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1 **Research Article**

2 **Evaluation of the Concord Crop Load Response for**
3 **Current Commercial Production in New York**

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21
22 **Abstract:** Economic pressures in the NY Concord grape industry over the past 30 years have
23 driven crop management practices toward less severe pruning to achieve larger crops. The purpose
24 of this study was to investigate the effect of crop load on juice soluble solids and the seasonal
25 change in vine pruning weight in NY Concord grapevines. Over a four-year period, vines were
26 balanced pruned at two levels or fixed node pruned at two levels to give four pruning severities.
27 For balanced pruning, vines were pruned to leave 33 or 66 fruiting nodes for the first 500 g pruning
28 weight and an additional 11 nodes for each additional 500 g pruning weight. For fixed node
29 pruning, vines were pruned to 100 or 120 fruiting nodes per vine. The 120 node vines were also
30 manually cluster thinned at 30 days after bloom to target 0, 25, or 50% crop removal. In a second

31 study, the 120 node pruning with mid-season fruit thinning was repeated over 11 years to assess
32 seasonal differences on the crop load response. Crop load was measured as the yield to pruning
33 weight ratio (Y:PW) and ranged from 1 to 40 in this study. On average, the industry standard of
34 16 Brix was achieved at a Y:PW of 20 and no seasonal pruning weight change was observed at
35 Y:PW of 17.5. There was a positive linear relationship between seasonal GDD and the Y:PW
36 needed to reach 16 Brix as well as between seasonal precipitation and the Y:PW required to
37 observe no seasonal pruning weight change. The results from this study were used to improve crop
38 load management recommendations for NY Concord vineyards under current practices.

39 **Key words:** fruit thinning, pruning, Ravaz index, *Vitis labruscana*, yield management

40 Introduction

41 Commercial Concord (*Vitis labruscana* Bailey) grape production in the Lake Erie AVA
42 aims to consistently grow the largest possible crop size that meets an obligate quality standard in
43 any given season. Achieving this goal requires the understanding and management of vine crop
44 load in a cool and relatively short growing region (Howell 2001). “‘Crop load’ is the crop size
45 relative to vine size (estimated as pruning weight or leaf area) and is a measure of the sink:source
46 ratio” (Keller 2010, pg 169). In cool-climate Concord production, the dormant cane pruning mass
47 of one-year-old wood, commonly referred to as pruning weight, is directly related to vine total leaf
48 area (unpublished data derived from Bates 2008, equ. Total Leaf Area (m^2/m) = $8.31 * \text{Pruning}$
49 $\text{Weight (kg/m)} + 5.10$, $R^2 = 0.90$). Pruning weight is often used to represent leaf area in crop load
50 calculations. The most common usage is in the Ravaz Index, which is calculated as the yield to
51 pruning weight ratio (Y:PW) (Ravaz 1911), and used to indicate if vines are “subjectively”

52 overcropped, undercropped, or balanced. Crop load has also been measured more directly as the
53 exposed leaf area to fruit weight ratio, rather than Y:PW. This is particularly true in studies that
54 investigate additional canopy management, such as canopy division, with a need to account for
55 changes in exposed, rather than total leaf area (Shaulis et al. 1966, Smart and Robinson 1991,
56 Kliewer and Dokoozlian 2005, Bates 2008). Therefore, Y:PW or leaf area:fruit yield are
57 quantitative measurements of vine crop load; however, what constitutes “vine balance” is
58 qualitative based on region, variety, viticulture production goals, and grape market destination
59 (Howell 2001, Taylor et al. 2019).

60 Crop load management in commercial Concord vineyards is a function of manipulating
61 crop size through practices such as pruning level and fruit thinning or by influencing vine size
62 through water and nutrient availability and uptake. This paper deals primarily with the former by
63 investigating crop size management over a range of vine sizes; however, the importance of the
64 latter in commercial Concord production cannot be understated. Water, nutrient, and rootstock
65 management options have been addressed in other Concord studies and all show how increasing
66 vine size supports larger crop size while maintaining fruit quality due to the importance of vine
67 size in the crop load ratio (Pool 2004). Crop size management has been long studied in NY
68 Concord vineyards, and practices have evolved in response to the economic pressures for
69 maximum yield at a minimum acceptable juice soluble solids and the lowest production costs (see
70 Bates and Morris 2009 for summary).

71 The effect of crop load, measured by Y:PW, on fruit quality and vine size has been
72 characterized with mixed results in *Vitis vinifera* cultivars. A Carignane crop load study with an
73 8.0 to 19.6 Y:PW range, under warm climate conditions, showed delayed fruit maturity and

74 reduction in vine size when Y:PW > 10. Below a Y:PW of 10, yield was not a factor in wine
75 quality (Bravdo et al. 1984). Crop load studies with Cabernet sauvignon in Oakville, CA (Y:PW
76 range of 3 to 14) also supported Y:PW between 5-10 as being indicative of vines with balanced
77 vegetative and reproductive growth (Kliewer and Dokoozlian 2005). Crop load values < 5 were
78 considered undercropped, did not respond to additional crop reduction, and were at risk of
79 excessive canopy growth and cluster zone shading. Crop load values > 10 were considered
80 overcropped with delayed fruit maturation and retarded vegetative growth.

81 Several cool-climate crop load studies have questioned the appropriateness of these crop
82 load indicators in regions where more leaf area per unit fruit may be needed to achieve optimum
83 fruit maturity and vegetative growth (Howell 2001); however, these studies have provided little
84 evidence to deviate from the general crop load response. Fruit thinning studies with Pinot noir in
85 Oregon and Merlot in Hawkes Bay, New Zealand (Y:PW ranges 0.5 to 3.7 and 3.4 to 9.0,
86 respectively) indicated excessive vegetative growth and canopy density in the fruiting zone as
87 having a larger impact on fruit composition than crop load and questioned the need for fruit
88 thinning at these values (King et al. 2015, Reeve et al. 2018). Riesling crop load studies in NY and
89 WA (Y:PW ranges 2.9 to 9.9 and 8.2 to 11.4, respectively) both showed slight delays in fruit
90 ripeness at the highest crop load levels but questioned if the minor improvement in fruit quality
91 from fruit thinning justified the economic cost in labor and crop loss (Keller et al. 2005, Preszler
92 et al. 2013). Furthermore, differences in fruit composition and wine quality in these studies were
93 attributed more to seasonal differences than crop load at Y:PW between 5 and 10. Higher crop
94 loads than those recommended (Y:PW > 12) have been reported for some cool-climate *V. vinifera*
95 and French-American hybrids without detrimental effects on fruit quality; however, these required

96 canopy division to optimize leaf area exposure (Reynolds et al. 2009). This is similar for Concord
97 on Geneva Double Curtain training but only on excessively large vines, which is not the norm for
98 commercial Concord vineyards (Shaulis et al. 1966)

99 In New York Concord production studies, which evaluated different balanced pruning
100 formulas or fruit thinning to manipulate crop size in response to vine size, Y:PW ranged from 5 to
101 20 (Shaulis and Steele 1969, Shaulis 1980, Poni et al. 1994). Crop loads > 10 had lower juice
102 soluble solids than the maximum, eventually leading to the pruning severity recommendation that
103 maintained crop loads between 7 and 10. These crop loads in NY Concord ensured acceptable
104 fruit maturity in any given season and tended to increase vine size over time. Under current
105 production costs and market value for juice processing Concord, the economic breakeven point for
106 producers is roughly 13.5 t/ha (6 tons/acre) and conservative balanced pruning only reaches those
107 yields at large vine sizes, which is why historical recommendations for managing ‘Concord’ vine
108 size have targeted $PW > 1.2$ kg/vine (~0.5 kg/m of trellis, Shaulis 1956). Commercial Concord
109 producers, under current market conditions, consider $Y:PW < 10$ as uneconomically sustainable
110 because of low yields; however, consistently pushing high crop loads results in low, and possibly
111 unmarketable, fruit quality while reducing vine size and return crop potential.

112 In a New York Concord study, which measured the effect of pruning severity and yield on
113 the harvest date for 16 Brix fruit, the industry standard, the harvest date was delayed at crop loads
114 > 11 but only by one week at crop loads as high as 20 (Bates 2008). Arguably, this is an acceptable
115 harvest delay for higher crop returns. In a Concord mechanization study, which investigated
116 mechanical shoot and fruit thinning practices (Y:PW range 4 to 27), Y:PW values as high as 22
117 produced fruit with 16 Brix but reduced vine size. However, crop loads between 11 and 14 have

118 produced acceptable juice soluble solids and maintained vine size (Bates 2017). Recent spatial
119 crop load mapping and variable-rate fruit thinning studies in commercial NY Concord observed
120 Y:PW from 15 to 45 in unthinned blocks. Mechanical fruit thinning reduced mean Y:PW in
121 separate management classifications from 30.1 to 19.8 and produced fruit above 16 Brix for the
122 whole vineyard block (Bates et al. 2018, Taylor et al. 2019). The high crop load values, considered
123 as substantial overcropping in other studies, and the wide range in crop load values under
124 commercial Concord production illustrates the impact of market pressures on vineyard
125 management.

126 Subjectively, vine balance for Lake Erie AVA Concord grown for the juice grape market
127 is defined as growing the largest possible crop reaching 16 Brix by commercial harvest (30 to 40
128 days after veraison) and having no net change in vine size. Grower experience with crop thinning
129 also indicates a seasonal influence on the thinning level decision. The purpose of this study was to
130 (a) identify the Y:PW in Lake Erie Concord that matches a commercial definition of vine balance,
131 (b) determine if the method of crop size management (pruning or fruit thinning) effects the crop
132 load response on Brix and the seasonal change in PW, and (c) understand how seasonal conditions
133 change the target Y:PW for vine balance. Results will be used to improve seasonal pruning and
134 fruit thinning recommendations and give validation to spatial crop load vineyard maps.

135 **Materials and Methods**

136 This research investigated the response of Concord grapevines to crop load in two different
137 but related studies. The first study compared the crop load response of Concord vines pruned to
138 various levels against vines pruned to a relatively high node number and then fruit thinned mid-

139 season over a four-year period (2001-2004). The second study investigated the crop load response
140 of mid-season fruit thinning over an 11-year period (1999-2009). These will be referred to as the
141 “Pruning vs. Thinning” and the “Thinning Response” studies, respectively.

142 **Vineyard Description.** These studies were conducted in two neighboring 0.41 ha Concord
143 vineyards planted in 1956 and separated by less than 70 m at Cornell’s Taschenberg Vineyard
144 Laboratory in Fredonia, NY (42°27'00"N, 79°18'42"W). The experimental block elevation was
145 231 m above sea level with a 1 to 2% slope and a southeast aspect. The soil was well drained
146 Chenango gravel-loam (USDA 1994) with a surface soil pH of 5.5 and 2% organic matter.

147 The Lake Erie grape production region is characterized by cool and humid conditions. The
148 92-year (1926 to 2018) average GDD accumulation (base 10 °C) from 1 April to 31 October
149 recorded at the experiment site was 1532 GDD (Table 1). Average precipitation accumulation for
150 the same time period was 630 mm, with rainfall being evenly distributed throughout the growing
151 season. Supplemental irrigation was not used in these vineyards because it is rarely used for
152 commercial Concord production in NY. The 39-year average bud break was 3rd May, and the 53-
153 year bloom and veraison phenology dates were 13th Jun and 22nd Aug, respectively. The seasonal
154 GDD accumulations (1 April to 31 October, base 10°C) for the course of the 1999-2009 experiment
155 ranged from 1343-1811. The warm 2005 season was the only year to be more than two standard
156 deviations from the long-term GDD mean. The seasonal precipitation accumulation (1 April to 31
157 October) over the same period ranged from 390-950 mm. The dry 2007 season was the only year
158 to be more than two standard deviations from the 92-year mean. Bloom and veraison were
159 relatively consistent in 10 of the 11 years and were within six days of the long-term average. The

160 exception to this was in 2003, when bloom and veraison were 11 and 10 days later than the long-
161 term mean, respectively.

162 The own-rooted Concord vines in both blocks were 43 years old at the beginning of the
163 experiment and planted at a row-by-vine spacing of 2.7 x 2.4 m, with rows oriented in an east-west
164 direction. Vines were cordon-trained to a trellis wire at 1.8 m. Floor, nutrient, pest and disease
165 management were done according to commercial standards for western NY Concord vineyards
166 (Jordan et al. 1980). No-till weed management was used by maintaining a 1.2 m-wide weed-free
167 zone under the vines with pre- and post-emergence herbicides and treating row centers with one
168 glyphosate application at bloom. Ammonium nitrate fertilizer was surface broadcasted at a rate of
169 56 kg per ha of actual N across the block in a single application near bud break. Fungicide and
170 insecticide materials and application rates were done according to the New York and Pennsylvania
171 Pest Management Guidelines for Grapes (Weigle 2006) and varied annually depending on seasonal
172 weather conditions.

173 **Pruning vs. thinning study.** For pruning treatments, two balanced pruning levels, 33 + 11
174 and 66 + 11, and one 100 node pruning level, were used to achieve a range of crop levels over a
175 range of vine sizes. For balanced pruning, vines were rough pruned to approximately 100 nodes,
176 and the weight of one-year-old canes was recorded using a spring scale. The number of retained
177 nodes was then adjusted to retain 33 or 66 nodes for the first 500g of pruning weight and 11
178 additional retained nodes for each additional 500g of pruning weight (imperial units = 30 + 10 or
179 60 + 10 per pound pruning weight). For the 100 node pruning treatment, vines were pruned to 100
180 retained nodes regardless of vine pruning weight. There were 36 count vines per pruning treatment
181 grouped in six-vine plots in six randomized complete blocks; however, for this crop load response

182 investigation, the individual vine measurements were used and the vine data regrouped for analysis
183 based on crop load values rather than spatial location. The same vines received the same treatments
184 for each of the four years.

185 For thinning treatments, 100 vines each year were pruned to have 120 retained nodes per
186 vine, regardless of vine pruning weight. This was chosen to achieve a relatively high crop potential
187 across a range of vine size classes. At 30 days after bloom, 25 individual vines were randomly
188 selected from the original 100 vine population each year, and the fruit was removed and weighed.
189 The mean crop size was used to calculate the weight of fruit needed to be removed to achieve 0,
190 25, and 50% crop reduction on the remaining 75 vines. On the vines to receive 25 or 50% crop
191 removal, clusters were randomly removed at 30 days after bloom from individual vines and
192 weighed until the target weight was achieved (Pool et al. 1993, Fendinger et al. 1996). Thinning
193 treatments were assigned randomly to the vine population.

194 Different from the pruning treatments, the thinning treatments were applied on a new vine
195 population each year. For example, vines pruned to 120 nodes and fruit thinned in 2001 were again
196 pruned to 120 nodes but not thinned in 2002 to investigate the effect of altering crop load by fruit
197 thinning in year one on vine growth and the return crop size in year two. A new set of vines, which
198 were untreated in 2001, were pruned to 120 nodes and subjected to the thinning treatments in 2002.
199 This process continued on a new vine population for each of the 11 experiment years.

200 **Thinning response study.** The 120 nodes/vine pruning with mid-season manual fruit
201 (random cluster) thinning procedure described above was applied from 1999 to 2009. The number
202 of vines used each year varied from season to season based on labor availability. From 1999 to
203 2004 and then again in 2006 and 2010, 100 vines (25 per crop level treatment) were used. In 2005,

204 the vine number dropped to 24 (six vines per crop level treatment). From 2007 to 2009, 56 vines
205 (14 vines per crop level treatment) were used in each year.

206 **Vine measurements.** Dormant vine pruning weight was measured on individual vines each
207 year. Harvest date was determined when the juice soluble solids in the lower yielding treatments
208 were between 16 and 17 Brix (Table 1). On average, this occurred at approximately 40 days after
209 veraison and coincided with the first or second week of the commercial Concord harvest in the
210 region. A 100-berry pre-harvest sample was randomly collected from two vertical planes
211 transecting the east and west side of each vine. Juice soluble solids were measured with a hand-
212 held refractometer (Leica model no.10423, Leica, Inc., Buffalo, NY), and the clusters from each
213 vine were manually harvested and weighed. Crop load was calculated as vine fruit weight divided
214 by vine pruning weight at the end of a season (Y:PW).

215 **Data analysis.** The four-year relationship between pruning weight and yield at each
216 pruning severity was done by binning observations for each year and pruning severity into groups
217 at an interval of 0.1 kg/vine and then calculating the mean for each year. Linear and exponential
218 regression models were fitted in JMP (JMP Pro v13.1, SAS Institute Inc, 2016). For the four-year
219 Pruning vs Thinning crop load analysis, multiple linear regression was performed using standard
220 least squares to investigate the effect of treatment (pruning or thinning), year, and Y:PW on juice
221 soluble solids and the seasonal change in pruning weight. In both cases, year had an effect (p value
222 <0.0001); therefore, within year effects of treatment and Y:PW were further analyzed. To
223 investigate the crop load response in each year, observations were binned into groups at intervals
224 of Y:PW = 2, and linear regression was performed against harvest juice soluble solids and the
225 seasonal change in pruning weight. The general crop load response was generated by calculating

226 the mean for each bin ($n = 4$ years) and then performing linear regression analysis on the combined
227 pruning and thinning data. Combining the data was done to understand the crop load response,
228 regardless of whether it is achieved via altering the source (leaf) or sink (fruit) components.
229 Different trials were plotted with different markers although, as hypothesized, the thinning and
230 pruning treatments showed similar crop load response. For the crop load – juice soluble solids
231 relationship, a segment linear regression (broken-stick) model was fitted. Observations from the
232 11-year thinning were similarly binned in intervals of $Y:PW = 2$ and the same linear and broken-
233 stick regression fitting was performed for the general crop load responses ($n = 11$ years). The linear
234 response equations for each year were used to investigate the effect of seasonal GDD and
235 precipitation on the annual crop load response. Multivariate regression using standard least squares
236 in JMP was used to investigate the effect of GDD and precipitation on the $Y:PW$ to achieve 16
237 Brix or no seasonal change in pruning weight. To investigate the effect of year-one $Y:PW$ on year-
238 two yield, the 11-year thinning observations, also binned in intervals of $Y:PW = 2$, were further
239 grouped into small (0-0.6 kg/vine), medium (0.6-1.2 kg/vine), and large (1.2-1.8 kg/vine) vine size
240 classes (roughly representing one-, two-, and three-pound vines, a common industry reference on
241 standard commercial spacing) and subject to linear regression.

242 **Results**

243 Pruning weight in the own-rooted Concord vines from 2001-2004 ranged from 0.2 to 1.8
244 kg/vine across all pruning levels (Figure 1). As expected, crop size increased as vine size increased,
245 but the response was not linear and differed depending on pruning severity. Severe balanced
246 pruning (33 + 11) had the lowest yield across all vine sizes because there were fewer retained

247 nodes at any given vine size compared to the other pruning treatments. Balanced pruning retained
248 more fruiting buds as vine size increased; therefore, shoot number, cluster number, and total fruit
249 yield increased as vine size increased (data not shown). This was observed with 33 + 11 pruning;
250 however, crop size did not fully plateau at the largest vine size, indicating that pruning had limited
251 yield potential in this treatment. Balanced pruning at 66 + 11 had a similar vine size to yield
252 response as the 33 + 11 treatment but with higher yields because of the less severe pruning formula.
253 The 66 + 11 treatment also did not fully plateau but approached the same yield as the 100 node
254 pruning at the highest vines size.

255 Less severe pruning at either 100 or 120 nodes/vine had higher yields than either of the
256 balanced pruning treatments across the range of vine size. The shape of the vine size to yield
257 response was slightly different for the fixed node treatments compared to the balanced treatments.
258 In the fixed node treatments, there was a stronger positive response of yield to vine size at low
259 pruning weight up to approximately 0.6 kg PW/vine. For both fixed node treatments, the response
260 plateaued at approximately 1 kg PW/vine at a yield value of 13.6 kg fruit/vine and 17.6 kg
261 fruit/vine for the 100 and 120 node pruning treatments, respectively.

262 **Pruning vs. thinning study.** The range of pruning severity and vine size created a
263 population of Concord vines with crop loads (Y:PW) from 2 to 50 (Figure 2). Severe balanced
264 pruning (33 + 11) tended to have the lowest crop load values, while 120 node pruning tended to
265 have the highest crop load values. In addition to the pruning treatments described, 120 node vines
266 were fruit thinned at multiple levels to adjust the crop load down and increase the crop load range.
267 For comparison, the 33 + 11, 66 + 11, and 100 node pruned treatments were grouped to give a

268 range of crop loads achieved through pruning severity. The 120 node pruned vines with and
269 without fruit thinning were grouped to give a range of crop loads achieved through fruit thinning.

270 There was a negative linear relationship between crop load and juice soluble solids for both
271 pruning and thinning treatments in each year, except for thinned vines in 2001 (Figure 2). The
272 mean gradient, excluding 2001 thinned vines, was -0.076, indicating that an increase in crop load
273 by 13 decreased juice soluble solids by 1 Brix at harvest. There was an effect of Y:PW on juice
274 soluble solids in every year, an effect of treatment in 3 of 4 years, and an interaction effect in 2001
275 and 2003 (Table 2). In 2001, the thinned vines had lower average yield and higher average pruning
276 weight than the other three years, which compressed the crop load values to the lower end of the
277 scale. In 2003, the response of juice soluble solids to crop load was greater for pruned vines than
278 thinned vines. For the general response over four years, there was an effect of Y:PW on juice
279 soluble solids but not a treatment effect nor an interaction effect on juice soluble solids (Table 2).

280 Similarly, there was a negative linear relationship between crop load and the seasonal
281 change in vine pruning weight (Figure 3). The mean gradient for all years and treatments was -
282 0.014, indicating an increase in crop load by 7.1 decreased vine pruning weight by 0.1 kg. There
283 was an effect of crop load on the change in pruning weight for each year and smaller effect of
284 treatment in 2002 and 2004, where thinned vines had a greater increase in pruning weight (Table
285 2). There was not a treatment effect nor an interaction effect on delta pruning weight when all
286 years were combined.

287 The four-year pruning and thinning data were combined to characterize a general Concord
288 crop load response. A segmented regression (broken-stick) model was fitted to the Y:PW by
289 harvest juice soluble solids relationship to capture the plateau at the lower Y:PW values (Figure

290 4A) as described by Equation 1. The general response indicated that maximum possible juice
 291 soluble solids occurred at or below a Y:PW of 7.2. The crop load to reach the industry standard of
 292 16 Brix, however, occurred at a Y:PW of 20, on average.

293
$$\left. \begin{array}{l} \text{If } Y:PW < 7.2 \text{ then Brix} = 17.2 \\ \text{else Brix} = 17.8 - 0.085 * (Y:PW) \end{array} \right\} \text{Equation 1}$$

294
$$R^2 = 0.92, F \text{ ratio} = 384.8, \text{Prob} > F; < 0.0001$$

295 The Y:PW by seasonal change in vine pruning weight did not plateau but exhibited a linear
 296 response across the full range of crop load values (Figure 4B) as described by Equation 2. On
 297 average, a Y:PW of 17.5 resulted in no net seasonal change in vine pruning weight.

298
$$\Delta PW(kg) = 0.21 - 0.012 * (Y:PW) \text{Equation 2}$$

299
$$R^2 = 0.68, F \text{ ratio} = 70.97, \text{Prob} > F; < 0.0001$$

300 The crop load response was very similar whether the crop loads were achieved through pruning or
 301 through thinning treatments (Figure 4).

302 **Thinning response study.** From 1999-2009, crop load was calculated on vines pruned to
 303 120 nodes and fruit thinned to different crop levels. In each season, there was a negative linear
 304 relationship between crop load and juice soluble solids and between crop load and the seasonal
 305 change in pruning weight (Figure 5). The mean gradient for the crop load to juice soluble solids
 306 response was -0.07 and the mean gradient for the crop load to pruning weight change was -0.016,
 307 both similar to the four-year pruning vs thinning study.

308 Using the average linear regression equations from the crop load vs. juice soluble solids
 309 and vs. pruning weight change (Figure 5), the Y:PW that achieved 16 Brix or no PW change in
 310 each season was calculated. The effect of seasonal growing degree days and precipitation on the

311 predicted Y:PW to achieve 16 Brix or no seasonal change in PW was investigated by multivariate
312 regression. There was an effect of GDD on the Y:PW to achieve 16 Brix, but no effect of
313 precipitation or an interaction effect (Table 3). In contrast, the main effect in the multivariate model
314 to predict the seasonal change in PW was precipitation, and GDD had no direct predictive power
315 for the seasonal change in PW (Table 3). The univariate relationships between Y:PW for 16 Brix,
316 Y:PW for no PW change, GDD and precipitation are plotted in Figure 6. In general, in warmer
317 seasons, 16 Brix could be achieved at a higher crop load (Figure 6A). The two warmest seasons,
318 2005 and 2007 achieved 16 Brix at Y:PW > 40. In contrast, for the seasonal change in pruning
319 weight, wetter seasons were able to attain no seasonal change in PW at higher crop loads (Figure
320 6D).

321 To investigate the effect of crop adjustment on return crop potential, vines in the thinning
322 study were pruned to 120 nodes, fruit thinned in year one, again pruned to 120 nodes, and received
323 no other crop adjustment in year two. Vines from the thinning study were further grouped into
324 small (0-0.6 kg/vine), medium (0.6-1.2 kg/vine), and large (1.2-1.8 kg/vine) vine size classes to
325 investigate the effect of year-1 crop load on year-2 crop yield. Within a vine size class, there was
326 no effect of year-1 crop load on return crop yield over a wide range of crop load values (Figure 7).
327 Year-1 crop load influenced the change in vine pruning weight in year-1 (Figures 3, 4 and 5), but
328 it was the absolute vine pruning weight at the beginning of year 2 which influenced yield potential
329 in year 2.

330 Discussion

331 The main objectives of this study were to characterize the crop load response in NY
332 Concord, determine if the crop load response was influenced by pruning or fruit thinning, and

333 understand seasonal impacts on crop load targets. The results from this study support the general
334 Y:PW reported in accepted crop load theory (Bravdo et al. 1985, Kliewer and Dokoozlian 2005),
335 but add details specific for Concord production for the juice grape industry in NY and PA.
336 Maximum juice soluble solids were achieved at Y:PW of approximately 7.2. Crop loads below 7.2
337 did not result in an increase in juice soluble solids but did continue to increase vine size. This is
338 consistent with other fruit thinning studies that showed little response of fruit maturation to crop
339 load at Y:PW values below 10 and attribute fruit quality differences in this range to regional
340 climatic conditions or seasonal variation (Keller M et al. 2005, Preszler et al. 2013, Reeve et al.
341 2018). The crop load – Brix inflection point of 7.2 in this cool-climate study was slightly lower
342 than the 10-12 reported in warmer climate studies (Kliewer and Dokoozlian 2005), supporting the
343 theory that cool-climate grapevines need relatively more leaf area per unit fruit to reach maximum
344 maturity. Given the wide range of Y:PW values, this slight difference does not practically change
345 the accepted industry standard crop load range of 5 – 10 for high fruit maturity.

346 This study also supports the theory that vines become fruit sink limited at very low crop
347 loads and extra vine photosynthates are diverted to vegetative structures. Conservative balanced
348 pruning (33 + 11) consistently undercropped the vines (mean Y:PW = 7 and quartile range = 4.6
349 to 8.6) by limiting yields, even at large vine sizes. This is a possible pruning strategy for building
350 vine size and improving the long-term productivity potential of young or stressed vineyards, but it
351 is not a viable option for sustainable economic production. It is also consistent with other cool
352 climate crop load studies which describe excessive canopy growth and the need for canopy
353 management at Y:PW below 5.

354 The conservative to moderate balanced pruning formulas used by Shaulis (Shaulis and
355 Steele 1969) promoted Concord crop loads that increased vine size each year. Since both
356 vegetative and reproductive growth increased with vine size, the annual increase in vine size led
357 to excessively large vines with increased leaf area, canopy density, and fruit zone shading. This
358 led to the development and success of the Geneva Double Curtain divided canopy training and
359 shoot positioning system to expose excessive leaf area to sunlight (Shaulis et al. 1966). However,
360 the current economic pressures in the Concord industry has, in general, led to chronic overcropping
361 and a reduction in vine size below the point where canopy division is beneficial.

362 Crop loads above 7.2 had a negative linear relationship with harvest juice soluble solids
363 and seasonal change in vine size. In most other viticulture situations, where high fruit maturation
364 is desired, this would be considered overcropping with incremental increases in Y:PW leading to
365 greater reductions in juice soluble solids or later harvest dates and decreases in vine size and return
366 crop potential. Liberal balanced pruning (66 + 11) had a mean Y:PW of 11 (quartile range = 8.3
367 to 13.0) with juice soluble solids between 16.6 and 17.0 and a seasonal increase in vine size. This
368 pruning management is appropriate for conservative producers who want to ensure a ripe crop in
369 any given season and do not want to adopt fruit thinning as a crop control strategy. Since retained
370 nodes and yield increased with increasing vine size under this treatment, economic revenue is
371 maximized at high vine size > 1.2 kg/vine (0.5 kg/m of canopy).

372 Fixed node pruning at 100 nodes/vine without additional crop adjustment had a mean crop
373 load of 17 (quartile range = 12.0 to 20.0) and tended to be on the high end with small vines and
374 the low end with large vines. In commercial Concord operations in NY, the term “grower pruning”
375 typically refers to pruning standard spaced vines (2.7 m row x 2.4 m vine) to between 80 nodes on

376 small vines and 100 nodes on large vines. This study indicated that 100-node pruning management
377 reasonably satisfies the crop load goal for achieving 16 Brix fruit while maintaining vine size in
378 NY Concord. The disadvantage of high node number “pruning only” crop management is that the
379 crop potential is set during the dormant season and does not allow for in-season crop load
380 adjustments. In the event of frost or poor fruit set, limiting bud number limits potential yield by
381 limiting the number of secondary shoots, thereby undercropping the vines and limiting revenue.
382 In contrast, high fruit set combined with a cool growing season, as experienced in 2003, results in
383 overcropped vines with low juice soluble solids and a reduction in vine size. In this case, mid-
384 season fruit thinning is an option to bring vines into balance.

385 Managing vines to a relatively high level of crop stress together with the physical stress of
386 fruit thinning makes high node number pruning a questionable treatment. In two of the four pruning
387 vs thinning comparison years, and in eight of the eleven thinning study years, vines pruned to 120
388 nodes without additional crop adjustment had Y:PW values > 20 with reductions in juice soluble
389 solids and vine size. In Lake Erie Concord production, there is a trend to retaining relatively high
390 node numbers as a result of mechanized pruning and frost risk mitigation. Mechanized pruning
391 reduces production costs but is less precise in managing retained node quantity and quality;
392 therefore, producers err on the side of retaining too many buds. In this study, fruit thinning one
393 month after bloom reduced Y:PW, increasing harvest juice soluble solids and the seasonal change
394 in pruning weight. The Concord crop load response was the same whether a given Y:PW was
395 achieved through pruning severity or by retaining additional fruiting nodes followed by mid-
396 season fruit thinning.

397 In practice, Concord crop estimation and adjustment are done by mechanical fruit thinning
398 with a harvester at approximately one month after bloom. The decision to fruit thin is primarily
399 dictated by an assessment of vine crop load and vineyard goals for balance, as described in this
400 study. Deciding how much fruit to thin, or retain, can be additionally adjusted by seasonal climatic
401 conditions. The thinning data in this study indicated that warmer than average seasons achieved
402 16 Brix at higher Y:PW than cooler than average seasons. Assuming GDD accumulation one-
403 month after bloom is reflective of total season GDD, high GDD accumulation at fruit thinning
404 means the vineyard can be managed to higher crop load levels and may require less thinning or no
405 thinning at all. Low GDD accumulation at fruit thinning would warrant more fruit thinning to
406 maintain higher sugar accumulation rates from veraison to harvest.

407 There was also an effect of seasonal precipitation on the change in vine pruning weight.
408 Holding everything else equal, it is common to observe higher vine size in wet years and lower
409 vine size in dry years. It raises an interesting question concerning the error associated with using
410 pruning weight to estimate vine capacity. If a vine with a Y:PW of 17.5 has a vine size of 1.0 kg
411 in an average precipitation year, 1.2 kg in a wet year, and 0.8 kg in a dry year, is the vine capacity
412 different, possibly as a result of decreased photosynthetic capacity in dry years, or an artifact of
413 growth habit, such as longer internode lengths in wet years?

414 On a broader scale, vine size did influence fruit yield potential at all pruning levels, as
415 expected (Figure 2), but the return crop analysis in this study also showed that it did not matter
416 how the vine size was achieved (Figure 7). For example, overcropping large vines in year 1,
417 undercropping small vines in year 1, and balancing medium size vines in year 1, all resulted in
418 medium size vines having the same yield potential in year 2 at a given pruning level. This illustrates

440 thinning. There was a positive linear relationship between seasonal GDD and the Y:PW needed to
441 reach 16 Brix, as well as between seasonal precipitation and the Y:PW required to observe no
442 seasonal pruning weight change. The results of this study were used to develop a crop load
443 management model for NY Concord which integrates the juice grape industry parameters for 16
444 Brix fruit, sustainable vine size and production, spring frost mitigation, seasonal environmental
445 variation, and possible climate change impacts. Annually, the CLEREL research group tracks
446 weather, Concord phenology, and Concord fresh berry weight on a set of sentinel vines and
447 provides this information to the growers for crop estimation and management through the Lake
448 Erie Regional Grape Program. The Concord crop load model developed here will integrate with
449 this information to assist growers with mid-season crop estimation and fruit thinning management.
450 The crop load response information from this study can also be used to provide viticulture context
451 to spatial vineyard crop load mapping where NDVI and Yield monitor spatial sensor data can be
452 used to calculate spatial vineyard crop load maps.

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Table 1 Weather and phenology from Cornell’s Taschenberg Vineyard Laboratory, Fredonia, NY, from 1999-2009.

Year	GDD accumulation (°C)	Precipitation accumulation (mm)	Bud break	Bloom	Veraison	Harvest
	1 April - 31 Oct		Date			
1999	1658	621	3-May	8-Jun	13-Aug	17-Sep
2000	1461	692	2-May	11-Jun	21-Aug	30-Sep
2001	1615	548	30-Apr	12-Jun	18-Aug	25-Sep
2002	1680	677	18-Apr	19-Jun	24-Aug	25-Sep
2003	1435	584	4-May	24-Jun	1-Sep	13-Oct
2004	1578	841	1-May	7-Jun	22-Aug	7-Oct
2005	1811	646	9-May	12-Jun	20-Aug	3-Oct
2006	1554	772	29-Apr	13-Jun	21-Aug	3-Oct
2007	1732	389	7-May	9-Jun	17-Aug	2-Oct
2008	1511	797	23-Apr	13-Jun	22-Aug	29-Sep
2009	1343	947	2-May	13-Jun	31-Aug	5-Oct
Mean	1532 ^a	683 ^a	3-May ^b	13-Jun ^c	22-Aug ^c	
St. Dev.	140	153	7	6	6	

^a92-year mean (1926-2018).

^b39-year mean (1979-2018).

^c53-year mean (1965-2018).

Table 2 Multivariate analysis of Yield:Pruning weight (Y:PW) and Treatment (pruning or thinning) on harvest juice soluble solids or the seasonal change in pruning weight in New York Concord from 2001-2004. Model used standard least squares and effect tests (Prob > F) are shown.

	Year				
	2001	2002	2003	2004	All Years
Juice soluble solids					
Y:PW	*a	***	***	**	***
Experiment	***	***	*	NS	NS
Y:PW * Experiment	*	NS	***	NS	NS
Seasonal PW change					
Y:PW	*	***	***	***	***
Experiment	NS ^b	**	NS	*	NS
Y:PW * Experiment	NS	NS	NS	NS	NS

^a *, **, *** indicate significance at $p < 0.01$, < 0.001 , and < 0.0001 , respectively.

^b NS = not significant ($p > 0.01$).

Table 3 Multivariate regression effect tests of seasonal growing degree days or precipitation on the Yield:Pruning weight (Y:PW) needed to reach harvest juice soluble solids of 16 Brix or no seasonal change in vine pruning weight in New York grown Concord from 1999-2009.

	Y:PW for 16 Brix		Y:PW for no PW change	
	F Ratio	Prob > F	F Ratio	Prob > F
GDD	13.8	0.0099	0.1591	0.7019
Precipitation	2.4	0.1700	10.66	0.0138
GDD * Precip	0.04	0.8574	2.63	0.1483

Table 4 General crop load descriptions and management recommendations for Concord production in the Lake Erie AVA.

Y:PW	Category	Predicted Brix ^a	Management
0-5	Severely undercropped	17.2	Juice soluble solids (JSS) maximized and vine size increased by 0.15-0.20 kg/vine. Severe undercropping, generally only observed in frost damaged vineyards, can be managed to increase overall vine size and crop potential for the following season.
5-10	Undercropped	17.0-17.2	JSS > 1.0 Brix above the 16.0 standard and vine size increased by 0.10-0.15 kg/vine. This crop load is not economically viable for long-term Concord production in NY and recommended only when attempting to build vine size in young or stressed vineyards.
10-15	Slightly undercropped <i>Balanced in cool season</i>	16.5-17.0	JSS 0.5 to 1.0 Brix above the 16.0 standard and vine size slightly increased by 0.03-0.09 kg/vine. This conservative crop load can be achieved with moderate balanced pruning, does not require fruit thinning, and will still mature to 16 Brix in cooler than average seasons.
15-20	Balanced in average season^b	16.1-16.5	JSS at or slightly above the 16 Brix standard and vine size maintained +/- 0.03 kg/vine
20-25	Slightly overcropped <i>Balanced in warm season</i>	15.7-16.0	JSS below the 16 Brix standard and vine size reduced by 0.03-0.09 kg/vine in an average season. Harvest delays and reduced crop potential for the following season are expected; however, vines will maintain balance in warmer and wetter than average seasons. This crop load recommended if mid-season fruit thinning is part of the management strategy. In cool and average seasons, the crop can be moderately thinned to maintain balance. In warm seasons, no thinning would be necessary.
> 25	Severely overcropped	<15.7	JSS well below the 16 Brix standard and, if left unthinned, will still require a significant period of ripening after harvest has started. Vine size will be reduced by > 0.1 kg/vine (0.25 lbs/vine) with a lower future yield potential and a lower return crop. It requires excessive fruit thinning to achieve vine balance mid-season, which has been shown to cause canopy damage in Concord and negates the positive effects of fruit thinning on vine size/health. This level of crop load stress is not recommended.

^aPredicted Brix in an average season at a standard harvest of 30 - 40 days after veraison. The given ranges reflect this spread of time.

^bAn average season = 1455-1723 GDD (+/- 1 st. dev. from the 11 -year GDD mean). Cool season < 1455 GDD, Warm season > 1723 GDD.

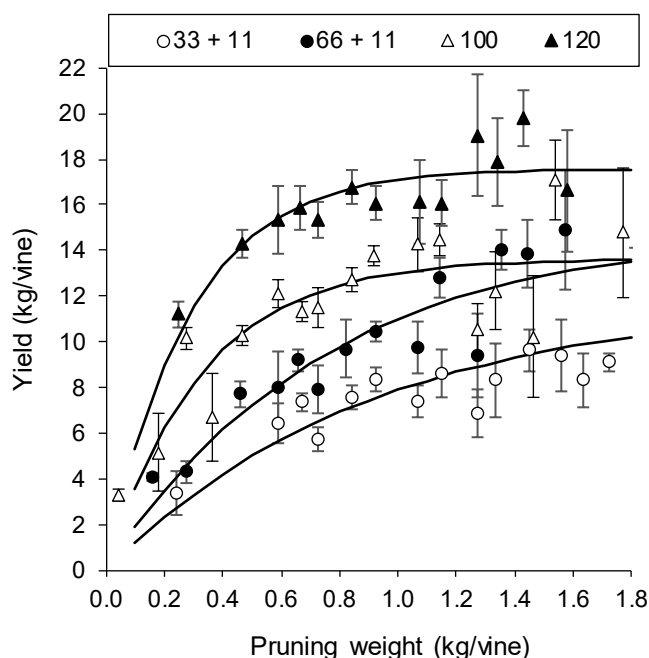


Figure 1 The effect of Concord pruning weight (PW) on fresh fruit yield at two variable node and two fixed node pruning severities. Vines were balanced pruned to retain 33 (open circles) or 66 (closed circles) nodes for the first 500g pruning weight plus an additional 11 nodes for each additional 500g or pruned to retain 100 (open triangles) or 120 (closed triangles) nodes per vine, regardless of pruning weight. Individual vine observations ($n = 36$ per year) from 2001-2004 were combined by pruning treatment and binned by 0.1 kg pruning weight (bars = \pm SE). Fitted curves for 33 + 11: Yield = $11.8 - ((11.8) * \text{EXP}(-1.1 * \text{PW}))$, $R^2 = 0.91$; 66 + 11: Yield = $14.9 - ((14.9) * \text{EXP}(-1.3 * \text{PW}))$, $R^2 = 0.94$; 100 nodes: Yield = $13.6 - ((13.6) * \text{EXP}(-3.1 * \text{PW}))$, $R^2 = 0.87$; 120 nodes: Yield = $17.6 - ((17.6) * \text{EXP}(-3.6 * \text{PW}))$, $R^2 = 0.88$.

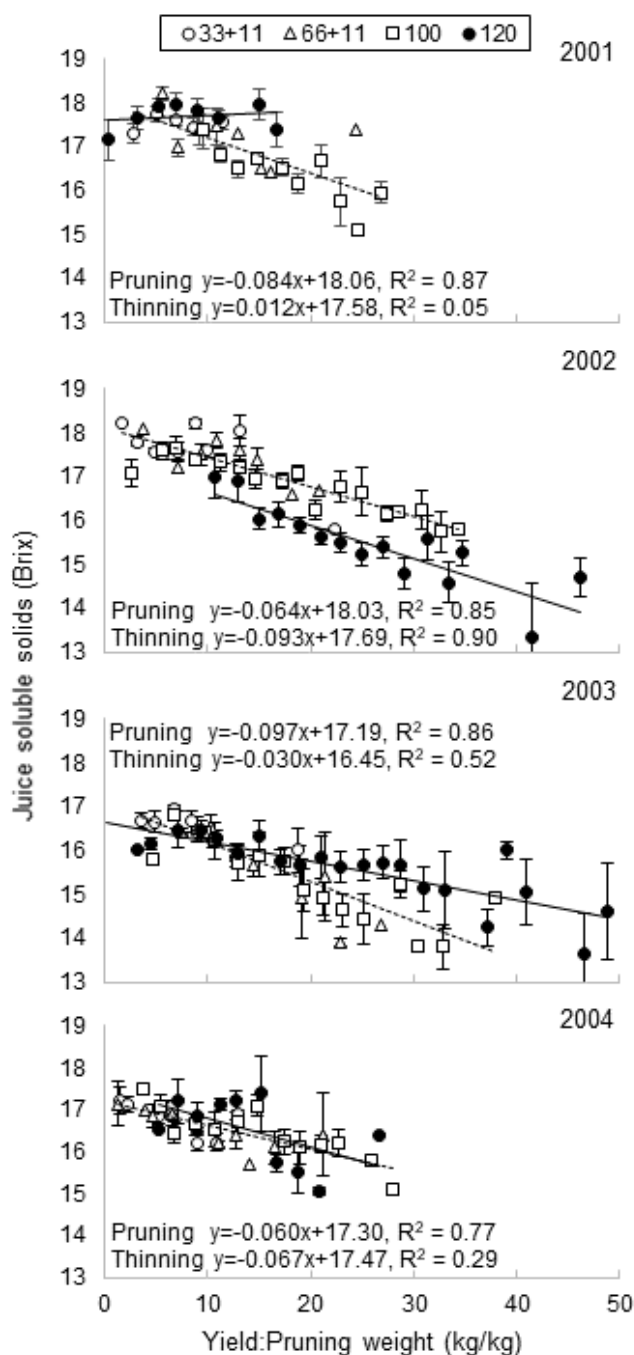


Figure 2 The effect of Concord Yield:Pruning weight (Y:PW) on harvest juice soluble solids from 2001-2004. Vines were grouped by pruning severity (33 + 11, 66 + 11, or 100 nodes: open symbols) or by 120 node pruning with mid-season fruit thinning (closed symbols). There were 108 pruning observations per year (36 per pruning level) and 75 thinning observations per year. Data was binned by crop load in intervals of 2 Y:PW. (bars = \pm SE).

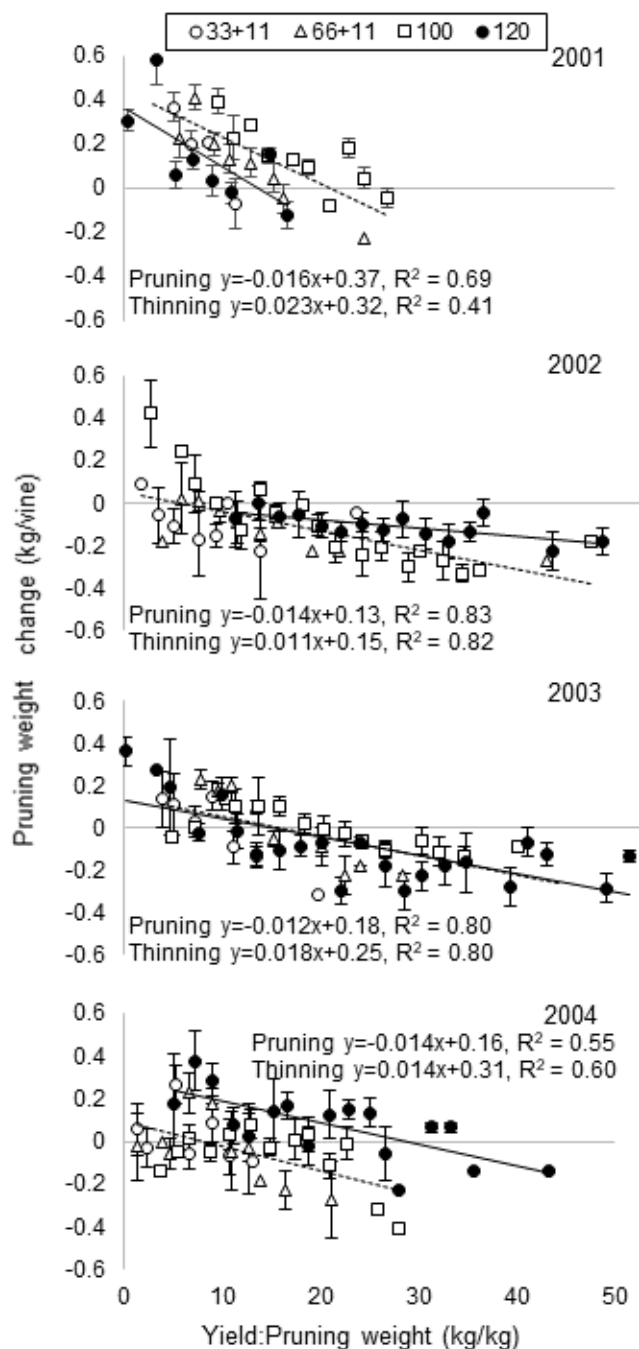


Figure 3 The effect of Concord Yield:Pruning weight (Y:PW) on the seasonal change in pruning weight from 2001-2004. Vines were grouped by pruning severity (33 + 11, 66 + 11, or 100 nodes: open symbols) or by 120 node pruning with mid-season fruit thinning (closed symbols). There were 108 pruning observations per year (36 per pruning level) and 75 thinning observations per year. Data was binned by crop load in intervals of 2 Y:PW. (bars = \pm SE).

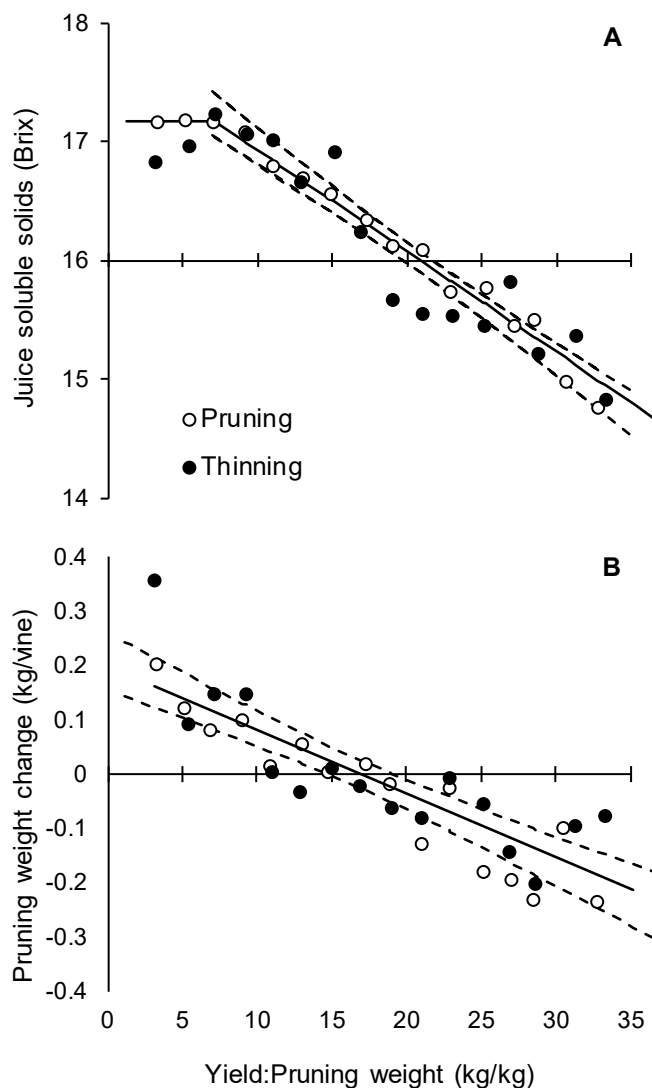


Figure 4 The general 4-year Concord crop load response on harvest juice soluble solids (A) and seasonal change in vine pruning weight (B). Pruning severity (open circles) or mid-season fruit thinning (closed circles) was used to generate a range of Y:PW. Each point is the mean of four years ($n = 4$). Pruning and thinning data were combined for the mean response. A broken stick model was fitted to illustrate the inflection point on the juice soluble solids response (see Equation 1). For the pruning weight response, a linear model was used (see Equation 2). Dashed lines indicate 95 % mean confidence limits.

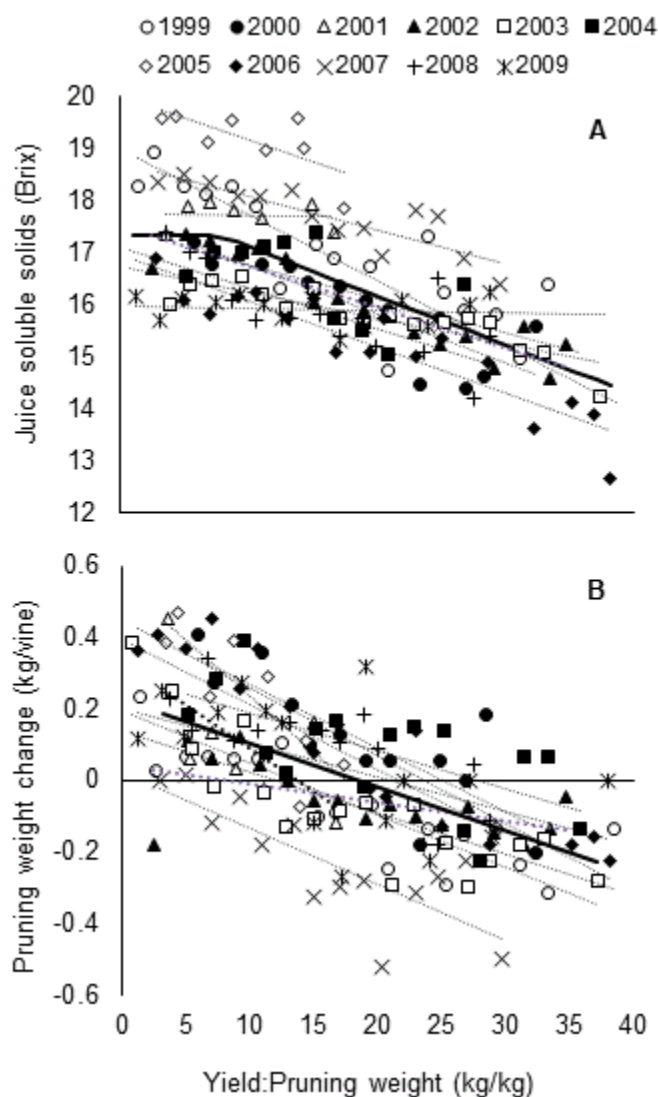


Figure 5 The effect of Concord Yield:Pruning weight on harvest juice soluble solids (A) and the seasonal change in vine pruning weight (B) from 1999 to 2009. Dashed lines are individual year trend lines and the solid lines are the mean response for all years. (n = 75 for 1999-2004, 2006, and 2010; 18 for 2005; and 42 for 2007-2009). The solid lines are the mean response (n = 11 years).

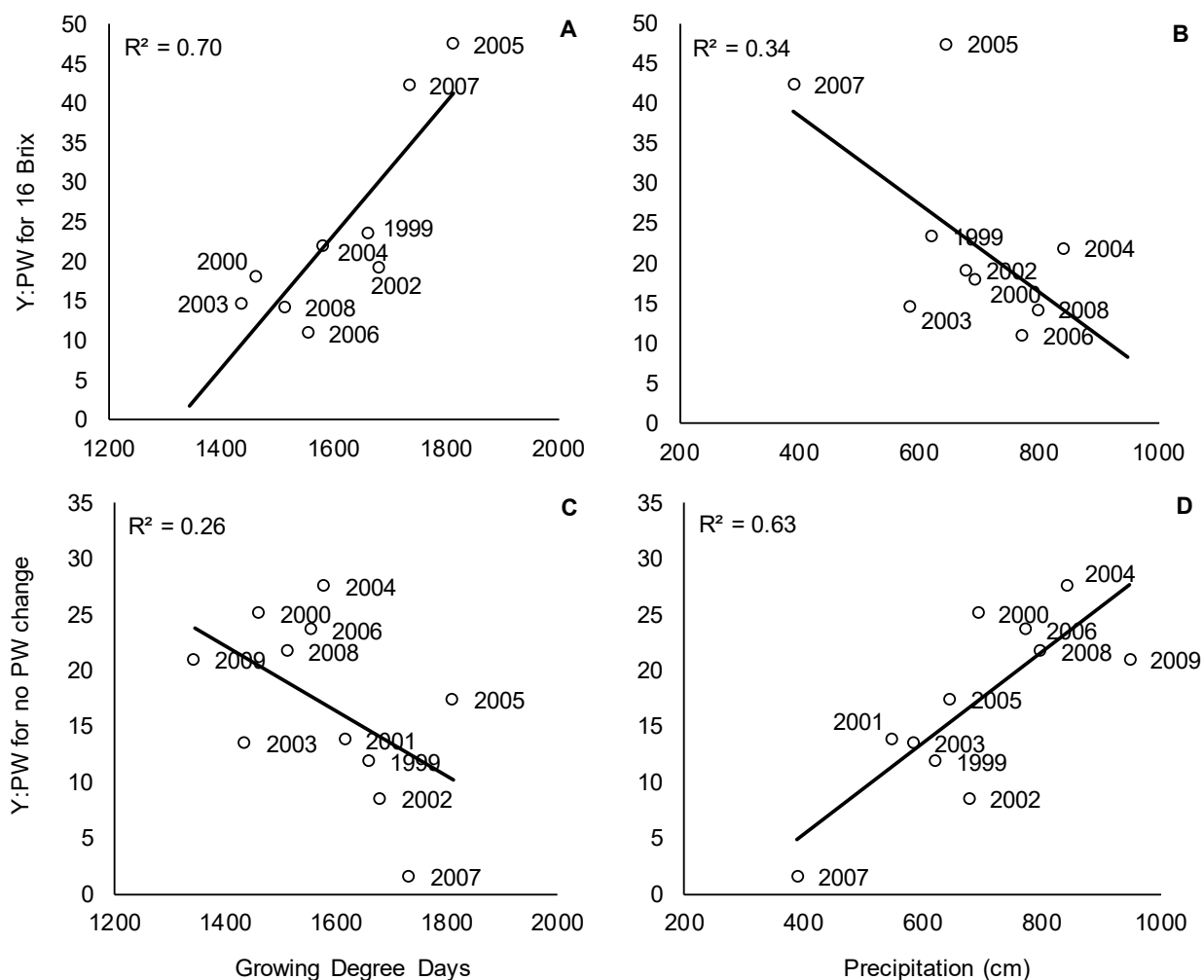


Figure 6 The effect of growing degree day (base 10°C) and precipitation (mm) accumulation on the Yield:Pruning weight (Y:PW) needed to achieve 16 Brix at harvest (**A** and **B**) or no seasonal pruning weight change (**C** and **D**) in NY Concord from 1999-2009. Data labels indicate year.

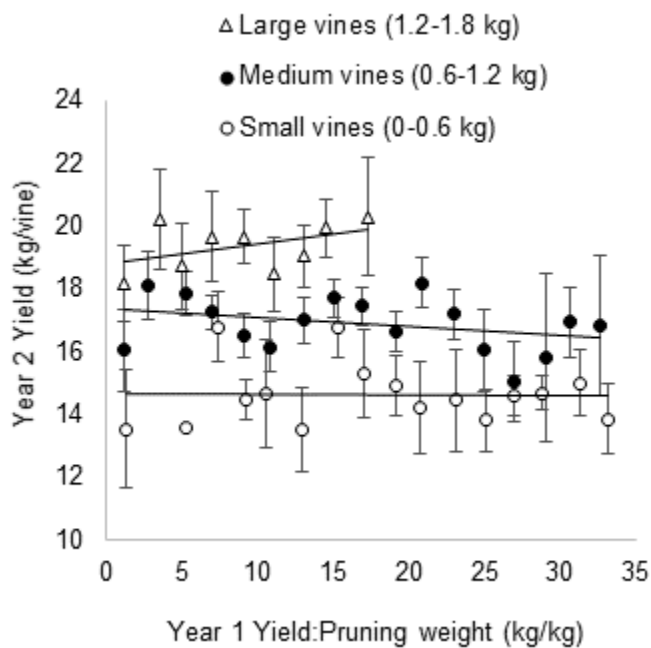


Figure 7 The relationship of year-1 Yield:Pruning Weight on year-2 yield on small (0-0.6 kg), medium (0.6-1.2 kg), and large (1.2-1.8 kg) vine size classes in Concord grapevines. Data was pooled from a 11-year fruit thinning study, grouped by vine size class, and binned in intervals of Y:PW = 2 (each point n = 10, bars = ±SE).