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# Mapping surface fluxes using airborne visible, near infrared, thermal infrared remote sensing data and a spatialized surface energy balance model

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Running title: Estimating energy fluxes using a spatialized model

**Abstract** - A spatialized surface energy balance model was validated over the database acquired in the framework of the ReSeDA program. The benefit of the SE-BAL model we considered was to compute wind speed and air temperature using the information contained in the spatial variability of convective fluxes. The multitemporal database allowed to perform a validation over cycles of several crops. Problems induced by mixed pixels were reduced using high spatial resolution remote sensing data. We verified the validity of the model basic assumption, i.e. the simultaneous presence of partial areas with very high and very low evaporation rates, and the resulting relation between surface temperature close to the field references. The validation of soil heat flux showed the inadequacy of the empirical relationship used through a significant underestimation of the references. The validation of sensible heat flux provided similar results as compared to previous studies that dealt with model validations over databases including numerous situations.

Visible, near infrared, thermal infrared remote sensing / surface energy balance / spatialized procedure and spatial variability / SEBAL **Titre**: Cartographie des flux d'énergie à partir de données télédétectées visible, proche infrarouge, infrarouge thermique, et d'un modèle spatialisé

**Résumé** - Nous avons validé un modèle de bilan d'énergie de surface en utilisant la base de données ReSeDA. L'intérêt du modèle SEBAL était d'estimer la vitesse du vent et la température de l'air à partir de l'information contenue dans la variabilité spatiale des flux convectifs. La base de donnes multitemporelle permettait d'effectuer une validation en considérant les cycles de plusieurs cultures. Les problèmes dus aux pixels mixtes étaient réduits par l'utilisation de données télédétectées à haute résolution spatiale. Nous avons pu vérifier la validité de l'hypothèse de base du modèle, i.e. la présence simultanée de zones très évaporante ou peu évaporante, ainsi que la relation entre albedo et température qui en résulte. De plus, le modèle a fourni des estimations de la vitesse du vent et de la température de l'air proche des mesures de référence. La validation du flux de chaleur dans le sol a montré que la relation empirique générait une sous-estimation importante. La validation du flux de chaleur sensible a fourni des résultats comparables à ceux rencontrés dans la littérature et concernant la validation de modèles sur des bases de données incluant de nombreuses situations.

télédétection visible, proche infrarouge, infrarouge thermique / bilan d'énergie de surface / méthode spatialisée et variabilité spatiale / SEBAL

## **1** Introduction

Surface energy fluxes characterize the radiative, conductive and convective exchanges between soil, surface and atmosphere. Since these exchanges are strongly linked to water and mass transfers, their knowledge is of prime interest for agronomy when dealing with crop monitoring and yield prediction [60], hydrology when characterizing the water cycle [53], and meteorology when estimating the boundary conditions of atmospheric numerical models [43, 17]. The required accuracy may vary from an application to another. [59] proposed that an absolute accuracy about  $\pm 50$  W.m<sup>-2</sup> for the sensible heat flux is a good compromise for several applications. Many of the land surface properties that drive energetic transfers at the soil - vegetation - atmosphere interface can be mapped using remote sensing: surface temperature [5, 57], albedo [61, 69, 31], Fractional Vegetation Cover and Leaf Area Index [14, 13, 68] and soil moisture [55, 9]. These variables can next be used as input for models that describe the mechanisms of energy transfers [42, 58, 49, 9].

Several algorithms were developed this two last decades to estimate surface energy fluxes from remote sensing according to the available information. These algorithms may use empirical models such as the simplified relationship [16, 10], mechanistic models such as Soil - Vegetation - Atmosphere Transfer models [52, 21, 49], or intermediate approaches based on surface energy balance models [36, 34, 27]. Regardless of chosen algorithm, one of the main difficulties is the estimation of variables that can not be obtained directly from remote sensing. This is particularly true for meteorological variables such as air temperature and wind speed, which are required in almost every approaches. A possible solution consists in using measurements provided by micrometeorological stations and meteorological networks [41, 34]. However, these data may be not representative of the investigated area because of the spatial variability of the atmospheric variables in relation with the variability of surface characteristics [15].

To avoid the use of ancillary information that can have a poor spatial representativeness, other algorithms were developed by considering differential approaches. Using the temporal variation of the remotely sensed surface temperature while accounting for the evolution of the boundary layer eliminates the need for air temperature measurement and reduces the sensitivity to data inaccuracy [19, 1, 39]. However, such a temporal approach requires a continuous acquisition of surface temperature, and therefore the use of geostationary satellites with coarse spatial resolutions. When focusing on sun-synchronous satellites with higher ground resolutions, a solution consists in considering the spatial variability depicted by the observed surfaces according to their energetic status. Indeed, it is possible to use relations between remote sensing variables such as surface temperature and either NDVI [12, 21] or albedo [40, 66]. To reduce the model sensitivity to data inaccuracy, [21, 11] proposed to rescale the simulated remote sensing variables. With the same purpose, [26, 2] proposed to calibrate air temperature versus remotely sensed surface temperature.

The Surface Energy Balance Algorithm for Land model (SEBAL, [3]) is a model that considers the spatial variability induced by hydrological and energetic contrasts. The main feature of this surface energy balance model is to retrieve at the same time atmospheric variables (wind speed and air temperature) and energy fluxes using the information contained in the spatial variability of convective fluxes. Such an approach relies on the assumption of the simultaneous presence of dry areas and wet areas over the study site. By computing the other required variables using empirical or semi-empirical relationships, the model was presented by its author as an original tool that does not require any ancillary information. The model was validated by [4, 23, 24] through hydrological indicators. However,

there is at the present time no validation dealing with the estimates of instantaneous energy fluxes and especially the estimates of wind speed and air temperature that are the original points of the model.

In the framework of the ReSeDA (**Re**mote **Sensing Data Assimilation**) program, a unique database was collected throughout one year, including satellite and airborne remote sensing data, as well as field measurements of surface properties and meteorological variables. Such a database provided the opportunity to assess the original points of SEBAL by validating the resulting estimates. Moreover, the ReSeDA database allowed to perform a validation accounting for several meteorological and land use situations, while problems induced by mixed pixels were reduced using high spatial resolution remote sensing data. This paper reports the results we obtained when validating the SEBAL model over the ReSeDA database. After the presentation of the data, of the model and of the methods used to compute the model inputs, we discuss the SEBAL results, both on its key points and on the surface energy flux estimates.

## 2 Data acquisition and preprocessing

#### 2.1 The ReSeDA Experiment

The ReSeDA experiment lasted from December 1996 to November 1997, in the south east of France (N 43°47', E 4°45', 10 m above sea level, Mediterranean climate). The experimental site was a  $5 \times 5$  km<sup>2</sup> agricultural region with sunflower, wheat, corn, grassland and alfalfa fields about  $200 \times 200$  m<sup>2</sup>. Detailed descriptions of the experiment are6 given by [54] and [51]. Among the numerous satellite, airborne and field measurements collected, the main data used in this study were 1) airborne measurements acquired over the Visible - Near InfraRed and Thermal InfraRed domains (Vis - NIR - TIR), 2) *in-situ* TIR data, and 3) field measurements

of both meteorological variables and surface energy fluxes. We present here an overview of the data acquisition and preprocessing. Complete descriptions of the procedures are given by [29, 20, 22, 48].

#### 2.2 Field data

Field measurements were performed on seven points located on alfalfa, wheat, and sunflower crops [48]. Incoming solar radiation was measured on a meteorological station located at the center of the site using a pyranometer. Two other measurements of incoming solar radiation were performed on different locations and provided very similar results. The meteorological station also provided measurements of incoming thermal radiation from a pyrgeometer. Instrumentations at the seven locations were installed at least 100 meters far from upwind edges. Surface brightness temperature over the [8-14]  $\mu$ m spectral range was measured using HEIMANN KT 15 and 17 radiometers. The measured surface fluxes were the four components of surface energy balance, i.e. net radiation, soil heat flux and both sensible and latent heat fluxes. Net radiation was measured using differential pyrradiometers. Soil heat flux was estimated using heat flow transducers installed at a 5 cm depth. These measurements were corrected by the change of thermal storage from the transducer level to the surface. Four replications were used for each site. Sensible and latent heat fluxes were computed from 2 level measurements of air temperature and relative humidity using the Bowen ratio method. Sensible heat flux was also measured occasionally using one-dimension eddy covariance systems. All the data mentioned here were acquired with a 15 second time step and a 20 minute period averaging.

The instruments we used were calibrated before and after the experiment. The laboratory calibration of the HEIMANN radiometers suggested an accuracy better than 1 °C. Two other kinds of nadir surface brightness temperature measurements

over the [8-14]  $\mu$ m spectral range were occasionally performed using CIMEL and EVEREST radiometers. The inter-comparison of the three data sets showed good agreement, with a ARMSD<sup>1</sup> between 0.2 and 0.8 °C. The pyrradiometer intercalibration showed that the net radiation measurements were accurate within 8 W.m<sup>-2</sup>. No accuracy was proposed for the procedure used to compute soil heat flux. Therefore, we set this accuracy to a value mentioned in the literature by a previous study that used the same procedure [62]. It was about 40 W.m<sup>-2</sup>. Moreover, an analysis of the data collected around solar noon indicated a spatial variability over the four replications of a given site ranging between 15 and 40 W.m<sup>-2</sup>. The inter-comparison of the two convective flux data sets showed significant differences. Data analysis emphasized severe instrumental troubles on the Bowen ratio method. The comparison against eddy correlation method provided an ARMSD about 75 W.m<sup>-2</sup>. The data were then filtered to remove encountered problems. A smoothing procedure applied to the Bowen ratio calculations decreased this ARMSD to 55 W.m<sup>-2</sup> [48].

#### 2.3 Airborne data

Airborne remote sensing data were acquired using two sensors set up aboard a plane: the Polarization and Directionality of Earth Reflectance (PolDER) imaging radiometer [18] and an INFRAMETRICS 760 thermal infrared video camera [28]. Both the instruments flew on clear sky days approximately one or two times per month at a 3000 m altitude yielding a 20 m nadir spatial resolution. Four flight lines were parallel to the solar principal plan, and one perpendicular. These five lines were completed within 45 minutes centered around solar noon.

The PolDER imaging radiometer provided Vis-NIR measurements over four

<sup>&</sup>lt;sup>1</sup>The ARMSD (Absolute Root Mean Square Difference) is the mean quadratic error between either predicted or observed variables. The RRMSD (Relative Root Mean Square Difference is the ratio of the ARMSD to the mean value of either the predicted or the observed variables.

bands centered at 443, 550, 670, 865 nm, each 40 nm wide. Zenith view angle ranged between 0 and 50 °. Data were radiometrically corrected in a similar way than that used by [38]. The sensor calibration was performed by the Laboratoire d'Optique Atmophérique (Lille, France) three times: before, during and after the experiment. It accounted for ambient temperature, dark current, optic transmission, and the relative sensitivity of the CCD matrix detectors. Atmospheric corrections were performed using the SMAC algorithm [56] along with climatological data and field measurements of atmospheric variables. The images were geometrically matched according to a Lambert II projection using data acquired by both a global positioning system and a gyroscopic central unit set up aboard the plane. The Lambert II projection corresponded to a 20 m spatial sampling meshgrid. The preprocessing described here provided finally a data base of sampled BRDF (Bidirectional Reflectance Distribution Function), i.e a pixel by pixel set of measurements of the reflected solar energy in a selection of viewing directions for each PoIDER waveband.

The INFRAMETRICS 760 thermal video camera provided TIR measurements over the [7.25-13.25]  $\mu$ m range with view zenith angle ranging from 0 to 40 ° using a wide-angle lens. The data were collected on a video tape with a 25 Hz frequency, and numerized with a 1 Hz frequency (1 image every 25). The sensor was instrumentally characterized once after the experiment. This characterization accounted for the influence of ambient temperature on the sensor radiometric sensitivity, and for both the directional sensitivity and the geometrical distortions induced by the use of the wide-angle lens. The atmospheric corrections were performed using the radiative transfer code MODTRAN 3.5 [8] along with its climatological database and radio-soundings launched from a meteorological station located at 30 km toward the west of the experimental site. The images were geometrically matched according to the LAMBERT II projection mentioned previously. The registration was performed using an auto-correlation technique along with ground control points selected from a reference map. All these preprocessing are described in details by [29] and [22]. They provided a data base of multidirectional surface brightness temperature estimates.

The validation of these airborne surface brightness temperature estimates was performed averaging both field and INFRAMETRICS 760 measurements over the period of the airborne data acquisition. This choice was driven by the temporal features of the two data sets. Indeed, the field data were 20 mn averaged measurements whereas the airborne images were instantaneous (25 images/second) and spread over 45 minutes to cover the whole site. To avoid angular effects, we selected airborne data corresponding to a view zenith angle lower than 20 °. It was shown that the field references were overestimated with a 7 °C ABias<sup>2</sup>. This emphasized that the laboratory calibration was not accurate enough since it did not account for the influence of the experimental environment on the sensor response. Therefore, an inflight calibration was performed using a linear relationship between field and airborne data for each flight. The daily RMSE after recalibration ranged from 0.2 to 0.8 °C.

# **3** The model

The SEBAL version we used was an improved variant proposed by [63, 64] to solve an ill posed problem in the former version developed by [3]. In this improved variant, the regional resistance for heat is determined using the potential air temperature at the blending height that is obtained by either Numerical Weather Prediction

<sup>&</sup>lt;sup>2</sup>The ABias (Absolute Bias) is the averaged difference between either predicted values, either observed values, or predicted and observed values. The RBias (Relative Bias) is the ratio of the ABias to the average of respectively either the predicted values, either the observed values, or the observed values.

models or radio-soundings. As mentioned previously, the original point of SEBAL is to compute wind speed and air temperature at reference level using the information contained in the spatial variability of convective fluxes. The model is supplied with maps of albedo, NDVI (Normalized Difference Vegetation Index), surface radiometric temperature, solar and thermal incoming radiations. From these maps, SEBAL computes at the same resolution and at the same time instantaneous estimates of surface properties, wind speed, air temperature and energy fluxes. These computations are performed using both semi-empirical relationships and simplifications of energy balance formulation over dry areas (no evapotranspiration) and wet areas (no sensible heat transfer). The well known evolution of surface temperature  $T_s$  versus albedo  $\alpha_s$  [6, 40, 66] is used to both verify the existence of hydrological contrasts and allocate dry and wet areas. These partial areas are discriminated using an albedo value that corresponds to the maximum temperature of the concave relation  $T_s = f(\alpha_s)$ . Albedos lower than this threshold value belong to evaporative areas, the highest evaporation rates occurring for the lowest temperatures. Albedos higher than this threshold value correspond to dry areas with very low evaporation rate. In the following paragraph, we give an overview of the model. Detailed descriptions can be found in [3, 63, 64], as well as in appendix I.

Net radiation  $R_n$  is computed in a classical way from solar and thermal incoming radiations, albedo, broadband emissivity and surface radiometric temperature. Broadband emissivity over the [3-100]  $\mu$ m spectral range is assumed to be close to mean emissivity between 8 and 14  $\mu$ m, which is deduced from NDVI using a logarithmic shaped empirical relationship calibrated by [65] in Savannah environment. Soil heat flux  $G_0$  is expressed as a fraction of net radiation. The ratio  $G_0/R_n$  is a semi-empirical function expressed as the product of two terms. The first term depends on surface radiometric temperature, instantaneous and daily albedo and corresponds to the ratio  $G_0/R_n$  for a bare soil. The second term depends on NDVI and corresponds to the extinction of the incident solar radiation by the canopy. This semi-empirical formulation was calibrated by [3] using several data sets collected over stubble, alfalfa, bare soil, wheat, cotton and soybean. The proposed accuracy is about  $0.04 \times R_n$ . The sensible heat flux is expressed using a bulk resistance approach. Its calculation requires three steps:

- First, roughness length for momentum is deduced from NDVI using an exponential shaped empirical relationship calibrated by [3] over a data set collected in a Mediterranean semi-arid region. Roughness length for heat is set to 1/10 times roughness length for momentum ( $kB^{-1} = 2.3$ ).
- Second, a mean value of wind speed at the atmospheric reference level is calculated from the aerodynamic properties of an effective layer between the surface and the blending height. The sensible heat transfer through this layer is characterized aggregating surface temperature, roughness lengths and sensible heat flux ( $H \sim R_n G_0$ ) over the dry areas allocated from the surface temperature versus albedo diagram. The air temperature at the top of the layer is given by radiosonde since the atmosphere is supposed to be homogenized at the blending height. The aerodynamic properties of this layer are estimated inverting the sensible heat flux expression, and next used to compute wind speed.
- Third, the difference between surface and air temperature is linearly related to surface temperature: T<sub>s</sub> − T<sub>a</sub> = aT<sub>s</sub> + b. The linear relationship is calibrated inverting the sensible heat flux over both wet areas (T<sub>s</sub> − T<sub>a</sub> ~ 0) and dry areas (H ~ R<sub>n</sub> − G<sub>0</sub>). These areas are selected considering respectively the minimum and maximum temperatures over the study site. [3]

emphasized that this differential approach reduces the consequences of aerodynamic temperature inaccuracy on sensible heat flux estimation.

Finally, the latent heat flux is computed as surface energy budget residue.

## **4** Computing model inputs from Vis NIR TIR data

Both albedo and NDVI were derived from the multidirectional Vis-NIR data provided by PolDER. The derivation of albedo was presented in details by [31, 32]. It combined the determination of hemispherical reflectance and the estimation of the integrated value of albedo over the whole solar spectrum. The determination of the hemispherical reflectance from the angular sampling provided by the PolDER data set was performed using the Li-Ross BRDF model [67]. The integrated value of albedo over the whole solar spectrum was expressed as a linear combination of the hemispherical reflectance in the PolDER wavebands. The absolute accuracy of the PolDER albedos was about 0.0188, which corresponded to a relative accuracy about 9% [31, 32]. NDVI was computed considering nadir reflectance extrapolated by the BRDF model. Daily albedo was calculated from the diurnal course of albedo, the latter being derived from the BRDF model. A detailed description of the daily albedo calculation is given by [30].

Surface brightness temperature was derived from the multidirectional TIR data acquired using the INFRAMETRICS 760. First, we considered the data acquired with a view zenith angle lower than 20 ° since no operational model was available to process the multidirectional information. Second, we computed an averaged value over the data acquisition period (approximately 45 minutes) in order to account for the temporal evolution of surface temperature between the first and the last image acquisition. The investigations of [22] about both the temporal and directional normalizations of the measurements should be used in the future to get

a better surface temperature estimation. Radiometric temperature was deduced from brightness temperature, emissivity and incoming atmospheric thermal radiation over the INFRAMETRICS 760 spectral range. The emissivity was calculated from NDVI using the empirical relationship mentioned previously. The incoming thermal radiation was expressed as a function of air temperature and air emissivity. Air emissivity was computed in the same way than that used by [46]. Atmospheric radiance was deduced from air temperature using a polynomial relationship that accounted for the INFRAMETRICS 760 spectral response.

The incoming solar and thermal radiations were assumed to be homogeneous over the whole site since 1) the dimensions of the site were about  $5 \times 5 \text{ km}^2$ , and 2) the field measurements of solar radiation over three different locations were very close. Therefore, the two quantities were computed from the measurements provided by the meteorological station. To be consistent with the method used to calculate surface radiometric temperature, these measurements were averaged over the period of the airborne data acquisition, the coefficient of variation<sup>3</sup> being about 3%.

We should note that the data acquired over the mountain chain located at the south of the study area were removed since the algorithms used to compute the model inputs were not designed for inclined areas. Moreover, we removed the 500 m width band surrounding the study area since the corresponding surfaces were not as well directionally characterized as the center of the area. Indeed, as a consequence of the flight line configuration, the angular distribution of the observations over these surfaces was located in a portion of the hemisphere.

<sup>&</sup>lt;sup>3</sup>The coefficient of variation is defined as the ratio of the standard deviation to the mean value.

# **5** Assessing the model performances

The data set acquired during the ReSeDA experiment allowed to assess several steps of the model. First, we verified the basic assumption about the hydrological contrast, i.e. the simultaneous presence of wet areas and dry areas, and the resulting relation between surface temperature and albedo. Second, we evaluated the estimates of roughness length for momentum, wind speed, and air temperature. Third, we assessed the validity of the surface energy flux estimates. Most of these investigations consisted in comparing SEBAL outputs against field measurements. In order to be consistent on the temporal aspect, the field measurements were averaged over the period of the airborne data acquisition.

#### 5.1 Assessing the model assumptions

To verify the existence of the relation between albedo and surface temperature, we used the following procedure. For a given day, we computed both a surface radiometric temperature mean value and the corresponding standard deviation for each albedo class between 0.05 and 0.4 by step of 0.002. In a first time the relation was noisy, which could be explained by the combination of spatial variability and registration inaccuracy. This possible effect was assessed by applying a mask over field borders. The noise previously observed was significantly reduced, which emphasized the sensitivity of the method to the registration accuracy when using high spatial resolution data. An example of the  $T_s(\alpha_s)$  we obtained is given in Figure 1. The positive derivative of surface temperature as a function of albedo is explained by dominant evaporative processes and corresponds to pixels located on evaporative surfaces, i.e. wet bare soil and vegetative areas. The negative derivative is explained by dominant radiative processes and corresponds to pixels located on dry areas, i.e dry bare soils or senescent vegetation. This relation was observed for each day of the experiment. Such results indicated the presence of hydrological contrasts over the ReSeDA experimental site, even on days preceded by rainy events. We observed large standard deviation values of surface radiometric temperature for each albedo class that could be explained by the natural variability of the observed surfaces. This was already observed by [3]. From curves as that displayed on Figure 1, pixels corresponding to radiative (respectively evaporative) branch were allocated to dry (respectively wet) areas.

#### [Figure 1 about here.]

#### 5.2 Validating the intermediary variables

Next, we validated the intermediary variables computed by SEBAL, i.e. roughness length for momentum, wind speed and air temperature. The estimates of roughness length  $z_{0m}$  were compared against field measurements deduced from canopy height  $h_c$  using the classical rule of thumb  $z_{0m} = 0.13 \times h_c$ . The ARMSE<sup>3</sup> between field and model estimates was about 0.104 m, which corresponded to a RRMSE<sup>4</sup> of 148%. According to the sensitivity study performed by [50], a relative inaccuracy of 150% on roughness lenght induced a relative error lower than 20% on sensible heat flux regardless of  $kB^{-1}$  value, when considering a wind speed lower than 10 m.s<sup>-1</sup>, and a difference between surface and air temperature lower than 15 °C. Such a relative error corresponded to an absolute error of 30 W.m<sup>-2</sup> according to the mean value of the *H* field data we used as references, i.e. 170 W.m<sup>-2</sup>. The validation results showed the inadequacy of the empirical relationship that expresses roughness length as an exponential function of NDVI. For instance, we noted a significant overestimation when considering the alfalfa crop, which was

<sup>&</sup>lt;sup>4</sup>The ARMSE (Absolute Root Mean Square Error) is the mean quadratic error between predicted and observed variables. The RRMSE (Relative Root Mean Square Error) is the ratio of the ARMSE to the average of the observed values.

explained by high NDVI values and low canopy height throughout the experiment. Similarly, [37] showed that the model provided values 10 times lower than field references over a wheat crop in the senescent phase. They explained this by a decrease of NDVI whereas the canopy kept the same architecture and therefore the same aerodynamic properties. However, we did not perform a calibration by considering crop type and crop phenology since the scope of this study was to validate the model in its original configuration.

The model wind speed estimates were validated against in-situ measurements collected by the meteorological site at the center of the study. The comparison showed that the simulated values were close to the references, with an ARMSE about  $0.9 \text{ ms}^{-1}$  and a correlation coefficient of 0.85 (see Figure 2). This showed that the model provided good estimates of wind speed although the resulting inaccuracy on sensible heat flux could be significant at low wind speed values. However, an analysis of the wind speed data collected over the seven location at a 2 m height showed a spatial variability of  $1.1 \text{ m.s}^{-1}$ . This suggested that using the SEBAL computation was not less accurate than using a uniform value from meteorological network since the resulting error was similar. The validation of the estimated air temperature against field measurements showed that the model provided results close to references, with a ARMSE about 2 °C and a correlation coefficient of 0.96 (see Figure 3). This showed that the assumption of a linear relationship between surface and air temperature was valid and that it was possible to calibrate this relation using the spatial variability over the study site. One should note that an inaccuracy about 2 °C can generate significant uncertainties on sensible heat flux, i.e. from 30 to 100 W.m<sup>-2</sup> for aerodynamic resistances of 80 and 20 s.m<sup>-1</sup> respectively. However, the spatial variability depicted by air temperature maps was up to 15 °C, which emphasized the benefit of this approach as compared to those

using meteorological data located on a given point.

[Figure 2 about here.]

[Figure 3 about here.]

#### 5.3 Validating surface energy fluxes

The last step of this study was the validation of energy flux calculated by the model. An overview of the results for the four components of surface energy balance is given in Table 1. Figure 4 displays the comparison for net radiation. It was shown that the model provided estimates close to field measurements, with an ARMSE of 20 W.m<sup>-2</sup>. Such an error was low as compared to results reported in previous studies when considering similar approaches (ARMSE ranging between 30 and 60 W.m<sup>-2</sup>, [42, 36]). This was ascribed to the accuracy on albedo estimates that was about 0.0188 in absolute or 9% in relative. The comparison between measured and estimated values of soil heat flux depicted a large discrepancy with a significant underestimation of the field references (Figure 5). The spatial variability of  $G_0$  (up to 40 W.m<sup>-2</sup> as mentioned in Section 2.2) combined with the difference between the spatial representativeness of the two data sets could partially explain this result. Moreover, the ARMSD corresponded to classical values related in the literature when using this kind of formulation [35, 33], whereas similar results were also obtained over the ReSeDA data set when using more accurate approaches such as SVAT models that describe subsurface heat and water transfers [47]. Nevertheless, the underestimation trend led us to suspect the empirical relationship and especially its calibration. Indeed, the data set [3] used to calibrate the  $G_0/R_n$ ratio could include different situations than those occurring during the ReSeDA experiment. Since knowledge of soil heat flux is important to estimate the available energy for convective fluxes, we compared the model and *in-situ* estimates of  $R_n - G_0$  (see Figure 6). The overestimation on the available energy resulting from soil heat flux underestimation was about 45 W.m<sup>-2</sup>. The validation of sensible heat flux showed a significant discrepancy between observed and predicted values (see Figure 7). The errors on model estimates could be explained by the combinations of several factors, i.e. the uncertainties on the estimates of roughness length, emissivity, wind speed, air temperature, soil heat flux, and  $kB^{-1}$  parameter. However, such an error was similar to those reported in the literature when validating models over databases that included numerous and various situations [44, 33, 70, 1, 45]. Besides, similar results were obtained by [25] when validating a microscale flux aggregation model over the same database. Finally, the validation of latent heat flux also showed a significant discrepancy with an overestimation trend (see Figure 8). The latter was ascribed to the underestimation of soil heat flux since latent heat flux was calculated as the residue of surface energy balance.

[Table 1 about here.]
[Figure 4 about here.]
[Figure 5 about here.]
[Figure 6 about here.]
[Figure 7 about here.]
[Figure 8 about here.]

The results we obtained when validating the SEBAL model considering the intermediary variables led us to conclude that the interesting points of the model points, i.e. the computation of wind speed and air temperature, provided satisfactory results. On the other hand, the validation of convective fluxes showed significant discrepancies that were ascribed to inaccuracies on the intermediate variables

such as soil heat flux, roughness length,  $kB^{-1}$  parameter, wind speed and air temperature. A better understanding of the consequences of these inaccuracies requires a sensitivity study. Indeed, some variables are used at different stages during the simulations, such as soil heat flux that is required for both wind speed and air temperature calculations. This induces probably errors compensations and / or additions that have to be assessed. Finally, we should note that the SEBAL outputs were maps of surface energy fluxes at a 20 m spatial sampling (see Figure 9). They depicted a significant spatial variability inside heterogeneous fields that can reach 50 W.m<sup>-2</sup>, and a pattern on the larger scale corresponding to the whole site. This emphasized the benefit of using high spatial resolution remote sensing data. After improvements of the model weaknesses to get more accurate maps, a interesting database will be available to address the scaling issue.

[Figure 9 about here.]

## 6 Conclusion

The objective of these study was to validate a spatialized surface energy balance model over the database acquired in the framework of the ReSeDA program. The main benefit of the SEBAL model we used was to compute wind speed and air temperature using the information contained in the spatial variability of convective fluxes. This relies on the assumption of simultaneous presence of dry and wet areas. Using the multitemporal ReSeDA database, it was possible to perform a validation over the whole cycle of several crops. Moreover, problems induced by mixed pixels were reduced using high spatial resolution remote sensing data. The numerous field data collected allowed to perform a validation of the model through the energy fluxes comparisons, but especially on its key points. It was shown that the assumption of the model related to the existence of hydrological contrasts and the resulting evolution of surface temperature versus albedo was verified. Moreover, the model estimates agreed with the field references when validating wind speed and air temperature. However, we noted a significant underestimation of soil heat flux that required a reconsideration of the empirical relationship used by the model. The large discrepancy observed when validating the convective fluxes were ascribed to the inaccuracies on intermediate variables. Nevertheless, the corresponding error was common as compared to validations over multitemporal databases that included numerous surface and micrometeorological situations. Since the framework of the model might induce some error compensations and / or additions, a sensitivity study is further required to assess the consequences of inaccuracies on intermediate variables. This will allow to evaluate the benefit of estimating air temperature by using such a differential approach that is assumed to minimize input errors.

# 7 Appendix I: the SEBAL model

The SEBAL model is supplied with maps of instantaneous albedo, NDVI and radiometric temperature. It provides estimates at the same time than data acquisition of the following variables: mean value of wind speed over the study area, maps of air temperature and surface energy fluxes at the same resolution.

#### 7.1 Estimating the net radiation and the soil heat flux

Net radiation is the algebraic sum of short-wave and long-wave radiative fluxes:

$$R_n = (1 - \alpha_s) R_q + \varepsilon_s L_a^{\downarrow} - \varepsilon_s \sigma T_s^4 \tag{1}$$

where albedo  $\alpha_s$  is the fraction of incoming solar radiation  $R_g$  reflected by the surface,  $L_a^{\downarrow}$  is the atmospheric downwelling radiation,  $\varepsilon_s$  is the surface emissivity between 3 and 100  $\mu$ m,  $\sigma$  is Stephan-Boltzmann's constant and  $T_s$  is the surface radiometric temperature. Broadband emissivity  $\varepsilon_s$  is calculated from NDVI:

$$\varepsilon_s = 1.009 + 0.0047 \ln(NDVI) \tag{2}$$

Soil heat flux is expressed as a fraction of net radiation, this fraction being a function of surface radiometric temperature, instantaneous albedo and daily value of albedo  $\overline{\alpha_s}$ :

$$\frac{G_0}{R_n} = \frac{T_s}{\alpha_s} \left( 0.0032 \,\overline{\alpha_s} + 0.0062 \,\overline{\alpha_s}^2 \right) \left( 1 - 0.978 \, NDVI^4 \right) \tag{3}$$

#### 7.2 Estimating the convective fluxes

Latent heat flux *LE* is computed as the residue of surface energy budget:

$$LE = R_n - G_0 - H \tag{4}$$

where H is the sensible heat flux. The convention chosen is such that  $R_n$  is positive when directed toward the surface and  $G_0$ , H, LE, are positive when directed away from the surface. Sensible heat flux  $H(z_{0h}, z)$  between the surface and a level z is evaluated using a bulk resistance approach:

$$H(z_{0h}, z) = \rho_a C_p \frac{T_s - T_a(z)}{r_{ah}(z_{0h}, z)}$$
(5)

where  $\rho_a$  is the air density,  $C_p$  is the isobaric specific heat,  $T_a$  is the air potential temperature and  $z_{0h}$  is the scalar roughness for heat. The bulk or aerodynamic resistance for the layer between  $z_{0h}$  and z is defined as:

$$r_{ah}(z_{0h}, z) = \frac{1}{k \, u_*} \left[ \ln \left( \frac{z - d}{z_{0h}} \right) - \Psi_h(z, \, L_{MO}) \right] \tag{6}$$

where k is the Von Karman's constant,  $z_{0h}$  is the roughness length for heat, d is the displacement height. The friction velocity  $u_*$  is given by:

$$u_* = \frac{k u(z)}{\ln\left(\frac{z-d}{z_{0m}}\right) - \Psi_m(z, L_{MO})} \tag{7}$$

where u(z) is the wind speed at level z and  $z_{0m}$  is the roughness length for momentum. The stability correction functions for wind and temperature  $\Psi_m(z, L_{MO})$ and  $\Psi_h(z, L_{MO})$  can be described as proposed by [7] considering both stable and unstable conditions. The Monin-Obukhov  $L_{MO}$  length is given by:

$$L_{MO} = -\frac{\rho_a C_p u_*^3}{k \, g \, H} \left(\frac{T_s + T_a(z)}{2}\right) \tag{8}$$

where parameters g and k are gravity acceleration and Von Karman's constant respectively. The mean value of  $T_s$  and  $T_a(z)$  represents the air temperature of the layer between  $z_{0h}$  and z.

The roughness length for heat  $z_{0h}$  is set to  $0.1 \times z_{0m}$ , which corresponds to a  $kB^{-1}$  value of 2.3. The roughness length for momentum is deduced from NDVI:

$$z_{0m} = \exp(-6.665 + 6.38 \, NDVI) \tag{9}$$

#### 7.2.1 Estimating the wind speed at the atmospheric reference level

A illustration of the aggregation scheme is given in Figure 10. The aerodynamic resistance  $r_{ah,dry}(z_b)$  of the effective layer between surface and blending height (set to 100 m above the surface) is estimated inverting the sensible heat flux expression:

$$r_{ah,dry}(z_b) = \rho_a C_p \frac{T_{s,dry} - T_a(z_b)}{H_{dry}}$$
(10)

where  $T_{s,dry}$  and  $H_{dry}$  are the mean value over dry areas of respectively surface temperature  $T_s$  and sensible heat flux  $H = R_n - G_0$ . The air potential temperature at blending height  $T_a(z_b)$  is given by radiosonde. Next, friction velocity  $u_{*,dry}$  and Monin-Obukhov length  $L_{MO,dry}$  of the layer are deduced solving the following system:

$$\mathbf{L}_{\mathbf{MO},\mathbf{dry}} = -\frac{\rho_a C_p \mathbf{u}_{*,\mathbf{dry}}^3}{k \, g \, H_{dry}} \left( \frac{T_{s,dry} + T_a(z_b)}{2} \right)$$

$$\mathbf{u}_{*,\mathbf{dry}} = \frac{1}{r_{ah,dry}(z_b)} \left[ \ln \left( \frac{z_b}{z_{0h,dry}} \right) - \Psi_h(z_b, \mathbf{L}_{\mathbf{MO},\mathbf{dry}}) \right]$$
(11)

The effective roughness lengths are computed using quadratic averages. Finally, a mean value over the whole site of wind speed at atmospheric reference level  $z_a$  $< u(z_a) >$  is computed:

$$\langle u(z_a) \rangle = \frac{u_{*,dry}}{k} \left[ \ln\left(\frac{z_a}{z_{0m,dry}}\right) - \Psi_m(z_a, L_{MO,dry}) \right]$$
 (12)

[Figure 10 about here.]

#### 7.2.2 Air temperature and sensible heat flux

The slope and offset of the linear relation between air and surface temperatures are estimated inverting the sensible heat flux expression over wet areas ( $T_s = T_a$ ) and dry areas ( $H = R_n - G_0$ ). This leads to express the difference as

$$T_{s} - T_{a}(z_{a}) = \frac{T_{s} - T_{s,min}}{T_{s,max} - T_{s,min}} \left[ \frac{(R_{n} - G_{0}) r_{ah}(z_{a})}{\rho_{a} C_{p}} \right]_{T_{s,max}}$$
(13)

where  $T_{s,min}$  and  $T_{s,max}$  are surface temperature over wet and dry areas respectively. This approach yields to a system of three unknowns and three equations which resolution provides an estimate of both air temperature and sensible heat flux:

$$\begin{cases}
\mathbf{H} = f_1(\mathbf{u}_*, \mathbf{L}_{\mathbf{MO}}, z_{0h}, z_a, T_{s,min}, T_{s,max}, T_s, \rho_a, C_p, R_n, G_0) \\
\mathbf{L}_{\mathbf{MO}} = f_2(\mathbf{u}_*, \mathbf{H}, T_s, T_a(z_a), g, k, \rho_a, C_p) \\
\mathbf{u}_* = f_3(\mathbf{L}_{\mathbf{MO}}, < u(z_a) >, k, z_{0m}, z_a)
\end{cases}$$
(14)

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Figure 1: Evolution of radiometric temperature versus albedo over the ReSeDA experimental site on March, 12, 1997.



Figure 2: Comparison between SEBAL simulations and field measurements of wind speed at atmospheric reference level.



Figure 3: Comparison between SEBAL simulations and field measurements of air temperature.



Figure 4: Comparison between SEBAL simulations and field measurements of net radiation.



Figure 5: Comparison between SEBAL simulations and field measurements of soil heat flux.



Figure 6: Comparison between SEBAL simulations and field measurements of the difference between net radiation and soil heat flux.



Figure 7: Comparison between SEBAL simulations and field measurements of sensible heat flux.



Figure 8: Comparison between SEBAL simulations and field measurements of latent heat flux.



Figure 9: Map of 45 minute averaged evapotranspiration (LE) over the ReSeDA experimental on April, 10, 1997 at a 20 m spatial resolution.



Figure 10: Illustration of the aggregation scheme used to compute wind speed. The aerodynamic properties of the effective layer between surface and blending height are calculated by aggregating the variables of interest over dry areas. Pixels corresponding to dry areas are allocated considering albedo values higher than the threshold value. The threshold value corresponds to the maximum temperature displayed by the temperature versus albedo diagram (Figure 1).

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Energy	Plot	ARMSE	RRMSE	ABIAS	RBias	Corr. Coef.
Flux	Number	(W.m <sup>-2</sup> )	(%)	(W.m <sup>-2</sup> )	(%)	(-)
$R_n$	54	21.2	4.1	8	1.5	0.956
$G_0$	50	43.7	47.8	-38.2	-41.8	0.725
$R_n - G_0$	50	52	12.3	46.7	11.1	0.947
Н	54	58.4	33.5	- 4.6	2.7	0.711
LE	43	84.8	33.1	57.8	-22.8	0.829

Table 1: Results of validation for SEBAL energy fluxes over the whole ReSeDA experiment.