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1        **Wastewater treatment using oxygenic photogranule-based**  
2        **process has lower environmental impact than conventional**  
3        **activated sludge process**

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17        **Abstract:**

18        The Life Cycle Assessment (LCA) methodology was applied to assess the  
19        environmental feasibility of a novel wastewater treatment technology based on oxygenic  
20        photogranules (OPG) biomass in comparison to a conventional activated sludge (CAS)  
21        system. LCA using laboratory scale experimental data allowed for eco-design of the  
22        process during the early stage of process development at laboratory scale. Electricity  
23        consumption related to artificial lighting, the fate of the generated biomass (renewable  
24        energy and replacement of mineral fertilizer), and the nitrogen flows in the OPG system

25 were identified as major contributors to the potential environmental impact of the OPG  
26 treatment system. These factors require optimization in order to reduce the  
27 environmental impact of the overall OPG system. Nonetheless, the environmental  
28 impact of a non-optimized OPG scenario was generally lower than for a CAS reference  
29 system. With an optimization of the artificial lighting system, an energy neutral  
30 treatment system may be within reach.

31

32 **Key words:** oxygenic photogranules, life cycle assessment, cyanobacteria, anaerobic  
33 digestion, granular biomass

## 34 **1 Introduction**

35 Recently, the development of oxygenic photogranules (OPG) from activated sludge has  
36 been reported (e.g. Milferstedt et al., 2017b; Quijano et al., 2017). OPG are roughly  
37 spherical biological aggregates with a diameter of up to 5 mm (Abouhend et al., 2018).  
38 In OPG, the phototrophic biomass produces oxygen through photosynthesis and uses  
39 carbon dioxide for growth. Conversely, the heterotrophic biomass produces carbon  
40 dioxide and consumes the produced oxygen. The close vicinity of phototrophs and  
41 heterotrophs engaged in this syntrophy makes mass transfer more efficient than between  
42 dispersed cells found in high rate algal pond (Judd et al., 2015). It has been shown that  
43 OPG can perform simultaneous oxidation of organic carbon and nitrogen removal  
44 (Abouhend et al., 2018; Arcila & Buitrón, 2017; Arcila & Buitrón, 2016). A novel  
45 OPG-based wastewater treatment technology was proposed, considering carbon and  
46 nitrogen removal by OPG coupled to anaerobic digestion of harvested biomass for the  
47 production of renewable energy (Milferstedt et al., 2017b; Park & Dolan, 2015).  
48 Internally produced oxygen by OPG entirely replaces the energy intensive external  
49 supply of oxygen for wastewater treatment. External aeration in conventional

50 wastewater treatment accounts for 45-75% of the overall electric energy consumption of  
51 the wastewater treatment plant (WWTP; or water resource recovery facility) (Longo et  
52 al., 2016). The overall biomass yield (per gram of removed organic carbon) of OPG  
53 treating wastewater is up to three times higher than for activated sludge (Abouhend et  
54 al., 2018). The biological methane potential (BMP) of the produced OPG is about 15-  
55 20% higher compared to activated sludge (Park et al., 2015), which is in line with other  
56 works on digestion of an algal-sludge biomass (Shoener et al., 2014; Ward et al., 2014).  
57 The differences in aeration requirements, sludge production and BMP, compared to  
58 activated sludge systems, may result in significant net energy savings when using the  
59 OPG process. However, a closer look at environmental performances of this innovative  
60 process combining OPG production and anaerobic digestion has not yet been done and  
61 therefore is required.

62 **The aim of the present study was to evaluate** the environmental performance of a  
63 putative OPG process compared to conventional technology. For this, Life Cycle  
64 Assessment (LCA) **will be used**, a standardized methodology (ISO 14040, 2006; ISO  
65 14044, 2006) to assess potential environmental impacts considering the entire life cycle  
66 of products, processes or services based on the function they fulfill, in this case the  
67 treatment of municipal wastewater. A product, process or service is modeled along its  
68 life cycle (from raw material extraction to its end of life). Its impact is assessed with  
69 regard to different impact categories (e.g., global warming, ozone depletion,  
70 eutrophication, and acidification). LCA has been applied in the field of wastewater  
71 treatment to assess the environmental impact of both conventional activated sludge  
72 (CAS) wastewater treatment systems (e.g. Foley et al., 2010; Hospido et al., 2008), and  
73 advanced wastewater treatment technologies (e.g. advanced oxidation (Muñoz et al.,  
74 2006) and high rate algal ponds (Colzi Lopes et al., 2018)). While the use of LCA for  
75 assessing the environmental impact of existing wastewater treatment technologies

76 increases, its use for the eco-design of emerging treatment processes under development  
77 is rare.

78 The present study aimed at evaluating the environmental performances of the novel  
79 OPG treatment system using LCA in order to determine whether the OPG system is a  
80 feasible technology from an environmental perspective in comparison to a CAS system.  
81 The study was based on a hypothetical model of an average, midsize treatment plant and  
82 data derived from laboratory scale process operation, having in mind that many  
83 technological problems are still unsolved before considering industrialization. The goal  
84 of the assessment at this early stage was to identify potential bottlenecks and critical  
85 parameters with high environmental impact. Future research can then target these areas  
86 to improve within an eco-design approach the process design prior to detailed process  
87 engineering studies. **In particular, it was** considered how far artificial lighting can be  
88 used for the OPG system without substantially deteriorating the environmental  
89 performance.

## 90 **2 Materials and methods**

### 91 **2.1 LCA - Goal and scope**

92 The goal of the LCA was to evaluate the environmental feasibility of the OPG system  
93 with regard to a reference CAS system and to assess the impact of different operating  
94 scenarios of the OPG system during early process development allowing the  
95 identification of design considerations with highest environmental impact. Those will  
96 then be targeted in future research to eco-design an up-scaled system. The system under  
97 study included in addition to the operation phase, the construction of the infrastructure  
98 and nutrient recycling from the sludge by agricultural use. Sludge utilization **is**  
99 **considered** an integral part of wastewater treatment and the basis of a water resource  
100 recovery facility.

101 The functional unit, based on which the processes are compared, is “the treatment of 1  
102 m<sup>3</sup> of urban wastewater in an average, midsize WWTP”. Environmental impacts were  
103 computed in SimaPro (version 9.0.0.35, PRÉ Consultants) using the Ecoinvent database  
104 version 3.5 (Wernet et al., 2016) and the Environmental Footprint life cycle impact  
105 assessment method (Fazio et al., 2018).

106 A challenge when assessing the impact of a novel process is the availability of process  
107 operation data. Here, the evaluation of the OPG system **was based** on experimental data  
108 from **the authors’** laboratory scale OPG experiments (Abouhend et al., 2018; Milferstedt  
109 et al., 2017b). These first experimental studies were mostly conducted to demonstrate a  
110 proof of concept and were not dedicated towards optimization and intensification of the  
111 process. A large margin of progress is to be expected in the future. Data **from the**  
112 **authors’ own experimental studies were complemented** with laboratory scale data from  
113 another research group working on municipal wastewater treatment using microalgae-  
114 bacteria aggregates (Arcila & Buitrón, 2017; Arcila & Buitrón, 2016) that resemble the  
115 OPGs used in **the authors’** works. Nonetheless, several assumptions were made, that **are**  
116 **laid** out in detail later, regarding reactor configuration, operating conditions, and  
117 treatment performance in a full-scale implementation as the process currently exists  
118 only at the laboratory scale. Given the low technical readiness level of the innovative  
119 OPG process, some variables remain unknown and need to be estimated from sparse  
120 data. The present work, therefore, displays LCA results depending on the estimated  
121 value of these variables, such as energy balance and nitrogen flow through the process.

## 122 **2.2 Life Cycle Inventory**

123 The life cycle inventories for both OPG and CAS systems were estimated for an  
124 hypothetical average midsize WWTP (10,000 to 50,000 people equivalent), which is  
125 equivalent to a WWTP of the Swiss capacity class 3, inventoried in the Ecoinvent 3.5  
126 database (Doka, 2009). A low loaded wastewater was considered here based on

127 experiments carried out using primary effluent from a US WWTP (Abouhend et al.,  
128 2018). Its raw wastewater characteristics are presented in Table 1.

129 Table 1

130 Figure 1

131 **Reference system: Conventional activated sludge system**

132 The reference scenario for this comparative LCA is a conventional midsize wastewater  
133 treatment plant as described in Ecoinvent (Doka, 2009) and presented in Figure 1 a. Grit  
134 removal is followed by primary settlers (not shown in the figure). Organic carbon and  
135 nitrogen are removed in an activated sludge process. Phosphorus is removed by  
136 precipitation using  $\text{Fe(III)Cl}_3$ . The sludge is separated from the treated water in  
137 secondary settlers. Primary and secondary sludge are treated together by anaerobic  
138 digestion. The digester supernatant is returned to the influent of the WWTP. Digested  
139 and dewatered sludge is assumed to be spread on land as organic fertilizer.

140 The Ecoinvent datasets for WWTPs of different sizes are based on average treatment  
141 efficiencies of Swiss WWTPs dating from 1993-2002 (Doka, 2009) and do not reflect  
142 current treatment efficiencies. Consequently, only infrastructure data for the sewer grid  
143 and the WWTP were used from the dataset for the midsize treatment plant. Mass  
144 balances for chemical oxygen demand (COD), nitrogen, phosphorus, total suspended  
145 solids (TSS), and volatile suspended solids (VSS) for the CAS plant were calculated  
146 following textbook mass balance equations for biological wastewater treatment (Henze  
147 et al. 2008). A sludge retention time (SRT) of 15 days, a mixed liquor suspended solids  
148 (MLSS) concentration of 4 g/L, and a design wastewater temperature of 10°C were  
149 considered.  $\text{N}_2\text{O}$  emissions from wastewater treatment were estimated using an  
150 emission factor of 0.03 g  $\text{N}_2\text{O-N/g N}_{\text{denitrified}}$  (Foley et al., 2010; Kampschreur et al.,  
151 2009). Treatment efficiencies for aerobic carbon oxidation, nitrification, and nitrogen

152 removal calculated from mass balance equations were 90%, 84% and 70%, respectively.

153 Phosphorus (P) not incorporated into biomass is precipitated, assuming that 2.5 g  
154 Fe(III)Cl<sub>3</sub>/g P<sub>removed</sub> are needed and that 4.9 g FePO<sub>4</sub>/g P<sub>removed</sub> are produced (Rittmann  
155 & McCarty, 2001).

156 Mass balances for COD, nitrogen, TSS, VSS, biogas and flow rates for the anaerobic  
157 digester were calculated using the steady state model for anaerobic digestion developed  
158 by Sötemann (2005), considering a hydraulic retention time of 10 days based on Ekama  
159 (2009). Kinetic parameters for anaerobic digestion of primary and secondary sludge  
160 were taken from Ekama (2009) and Sötemann (2005). BMPs of primary and secondary  
161 sludge vary widely (e.g. Mottet et al., 2010). For primary sludge, a methane production  
162 of 230 mL CH<sub>4</sub>/g VSS was assumed based on a hydrolysis rate of 2 g COD/L/d  
163 (Sötemann, 2005). This is in the range of methane productions of primary sludge  
164 reported by Elbeshbishy et al. (2012). For secondary sludge, a methane production of  
165 195 mL CH<sub>4</sub>/g VSS was assumed (Park et al., 2015). A lower methane production of  
166 secondary sludge compared to primary sludge is in accordance with literature (e.g.  
167 Mahdy et al., 2015). A biogas composition of 65% CH<sub>4</sub> and 35% CO<sub>2</sub> was considered.

168 All CH<sub>4</sub> was assumed to be oxidized to CO<sub>2</sub> during biogas combustion, producing 3  
169 kWh electricity per m<sup>3</sup> CH<sub>4</sub> (Nowak, 2003). The solids concentration the digested and  
170 dewatered sludge was assumed to be 200 kg TSS/m<sup>3</sup>.

171 A mean electricity consumption of the WWTP of 0.4 kWh/m<sup>3</sup> was considered based on  
172 average data for European WWTPs (Jonasson, 2007). Half of the overall electricity  
173 consumption was assumed to be spent for aeration (Longo et al., 2016). It was  
174 considered that electricity produced from biogas combustion is used onsite, covering a  
175 part of the needed electricity. For the remainder of needed electricity, an average  
176 European electricity mix was considered produced from fossil fuels (50%), nuclear



177 power (27%), hydropower (17%), renewable sources and others (6%) (Itten et al.,  
178 2014).

179 Digested and dewatered sludge was **assumed to be** applied to farmland as organic  
180 fertilizer, replacing ammonium nitrate and triple superphosphate application at  
181 equivalent nutrient rates. The long-term nitrogen mineral fertilizer equivalent (MFE) of  
182 the sludge, nitrogen field emissions from sludge spreading, and avoided field emissions  
183 from mineral fertilizers were calculated following Brockmann et al. (2018), considering  
184 a nitrogen content of 9.7 kg N per m<sup>3</sup> sludge (6.4% total ammonia nitrogen (TAN) and  
185 93.6% organic nitrogen (N<sub>org</sub>)) as calculated from the mass balance equations. For  
186 phosphorus, a MFE value of 0.95 was assumed. Phosphorus field emissions were  
187 estimated following Brockmann et al. (2014). Sludge application by broadcaster without  
188 incorporation and mean French soil and climate conditions were assumed. Tables 2 and  
189 3 summarize characteristics of the CAS system and calculated emissions from  
190 wastewater treatment and sludge spreading. Parameters and emission factors used for  
191 calculating field emissions were taken from Brockmann et al. (2014) and Brockmann et  
192 al. (2018).

### 193 **Differences in the oxygenic photogranule (OPG) system**

194 The only difference between the considered reference CAS and the OPG plant layouts is  
195 the basin for secondary treatment and the absence of secondary settlers (Figure 1 b). In  
196 the OPG system, secondary treatment took place in sequencing batch reactors (SBR).  
197 As settling of the OPG was carried out in the SBRs during a settling phase, secondary  
198 settlers were not needed. The model of the full-scale OPG system was based on data  
199 from the authors' laboratory scale OPG studies (Abouhend et al., 2018; Milferstedt et  
200 al., 2017b) complemented with data from laboratory scale experiments with microalgae-  
201 bacteria aggregates treating municipal wastewater (Arcila & Buitrón, 2017; Arcila &  
202 Buitrón, 2016). Based on **the authors'** laboratory scale OPG experiments, operation in

203 SBRs at a hydraulic retention time (HRT) of 0.5 days and a MLSS concentration of 4  
204 g/L was assumed. For these operating conditions, the overall SBR reactor volume  
205 needed is similar to that of the activated sludge reactor plus the secondary settlers.  
206 Therefore, the same environmental impact for the required infrastructure **was assumed**  
207 for both systems.

208 Sludge production was estimated based on a biomass yield of 0.7 g VSS/g COD<sub>consumed</sub>  
209 (1.26 g COD/g COD<sub>consumed</sub>) and a COD/VSS ratio of the OPG of 1.8 g COD/g VSS  
210 (Abouhend et al., 2018). An average organic carbon removal efficiency of 85% was  
211 considered (Abouhend et al., 2018; Arcila & Buitrón, 2016), assuming that 50% of the  
212 removed organic carbon was incorporated into the heterotrophic part of OPG biomass.  
213 The remaining 50% of the removed organic carbon were assumed to be oxidized and  
214 then available for phototrophic uptake. An average ammonia transformation efficiency  
215 of 90% was considered (Abouhend et al., 2018), including nitrogen incorporation into  
216 biomass and nitrification. Nitrogen incorporation into biomass was estimated using a  
217 nitrogen content of the OPG of 10% (as for CAS), resulting in 54% of the organic and  
218 ammonia nitrogen being incorporated into OPG (vs. 22% calculated for CAS). The  
219 remaining unaccounted transformed ammonia was assumed to be nitrified. Based on  
220 this assumption, 33% of the NH<sub>4</sub>-N transformed was converted to NO<sub>3</sub>-N, which was  
221 below an observed NO<sub>3</sub>-N production rate of 50% (Abouhend et al., 2018; Arcila &  
222 Buitrón, 2016), but of the same order of magnitude as reported by Arcila and Buitrón  
223 (2017). Denitrification by OPG has, so far, not been reported, but the presence of genes  
224 (Stauch-White et al., 2017) and of 16S rRNA bacterial sequences (Milferstedt et al.,  
225 2017b) associated with denitrification was revealed. It was assumed that the OPG  
226 system includes a denitrification step with a denitrification efficiency similar to the  
227 reference system (80%). Overall, a total nitrogen removal efficiency of 71% was  
228 obtained based on mass balances, which was equivalent to the CAS system. A methane

229 production of 290 mL CH<sub>4</sub>/g VSS for OPG (Arcila & Buitrón, 2016) and a biogas  
230 composition of 65% CH<sub>4</sub> and 35% CO<sub>2</sub> were considered.

231 In contrast to the CAS system, the OPG system does not require aeration since OPG  
232 produces oxygen under light. Based on laboratory scale experiments with 3.5 hours  
233 illumination per 6 hours cycle (Milferstedt et al., 2017b), illumination during 14 hours  
234 for the four daily cycles is considered. With an oxygen production rate of the OPG  
235 under light of 12.6 mg O<sub>2</sub>/(g VSS·h) (Abouhend et al., 2018), oxygen production by  
236 OPG with 14 h/day illumination exceeds the oxygen requirements for organic carbon  
237 oxidation and nitrification. To ensure adequate suspension of OPG, mechanical mixing  
238 is needed. Electricity consumption for mixing of OPG was estimated following Walas  
239 (1990) considering a turbulent regime and a reactor geometry similar to that of activated  
240 sludge reactors. Considering an anchor or gate paddle per reactor and a mixing time of  
241 22 h/day, mixing of OPG consumes 26 Wh/m<sup>3</sup> electricity. Electricity consumption for  
242 lighting was estimated based on a photosynthetically active radiation (PAR) of 150  
243 μmol/m<sup>2</sup>/s (Abouhend et al., 2018) and a PAR efficiency of 2 μmol/s/W (Blanken et al.,  
244 2013), yielding an electricity consumption of 75 W/m<sup>2</sup>. The enlightened surface area  
245 was assumed twice the footprint of the reactors without further detailed design of the  
246 artificial lighting system, resulting in an electricity consumption of 134 Wh/m<sup>3</sup>  
247 wastewater. This way of operation represents a generic, non-optimized solution. An  
248 electricity consumption for pumping, valves, sensors etc. of 200 Wh/m<sup>3</sup> was estimated  
249 based on the electricity consumption of the CAS system without aeration. Thus, the  
250 overall electricity consumption of the OPG system consists of 200 Wh/m<sup>3</sup> for pumping,  
251 valves etc., 26 Wh/m<sup>3</sup> for 22 hours of mixing, and 134 Wh/m<sup>3</sup> for lighting.

252 For land application of the OPG, a long-term MFE of 0.562 was calculated, based on a  
253 nitrogen content of 13.4 kg N/m<sup>3</sup> OPG (15.4% TAN and 84.6% N<sub>org</sub>), as calculated  
254 from mass balance equations.

255 Table 2

256 Table 3

257

258 *Operating scenarios*

259 OPG is exposed to sunlight during the day, enabling oxygen production by  
260 photosynthesis. For providing oxygen during night-time, when OPG is not exposed to  
261 sunlight and thus not photosynthetically active, different operating scenarios were  
262 considered:

263 • Scenario 1: Artificial lighting is provided during the night. Considering overall  
264 14 h/day of illumination and assuming that natural light covers on average 7  
265 h/day, the same duration of artificial lighting is needed.

266 • Scenario 2: Aeration replaces the 7 h/day of artificial lighting. Thus, aeration is  
267 needed for 7 h/day and mixing for 15 h/day.

268 • Scenario 3: OPG is not exposed to sunlight at all and artificial lighting is  
269 provided 14 h/day.

270 • Scenario 4: As scenario 1 but with a 50% reduction in light energy provided.

271 Scenario 1 was used as the default scenario for the OPG system. The impact of the other  
272 operating scenarios was assessed in a sensitivity analysis.

273

## 274 **3 Results and discussion**

### 275 **3.1 Comparison of the two treatment systems**

276 The environmental impact of treating 1 m<sup>3</sup> municipal wastewater and **applying** the  
277 resulting sludge **on farm land was compared** using a CAS system and the OPG system  
278 (scenario 1). A graphical representation of this comparison is shown as radar plot in

279 Figure 2 for all evaluated impact categories. The black line signifies the CAS system as  
280 reference, and the blue line the OPG system. For most impact categories, the OPG  
281 system's environmental impact is inferior of the CAS impact ranging from a 4%  
282 difference for freshwater eutrophication to 61% for ionizing radiation. The two notable  
283 exceptions are for the impact categories terrestrial eutrophication and acidification being  
284 2 and 3 times higher, respectively, compared to the CAS system.

285 Figure 2

286

287 In Figure 3, the environmental impacts in the various impact categories **are related** to  
288 where they are generated, i.e., treatment infrastructure, emissions from treatment,  
289 electricity consumption, Fe(III)Cl<sub>3</sub> use for precipitation, sludge spreading and the  
290 substitution of mineral fertilizer. Contributions that are identical for both systems are  
291 not displayed even though considered in the overall calculations (e.g., sewer grid  
292 infrastructure, emissions from overload discharge, grit removal, and treatment plant  
293 infrastructure). It should be noted that sewer grid and treatment plant infrastructure are  
294 major contributors to the environmental impact. But in this comparison, this impact is  
295 not considered relevant as it is assumed to be independent of the biological  
296 configuration of the treatment plant. Figure 3 reveals that the significantly higher  
297 impacts of the OPG system in the impact categories acidification, terrestrial  
298 eutrophication, and respiratory inorganics can be considered a mass effect, as  
299 significantly larger quantities of digested sludge are land-applied. The impact is not  
300 specific to OPG. In particular, most of the increased impact on terrestrial eutrophication,  
301 acidification, and respiratory inorganics from sludge spreading is caused by higher  
302 ammonia emissions from land application. Ammonia emissions from sludge spreading  
303 contributed 114%, 107%, and 64%, respectively, to the mentioned impact categories.  
304 Twice as much nitrogen was recovered in the digested OPG and spread on land than for

305 the CAS system (203 kg N/d vs. 110 kg N/d). The amount of nitrogen emissions from  
306 land application does not only depend on the amount of nitrogen applied, but also on the  
307 method of sludge application. Surface application of sludge without subsequent  
308 incorporation into the soil is the worst case with respect to ammonia emissions. The  
309 significantly lower impact of the OPG system on ionizing radiation is due to a much  
310 lower electricity consumption from the grid compared to the CAS system. The OPG  
311 system has an overall electricity consumption of 359 Wh/m<sup>3</sup>, of which 269 Wh/m<sup>3</sup> are  
312 covered by combustion of the produced biogas and 90 Wh/m<sup>3</sup> by electricity from the  
313 grid. In contrast, to cover the overall electricity consumption of the CAS system of 400  
314 Wh/m<sup>3</sup>, 263 Wh/m<sup>3</sup> are needed from the grid as only 137 Wh/m<sup>3</sup> are produced from  
315 biogas combustion. Lower impacts on ozone depletion, photochemical ozone formation,  
316 human health effects, freshwater ecotoxicity, and resource use are due to lower  
317 electricity consumption and higher amounts of mineral fertilizers replaced by land-  
318 applied digested biomass. The reduced impact on climate change, compared to the CAS  
319 system, result from lower N<sub>2</sub>O emissions from wastewater treatment and lower  
320 electricity consumption of the OPG system. As for the CAS system, N<sub>2</sub>O emissions  
321 from wastewater treatment with OPG were estimated based on the amount of nitrogen  
322 denitrified and may change using real data. Nonetheless, climate change impacts of the  
323 OPG system remain inferior to the ones of the CAS system even when the contribution  
324 of N<sub>2</sub>O emissions is excluded.

325 Figure 3

326

### 327 **3.2 Effects of alternative illumination scenarios on the environmental impact of** 328 **an OPG treatment plant**

329 The default OPG system (scenario 1) operated with 7 h/day artificial lighting, even in its  
330 immature design state, outperforms the CAS system. In the following, the sensitivity of

331 the LCA results **is assessed** using three envisioned operating scenarios, which affect the  
332 electricity consumption of the OPG treatment plant: 7 h/day aeration (scenario 2), 14  
333 h/day artificial lighting (scenario 3) and a modified scenario 1 with a 50% cut-off in  
334 light energy provided to the system (scenario 4). It is acknowledged that scenarios 2 and  
335 4 could affect the stability and the performance of the OPG system. Despite these  
336 potentially important unknowns, the suggested scenarios allow to assess whether these  
337 or similar approaches are environmentally feasible, before even starting the appropriate  
338 experiments. The LCA methodology should be considered here a modeling approach  
339 that evaluates the environmental impact of putative potential alternatives. For this  
340 evaluation, **it was** assumed that operating conditions for scenarios 3 and 4 impact only  
341 electricity consumption, while biochemical conversion rates and overall treatment plant  
342 performance remained unchanged. For scenario 2 (7 h/day aeration), the phototrophic  
343 biomass yield **was reduced**, assuming that with only half of the light, half of the  
344 phototrophic growth will occur. The reduced phototrophic biomass yield entails lower  
345 biomass and, thus, biogas and electricity production. Replacing artificial lighting during  
346 night by aeration reduced electricity consumption by about 25% and electricity  
347 production by about 15%, requiring only 48 Wh/m<sup>3</sup> from the grid. It may be surprising  
348 that the partially aerated system is less energy consuming than the fully photosynthetic  
349 system. This is possibly because of the substantial increase of energy recovered from  
350 the digested phototrophic sludge. A lower biomass production also implicates lower  
351 ammonia emissions from field application of the digested biomass, and lower amounts  
352 of mineral fertilizers replaced. Figure 4 shows that the reduced electricity consumption  
353 from the grid decreased environmental impacts of the OPG system on ionizing radiation  
354 by 35% and on resource use of energy carriers by 11%, compared to scenario 1.  
355 Furthermore, the reduced amount of land-applied digested OPG reduced environmental  
356 impacts on acidification and terrestrial eutrophication by 24% and on respiratory

357 inorganics by 13%, but increased the environmental impact on resource use of minerals  
358 and metals by 14%, compared to scenario 1. Impacts in other impact categories changed  
359 by less than 7%. For scenario 3, where artificial lighting needed to be provided 14  
360 h/day, electricity consumption from the grid more than doubled compared to scenario 1,  
361 but remained below the electricity consumption from the grid of the CAS system. Due  
362 to increased electricity consumption from the grid, environmental impacts on ionizing  
363 radiation doubled and increased by 46% for resource use of energy carriers and land  
364 use, and by 31% ozone depletion (Figure 4, orange line). Impacts on other impact  
365 categories increased by 1 to 17%.

366 The artificial lighting system considered here represents a largely non-optimized  
367 solution. The efficiency of LED lighting is drastically developing and will likely  
368 improve significantly over the next years (Zhang et al., 2018), reducing the energy  
369 requirements to yield a given photoactive radiation. This kind of development is not  
370 expected for the aeration in the CAS system, which is already a mature technology. In  
371 addition, the use of white light LEDs **was assumed here** of which a considerable  
372 bandwidth is not suitable for photosynthesis. The use of LEDs with adapted spectra for  
373 phototrophic light alone could yield significant energy savings at a similar biological  
374 activity (Abomohra et al., 2019). In addition, the use of suspended, free-moving LED  
375 (Murray et al., 2017) may further reduce energy consumption for artificial lighting.  
376 Therefore, an optimized artificial lighting system with an assumed improved energy  
377 efficiency of LED lighting of 50% (scenario 4) **was evaluated here and** compared to  
378 scenario 1. All other parameters of scenario 1 were kept. With optimized artificial  
379 lighting, the electricity consumption of scenario 1 decreased to 293 Wh/m<sup>3</sup>, requiring  
380 only 23 Wh/m<sup>3</sup> from the grid. Thus, with an optimized artificial lighting system, an  
381 energy neutral treatment system may be within reach.

382 Figure 4



383

### 384 **3.3 Operation of the OPG system at higher biomass concentrations**

385 The presented impact assessment was computed for operating the OPG system at the  
386 same biomass concentration as the laboratory scale reactor (4 g/L). This is a typical  
387 biomass concentration for CAS systems and a relatively low MLSS concentration  
388 compared to other granular biomass systems (Milferstedt et al., 2017a). For example,  
389 aerobic granular sludge systems can be operated at biomass concentrations up to 10 g/L  
390 (Keller & Giesen, 2010). It is worthwhile investigating the OPG treatment performance  
391 at higher biomass concentrations and lower HRT, while maintaining the SRT in the  
392 system, to reduce the overall reactor volume of the treatment system. This will decrease  
393 treatment plant infrastructure needs, which significantly contribute to environmental  
394 impacts on land use, human health effects, freshwater ecotoxicity, resource use,  
395 photochemical ozone formation, and respiratory inorganics. Assuming operation of the  
396 OPG system at a biomass concentration of 6 g/L and keeping the sludge retention time  
397 unchanged, reduces the HRT to 0.33 days and the required reactor volume by 30%.  
398 Energy requirements for mixing need to be considered in a putative OPG system.  
399 Several alternative modes of mixing could be envisioned, e.g., intermittent gas sparging  
400 or mixing by pulse-like waves (e.g., oloid.ch). Here, a traditional mixing approach using  
401 constantly turning impellers **was considered**. Energy needs for mixing will decrease  
402 with reduced reactor diameter, as the impeller diameter decreases, but energy  
403 requirements for artificial light supply may increase with a higher biomass  
404 concentration. It is assumed here that the potentially higher energy requirements for  
405 artificial light supply compensate the energy savings from reduced energy needs for  
406 mixing. This means that the reduction of the reactor volume does not significantly affect  
407 the overall electricity consumption of the OPG system. It is further considered that  
408 operation at higher biomass concentration and shorter HRT does not result in a

409 considerable loss of treatment performance. Based on the aforementioned assumptions,  
410 the reduction of the required reactor volume decreases the environmental footprint with  
411 regard to resource use of minerals and metals by 50%, to land use by 37%, to ozone  
412 depletion and non-cancer human health effects by 17%, and to photochemical ozone  
413 formation by 16%. Reductions on other impact categories range from 0.7 to 13%. These  
414 results are based on assumptions that still need to be validated experimentally, but show  
415 the interest (from an environmental perspective) of a more compact treatment system.

#### 416 **3.4 Nitrogen flows in the OPG system**

417 In the LCA model, nitrogen emissions in the forms of ammonia, nitrite/nitrate, and  
418 organic nitrogen from wastewater treatment with OPG were on the same order of  
419 magnitude as for the reference CAS system. N<sub>2</sub>O emissions from biological treatment,  
420 estimated based on the amount of nitrogen denitrified, were lower for the OPG system,  
421 because more nitrogen was incorporated into biomass, requiring less denitrification. The  
422 same emission factor for estimating N<sub>2</sub>O emissions from a wastewater treatment with  
423 OPG and CAS system was used. The factor is based on Foley et al. (2010) and  
424 Kampschreur et al. (2009) and remains to be estimated using experimental data from the  
425 OPG system. It was demonstrated that major nitrogen emissions occurred also  
426 downstream of the treatment plant, at the stage of land application of the digested  
427 biomass (Figure 3), affecting largely the impact categories terrestrial eutrophication,  
428 acidification, and respiratory inorganics. This was caused by significantly higher  
429 biomass produced by the OPG system, resulting in twice as much nitrogen spread on  
430 land with the digested OPG than with the activated sludge produced by the CAS system.  
431 Consequently, significantly higher nitrogen field emissions for the same volume of  
432 wastewater treated can be expected (23 kg NH<sub>3</sub>/d for OPG system vs. 5.1 kg NH<sub>3</sub>/d for  
433 CAS system). Optimization of the environmental impact of the assessed OPG system  
434 should, therefore, also consider the fate of the generated biomass in applications

435 downstream of the treatment plant. The observed large contribution of land application  
436 of the digested biomass to the environmental burdens of the treatment systems is in line  
437 with other works considering land application of sludge and replacement of mineral  
438 fertilizers (e.g. Brockmann et al., 2014; Pasqualino et al., 2009).

439 In **the** default scenario, land application of digested sludge by a broadcaster, without  
440 incorporation into the soil **was assumed**. This is common agricultural practice (Loyon,  
441 2018) and known to be the worst case scenario with regard to ammonia field emissions  
442 (Bittman et al., 2014). Land application of digested biomass by deep injection,  
443 considered the best case scenario with regard to ammonia field emissions (Bittman et  
444 al., 2014), significantly reduced ammonia field emissions in **the** LCA model from 1504  
445 g NH<sub>3</sub>/m<sup>3</sup> sludge to 301 g NH<sub>3</sub>/m<sup>3</sup> sludge for OPG, and from 452 g NH<sub>3</sub>/m<sup>3</sup> sludge to  
446 91 g NH<sub>3</sub>/m<sup>3</sup> sludge for activated sludge. The decreased ammonia field emissions  
447 yielded in larger amounts of ammonia nitrogen available to the plants, increasing the  
448 nitrogen MFE values for OPG (0.64 vs. 0.56) and CAS (0.62 vs. 0.59). Consequently,  
449 more mineral fertilizers could be replaced by the digested biomass (10.2 kg N/m<sup>3</sup> sludge  
450 vs. 9 kg N/m<sup>3</sup> sludge from OPG, 7.2 kg N/m<sup>3</sup> sludge vs. 6.9 kg N/m<sup>3</sup> sludge from CAS).  
451 The effect of agricultural practice is illustrated in Figure 5. Optimizing the land  
452 application of digested biomass from broadcaster application to deep injection  
453 significantly decreased the environmental impact in three categories: terrestrial  
454 eutrophication, acidification, and respiratory inorganics. The double effect of reducing  
455 ammonia emissions from land application of digested biomass and increasing the  
456 amount of replaced mineral fertilizers and associated emissions yields in a lower  
457 environmental footprint of the OPG system for all 16 impact categories. Thus, in  
458 addition to modifications at the treatment plant, the fate of the excess sludge is a  
459 determining factor for the environmental impact of the OPG process, and as well for

460 CAS. Particularly, changes of the sludge disposal method can considerably drive the  
461 environmental impact and must be considered already in the process design.

462 Figure 5

463

### 464 3.5 Use of produced OPG biomass

465 For a water resource recovery facility, the produced biomass is a resource that can be  
466 transformed into bioenergy and other bioproducts. Even though the high yield in an  
467 OPG system and the high degree of uniform phototrophic biomass may make other  
468 transformations and valorization ways possible, a ‘classical’ transformation of the  
469 biomass into energy and organic fertilizer by anaerobic digestion **was considered in this**  
470 **study**. Considering the same biomass transformation for the OPG and the reference  
471 CAS system made it possible to show that wastewater treatment using the evaluated  
472 OPG system allows for higher energy and nitrogen recovery than the CAS system. The  
473 OPG system produced twice as much methane per m<sup>3</sup> of treated wastewater as the CAS  
474 system (0.090 vs. 0.046 Nm<sup>3</sup> CH<sub>4</sub>/m<sup>3</sup> wastewater) and replaced 75% more mineral  
475 nitrogen fertilizer (per m<sup>3</sup> wastewater treated) through agricultural use of the digested  
476 biomass. This ‘base’ case of agricultural use was considered because it is current  
477 common agricultural practice in France, Spain, and Ireland (European Commission,  
478 2019a) and commonly used when assessing the environmental impact of wastewater  
479 treatment (Foley et al., 2010; Hospido et al., 2008). The land application of digested  
480 sludge is probably the worst case with regard to the environmental impact/benefit of the  
481 biomass use. In addition, land application of digested sewage sludge will be more  
482 restricted in the EU due to more stringent limits for contaminants following the new  
483 Fertilizing Products Regulation of the EU (European Commission, 2019b). Electricity  
484 generation from biogas is only one potential use of the biogas. Other uses such as

485 upgrading to biomethane as a substitute for natural gas or as a raw material to produce  
486 platform chemicals (Tsui & Wong, 2019) should be considered as well in the future.

487 The objective of this LCA was to guide research efforts to eco-design and improve the  
488 sustainability of the OPG process. It is therefore wise to envision alternative uses of the  
489 produced OPG, possibly even resulting in larger environmental benefits. One area of  
490 active research is the downstream use of extracellular polymeric substances from  
491 granular sludge (Quijano et al., 2017; van Loosdrecht & Brdjanovic, 2014). OPG may  
492 be a suitable candidate for this approach, as these granules are composed of a mat-like  
493 outer layer densely populated by filamentous cyanobacteria (Milferstedt et al., 2017b).  
494 This layer contains large amounts of extractible extracellular polymeric substance (EPS)  
495 produced by cyanobacteria (e.g. Ansari et al., 2019; Milferstedt et al., 2017b). The  
496 extracted EPS may serve, depending on the physico-chemical properties (e.g., molecular  
497 weight, charge, hydrophobicity) as hydrogels, biosurfactants or biofloculants, as  
498 demonstrated in part already for aerobic granules (Lin et al., 2015). It is of great  
499 advantage that through the syntrophy between heterotrophs and phototrophs,  
500 heterotrophically produced CO<sub>2</sub> is apparently immediately fixed in OPG by the growing  
501 phototrophic biomass. Resource recovery and conservation of fixed nitrogen are  
502 intrinsic feature of this biomass and must be taken advantage of.

### 503 **3.6 Research perspectives**

504 Two major groups of bottlenecks in the development of a potential OPG-based  
505 bioprocess have been identified in the analysis: reducing the environmental costs of  
506 providing light to OPGs and increasing the environmental benefit of generating OPG  
507 biomass.

508 Light dependencies touch reactor configuration as much as they potentially affect the  
509 biological activity of the phototrophic biomass. Research on the activity of OPGs as a  
510 function of lighting, possibly even at the scale of individual photogranules, must be

511 coupled to identifying actual lighting conditions in current or prospective bioreactor  
512 designs. This research must also consider effects of biomass density and mixing to  
513 successfully narrow down process engineering constraints.

514 While coupling of microbiological and engineering research has been successfully done  
515 for years, biomass valorization requires the establishment of new collaborations. Novel  
516 valorization approaches will be a compromise between constraints from wastewater  
517 treatment (e.g., treatment efficiency) and the target quality and quantity of potential bio-  
518 products. Developing a value chain that meets these requirements can only be done  
519 through the commitment of public and private entities across sectoral borders and  
520 disciplines.

#### 521 **4 Conclusions**

522 The environmental impact of a novel OPG-based treatment process was evaluated using  
523 LCA and compared with the well-established conventional activated sludge (CAS)  
524 process. The environmental impact of a non-optimized OPG scenario was generally  
525 lower than for the reference CAS system. Electricity consumption related to artificial  
526 lighting, the fate of the generated biomass (renewable energy and replacement of  
527 mineral fertilizer), and the nitrogen flows in the OPG system were identified as the  
528 major contributors to the potential environmental impact of the OPG treatment system.  
529 With an optimized artificial lighting system, an energy neutral treatment system is  
530 within reach.

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## 690 **7 Figure captions**

691 Figure 1: Process schemes of the two compared wastewater treatment systems. a)  
692 Conventional activated sludge system (CAS), and b) oxygenic photogranules  
693 (OPG) system. In b), only changes with respect to a) are highlighted. Upstream  
694 unit processes identical for both processes (overload discharge, grit removal, and  
695 primary settling) are omitted from the figure for clarity. Line colors correspond  
696 to sludge (brown), gas and energy (green) and liquid phase (blue).

697

698 Figure 2: Comparison of environmental impacts of the treatment of 1 m<sup>3</sup> urban  
699 wastewater by the OPG system (scenario 1) and the CAS system as reference.  
700 Black: CAS system, blue: OPG system. All calculated environmental impacts  
701 were normalized by the impact obtained for the reference CAS system.  
702 Distances between circles are log<sub>2</sub> scaled.

703

704 Figure 3: Environmental impacts by impact category and differentiated by their origin  
705 (colored stacks) for the treatment of 1 m<sup>3</sup> municipal wastewater in the OPG  
706 system (scenario 1) and the reference CAS system. The units of the different  
707 impact categories are given in the panel headers.

708

709 Figure 4: Environmental impact of different operating scenarios for the OPG system.  
710 Scenario 1 (as reference): 7 h/day artificial light (solid blue line). Scenario 2: 7  
711 h/day aeration instead of lighting (short yellow dashes). Scenario 3: 14 h/day  
712 artificial lighting (orange dots). Scenario 4 (light-optimized scenario 1): 7  
713 h/day artificial lighting with twice more efficient lighting system (dash-dots).  
714

715 Figure 5: Effects of agricultural practice on the environmental impact of the OPG  
716 (scenario 1) and the reference CAS systems. Black, solid: default CAS system,  
717 including surface spreading of sludge; blue, solid: OPG system (scenario 1),  
718 including surface spreading of sludge; Black, dashed: CAS system with deep  
719 injection of digested biomass; blue, dash-dots: OPG system with deep injection  
720 of digested biomass. For each impact category, calculated environmental  
721 impacts were normalized by the impact obtained for the reference CAS system.  
722 The distance between circles is log<sub>2</sub>-scaled.  
723  
724

725 **8 Tables**

726 Table 1: Raw municipal wastewater characteristics (US)

<b>Parameter</b>	<b>Symbol</b>	<b>Unit</b>	<b>Values</b>
Flow rate	$Q_{in}$	$m^3/d$	15000
Total chemical oxygen demand	Total COD	$\frac{g}{COD/m^3}$	500
Soluble chemical oxygen demand	Soluble COD	$\frac{g}{COD/m^3}$	200
Total Kjeldahl Nitrogen ( $N_{org.} + NH_4-N$ )	TKN	$g N/m^3$	30
Ammonia nitrogen	$NH_4-N$	$g N/m^3$	20
Nitrate nitrogen	$NO_3-N$	$g N/m^3$	0
Total phosphorus	Total P	$g P/m^3$	6
Orthophosphate	Ortho-P	$g P/m^3$	4
Total suspended solids	TSS	$\frac{g}{TSS/m^3}$	250
Volatile suspended solids	VSS	$\frac{g}{TSS/m^3}$	-

727

728

729 Table 2: Characteristics of the reference CAS system and the OPG system:  
 730 Consumptions and productions, digested sludge characteristics, and avoided  
 731 mineral fertilizer as calculated from mass balances. Units are per m<sup>3</sup>  
 732 wastewater unless otherwise stated

	Unit	CAS	OPG
<b>Consumptions</b>			
Electricity	kWh/m <sup>3</sup>	0.4	0.2+mixing+light <sup>(*)</sup>
Iron(III)chloride	g/m <sup>3</sup>	19.4	8.7
<b>Productions</b>			
Primary sludge	kg VSS/d	2184	2184
Secondary sludge	kg VSS/d	962	2916
Methane	Nm <sup>3</sup> CH <sub>4</sub> /m <sup>3</sup>	0.046	0.090
Electricity from CH <sub>4</sub> combustion	kWh/m <sup>3</sup>	0.137	0.269
<b>Digested sludge characteristics</b>			
Dewatered sludge	m <sup>3</sup> /d	11.3	15.2
TSS content of sludge	kg TSS/m <sup>3</sup> <sub>sludge</sub>	200	200
N content of sludge	kg N/m <sup>3</sup> <sub>sludge</sub>	9.7	13.4
TAN/N <sub>tot</sub>	%	6.4	15.4
N <sub>org</sub> /N <sub>tot</sub>	%	93.6	84.6
P content of sludge	kg P/m <sup>3</sup> <sub>sludge</sub>	5.6	4.2
Nitrogen MFE (long-term)	-	0.594	0.562
Phosphorus MFE	-	0.95	0.95
<b>Avoided mineral fertilizers</b>			
Ammonium nitrate	kg N/m <sup>3</sup> <sub>sludge</sub>	6.9	9.0
Triple superphosphate	kg P <sub>2</sub> O <sub>5</sub> /m <sup>3</sup> <sub>sludge</sub>	24.2	17.1

733 <sup>(\*)</sup> Mixing (22h/d): 0.026 kWh/m<sup>3</sup>; light (7h/d): 0.134 kWh/m<sup>3</sup>

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735 Table 3: Emissions from wastewater treatment and sludge spreading, and avoided  
 736 emissions from mineral fertilizers as calculated from mass balances. Units are  
 737 per m<sup>3</sup> wastewater for wastewater treatment and per m<sup>3</sup> sludge for sludge  
 738 spreading.

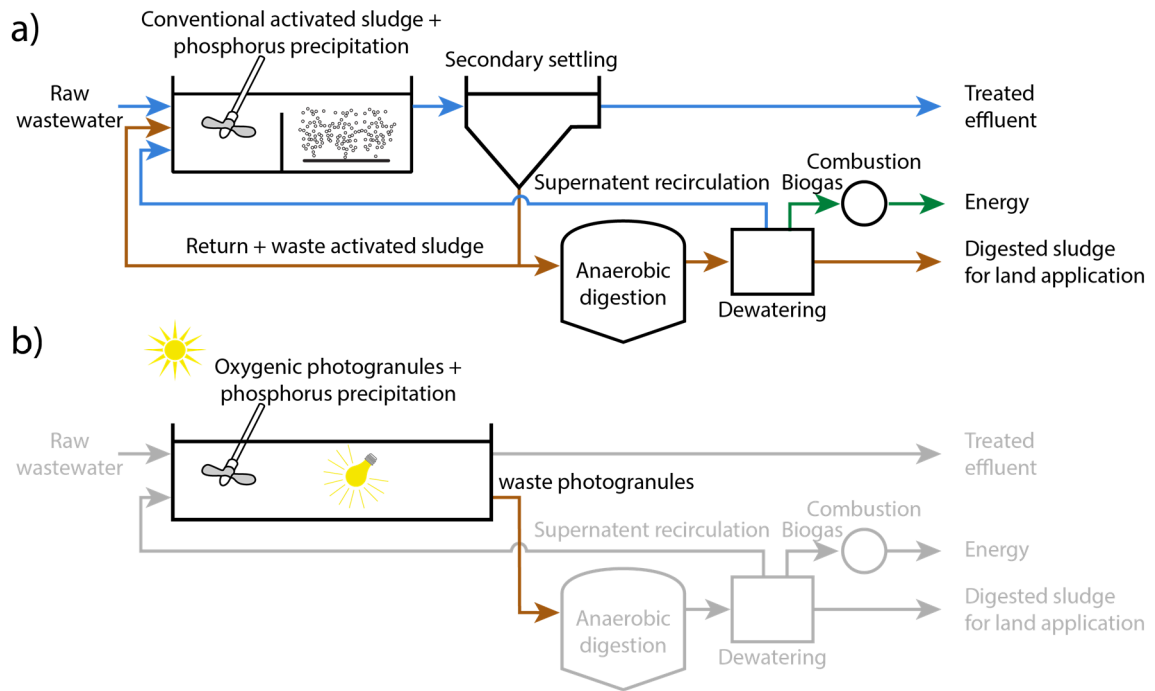
	Unit	CAS	OPG
<b>Effluent characteristics</b>			
COD	$\frac{\text{g}}{\text{COD/m}^3}$	31.3	48.3
TKN	$\text{g N/m}^3$	3.8	4.6
NH <sub>4</sub> -N	$\text{g N/m}^3$	1.2	2.0
NO <sub>3</sub> -N	$\text{g N/m}^3$	3.7	2.3
Total P	$\text{g P/m}^3$	1.8	1.8
<b>Emissions from wastewater treatment</b>			
N <sub>2</sub> O-N	$\text{g N/m}^3$	0.44	0.29
Biogenic CO <sub>2</sub> from carbon removal	$\frac{\text{g}}{\text{CO}_2/\text{m}^3}$	283	202
Biogenic CO <sub>2</sub> from AD	$\frac{\text{g}}{\text{CO}_2/\text{m}^3}$	45	89
Biogenic CO <sub>2</sub> from CH <sub>4</sub> combustion	$\frac{\text{g}}{\text{CO}_2/\text{m}^3}$	84	165
<b>Emissions from sludge spreading</b>			
NH <sub>3</sub>	$\text{g/m}^3_{\text{sludge}}$	452	1504
N <sub>2</sub> O	$\text{g/m}^3_{\text{sludge}}$	173	250
NO <sub>x</sub>	$\text{g/m}^3_{\text{sludge}}$	243	316
NO <sub>3</sub> <sup>-</sup>	$\text{g/m}^3_{\text{sludge}}$	4764	6581
P	$\text{g/m}^3_{\text{sludge}}$	47	33
<b>Avoided emissions from mineral fertilizers</b>			
NH <sub>3</sub>	$\text{g/m}^3_{\text{sludge}}$	255	333
N <sub>2</sub> O	$\text{g/m}^3_{\text{sludge}}$	122	159
NO <sub>x</sub>	$\text{g/m}^3_{\text{sludge}}$	174	227
NO <sub>3</sub> <sup>-</sup>	$\text{g/m}^3_{\text{sludge}}$	3383.6	4424.8
P	$\text{g/m}^3_{\text{sludge}}$	11.6	8.2

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741 **9 Figures**

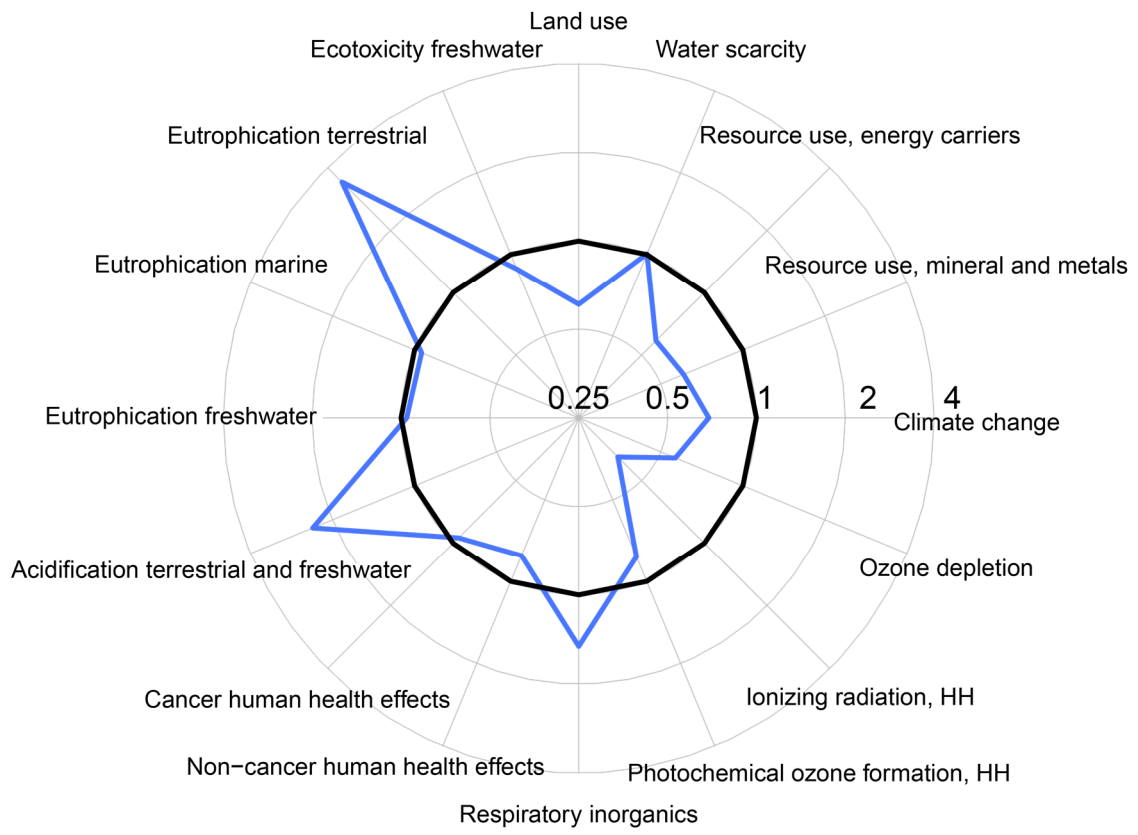
742 **Figure 1:**



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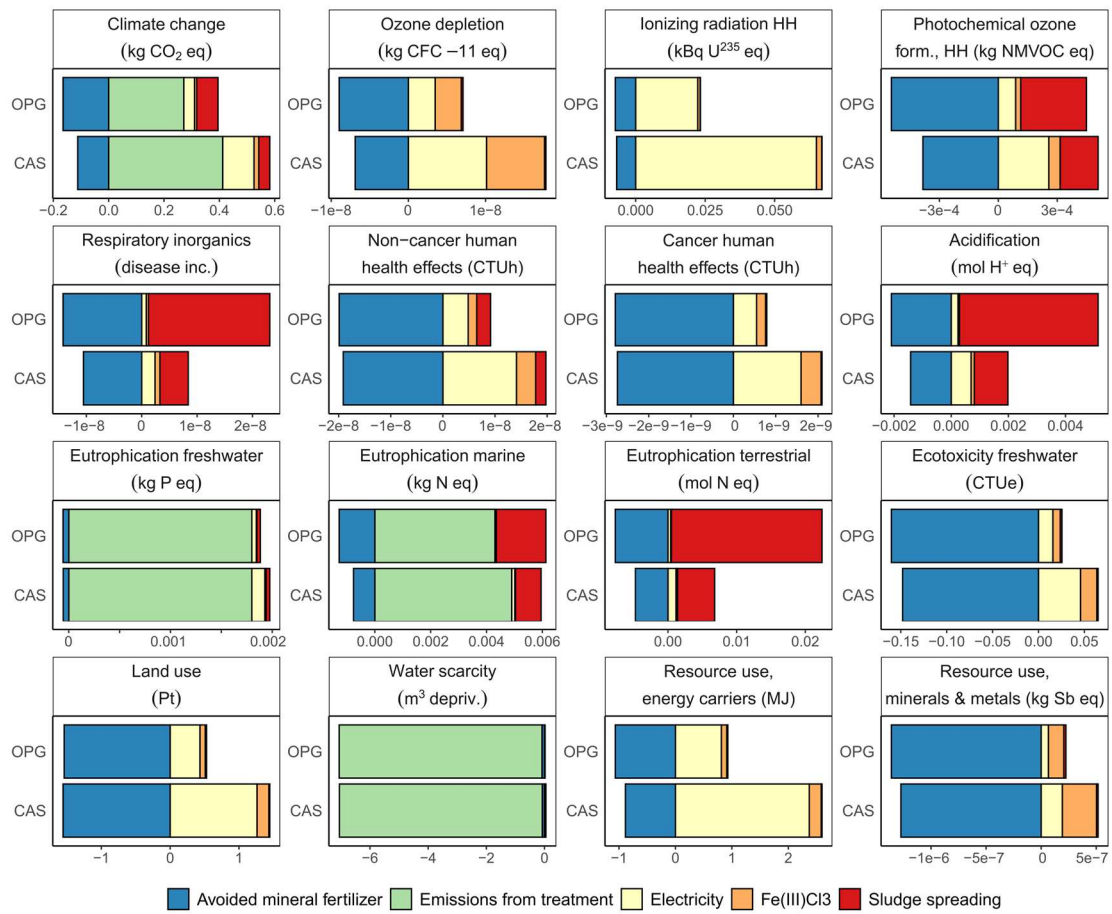
745 Figure 2:



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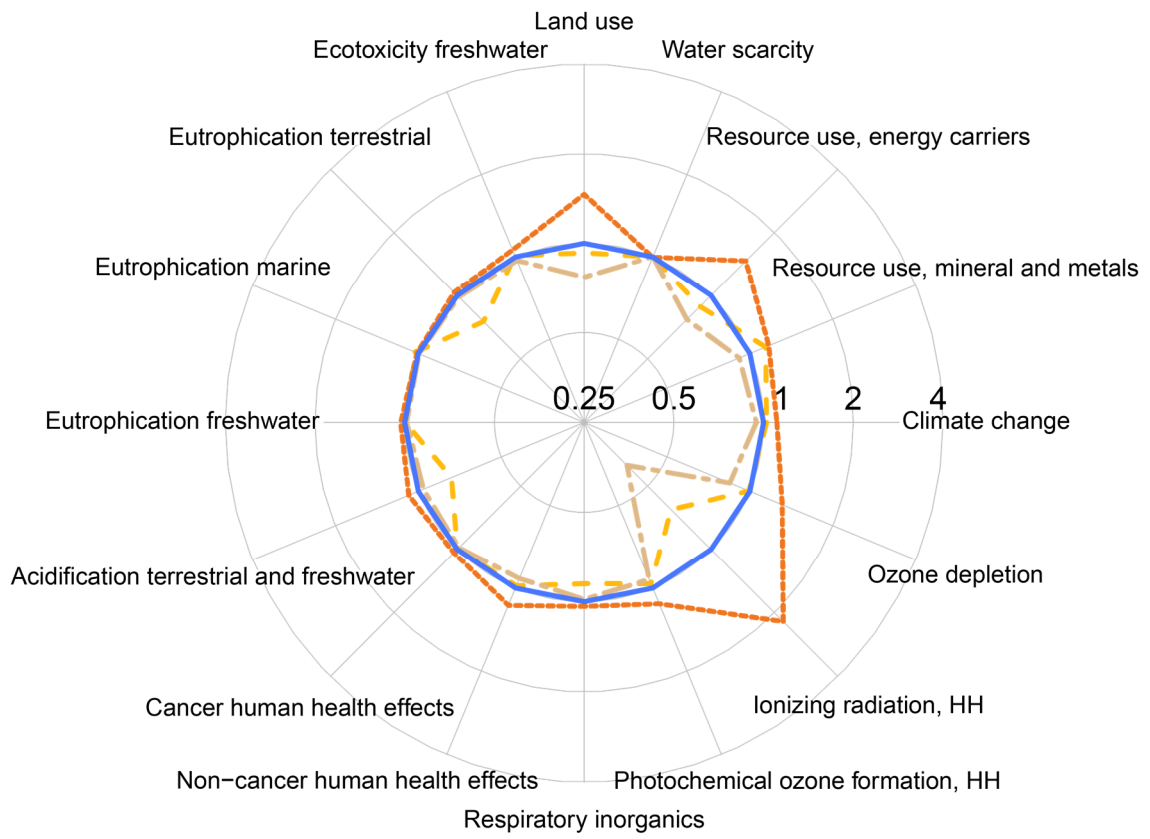
748 Figure 3:



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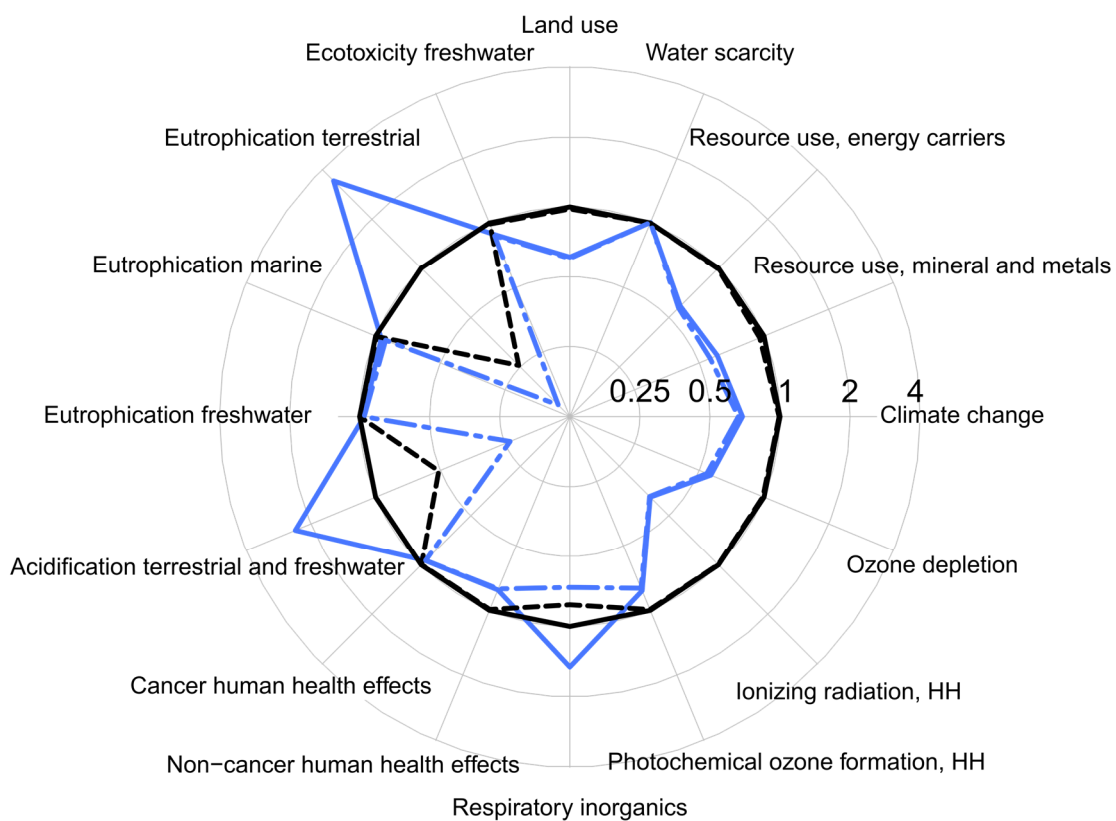
751 Figure 4:



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754 Figure 5:



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