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1           **Prediction of soil organic and inorganic carbon concentrations in**  
2           **Tunisian samples by mid-infrared reflectance spectroscopy using a**  
3                           **French national library**

4

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17

18

19          **Keywords:** Soil Organic and Inorganic Carbon; Mid-Infrared reflectance spectroscopy;  
20          natural logarithm transformation; Local and Global calibration; Partial least squares  
21          regression (PLSR); National dataset.

22

23          **Abstract**

24          Mid-infrared reflectance spectroscopy (MIRS, 4000–400 cm<sup>-1</sup>) is being considered to  
25          provide accurate estimations of soil properties, including soil organic carbon (SOC) and

26 soil inorganic carbon (SIC) contents. This approach has mainly been demonstrated by  
27 using datasets originating from the same area *A*, with similar geopedological conditions, to  
28 build, validate and test prediction models. The objective of this study was to analyse how  
29 MIRS performs when applied to predict SOC and SIC contents, from a calibration  
30 database collected over a region *A*, to predict over a region *B*, where *A* and *B* have no  
31 common area and different soil and climate conditions. This study used a French MIRS  
32 soil dataset including 2178 topsoil samples to calibrate SIC and SOC prediction models  
33 with partial least squares regression (PLSR), and a Tunisian MIRS topsoil dataset  
34 including 96 soil samples to test them. Our results showed that when using the French  
35 MIRS soil database, *i*) the SOC and SIC of French validation samples were successfully  
36 predicted using global models ( $R^2_{val} = 0.88$  and  $0.98$ , respectively), *ii*) the SIC of Tunisian  
37 samples was also predicted successfully both using a global model and using a selection  
38 of spectral neighbours from the French calibration database ( $R^2_{test}$  of  $0.96$  for both), *iii*) the  
39 SOC of Tunisian samples was predicted moderately by global model ( $R^2_{test}$  of  $0.64$ ) and a  
40 transformation by natural logarithm of the calibration SOC values significantly improved  
41 the SOC prediction of Tunisian samples ( $R^2_{test}$  of  $0.97$ ), and *iv*) a transformation by natural  
42 logarithm of SOC values provided more benefit than a selection of spectral neighbours  
43 from the French calibration database for predicting Tunisian SOC values. Therefore, in the  
44 future, MIRS might replace conventional physico-chemical analysis techniques, or at least  
45 be considered as an alternative technique, especially when optimally exhaustive  
46 calibration databases will become available.

47

## 48 **1. Introduction**

49 Soil is the largest reservoir of continental carbon and participates in the global carbon  
50 cycle (Jacobson et al., 2000; Scharlemann et al., 2014). Soil emits carbon dioxide (CO<sub>2</sub>)  
51 through autotrophic and heterotrophic respiration and acts as a sink of atmospheric CO<sub>2</sub>

52 through photosynthesis; then, organic decomposition products are integrated into the soil  
53 as organic matter, which is composed of approximately 50% to 58% of carbon (Gregorich  
54 et al., 1994; Pribyl, 2010). Moreover, soil organic carbon (SOC) has a long-acknowledged  
55 and key role in soil physical, chemical and biological fertility (Reeves, 1997). Thus,  
56 understanding the dynamics of soil carbon is a major issue for soil fertility and for climate  
57 change mitigation.

58 Soil carbon is not only found in organic form. At the global scale, approximately one-  
59 third of the total soil carbon is inorganic (soil inorganic carbon, SIC) (Batjes, 1996), and  
60 calcareous soils cover more than 30% of the earth's land surface (Chen and Barak, 1982;  
61 Romanyà and Rovira, 2011). SIC is mainly in the form of calcium carbonate ( $\text{CaCO}_3$ ), and  
62 high SIC contents are often localised in dry areas where SOC stocks are low. In these  
63 soils, SIC levels can be 2 to 10 times higher than SOC levels (Bernoux and Chevallier,  
64 2014). SIC is made of primary minerals derived from the fragmentation of carbonate  
65 bedrock (lithogenic carbonates) or secondary minerals from inorganic carbon precipitation  
66 in soil pores or around roots (pedogenic carbonates). Pedogenic carbonates have various  
67 forms—nodules, lamellae or crystals—and have varying solubility.

68 Several analytical methods have been developed to quantify SIC and SOC contents in  
69 soils, but they are tedious and/or costly. In particular, SOC determination in carbonated  
70 soils often requires hazardous reagents. SIC content has usually been measured by  
71 calcimetry (ISO, 1995b) but can also be measured by dry combustion with a CNH  
72 elemental analyser equipped with a specific module ( $\text{CO}_3\text{-C}$  module) after phosphoric acid  
73 dissolution of the SIC (Mc Crea, 1950; Hannam et al. 2016). Quantifying SOC in  
74 calcareous soils has either been carried out directly, by wet oxidation (Walkley and Black,  
75 1934) or dry combustion after removing SIC by acid pretreatment (Harris et al., 2001), or  
76 indirectly, by subtracting the SIC, measured by calcimetry, from the total carbon content  
77 determined by dry combustion. Due to indirect determination, incomplete oxidation and the

78 use of hazardous reactants with both of these methods, alternative methods based on the  
79 thermal lability of SIC and SOC have also been tested (Wang et al., 2012; Apesteguia et  
80 al., 2018).

81 For one to two decades, visible near-infrared (Vis-NIR, 400–2500 nm) and mid-  
82 infrared reflectance spectroscopy (MIRS, 4000–400  $\text{cm}^{-1}$ ), which measures diffuse  
83 reflectance, have been proposed as alternative methods to these physico-chemical  
84 analytical methods (e.g., Viscarra Rossel et al., 2006; Cécillon et al., 2008; Bellon-Maurel  
85 and McBratney, 2011). MIRS is based on the study of absorption bands corresponding to  
86 fundamental molecular vibrations, and NIRS is based on the study of absorption bands  
87 corresponding to overtones and combinations of fundamental vibrations (Williams and  
88 Norris, 1987). Several studies highlighting the potential of Vis-NIR and/or MIRS for  
89 predicting various soil attributes, including SIC and SOC, have been listed by Viscarra  
90 Rossel et al. (2006) and then by Soriano-Disla et al (2014).

91 In the MIR range, carbonates may be identified by strong and numerous absorption  
92 bands, for instance, bands at approximately 820-750  $\text{cm}^{-1}$ , 1800  $\text{cm}^{-1}$ , 2520  $\text{cm}^{-1}$  and  
93 2900-2990  $\text{cm}^{-1}$  (e.g., Tatzber et al., 2007; Du and Zhou, 2009, Comstock et al., 2019). In  
94 the NIR range, carbonates may be identified by peaks at 2341 and 2480 nm (Lagacherie  
95 et al., 2008; Barthès et al., 2016). In the MIR range, organic carbon may also be identified  
96 by numerous absorption peaks, for instance, peaks at approximately 2920 and 1230  $\text{cm}^{-1}$   
97 (Grinand et al., 2012). In the NIR range, organic carbon may be identified by absorption  
98 peaks at approximately 1910 nm (Viscarra Rossel et al., 2006; Viscarra Rossel and  
99 Webster, 2012) and 2050–2150 nm (Workman and Weyer, 2008). Finally, MIRS has  
100 generally been reported to provide more accurate performance in terms of SOC and SIC  
101 predictions compared to NIRS (e.g., McCarty et al., 2002; Reeves, 2010; Bellon-Maurel &  
102 McBratney, 2011; Clairotte et al., 2016). Nevertheless, better NIRS than MIRS predictions  
103 of SOC have been reported in tropical and Mediterranean regions due to the overlap of

104 absorption regions related to metal oxides and organic compounds ([Rabenarivo et al.,](#)  
105 [2013](#); [Barthès et al., 2016](#)).

106 Following the emergence of infrared spectroscopy technologies for soil  
107 characterisation, soil spectral libraries covering extensive areas have recently been  
108 developed for estimating soil properties, especially SOC; most libraries are in the Vis-NIR  
109 range at the national scale (e.g., in Australia, [Viscarra Rossel and Webster, 2012](#); in  
110 Denmark, [Knadel et al., 2012](#); in France, [Gogé et al., 2014](#); in China, [Shi et al., 2015](#)),  
111 continental scale (in Europe, [Stevens et al., 2013](#)) and even global scale ([Brown et al.,](#)  
112 [2006](#); [Viscarra Rossel et al., 2016](#)), but libraries also exist in the MIR range (e.g., in  
113 France, [Grinand et al., 2012](#), and [Clairotte et al., 2016](#); in the US, [Wijewardane et al.,](#)  
114 [2018](#), [Comstosck et al., 2019](#) and [Dangal et al., 2019](#)). All these libraries use spectra  
115 collected using dried and ground samples in laboratory conditions.

116 Most of the studies dealing with large soil spectral databases (national or  
117 continental) have aimed to calibrate prediction models with samples from a region *A* to  
118 predict the properties of soil samples from the same region *A*. Therefore, the soil and  
119 climate conditions are similar between the calibration and validation databases. [McCarty et](#)  
120 [al. \(2002\)](#) calibrated SOC and SIC prediction models by using two-thirds of 257 soil  
121 samples collected from 14 geographically diverse locations over eight states in the west  
122 central US and validated the models by using the remaining one-third of soil samples,  
123 obtaining good accuracy and low bias. [Stevens et al. \(2013\)](#) used the LUCAS database,  
124 which includes samples from 23 member states of the European Union, to predict SOC. In  
125 their study, each calibration dataset and associated validation dataset was composed of  
126 samples from a similar soil type (organic or mineral) and land use (cropland, grassland or  
127 woodland), and the most spectrally representative samples were selected as calibration  
128 samples. [Shi et al. \(2015\)](#) used a Chinese database, which included samples from 20  
129 provinces, to predict SOC. In their study, the calibration dataset was selected to minimise

130 both the spectral distance and geographical distance to each validation sample, which also  
131 belonged to the database (region *A*). [Clairotte et al. \(2016\)](#) successfully calibrated  
132 prediction models by using subsets of the French national database and then tested these  
133 models on a test subset (10% of this French database, either spectrally representative or  
134 not; samples were not selected based on their pedo-climatic context).

135         Some studies have focused on potential and limitation analysis of prediction models  
136 calibrated with a large database composed of samples collected over a region *A* to predict  
137 properties for soil samples collected from a small region *b* within *A*. [McCarty et al.](#)  
138 [\(2002\)](#) calibrated SOC and SIC prediction models by using 257 soil samples collected  
139 from 14 geographically diverse locations over 8 states in the west central US and obtained  
140 biased predictions when their models were applied to 16 independent soil samples  
141 collected in another state (Nebraska) that was also in the west central US. [Gogé et al.](#)  
142 [\(2014\)](#) calibrated local prediction models from the French national database, collected  
143 over 550 000 km<sup>2</sup> (region *A*), to predict soil properties in soil samples collected from a  
144 small French area of 24 km<sup>2</sup> (Occitanie region, south of France; this region *b* was included  
145 in *A* but under-represented). Additionally, [Comstosck et al. \(2019\)](#) calibrated prediction  
146 models by using a US national database (region *A*) to predict carbonate in soil samples  
147 collected from two states (New York and Iowa, regions *b*) that were poorly represented in  
148 the US database.

149         Finally, to the best of our knowledge, few studies have focused on potential and  
150 limitation analysis of prediction models calibrated with a large database composed of  
151 samples collected over a region *A* to predict properties for soil samples collected over a  
152 region *B*, where *A* and *B* have no common area and hence have potential differences in  
153 soil and climatic conditions. Only [Jauss et al. \(2017\)](#) and [Ahmed et al. \(2017\)](#) used MIR  
154 spectroscopy as a routine method for predicting pyrogenic carbon and for predicting Total

155 Carbon and SOC, respectively, on United States soils (region *B*), from a soil spectral  
156 database of Australia (region *A*).

157 The objective of this study was to analyse how MIRS may be used to predict SOC  
158 and SIC contents when using a national database collected over a region *A* to predict  
159 values for soil samples collected over a region *B*, where *A* and *B* have no common area  
160 and have different soil and climate conditions. This study used a French MIRS soil dataset  
161 (region *A*) including 2178 topsoil samples collected from an area of 550 000 km<sup>2</sup> (French  
162 metropolitan territory, composed of temperate and Mediterranean soils) to calibrate SIC  
163 and SOC prediction models. These models were tested on a Tunisian MIRS soil dataset  
164 (region *B*), including 96 soil samples collected from an area of approximately 80 000 km<sup>2</sup>  
165 (northern half of Tunisia, mainly Mediterranean and arid soils).

166

## 167 **2. Materials and methods**

168

### 169 **2.1. Soil datasets**

#### 170 **2.1.1. The French national soil collection**

171 The national soil collection provided by the French national soil quality monitoring network  
172 (RMQS; [Arrouays et al., 2002](#)) and called *DB\_RMQS* was used in this study to calibrate  
173 the SIC and SOC models. This RMQS collection is composed of 2178 soil samples  
174 representing all main soil types encountered over the sampled 552 000 km<sup>2</sup> of the French  
175 metropolitan territory (Corsica included): Cambisols, Calcisols, Luvisols, Leptosols,  
176 Andosols, Albeluvisols, Podzolsols, *etc.* ([IUSS Working Group WRB, 2014](#)). The latitude of  
177 sample sites ranges from 41 to 51°N, and their longitude ranges from 5.0°W to 9.5°E. The  
178 sampling design was based on a square grid with 16-km spacing. At the centre of each  
179 square, 25 individual core samples were taken from 0 to 30 cm depth using an unaligned



180 sampling design within a 20 × 20 m area. Core samples were bulked to obtain a composite  
181 sample for each site ([Arrouays et al., 2002](#)).

182

### 183 **2.1.2. The Tunisian soil samples**

184 Ninety-six soil samples were used as the test set, called *DB\_Tunisia*. These samples were  
185 collected from 45 localities, covering approximately 80 000 km<sup>2</sup> (from 35 to 37°N and 08 to  
186 11°E), with the aim of representing the main soil types and land uses of the northern half of  
187 Tunisia. This was done based on previous studies carried out at the Tunis El Manar  
188 University, without particular design. Field samples within the same locality were  
189 kilometres apart and under different land uses. Soil samples were collected at 0-10 cm  
190 using a spade, and the sampling campaign was carried out within a few months in late  
191 2010. This Tunisian set was previously studied in [Barthès et al. \(2016\)](#).

192

## 193 **2.2. Laboratory Analysis**

### 194 **2.2.1. Physico-chemical analyses**

195 The 2178 RMQS samples were air dried, 2-mm sieved and then finely ground (< 0.25 mm)  
196 using mortar and pestle. The SIC content of the RMQS samples was calculated as 0.12  
197 times the soil calcium carbonate content, which was determined using these finely ground  
198 (< 0.25 mm) air-dried samples using a Bernard calcimeter according to the standard  
199 procedure ISO 10693 ([ISO, 1995a](#)). The carbonate content was calculated after calibration  
200 with a pure calcium carbonate standard and was expressed as the equivalent calcium  
201 carbonate content. The SIC content of the RMQS samples (*DB\_RMQS\_SIC*) ranged from  
202 0 to 103.9 g kg<sup>-1</sup>, averaged 6.4 g kg<sup>-1</sup>, and had a median of 0 g kg<sup>-1</sup> and a skewness value  
203 close to 3.1 (Table 1).

204 Then, the SOC content of the RMQS samples was calculated as the difference  
205 between the total carbon (TC) and inorganic carbon contents. The TC content was

206 determined by dry combustion with an elemental analyser (Thermo Fisher Scientific CHN  
207 NA2000, Waltham, MA, US) using approximately 25–30-mg aliquots of finely ground  
208 (< 0.25 mm) air-dried soil samples that were sealed into tin capsules, according to the  
209 standard procedure ISO 10694 (ISO, 1995b). The SOC content of the RMQS samples  
210 (*DB\_RMQS\_SOC*) ranged from 0.6 to 411.3 g kg<sup>-1</sup>, averaged 25.8 g kg<sup>-1</sup>, and had a  
211 median of 19.6 g kg<sup>-1</sup> and a skewness value close to 4.9 (Table 1).

212

213

[Table 1]

214

215

216 The 96 Tunisian soil samples were also air-dried, sieved to 2 mm and then finely ground  
217 (< 0.2 mm) using mortar and pestle. The SOC content of the Tunisian samples was  
218 analysed by dry combustion after decarbonisation using chlorhydric acid, following the  
219 standard procedure ISO 10694 (1995b), with the same elemental analyser as that used for  
220 RMQS samples but using silver capsules. Soils were decarbonated prior to SOC  
221 determination: 10 mL of water were added to 1 g of soil and 0.5 M HCl solution was then  
222 dripped onto the sample until there was no more effervescence; then the samples were  
223 washed in water until pH reached 7. The SOC concentration was then determined on  
224 finely ground 25–30 mg aliquots by dry combustion using an elemental analyser (Thermo  
225 Fisher Scientific CHN NA2000, Waltham, MA, USA). The SOC content of the Tunisian  
226 samples (*DB\_Tunisia\_SOC*) ranged from 2.0 to 121.0 g kg<sup>-1</sup>, averaged 20.1 g kg<sup>-1</sup>, and  
227 had a median of 14.6 g kg<sup>-1</sup> and a skewness value close to 3 (Table 1).

228

229

230

The soil inorganic carbon content of the Tunisian samples was calculated as the  
difference between the TC (determined by dry combustion using the same CHN analyser  
as that used for the RMQS samples) and SOC contents. The SIC content of the Tunisian

231 samples (*DB\_Tunisia\_SIC*) ranged from 0.0 to 92.9 g kg<sup>-1</sup>, averaged 43.3 g kg<sup>-1</sup>, and had  
232 a skewness value close to -0.2 (Table 1).

233 As the SIC contents of the RMQS and Tunisian samples were analysed by two  
234 different methods, 29 test samples from the Tunisian set (i.e., 30% of the set) were re-  
235 analysed following the same method as that used for the RMQS samples (i.e., directly, by  
236 calcimetry; ISO, 1995a). The Pearson correlation coefficient (R), root mean square error  
237 (RMSE) and bias between the SIC values determined by both approaches (calcimetry vs.  
238 difference between TC and SOC) were 0.997, 2.4 g kg<sup>-1</sup> and 1.1 g kg<sup>-1</sup>, respectively  
239 (Figure 1). The values of SIC calculated by both approaches could thus be considered  
240 equivalent.

241

242 [Figure 1]

243

244

### 245 **2.2.2. Mid-infrared spectroscopy**

246 Mid-infrared spectroscopic analysis was performed following the same procedure for both  
247 spectral libraries. First, air-dried, 2-mm sieved, and 0.2-mm ground samples were oven-  
248 dried at 40°C for twelve hours. Reflectance spectra were acquired using a Fourier  
249 transform Nicolet 6700 spectrophotometer (Thermo Fischer Scientific, Madison, WI, US) in  
250 the MIR region. Reflectance was acquired at 934 wavenumbers between 4000 and  
251 400 cm<sup>-1</sup> with a 3.86 cm<sup>-1</sup> spectral resolution. This spectrophotometer is equipped with a  
252 silicon carbide source, a Michelson interferometer as a dispersive element, and a  
253 deuterated triglycine sulfate detector. Soil samples were placed in a 17-well plate. The soil  
254 surface was flattened with the flat section of a glass cylinder, and samples were then  
255 scanned using an auto-sampler (soil surface area scanned: ca. 10 mm<sup>2</sup>). Each spectrum  
256 resulted from 32 co-added scans, and the body of the plate (next to the wells) was used as

257 a reference standard and scanned once per plate (i.e., every 17 samples). Twenty  
258 wavenumbers were removed due to frequent noise in the spectrum, so MIR spectra in the  
259 range from 4000 to 478  $\text{cm}^{-1}$ , with 914 wavenumbers, were used.

260

## 261 **2.3 PLSR model calibration**

262 All procedures were performed using R software ([R Core Team, 2012](#)), and both the `ade4`  
263 ([Dray and Dufour, 2007](#)) and `pls` packages ([Mevik and Wehrens, 2007](#)) were used.

264

### 265 **2.3.1. Dataset preparation**

266 Both *DB\_RMQS\_SIC* and *DB\_RMQS\_SOC* were divided into a calibration set (3/4 of the  
267 dataset) and a validation set (1/4 of the dataset). The samples of each dataset were  
268 ranked according to ascending reference value (observed SIC or SOC). The sample with  
269 the lowest reference value was put in the calibration set, the next sample was put in the  
270 validation set, and then the next three samples were put in the calibration set. The  
271 procedure was continued by alternately placing the next sample in the validation set and  
272 the following three samples in the calibration set. Following this process, the distributions  
273 of the *DB\_Calib\_RMQS\_SOC* calibration and *DB\_Valid\_RMQS\_SOC* validation datasets  
274 were similar. As well, the distributions of the *DB\_Calib\_RMQS\_SIC* calibration and  
275 *DB\_Valid\_RMQS\_SIC* validation datasets were similar.

276 As the SOC values of the RMQS dataset followed a non-normal distribution (Table  
277 1), the SOC values of the *DB\_Calib\_RMQS\_SOC* calibration dataset were transformed  
278 with natural logarithm ( $\ln(\text{SOC})$ ) to reach a normal distribution, giving rise to new dataset  
279 for calibration *DB\_Calib\_RMQS\_InSOC*. The SIC distribution in the French dataset was  
280 also non normal, but due to the very large number of null values,  $\ln$ -transformation was  
281 hardly possible ([Bellon-Maurel et al., 2010](#); [Terra et al., 2015](#)).

282 The reflectance was converted into “absorbance” ( $\log_{10} [1/\text{reflectance}]$ ), and a  
283 standard normal variate correction was applied to remove additive and multiplicative  
284 effects (Barnes et al., 1989). Spectral outliers, which are defined as samples spectrally  
285 different than the rest of the samples (e.g., Pearson, 2002), were removed from the  
286 calibration datasets. The spectral outliers were identified by applying the Mahalanobis  
287 distance (Mark and Tunnell, 1985) to data condensed by principal component analysis  
288 (PCA). In the present study, a Mahalanobis distance of 3.5 was selected as the threshold  
289 for the identification of spectral outliers.

290

### 291 **2.3.2. Partial least squares regression**

292 Partial least squares regression (PLSR) is a multivariate approach that specifies a linear  
293 relationship between a dependent (response) variable (Y-variable, i.e., SIC or SOC  
294 content in the present case), and a set of predictor variables (X-variables, i.e., MIR spectra  
295 in the present case; Tenenhaus, 1998). The general concept of PLSR is to extract a small  
296 number of orthogonal variables (called the latent variables) that account for the maximum  
297 variation in the X-variables. A detailed description of the PLSR procedure can be found in  
298 Wold et al. (2001). This method is commonly used for NIRS or MIRS prediction of soil  
299 properties (e.g., Viscarra-Rossel et al., 2006; Bellon-Maurel et al., 2010).

300 The maximum number of latent variables of PLSR was defined as 30. A leave-one-  
301 out cross-validation (LOOCV) procedure was adopted to verify the prediction capability of  
302 the PLSR model for the calibration set. Each time,  $n - 1$  samples were used to build a  
303 regression model, which was applied to the sample not used in developing the model. This  
304 procedure was repeated for all  $n$  samples, resulting in predictions for all  $n$  samples.

305

### 306 **2.3.3. Global models**

307 Global calibration is a common calibration procedure where all calibration samples are  
308 used to build a unique prediction model that is applied identically to all validation or test  
309 samples. One global prediction model (denoted  $GM_{SIC}$ ) was built for SIC prediction based  
310 on  $DB\_Calib\_RMQS\_SIC$ , validated on  $DB\_Valid\_RMQS\_SIC$  and tested on  
311  $DB\_Tunisia\_SIC$ . As well, a global prediction model (denoted  $GM_{SOC}$ ) was built for SOC  
312 prediction based on  $DB\_Calib\_RMQS\_SOC$ , validated on  $DB\_Valid\_RMQS\_SOC$  and  
313 tested on  $DB\_Tunisia\_SOC$ . Finally, a global prediction model (denoted  $GM_{lnSOC}$ ) was built  
314 for SOC prediction based on  $DB\_Calib\_RMQS\_lnSOC$ , applied to spectra of  
315  $DB\_Valid\_RMQS\_SOC$  and  $DB\_Tunisia\_SOC$ , and the output predictions  $\ln(SOC)$  were  
316 back-transformed into SOC values using  $\exp(\ln(SOC))$ .

317 The optimal number of latent variables of  $GM_{SIC}$ ,  $GM_{SOC}$  and  $GM_{lnSOC}$  was  
318 determined using prediction residual error sum of squares (PRESS) analysis of LOOCV  
319 results to avoid under- and over-fitting. Then, all calibration samples were used to build the  
320 prediction model with the appropriate number of latent variables, and this model was  
321 applied to validation and test sets.

322

#### 323 **2.3.4. Local models**

324 A local regression approach was implemented based on PLSR to predict the SOC and SIC  
325 content of Tunisian samples. Given a sample  $p_i$  from  $DB\_Tunisia$  to predict:

326 1- The Pearson coefficient of correlation between the spectrum of the Tunisian sample  
327  $p_i$  and each RMQS calibration spectrum (from  $DB\_Calib\_RMQS$ ) was calculated;

328 2- The  $N$  samples from  $DB\_Calib\_RMQS$  with spectra that correlated to the spectrum  
329 of  $p_i$  beyond a cut-off value of 0.95 were considered spectral neighbours of the Tunisian  
330 sample  $p_i$ , without maximum limit for  $N$ .

331 3- A PLSR model was built using the  $N$  spectral neighbours of the Tunisian sample  $p_i$ .

332 If a Tunisian soil sample had less than 30 spectral neighbours among the  
333 *DB\_Calib\_RMQS* set, this soil sample was not predicted.

334 One local prediction model (denoted  $LM_{SIC}$ ) was built for SIC prediction based on  
335 *DB\_Calib\_RMQS\_SIC*, validated on *DB\_Valid\_RMQS\_SIC* and tested on  
336 *DB\_Tunisia\_SIC*. One local prediction model (denoted  $LM_{SOC}$ ) was built for SOC prediction  
337 based on *DB\_Calib\_RMQS\_SOC*, validated on *DB\_Valid\_RMQS\_SOC* and tested on  
338 *DB\_Tunisia\_SOC*. Finally, one local prediction model (denoted  $LM_{lnSOC}$ ) was built for SOC  
339 prediction based on *DB\_Calib\_RMQS\_InSOC*, applied to spectra of  
340 *DB\_Valid\_RMQS\_SOC* and *DB\_Tunisia\_SOC*, and the output predictions  $\ln(SOC)$  were  
341 back-transformed into SOC values using  $\exp(\ln(SOC))$ .

342 As the calibration sets for SOC and SIC predictions did not include the same  
343 samples (*DB\_Calib\_RMQS\_SOC* and *DB\_Calib\_RMQS\_SIC*, respectively), the nearest  
344 calibration neighbours of a given French validation and Tunisian sample were not the same  
345 for SOC and SIC predictions. The optimal number of latent variables was finally  
346 determined using PRESS analysis of LOOCV on the selected spectral neighbours to avoid  
347 under- and over-fitting. Regardless of the type of local model, the spectral outliers were not  
348 investigated because the selection of nearest neighbours was considered an implicit  
349 rejection of outliers.

350

## 351 **2.4 PLSR model evaluation**

352 The performance of global models was evaluated according to figures of merit described in  
353 [Bellon Maurel et al. \(2010\)](#), from cross-validation, validation and test databases.

354 The coefficient of determination of cross-validation ( $R^2_{cv}$ ) and root mean square  
355 error of cross-validation ( $RMSECV$ ) for *DB\_Calib\_RMQS* were used.  $R^2_{cv}$  was computed  
356 as  $1-ESS/TSS$ , where ESS is the error sum of squares and TSS the total sum of squares.

357 The coefficient of determination and root mean square error of prediction for  
358 *DB\_Valid\_RMQS*,  $R^2_{val}$  and  $RMSE_{val}$  respectively, were used.  $R^2_{val}$  was also computed as  
359 1-ESS/TSS. The ratio of performance to deviation in *DB\_Valid\_RMQS* ( $RPD_{val}$ ), which is  
360 the ratio between the standard deviation in *DB\_Valid\_RMQS* and  $RMSE_{val}$ , was  
361 calculated. The ratio of performance to interquartile range of *DB\_Valid\_RMQS* ( $RPIQ_{val}$ ),  
362 which is the ratio between interquartile range (difference between the third and first  
363 quartiles) of *DB\_Valid\_RMQS* and  $RMSE_{val}$ , was also calculated. This parameter has been  
364 proposed for variables with non-normal distributions (Bellon-Maurel et al., 2010). And the  
365 bias, which is the mean difference between observations and predictions, was calculated  
366 for *DB\_Valid\_RMQS* ( $bias_{val}$ ).

367 The coefficient of determination and root mean square error of prediction for  
368 *DB\_Tunisia*,  $R^2_{test}$  and  $RMSE_{test}$  respectively, were used.  $R^2_{test}$  was computed as 1-  
369 ESS/TSS. The ratio of performance to deviation in *DB\_Tunisia* ( $RPD_{test}$ ), which is the ratio  
370 between the standard deviation in *DB\_Tunisia* and  $RMSE_{test}$ , was calculated. The ratio of  
371 performance to interquartile range of *DB\_Tunisia* ( $RPIQ_{test}$ ), which is the ratio between the  
372 interquartile range of *DB\_Tunisia* and  $RMSE_{test}$ , was also calculated. And the bias, which is  
373 the mean difference between observations and predictions, was calculated for *DB\_Tunisia*  
374 ( $bias_{test}$ ).

375 Finally, a wavelength was considered a significant contributor in a global model  
376 when the values of both the regression coefficient and variable importance in the  
377 projection (VIP) were sufficiently large: the threshold for the VIP was set to 1 (Chong and  
378 Jun, 2005; Wold et al., 1993, 2001), and the thresholds for the regression coefficients were  
379 their standard deviations (Viscarra-Rossel et al., 2008).

380 The performances of local models were based on the same figures of merit as  
381 those used in global calibration, calculated on *DB\_Valid\_RMQS* and *DB\_Tunisia*.



382 Concerning the models built from the *DB\_Calib\_RMQS\_InSOC*, independent validation  
383 and test statistics were calculated from back-transformed data.

384

385

## 386 **4. Results**

### 387 **4.1. Preliminary analysis of soil properties and spectra**

388 The RMQS soil sampling covered the French territory, and ranges of SOC and SIC  
389 contents in the *DB\_RMQS\_SOC* and *DB\_RMQS\_SIC*, respectively, are large (Table 1).  
390 According to the French soil classification, 33 soil reference groups were sampled, with a  
391 dominance of Cambisols ([IUSS Working Group WRB, 2014](#); 27% of the sample set),  
392 calcareous soils (Calcosols, 22%) and Luvisols (16%). High SIC values are mainly located  
393 in three French areas: 1) the southeast (Prealps), mainly with Leptosols and Calcosols, 2)  
394 the northeast (chalk Champagne) also mainly with Leptosols, and 3) a transect from west  
395 (the Aquitanian Basin) to south (Mediterranean Sea), mainly with Calcosols (Figure 2A1).  
396 High SOC values are mainly located in 1) mountain areas (Alps in the southeast, Pyrenees  
397 in the extreme southwest, Massif Central in the south-centre, Jura in the centre-east), 2)  
398 cool regions covered by forests and pastures (centre-east), and 3) intensive livestock  
399 production areas (northwest; Figure 2B1).

400 The Tunisian soil samples covered the northern half of Tunisian territory, and the  
401 SIC contents range in the *DB\_Tunisia\_SIC* is as large as the one in the *DB\_RMQS\_SIC*,  
402 whereas the SOC contents range in the *DB\_Tunisia\_SOC* is lower than the one of the  
403 *DB\_RMQS\_SOC* (Table 1). The sampled Tunisian soils were mainly Calcaric Cambisols  
404 and Regosols, Kastanozems, and Chromic and Vertic Cambisols.

405

406

[Figure 2]

407

408 Principal component analyses were performed on pre-treated spectra of  
409 *DB\_Calib\_RMQS\_SIC* and *DB\_Calib\_RMQS\_SOC*, respectively, and pre-treated Tunisian  
410 spectra were projected onto the plans made by the first and second components. Most  
411 Tunisian spectra overlapped a subset of RMQS spectra for SIC (Figure 3a) and for SOC  
412 (Figure 3b). So most Tunisian soil samples had similar spectral signatures than a subset of  
413 RMQS spectra used for calibrating prediction models.

414

415 [Figure 3]

416

417

## 4.2. Global models

418

### a. Soil inorganic carbon content

419 For SIC prediction, 52 spectral outliers were identified within the initial calibration dataset,  
420 so 1582 RMQS samples were ultimately kept and constituted the *DB\_Calib\_RMQS\_SIC*  
421 dataset. The SIC content of these 1582 RMQS samples contained in  
422 *DB\_Calib\_RMQS\_SIC* ranged from 0.0 to 103.9 g kg<sup>-1</sup>, averaged 6.4 g kg<sup>-1</sup>, and had a  
423 skewness value close to 3.1 (Table 1). The SIC content of the 544 RMQS samples  
424 contained in *DB\_Valid\_RMQS\_SIC* ranged from 0.0 to 95.2 g kg<sup>-1</sup>, averaged 6.3 g kg<sup>-1</sup>,  
425 and also had a skewness value close to 3.1 (Table 1).

426 The  $GM_{SIC}$  was built from the *DB\_Calib\_RMQS\_SIC* dataset using an optimal  
427 number of 15 latent variables, validated on the *DB\_Valid\_RMQS\_SIC* dataset and then  
428 tested on the *DB\_Tunisia\_SIC* dataset. The performance of the  $GM_{SIC}$  prediction model  
429 was accurate, with an  $R^2_{cv}$  of 0.97 and  $RMSECV$  of 2.8 g kg<sup>-1</sup> in the calibration step (Table  
430 2) and an  $R^2_{val}$  of 0.98 and  $RMSE_{val}$  of 2.1 g kg<sup>-1</sup> in the validation step (Table 2, Figure 4b).  
431 When applied to the *DB\_Tunisia\_SIC* dataset, this  $GM_{SIC}$  prediction model provided  
432 accurate and unbiased predictions ( $R^2_{test} = 0.96$ ,  $RMSE_{test} = 5.2$  g kg<sup>-1</sup> and  $bias_{test} = 0.2$   
433 g kg<sup>-1</sup>; Table 3, Figure 4c).

434 A total of 96 spectral bands might be considered significant based on the analysis of  
435 the VIP and regression coefficients of  $GM_{SOC}$  (Figure 4d). Among the 96 significant spectral  
436 bands, those at approximately 2500, 1800 and 860  $\text{cm}^{-1}$  had regression coefficients higher  
437 than 3 times the standard deviation and therefore might be considered the most significant  
438 ones.

439

440 [Figure 4]

441

442 [Table 2]

443

444 [Table 3]

445

#### 446 **b. Soil organic carbon content**

447 For SOC prediction, 48 spectral outliers were identified within the initial calibration dataset,  
448 so 1586 RMQS samples were ultimately kept and constituted the *DB\_Calib\_RMQS\_SOC*  
449 and *DB\_Calib\_RMQS\_InSOC* datasets. The SOC contents of the 1586 RMQS samples of  
450 *DB\_Calib\_RMQS\_SOC* ranged from 0.6 to 411.3  $\text{g kg}^{-1}$ , averaged 25.6  $\text{g kg}^{-1}$ , and had a  
451 skewness value close to 5.5 (Table 1). The  $\ln(\text{SOC})$  values of the 1586 RMQS samples of  
452 *DB\_Calib\_RMQS\_InSOC* ranged from -0.5 to 6  $\ln(\text{g kg}^{-1})$ , averaged 3  $\ln(\text{g kg}^{-1})$ , had a  
453 median of 3  $\ln(\text{g kg}^{-1})$  and a skewness value close to 0.3. The SOC content of the 544  
454 RMQS samples contained in *DB\_Valid\_RMQS\_SOC* ranged from 1.5 to 159  $\text{g kg}^{-1}$ ,  
455 averaged 25.5  $\text{g kg}^{-1}$ , and had a skewness value close to 2.5 (Table 1).

456 The  $GM_{SOC}$  was built from the *DB\_Calib\_RMQS\_SOC* dataset using 23 latent  
457 variables, validated on the *DB\_Valid\_RMQS\_SOC* dataset and then tested on the  
458 *DB\_Tunisia\_SOC* dataset. The performance of the  $GM_{SOC}$  prediction model was modest,  
459 with an  $R^2_{cv}$  of 0.80 and  $RMSECV$  of 9.9  $\text{g kg}^{-1}$  in the calibration step (Table 2) and an  $R^2_{val}$

460 of 0.88 and  $RMSE_{val}$  of 7.2 g kg<sup>-1</sup> in the validation step (Table 2, Figure 5b). When applied  
461 to the Tunisian test set, this  $GM_{SOC}$  prediction model provided low accuracy ( $R^2_{test} = 0.64$ ,  
462  $RMSE_{test} = 16.0$  g kg<sup>-1</sup>) and biased predictions ( $bias_{test} = -5.2$  g kg<sup>-1</sup>) (Table 3, Figure 5c).

463 A total of 92 spectral bands might be considered significant based on the analysis of  
464 the VIP and regression coefficients of  $GM_{SOC}$  (Figure 5d). Nevertheless, among these 92  
465 spectral bands, none was associated to very high regression coefficients.

466

467

[Figure 5]

468

469 A  $GM_{lnSOC}$  prediction model was built from the *DB\_Calib\_RMQS\_InSOC* dataset using 10  
470 latent variables and this model was applied to spectra of both *DB\_Valid\_RMQS\_SOC* and  
471 *DB\_Tunisia\_SOC* datasets. Finally, the ln(SOC) predictions were back-transformed into  
472 SOC values for calculating the figures of merit. The performance of the  $GM_{lnSOC}$  prediction  
473 model was accurate, with an  $R^2_{cv}$  of 0.89 and  $RMSECV$  of 0.2 g kg<sup>-1</sup> in the calibration step  
474 (Table 2) and an  $R^2_{val}$  of 0.90 and  $RMSE_{val}$  of 6.6 g kg<sup>-1</sup> in the validation step (Table 2,  
475 Figure 6b). When applied to the Tunisian test set, the  $GM_{lnSOC}$  prediction model provided  
476 high accuracy ( $R^2_{test} = 0.97$ ,  $RMSE_{test} = 4.2$  g kg<sup>-1</sup>) and very slightly biased predictions  
477 ( $bias_{test} = 0.7$  g kg<sup>-1</sup>) (Table 3, Figure 6c).

478 A total of 95 spectral bands might be considered significant based on the analysis of  
479 the VIP and regression coefficients in the  $GM_{lnSOC}$  (Figure 6d). Among these 95 significant  
480 spectral bands, those at approximately 2915, and 1800 cm<sup>-1</sup> had regression coefficients  
481 higher than 3 times the standard deviation and therefore might be considered the most  
482 significant ones.

483

484

[New Figure 6]

485

486

### 4.3. Local models

487

#### a. Soil inorganic carbon content

488 All validation soil samples had more than 30 spectral neighbours within the  
489 *DB\_Calib\_RMQS\_SIC* dataset, so SIC could be predicted from  $LM_{SIC}$  for all samples of  
490 *DB\_Valid\_RMQS\_SIC*. The  $LM_{SIC}$  provided very accurate and unbiased SIC predictions in  
491 validation ( $R^2_{val} = 0.99$  and  $RMSE_{val} = 1.8 \text{ g kg}^{-1}$ ; Table 2). Therefore, this  $LM_{SIC}$  provided  
492 validation performance slightly better than that of  $GM_{SIC}$  (Table 2).

493 All Tunisian soil samples had more than 30 spectral neighbours within the  
494 *DB\_Calib\_RMQS\_SIC* dataset, so SIC could be predicted from  $LM_{SIC}$  for all Tunisian  
495 samples. The  $LM_{SIC}$  provided accurate and slightly biased SIC predictions on Tunisian  
496 samples ( $R^2_{test} = 0.96$ ,  $RMSE_{test} = 5.6 \text{ g kg}^{-1}$  and  $bias_{test} = 1.7 \text{ g kg}^{-1}$ ; Table 3, Figure 7a).  
497 Therefore,  $LM_{SIC}$  provided test performance slightly lower than that of  $GM_{SIC}$ , mainly due to  
498 bias (Table 3). The number of latent variables selected for  $LM_{SIC}$  on Tunisian samples  
499 varied depending on the sample predicted and followed a relatively normal distribution  
500 centred at approximately 13, which was close to the optimal number of latent variables  
501 selected by the  $GM_{SIC}$  (Figure 7c).

502 The number of spectral neighbours of Tunisian samples varied from 65 to 1293  
503 (Figure 7b). Only a slight trend was observed between the number of neighbours and the  
504 prediction error, with a lower error when the number of neighbours increased (Figure 7b).  
505 This trend could be expected, as the use of a higher number of neighbour samples for  
506 calibration should result in more accurate predictions. The spectra from  
507 *DB\_Calib\_RMQS\_SIC* used for building the 96 local individual Tunisian models  $LM_{SIC}$   
508 were selected from 0 to 87 times. So no spectrum from *DB\_Calib\_RMQS\_SIC* was  
509 systematically selected, whereas only 1.6% of spectra from *DB\_Calib\_RMQS\_SIC* were  
510 never selected. The frequently selected samples were mainly located in SIC-richest areas  
511 (Calcosols and Leptosols) such as the southeast (Prealps), the northeast (chalk

512 Champagne) and the transect from west (the Aquitanian Basin) to south (Mediterranean  
513 Sea) (Figure 2A1 and 2A2).

514

515

[Figure 7]

516

517

### **b. Soil organic carbon content**

518 As *DB\_Calib\_RMQS\_SOC* and *DB\_Calib\_RMQS\_InSOC* datasets contained same  
519 predictors X-variables (MIR spectra), spectral neighbours of validation samples were the  
520 same for  $LM_{SOC}$  and  $LM_{InSOC}$  models. All validation soil samples had more than 30 spectral  
521 neighbours within the *DB\_Calib\_RMQS\_SOC* dataset and *DB\_Calib\_RMQS\_InSOC*  
522 datasets, so SOC and ln(SOC) could be predicted from  $LM_{SOC}$  and  $LM_{InSOC}$ , respectively,  
523 for all samples of *DB\_Valid\_RMQS\_SOC*. The  $LM_{SOC}$  provided accurate and very slightly  
524 biased SOC predictions in validation ( $R^2_{val} = 0.93$ ,  $RMSE_{val} = 5.4 \text{ g kg}^{-1}$  and  $bias_{val} = -0.7$   
525  $\text{g kg}^{-1}$ ; Table 2). Therefore,  $LM_{SOC}$  provided validation performance higher than that of  
526  $GM_{SOC}$  (Table 2). The  $LM_{InSOC}$  provided accurate and unbiased SOC predictions in  
527 validation ( $R^2_{val} = 0.92$ ,  $RMSE_{val} = 5.7 \text{ g kg}^{-1}$  and  $bias_{val} = -0.1 \text{ g kg}^{-1}$ ; Table 2). Therefore,  
528  $LM_{InSOC}$  provided validation performance higher than that of  $GM_{SOC}$  and almost similar to  
529 that of  $LM_{SOC}$  (Table 2).

530 All Tunisian soil samples also had more than 30 spectral neighbours within the  
531 *DB\_Calib\_RMQS\_SOC* and *DB\_Calib\_RMQS\_InSOC* datasets, so SOC and ln(SOC)  
532 could be predicted from  $LM_{SOC}$  and  $LM_{InSOC}$ , respectively, for all Tunisian samples. The  
533  $LM_{SOC}$  provided accurate and very slightly biased SOC predictions on Tunisian samples  
534 ( $R^2_{test} = 0.89$ ,  $RMSE_{test} = 6.9 \text{ g kg}^{-1}$  and  $bias_{test} = 0.5 \text{ g kg}^{-1}$ ; Table 3, Figure 8a). Therefore,  
535 this  $LM_{SOC}$  provided test performance markedly higher than that of  $GM_{SOC}$  (Table 3). The  
536 number of latent variables selected by this  $LM_{SOC}$  depending on the sample followed a  
537 bimodal distribution centred at approximately 16 and 22 (Figure 8c). The second peak of

538 number of latent variables, approximately 22, was close to the number of latent variables  
539 selected by the  $GM_{SOC}$  (Figure 8c).

540 The  $LM_{InSOC}$  model provided accurate and very slightly biased SOC predictions on  
541 Tunisian samples ( $R^2_{test} = 0.93$ ,  $RMSE_{test} = 5.8 \text{ g kg}^{-1}$  and  $bias_{test} = -0.6 \text{ g kg}^{-1}$ ; Table 3,  
542 Figure 9a). The  $LM_{InSOC}$  provided test performance markedly higher than that of  $GM_{SOC}$  but  
543 lower than that of  $GM_{InSOC}$  (Table 3). The number of latent variables selected by this  
544  $LM_{InSOC}$  depending on the sample followed a relatively normal distribution centred at  
545 approximately 11 (Figure 9c), which was close to the optimal number of latent variables  
546 selected by the  $GM_{InSOC}$ .

547 As  $DB\_Calib\_RMQS\_SOC$  and  $DB\_Calib\_RMQS\_InSOC$  datasets contained same  
548 predictors X-variables (MIR spectra), spectral neighbours of Tunisian samples were the  
549 same for  $LM_{SOC}$  and  $LM_{InSOC}$  models. The number of spectral neighbours of Tunisian  
550 samples varied from 65 to 1292 (Figure 8b and 9b), and no clear trend was observed  
551 between the number of neighbours and the prediction error obtained by  $LM_{SOC}$  and  
552  $LM_{InSOC}$  models (Figure 8b and 9b, respectively). As for SIC prediction with  $LM_{SIC}$ , the  
553 spectra from  $DB\_Calib\_RMQS\_SOC$  and  $DB\_Calib\_RMQS\_InSOC$  datasets used for  
554 building the 96 individual local Tunisian models were selected from 0 to 87 times. So no  
555 spectrum from  $DB\_Calib\_RMQS\_SOC$  and  $DB\_Calib\_RMQS\_InSOC$  datasets was  
556 systematically selected, whereas 1.7% of spectra from  $DB\_Calib\_RMQS\_SOC$  and  
557  $DB\_Calib\_RMQS\_InSOC$  datasets were never selected. The frequently selected samples  
558 were mainly located in SOC-poor areas (Calcosols and Leptosols) in the northeast (chalk  
559 Champagne) and on the transect from west (Aquitainian Basin) to south (Mediterranean  
560 Sea) and in soils richer in SOC in the southeast (Prealps) (Figure 2B1 and 2B2).

561

562

[Figure 8]

563

564

565

566

## 567 **5. Discussion**

### 568 **5.1. Global models built on region A for application to region A.**

569 Before being applied to the Tunisian database, the global models were calibrated with an  
570 RMQS subset (*DB\_Calib\_RMQS\_SIC*, *DB\_Calib\_RMQS\_SOC* and  
571 *DB\_Calib\_RMQS\_InSOC*) and validated on an RMQS subset (*DB\_Valid\_RMQS\_SIC* and  
572 *DB\_Valid\_RMQS\_SOC*), which means that the global models were calibrated using soil  
573 samples collected over a region A to predict values for soil samples collected over this  
574 same region A.

575 The validation performance of  $GM_{SIC}$  was in accordance with results reported in the  
576 literature. [Grinand et al. \(2012\)](#) obtained similar performances using the same RMQS  
577 MIRS database, with  $R^2_{val}$  and  $RPD_{val}$  values of 0.97 and 7.6, respectively, when 3/4 of the  
578 set, selected at random, was used for calibration and 1/4 was used for validation. [Barthès  
579 et al. \(2016\)](#) obtained similar performances for SIC using the Tunisian MIRS database for  
580 both calibration and prediction, with  $R^2_{cv}$  and  $RPD_{cv}$  values of 0.98 and 7.8, respectively.  
581 [Mc Carty et al. \(2002\)](#) obtained similar performances using another MIRS database  
582 collected in the US, with  $R^2_{val} = 0.98$  ( $RPD_{val}$  was not mentioned and could not be  
583 calculated). The most significant spectral bands for  $GM_{SIC}$ , located at 2500, 1800 and 860  
584  $\text{cm}^{-1}$  (Figure 4d), might be attributed to stretching or bending vibrations in carbonate  
585 molecules, as suggested by [Du and Zhou \(2009\)](#) and then by [Grinand et al. \(2012\)](#).

586 The validation performance of the  $GM_{SOC}$  was also in accordance with some  
587 literature results. [Clairotte et al. \(2016\)](#) obtained very similar performances using the full  
588 RMQS MIRS database (including two depth layers, 0-30 and 30-50 cm, instead of one in  
589 the present study), with  $R^2_{val}$  and  $RPD_{val}$  values of 0.88 and 2.7, respectively. [Barthès et al.](#)



590 (2016) obtained better performances using the Tunisian MIRS database with cross-  
591 validation, with  $R^2_{cv}$  and  $RPD_{cv}$  values of 0.95 and 4.3, respectively. Moreover, [Mc Carty et](#)  
592 [al. \(2002\)](#) obtained slightly higher performances using a MIRS database collected in the  
593 US for both SOC calibration and validation, with  $R^2_{val} = 0.94$  ( $RPD_{val}$  was not mentioned  
594 and could not be calculated).

595 Following previous researches dealing with non-normal distribution of soil properties  
596 (e.g., [Waruru et al., 2014](#); [Dangal et al., 2019](#)), natural logarithm transformation was  
597 applied to the highly skewed SOC values of the RMQS database to reach a normal  
598 distribution in the calibration dataset (*DB\_Calib\_RMQS\_InSOC*). Thanks to this normal  
599 distribution, the performance of the  $GM_{InSOC}$  on *DB\_Valid\_RMQS\_SOC* was slightly better  
600 than the one of the  $GM_{SOC}$ .

601 Finally, validation performance was higher for SIC with  $GM_{SIC}$  ( $R^2_{val}$  and  $RPD_{val}$   
602 values of 0.98 and 7.6, respectively; Table 2) than for SOC prediction with  $GM_{SOC}$  ( $R^2_{val}$   
603 and  $RPD_{val}$  values of 0.88 and 2.7, respectively; Table 2) and  $GM_{InSOC}$  ( $R^2_{val}$  and  $RPD_{val}$   
604 values of 0.90 and 2.9, respectively; Table 2), confirming that MIRS allows markedly more  
605 accurate predictions of SIC than SOC as also shown by [McCarty et al. \(2002\)](#), [Grinand et](#)  
606 [al. \(2012\)](#) and [Barthès et al. \(2016\)](#).

607

## 608 **5.2. Models built on region A for application to region B.**

609 The models were calibrated by using a RMQS subset (*DB\_Calib\_RMQS\_SIC*,  
610 *DB\_Calib\_RMQS\_SOC* and *DB\_Calib\_RMQS\_InSOC*) and tested on the Tunisian  
611 dataset, which means that the models were calibrated by using soil samples collected over  
612 a region A to predict values for soil samples collected over a region B, where A and B had  
613 no common area, so the soil and climate conditions were different between the calibration  
614 and test datasets. Our results showed that  $GM_{SIC}$  provided accurate test performance  
615 ( $R^2_{test}$  and  $RPD_{test}$  values of 0.96 and 4.9, respectively; Table 3), which was however lower

616 when applied to region *B* than to region *A* (Figure 4c, Tables 2 and 3). The  $GM_{SOC}$  also  
617 provided markedly lower performance ( $R^2_{test}$  and  $RPD_{test}$  values of 0.64 and 1.3,  
618 respectively; Table 3) when applied to our region *B* than to our region *A* (Figures 5c, Table  
619 2 and 3).

620 The RMQS spectra used for SIC and SOC predictions by local models were  
621 selected using a similarity measure (Pearson's coefficients of correlation), following the  
622 same approach than [Shenk et al. \(1997\)](#) and [Nocita et al. \(2014\)](#). So the driver of  
623 neighbours selection was the spectral similarity between Tunisian and French spectra. The  
624  $LM_{SIC}$  did not improve the SIC prediction accuracy compared to the  $GM_{SIC}$  (Table 3).  
625 Therefore,  $GM_{SIC}$  seemed robust and did not need to be adjusted to spectral particularities  
626 of region *B*. So rather than spectral similarity between French and Tunisian samples, the  
627 main reason for accurate SIC predictions in region *B* seemed to be the strong spectral  
628 features of SIC in the MIR region, as suggested by [Gogé et al. \(2014\)](#). The  $LM_{SOC}$   
629 improved SOC prediction accuracy compared to the  $GM_{SOC}$  (Table 3). Therefore,  $GM_{SOC}$   
630 seemed poorly robust and the calibration over region *A* needed to be adjusted to spectral  
631 particularities of region *B* using spectral neighbours (e.g., [Shenk et al., 1997](#); [Nocita et al.,](#)  
632 [2014](#)). The increase in the performance of MIRS-based SOC prediction when shifting from  
633 global to local PLSR is in accordance with literature (e.g. [Ramirez-Lopez et al., 2013](#); [Shi](#)  
634 [et al., 2015](#); [Clairotte et al., 2016](#) and [Dangal et al., 2019](#)). Finally, the frequently selected  
635 spectral neighbours of Tunisian samples by the  $LM_{SOC}$  were mainly located in SOC-poor  
636 areas in the northeast (chalk Champagne) and on the transect from west (Aquitainian  
637 Basin) to south (Mediterranean Sea) and in soils richer in SOC in the southeast (Prealps)  
638 (Figure 2B1 and 2B2). So these frequently selected spectral neighbours of Tunisian  
639 samples by SOC local model were not located only over the more similar climatic and  
640 pedological contexts such as the Mediterranean context (southeast of France).

641

642 **5.3. Impact of the SOC In-transformation on models built on region A for application**  
643 **to region B.**

644 The global model calibrated on region A with log-transformed SOC values ( $GM_{InSOC}$ )  
645 provided accurate performance on region B ( $R^2_{test}$  and  $RMSE_{test}$  values of 0.97 and 4.9 g  
646  $kg^{-1}$ , respectively; Figure 6c, Table 3). The local model provided better performance when  
647 calibrated on region A with log-transformed SOC values ( $LM_{InSOC}$ ) than with SOC values  
648 ( $LM_{SOC}$ ), but less accurate than  $GM_{InSOC}$  on region B ( $R^2_{test}$  and  $RMSE_{test}$  values of 0.93  
649 and 3.6 g  $kg^{-1}$ , respectively; Figure 9c, Table 3). So whatever the model using log-  
650 transformed SOC data in calibration database ( $GM_{InSOC}$  or  $LM_{InSOC}$ ), the SOC predictions  
651 on region B were improved compared to models using highly skewed SOC values in  
652 calibration database ( $GM_{SOC}$  or  $LM_{SOC}$ ; Table 3), as showed by [Jaconi et al. \(2019\)](#).

653 Finally, and unexpectedly,  $GM_{InSOC}$  provided better performance than  $LM_{SOC}$  when  
654 applied on Tunisian samples. So a transformation of calibration SOC values improved  
655 SOC model performance more clearly than spectral selection of calibration samples  
656 (neighbours). Therefore SOC model performance was more sensitive to the distribution of  
657 the explained variable of the calibration samples than to spectral similarity between  
658 calibration and test spectra.

659

660 **5.4. Perspectives**

661 This study, which used MIRS to predict SOC and SIC contents by using a database  
662 collected over a region A to predict values over a region B, where A and B have no  
663 common area, could be continued with a study to develop predictions based on selected  
664 spectral bands. Indeed, spectral band selection remains to be explored, as several studies  
665 testing such an approach have obtained different results. [Viscarra Rossel and Lark \(2009\)](#)  
666 successfully used wavelets and a variable selection technique to improve SOC calibration  
667 using Vis–NIR and MIRS data. Additionally, [Volhand et al. \(2016\)](#) outperformed SOC

668 predictions based on Vis–NIR spectra by using band selection. However, [Stevens et al.](#)  
669 [\(2013\)](#) tested recursive feature elimination based on the random forest approach and  
670 obtained no overall increase in the accuracy of soil property prediction using the LUCAS  
671 (European) Vis-NIR soil database compared to models using all spectral bands. In  
672 addition, [Yang et al. \(2019\)](#) tested a generic algorithm for spectral band selection but  
673 obtained no overall increase in SOC prediction accuracy. As previously tested by, e.g.,  
674 [Guerrero et al. \(2014\)](#) and [Guy et al. \(2016\)](#), spiking could be another useful approach to  
675 improve prediction accuracy when applying large-scale calibrations to small regions.  
676 Spiking consists of adding a small subset of samples from region *B* (spiking subset) to the  
677 dataset from region *A* to recalibrate a model.

678 As well, this study could be continued with an impact analysis of the selection of  
679 spectral neighbours. Both the number of spectral neighbours and the procedure to select  
680 them could be analysed. Several approaches are available for selecting representative  
681 calibration samples ([Shetty et al., 2012](#)) and could also be tested. For example, to analyse  
682 the spectral similarity between calibration and test spectra, the Pearson correlation  
683 coefficient between spectra could be replaced by the Mahalanobis distance between  
684 spectra ([Nocita et al., 2014](#)) or the Pearson correlation coefficient distance based on Fast  
685 Fourier Transform of spectra ([Gogé et al., 2012](#)). Finally, some covariates could be added  
686 in local regression to improve prediction accuracy, as previously tested by [Nocita et al.](#)  
687 [\(2014\)](#) who used clay contents of samples as covariates to predict SOC content.

688

689

## 690 **6. Conclusion**

691 This work highlighted that, as expected, the SOC and SIC contents of French samples  
692 were successfully predicted from the French MIRS soil database using a global model  
693 based on PLS regression. Predictions of SIC and SOC are accurate when the calibration

694 and validation samples come from same pedologic and climatic contexts. This work also  
695 highlighted that when the calibration and validation samples come from different pedologic  
696 and climatic contexts, the SOC prediction performance over validation samples decreases,  
697 whereas the SIC prediction performance remains accurate. Finally, this work showed that  
698 prediction models were more sensitive to the distribution of the explained variables of  
699 calibration samples than to the spectral similarity between calibration and test spectra.  
700 This study confirmed the very high applicability of MIRS for SIC determination and the  
701 robustness of SIC prediction models, even when the calibration and validation samples  
702 come from different contexts.

703

704

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717

718

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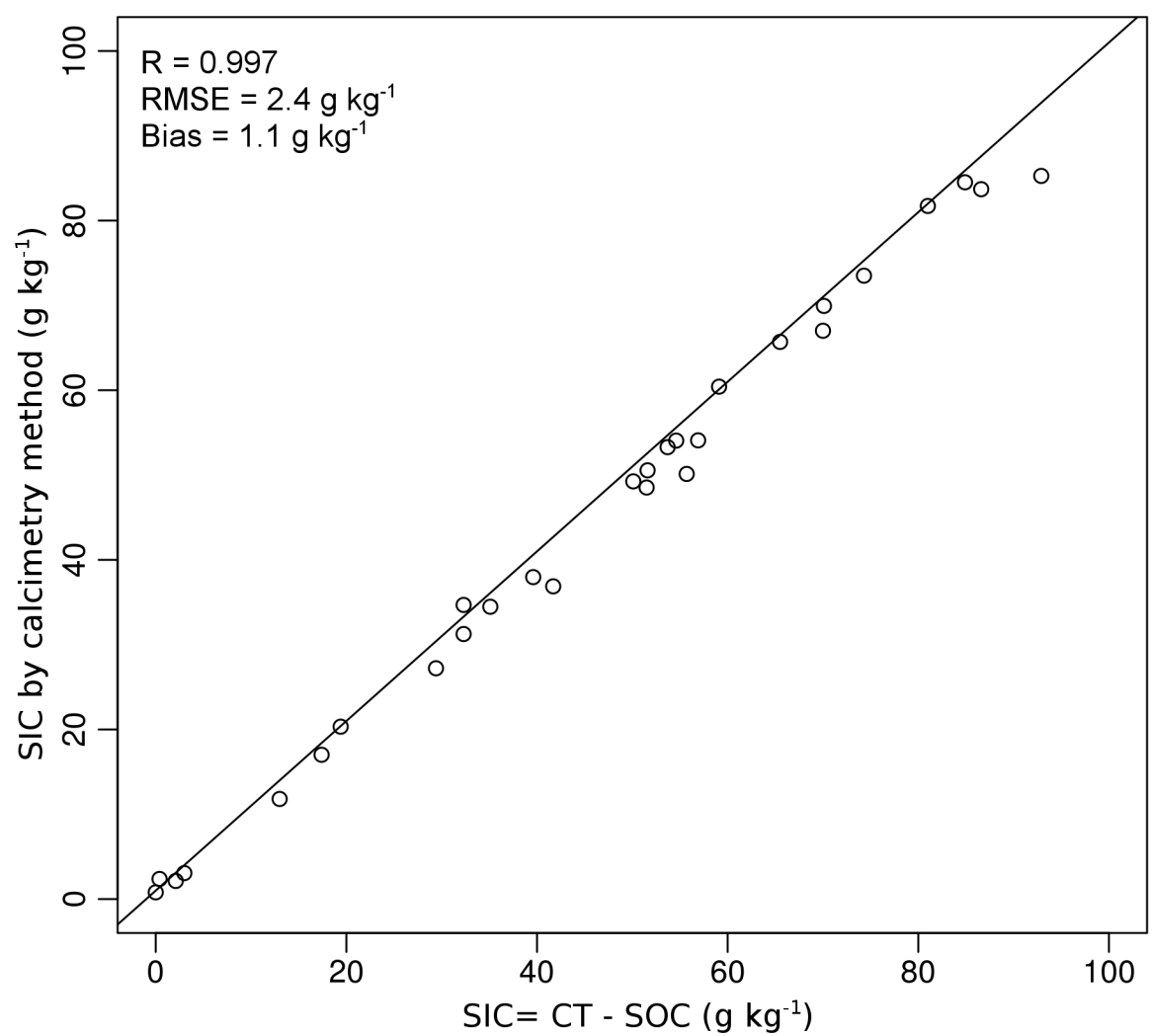
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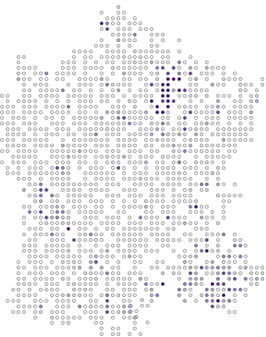
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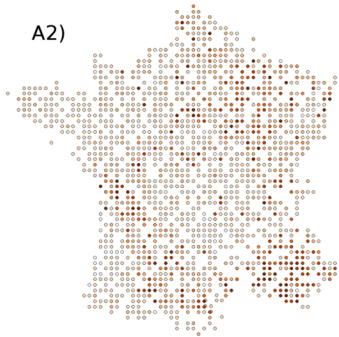
A1)

Observed  
SIC (g kg<sup>-1</sup>):

- 0 - 5.4
- 5.4 - 17.8
- 17.8 - 32.6
- 32.6 - 50
- 50 - 71.5
- 71.5 - 103.9



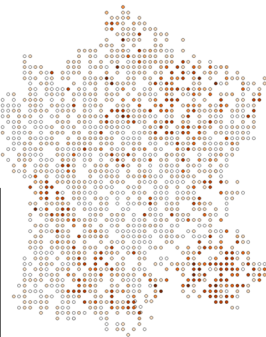
A2)



A3)

Selection number of  
each RMQS  
spectrum in local  
models:

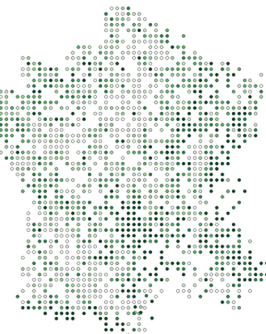
- 0
- From 1 to 15
- From 16 to 30
- From 31 to 45
- From 46 to 60
- From 61 to 75
- From 76 to 90



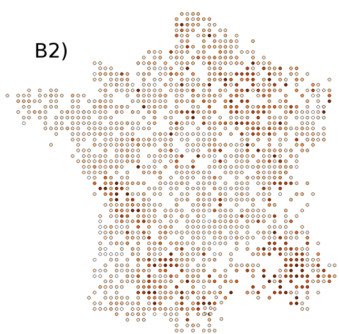
B1)

Observed  
SOC (g kg<sup>-1</sup>):

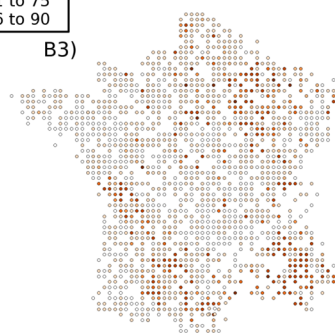
- 0 - 11.2
- 11.2 - 15.1
- 15.1 - 19.6
- 19.6 - 1
- 26.1 - 38.6
- 38.6 - 411.3



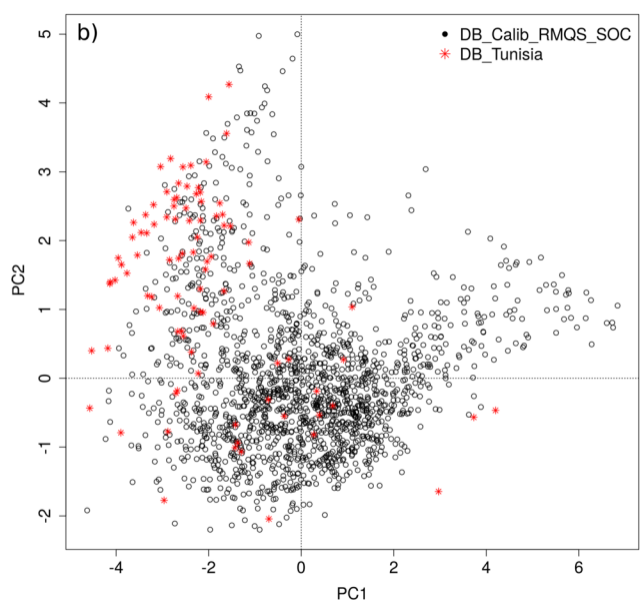
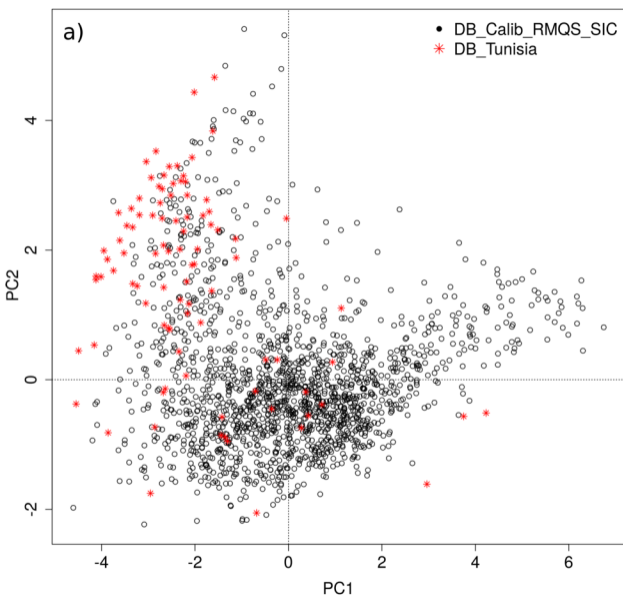
B2)

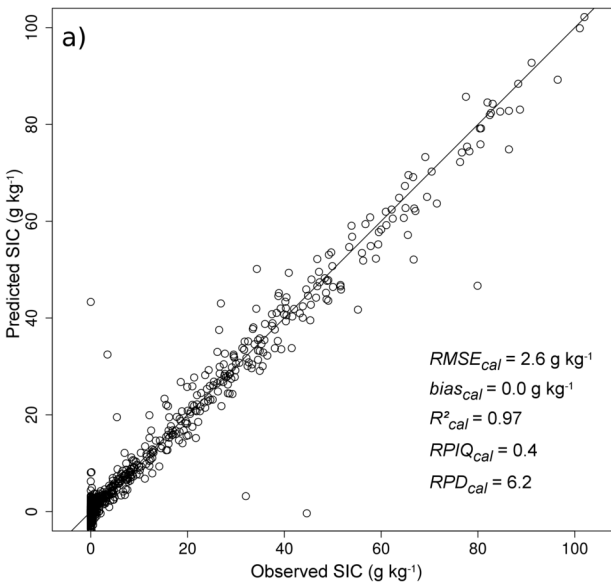
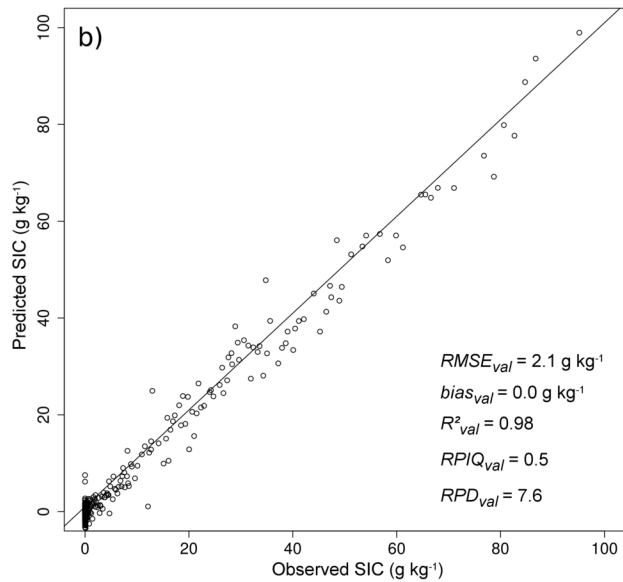
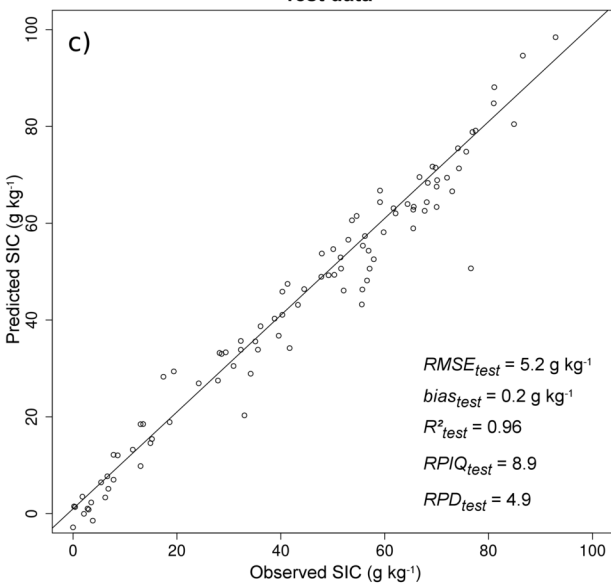
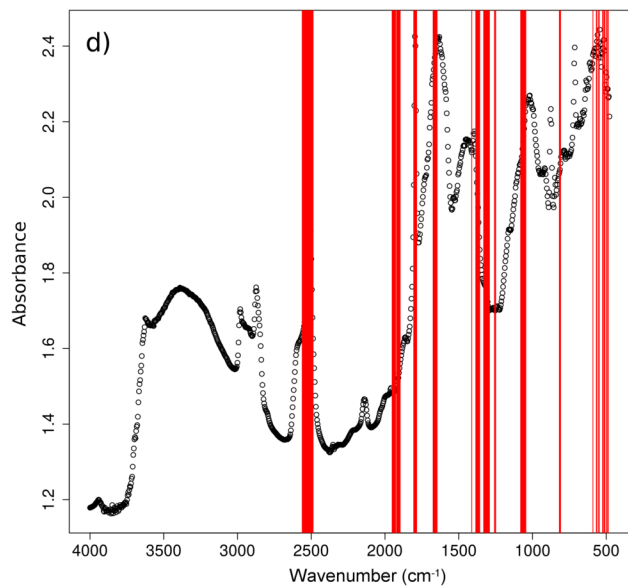


B3)

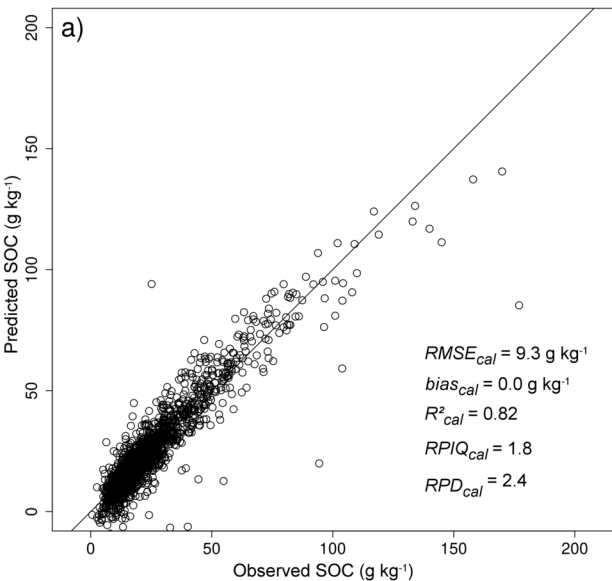




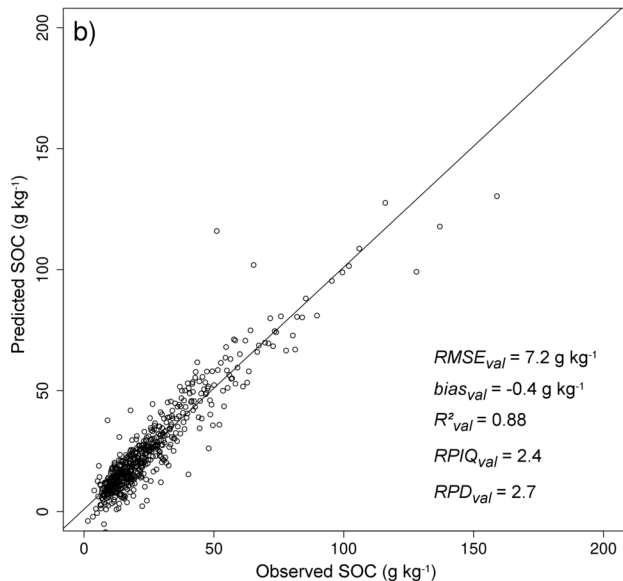


**Calibration data****Validation data****Test data****Number of significant wavenumbers = 96**

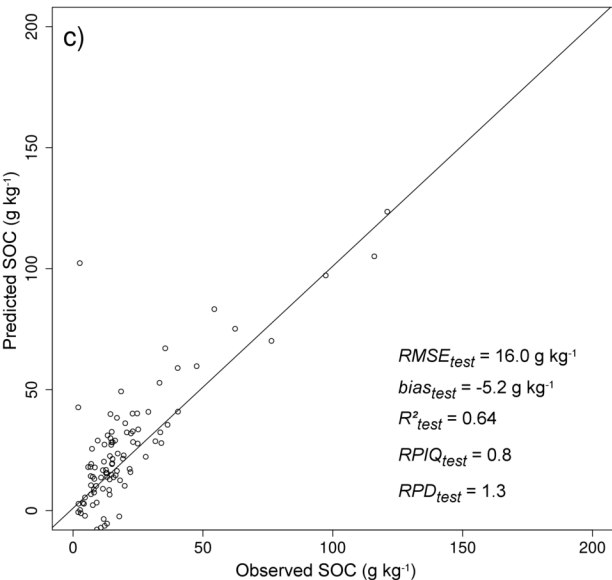
Calibration data



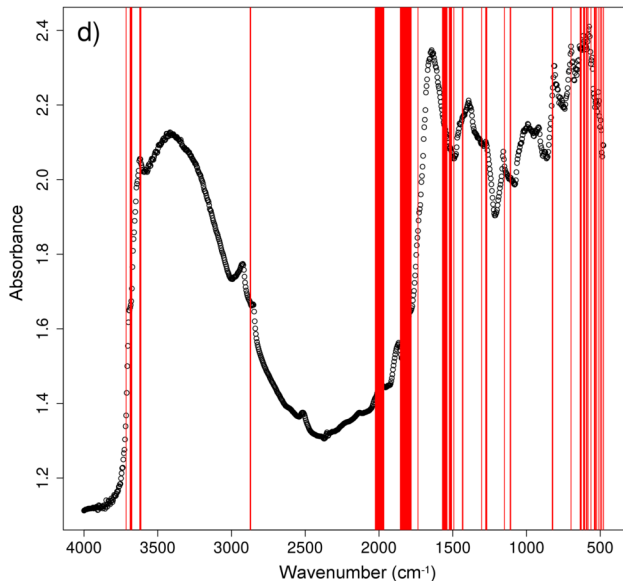
Validation data



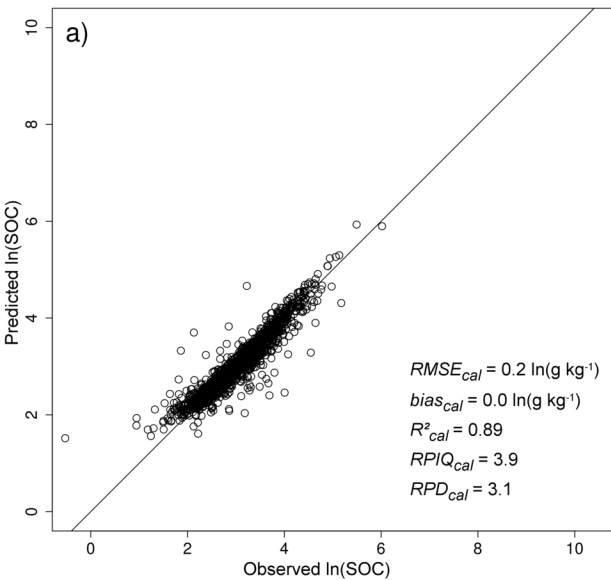
Test data



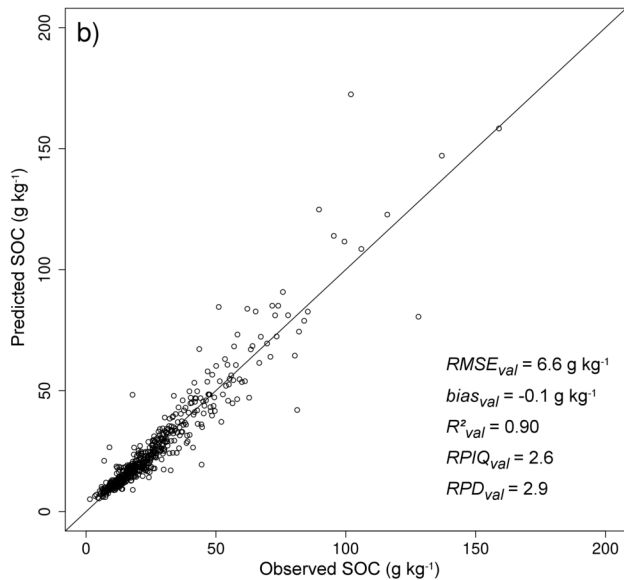
Number of significant wavenumbers = 92



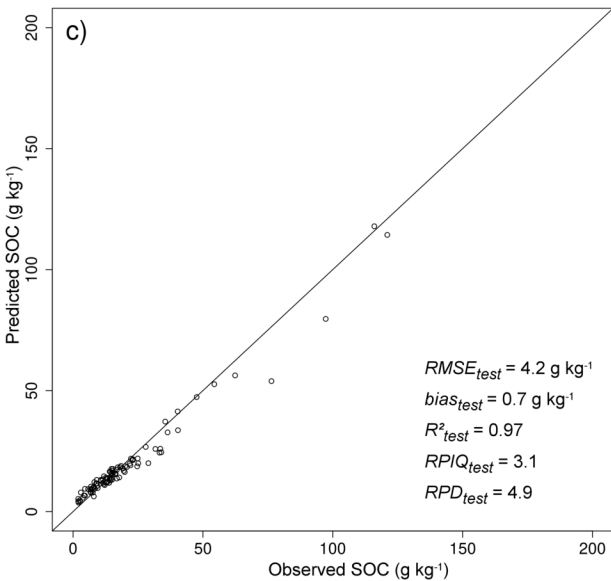
Calibration data



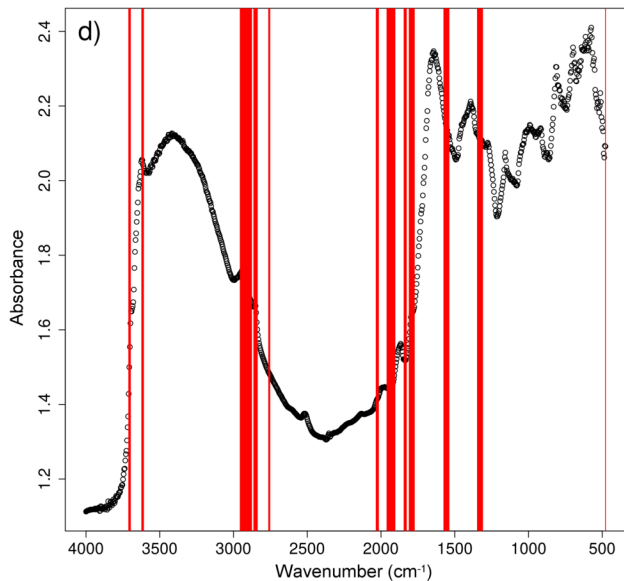
Validation data

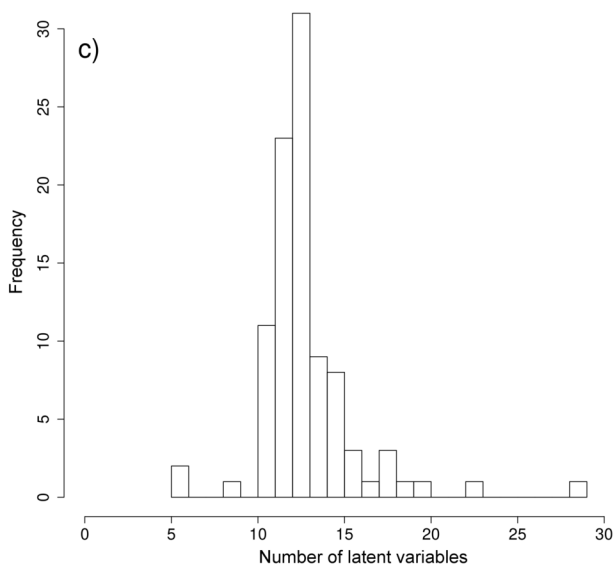
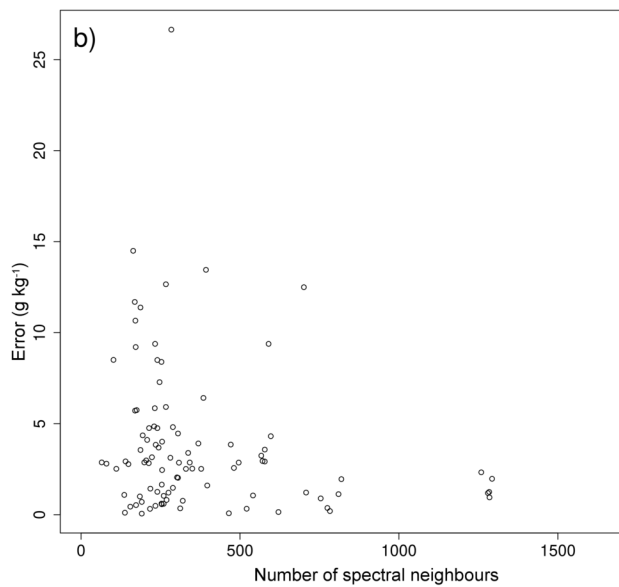
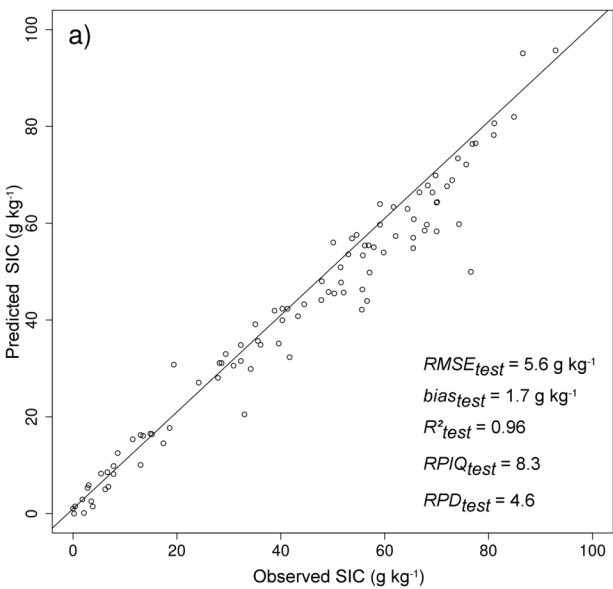


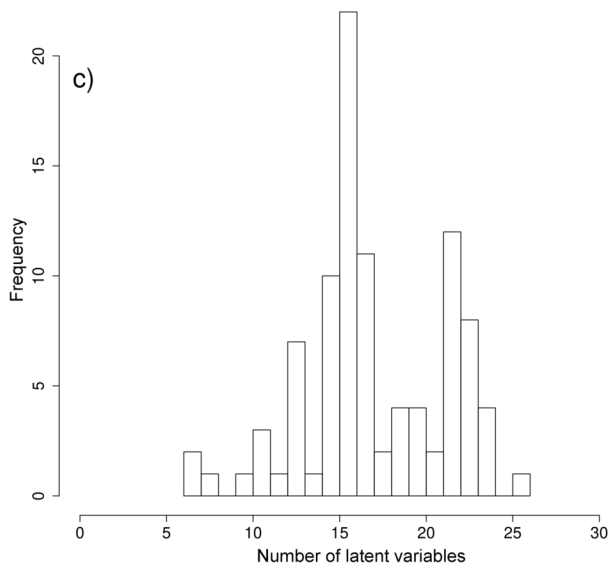
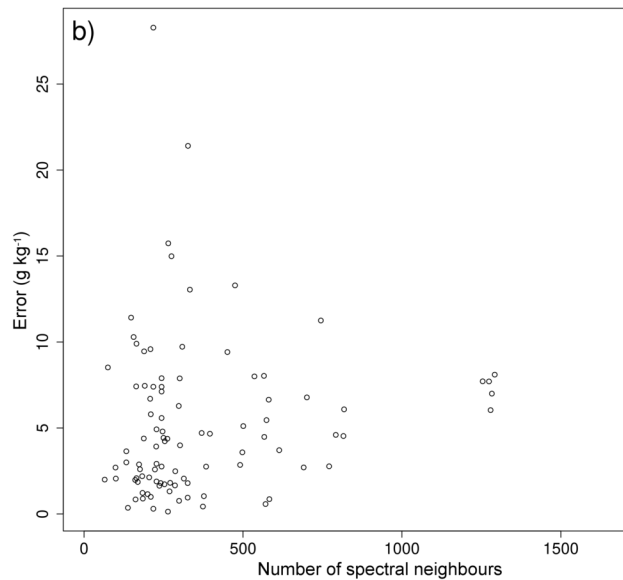
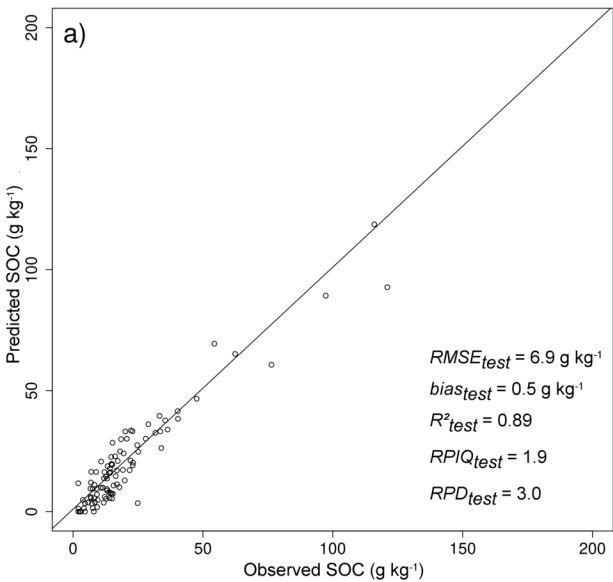
Test data



Number of significant wavenumbers = 95







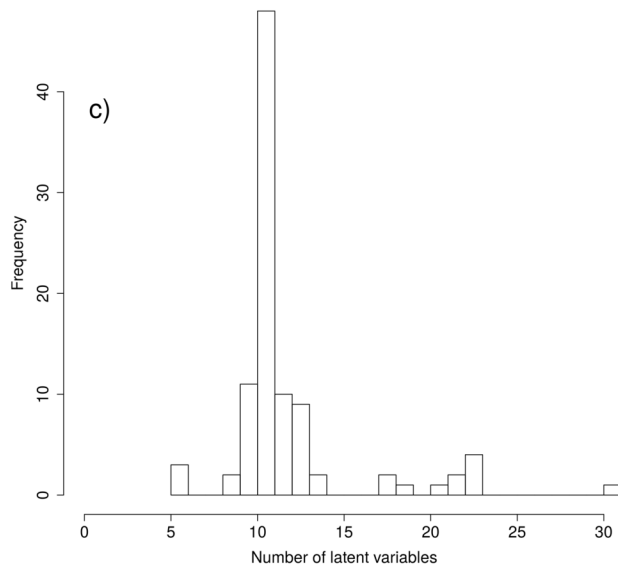
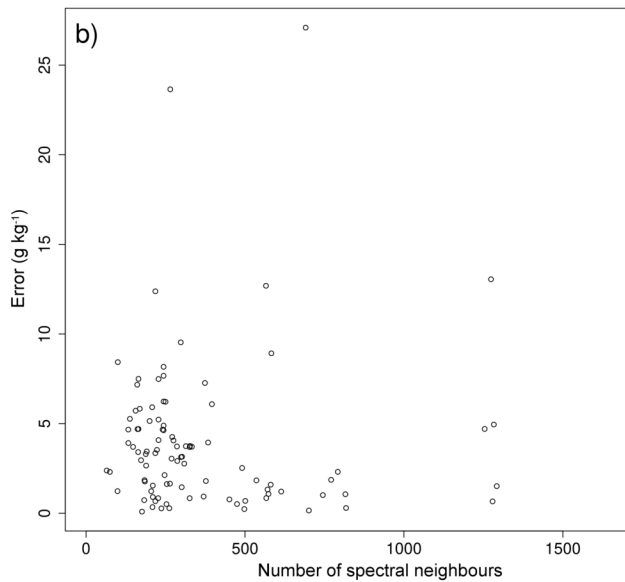
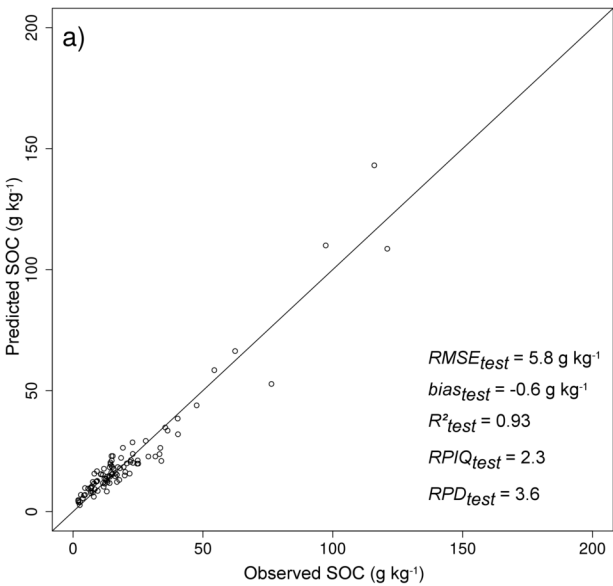


Table 1: Soil datasets statistics. The SIC values set to zero correspond to values under the laboratory quantification limit ( $< 0.1 \text{ g kg}^{-1}$ ).

<b>Dataset</b>	<b>Number of soil samples</b>	<b>Min</b> g kg <sup>-1</sup>	<b>Max</b> g kg <sup>-1</sup>	<b>Mean</b> g kg <sup>-1</sup>	<b>Median</b> g kg <sup>-1</sup>	<b>SD<sup>a</sup></b> g kg <sup>-1</sup>	<b>Skewness</b>
<i><b>DB_RMQS_SOC</b></i>	2178	0.6	411.3	25.8	19.6	21.8	4.9
<i><b>DB_RMQS_SIC</b></i>	2178	0.0	103.9	6.4	0.0	16.1	3.1
<i><b>DB_Tunisia_SOC</b></i>	96	2.0	121.0	20.1	14.6	21.0	3.0
<i><b>DB_Tunisia_SIC</b></i>	96	0.0	92.9	43.3	48.5	25.6	-0.2
<i><b>DB_Calib_RMQS_SOC</b></i>	1586 <sup>b</sup>	0.6	411.3	25.6	19.4	22.3	5.5
<i><b>DB_Calib_RMQS_SIC</b></i>	1582 <sup>c</sup>	0.0	103.9	6.4	0	16.1	3.1
<i><b>DB_Valid_RMQS_SOC</b></i>	544	1.5	159.0	25.5	19.6	19.5	2.5
<i><b>DB_Valid_RMQS_SIC</b></i>	544	0.0	95.2	6.3	0.0	15.7	3.1

<sup>a</sup> SD: standard deviation

<sup>b</sup> after removing 48 spectral outliers

<sup>c</sup> after removing 52 spectral outliers



Table 2: Figures of merit obtained with global and local models over the French calibration and validation databases.

<b>Models</b>	$R^2_{cv}$	$RMSE_{CV}$ (g kg <sup>-1</sup> )	$R^2_{val}$	$RMSE_{val}$ (g kg <sup>-1</sup> )	$bias_{val}$ (g kg <sup>-1</sup> )	$RPD_{val}$	$RPIQ_{val}$
<b>GM<sub>sic</sub></b>	0.97	2.8	0.98	2.1	0.0	7.6	0.5
<b>LM<sub>sic</sub></b>	<i>nd</i>	<i>nd</i>	0.99	1.8	0.0	8.8	0.6
<b>GM<sub>soc</sub></b>	0.80	9.9	0.88	7.2	-0.4	2.7	2.4
<b>LM<sub>soc</sub></b>	<i>nd</i>	<i>nd</i>	0.93	5.4	-0.7	3.6	3.2
<b>GM<sub>insoc</sub></b>	0.89	0.2*	0.90	6.6	-0.1	2.9	2.6
<b>LM<sub>insoc</sub></b>	<i>nd</i>	<i>nd</i>	0.92	5.7	-0.1	3.4	3

\* $RMSE_{cv}$  calculated on  $\ln(SOC)$

*nd*: Not determined.

Table 3: Figures of merit obtained with global and local models over the Tunisian soil samples.

<b>Prediction model</b>	$R^2_{test}$	$RMSE_{test}$ (g kg <sup>-1</sup> )	$bias_{test}$ (g kg <sup>-1</sup> )	$RPD_{test}$	$RPIQ_{test}$
<b><i>GM<sub>sic</sub></i></b>	0.96	5.2	0.2	4.9	8.9
<b><i>LM<sub>sic</sub></i></b>	0.96	5.6	1.7	4.6	8.3
<b><i>GM<sub>soc</sub></i></b>	0.64	16.0	-5.2	1.3	0.8
<b><i>LM<sub>soc</sub></i></b>	0.89	6.9	0.5	3.0	1.9
<b><i>GM<sub>insoc</sub></i></b>	0.97	4.2	0.7	4.9	3.1
<b><i>LM<sub>insoc</sub></i></b>	0.93	5.8	-0.6	3.6	2.3