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# Long distance dispersal and the fate of a gene from the colonisation front

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# 1 Long distance dispersal and the fate of a gene 2 from the colonisation front

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15 Short running title: LDD and the gene surfing phenomenon

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## 16 Abstract

17

18 There is an increasing recognition that Long Distance Dispersal (LDD) plays a key role in  
19 establishing spatial genetic structure during colonisation. Recent works, focused on short  
20 distance dispersal, demonstrated that a neutral mutation arising at the colonisation front can  
21 either “surf” with the wave front and reach high frequencies or stay near its place of origin at  
22 low frequencies. Here, we examine how LDD, and more generally the shape of the dispersal  
23 kernel, modifies this phenomenon and how colonisation domain size affects the fate of the  
24 mutation. We demonstrate that when LDD events are more frequent, the “surfing  
25 phenomenon” is less frequent and the loss of diversity is attenuated. We also demonstrate that  
26 the width of the colonisation domain influences the fate of the mutation, wide spaces  
27 decreasing the probability of invasion. Overall, the genetic structure of diversity resulted not  
28 only from LDD but particularly from the shape of the dispersal kernel.

29

## 30 Keywords:

31 Long distance dispersal, range expansion, founder effect, spatial genetic structure, mixing of  
32 genes, surfing phenomenon

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## 33 1. INTRODUCTION

34

35 Both empirical and theoretical studies of colonisation and the biological processes  
36 operating during colonisation (e.g. dispersal) have become crucial in analysing population  
37 biology. Many threats to biodiversity are directly related to the colonisation process (Hewitt,  
38 2000). Colonisation is of major interest for predicting a species' response to global warming  
39 (McLachlan et al., 2005), designing conservation practices (Higgins et al., 1996, Trakhtenbrot  
40 et al., 2005) and managing invasive species (Higgins et al., 1996, Shigesada & Kawasaki,  
41 1997). The study of colonisation has also helped understanding how trees recolonised  
42 continents so rapidly after the last glaciation (Clark et al., 1998) and it can potentially give  
43 insights about the current structure of forest diversity (Austerlitz & Garnier-Gere, 2003, Petit  
44 et al., 2004).

45 Long distance dispersal (LDD) is now accepted as a key factor in the colonisation  
46 process, which influences both population expansion dynamics and spatial structure of genetic  
47 diversity (Bohrer et al., 2005, Cain et al., 2000, Nathan & Muller-Landau, 2000). LDD events  
48 occur at low frequency with thin-tailed dispersal kernels (i.e. dispersal kernels with  
49 exponentially bounded tails, (Kot et al., 1996)) but are more frequent with fat-tailed dispersal  
50 kernels (i.e. dispersal kernels with non-exponentially bounded tails). Rather than  
51 characterizing LDD solely by the proportion of genes dispersed further than a fixed dispersal  
52 distance, the shape of the dispersal kernel, and particularly its tail, is now considered to be the  
53 main determinant of population expansion dynamics and genetic diversity (Clark et al., 2001,  
54 Klein et al., 2006, Kot et al., 1996). Dynamic models have shown that the recolonisation of  
55 the northern hemisphere by trees would have been impossible without the occurrence of LDD  
56 events (Clark et al., 1998, Davies et al., 2004, LeCorre et al., 1997), which significantly  
57 increased colonisation speed. Currently, expansion dynamics with LDD can be simulated

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58 using models with fat-tailed dispersal kernels (Kot et al., 1996) or a mixture of thin-tailed  
59 kernels at several spatial scales, such as Gaussian mixture kernels (LeCorre et al., 1997).

60 From a population genetics point of view, LDD can have two opposite effects: it can  
61 either increase founder effects (Lambrinos, 2004) or promote gene mixing (or propagules,  
62 haplotypes, genotypes) at long distances from the sources (Klein et al., 2006). Because LDD  
63 events increase founder effects, they tend to reduce diversity in rectangular domains (i.e.  
64 corridors). This was illustrated with Gaussian mixture kernels (i.e. thin tailed dispersal kernels  
65 but with a significant amount of LDD) for which the founder effects can possibly lead to an  
66 almost total loss of diversity through an “embolism effect” (Petit et al., 2004): only one gene  
67 finally occupies the whole front in a corridor and prevents other genes from reaching empty  
68 spaces. Regardless of the total area of the simulation space, it is expected that it would be  
69 more difficult for a particular gene to block the progression of the other genes when  
70 simulation space width (i.e. the smallest dimension of the rectangular area) increases, because  
71 the time required to establish a sufficiently large population increases with simulation space  
72 width. Surprisingly, no effect of suitable domain width has been shown in the literature, even  
73 though some authors have directly tested for it (Bialozyt et al., 2006) and despite the fact that  
74 it seems critical to create an “embolism effect”. As opposed to founder effects caused by LDD  
75 events, fat-tailed dispersal kernels are expected to improve gene mixing at long distances  
76 from the front (Klein et al., 2006). This result suggests that LDD can lead to the conservation  
77 of genetic diversity at the population level. Thus, the effect of LDD on the genetic structure of  
78 a population during a range expansion is not completely understood, potentially because of  
79 the complexity introduced by two opposite effects, founder effects and gene mixing, which  
80 occur at both local and global scales. Further attention should be paid to the choice of the  
81 dispersal kernel used in colonisation models and its possible effect on genetic structure  
82 (Ibrahim, 2004, Ibrahim et al., 1996).

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83 Edmonds et al. (2004), Hallatschek et al. (2007) and Wei & Krone (2005) have shown  
84 that, without LDD, a neutral mutation arising at a colonisation front has only two possible  
85 fates: it can either stay near its place of origin at low frequency or travel with the front and  
86 colonize a large area thus reaching high frequencies in the newly colonized sections of the  
87 landscape. Using a set of simulated colonisations, Edmonds et al. (2004) obtained bimodal  
88 distributions for the mutant frequency at the end of colonisation and the distance travelled by  
89 the mutant centroid (i.e. the mean position of mutant individuals) from the occurrence of the  
90 mutant to the end of the colonisation. The mechanism involved in this type of colonisation has  
91 been called the “surfing phenomenon” because mutants seem to travel with the colonisation  
92 front (Vlad et al., 2004a, Vlad et al., 2004b, Vlad et al., 2005, Wei & Krone, 2005). Edmonds  
93 et al. (2004) support that LDD is not required for diversity loss and that invasion by one gene  
94 can occur relatively frequently without LDD, whereas Petit et al. (2004) suggest that  
95 population invasion by one gene is due to LDD events, linking *de facto* LDD to a loss of  
96 diversity. This discrepancy might be related to the particular dispersal kernel used for  
97 modelling LDD by Petit et al. (2004), i.e. a Gaussian mixture kernel (thin-tailed kernel) rather  
98 than a fat-tailed kernel.

99 Recent simulation studies have also shown an erosion of diversity with short distance  
100 dispersal during colonisation (Hallatschek et al., 2007, Hallatschek & Nelson, 2008).  
101 Hallatschek & Nelson (2008) formalized mathematically how the colonisation process gives  
102 rise to a gradual loss of diversity, due to repetitive samplings of lineages within the  
103 colonisation front. With LDD, we expect that this erosion would not take place at the same  
104 rate because individuals in the population core can also colonize empty spaces and thus  
105 maintain a higher level of diversity. As the final mutant frequency in one simulation run of  
106 the Edmonds’ model measures the reproductive success of a single individual (or gene)  
107 sampled at the colonisation front, the distribution of mutant frequencies over many replicated

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108 simulations represents the distribution of reproductive successes among individuals located at  
109 the colonisation front. Therefore, from a population genetics point of view, the bimodality of  
110 mutant frequencies obtained after many simulations in Edmonds et al. (2004) can be  
111 interpreted as a high variance in reproductive success of individuals located at the  
112 colonisation front, i.e. a reduced effective population size leading to diversity loss. As  
113 expected from population genetics theory, in the Edmonds et al. (2004) model, the variance of  
114 reproductive success increased with population growth rate and decreased with population  
115 carrying capacity (Klopfstein et al., 2006). The Edmonds' model provides an interesting  
116 framework for testing the effect of LDD events on genetic diversity during colonisation, with  
117 clear conclusions obtained using only short distance dispersal. It also provides an efficient  
118 method to evaluate variance in reproductive successes of individuals in the population.

119 In this study, we constructed an original model based on Edmonds et al. (2004) that  
120 can account for LDD, using a variety of dispersal kernels to simulate the colonisation of a  
121 rectangular grid of demes where progeny of a single individual can be traced. Our goal was to  
122 examine (i) how the fate of a neutral mutant arising at the colonisation front is affected by  
123 LDD, specifically by the weight of the tail of the dispersal kernel, and (ii) how the width of  
124 the colonisation domain where simulation takes place influences the probability of mutation  
125 success.

## 126 127 **2. MATERIALS AND METHODS**

### 128 129 **(a) *Population Dynamics Model***

130  
131 The model simulates haploid individuals (or maternally inherited genes) that reproduce,  
132 disperse and die, with non-overlapping generations. Individuals were distributed within a grid

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133 of demes, with width (i.e. the number of rows) varying from 5 to 50 and a length (i.e. the  
134 number of columns) of 200 demes (for a total of 10 grid sizes). Individuals were not explicitly  
135 positioned in their demes, but only spatially characterized by the deme to which they belong.  
136 We assumed that (i) the quantity of offspring dispersed at any position inside a deme centered  
137 on  $(x',y')$  depended only on the position  $(x',y')$  of the recipient deme relative to the parental  
138 deme  $(x,y)$ , based on the 2D dispersal kernel  $\gamma(x'-x,y'-y)$ , and (ii) the demographic processes  
139 inside a deme were identical for all demes. At each simulation step, all the offspring were  
140 dispersed from the centre of their grid cell. Individuals dispersed outside the grid were  
141 discarded. We then summed the number of individuals arriving in each cell to calculate the  
142 dispersal stage. We could thus write a reproduction-dispersal model:

$$143 \quad (1) \quad n_{res}(k, t+1) = \sum_{l \neq k} f(N_{res}(l, t), N_{tot}(l, t)) \gamma(x_k - x_l, y_k - y_l) \Delta_{cell} + (1-m) f(N_{res}(k, t), N_{tot}(k, t))$$

$$144 \quad (2) \quad n_{mut}(k, t+1) = \sum_{l \neq k} f(N_{mut}(l, t), N_{tot}(l, t)) \gamma(x_k - x_l, y_k - y_l) \Delta_{cell} + (1-m) f(N_{mut}(k, t), N_{tot}(k, t))$$

145 where  $n_{res}(k, t)$  and  $n_{mut}(k, t)$  are, respectively, the expected number of non-mutant and mutant  
146 individuals in deme  $k$  at time  $t$ ;  $N_{res}(k, t)$  and  $N_{mut}(k, t)$  are the actual numbers of non-mutant  
147 and mutant individuals in deme  $k$  at time  $t$ ;  $N_{tot}(k, t)$  is the actual total number of individuals in  
148 deme  $k$  at time  $t$ ;  $f$  is a function describing the demography within a deme (see below) and  $\gamma$   
149 is the 2D dispersal kernel ;  $\Delta_{cell}$  is the area of a cell (1 in our system) and  $m$  is the emigration  
150 rate (i.e. the integral of the kernel outside of the parental cell, see below). In this model,  
151 individuals were either mutants or not and this trait was inherited by the progeny. Per  
152 simulation run, only one copy of the mutant was introduced, in a pre-determined cell of the  
153 grid (see below). Other copies originate only from reproduction, mutation is neglected.

154 The local demography within a deme is described by:

$$155 \quad (3) \quad f(N_{res}(k, t), N_{tot}(k, t)) = N_{res}(k, t) \frac{rK}{rN_{tot}(k, t) - N_{tot}(k, t) + K}$$



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$$(4) \quad f(N_{mut}(k, t), N_{tot}(k, t)) = N_{mut}(k, t) \frac{rK}{rN_{tot}(k, t) - N_{tot}(k, t) + K}$$

156 where  $r$  is the intrinsic growth rate,  $K$  is the carrying capacity of each deme. Non-mutant and  
157 mutant individuals were thus indistinct for the resource competition. The values of the  
158 parameters were fixed at  $r = 5$  and  $K = 20$  in all simulations described below. Klopstein et al.  
159 (2006) showed that an increase in growth rate increases the probability of surfing contrary to  
160 an increase in carrying capacity. Actually, the rate of mutant surfing was proportional to  $\frac{r}{Km}$   
161 (where  $m$  is the migration rate). With LDD, these results are expected to be unchanged since  
162 (i) high  $r$  values should still allow the new mutant to rapidly reach high local densities, and  
163 thus counteract non-mutants immigration and (ii) high values of  $Km$  decrease the intensity of  
164 genetic drift in the saturated parts of the corridor, and thus promote mutant survival at a low  
165 frequency. In this model, when the total number of individuals in one deme was higher than  
166 the carrying capacity, the net growth rate of the population was lower than 1 (i.e. the  
167 population size decreased) but larger than 0 (i.e. the population did not go extinct  
168 instantaneously). Because computational time did not allow us to investigate the effect of  
169 deme extinctions, we made this choice to reduce the number of deme extinctions due to  
170 negative net growth rate. Furthermore, we focus on the colonisation phenomenon and not the  
171 metapopulation behaviour once the space is filled. Demographic stochasticity was taken into  
172 account by assuming:

$$(5) \quad N_{res}(k, t+1) = P(n_{res}(k, t+1))$$

$$(6) \quad N_{mut}(k, t+1) = P(n_{mut}(k, t+1))$$

$$(7) \quad N_{tot} = N_{res} + N_{mut}$$

177 where  $P(\lambda)$  is a Poisson distribution with mean  $\lambda$ .

178

179 **(b) Dispersal kernels**

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180 Simulation of dispersal was performed using a 2D dispersal kernel, i.e. a function  
181 representing the probability for a gene to reach a 2D position relative to the emitting position  
182 (0,0). We only used isotropic kernels, meaning that  $\gamma(x,y)$  only depended on the distance  $d$   
183 between (0,0) and  $(x,y)$ . We used a wide variety of dispersal kernels that include LDD, i.e.  
184 which varied in terms of tail shapes or kurtosis coefficient. We used nine different dispersal  
185 kernels  $\gamma$  (Fig. 1 and Table 1): a Gaussian kernel to investigate only short distance dispersal (it  
186 is known to be a continuous model that behaves like the stepping-stone process (Mollison,  
187 1977) used in Edmonds et al. 2004 and Klopstein et al. 2006), two 2Dt kernels (Clark et al.,  
188 1998), two exponential power (EP) kernels (Clark et al., 1998, Klein et al., 2006) and four  
189 Gaussian mixture kernels (Austerlitz & Garnier-Gere, 2003, Bialozyt et al., 2006, LeCorre et  
190 al., 1997). Among the four Gaussian mixture kernels, two had a Gaussian kernel with large  
191 variance (i.e. equal to 50, scale parameter  $b = 10$ ) and the other two had a smaller variance  
192 (i.e. equal to 12.5, scale parameter  $b = 5$ ). Within these two groups, one Gaussian mixture  
193 kernel had a high (0.1) proportion of events following the Gaussian with high variance; the  
194 other had a low proportion (0.01). To make comparisons meaningful, we chose the parameters  
195 of each dispersal kernel so as to provide similar migration rate ( $m$ ) and mean distance  
196 travelled ( $\delta$ ):

$$197 \quad (8) \quad (1-m) = \int_{-1/2}^{1/2} \int_{-1/2}^{1/2} \gamma(x,y) dx dy = 0.8$$

$$198 \quad (9) \quad d = \int_{od} \gamma(x,y) \sqrt{x^2 + y^2} dx dy = 3$$

199 where  $m$  is the emigration rate (the expected proportion of individuals emigrating from one  
200 deme to others),  $\delta$  is the mean distance dispersal (the expected number of demes travelled),  
201 the first integral is an integration on the square area of the deme centred in  $\theta$  and the second  
202 integral is an integration on  $od$ , the remaining area, with  $od = R^2 - [-1/2, 1/2]$ . Finally, by  
203 verifying  $\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \gamma(x,y) dx dy = 1$ , all dispersal kernels are 2D density probability functions.

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204 The choice of  $\delta = 3$  was made to ensure a sufficient resolution of the dispersal kernel when it  
205 is discretized on a grid (for instance, rapid variations of dispersal probabilities typical of  
206 peaked kernels are poorly resolved with  $\delta = 1$ ). Since the value of  $\delta$  does not vary in this  
207 study, only the values of the domain width matter for the fate of the mutant. The individuals  
208 dispersing out of the grid were discarded, which results in a loss of less than 2% of  
209 individuals when the thinner dispersal kernel (Gaussian kernel) is discretised with a domain  
210 width of 5. This loss is less than 4% when the fatter dispersal kernel (exponential power  
211 ( $b=0.25$ )) is discretised with the same domain width.

### 212

### 213 **(c) Simulation Design**

214

215 Each simulation started by placing individuals at carrying capacity ( $K$ ) in all demes located in  
216 the first left column of the grid. The deme in which the mutation will occur is decided  
217 (*longitude* = 5<sup>th</sup> or 30<sup>th</sup> column; *latitude* = 25 or 50% of the maximum latitude size). The run  
218 was then divided into two temporal parts:

- 219 (i) in a first part, we let non-mutant individuals reproduce and disperse, with a given  
220 *initial* dispersal kernel, until the deme where mutation should occur is reached, in  
221 order to establish an initial population;
- 222 (ii) then, we placed one mutant individual in the initial population and chose another  
223 dispersal kernel for both mutant and non-mutant individuals, called *colonisation*  
224 kernel.

225 This scheme was chosen to isolate the effect of the spatial pattern of the initial population  
226 where the first mutant individual appeared (generated through the initial kernel) from the  
227 effect of LDD during colonisation after the appearance of the mutant. We did not directly  
228 manipulate the initial population's spatial pattern because we wanted to use a realistic pattern,

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229 i.e. generated by individuals reproducing and dispersing. We simulated all 9x9 combinations  
230 of each initial kernel and each colonisation kernel.

231 For each simulation, we chose the deme where we would place the first mutant  
232 individual from four positions that varied in their longitudinal and latitudinal coordinates  
233 (*longitudes* = 5<sup>th</sup> or 30<sup>th</sup> column; *latitudes* = 25 or 50% of the maximum latitude size), in order  
234 to control the initial population depth (longitude) and edges effect (latitude). The longitudinal  
235 axis was parallel to colonisation direction and latitudinal axis perpendicular to it. Then we  
236 used one given initial kernel to disperse individuals until at least one individual had reached  
237 the chosen deme. For each initial population thus obtained, we replaced one non-mutant  
238 individual by one mutant in this deme. Then we used one colonisation kernel (which could be  
239 different from the initial kernel) to disperse individuals until they reached at least half the  
240 demes located in the right-hand column of the grid. For each combination of initial and  
241 colonisation dispersal kernels, we counted the number of runs where no mutant individual  
242 persisted, which made it possible for us to compute survival probabilities ( $surv = \frac{success}{Total}$ ).  
243 Then, at the end of each successful repetition, i.e. when at least one mutant individual  
244 persisted until the end of the colonisation process, we measured individual mutant frequencies  
245 over all demes, the number of demes colonized by the mutant (i.e. demes with at least one  
246 mutant at the end of the colonisation) and the distance travelled by the mutant centroid (the  
247 mean position of the mutant individuals). Within successful simulations, we also counted the  
248 percentage of simulations where mutant frequencies were superior to 50% and called this the  
249 probability of surfing. We generated ten initial populations for each of the nine initial kernels  
250 and ten replicates of colonisation for each colonisation kernel and each initial population to  
251 take into account the variability of colonisation histories. This design resulted in 10 (grid  
252 sizes) x 4 (mutant positions) x 9 (initial kernels) x 10 (initial populations) x 9 (colonisation  
253 kernels) x 10 (repetitions), i.e. a total of 324000 simulations.

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254 Since our focus was the colonisation process itself, we defined the end of the  
255 colonisation process as the time when at least half of the demes situated at the right edge of  
256 the grid were occupied. To follow the structure of genetic diversity over that time and in the  
257 generations after the end of colonisation, we ran additional simulations where we computed  
258 the fixation index ( $F_{st}$ ) at each generation as follows:

$$(10) \quad F_{st} = \frac{\bar{p}(1-\bar{p}) - \overline{p(1-p)}}{\bar{p}(1-\bar{p})}$$

260 where  $p$  is the mutant frequency per deme.  $\bar{p}(1-\bar{p})$  is the average number of pairs of  
261 different individuals (mutant – non-mutant) inside one deme and  $\overline{p(1-p)}$  is the number of  
262 pairs of individuals that are different throughout the whole population. These simulations  
263 were conducted as described above except that we used only one grid width of 25 x 200  
264 demes, two dispersal kernels, the thinnest and the fattest kernels (Gaussian and exponential  
265 power) and the same kernels both for creating initial populations and colonizing. We let each  
266 simulation run for 1000 generations (the mean number of generations needed to colonize the  
267 grid was approximately 200 for both kernels). This design resulted in 2 (kernels) x 100  
268 (repetitions) = 200 simulations. Continuing the simulations long after the end of the  
269 colonisation aimed at evaluating if the spatial genetic structure designed by the colonisation  
270 process lasts long.

271 As a neutral model, we compared our results with that of a sparse population growing  
272 to fill an almost empty rectangular domain. We ran similar simulations using a single grid size  
273 (25 x 200 demes) and two dispersal kernels (Gaussian and exponential power ( $b=0.25$ ) used  
274 as both initial and colonisation kernels) with all demes of the initial population shuffled over  
275 the whole grid from the moment when we introduced the mutant individual (however, we did  
276 not change the deme where the first mutant individual was introduced). We let each

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277 simulation run for 200 generations and we measured the frequency of mutant individuals over  
278 all demes. 10000 simulations were performed for each dispersal kernel.

279 To characterize the effect of the initial kernel on the spatial structure of the population  
280 around the deme for the first mutant individual, we also simulated 9000 initial populations  
281 (1000 with each dispersal kernel). Here, we used a single grid size of 25 x 200 demes. We  
282 measured the number of occupied demes and the total number of individuals within a  
283 neighbourhood of 3 demes around the first mutant individual (a total of 24 demes). We tested  
284 for the effect of the dispersal kernel on these variables using a Kruskal-Wallis test.

285 Finally, we ran simulations with various positions for the first mutant individual, not  
286 always in the colonisation front. In these simulations, we used only one grid size of 25 x 200  
287 demes and two dispersal kernels (Gaussian and exponential power kernel ( $b=0.25$ )), using the  
288 same kernel to set up the initial population and to colonize. The first mutant was always  
289 introduced when at least one individual had reached the 30th column. A first mutant was only  
290 introduced if the deme was not empty or if a non-empty deme in the same row existed. We  
291 chose four classes of longitudes for the occurrence of the first mutant individual (1st-10th  
292 columns, 11th-20th columns, 31st-40th columns, 41st-50th columns). Inside these classes of  
293 demes, we randomly chose the longitude and the latitude of the first mutant individual. We  
294 chose these classes because the two first classes of longitudes are in the part of the population  
295 at carrying capacity and the two last classes of longitudes are in the part of the population  
296 where individual density decreases from  $K$  to 0 (lower individual density than above). We  
297 used ten different initial populations and, for each initial population, we made 5000  
298 repetitions for each position of the first mutant individual. This last design resulted in 2  
299 (kernels) x 10 (initial populations) x 5000 (repetitions) = 100000 simulations.

300

### 301 **3. RESULTS**

302

303

Grid width was the main determinant of survival probability and surfing phenomenon.

304

As grid width increased (and thus population size), the probability of a mutation travelling

305

with the colonisation front decreased (fig.2). When the width of the domain was not too large

306

(< 15-20 rows), the distribution of mutant frequencies at the end of colonisation was bimodal

307

when aggregating the results from all nine dispersal kernels, confirming results from

308

Edmonds et al. (2004). For widths larger than 20 rows, bimodality was lost in all nine

309

dispersal kernels (results not shown). These observations reveal the effect of grid size on the

310

“surfing phenomenon” (mutant individuals cannot preclude the progression of non mutant

311

individuals in large grids) and on the survival probability of the mutation (in large grids,

312

individuals have a higher survival probability and mutant individuals are more often

313

conserved at low frequencies, results not shown).

314

The effect of the dispersal kernel was examined at the level of the initial kernel and the

315

colonisation kernel. We found no effect for the initial kernel, either on the “surfing

316

phenomenon” (i.e. the probability of a mutation colonizing a large area and reaching high

317

frequencies) or on the survival probability of the mutation. In fact, the various initial kernels

318

did not yield any significantly different spatial aggregation of non-empty demes near the first

319

mutant individual nor any different numbers of individuals near the first mutant individual

320

(Kruskal-Wallis test on the number of occupied demes,  $p = 0.535$ , d.f. = 8,  $\chi^2 = 7.01$ , Kruskal-

321

Wallis test on the number of individuals,  $p = 0.525$ , d.f. = 8,  $\chi^2 = 7.11$ ).

322

For the fattest-tailed colonisation kernels (2Dt with  $b = 2$  or EP with  $b = 0.25$ ), the

323

bimodality of the distribution of mutant frequencies at the end of the colonisation process was

324

not clearly visible (fig.3), even if some mutants still succeed in reaching high frequencies.

325

The same pattern held true for the number of demes colonized or the distance travelled by the

326

centroid of mutant individuals. These fattest-tailed kernels also induced the lowest probability

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327 of surfing (i.e. the ratio between the number of simulations where the mutant frequencies at  
328 the end of the colonisation was higher than 50% and the total number of simulations where at  
329 least one mutant survived), followed by fat-tailed kernels *sensu stricto* (i.e. exponential power  
330 and 2Dt) and finally by Gaussian mixture kernels and the Gaussian kernel (fig.4). Fatter-tailed  
331 kernels also significantly increased mutation survival (fig.5).

332 Although fatter-tailed kernels always led to smaller probabilities of success for the  
333 mutant, the effect of the tail of the dispersal kernel was stronger for wider grids (Fig. 4). For  
334 grids wider than 25 rows, the probabilities of success for the 2 fattest tails are 10 times  
335 smaller than that for the Gaussian. At the opposite, mixture of Gaussian kernels always  
336 provided probabilities of success at most 2 times smaller than that of the Gaussian.

337 In 75% of our simulations where at least one mutant survived at the end of the  
338 colonisation, at least one mutant individual survived until twice the mean colonisation time  
339 for both thin- and fat-tailed dispersal kernels (Gaussian and exponential power, results not  
340 shown). On average, the fixation index  $F_{st}$  decreased by  $10^{-2}$  each 100 generations while  
341 values of  $0.38 \pm 0.1$  were observed at the end of successful colonisation. After the end of the  
342 colonisation, the regression slope of the fixation index over time (in number of generations)  
343 was  $-1.10^{-4}$  for both kernels (results not shown).

344 In the absence of a directional colonisation dynamic (i.e. neutral model), the frequency  
345 distribution of mutant individuals at the end of the colonisation was not bimodal. The  
346 maximum frequency of mutant individuals obtained after 10000 repetitions was 0.0056 for  
347 the Gaussian and 0.0051 for the exponential power kernels.

348 Using a Gaussian kernel, in 5000 simulations, no situation was found where the  
349 frequency of mutant individuals at the end of colonisation was higher than 0.015 when the  
350 first mutant individual occurred between the 1<sup>st</sup> and the 10<sup>th</sup> columns. When the first mutant  
351 individual occurred between the 11<sup>th</sup> and the 20<sup>th</sup> columns, only one simulation led to a



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352 frequency of mutant individuals at the end of colonisation which was higher than 0.015  
353 (frequency was 0.375). When the first mutant individual occurred between the 31<sup>st</sup> and the  
354 51<sup>st</sup> columns, the distributions of mutant individual frequencies at the end of colonisation  
355 presented the same pattern as that obtained when it occurred within the colonisation front  
356 (30<sup>th</sup> column), with a clear bimodality.

357 Using an exponential power kernel, we found high frequencies of mutant individuals  
358 at the end of colonisation whatever the position of the first mutant individual. The maximum  
359 frequency of mutant individuals reached 0.827 when the first mutant individual occurred  
360 between the 1<sup>st</sup> and the 10<sup>th</sup> columns, 0.585 when it occurred between the 11<sup>th</sup> and the 20<sup>th</sup>  
361 columns, 0.989 between the 31<sup>st</sup> and the 40<sup>th</sup> columns and 0.960 between the 41<sup>st</sup> and the 50<sup>th</sup>  
362 columns.

363 The position of the deme where the mutation occurred also influenced the frequencies  
364 of mutant individuals, with mutations arising near an edge (latitude effect) and later during  
365 colonisation (longitude effect), leading to lower mutant frequencies (Table 2).  
366

## 367 Discussion

368

369 Our original explicit colonisation model demonstrated the effect of LDD on the  
370 genetic structure established during a colonisation. Here, we show that the whole shape of the  
371 dispersal kernel influences the rate of surfing. Thus, the way LDD is taken into account in  
372 colonisation models is not a trivial choice, as previously demonstrated for demographic  
373 aspects of colonisation (Kot et al., 1996, Shaw, 1995, Wingen et al., 2007). In particular,  
374 Gaussian mixture kernels generate results that do not seem to be applicable to all kernels with  
375 LDD. The results we obtained concerning the probability of a “surfing” event using this

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376 kernel were more similar to those obtained using a Gaussian kernel rather than a fat-tailed  
377 kernel. LDD is often modelled by a Gaussian mixture kernel, but this dispersal kernel is not  
378 fat-tailed *sensu stricto*. And our results show that an important difference between Gaussian  
379 and fat-tailed kernels is not only presence vs. absence of LDD events, but the relative  
380 frequencies of the different distances travelled by seeds (i.e. the whole shape of the tail) that  
381 determine if the accentuation of founder effect is compensated by gene mixing (Klein et al.,  
382 2006).

383         A major result of this study is that the bimodality of mutant frequency distributions  
384 only appeared with thin-tailed dispersal kernels, i.e. the Gaussian and the Gaussian mixture  
385 kernel, and not with fat-tailed dispersal kernels. LDD is the underlying mechanism that allows  
386 migrants to jump above a surfing gene and establish a new focus (i.e. a new population far  
387 ahead of the colonisation front). However, if LDD is sufficiently frequent it also prevents the  
388 “surfing phenomenon” from occurring, i.e. prevents an individual at the colonisation front  
389 from colonizing a large area and reaching high frequencies. This confirms the analytical  
390 results obtained by Klein et al. (2006) and the predictions made by Ibrahim (2004). When  
391 dispersal only occurs at short distances, the individuals located at the colonisation front are  
392 the only ones to contribute to the next generation of individuals located at the colonisation  
393 front, whereas all individuals in the population participate in the creation of the next  
394 generation of individuals located at the colonisation front in fat-tailed kernels. This result was  
395 also confirmed when the first mutant individual was placed far inside the initial population.  
396 The fat-tailed dispersal kernel provided examples of genes very far from the front that finally  
397 reached high frequency (but not as often as individuals at the front with short distance  
398 dispersal). This was probably because a long distance event established an offspring in the  
399 uncolonized area, whereas no such case was observed among simulations with the Gaussian  
400 kernel.

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401 The distribution of mutant frequency at the end of colonisation represents the  
402 distribution of the reproductive successes of individuals at the colonisation front (each  
403 simulation run representing the reproductive success of a particular individual). Therefore, the  
404 distribution of mutant frequencies at the end of colonisation is directly linked to effective  
405 population size ( $N_e$ ) and to intensity of genetic drift that drives the genetic diversity observed  
406 at the end of colonisation. Distributions with high variance indicate a potential loss of  
407 diversity (low  $N_e$ ) due to the invasion of a single lineage, whereas distributions with low  
408 variance indicate the potential conservation of diversity (several lineages can survive until the  
409 end of the colonisation).

410 Within this framework, the results presented here contradict the intuitive idea that  
411 LDD leads to a loss of diversity during colonisation due to founder effects (Haag et al., 2006).  
412 Here, we found higher effective population size associated with fatter-tailed kernels, showing  
413 that LDD can lead to a better conservation of diversity. First, even if some mutations could  
414 eventually reach high frequencies at the end of the colonisation, this was much less frequent  
415 than for thin-tailed kernels as denoted by the absence of clear bimodality in the mutant  
416 frequency distribution (Fig. 3). Second there was no position in the population that gave  
417 individuals in this position a zero chance of “surfing”, when the dispersal kernel was fat-  
418 tailed. This was exemplified by the fact that individuals at the population core could also  
419 reach high frequencies with fat-tailed kernels, as did individuals at the front. Third, mutants  
420 had a higher probability of survival with fat-tailed kernels. Altogether, these results seem to  
421 indicate that fat-tailed kernels lead to a better conservation of diversity. Furthermore, our  
422 results emphasize the role that long distance gene mixing plays in structuring genetic diversity  
423 during colonisation by tempering the impact of founder effects in the set-up of a spatial  
424 genetic structure. This result contrasts with the conclusion of Bialozyt et al. (2006) who only  
425 used a Gaussian mixture kernel to model LDD and who did not control the mean dispersal

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426 distance. That conclusion is demonstrated here in the case of a colonisation were the non-  
427 equilibrium of demography plays a crucial role in the definition of the variance of fitness of  
428 the individual of the population. Our simulations of a growing population not colonizing a  
429 corridor show that genetic drift is much weaker in that situation and that the differences  
430 between kernels is negligible.

431 From a biological point of view, some species are known to disperse without LDD  
432 such as humans (Edmonds et al., 2004), bacteria (Hallatschek et al., 2007), land snails and  
433 bushcricket (Excoffier & Ray, 2008). Some other dispersal behaviours can sometimes be  
434 modelled with a mixture of Gaussian for taking into account two different processes of  
435 dispersal. Some patterns of mutant invasions were actually observed experimentally (e.g. for  
436 bacteria Hallatschek et al. 2007) or largely supported by population genetics data (e.g. for  
437 humans, see Edmonds et al. 2004). At the opposite, numerous plant species (trees in  
438 particular) and fungus species are known to disperse with highly leptokurtic kernels, and a  
439 relative conservation of diversity is observed over wide areas (Petit et al., 2004). Even if the  
440 diversity observed is not only due to the processes investigated here (for other explanations  
441 see Petit & Hampe, 2006), we showed that the effect of LDD on the maintenance of diversity  
442 could be even more important than demonstrated before only with mixture of Gaussian  
443 kernels (Austerlitz & Garnier-Gere, 2003, LeCorre et al., 1997, Petit et al., 2004). This results  
444 means that the dispersion syndrome might partly determine the risk of confusion between a  
445 selective shift and a neutral variant that benefited from the surfing phenomenon (Edmonds et  
446 al., 2004, Excoffier & Ray, 2008, Foll & Gaggiotti, 2008). Our results tend to support that  
447 species with frequent LDD events, or spatially unstructured dispersal events are less subject to  
448 false positive results for selection patterns detection. This case could include most invasive  
449 species that results from multiple introductions and long-distance transports by human  
450 activities (Rosenthal et al., 2008). Also, the question should be investigated of whether the

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451 differences of spatial genetic structure we found among dispersal kernels are sufficiently  
452 strong to allow inferences about the dispersal kernel from multi-locus localised genotypes.  
453 However, it seems difficult to infer the dispersal kernel from spatial genetic structures since  
454 the spatial genetic structure is the result of many stochastic processes (i.e. not easily  
455 repeatable) and because the effect of habitat heterogeneities on a spatial genetic structure  
456 remains to be investigated. A first approach could be to investigate this question using simple  
457 experimental systems (e.g. bacteria in Hallatschek et al., 2007) where the intensity of LDD  
458 and the heterogeneity of the environment could be controlled easily.

459 We further showed that the simulation space in which the colonisation takes place  
460 plays a major role in the evolution of genetic diversity. A large simulation space (relative to  
461 dispersal capacities) promotes the conservation of genetic diversity. We argue that this result  
462 is not only due to a “dilution effect” (i.e. the first mutant individual represents a smaller  
463 proportion of the population in large grids than in narrow ones). Indeed, another active  
464 phenomenon is that surfing alleles can be stopped by more frequent LDD events in a larger  
465 simulation space than in a narrow one, because the probability of a LDD event falling into a  
466 large uncolonized area is higher. This explanation also supports the result that differences  
467 among dispersal kernels are stronger for wider grids. Actually, the effective dispersal function  
468 (i.e. the one after removal of individuals falling outside the grid) is more different of the  
469 simulated dispersal functions for more narrow grids because more LDD events are subtracted  
470 in this case. This demonstrates that the geometry of the colonized area is of importance for  
471 predicting the spatial genetic structure and that patterns obtained in a corridor might be  
472 different than patterns obtained in an angular area, or in a real 2D open area. This result  
473 emphasizes that habitat fragmentation, caused by human activities in particular, could also  
474 contribute to diversity loss: local reductions in the width of colonisation domain create short  
475 and narrow corridors, thus facilitating the local fixation of genes (Rees et al., 2009). Further

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476 studies are needed to account for habitat heterogeneity and assess its impact on genetic  
477 diversity.

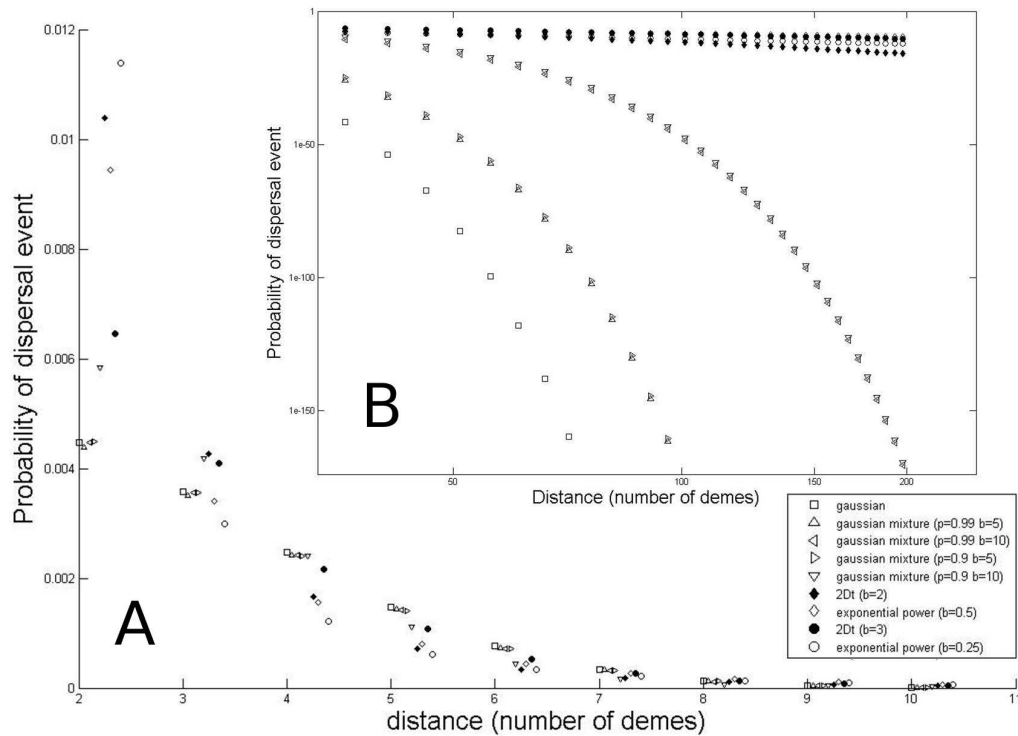
478 We used a specific simulation design, which made it possible to change the dispersal  
479 kernel after the mutation had occurred to be sure that the effect of the dispersal kernel on the  
480 probability of surfing was not due to the initial population structure (the initial kernel) or to  
481 dispersal capacity of the mutant (from the colonisation kernel). Not only did we find no effect  
482 of the initial dispersal characteristics but we also found no significant differences in deme  
483 occupancy measured near the first mutant individual among initial patterns generated by the  
484 nine dispersal kernels. This could be due to our definition of the colonisation front as the part  
485 of the population where the mean density of individuals decreased from  $K$  to 0 and not as the  
486 part of the population formed by the furthest forward individuals. Using the latter definition,  
487 we would expect to find higher differences among initial kernels for the spatial structure  
488 around the furthest forward individuals, with more demes occupied using thin tailed rather  
489 than fat tailed kernels. With LDD, the colonisation front cannot be defined properly (i.e. the  
490 population density do not decrease monotonically with distance) and thus, we cannot use the  
491 same approach as Hallatschek et al. (2007) with PDE models.

492 As shown with the fixation index, the colonisation dynamics generates a spatial  
493 genetic structure that is likely to persist for a long time in the population (see also Austerlitz  
494 & Garnier-Gere, 2003). Indeed, the decrease in  $F_{st}$  after the end of the colonisation is very  
495 low compared to  $F_{st}$  observed at the end of the colonisation, and in most simulations (75%),  
496 mutant individuals were still present after twice the time needed for colonizing the whole  
497 domain. Furthermore, the spatial genetic structure established during a colonisation is very  
498 particular compared to spatial genetic structures obtained without colonisation dynamics. We  
499 investigated the specificity of this situation by shuffling demes (i.e. many initial foci in an  
500 almost empty space) and showed that the expansion of the focus with the mutant is rapidly

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501 blocked by its neighbouring foci, leading to a drastically reduced variance of the mutant  
502 fitness. We argue that the stochastic events (such as LDD events) occurring during the first  
503 generations of a colonisation determine the genetic structure at the end of the colonisation,  
504 which is likely to persist for a long period of time. These events also depend on the  
505 environmental structure, such as heterogeneities in habitat, and further attention should be  
506 paid to the modalities of these stochastic events.

507 To conclude, our model provides a better understanding of the drivers of genetic  
508 diversity and structure during a colonisation process. However, other factors deserve attention  
509 using a similar framework. First, our results were obtained using a unique migration rate ( $m =$   
510  $0.2$ ), due to computational time constraints. Since the migration rate is a synthetic parameter  
511 controlling the mutant and the non-mutant dispersal, a change in migration rate could affect  
512 the critical values (domain width, ...) at which LDD effect operates. Second, growth rate and  
513 carrying capacity, as shown by Klopstein et al. (2006), interact with the surfing phenomenon  
514 and lead to different outcomes. Third, Bialozyt et al. (2006) have also shown that the effect of  
515 LDD on the genetic structure depends critically on the amount of LDD events and Petit &  
516 Hampe (2006) have also reviewed the genetic consequences of the particular life cycle of  
517 trees (e.g. conservation of high population diversity due to the length of the juvenile phase).  
518 The results obtained with our generic model, not representing a realistic and thus specific  
519 situation observed in nature, reveal a simple and clear understanding of processes occurring  
520 during colonisations. They remain to be validated by natural observations (e.g. genetic studies  
521 of diversity) and should be used to help collect experimental data for such purposes.

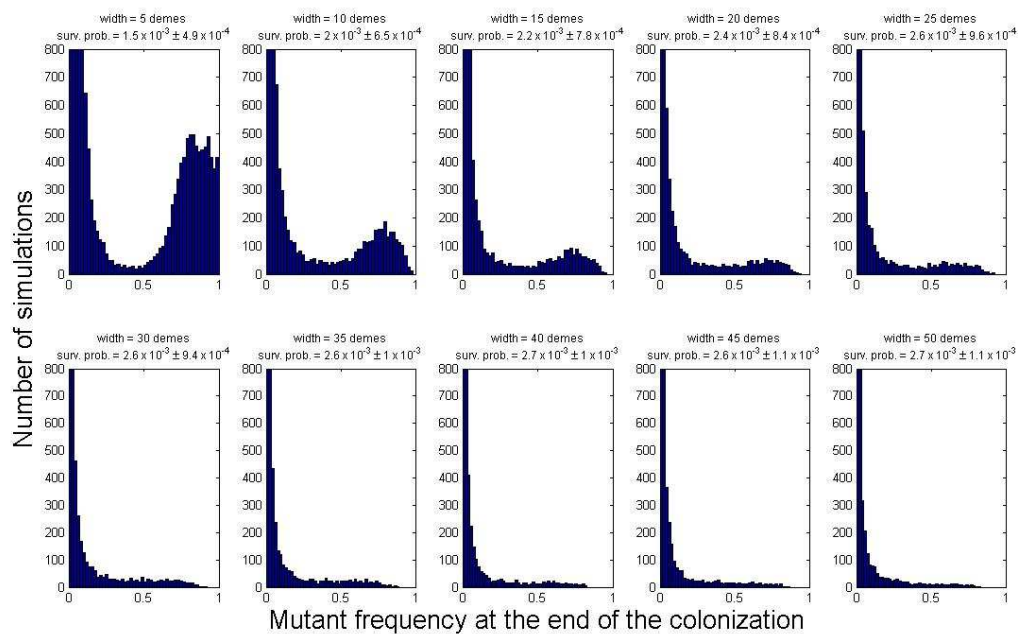


522  
523 Fig. 1 – Differences among dispersal kernels. For the 9 colonisation kernels used, sorted from  
524 thinner-tailed to fatter-tailed, the probability of a dispersal event is represented against the  
525 distance. A- We plotted the probability of a dispersal event against the distances up to 10  
526 demes. B- We plotted the logarithm of the probability of a dispersal event against the  
527 logarithm of distances up to 200 demes. Notice that fat-tailed kernels (2Dt and exponential  
528 power kernels) have higher probabilities of dispersal until the 4<sup>th</sup> deme and after the 40<sup>th</sup> deme  
529 and lower probabilities of dispersal between the 4<sup>th</sup> deme and the 40<sup>th</sup> deme.

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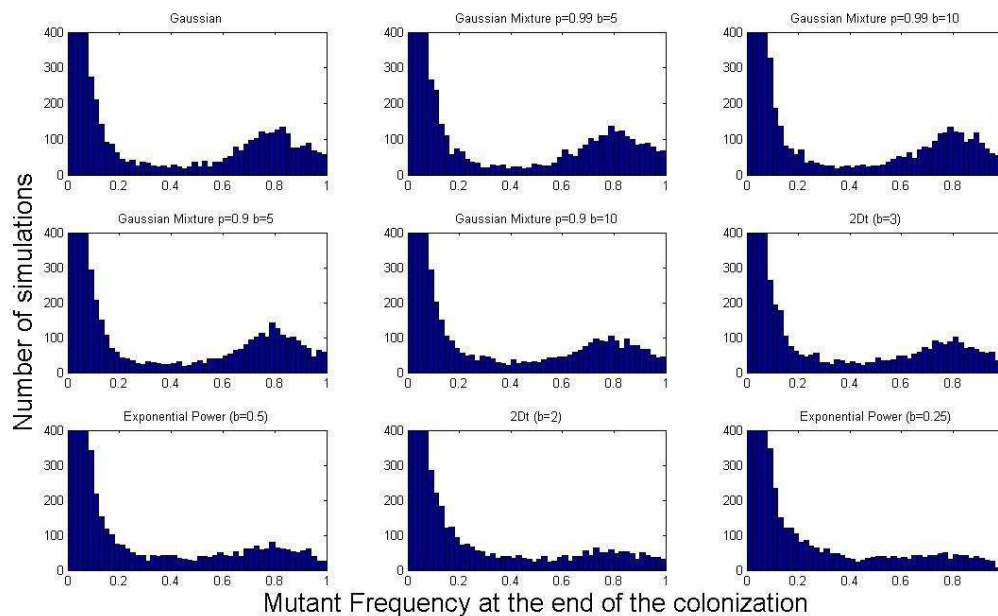
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534 Fig. 2 – Distributions of mutant frequency at the end of colonisation for all grid widths. We  
 535 plotted the number of successful simulations (y-axis) that ended with a given proportion of  
 536 mutant individuals over the whole grid (x-axis). The scale of the y-axis was adjusted to stress  
 537 the bimodality of the distribution. The survival probability of mutants for each width is  
 538 indicated at the top of each figure. All colonisation kernels and initial kernels were pooled  
 539 together, resulting in 32400 repetitions per histogram.



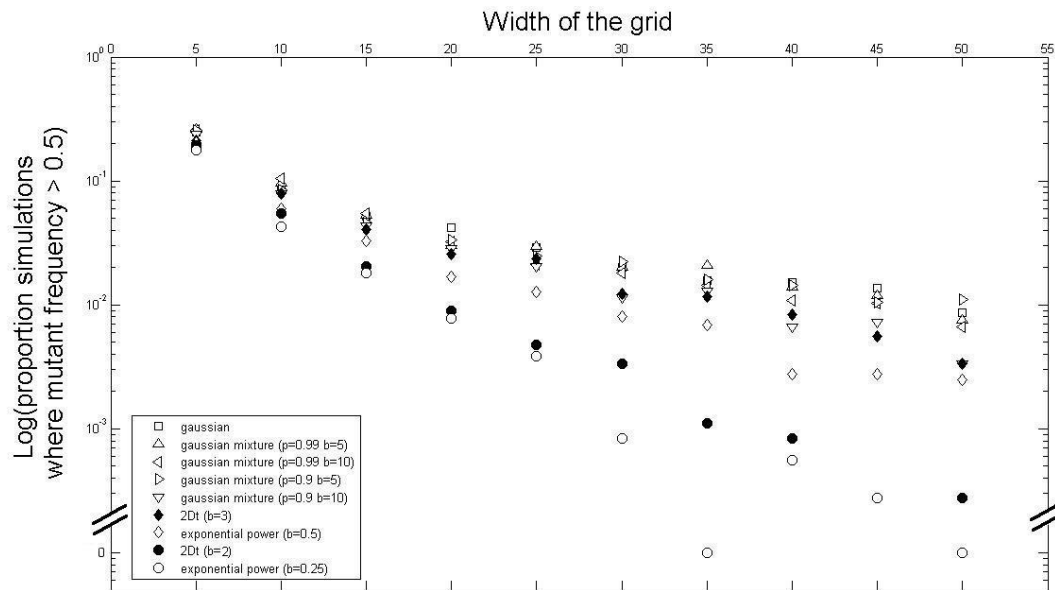
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541 Fig. 3 – Distributions of mutant frequency at the end of the colonisation for 9 dispersal  
542 kernels (Fig 1 and Table 1). We plotted the number of successful simulations (y-axis) that  
543 ended with a given proportion of mutant individuals over the whole grid (x-axis). The scale of  
544 the y-axis was adjusted to stress the bimodality of the distribution. All grid sizes were pooled  
545 together, resulting in 36000 repetitions per histogram.

546

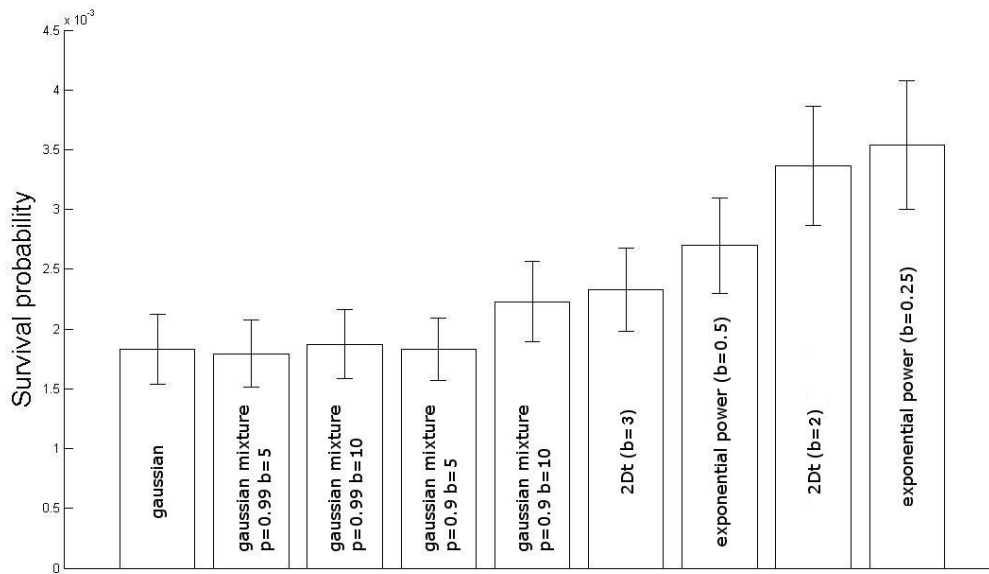
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549  
 550 Fig. 4 – Proportion of successful simulations where mutant frequencies at the end of the  
 551 colonisation were higher than 0.5 for 9 colonisation dispersal kernels (Fig.1 and Table 1) and  
 552 10 grid widths. We plotted the logarithm of the proportion of successful simulations where  
 553 mutant frequencies at the end of the colonisation were higher than 0.5 (y-axis) against grid  
 554 sizes (x-axis). One different symbol was used for each different dispersal kernel. We used a  
 555 logarithmic representation of the values on the y-axis to stress the differences between  
 556 dispersal kernels. The y-axis was cut to show all values, even proportions of simulations equal  
 557 to zero (exponential power  $b=0.25$ ).

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558

559 Fig. 5 – Effect of the dispersal kernel on the probability of mutation survival. For the 9

560 colonisation kernels used, sorted from thinner-tailed to fatter-tailed, the proportion of

561 simulations where the mutation was still present at the end of the colonisation is represented.

562 The error bars represent  $\pm 2$  Standard Deviation.

563

Dispersal kernel	Form	Parameters	Fatness
Gaussian	$\frac{k}{\pi a^2} \exp(-\frac{r^2}{a^2})$	a = 3,68 k = 0,20	---
Gaussian mixture	$p(\frac{k}{\pi a^2} \exp(-\frac{r^2}{a^2})) + (1-p)(\frac{k}{\pi b^2} \exp(-\frac{r^2}{b^2}))$	a = 2,98 b = 10 p = 0,90 k = 0,20 a = 3,53 b = 5 p = 0,90 k = 0,20 a = 3,61 b = 10 p = 0,99 k = 0,20 a = 3,66 b = 5 p = 0,99 k = 0,20	+
2Dt	$\frac{k(b-1)}{\pi a^2} (1 + \frac{r^2}{a^2})^{-b}$	a = 4,16 b = 3 k = 0,21 (fatter) a = 2,09 b = 2 k = 0,22	++/+++
Exponential power	$\frac{kb}{2\pi a^2 \Gamma(2/b)} \exp(-(\frac{r}{a})^b)$	a = 4.10 <sup>-4</sup> b = 0,25 k = 0,27 (fatter) a = 0,16 b = 0,50 k = 0,23	++/+++

564

565 Table 1 – Expression of the 2D dispersal kernels  $\psi(x,y)$  outside of the central deme, where

566  $r = \sqrt{x^2 + y^2}$ . For all dispersal kernels, except Gaussian mixture kernel,  $a$  is a scale parameter

567 and  $b$  is a shape parameter.  $k$  is a constant used for keeping constant the migration rate ( $m =$

568 20%) and the mean dispersal distance ( $\delta = 3$ ).  $k = \frac{1-m}{1-m_0}$ , where  $m$  is the expected migration

569 rate ( $m = 20\%$ ) and  $m_0$  would be the migration rate for the unscaled kernel (i.e. without  $k$ ).

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		Mean frequency of mutants	Proportion of mutant frequencies > 0.5	Survival probability
<b>Latitude</b>	1/2	$0.0516 \pm 1.78.10^{-2}$	0.0467	$0.0021 \pm 9.8.10^{-4}$
	1/4	$0.0453 \pm 1.53.10^{-2}$	0.0399	$0.0020 \pm 9.7.10^{-4}$
<b>Longitude</b>	5	$0.0561 \pm 1.80.10^{-2}$	0.0492	$0.0021 \pm 9.7.10^{-4}$
	30	$0.0408 \pm 1.42.10^{-2}$	0.0374	$0.0020 \pm 9.7.10^{-4}$

571

572 Table 2 – Effect of the position of the first mutant on both the mean frequency of mutants at  
573 the end of the colonisation and the survival probability. The position on the y-axis of the first  
574 mutant (latitude) can be in the centre of the grid (1/2 of the grid width) or near the bottom edge  
575 (1/4 of the grid width). The position on the x-axis of the first mutant (longitude) can be near an  
576 edge (5 demes after the edge) or farther on the grid (30 demes after the edge).

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