

# Experimental and numerical study of heat and mass transfer during contact heating of sliced potatoes

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1	Experimental and numerical study of heat and mass transfer during contact
2	heating of sliced potatoes
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11	Abstract
12	
13	Studies concerning the contact heating of food products remain relatively rare in the literature
14	despite the importance of this mode of heat transfer in many operations (grilling, pan-frying).
15	To deal with this, kinetics of product water loss and temperature rise were recorded during
16	contact heating of potato slices in order to examine the influence of the heating power and of
17	the presence or not of an oil layer below the heated product. From the experimental data
18	acquired, a 2D mathematical model based on a moving boiling-front approach was developed
19	and validated allowing the determination of contact heat transfer coefficient values of
20	$512.2 \pm 12.4$ W.m <sup>-2</sup> .K <sup>-1</sup> and 197.5 $\pm$ 5.8 W.m <sup>-2</sup> .K <sup>-1</sup> for experiments with and without oil
21	respectively. The analysis of the simulation results showed that the overall heating of the
22	product is limited by: (i) the evaporation of liquid water at the location of a boiling front
23	propagating within the heated product and (ii) by the development of a dried region (crust) in
24	the lower part of the product acting as a thermal insulating layer. It should also be noted that

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- 25 the determination of the contact heat transfer coefficient can become an incidental problem
- 26 (especially for experiments with oil) since the thermal contact resistance is often much lower
- than the thermal resistance associated with conduction in the dried region of the product.

## 28 Nomenclature

Symbol	Definition	Units
$a_w$	Water activity	-
Ср	Specific heat capacity	$J. kg^{-1}. K^{-1}$
$D_w$	Apparent water diffusivity	$m^2 \cdot s^{-1}$
e <sub>cr</sub>	Crust thickness	m
ĥ	Heat transfer coefficient	$W. m^{-2}. K^{-1}$
$\widehat{H}$	Enthalpy (by unit-mass of dry matter)	J. kg <sup>-1</sup>
Ĥ	Enthalpy flux	W. m <sup>-2</sup>
k	External mass transfer coefficient	m. s <sup>-1</sup>
Le	Lewis number (calculated for air)	
$L_{n}$	Specific latent heat of vaporisation of water	$J. kg^{-1}$
m	Mass	kg
'n	Mass flux	kg. $s^{-1}$ . $m^{-2}$
$M_{w}$	Molar mass of water	kg. mol <sup>-1</sup>
P	Pressure	Pa
$P_{v,sat}$	Saturated water vapour pressure	Ра
ġ	Heat flux	W. m <sup>-2</sup>
$\dot{R_a}$	Universal gas constant	$J. mol^{-1}. K^{-1}$
Ŕ	Thermal resistance	$m^2$ , K, W <sup>-1</sup>
T	Temperature	K
t	Time	S
$X_{w}$	Water content (dry basis)	-
$\epsilon$	Product thermal emissivity	-
λ	Thermal conductivity	$W. m^{-1}. K^{-1}$
ρ	Density	kg. $m^{-3}$
σ	Stefan-Boltzmann constant	$W. m^{-2}. K^{-4}$
Subscripts		
mo	Potato moist region	
cr	Potato crust	
dm	Dry matter	
w	Water	
vap	Vapour	
hs	Heating surface	
air	Air	
ref	Reference state	
ct	Contact	
conv	Convection	
rad	Radiation	
boil	Boiling	

#### 32 **1. Introduction**

33

34 Before their consumption, food products may be subjected to a large number of heat treatments, 35 both at an industrial level and in consumers' own kitchens. In most cases, heat is supplied to the product using one or more of the three elementary modes of heat transfer: thermal 36 37 convection, thermal radiation and contact heat transfer. While many experimental and 38 theoretical studies have been devoted to thermal convection and radiation, studies concerning 39 contact heat transfer remain relatively rare in the field of food processes. This seems 40 paradoxical since this mode of heat transfer is of prime importance in heating operations such 41 as single- or double-faced grilling or pan-frying and induces drastic product quality changes 42 during heating as shown by Sioen et al. (2006), Haak et al. (2007) and Chevarin et al. (2011) 43 for pan-fried meat or fish and Boskou et al. (2007) for pan-fried potatoes. This lack of 44 information is most likely due to the complexity associated with the experimental 45 characterization and the mathematical formulation of this mode of transfer in the case of heating 46 of food products such as detailed in the following paragraphs.

47

48 Generally speaking, contact heat transfer occurs when two solids at different temperatures are 49 put into contact. Because of solid surface irregularities (flatness defects, roughness...), the 50 effective contact surface is necessarily lower than the apparent contact surface (Madhusudana, 51 1996). At microscopic scale, the interface between two solids can hence be envisioned as a 52 series of contact spots interspersed with gaps that can be either filled with liquid or gas. As 53 proposed by Incropera et al. (2007), the influence of the complex geometry of this interface 54 upon heat transfer between the two solids in contact can be described by the use of a thermal contact resistance  $R_{ct}$  or its inverse, a contact heat transfer coefficient  $h_{ct}$  defined classically 55 56 according to:

$$R_{ct} = \frac{1}{h_{ct}} = \frac{|T_A - T_B|}{\dot{q}_{ct}}$$
(1)

58

where  $T_A - T_B$  is the temperature gap across the contact interface and  $\dot{q}_{ct}$  (calculated as a 59 positive value) is the resulting contact heat flux exchanged between the two solids. This 60 61 equation is recalled here to illustrate the difficulty to obtain direct measurement of  $h_{ct}$  since accurate measurements of the temperature of the two solids at the same interface as well as of 62 63 the heat flux exchanged between them are required for the calculation, this information being very difficult to obtain without disturbing the phenomenon studied. In practice, the quality of 64 the physical contact between the two solids is likely to change during heating due to the physical 65 or chemical modifications of one of the two materials leading hence to a variation of the thermal 66 contact resistance with time. In the case of food products, this phenomenon is amplified the 67 68 high reactivity of these materials when subjected to heat treatments. Three types of so called "interfering phenomena" can explain the regular or sudden variation of the thermal contact 69 70 resistance during contact heating of food products: (i) the deformation of the heated surface of 71 the product as for example in the case of meat grilling when the heated muscle retracts (Housova 72 and Topinka, 1985), (ii) the formation of a crust at the lower surface of the product due to a 73 local and drastic drying of the product in this zone, this crust interfering with heat and mass 74 transfer phenomena at this location (Feyissa et al., 2011) and (iii) the release of liquid water or water vapour at the interface between the heated product and the heating surface as 75 76 experimentally observed by Cernela et al. (2015).

77

To obtain direct measurement of instantaneous values of thermal contact resistance in the case of food products, several methods have been found in the literature. The most commonly used is based on the positioning of (i) a surface heat flux sensor between the food product and the 81 heating surface and (ii) one thermocouple in the product and one in the heating surface, these 82 two thermocouples being as close as possible to the contact interface (Housova and Topinka, 83 1985; Wichchukit et al., 2001; Pan and Singh, 2002). These measurements make it possible to 84 calculate instantaneous values of thermal contact resistance using Eq. 1. However, significant 85 drawbacks of this method can be identified: (i) the local disturbance of the transfer phenomena 86 induced by the presence of the sensor between the two solids making the flux measurement 87 invasive, (ii) the difficulty to measure precisely the temperature of the heated product at a 88 distance of its lower surface which can be considered small enough in regard to the very high 89 temperature gradient in this zone.

90

91 Another option consists in associating experimental measurements with a mathematical model 92 describing heat and mass transfer phenomena within the product, thereby allowing to calculate 93 the temperature of its lower surface. The estimation of the thermal contact resistance is thus 94 performed by comparing experimental and calculated results. To be successful, this method 95 requires an accurate description of the transfer phenomena occurring in the product and 96 especially in the zone close to the heating surface. Some authors, such as Zorrilla & Singh 97 (2000), Banga et al. (2001), Zorrilla & Singh (2003), Huang (2012) and Eberth et al. (2012), 98 have used this method to evaluate the thermal contact resistance. However, the main limitation 99 of these studies is that the models proposed do not consider the coupling between heat transfer 100 and the (possibly intense) evaporation of water exuding from the product at its lower surface. 101 Only three authors have proposed models that take this phenomenon into account (Ou and 102 Mittal, 2006; Dhall and Datta, 2011; Feyissa et al, 2011). It was taken into account: (i) by using 103 an expression similar to the one encountered in convective drying even though the existence of 104 a boundary layer relative to mass transfer is questionable in the interfacial zone (Ou and Mittal, 105 2006 and Dhall and Datta, 2011) or (ii) by considering the rate of liquid water removed from the product and the rate of water vapour generated at the contact interface both equal to the rate of evaporation (Feyissa et al., 2011). In these studies, water evaporation is also considered in the whole product. To describe it, Ou and Mittal (2006) and Feyissa et al. (2011) assumed that liquid water was in equilibrium with water vapour in the product whereas Dhall and Datta (2011) opted for a non-equilibrium formulation.

111

112 Based on the above limitations concerning both experimental strategies and modelling 113 approaches, one of the objectives of the present work is to clarify the coupled heat and mass 114 transfer phenomena occurring during contact heating (and by extension pan frying) of potato 115 slices. To reach this aim, contact heating experiments were conducted and a particular attention 116 was paid to obtaining reliable and repeatable measurements of the product temperatures and 117 water loss during heating. The choice of potato as tested material was made because, despite 118 the fact that this product is very often heated by contact, this mode of heat transfer applied to 119 this product has been very rarely studied in the literature. To gather rich and varied experimental 120 data, two operating factors expected to influence contact heat transfer have been studied: the 121 heating power supplied to the potato disk and the presence or not of an oil layer below this 122 product.

Another objective of the work is to identify, among all the transport phenomena of concern, the one limiting the overall heating of the product allowing to determine rational strategies in order to improve the sensory and nutritional qualities of the products heated by contact.

Finally, the last objective of the work is to determine, using a non-invasive method, the values of the contact heat transfer coefficient between the product and the heating surface. To reach this aim, an approach combining experimental characterization and modelling is proposed. The model developed in this study considers the formation and propagation within the product of a water boiling front and the resulting development of a dried crust in the lower zone of the product, a special care having been taken to limit as much as possible the number of unknownparameters of the model.

133

#### 134 **2. Materials and methods**

135

#### 136 2.1. Laboratory contact heating device

137

138 For the purpose of this study, a heating device was designed based on the experimental set-up 139 proposed by Ashokkumar and Adler-Nissen (2011) and also used by Feyissa et al. (2011) and 140 Sanz-Serrano et al. (2017) to perform contact heating experiments under controlled conditions. 141 However, a slight modification was made to this experimental set-up by positioning a 1 mm K-142 type thermocouple as close as possible to the heating surface in order to obtain direct 143 measurement of its temperature. A schematic representation of the device is presented in Fig. 144 1. It consists of a four-disc assembly placed inside a calcium silicate insulating jacket. The 145 assembly is obtained by using bolts and nuts not shown on Fig. 1. From bottom to top, this 146 assembly comprises a polyether ether ketone (PEEK) disc, a calcium silicate insulating sheet, 147 a surface heating resistance (HM 4974 MICA Heater, Minco, Aston, United-States) and an 148 aluminium disc (so called "heating surface" in the following text) on which the potato sample 149 is put during the experiment. This aluminium disc is surrounded by a Teflon rim to contain the 150 potential flows of oil or cooking juices during heating. The surface heating resistance can 151 supply a homogeneous heating power that can reach up to 170 kW.m<sup>-2</sup>. This power can be either 152 fixed at a constant value or adjusted using a PID controller in order to regulate the temperature 153 of the upper aluminium disc. In the latter case, the PID controller uses the temperature measured 154 by the 1 mm K-type thermocouple positioned at the centre of the heating surface as measured 155 process variable.

#### 157 2.2. Preparation of the potato sample

158

For the experiments, Caesar potatoes were purchased at a local supermarket (Cora, Massy, France) and stored at room temperature ( $20^{\circ}C \pm 2^{\circ}C$ ) for no longer than ten days. Before heating, potatoes were cut into thick cylindrical slices using a cylindrical cutting shape and then into thin slices using an electric slicer. Each sample had a diameter of 45 mm and a height of 15 mm.

164

#### 165 2.3. Protocol for the contact heating experiment

166

Before each experiment, the aluminium disc of the heating device was pre-heated to a constant 167 168 temperature of 180°C using the PID controller described in § 2.1. For each heating test, a 169 waiting time of 15 minutes before the start of the experiment was then observed to ensure the 170 repeatability of the initial conditions of the test. At the end of the pre-heating phase, for 171 experiments with oil, 1 g of sunflower oil was put in the centre of the heating surface. The 172 potato sample was then placed at the centre of the heating surface and the heating power was immediately set to a constant value (either 3.2 kW.m<sup>-2</sup>, 6.4 kW.m<sup>-2</sup> or 9.6 kW.m<sup>-2</sup>) until the end 173 174 of the experiment. These values were chosen in order to reproduce the patterns of variations of 175 heating surface temperature experimentally recorded during home-scale pan-frying operations 176 (Cernela et al., 2014). The heating time was fixed to 15 min for the lower and intermediate power value (3.2 kW.m<sup>-2</sup>, 6.4 kW.m<sup>-2</sup>) and limited to only 10 min for the upper power value 177 178  $(9.6 \text{ kW.m}^{-2})$  in order to avoid overheating of the experimental setup.

In summary, six operating conditions were thus tested by combining the three tested heatingpowers with or without addition of vegetal oil below the product at the beginning of heating.

181 Each condition was repeated 10 times in order to evaluate standard deviations values for182 measured values.

183

#### 184 2.4. Mass and temperature measurements during experiments

185

To measure the mass variations of the potato sample during heating, the whole heating device was put on a SG8001 precision balance (Mettler Toledo, Viroflay, France) with a measurement sensibility of 0.1 g. The mass variations obtained by the balance was considered equal to the water loss by the potato sample during the test.

190 The temperature of the potato samples was evaluated at three locations using 0.25 mm diameter 191 K-type thermocouples. These 3 measurement points were all located on the central axis of 192 symmetry of the cylinder at a distance of 3 mm, 6.5 mm and 11 mm from the bottom surface 193 of the product. In order to obtain precise position of the thermocouples, pre-holes were made 194 horizontally within the potato cylinder using a 0.8 mm diameter metal rod. The thermocouples 195 were then inserted until the end of these pre-holes. The uncertainty associated with the position 196 of the thermocouples was evaluated at  $\pm$  0.5 mm by precisely measuring 10 times their 197 positions after having cut the potato cylinder in two in the thickness direction.

As already mentioned, the temperature of the aluminium disk was measured using a K-type thermocouple. Given the high thermal conductivity of aluminium (200  $W.m^{-1}.K^{-1}$ ), the thermocouple was positioned close enough to the upper surface of the aluminium disc to consider that its temperature is equal to the heating surface temperature.

202 All data were obtained using a sampling time equal to one second.

#### **3. Mathematical model**

204

#### 205 3.1. Modelling approach and global assumptions

206

The following modelling approach is used to describe complex coupled heat and mass transport 207 208 phenomena occurring during contact heating. At the beginning of the heating test, the lower 209 surface of the potato sample is put into contact with a surface at high temperature and is hence 210 subjected to a very strong heat flux. Due to the relatively low values of the thermal conductivity of food products (0.6 W.m<sup>-1</sup>.K<sup>-1</sup> for potato as measured by Gratzek & Toledo, 1993), the 211 212 temperature of the lower surface is expected to increase rapidly. When the temperature of the 213 lower surface reaches the boiling temperature of water at atmospheric pressure (100°C), a 214 boiling front is formed on this surface and propagates toward the interior of the product during 215 the remaining time of the heating, as explained by Farkas (1994) or Farid (2002). In the model, the boiling front is described by the use of a sharp interface, moving in the product, where all 216 217 the internal evaporation happens. It divides the product into two regions: an initially moist 218 region above the sharp interface and a dried region (so called crust) below it. The crust is also 219 defined by two criteria (i) a concentration of liquid water that can be assumed negligible and 220 (ii) a temperature which can be higher than the boiling temperature of water. To justify the first 221 criterion, it is important to note that the possible presence of bound water in the crust after 222 boiling is not important for this study since this bound water fraction is also not taken into 223 account when measuring the initial water content of the sample.

Finally, as shown in Fig. 2, the heating process is broken down into two stages: (1) a stage during which the lower surface of the product is heated up to 100°C and (2) a stage where a boiling front is formed and propagates through the product during heating.

To agree with the observations made during the experiments and to simplify the mathematicalformulation of the transfer phenomena, further assumptions were made:

230

238

(1) Given the geometry of the potato sample, heat and mass flux are expressed in 2Dcylindrical system of coordinates

(2) In the moist region, the product is constituted by dry matter, liquid water and negligible
amount of gas whereas in the crust region, the product is only constituted by dry matter,
negligible amount of liquid water and water vapour (produced at the boiling front)

(3) Given the low thickness and the significant porosity of the crust, the resistance to water
 vapour transfer in this zone is assumed negligible. The liquid water evaporated at the

position of the boiling front is thus assumed to be instantly evacuated from the product.

(4) Heat transfer in the crust is considered to occur in quasi-steady-state regime since the
energy consumed by the crust to raise its temperature (sensible energy), is usually very
small compared to the energy consumed by water evaporation at the position of the
boiling front (Farid, 2002). According to this assumption, the temperature profile within
the crust is assumed linear. The heat flux through it is calculated using a global thermal
resistance adding the resistance induced by thermal conduction within the crust and the
thermal contact resistance

# (5) Temperature at the boiling front is assumed equal to 100°C which also means that the sudden vaporization of water by boiling mechanism occurs at atmospheric pressure within the product

(6) Noticing by simple visual observation that the product does not suffer major volume
 changes during heating, shrinkages of the porous solid network constituting the crust
 and of the rest of the moist product are assumed negligible

252

*3.2. Stage 1: initial heating* 

*3.2.1. Governing equations* 

During initial heating, heat and water transfers in the moist region of the product are describedusing the following conservation equations (Eq. 2).

$$\begin{cases} \rho_{dm} \frac{\partial X_w}{\partial t} + \vec{\nabla} . \overrightarrow{\dot{m}_w} = 0\\ \rho_{dm} \frac{\partial \hat{H}}{\partial t} + \vec{\nabla} . \vec{\dot{H}} = 0 \end{cases}$$
(2)

As water is considered to move only under the effect of concentration gradients, the mass fluxof water is written according to Fick's law:

$$\overrightarrow{\dot{m}_w} = -\rho_{dm} D_w \vec{\nabla} X_w \tag{3}$$

264 Concerning heat transfer,  $\hat{H}$  refers to the total enthalpy by unit-mass of dry matter and is 265 calculated according to:

$$\widehat{H} = Cp_{dm}(T - T_{ref}) + X_w Cp_w(T - T_{ref})$$
(4)

The enthalpy flux density appearing in Eq. 2 is the sum of the conduction flux (calculated according to Fourier's law) and of the enthalpy flux associated with the flux of liquid water inside the product:

$$\vec{H} = -\lambda_{mo}\vec{\nabla}T + Cp_w(T - T_{ref})\vec{m}_w$$
(5)

273 *3.2.2. Boundary condition at the upper and lateral surface* 

At the upper ( $z = z_{max}$ ) and lateral surfaces ( $r = R_{max}$ ) of the product, the product is in contact with ambient air and some convective drying occurs described using:

276

$$\overrightarrow{m_w}. \overrightarrow{n} = k \frac{M_w}{R_g} \left( \frac{a_w(X_w, T)P_{vsat}(T)}{T} - \frac{p_{v,air}}{T_{air}} \right) \text{ at } z = z_{max} \text{ or at } r = R_{max}$$
(6)

277

where  $\vec{n}$  is the unit vector normal to the surface of concern. For these boundaries, the enthalpy flux in the product is expressed as the sum of the convective and radiative flux exchanged with the surrounding minus the energy consumed by the evaporation of liquid water reaching the surface of the product:

282

$$-\lambda_{mo}\vec{\nabla}T.\vec{n} = h(T - T_{air}) + \varepsilon\sigma\left(T^4 - T_{rad}^4\right) + L_v(T)\,\dot{m}_w \text{ at } z = z_{max} \text{ or at } r = R_{max}$$
(7)

283

284 where  $L_{\nu}(T)$  is the specific latent heat of vaporisation of water and h is the convective heat 285 transfer coefficient. The values of h were calculated using the Nusselt correlations for free 286 convection in flat and cylindrical geometries at the upper and lateral surfaces respectively 287 (Incropera et al., 2007). The relations used to calculate these coefficients are given in the 288 appendix section. The obtained values are very low at the beginning of heating because of the 289 small difference between the product temperature and the air temperature and increase up to around 10 W.m<sup>-2</sup>.K<sup>-1</sup> at the end of heating when the upper and lateral surfaces reach an average 290 291 value of around 40°C.

292

#### *3.2.3. Boundary condition at the lower surface*

294 During this short stage and due to the roughness of the product lower surface, the convective 295 drying of this surface within the small gas gaps located between it and the heating surface is assumed to have a negligible effect on product overall moisture loss. At the same interface, the
heat flux transferred to the product by the heating surface is given by the following equation.

$$-\lambda_{mo}\vec{\nabla}T.\vec{n} = h_{ct}(T_{hs}(t) - T) \text{ at } z = 0$$
(8)

299

300 where  $T_{hs}$  is the temperature of the heating surface obtained by a linear interpolation of the 301 discrete measurement of the aluminium disk temperature.

302

303 *3.3. Stage 2: Moving of a boiling front through the product* 

304

305 Governing equations in the moist region and boundary conditions at the upper and lateral 306 surface are identical for both stages. The heat transfer being assumed to occur in steady state 307 regime in the crust and the water vapour having been assumed to be transported in the crust 308 with no resistance (as discussed in § 3.1), no boundary condition is required for the crust layer 309 formed below the product. Considering the definition of the boiling front, the boundary 310 condition for heat transfer at this location is:

311

$$T = T_{boil} = 100^{\circ}C \text{ at } z = e_{cr}(t) \tag{9}$$

312

The water diffusion from the moist region to the boiling front is neglected in comparison with the local evaporation rate due to boiling. The liquid water of the crust being assumed equal to zero, the advance of the boiling front is hence used to calculate the instantaneous water loss at this location. This is expressed according to:

$$\dot{m}_{vap} = \rho_{dm} X_w \frac{\partial e_{cr}}{\partial t} \tag{10}$$

The boundary condition for the heat balance is used to calculate the local velocity of the boiling front within the product. This local velocity is assumed to be only on the z-axis but depends on the radial position. It is calculated according to:

322

$$\rho_{dm}X_{w}\frac{\partial e_{cr}}{\partial t}L_{v} = \left(\overrightarrow{q}_{cr} - \lambda_{mo}\overrightarrow{\nabla}T\right).\overrightarrow{n}$$
(11)

323

where  $-\lambda_{mo}\vec{\nabla}T$  is the conductive heat flux in the moist region at this location and  $\vec{q}_{cr}$  is the conductive heat flux through the crust. This heat flux is calculated by using an equivalent thermal resistance  $R_{th,eq}$  globalising the thermal contact resistance  $R_{th,ct}$  between the product and the heating surface and the crust thermal resistance  $R_{th,cr}$  as written in Eqs. 14 and 15.

$$\overrightarrow{\dot{q}_{cr}} \cdot \overrightarrow{n} = \frac{1}{R_{th,eq}} (T_{hs}(t) - T_{boil})$$
(12)

with 
$$R_{th,eq} = R_{th,ct} + R_{th,cr}$$
 (13)

$$R_{th,ct} = \frac{1}{h_{ct}} \text{ and } R_{th,cr} = \frac{e_{cr}}{\lambda_{cr}}$$
 (14) and (15)

329

330 *3.4. Model solving* 

331

The model equations were solved using COMSOL Multiphysics v 5.3 software COMSOL AN, Stockholm, Sweden) in a 2D-axisymetric geometry for the domain occupied by the moist region of the product. The mesh was deformed following the moving boundary (boiling front). The mesh size sensitivity was investigated by solving the model after having meshed the domain with 4268 and 16 946 free triangular elements. A difference of less than 0.2°C was obtained for the temperatures calculated after 15 min of heating at the positions of the thermocouplesplaced in the potato sample.

The values of parameters and the mathematical relations used in the model are listed in the appendix section. This information was either gathered from the literature or obtained from experimental measurements. Only the contact heat transfer coefficient was considered as an unknown parameter. Its value was assumed different for contact heating with and without oil in order to take into account the anticipated impact of the oil layer on contact heat transfer between the product and the heating plate. These two values were estimated following the calibration procedure described in the next section.

346

#### 347 *3.5. Calibration and validation procedure*

348

349 The model was calibrated and validated using the product temperatures and the water loss350 measurements.

The two values of the contact heat transfer coefficient were estimated from the experiments performed at a heating power of 3.2 kW.m<sup>-2</sup> with and without oil respectively and so achieve the model calibration. The estimation was performed using the least square method which consists of finding the parameter value that minimizes the quadratic error between simulated and experimental results. The Levenberg-Marquadt non-linear regression algorithm (within the Matlab<sup>©</sup> environment), suited for non-linear estimation problems, was used to achieve the minimization and calculate the non-linear confidence intervals.

The model was validated using the experimental data obtained at the other heating powers (6.4 kW.m<sup>-2</sup> and 9.6 kW.m<sup>-2</sup>) to evaluate its ability to predict results in different operating conditions. The validation was performed by comparing graphically the experimental and simulation results. An index of the fitness quality was calculated for each operating condition

in order to support the graphical comparison. The chosen index is the mean absolute error
(MAE) of the model because it gives a good representation of the difference observed between
experimental and simulation results. This was calculated separately for water loss and food
product temperatures according to Eq. 16.

366

$$MAE = \frac{1}{N-1} \sum_{1}^{N} |\hat{Y}_{i} - Y_{i}|$$
(16)

367

368 Where  $Y_i$  is the experimental value,  $\hat{Y}_i$  is the prediction value and N is the number of data.

369

370 4. Results and discussion
371
372 4.1. Experimental results

373

The objective of this section is to evaluate the impact of the heating power and of the presence or not of the oil layer on heat and mass transfer in the product during heating. The experimental results are presented in Fig. 3 where mean values and standard deviations are plotted with a sampling interval of 15 s and 120 s respectively.

378

The heating surface temperature variations obtained for all the operating conditions are presented in Fig. 3a. The heating power has a major influence on the kinetic of the heating surface temperature. At the beginning of the experiment, the heat transferred to the product is higher than the one supplied by the heating resistance leading to an initial decrease of the heating surface temperature for any heating power tested. For the lowest heating power, this temperature reaches a nearly constant value of *circa* 145°C after 5 min. For the two higher heating powers of 6.4 kW.m<sup>-2</sup> and 9.6 kW.m<sup>-2</sup>, the temperature of the heating surface rises continuously after its initial decrease to reach a value of about 200°C and 245°C after 10 min
of heating.

The presence or not of an oil layer below the product has a significant impact on the initial temperature drop. This drop is about 8°C without oil and about 13°C with oil for a heating power of 6.4 kW.m<sup>-2</sup>. Later in the heating, the presence of an oil layer is less influential than the modification of the heating power. Since the oil layer is expected to enhance heat transfer to the product, the heating surface temperature is constantly lower for experiments with oil than for the ones without oil. At 600 s, the difference goes from about 5°C at 3.2 kW.m<sup>-2</sup> to 10°C at 9.6 kW.m<sup>-2</sup>.

395

396 Fig. 3b shows the water losses measured for all the operating conditions. These are significantly higher for experiments at 6.4 kW.m<sup>-2</sup> than for those at 3.2 kW.m<sup>-2</sup>. At 600 s, the difference is 397 398 about 0.8 g for experiments with oil and about 0.5 g for experiments without oil. This result is 399 in line with our expectations since the increase in water losses obtained when increasing the 400 heating power has already been reported in several studies concerning contact heating of food 401 product (Feyissa et al., 2011; Cernela et al, 2015; Rocca et al., 2019). In contrast, water losses measured at the highest heating power (9.6 kW.m<sup>-2</sup>) do not behave as expected. At 600 s, the 402 403 water loss is almost the same with and without oil and lower than the one with oil at 6.4 kW.m<sup>-</sup> 404  $^{2}$ . At this level of heating power, water evaporation seems to be limited by the formation of an 405 over-heated and over-dried material crust at the lower surface of the product opposing, for this 406 operating condition only, an efficient resistance to overall mass transfer. This phenomenon will 407 be more quantitatively discussed from the analysis of the results calculated by the model.

408 The influence of the presence of oil on water loss is significant for the two smallest heating 409 powers. At 600 s, the difference between experiments with and without oil is about 0.3 g at 3.2 410 kW.m<sup>-2</sup> and 0.6 g at 6.4 kW.m<sup>-2</sup>. This agrees with the observation made on heating surface 411 temperature. As expected, the presence of the oil layer increases the level of heat transferred to

412 the product, which implies a higher evaporation rate of the water in the product.

413

414 The measurements obtained from the three thermocouples located at 3, 6.5 mm and 11 mm 415 from the product's lower surface are plotted in Fig. 3c for experiments with oil and in Fig. 3d 416 for experiments without oil. The heating power seems to have little influence on the temperature 417 measured in the product even for the lower thermocouple. At the end of heating, the largest 418 difference observed for the three heating powers tested is only *circa* 5°C for all considered 419 locations. The sensible heat (related to product temperature changes) accumulated by the 420 product is thus relatively the same independently the power supplied. This result has also been 421 reported by Feyissa et al. (2011) for temperatures measured away from the contact interface 422 only. When the thermocouple is located close enough to the contact interface, the effect of the 423 heating power is very marked as soon as the temperature exceeds the boiling point of water 424 (around 100 ° C in the product). Elsewhere, the temperatures increase similarly to those shown 425 here since they tend asymptotically towards 100°C. This suggests hence that a large part of the 426 heat received by the product is not used to raise its sensible energy but consumed by the 427 evaporation of the water contained in it as mentioned by Feyissa et al. (2011). This is also in 428 line with the hypothesis of a boiling front at 100°C moving from the lower surface to the inner 429 parts of the product.

The temperatures measured in the product are also affected to a small extent by the presence or not of an oil layer. The largest observed difference between the curves is about 4°C whatever the location of measurement considered. As for the heating power, the additional heat received when heating the product with oil seems largely consumed by the vaporisation of water within the product.

438 Following the calibration procedure described in § 3.5, the food product temperatures and the water loss measured at 3.2 kW.m<sup>-2</sup> were used to estimate both values of the contact heat transfer 439 coefficient. The results of the estimation, presented as nominal value  $\pm$  confidence interval of 440 the parameter, are:  $197.5 + 5.8 \text{ W.m}^{-2}$ .K<sup>-1</sup> for contact heating without oil and 512.2 + 12.4441 W.m<sup>-2</sup>.K<sup>-1</sup> for contact heating with oil. As a remark, the confidence interval obtained for contact 442 443 heating with oil is higher than the one without oil. This greater uncertainty can be explained by 444 the higher value of the Biot number (6.4 with oil compared to 2.5 without oil at the beginning 445 of heating) which indicates that, in this case, the contact heat transfer coefficient has less 446 influence on heat transfer in the product and therefore on the simulation results.

447 From a literature perspective, the estimated values are in the range of contact heat transfer coefficients found in the field of contact heating of food products (from ~ 100 W.m<sup>-2</sup>.K<sup>-1</sup> to 448 more than 1000 W.m<sup>-2</sup>.K<sup>-1</sup>). The value obtained for contact heating without oil is similar to 449 450 those measured by Housova and Topinka (198), Wichchukit et al. (2001) and Pan and Singh 451 (2002) for meat grilling at the early stage of the process. During this stage, water and fat are 452 still in the product and the gaps between the product and the heating surface are filled with air. 453 During heating, the meat product releases water and fat. The contact heat transfer coefficient 454 measured by these authors thus increase sharply to reach values comparable to the one 455 estimated for contact heating with oil. The results obtained are in line with the observations 456 made by these authors. These make it possible to quantify in term of order of magnitude the 457 impact of a fat layer on contact heat transfer between a food product and a heating plate.

458

459

The model was solved for each operating condition using the above estimated values of the contact heat transfer coefficient. As explained in § 3.5, experimental measurements and simulated values of food product temperatures and water loss were plotted in order to evaluate the quality of the model predictions (Figs. 4-6). Index of fitness quality were also calculated to support the graphical comparisons.

468

For the operating conditions used to calibrate the model (3.2 kW.m<sup>-2</sup> with and without oil), 469 470 calculated and measured values are shown in Fig. 4. The model predictions are in good 471 agreement with the experimental measurements. The mean absolute errors calculated for water 472 loss are 0.1 g with oil and 0.2 g without oil while the mean absolute errors for food product 473 temperatures are 3.3°C with oil and 2.4°C without oil. Only the temperature calculated at the 474 upper position in the product slightly deviates from the measured data. Because of its location, 475 this temperature measurement is significantly influenced by convection heat transfer occurring 476 between the upper and lateral surfaces of the product and the surrounding air. The deviation 477 observed is thus partly the consequence of the approximations made when calculating the heat 478 and mass transfer coefficients for these surfaces. An experimental characterisation of these 479 coefficients in the conditions of the present experiments could probably help to decrease this 480 deviation.

481

482 Results for the operating conditions at 6.4 kW.m<sup>-2</sup> and 9.6 kW.m<sup>-2</sup> (with and without oil) are 483 shown in Fig. 5 and Fig. 6 respectively. Although the model was not calibrated using these 484 operating conditions, the model predictions are also satisfactory. The mean absolute errors for 485 the food product temperatures are in the same order of magnitude as for the operating conditions

at 3.2 kW.m<sup>-2</sup>. They are equal to  $3.3^{\circ}C$  with oil and  $2.5^{\circ}C$  without oil at 486 6.4 kW.m<sup>-2</sup> and are equal to 3.7°C with oil and 2.3°C without oil at 9.6 kW.m<sup>-2</sup>. In contrast, the 487 488 mean absolute errors for water loss are higher as the heating power increases. At 6.4 kW.m<sup>-2</sup>, the values are 0.2 g with oil and without oil. At 9.6 kW.m<sup>-2</sup>, they are 0.4 g with oil 489 490 and without oil. The model loses in prediction quality mainly at 9.6 kW.m<sup>-2</sup> (Fig. 6b) where the 491 water loss is sensibly overestimated by the model, especially when the experimental values of 492 product water loss levels off between 50 s and 200 s. At this power level, the drastic temperature 493 rise at the lower surface of the product seems to have resulted in the formation of an over-heated 494 crust limiting significantly the evacuation of water produced within the product at this location. 495 This point having not yet been included in the model, the introduction of a mass transfer 496 resistance corresponding to the role played by the crust in overall mass transfer could increase 497 the accuracy of the present model. However, at this point of investigation and given the limits 498 of the experimental data available, considering this phenomenon remains a tricky problem.

499

500 4.4. Use of the model for a better understanding of limiting phenomena during contact heating501

502 Model validation shows the relevance of using a moving front approach to describe heat and 503 mass transfer in the product during contact heating. The main interest of this approach is to take 504 into account the boiling phenomenon occurring in the zone of the product close to the heating 505 surface with few computational effort and a reduced number of parameters to be estimated 506 compared to the distributed evaporation approach used by Dhall and Datta (2011) for example. 507 To illustrate the simulation results obtained, Fig. 7 shows the temperature and the water content distribution calculated by the model at 900 s for the operating condition at 3.2 kW.m<sup>-2</sup> with oil. 508 509 From the observation of these results, two main features and characteristics of contact heating 510 applied to potato can be deduced.

512 Firstly, a large part of the heating power supplied to the product is consumed by water 513 evaporation within the product (at the location of the boiling front in the model developed here). 514 As proof of that, Tab. 1 compares, for each operating condition, the amount of energy transferred to the product and converted into sensible heat  $E_{sens}$  or consumed by the 515 516 evaporation of water  $E_{vapo}$ . Both energies were evaluated by calculations made after 600 s of heating. Except for the heating power of 3.2 kW.m<sup>-2</sup> without oil,  $E_{sens}$  is always lower than 517  $E_{vapo}$ . For the high heating power (9.6 kW.m<sup>-2</sup>),  $E_{sens}$  is half of  $E_{vapo}$ . These results are in 518 519 lines with the observations made by Feyissa et al. (2011) for pancake frying and Rocca et al. 520 (2019) for meat grilling. In both studies, at the end of the heating process, the heat consumed 521 by evaporation of the water inside the product is also much higher than the heat used to rise its 522 sensible energy. The result obtained on the potato, added to the ones already published on other 523 products, suggest that this aspect is characteristic of the contact heating process.

It can also be noted that  $E_{sens}$  is very slightly influenced by the operating conditions unlike  $E_{vapo}$ . This agrees with the fact that both the presence of an oil layer and the variations of the heating power have a little impact on temperature rise kinetics in the moist region of the product and a much greater impact on the amount of water evaporated during heating.

528

Secondly, the boiling front is also responsible for the development of a dry and thermallyinsulating crust. At 600 s, the crust thickness calculated by the model is 0.50 mm, 1.1 mm, 1.5 mm for experiments without oil at  $3.2 \text{ kW.m}^{-2}$ ,  $6.4 \text{ kW.m}^{-2}$  and  $9.6 \text{ kW.m}^{-2}$  respectively and 0.72 mm, 1.3 mm, 1.7 mm for experiments with oil at  $3.2 \text{ kW.m}^{-2}$ ,  $6.4 \text{ kW.m}^{-2}$ ,  $6.4 \text{ kW.m}^{-2}$  and  $9.6 \text{ kW.m}^{-2}$ respectively. Because of its low thermal conductivity (0.1 W.m<sup>-1</sup>.K<sup>-1</sup>), the formed crust acts as a thermal resistance ( $R_{th,cr}$  in Eq. 15) between the heating surface and the moist region of the product. This thermal resistance is added to the thermal contact resistance ( $R_{th,ct}$  in Eq. 14) after the formation of the crust. The values of these two thermal resistances during heating have been plotted in order to evaluate their influence on overall heat transfer to the product for each operating condition. Results are shown in Fig. 8. Since the thermal resistance of the crust depends on its thickness,  $R_{th,cr}$  increases during heating. For all operating conditions, two phases of heating can then be considered. A first phase where the contact heat transfer resistance  $R_{th,ct}$  is predominant and a second phase when it is quite substantially exceeded by the crust thermal resistance  $R_{th,cr}$ .

With oil,  $R_{th,cr}$  exceeds  $R_{th,ct}$  after only 30 seconds of heating. After 600 s of heating, this resistance is from 4 or 9 times higher than  $R_{th,ct}$ . In these conditions, the influence of the contact heat transfer is nearly negligible compared with the one induced by the crust. In these conditions only, the model could potentially be simplified by assuming that  $R_{th,ct}$  is zero which is equivalent to consider that the physical contact is ideal between the heating surface and the product.

Without oil, the  $R_{th,cr}$  becomes higher than  $R_{th,ct}$  after heating times ranging from 200 s to 600 s and the difference between the two values is less significant than for the experiments with oil at the end of heating (from 1.5 to 3 times higher). In these conditions, the contact heat transfer still has a significant influence on the overall heat transfer to the product. Both contact heat transfer and heat conduction into the crust need then to be considered in the model to obtain correct predictions of temperature rise and water loss.

555

#### 556 **5. Conclusion**

557

A 2D mathematical model using a moving boiling-front approach was developed in this study to describe heat and mass transfer during contact heating of potato slices. This model is relatively simple to implement and requires only one parameter to be estimated (the contact heat transfer coefficient). It was validated by showing satisfying agreements between simulation results and experimental data, in view of the simplifying assumptions of the model. The simulations results highlight the expected strong impact of the presence of the oil layer between the product and the heating surface. They also attest the fact that the overall heating of the product is mainly limited: (i) by the evaporation of liquid water at the location of the boiling front and (ii) by the development of a dried zone in the lower part of the heated product acting as a thermal insulating layer.

568 However, there are still some doubts concerning the assumption of a negligible resistance to 569 water vapour transfer in the crust formed under the product during heating. At high heating 570 power, the crust seems indeed to limit water transfer at the beginning of the treatment more 571 than at lower heating powers, which leads to an overestimation of water losses under these 572 conditions. This still limits the predictive abilities of the model and would be the subject of 573 further studies. In particular, visible optical microscopy is planned to characterise the porous 574 structure of the crust and better understand its influence on vapour transfer properties such as 575 permeability.

576

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578

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Parameter	Definition	Value	Source
C p <sub>wG</sub>	Specific heat of water vapour	2.03 kJ. kg <sup>-1</sup> . K <sup>-1</sup>	
$Cp_{wL}$	Specific heat of liquid water	4.18 kJ. kg <sup>-1</sup> . K <sup>-1</sup>	
$Cp_{dm}$	Specific heat of dry matter	1.8 kJ. kg <sup>-1</sup> . K <sup>-1</sup>	Wang & Brennan (1993)
$D_w$	Effective water diffusivity	$1.10^{-9} \text{m}^2 \cdot \text{s}^{-1}$	Ronald et al. (1992)
$L_v(T_{ref})$	Latent heat of vaporization at reference temperature	$2.454.10^{6}$ J. kg <sup>-1</sup>	
M <sub>w</sub>	Molar mass of water	1.8.10 <sup>-2</sup> kg. mol <sup>-1</sup>	
P <sub>w,air</sub>	Partial pressure of water in air	1170 Pa	
$R_g$	Gas constant	8.314 J. mol <sup>-1</sup> . K <sup>-1</sup>	
T <sub>0</sub>	Product initial temperature	20°C, assumed uniform	Measured
T <sub>air,lateral</sub>	Air temperature at the lateral surface of the product	50°C	Measured at 10 mm from the lateral surface
T <sub>air,upper</sub>	Air temperature at the upper surface of the product	30°C	Measured at 10 mm from the upper surface
T <sub>rad</sub>	Equivalent temperature of radiation	20°C	Room temperature (measured)
Tref	Reference temperature	20°C	Room temperature (measured)
X <sub>0</sub>	Product initial moisture content (dry basis)	3.65, assumed uniform	Golmohammadi and Afkari-Sayyah (2013) for Caesar potatoes
З	Product emissivity	0.9	Assumed
$\lambda_{mo}$	Thermal conductivity of the moist region	$0.6 \text{ W}.\text{m}^{-1}.\text{K}^{-1}$	Gratzek & Toledo (1993)
$\lambda_{cr}$	Thermal conductivity of the crust	$0.1 \text{ W}.\text{m}^{-1}.\text{K}^{-1}$	Califano & Calvelo (1993)
$ ho_{dm}$	Density of dry matter in the product	223 kg. $m_{prod}^{-3}$	Golmohammadi & Afkari-Sayyah (2013)
$ ho_w$	Density of water in the product	837 kg. m <sup>-3</sup> <sub>prod</sub>	Golmohammadi & Afkari-Sayyah (2013)
σ	Stephan-Boltzmann constant	$5.67.10^{-8}$ W. m <sup>-2</sup> . K <sup>-4</sup>	

## 580 Appendix: values of parameters and mathematical relations used in the model

Relation	Definition	Equation	Source
$a_w$	Water activity	$a_w = 1 - e^{-0.075TX_w^{1.44}}$	
h <sub>upper</sub>	Convective heat transfer coefficient at the upper surface of the product	$h_{upper} = 4.19. \left  T - T_{air,upper} \right ^{0.25}$	Empirical correlation (Incorpera et al., 2007)
h <sub>lateral</sub>	Convective heat transfer coefficient at the lateral surface of the product	$h_{lateral} = 2.96. \left  T - T_{air,lateral} \right ^{0.25}$	Empirical correlation (Incorpera et al., 2007)
k <sub>upper</sub>	External mass transfer coefficient at the upper surface of the product	$k_{upper} = \frac{h_{upper}}{\rho_{air} C \rho_{air} L e^{2/3}}$	Chilton-Colburn analogy
k <sub>lateral</sub>	External mass transfer coefficient at the lateral surface of the product	$k_{lateral} = \frac{h_{lateral}}{\rho_{air} C \rho_{air} L e^{2/3}}$	Chilton-Colburn analogy
$L_v$	Specific Latent heat of vaporization	$L_v = L_v(T_{ref}) + (Cp_{wG} - Cp_{wL})(T - T_{ref})$	
P <sub>v,sat</sub>	Saturated vapour pressure	$P_{v,sat} = 101325 (17.443 - \frac{2795}{T} - 3.868.Log_{10}(T))$	Dupré-Bertrand law

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**Figure captions** 663 664 Fig 1. Laboratory contact heating device. 665 666 Fig 2. Schematic representation of the two phases of the model. (a) Stage 1. (b) Stage 2. 667 668 669 Fig 3. Experimental data as a function of heating time for six operating conditions. Mean values 670 (symbols) and standard deviation (error bars) are shown. (a) Heating surface temperature. (b) 671 Water loss. (c) Food product temperature at three locations for experiments with oil. (d) Food 672 product temperature at three locations for experiments without oil. 673 674 Fig 4. Comparison between experimental (exp) and simulated (sim) data for a contact heating operation performed at 3.2 kW.m<sup>-2</sup>, with oil and without oil. (a) Water loss. (b) Food product 675 676 temperature at three locations. 677 678 Fig 5. Comparison between experimental (exp) and simulated (sim) data for a contact heating operation performed at 6.4 kW.m<sup>-2</sup>, with oil and without oil. (a) Water loss. (b) Food product 679 680 temperature at three locations. 681 682 Fig 6. Comparison between experimental (exp) and simulated (sim) data for a contact heating operation performed at 9.6 kW.m<sup>-2</sup>, with oil and without oil. (a) Water loss. (b) Food product 683 684 temperature at three locations. 685 686 Fig 7. Temperature distribution (a) and water content distribution (b) in the product calculated by the model for heating at 3.2 kW.m<sup>-2</sup>, with oil. 687

- **Fig 8.** Thermal contact resistance and thermal resistance of the crust as a function of heating
- 690 time. (a) Operating conditions with oil. (b) Operating conditions without oil.

**Figure 1** 









d)















3.5

3

2.5

2

1.5

0.5

n









**Table 1.** Comparison between sensible heat and latent heat consumed in the product calculated

730	by the model	for each	operating	condition	at 600 s	of heating.
			1 0			0

## 

	With oil		Without oil			
	3.2 kW.m <sup>-2</sup>	6.4 kW.m <sup>-2</sup>	9.6 kW.m <sup>-2</sup>	3.2 kW.m <sup>-2</sup>	6.4 kW.m <sup>-2</sup>	9.6 kW.m <sup>-2</sup>
E <sub>sens</sub>	3808 J	3727 J	3662 J	3777 J	3711 J	3658 J
$E_{vapo}$	4080 J	5763 J	6978 J	3331 J	5006 J	6210 J