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**Using remotely sensed data to estimate area-averaged daily surface fluxes over a semi-arid mixed agricultural land.**

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## ABSTRACT

Optical remote sensing has been widely used for diagnostics of land surface atmosphere exchanges, including evapotranspiration (ET). Estimating ET now benefits from modeling maturity at local scale, while ongoing challenges include both spatial and temporal issues: influences of spatial heterogeneities on non linear behavior when upscaling and extrapolation of instantaneous estimates at satellite overpass to the daily scale. Both issues are very important when using remote sensing for managing water resources, especially in agriculture. The two main contributions of the current study are first the examination of the diurnal behavior of evaporative fraction (EF) and available energy (AE) over heterogeneous agricultural land surfaces, and second presenting a simple approach to derive area-averaged daily ET under such conditions. Area-averaged fluxes are expressed using the same equations as those used over homogeneous areas, but whose arguments are effective expressions of the local parameters involved. Next, heuristic formulations are proposed to estimate the diurnal courses of EF and AE, by combining diurnal meteorological information available from observation networks (or weather forecasts) with instantaneous estimates at satellite overpass obtained from a simple energy balance model. These investigations were conducted using ground based data collected in the semi-arid Yaqui valley, north-western Mexico, over three adjacent agricultural fields which different crops and soil moisture conditions. This approach accurately reproduced the diurnal course of ET. However, these promising results have to be confirmed using actual satellite and operational meteorological data. This work is the subject of ongoing investigations.

**Keywords:** semi-arid regions; remote sensing; evapotranspiration; evaporative fraction; eddy covariance; aggregation.

## 1. INTRODUCTION

During the past decades, considerable effort has been made in the use of remote sensing to improve our understanding of feedback mechanisms between land-surface and atmospheric processes. Emphasis has been mainly directed towards a better estimation of evapotranspiration (ET) and its spatial variability, with special focus on the impact of spatial heterogeneity (Betts et al., 1997; Courault et al., 2005; Hipps and Kustas, 2000; Moran, 2004; Moran et al., 1994; Olioso et al., 2005; Porporato et al., 2004; Walker and Houser, 2004). Additionally, accurate estimates of ET, especially over agricultural regions in arid and semi-arid climate, are of crucial importance for sound water resource management (Seguin and Itier, 1983). Indeed, irrigation consumes more than 85% of the available water in arid and semi-arid regions (Chehbouni et al., 2007a). However, the dynamics at the typical spatial extent of major agricultural fields cannot be captured with coarse resolution satellite sensors such as MODIS or AVHRR and remotely sensed pixels at these low spatial resolutions are likely to contain mixed fields (Kustas et al., 2004). This is a classical problem in hydrological science, i.e., a discrepancy between the scale of satellite observation and that at which the processes need to be described (McCabe and Wood, 2006).

In this context, substantial effort of the earth science community has been directed during the past decade toward the investigation of aggregation and disaggregation problems from both theoretical and experimental perspectives (Aman et al., 1992; Avissar, 1998; Chehbouni et al., 1995; Kustas and Norman, 1997; Lhomme, 1992; Lhomme et al., 1994; McNaughton, 1994; Merlin et al., 2006; Merlin et al., 2005; Moran et al., 1997; Njoku et al., 1996; Pelgrum and Bastiaanssen, 1996; Raupach, 1991; Raupach, 1993; Raupach and Finnigan, 1995; Sellers et al., 1997; Wang et al., 2006). Despite this tremendous effort, the scale issue is still an open question for investigation, and an adequate scaling theory does not yet exist (Beven and Fisher, 1996).

Depending on the scale of interest and the desired objective, two different strategies for using coarse resolution satellite data in estimating ET over agricultural fields can be mentioned. If the objective is estimating ET at the scale of individual fields, the empirical vegetation index - radiometric surface temperature (NDVI- $T_R$ ) relationship can be used for disaggregating  $T_R$  to the shortwave band resolution. This has been evaluated with moderate success over the Southern Great Plains SGP'97 and SMACEX'02 datasets (Agam et al., 2006; Anderson et al., 2004; Kustas et al., 2003). If the objective is estimating ET over a mixture of different fields, which represents the prime interest of irrigation water planners, procedures which link local to area-averaged (or effective) surface parameters can be used (Chehbouni et al., 1995; Njoku et al., 1996). In this regard, numerical simulation studies have emphasized the need to develop theoretically-based aggregation schemes, to take into account of the non-linear nature of the relationships between surface fluxes and surface parameters (Braden, 1995; Lhomme et al., 1994; Raupach and Finnigan, 1995). However, other studies based on experimental field data conclude that simple empirical aggregation rules may provide reasonable estimates of area-averaged surface fluxes (Arain et al., 1996; Blyth and Harding, 1995; Chehbouni et al., 2000; Moran et al., 1997; Noilhan et al., 1997; Sellers et al., 1997).

In addition to the aforementioned spatial issues, remote sensing data together with energy balance models only provide *instantaneous* values of surface fluxes. These are of limited interest for water managers who are primarily focusing on daily values of ET (Bastiaanssen et al., 2000). Geostationary sensors can provide diurnal courses with temporal sampling from fifteen minutes to 1 hour, but their very coarse spatial resolutions cause additional difficulties. With sun-synchronous sensors, the most common method used for extrapolating instantaneous ET into daily values assumes that the evaporative fraction (EF, defined as the ratio of ET to available energy AE) is constant throughout the day. Daily ET can then be derived from instantaneous EF values, provided that measurements or estimates of the AE diurnal cycle are available. The diurnal behavior of EF has been heavily investigated (see (Gentine et al., 2007) for an extended review). However, most - if not all - of these studies have

been confined to homogeneous situations. The diurnal behavior of EF over heterogeneous surfaces has received little attention.

In this context, the main objective of the current study is to address the spatial and temporal scaling issues, for deriving area-averaged daily values of ET over a patchwork of agricultural fields with different crops and soil moisture conditions. We examine the diurnal behavior of EF and AE over this heterogeneous patchwork, and present a simple approach to derive area-averaged daily ET. This procedure is made up of two components.

- The first component deals with the spatial scaling where a simple aggregation scheme and an energy balance model forced by surface radiometric temperature are combined to derive instantaneous estimates area averaged EF over heterogeneous surfaces.
- The second component deals with the temporal scaling using a heuristic method to scale up instantaneous values to daily ones. It parameterizes the relative diurnal courses of EF and AE from meteorological data, and set their absolute magnitudes from remotely sensed instantaneous fluxes at the satellite overpass time.

To assess the proposed approach, ground based surface temperature as well as atmospheric forcing parameters and surface fluxes were collected in the semi-arid region of the Yaqui Valley, Sonora, north-western Mexico, over 3 adjacent fields which differ in terms of crop types, status and soil moisture conditions.. The paper is organized as follows: section 2 describes the site and the experimental setup, section 3 presents the modeling approach and section 4 presents the results of the comparison between observed and simulated area averaged instantaneous and daily fluxes. Finally, section 5 provides a discussion and concluding remarks.

## **2. SITE DESCRIPTION AND EXPERIMENTAL SETUP**

The Yaqui Valley (27° N and 110° W) is the largest agricultural district in the state of Sonora, north-western Mexico, with an area of 220,000 hectares (Figure 1). It is

bordered on the west by the Gulf of California and on the east by the foothills of the Sierra Madre Occidental. The water for irrigation in the valley is provided by the Alvaro Obregon Reservoir, located on the Yaqui River, which has a capacity of approximately three km<sup>3</sup>. The climate is very dry: the 2000 mm annual potential evapotranspiration significantly exceeds the 300 mm annual rainfall (Schoups et al., 2006). Irrigation represents the largest water consumption (about 90%) in the valley. An important step towards sound management of the scarce water resources in Northern Mexico is providing accurate estimates of the spatial and temporal variability of water losses to the atmosphere through evapotranspiration.

The experiment took place in 1999 over three fields adjacent to one another of about 100 hectares each of chickpeas, cotton and wheat, during a period from DOY 96 to DOY104). These three fields were representative of the local land use since they corresponded to about 80% of cropped surfaces within the district. Table 1 displays the average condition of the characteristics of the individual field during the course of the experiment. According to (Shuttleworth, 1988), and considering the field sizes, the surface heterogeneity could be considered as *disorganized* (micro-scale heterogeneity). This means that the air above the surface is sufficiently mixed so that the atmospheric boundary layer responds to the composite surface structure only. Thus, atmospheric forcing parameters at the blending height can be considered as common to all fields (Mahrt, 2000).

A 10 m height meteorological tower was equipped with a set of standard meteorological instruments to measure wind speed and direction (R. M. Young, MI, USA), air temperature and humidity (Vaisala, Sweden), and incoming short-wave radiation (Kipp and Zonen, The Netherlands). Net radiation was measured using Q7.1 net radiometers (REBS Inc., WA, USA) over chickpeas and wheat, and a CNR1 net radiometer (Kipp & Zonen, the Netherlands) over cotton. At each of the three sites, soil heat flux was measured using three HFT3 plates (REBS Inc., WA, USA) buried at a 0.025 m depth, without accounting for heat storages above the plates. It should be mentioned that this heat storage can be significant under some conditions, i.e., dry soil and woody vegetation. Soil moisture was measured within each field at 0.05,

0.15 and 0.30 m depths, using three CS600 TDR (Time Domain Reflectometer, Campbell Scientific Inc., UT, USA). Surface temperature was measured over each field using Everest Infra Red Thermometers (IRTs), with view zenith angles of 90°. All IRTs were calibrated against an Everest black body during the experiment, and previously in the laboratory with adjustable ambient temperatures. These meteorological measurements were sampled with a 10 second frequency, and recorded with a 30 minute averaging.

Sensible and latent heat fluxes were measured at a height of 2.9 m over each site (see Figure 2 for the experimental setup), using 3D sonic anemometers and fast response hygrometers (Campbell Scientific Inc., UT, USA), with sampling frequencies of 10 Hz (wheat) and 8 Hz (cotton and chickpeas). The flux data were stored with a 30 minute frequency using 21X (respectively CR10X) data loggers (Campbell Scientific Inc., UT, USA) over the wheat crop (respectively the cotton and chickpea crops). The half-hourly fluxes were later calculated off-line using Eddy Covariance (EC) processing software that performed all required corrections for planar fit correction, humidity and oxygen (KH20). An inter-comparison of the three eddy correlation systems was performed at both the beginning and the end of the experiment. The agreement between the three systems was within the expected range (less than 10%). However, flux data analysis showed the sum of latent and sensible heat flux measured independently by the EC systems was often lower than AE. This problem could not be explained, either by mismatching spatial extents for fluxes and AE measurements, or by uncertainties associated with measurements of soil heat flux and net radiation (Hoedjes et al., 2002; Twine et al., 2000). Two options for correcting the energy balance non closure were reported in the literature. The first one consists of assuming that closure error lies more heavily in the latent heat component and thus ET measurements should not be used. The other option suggested by (Twine et al., 2000) stipulates that whilst EC underestimates both sensible and latent heat fluxes, their ratio (i.e. the Bowen ratio) is correctly measured. Recent research in micrometeorology indicates that significant flux loss may result from angle-of-attack dependent calibration error in sonic anemometers and from the use of coordinate rotation, which can act as a high-pass filter when applied to short measurement



periods. Both these effects would apply equally to both fluxes - favoring the second option (Finnigan et al., 2003; Gash and Dolman, 2003; Nakai et al., 2006; van der Molen et al., 2004). In this study however, the second option was somewhat adopted. Thus, sensible and latent heat fluxes were re-computed by forcing the energy balance closure using measured Bowen ratio and AE (Chehbouni et al., 2007b).

### 3. MODELLING APPROACH

#### 3.1 MODEL FOR SURFACE FLUX ESTIMATES

##### 3.1.1 Sensible heat flux

Regardless of scale, sensible heat flux  $H$  is theoretically expressed as the difference between air temperature  $T_a$  at reference height  $z_r$  and surface aerodynamic temperature  $T_0$  obtained by extrapolating the air temperature profile down to the level of the apparent sink for momentum:

$$H = \rho C_p \frac{T_0 - T_a}{r_a} \quad (1)$$

where  $\rho$  is air density ( $\text{kg m}^{-3}$ ),  $C_p$  is air specific heat at constant pressure ( $\text{J .kg}^{-1} \text{K}^{-1}$ ),  $T_a$  (K) is air temperature.  $T_0$  is surface aerodynamic temperature (K) defined at the mean canopy source height. Aerodynamic resistance  $r_a$  is calculated by means of the classical formulae which take into account the stability correction functions for wind and temperature (Brutsaert, 1982) as:

$$r_a = \frac{1}{k u_*} \left[ \ln \left( \frac{z_r - d}{z_0} \right) - \Psi_h(\zeta) \right] \quad (2)$$

with

$$u_* = ku_a / \left[ \ln \left( \frac{z_r - d}{z_0} \right) - \Psi_m(\zeta) \right] \quad (3)$$

where  $u_*$  is friction velocity,  $u_a$  is wind speed at reference height,  $k$  is von Kàrman's constant,  $z_0$  is roughness length for momentum,  $\Psi_h$  and  $\Psi_m$  are the integral diabatic correction functions respectively for heat and momentum given by (Paulson, 1970).  $\zeta$  is a dimensionless parameter defined as a function of Monin-Obukhov length  $L$ , zero plane displacement height  $d$ , and reference height  $z_r$  as:  $\zeta = (z_r - d)/L$ . Both  $d$  and  $z_0$  can be determined following (Choudhury and Monteith, 1988), who fitted simple functions to the curves obtained by (Shaw and Pereira, 1982) from second-order closure theory:

$$d = 1.1h \ln \left( 1 + X^{1/4} \right) \quad X = c_d LAI \quad (4)$$

$$z_0 = \begin{cases} z_{0s} + 0.3hX^{1/2} & 0 < X < 0.2 \\ 0.3h(1 - d/h) & 0.2 < X < 1.5 \end{cases} \quad (5)$$

where  $c_d$  is mean drag coefficient assumed to be uniform within the canopy,  $LAI$  is leaf area index (green + dry),  $h$  is canopy height. Substrate roughness length  $z_{0s}$  is commonly taken as 0.01 m for bare soil (Shuttleworth and Wallace, 1985).

Aerodynamic temperature  $T_0$  can be estimated analytically through the use of a two-source formulation. However, this requires the knowledge of component surface temperatures and resistances for soil and vegetation (Shuttleworth and Wallace, 1985). Component temperatures can be derived by combining multi-directional temperature data and radiative transfer modeling within the thermal infrared (TIR) part of the spectrum (Chehbouni et al., 2001a; Chehbouni et al., 2001b; Merlin and

Chehbouni, 2004). This is very difficult to implement, especially over heterogeneous surfaces. Indeed, heterogeneities make the interpretation of multi-directional measurements of surface temperature non trivial, while TIR radiative transfer modeling is not yet ready to be implemented operationally in such conditions (Jacob et al., 2007).

For these reasons, aerodynamic temperature is generally replaced by remotely sensed surface radiometric temperature and Equation 1 is adjusted by adding an additional resistance ( $r_{ex}$ ), to account for the difference between radiometric and aerodynamic temperatures (Lhomme et al., 1997; Stewart et al., 1994) . It reads:

$$H = \rho c_p \frac{T_R - T_a}{r_a + r_{ex}} \quad (6)$$

The issue of properly taking into account the difference between these two temperatures and thus parameterize  $r_{ex}$  has been intensively investigated over the past two decades (Blumel, 1999; Brutsaert and Sugita, 1996; Cahill et al., 1997; Chehbouni et al., 1996; Crago, 1998; Hall et al., 1992; Kubota and Sugita, 1994; Kustas, 1990; Kustas et al., 1989; Lhomme et al., 1994; Lhomme et al., 1997; Malhi, 1996; Massman, 1999; Mathias et al., 1987; Norman and Becker, 1995; Stewart et al., 1994; Su, 2002; Sugita and Brutsaert, 1996; Sugita and Kubota, 1994; Sun and Mahrt, 1995; Troufleau et al., 1997; Verhoef et al., 1997; Watts et al., 2000).

The extra resistance, which aims at linking surface aerodynamic and radiometric temperatures, depends on various factors which drive both temperatures. Aerodynamic temperature is driven by canopy structure, meteorological conditions and vegetation water status. Remotely sensed radiometric temperature is driven by the same surface properties, but also depends on illumination and viewing conditions, as well as by the instrument IFOV.

In this study, we adopted an expression for the extra resistance developed by (Lhomme et al., 2000) through a comprehensive study based on physically based

SVAT modeling. This expression seemed to perform correctly over a wide range of surface conditions and view angles. This resistance was expressed in terms of friction velocity  $u_*$  and Leaf Area Index LAI for a nadir looking TIR radiometer as:

$$r_{ex} = \left[ \sum_{n=0}^{n=6} a_n LAI^n \right] u_*^{-1} \quad (7)$$

using the polynomial coefficients  $a_n$  given by (Lhomme et al., 2000).

### 3.1.2 Net radiation

Net radiation  $R_n$  provides the available radiative energy to be allocated between crop evapotranspiration, photosynthesis, and soil and atmospheric heating (Monteith and Unsworth, 1990). In the current study, the net radiation was expressed as:

$$R_n = (1 - \alpha)R_g + \varepsilon_s R_a - R_t \quad (8)$$

where  $\alpha$  is surface albedo,  $R_g$  is global solar radiation [ $W m^{-2}$ ],  $\varepsilon_s$  is surface emissivity,  $R_a$  is atmospheric radiation [ $W m^{-2}$ ], and  $R_t$  is terrestrial radiation emitted by the surface [ $W m^{-2}$ ]. By using the Stefan-Boltzman equation (Monteith and Unsworth, 1990),  $R_a$  and  $R_t$  could be expressed as functions of temperature and emissivity of air and surface. Then, Eq. (8) could be rewritten as:

$$R_n = (1 - \alpha)R_g + \varepsilon_s \sigma (\varepsilon_a T_a^4 - T_R^4) \quad (9)$$

Where  $\varepsilon_a$  is atmosphere emissivity, and  $\sigma$  is Stefan-Boltzmann constant ( $5.67 \times 10^{-8} W m^{-2} K^{-4}$ ). In the current study, we used the expression proposed by (Brutsaert, 1982) where  $\varepsilon_a$  is computed from air temperature and vapor pressure as:

$$\varepsilon_a = 1.24(e_a / T_a)^{1/7} \quad (10)$$

where  $e_a$  is air vapor pressure (hPa).

### 3.1.3 Soil heat flux

Due to the complexities of land surface cover and soil physical processes, soil heat flux  $G$  is the most difficult scalar to measure accurately at the appropriate space-scale. Several authors have related this scalar to the net radiation (Stull, 1988; Villalobos et al., 2000). The most common approach is to parameterize  $G$  as a constant proportion of  $R_n$ , fixed to a given value throughout the day. Recommended values for the ratio  $G/R_n$  in the literature range from 0.15 to 0.40 (Brutsaert, 1982; Humes et al., 1994; Kustas and Goodrich, 1994), with typical values around 0.30 for sparse canopies. As reported in (Santanello and Friedl, 2003),  $G$  is unfortunately neither constant nor negligible on diurnal time scales.  $G/R_n$  can range from 0.05 to 0.50 and is driven by several factors: time of day, soil moisture and thermal properties, as well as vegetation amount and height (Kustas et al., 1993). In this study, we used the simple formula proposed by (Santanello and Friedl, 2003), which allows soil heat flux to be derived from remotely sensed net radiation:

$$G / R_n = A \cos[2\pi(t + 10800) / B] \quad (11)$$

where  $t$  is time of day in seconds, and  $A$  and  $B$  are adjusting factors which were set by (Santanello and Friedl, 2003), of 0.31 and 74 000 s, respectively. This relationship was chosen in this study because it has been proven to provide improvement to modeled values of  $G$  relative to other currently available methods.

Providing that sensible heat flux  $H$ , net radiation  $R_n$  and soil heat flux  $G$  are obtained using the aforementioned formulations, latent heat flux  $LE$  can be derived as the residual term of the energy balance equation.

## 3.2 AGGREGATION PROCEDURE

Theoretically-based aggregation schemes for surface fluxes are certainly exact and elegant. However, a major drawback of these schemes is they cannot be routinely applied to models which operate at grid scale in a free running predictive mode (Shuttleworth et al., 1997). Consequently, the simple aggregation procedure used in this study is based on two assumptions. The first one consists of formulating grid-scale surface fluxes using the same equations that govern patch-scale behavior but whose arguments are the aggregate expressions of those at the patch-scale. The second one stipulates *“the effective or area-averaged value of land surface parameters is estimated as a weighted average over the component cover types in each grid through that function involving the parameter which most succinctly expresses its relationship with the associated surface flux”* (Shuttleworth et al., 1997). Applying this simple aggregation rule to area-averaged (denoted by angle brackets) net radiation, sensible heat flux, soil heat flux, and evaporative fraction leads to:

$$\langle R_n \rangle = (1 - \langle \alpha \rangle) R_g + \langle \varepsilon_s \rangle \sigma (\varepsilon_a T_a^4 - \langle T_R^4 \rangle) \quad (12)$$

$$\langle H \rangle = \rho c p \frac{\langle T_R \rangle - T_a}{\langle r_a \rangle + \langle r_{ex} \rangle} \quad (13)$$

$$\frac{\langle G \rangle}{\langle R_n \rangle} = A \cos[2\pi(t + 10800)/B] \quad (14)$$

$$\langle EF \rangle = \frac{\langle L_v E \rangle}{\langle R_n \rangle - \langle G \rangle} = \frac{\langle R_n \rangle - \langle G \rangle - \langle H \rangle}{\langle R_n \rangle - \langle G \rangle} \quad (15)$$

Similarly, the application of the second assumption leads to the following set of relationships between local (subscript  $i$ ) and effective (in brackets) radiative temperature, surface emissivity, surface albedo, the displacement height, leaf area index, and roughness length:

$$\langle T_R \rangle = \frac{\left[ \sum_{i=1}^3 f_i \varepsilon_i (T_{R_i})^4 \right]^{0.25}}{\langle \varepsilon \rangle} \quad (16)$$

$$\langle \varepsilon \rangle = \sum_{i=1}^3 f_i \varepsilon_i \quad (17)$$

$$\langle \alpha \rangle = \sum_{i=1}^3 f_i \alpha_i \quad (18)$$

$$\langle d \rangle = \sum_{i=1}^3 f_i d_i \quad (19)$$

$$\langle LAI \rangle = \sum_{i=1}^3 f_i LAI_i \quad (20)$$

$$\ln^{-2} \left[ \frac{z_b - \langle d \rangle}{\langle z_o \rangle} \right] = \sum_{i=1}^3 f_i \ln^{-2} \left( \frac{z_b - d_i}{z_{oi}} \right) \quad (21)$$

where  $f_i$  is the fraction covered by the biome/patch  $i$ , and  $z_b$  is the so-called blending height defined as a level in the atmosphere where turbulent mixing is sufficient so that it can be assumed that the atmosphere has become adapted to the different types of land cover on the ground below (Wieringa, 1986). The blending height was

estimated to of the order  $l/100$ , where  $l$  is the characteristic horizontal scale of the different patches making up the grid (roughly about 1 km in the present study) which leads to a value of  $z_b$  of about 10 m, corresponding to about the height where the atmospheric forcing parameters were measured.

### 3.3 PARAMETERIZATION OF THE DIURNAL BEHAVIOR OF EF AND AE OVER HETEROGENEOUS SURFACES

The diurnal behavior of EF depends on both atmospheric loading and surface conditions. On the one hand, evaporative demand is controlled by incoming radiation, relative humidity and, to a lesser extent, wind speed. On the other hand, surface control is exerted by soil moisture and vegetation condition. This issue has been heavily investigated from both experimental and theoretical perspectives, but mainly over a single vegetation type (Crago and Brutsaert, 1996; Crago, 1996; Lhomme and Elguero, 1999; Lu and Lemeur, 1995). Most of these studies have reported a typical concave-up shape for EF. Such typical shape can induce errors when assuming a daytime constant EF equal to the noon value, since the latter is always lower than the daily average (Gentine et al., 2007). It was thus of interest to examine this issue over a heterogeneous surface which included different land use and soil moisture conditions.

In Figure 3, we present measured daily course of EF over cotton, chickpeas and wheat field respectively along with their area averaged during the study period. Two conclusions can be drawn from this figure. First, the magnitude of EF varies from site to site, which confirms the contrast in terms of soil moisture status among the three sites. Second, the assumption of the self conservation of EF during day time hours is only valid under dry conditions (chickpea field), while a pronounced diurnal cycle is seen under wet conditions (wheat and cotton fields). Therefore, the generalization of



this self preservation assumption to all surface conditions may induce important errors in estimating latent heat fluxes.

In the current study, the method developed by (Hoedjes et al., 2007) over a homogeneous surface is generalized to the mixture of three fields. The parameterization of the EF diurnal behavior was formulated as a function of the main atmospheric forcing parameters, i.e. incoming solar radiation  $R_g$  ( $W m^{-2}$ ) and relative humidity RH (%) which characterize the EF daily shape. The magnitude of day to day EF is controlled by soil moisture status. It was characterized here by the EF instantaneous value at 1400 ( $EF^{1400}$ ), which can be obtained from sun synchronous satellite data. In this study, the time of 14h00 was chosen since it corresponds to the local time of overpass of the AVHRR satellite. (Hoedjes et al., 2007) showed this parameterization was also pertinent when choosing the ASTER overpass time over Morocco, i.e. 11h30. Though further analysis is required to confirm this, it is expected that the use of satellite overpass between 09h00 and 16h00 should be adequate, since these correspond to optimal times for computing land surface fluxes. Finally, the proposed formulation takes advantage of assuming EF self-preservation to be valid under dry conditions. Thus, a threshold depending on the Bowen ratio ( $H/LE$ ) at 14h00, labeled  $\beta^{1400}$ , is used to switch from a constant to a daily variable EF.

$$\langle EF_{Sim}^{ACT} \rangle = \begin{cases} \langle EF_{Sim} \rangle r_{EF}^{1400} & \langle \beta^{1400} \rangle \leq 1.5 \\ \langle EF_{Rem}^{1400} \rangle & \langle \beta^{1400} \rangle > 1.5 \end{cases} \quad \text{pour} \quad (22)$$

$$\langle EF_{Sim} \rangle = A - \left( B \frac{R_g}{1000} + C \frac{RH}{100} \right) \quad (23)$$

$$r_{EF}^{1400} = \frac{\langle EF_{Rem}^{1400} \rangle}{\langle EF_{Sim}^{1400} \rangle} \quad (24)$$

Where  $\langle EF_{Sim} \rangle$  is the EF diurnal course parameterized when accounting for atmospheric demand only,  $\langle EF_{Sim}^{ACT} \rangle$  is the (actual) EF diurnal course parameterized when accounting for both atmospheric demand and soil moisture status,  $\langle EF_{Sim}^{1400} \rangle$  is  $\langle EF_{Sim} \rangle$  at 14h00, and  $\langle EF_{Rem}^{1400} \rangle$  is the EF estimated from remote sensing observations at 14h00. A, B and C are calibration parameters which were derived by (Hoedjes et al., 2007) over a homogeneous olive orchard in Morocco (A = 1.2, B = 0.4 W<sup>-1</sup>.m<sup>2</sup>, and C = 0.5).

Once the diurnal course of EF could be derived from meteorological and remote sensing observations, recovering the daily ET values also required AE over the diurnal cycle. Given the possibility of estimating the instantaneous AE from sun synchronous satellite images at the time of overpass, it was of interest to combine such remote sensing observations with a simple parameterization to derive the AE diurnal cycle. Here again, the same heuristic approach developed in (Hoedjes et al., 2007) for homogeneous conditions was generalized to heterogeneous conditions. The daily variation of area-averaged AE was formulated by combining the AE estimated from remote sensing at 14h00  $\langle AE_{Rem}^{1400} \rangle$  with a function  $\langle R \rangle$  involving meteorological information which can be obtained from observation networks and/or weather forecasts (Er-Raki et al., 2007):

$$\left( \frac{\langle AE \rangle^t}{\langle AE \rangle_{Rem}^{1400}} \right) = f \left( \frac{\langle R^t \rangle}{\langle R^{1400} \rangle} \right) \quad (25)$$

with

$$\langle R^t \rangle = (1 - \langle \alpha \rangle) R_g^t + \langle \varepsilon \rangle \varepsilon_a^t \left( T_a^t \right)^4 \quad (26)$$

$$f\left(\frac{\langle R^t \rangle}{\langle R^{1400} \rangle}\right) = \sum_{n=0}^{n=3} b_n \left[\frac{\langle R^t \rangle}{\langle R^{1400} \rangle}\right]^n \quad (27)$$

where  $t$  is time of the day. This function was used with the following polynomial coefficients  $b_2=0.34$ ;  $b_1=1.15$ ;  $b_0=-0.48$ , which were obtained by (Hoedjes et al., 2007) when calibrating over a homogeneous olive orchard in Morocco.

#### 4. RESULTS

The performance of the proposed approach was assessed by considering the daytime data collected during the study period. Indeed, the latter provided an ideal situation since it corresponded to a substantial variability between the three fields in terms of energy partitioning. As shown in Figure 3, the chickpea field was very dry during the whole period, the wheat field was in drying stage after an irrigation event on DOY 90, and the cotton field was dry initially and then again after an irrigation event on DOY 96. Figure 3 especially shows the shape of EF was almost constant for the chickpea site under dry conditions, while it exhibited a distinct concave shape under wet condition for both the wheat field and the cotton field once irrigated. This confirmed the findings of (Hoedjes et al., 2007) over an olive orchard in Morocco, under climatic conditions (precipitation 240 mm / evaporative demand 1700 mm) which were comparable to those of the Yaqui Valley (300 mm / 2000 mm).

Figure 4 displays a comparison between sensible heat flux at 14h00 derived from the proposed method (simulated H) against ground based measurements (measured H). Simulated H corresponded to area-averaged values obtained from Eq. 13, by combining Eq. 16, and 17 for radiometric temperature, and Eq. 2, 19, 20 and 21 for aerodynamic resistance. Measured H was obtained by area-averaging sensible heat fluxes measured over the individual fields. The correspondence between observations and simulations for area-averaged fluxes was very good: the RMSE value was about  $12 \text{ Wm}^{-2}$ , and the linear regression forced to the origin yielded a 1.01 slope value and a 0.98 correlation coefficient. Similarly to sensible heat flux at 14h00

with Figure 4, the same comparisons are displayed for available energy (AE) and latent heat flux (LE) with Figures 5 and 6, respectively. The correspondences between measured and simulated fluxes were very good: for available energy and latent heat flux, we obtained  $R^2$  values of 1 and 0.93, RMSE values of 3 and 12  $W\ m^{-2}$ , and mean biases of 3 and 0.96  $W\ m^{-2}$ , respectively. However the  $R^2$  of  $\sim 1$  between observations and simulations of area-averaged available energy was “remarkably” good. The explanation could be twofold. Firstly, compensation effects may occur between net radiation and soil heat flux. Secondly, available energy was mainly controlled by the incoming solar radiation, which was measured in-situ and used as input for both simulations and observations. Overall, these results showed that, at least under the prevailing conditions within the considered study site, the proposed approach provided accurate estimates of area average instantaneous surface fluxes, over heterogeneous surfaces including different land use and soil moisture conditions. However, such excellent results probably represent a “best case scenario”, since they were obtained using ground based measurements which did not include actual intra field heterogeneities which are more likely to occur especially with flood irrigation.

Once area-averaged values of instantaneous evaporative fraction at 14h00 had been derived, they were used along with Eq. 22 to retrieve the diurnal course of area-averaged EF. The comparison of these retrievals against ground based measurements is shown in Fig. 7, with ground references of diurnal courses obtained by aggregating EF measurements from the eddy covariance system. Although good agreement was observed for EF values lower than 0.8, otherwise simulations underestimated observations. However, these underestimations were expected to have minor impacts, since they corresponded to low values of AE and LE. Indeed, they occurred for large EF values during early morning and late afternoon parts of the concave-up diurnal course. Finally we note that the coefficients in Eq. 23 could certainly be adjusted to fit the measured diurnal course of EF. However, such tuning is not really worth, as will be shown in the next section.

The final step before obtaining the diurnal course of area-averaged ET was retrieving that of area-averaged available energy (AE) from Eq. 25. The comparison of AE

retrievals against ground based measurements is shown in Fig. 8. Linear regression forced through the origin yielded a slope of 0.96 and a correlation coefficient of 0.97, while RMSE and bias values were 28 and  $-7.85 \text{ W m}^{-2}$ , respectively. These results were comparable to those reported by (Hoedjes et al., 2007), indicating the parameterization scheme could be applied over the heterogeneous area considered, under the current environmental and experimental contexts. Figure 9 displays a comparison between simulations and observations for the diurnal course of area-averaged  $LE$ , when using measured available energy instead of parameterization (i.e. only EF parameterization is considered). Also included is the area-averaged  $LE$ , calculated by considering a constant diurnal EF equal to that at 14h00 ( $EF_{14h00}$ ). It is shown the actual diurnal course of  $LE$  was better approximated when using the simulated EF rather than a constant value equal to  $EF_{14h00}$ . Indeed, the latter induced overestimation of large  $LE$  values around solar noon and underestimation low  $LE$  values during early morning and late afternoon. The resulting improvement in terms of accuracy on the retrieved  $LE$  diurnal course was the following: RMSE of  $12 \text{ W m}^{-2}$  instead of  $22 \text{ W m}^{-2}$ , bias of  $-5 \text{ W m}^{-2}$  instead of  $2 \text{ W m}^{-2}$ , and correlation coefficient of 0.98 instead of 0.94.

Finally, the diurnal course of area-averaged  $LE$  was calculated by combining the parameterizations of both AE and EF. Fig. 10 shows the validation of these  $LE$  retrievals, against ground truth derived from eddy covariance data through area-averaging. Linear regression forced to the origin yielded a 0.93 slope, a 0.93 correlation coefficient, while RMSE and bias values were 20 and  $-9 \text{ W m}^{-2}$ , respectively. The obtained results were considered as very good, given the following features for the retrieved diurnal courses of area-averaged  $LE$ : they were representative of an heterogeneous area, they depended on few instantaneous measurements (surface and air temperature, wind speed) and few diurnal observations (incoming radiation and relative humidity). This implied the two tested parameterizations, either separately or combined, can be useful for large scale irrigation water management on an operational basis. However, the proposed approach was applied using data collected locally at the surface. The next stage is implementation over observations at larger scale, including actual remote sensing

data, and ground based EC or scintillometer systems embracing different land surface patches. This will raise new questions in terms of applicability and performances, as discussed in the next section.

## 5 SUMMARY AND CONCLUSIONS

For sustainable irrigation water management in arid and semi-arid regions, accurate knowledge of evapotranspiration is of paramount importance to optimize crop water supply. Over the last two decades, several approaches have been developed to incorporate remotely sensed data into evapotranspiration models, so that water consumption can be estimated in a spatially distributed manner. However, remotely sensed observations are usually collected from sun-synchronous sensors, making such instantaneous information at satellite overpass of little use for water management. In order to obtain daily estimates of evapotranspiration, a constant evaporative fraction (EF) is usually assumed, to be used together with measurements or simulations of available energy. However, EF can display a distinct concave-up shape during daytime, especially under wet conditions. This means that the use of a constant EF value produces significant errors in terms of deriving the diurnal course of latent heat flux (Hoedjes et al. 2007). A practical alternative is then obtaining heuristic parameterization for the diurnal course of EF, and extending it to that of available energy, where the formulations considered make use of meteorological information that is potentially available from observation networks and/or weather forecasts. Such an approach was validated by (Hoedjes et al., 2007) over a relatively homogeneous olive orchard. The current study aimed at assessing this approach (without any adjustment) over a heterogeneous area which included different fields in terms on land use and soil moisture conditions.

Extending the proposed approach to heterogeneous conditions was performed using aggregation schemes proposed by (Chehbouni et al., 2007b; Chehbouni et al., 2000; Ezzahar et al., 2007). Especially, the retrieval of instantaneous evaporative fraction relied on a simple aggregation scheme and surface radiometric temperature, to derive instantaneous estimates of the energy balance components. This aggregation

scheme can potentially retrieve fluxes from TIR remote sensing data at coarser spatial resolution, by using higher resolution solar remote sensing data. The proposed method was validated using ground based measurements of energy balance components, obtained by area-averaging surface fluxes measured over individual fields. This validation exercise provided very promising results, thanks to the effective procedures proposed for both spatial and temporal upscaling. This indicates the proposed method may be used for estimating evapotranspiration over heterogeneous irrigated areas in semiarid regions, given that no adjustment was performed when transferring the methodology from the environmental conditions of Central Morocco to those of the Yaqui Valley. Another interest is retrieving diurnal surface fluxes, as valuable information to be assimilated into hydrological models, for improving the performances of these models.

Finally, it is worth noting that these very promising initial results have to be confirmed by implementing the proposed approach using datasets which include airborne and/or space-borne remotely sensed observations and ground based measurements of surface fluxes collected for heterogeneous conditions. Indeed, to provide the much needed information about water use and consumption at the irrigation district scale, it is necessary using remote sensing data and meteorological information from observation networks and/or weather forecasts. Then additional questions rise in terms of applicability and accuracy: performances of atmospheric corrections, uncertainties on retrievals of land surface variables (albedo, temperature, LAI, roughness length...), influence of directional effects and sub pixel heterogeneities, relevance of meteorological information from observation networks and/or weather forecasts. A forthcoming field experiment over the same irrigated zone will allow us to address these questions, about the operational use of the approach proposed in the current study.

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## TABLE CAPTIONS

**Table 1:** Summary of vegetation, radiative properties and soil moisture conditions for the three adjacent fields during the study period.

	chickpeas	cotton	wheat
crop height (m)	0.50	0.25	0.95
LAI (-)	0.50	0.15	2.50
albedo (-)	0.22	0.2	0.18
emissivity (-)	0.97	0.98	0.98
Mean soil moisture (%)	21	38	28



## FIGURE CAPTIONS

**Figure 1:** Location of the study site and overview of the irrigation district

**Figure 2:** Experimental design.

**Figure 3:** Diurnal behavior (9:00 to 17:00) of Evaporative Fraction (EF) over the 3 sites during the study period.

**Figure 4:** Comparison between observed and simulated area-averaged sensible heat flux values at 14h00. Observed  $H_{EC}$  is obtained by area-averaging sensible heat flux measured over each individual field. Simulated values are obtained through the use of the Model ( $H_{Lhomme}$ ) coupled to effective surface parameters derived from aggregation procedure.

**Figure 5:** Comparison between observed and simulated area-averaged available energy values at 14h00. Observed  $AE_{Meas}$  is obtained by area-averaging available energy measured over each individual field. Simulated  $AE_{Sim}$  is obtained using aggregated values of surface parameters.

**Figure 6:** Comparison between area-averaged latent heat flux at 14h00 derived from simulations and observations. Observed LE is obtained by area-averaging latent heat flux measured over each individual field. Simulated area-averaged  $LESim$  is computed as the residual of energy budget:.

**Figure 7:** Comparison between diurnal courses of area-averaged evaporative fraction derived from simulations (Area-averaged simulated EF) and observations (Area-averaged measured EF).

**Figure 8:** Comparison between diurnal course of area-averaged available energy derived from simulations ( $\langle AE_{Sim} \rangle$ ) and observations ( $\langle AE_{Meas} \rangle$ ).

**Figure 9:** Scatter plot of area-averaged measured ( $\langle LE_{EC} \rangle$ ) and simulated ( $\langle LE \rangle$ ) values for the diurnal course of latent heat flux. Simulations were obtained using EF parameterization along with AE measurements (dots). For illustration, the LE retrievals are also plotted when considering constant diurnal EF (crosses) rather than the proposed parameterization.

**Figure 10:** Scatter plot of area-averaged measured ( $\langle LE_{EC} \rangle$ ) and simulated ( $\langle LE_{EF\ Sim, AE\ Sim} \rangle$ ) values for the diurnal course of latent heat flux. Simulations were obtained using EF and AE parameterizations.

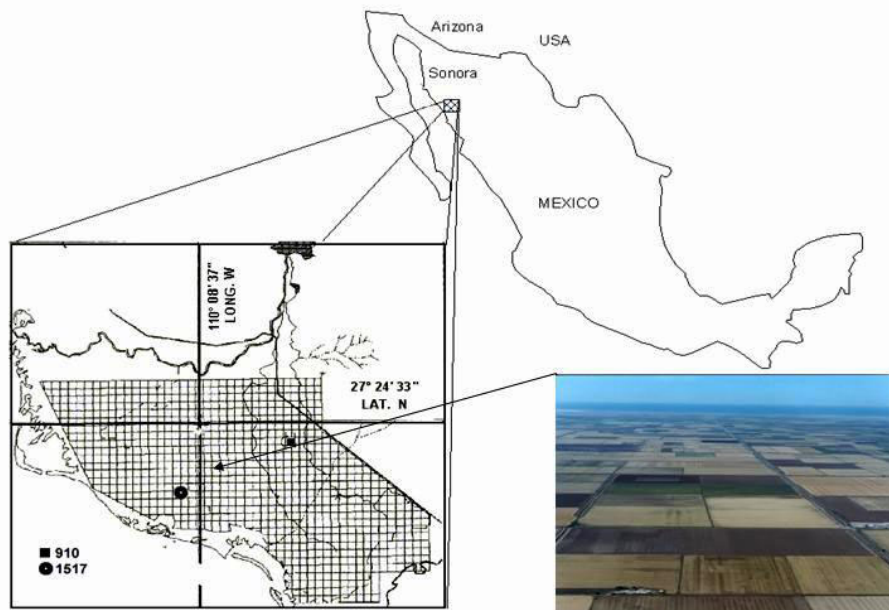


Figure 1



Figure 2

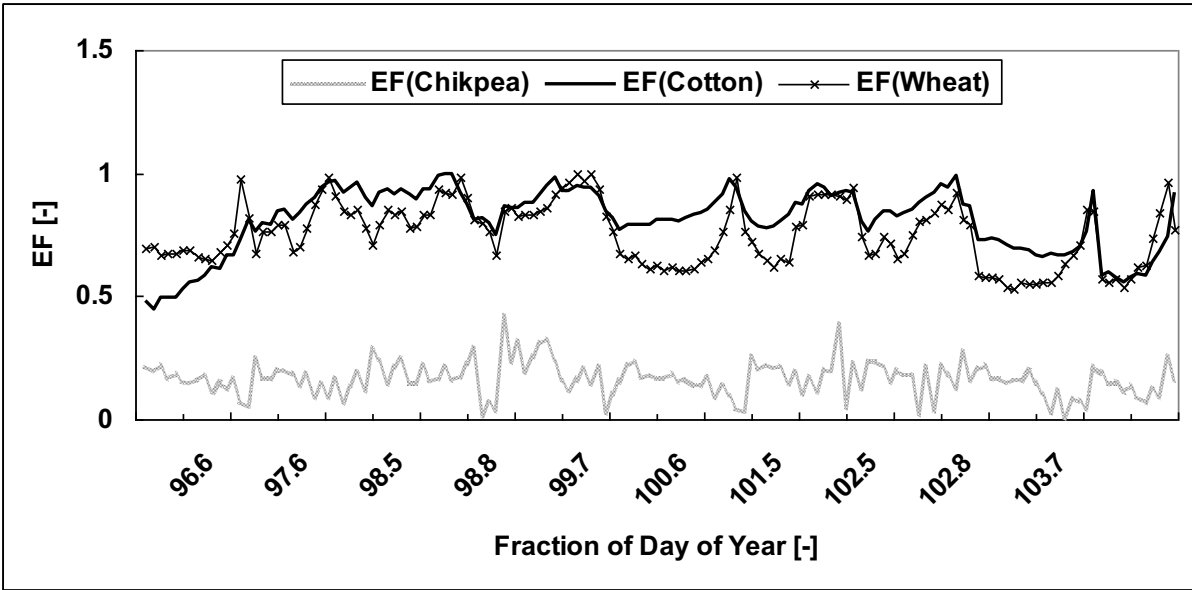


Figure 3

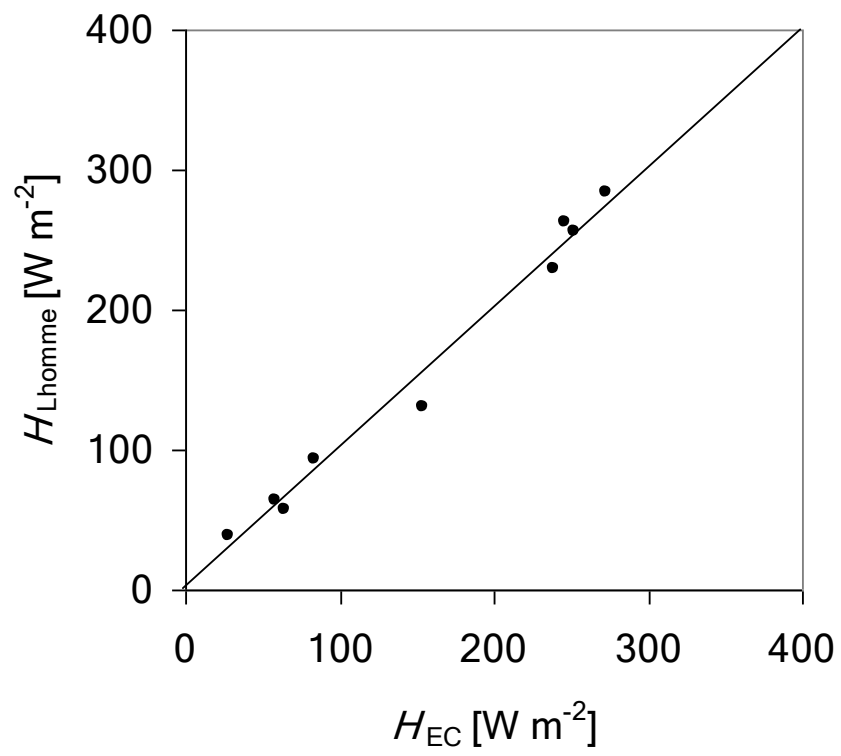


Figure 4

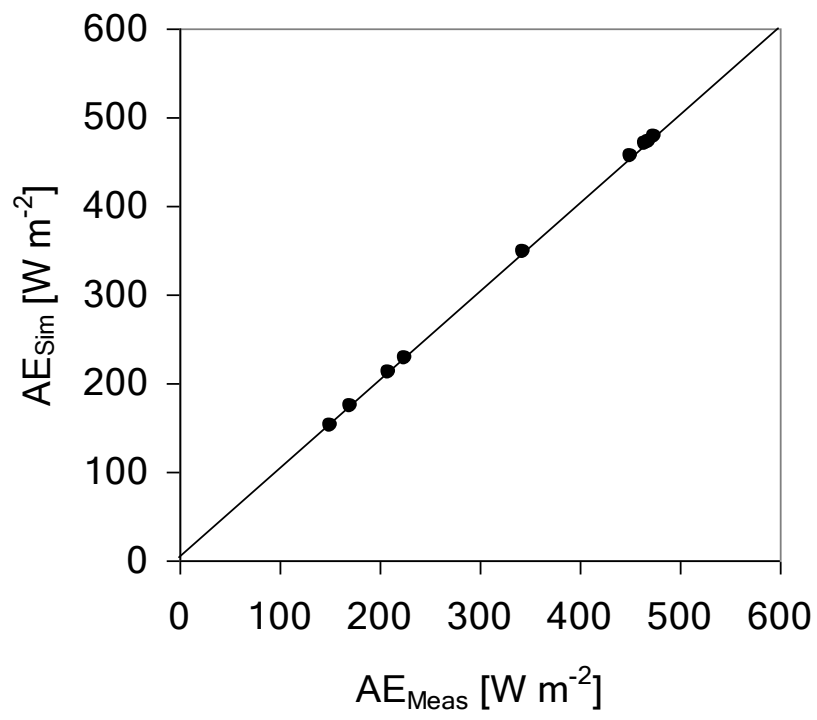


Figure 5

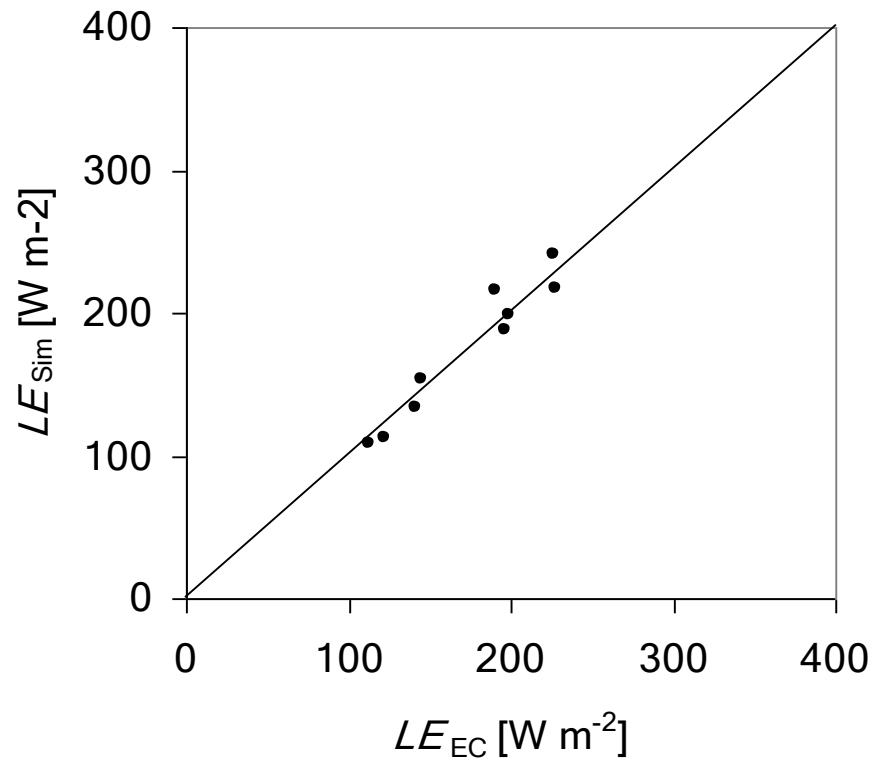


Figure 6



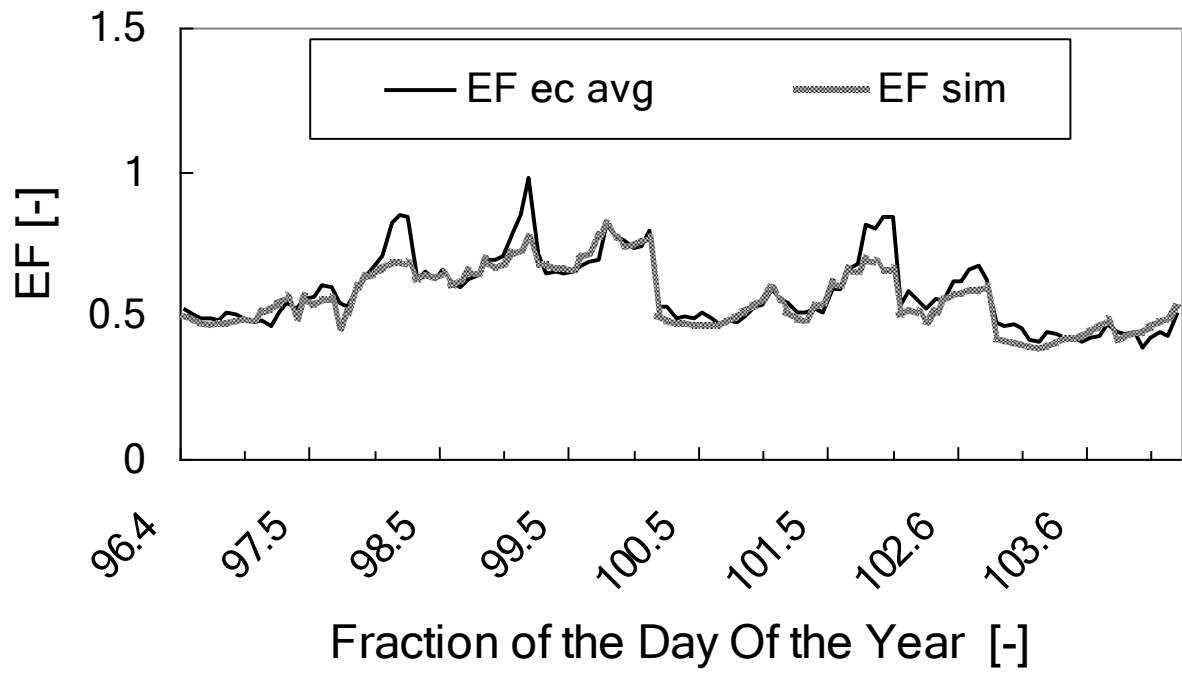


Figure 7

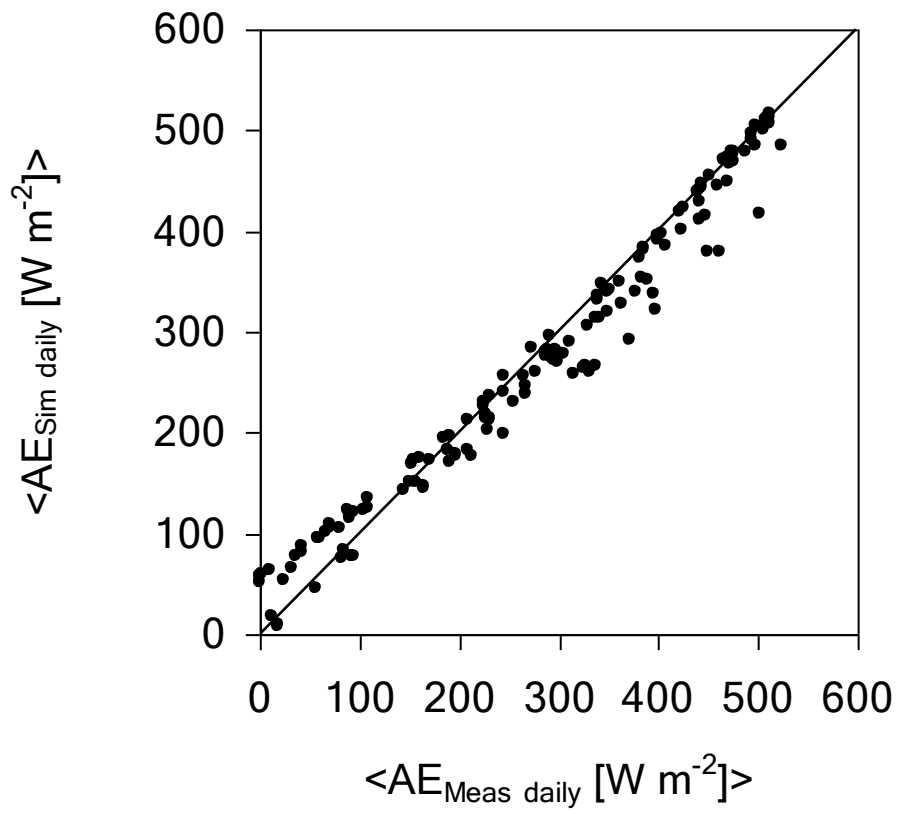


Figure 8

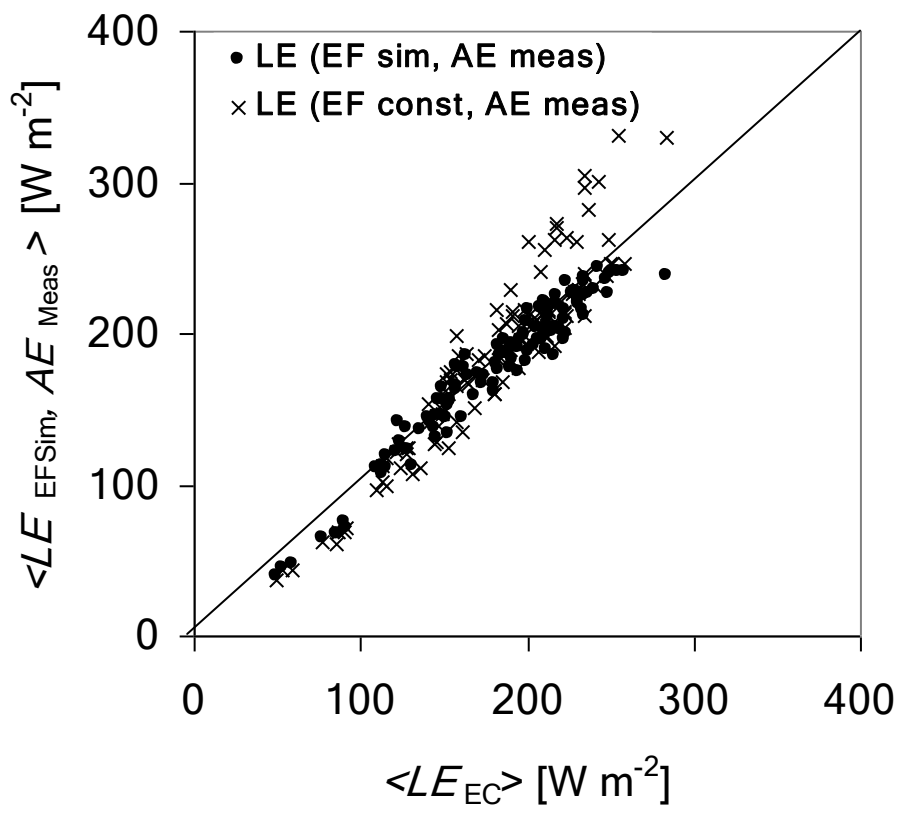


Figure 9

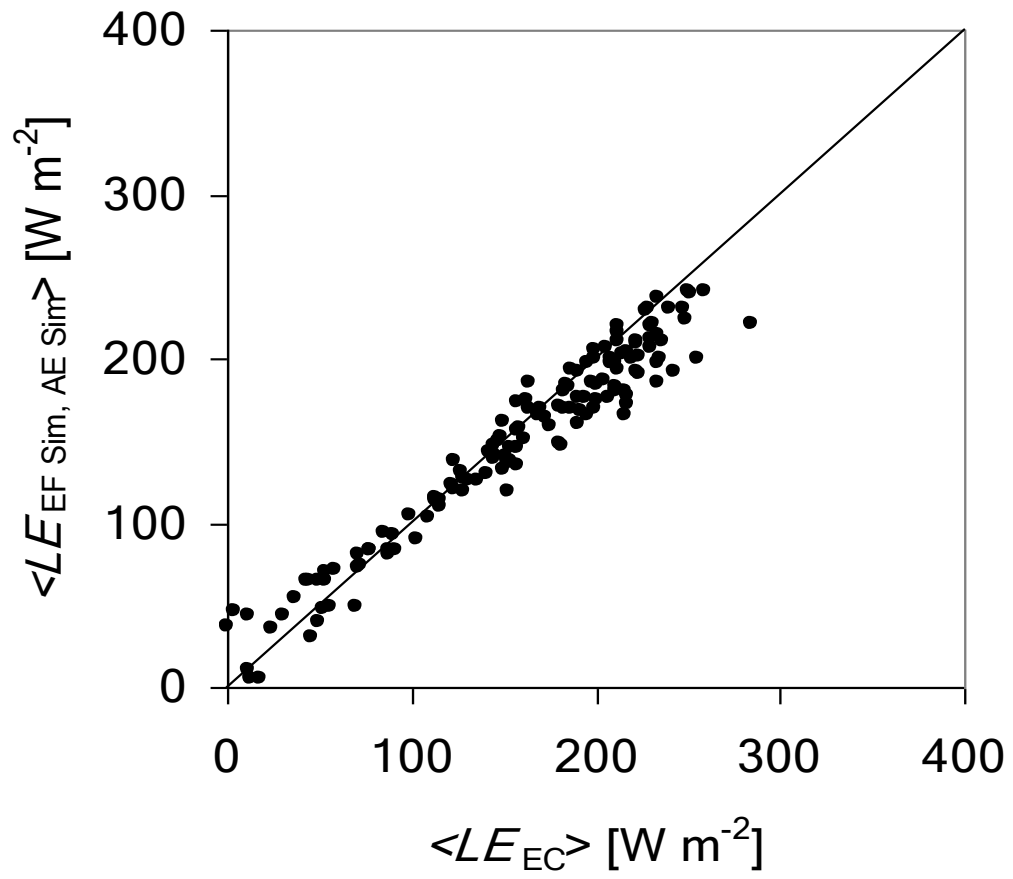


Figure 10