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# Effect of Canopy Architecture on Carbon and Water Fluxes: A Numerical Experiment



Ajit Govind\*, Dominique Guyon and Jean-Pierre Wigneron



## Introduction

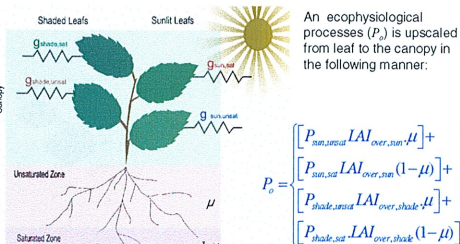
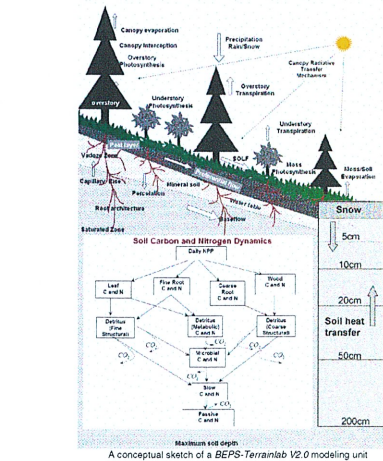
High latitude boreal forests play a major role in the global climate dynamics. Vegetation in these ecosystems is dominated by coniferous forests, whose canopy architectures are highly clumped and non-randomly distributed in space. This is an adaptation to facilitate a greater amount of light penetration deep into the canopy. The probability of the transmission of a beam of light through the canopy is greatly controlled by the clumping nature of the canopy elements, which is conventionally denoted by the clumping index ( $\Omega$ ). Currently, very few regional scale models explicitly consider canopy architectural properties to realistically represent the canopy radiative transfer (RT) mechanism that in-turn affects simulated leaf physiology and the related feedback mechanisms, which greatly govern the results. Considering this, our overall objective was to explore whether detailed information on the canopy architecture is an essential requirement in order to realistically simulate ecophysiological processes of C and water fluxes in a spatially explicit manner.

In this study, we used the BEPS-TerrainLab V2.0 model of Govind et al. (2009 a and b) as a framework for a numerical experiment to explore the implication of  $\Omega$  on the simulated ecophysiological processes in a boreal ecosystem. We constructed two modeling scenarios (**CLUMPED** and **RANDOM**) that differed only in the manner in which  $\Omega$  was parameterized within the model and analyzed the simulated ecophysiological processes. We considered the spatio-temporal distribution of the two main ecophysiological indicators: the gross primary productivity (GPP) and evapotranspiration (ET) under the two scenarios. We hypothesized that  $\Omega$  can affect the partitioning of light distribution within the canopy and hence the accuracy of the simulated GPP and ET via leaf physiological variability. Changes in ET due to  $\Omega$  can also affect the nature of the soil water balance and can cause a feedback loop that further affect the leaf physiology.

## Modeling & Upscaling (Leaf to Canopy) Ecophysiological Processes

BEPS-TerrainLab V2.0 is a spatially explicit model that simulates the hydrological, ecophysiological and biogeochemical processes and the related feedback relationships in a tightly coupled manner. A detailed description of this model can be found in Govind et al. (2009 a and b).

Leaf-level processes (GPP and ET) are upscaled to the canopy using fractions of LAIs as weighting terms that correspond to variability in leaf physiological statuses mainly due to the two main resources, light and water. BEPS-TerrainLab V2.0 employs a modified sunlit-shaded leaf strategy i.e. the four-leaf approach to spatially upscale leaf-level processes to the canopy scale. It is assumed that within the two light regimes (sunlit and shaded), there are two states of moisture regimes, i.e. canopy is comprised of 4 physiologically-distinct leaf-types based on the differences in light and water status. In this model, upscaling of leaf-scale ecophysiological process to the canopy-scale is conceptualized in the following manner. Firstly, leaf-level ecophysiological processes are calculated using leaf-specific parameters and then upscaled to the canopy using respective LAI fractions as shown below. Further, the effects of rhizosphere wetting patterns on the leaf physiological status are considered. It is assumed that rhizosphere wetting patterns proportionally influence the physiological variability of the canopy under a given light regime. This is manifested through the variable  $\mu$  (after Gale and Grigal, 1987), the fraction of roots in the unsaturated zone and the fraction of roots in the saturated zone. This operation finally results in 4 LAI fractions that have unique physiological statuses which vary in space and time.



A conceptual sketch of the upscaling philosophy in BEPS-TerrainLab V2.0

For this approach, firstly the LAI of a canopy is partitioned into sunlit and shaded LAI-fractions as a function of  $\Omega$  and solar zenith angle  $\theta$  as shown below

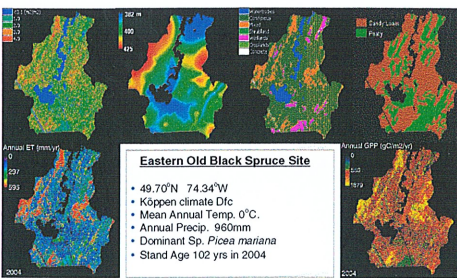
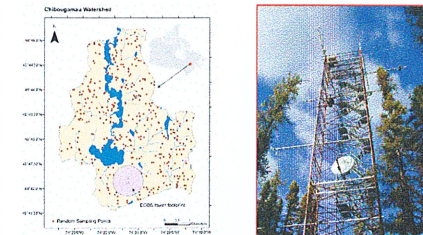
$$LAI_{sun} = 2 \cdot \cos \theta \left[ 1 - e^{\left( \frac{-0.5 \Omega LAI}{\cos \theta} \right)} \right]$$

$$LAI_{shade} = LAI_{total} - LAI_{sun}$$

Then, ecophysiological processes at the leaf-scale are computed using unique radiation regimes ( $R_i$ ) and stomatal conductances ( $g_s$ ) as shown below

$$\begin{aligned} P_{sun,sat} &= f_x(R_{y,sun} g_{s,sun,sat}) \\ P_{sun,unsat} &= f_x(R_{y,sun} g_{s,sun,unsat}) \\ P_{shade,sat} &= f_x(R_{y,shade} g_{s,shade,sat}) \\ P_{shade,unsat} &= f_x(R_{y,shade} g_{s,shade,unsat}) \end{aligned}$$

The function  $f_x$  in the above equation can be either the Penman Monteith (PM) equation (for transpiration) or the temporally-integrated Farquhar model (TIFM) of Chen et al. (1999) for GPP. Both these functions use leaf-specific radiation and conductance terms. The leaf-specific  $g_s$  is calculated using a Jarvis (1976)-like model using scalars that represent several environmental factors including the response functions for radiation and soil moisture terms. ET is the sum of transpiration and evaporation. Evaporation from the forest-floor is calculated as the weighted sum of moss evaporation and soil evaporation which is calculated using the PM equation using surface-specific conductance values. Evaporation from the leaf surface is also calculated. For the understory canopy, a *quasi-big-leaf approach* is employed as the upscaling strategy. Moss photosynthesis and Moss evaporation are calculated using TIFM and the PM equation respectively. However, no spatial upscaling mechanism is considered because moss is assumed to be "uni-layered" on the forest floor.



Location of the boreal landscape (Chibogamau watershed), considered in this numerical experiment. Note the distribution of 400 random sampling points used for statistical analysis. Some important spatial datasets used to run BEPS-TerrainLab V2.0 are displayed (from left to right): A LAI map derived using remote sensing in conjunction with ground & laboratory measurements. A hydrologically corrected Digital elevation model (DEM). A validated land-cover map. A soil texture map. Bottom panels show validated ET and GPP (2004) distributions on the boreal landscape which represents the CLUMPED scenario taken from Govind et al. (2009a)

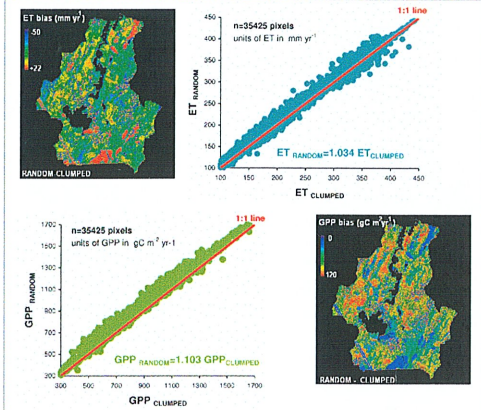
## Site Description

Our numerical experiment focused on a 50 km<sup>2</sup> boreal watershed, which includes the Eastern Old Black Spruce site (EOBS) of the Canadian Carbon Program, located at 49.69°N and 74.34°W, Quebec, Canada. This region lies within the humid continental sub-arctic boreal biome in Canada. Since mid-2003, an eddy covariance (EC) tower at EOBS has been making continuous high frequency measurements of the fluxes of mass and energy between the landscape and the atmosphere. Black spruce is the dominant species in this boreal ecosystem. Paper birch and aspen can also be found on elevated locations along esker ridges.

## Modeling Scenarios

**CLUMPED**: This scenario used species-specific  $\Omega$  values, the values of which were parameterized based on rigorous ground measurements using the TRAC instrument and Digital Hemispherical Photographs. This scenario represented a realistic representation of  $\Omega$  and has been exhaustively validated with EC and auxiliary measurements at EOBS for two years in the previous studies.

**RANDOM**: This scenario assumed that leaves in a canopy are randomly distributed. Thus all the species were given a constant  $\Omega$  value=1. Both the scenarios used the same spatial datasets, meteorological data, soil hydraulic and biological parameters as in Govind et al. (2009 a and b), except for the  $\Omega$  parameterization.

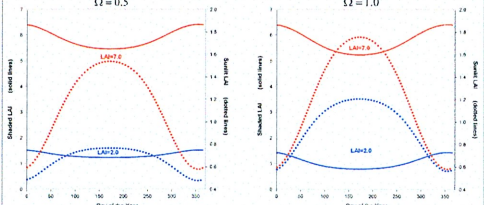


A pixel-to-pixel comparison of the simulated ecophysiological processes (annual sum) under the two scenarios. The top panels show the anomalies in the annual ET and the bottom panels show the anomalies in the annual GPP on this boreal landscape. Note that one unit in the ET scatter plot corresponds to 50 mm yr<sup>-1</sup> and for the GPP scatter plot it is 200 gC m<sup>-2</sup> yr<sup>-1</sup>. The magnitudes of these biases are considerable on an annual basis

A pixel-to-pixel comparison of the annual ET and GPP under the two scenarios revealed that in general, the **RANDOM** scenario overestimated the ecophysiological processes relative to the **CLUMPED** scenario. GPP was more sensitive to ET. This could be because ET is comprised of both transpiration (biological) and evaporation (physical) components. Increased clumping (decreasing value from 1) made the canopy more non-random and allowed more radiation to be penetrated through the canopy without being intercepted by the foliage and therefore decreased sunlit LAI and increased shaded LAI. Theoretically, the partitioning of sunlit and shaded LAI varies with season owing to changes in  $\theta$ . The ratio between sunlit and shaded LAI fractions greatly depends on the magnitudes of the total LAI, the day of the year ( $\theta$ ) and  $\Omega$  of the canopy.

It is seen that on an annual basis, there were considerable differences in the GPP and ET simulated by the two scenarios. GPP was overestimated in almost all landcover types and at all LAI ranges in the **RANDOM** scenario. For ET, wetland locations (lower LAI) showed large differences. This could be because in addition to the direct effects of canopy RT which governed leaf physiological statuses which controlled transpiration, soil water balance was also altered consequently. Under the **RANDOM** scenario, in wetland locations, there was an increased wetting of soil due to decreased transpiration which increased evaporation from the forest floor.

Statistical analyses were performed for the linear relationships ( $y = \beta x + \epsilon$ ) made between the **CLUMPED** ( $x$ ) and **RANDOM** ( $y$ ) scenario-results at the 400 sampling points. Test-specific hypotheses were used to ascertain how the slopes of these linear regressions were statistically different from 1 ( $H_0: \beta = 1; H_1: \beta \neq 1$ ) and how the intercepts were different from 0 ( $H_0: \beta = 0; H_1: \beta \neq 0$ ). It was seen that the slopes of the **RANDOM** and **CLUMPED** scenarios were significantly different for ET (0.98  $p=0.0017$ ) and GPP (1.12  $p<0.04$ ). The intercepts were also significantly different from 0 for ET (12  $p<0.001$ ) and GPP (75  $p<0.0001$ ).



A theoretical plot that demonstrate how  $LAI_{sun}$  is partitioned into  $LAI_{sun,sat}$  (dotted lines) and  $LAI_{sun,unsat}$  (bold lines) for two LAI values (1 and 2) in the **CLUMPED** and **RANDOM** scenarios as a function of change in the solar zenith angle (day of the year). Note that the fraction of sunlit LAI is more in the **RANDOM** scenario than the **CLUMPED** scenario. This effect is more sensitive at lower LAI values and in the growing season.

## Conclusion

From the results of this numerical experiment, we conclude that errors in the parameterization of canopy architectural properties have considerable effects on the magnitudes of the simulated ecophysiological processes directly through changes in canopy RT and indirectly through changes in soil water balance-mediated feedback mechanisms. Hence, it is important to explicitly consider  $\Omega$  in productivity models because it can govern the partitioning of light inside a canopy. This affects leaf physiology, and C and water fluxes. Mapping of clumping index using multi-angular remote sensing (e.g. POLDER or MISR data) in conjunction with precise ground measurements and RT modeling can greatly benefit coupled C and water cycle modeling at regional and global scales.

## Acknowledgements

The authors acknowledge Prof. Jing Chen, Univ of Toronto, who has greatly inspired A.G. We thank Prof. Hank Margolis, leader of the Canadian Carbon Program and PI at the EOBS site. Colleagues and friends at INRA-EPHYSE are also acknowledged.

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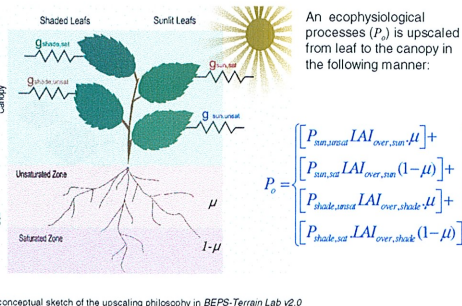
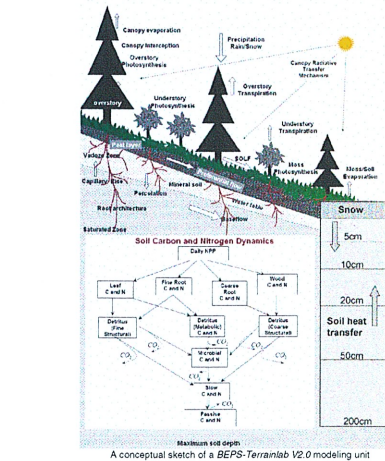
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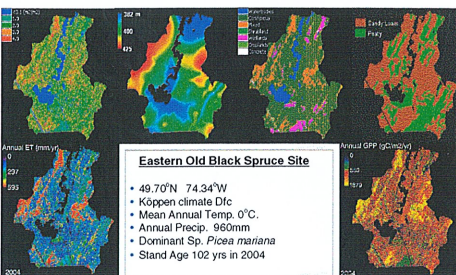
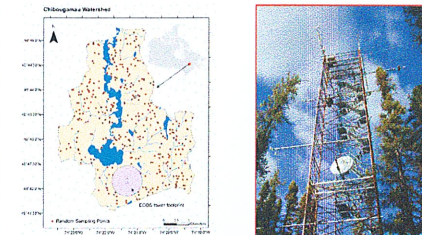
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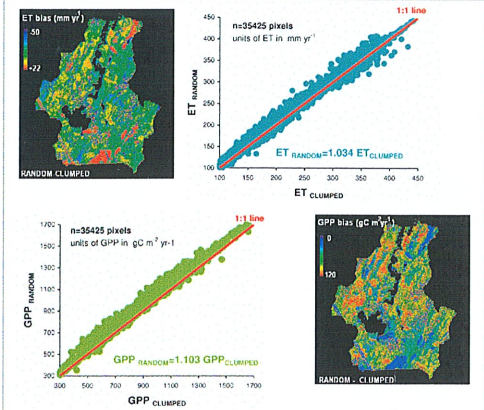
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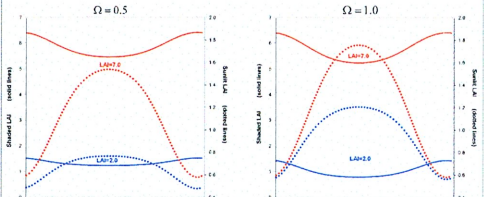


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