, an hydrolysis step is therefore required <sup>38</sup>.

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355 3.3.2 Compositional and structural features affecting methane production

PLS analysis led to equation 10 as a multi-linear model for methane potentials. The quality of the model to predict methane potential was confirmed by a high  $R^2$  (0.88) and a low value of RMSEPc (14.9 mL CH<sub>4</sub> g<sup>-1</sup> TS).

359 BMP (mL CH<sub>4</sub> g<sup>-1</sup> TS) = 303.14 - 4.53\*Lig (g.g<sup>-1</sup> TS) + 0.77\*SolSu (g.g<sup>-1</sup> TS) + 1.28\*Pro (g.g<sup>-1</sup> 360 TS) -1.59\*Cri (g.g<sup>-1</sup> TS) + 0.61Am (g.g<sup>-1</sup> TS) +1.33Ua (g.g<sup>-1</sup> TS) (10)

This model was validated using an independent set of two samples (sorghum 1 and sorghum 6) 361 which were not included in the calibration data set. Results are presented in Table 3. Errors of 0.2 % 362 and 4 % between the experimental and predictive methane potentials were observed for sorghum 1 363 and sorghum 6, respectively. The REMSEPiv was calculated on the validation data set, and a result 364 of 6.7 mL CH<sub>4</sub> g<sup>-1</sup> TS was observed showing the high accuracy of the models. Centred and reduced 365 regression coefficients for the prediction of methane potentials are presented in Figure 3b. In this 366 case, lignin, crystalline cellulose, soluble sugars, amorphous holocelluloses and proteins contents 367 were found to have a significant effect on methane potentials. A strong negative correlation was 368 found between the lignin content and the methane production which is in agreement with other 369 reported studies <sup>11,39-41</sup>. Kobayashi et al. (2004) showed a strong negative correlation ( $R^2 = 0.95$ ) 370 between the amount of methane produced and the amount of lignin of steam explosed bamboo<sup>41</sup>. 371 Triolo et al. (2011) also found a high negative correlation ( $R^2 = 0.88$ ) between the lignin content 372 and methane potentials of energy crops and manure<sup>11</sup>. However, our results led to a weak 373 correlation ( $R^2$  of 0.82, data not shown) when considering only lignin content and methane 374

potentials. Consequently, anaerobic biodegradation of lignocellulosic materials into methane is not
only related to the lignin content, as suggested elsewhere <sup>11</sup>.

PLS regression showed that crystalline cellulose had also a negative impact on methane production but in a lower extent than lignin. Zhu et al. (2009) showed that lignin content and crystallinity are the two dominant parameters affecting negatively the digestibility of lignocellulosic substrates. Moreover, they suggested that cellulose crystallinity could have a higher influence on short time hydrolysis, whereas lignin content could have a higher impact on long time hydrolysis <sup>42</sup>.

Additionally, a significant positive correlation was found between methane potentials and the contents 382 383 in soluble sugars, proteins and amorphous hemicelluloses in our study. According to Hayashi et al. (2005), the readily accessible regions (amorphous regions) of the lignocellulosic biomass are more 384 efficiently hydrolyzed during enzymatic hydrolysis, resulting in the accumulation of crystalline 385 cellulose <sup>43</sup>. Similarly, Scherer et al., (2000) showed that the most degradable part of spent grains 386 corresponded to their soluble and hemicelluloses fractions, while cellulose and lignin were slightly 387 degraded <sup>44</sup>. Besides, giving a quick tool to predict biohydrogen and methane potentials from 388 lignocellulosic substrates, the PLS models built in this study are also valuable to give directions 389 towards the development of pretreatments strategies of lignocellulosic residues for enhancing both 390 biohydrogen and methane production. Pretreatments leading to the solubilisation of hollocelluloses 391 might be recommended for enhancing biohydrogen production whereas delignification, hollocelluloses 392 solubilisation and reducing crystalline cellulose may be recommended for methane production. 393

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# 508 Captions tables and figures

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Table 1: Correlations found in the literature between the compositional characteristics oflignocellulosic substrates and biohydrogen or methane production

Table 2: Compositional and structural features of lignocellulosic substrates and validity range of PLS
 models. Values correspond to the means of two replicates of independent values ± standard deviations
 (error bars).

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- 517 Table 3: External validation of the PLS models for biohydrogen and methane potentials
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# Table 1: Correlations found in the literature between the compositional characteristics of lignocellulosic substrates and biohydrogen or methane production

Fermentation				_
process	Biomass used	Compositional features	Equation	References
Biohydrogen	organic solid substrates (n=21)	Soluble Carbohydrates (Carb)	BHP (mL H <sub>2</sub> . g <sup>-1</sup> TS) = 1.31 + 199.46 Carb	12
Methane	Manure (n=10), Energy crops (n=10)	Lignin (Lig)	BMP (L CH <sub>4</sub> . kg <sup>-1</sup> VS) = -1.67*Lig + 421.7	11
Methane	Raw and thermo-chemically pretreated sunflower stalks (n= 8)	Lignin (Lig)	BMP (L CH <sub>4</sub> . kg <sup>-1</sup> VS) = -0.65*Lig + 379.8	40
Methane	Lignocellulosic residues (n=7)	Soluble carbohydrates (Carb), acid detergent fiber (ADF), Protein (Pro), Lignin (Lig), Ash (A)	BMP (L CH <sub>4</sub> . kg <sup>-1</sup> VS) = 0.18 + 0.48 *CaRB + 0.2 * ADF -0.003 * Lig/ADF + 2.8 Pro - 0.83 *A	8
Methane	Lignocellulosic residues (n=12)	Soluble carbohydrates (Carb), acid detergent fiber (ADF), Protein (N), Ash (A), Lipids (F)	BMP (L CH <sub>4</sub> . kg <sup>-1</sup> VS) = 0.045 + 1.23* Carb + 0.24 * Pro + 1.51* F -0.68 * ADF -0.81* Cell - 6.1*A	9
Methane	Lignocellulosic residues (n=15)	Lignin (Lig)	Biodegradability (%MV) = 0.83-1.82*Lig	39
Methane	Municipal solid waste (n=2), agricultural residues (n=2), manure (n=4), vegetables (n=6)	Lignin (Lig), cellulose (Cell)	Biodegradability (%DCO) = 0.87-1.03 (% lignin +% cellulose)	10

Table 2: Compositional and structural features of lignocellulosic substrates and validity range of PLS models. Values correspond to the means of
 two replicates of independent values ± standard deviations (error bars).

		Chemical composition (% TS)			FT-IR spectra					
Substrates	% TS	SolSu	Pro	Ua	Hem	Cell	Lig	LOI	Cri (% TS)	Am (% TS)
Rice straw	0.96	0.8	5.3 (± 0.2)	0.6 (± 0.1)	18.8 (± 0.7)	26.2 (± 0.5)	27 (± 2.6)	0.85	12	33
Giant reed stalks	0.99	0.3	4.3 (± 0.7)	0.2 (± 0.0)	18.5 (± 0.7)	33.1 (± 1.3)	24.5 (± 0.1)	1.03	16.8	34.8
Giant reed leaves	0.99	2.9	8 (± 0.1)	0.7 (± 0.1)	8 (± 0.1)	20.9 (± 0.6)	25.4 (± 0.1)	0.95	10.2	28.4
Sunflower stalks 1	0.94	0	4.8 (± 0.1)	7.0 (± 0.6)	15.6 (± 0.3)	31 (± 1.6)	29.2 (± 1.6)	1.22	17	29.6
Sunflower stalks 2	0.96	0	2.3 (± 0.4)	3.9 (± 0.4)	14.3 (± 2.4)	31.2 (± 3.1)	27.7 (± 0.2)	1.2	17	28.4
Sunflower stalks 3	0.96	0	4.3 (± 0.7)	2.4 (± 0.2)	14.3 (± 0.7)	31.2 (± 0.7)	30 (± 1.7)	1.18	16.9	28.6
Sunflower stalks bark	0.97	0	2.8 (± 0.4)	1.7 (± 0.3)	13.5 (± 0.2)	27.4 (± 0.4)	35 (± 0.4)	1.1	14.4	26.5
Sunflower oil cakes	0.94	5.2	29.7 (± 3.4)	1.4 (± 0.2)	8.2 (± 0.2)	5.1 (± 0.3)	22.3 (± 2.8)	0.96	3.8	12.1
Maize stalks	0.99	0.4	7.4 (± 0.1)	0.7 (± 0.1)	21.2 (± 0.6)	27.1 (± 0.9)	23.2 (± 0.1)	1.14	14.5	33.9
Maize leaves	0.99	0.3	6.7 (± 0.6)	1.0 (± 0.2)	28.6 (± 3.3)	30.9 (± 3.1)	20.4 (± 0.6)	1.03	15.7	43.8
Maize cobs	0.96	0.2	4.3 (± 0.3)	0.7 (± 0.1)	34.6 (± 1.4)	29.8 (± 1.2)	19.2 (± 1.0)	0.89	14	50.3
Jerusalem artichoke stalks	0.96	32.9	2.8 (± 0.3)	0.2 (± 0.0)	8.8 (± 3.1)	9.6 (± 3.1)	20.3 (± 0.0)	0.95	4.7	13.7
Jerusalem artichoke leaves	0.94	2.6	12.4 (± 0.3)	0.7 (± 0.1)	4.7 (± 0.6)	8.8 (± 1.4)	12.9 (± 1.3)	1.22	4.8	8.6
Jerusalem artichoke tubers	0.98	59.1	10.4 (± 0.2)	1.5 (± 0.2)	5 (± 0.0)	5.4 (± 0.3)	12.3 (± 0.1)	1.1	2.8	7.5
Sorghum 1	0.95	0.4	4.6 (± 0.1)	0.9 (± 0.1)	26.1 (± 0.1)	29.1 (± 0.3)	22.5 (± 1.6)	1.09	15.2	40
Sorghum 2	0.91	15.4	6.5 (± 0.0)	0.6 (± 0.1)	19.4 (± 1.3)	22.2 (± 1.5)	21.4 (± 0.3)	1.05	11.4	28.3
Sorghum 3	0.91	18.5	8.1 (± 0.0)	1.0 (± 0.0)	20.9 (± 1.6)	20.1 (± 1.7)	18.5 (± 0.9)	0.98	10.3	27.9
Sorghum 4	0.94	8.2	8.2 (± 0.0)	0.6 (± 0.0)	21.7 (± 0.2)	18.3 (± 5.8)	20.7 (± 3.0)	1.03	9.3	27.7
Sorghum 5	0.92	22.8	6.9 (± 0.0)	0.6 (± 0.0)	20 (± 1.2)	19.7 (± 0.2)	19.8 (± 1.3)	1.11	10.4	26.2
Sorghum 6	0.88	21.3	6.2 (± 0.0)	0.6 (± 0.0)	18.5 (± 0.8)	18.1 (± 0.1)	21.3 (± 0.0)	1.1	9.5	24.4
Validity range		0-59.1	2.3-29.7	0.2-7	4.7-34.6	5.4-33.1	12.3-35		2.5-16.3	7.5-50.3

	PLS n	nodel for biohydro	gen potentials	PLS model for methane potentials				
						BMP		
Independent	BHP measured	BHP predicted			BMP measured	predicted mL		
samples	mL H <sub>2</sub> .g <sup>-1</sup> TS	mL H <sub>2</sub> .g <sup>-1</sup> TS	Errors	RMSEPiv	mL CH₄ .g⁻¹ TS	CH <sub>4</sub> .g <sup>-1</sup> TS	Errors	RMSEPiv
Sorghum 1	9.7	9.2	4.5%	57	209.5	209	0.2%	67
Sorghum 6	37.9	45.9	21.1%	5.7	240	230.5	4.0%	0.7

Table 3: External validation of the PLS models for biohydrogen and methane potentials



Figure 1: Correlation between crystalline celluloses determined by IR and DRX (expressed in % TS) 545



Figure 2: Biochemical biohydrogen and methane potentials of lignocellulosic substrates. Values correspond to the means of two replicates of independent values  $\pm$  standard deviations (error bars).



Figure 3: Centred and reduced regression coefficients with their 95% confidence intervals for the prediction of biohydrogen potentials (a) and methane potentials (b).

SolSu= soluble carbohydrates, Lig=lignin Ua= uronic acids, Cri= Crystalline cellulose, Am= amorphous holocelluloses, Pro=proteins

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