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# Statin therapy is associated with lower prevalence of gut microbiota dysbiosis

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Microbiome community typing analyses have recently identified the *Bacteroides2* (Bact2) enterotype, an intestinal microbiota configuration that is associated with systemic inflammation and has a high prevalence in loose stools1,2. Bact2 is characterized by a high proportion of Bacteroides, a low proportion of Faecalibacterium and low microbial cell densities<sub>1,2</sub>, and its prevalence varies from 13% in a general population cohort to as high as 78% in patients with inflammatory bowel disease2. Reported changes in stool consistency3 and inflammation status4 during the progression towards obesity and metabolic comorbidities led us to propose that these developments might similarly correlate with an increased prevalence of the potentially dysbiotic Bact2 enterotype. Here, by exploring obesity-associated microbiota alterations in the quantitative faecal metagenomes of the cross-sectional MetaCardis Body Mass Index Spectrum cohort (n = 888), we identify statin therapy as a key covariate of microbiome diversification. By focusing on a subcohort of participants that are not medicated with statins, we find that the prevalence of Bact2 correlates with body mass index, increasing from 3.90% in lean or overweight participants to 17.73% in obese participants. Systemic inflammation levels in Bact2-enterotyped individuals are higher than predicted on the basis of their obesity status, indicative of Bact2 as a dysbiotic microbiome constellation. We also observe that obesity-associated microbiota dysbiosis is negatively associated with statin treatment, resulting in a lower Bact2 prevalence of 5.88% in statin-medicated obese participants. This finding is validated in both the accompanying MetaCardis cardiovascular disease dataset (n = 282) and the independent Flemish Gut Flora Project population cohort (n = 2,345). The potential benefits of statins in this context will require further evaluation in a prospective clinical trial to ascertain whether the effect is reproducible in a randomized population and before considering their application as microbiotamodulating therapeutics.

Indications that alterations in the faecal microbiome are linked to the development of obesitys have resulted in intense research efforts since the early days of metagenomics. However, developing a comprehensive blueprint of an obesity-associated microbiota constellation has proved challenging. Although compositional observations still remain inconclusive, obesity and obesity-related comorbidities have clearly been associated with alterations in the intestinal microbiota, including lowered faecal-community richness and reduced proportional abundances of butyrate producing bacteria?—9.

Cross-sectional microbiome-association studies are inherently limited regarding the inference of causality, and are potentially biased by unaccounted confounders. However, they remain highly suitable for explorative analyses, as they enable the scale requirements imposed by the moderate effect-sizes 10 to be met with relative ease. As part of the European Union MetaCardis project, a large-scale observational cohort study was set up to investigate the role of gut microorganisms in the progression of cardio-metabolic diseases through a combination of metagenomic, metabolomic and clinical approaches (http://www.metacardis.net). Recruitment efforts resulted in the enrolment of more than 2,000 participants (Supplementary Fig. 1) and involved, amongst others, the assembly of a transnational n = 888 Body Mass Index Spectrum cohort (BMIS; median BMI = 31.5 kg m-2, range = 18.0–73.3; Supplementary Tables 1, 2). Faecal samples were analysed using a quantitative microbiome profiling pipeline adapted for shotgun metagenomics data and were subsequently annotated with customized metabolic modules 11 (Supplementary Table 3). Because more than 42% of BMIS participants reported taking at least one type of medication at the time of sampling, we assessed the potential confounding effect of the most frequently disclosed therapeutics (those consumed by more than 10% of participants; Extended Data Fig. 1a, Supplementary Table 1) on the association between microbiota and obesity; this was achieved by evaluating their explanatory power on relative genus-level microbiome variation as compared with the effect-sizes of obesity parameters and host variables constituting the International Diabetes Federation consensus definition of metabolic syndrome12 (Supplementary Table 4). Statins were identified as the drugs with largest explanatory power, contributing to genus-level microbiome variation beyond the effect of obesity-related parameters and metabolic syndrome features (n = 869, stepwise distance-based redundancy analysis (dbRDA),  $R_2 = 0.24\%$ , adjusted P value  $(P_{\text{adj}}) = 0.032$ ; Extended Data Fig. 1b, c). Statin-medicated participants (n = 106) were most commonly prescribed simvastatin (48%; 31% atorvastatin, 21% other statins), which had an effect on microbiome variation similar to that of general statin intake (Extended Data Fig. 1d, Supplementary Table 4). To enable an—in terms of medication—least-confounded evaluation of BMI-microbiome associations, statin-medicated participants were excluded from the explorative analyses presented below.

In accordance with the premise of the analysis, within the n=782 non-statin-medicated BMIS cohort (Supplementary Table 1), we found that BMI correlated both with changes in stool consistency (higher BMI values were associated with looser stools, as assessed using the Bristol Stool Scale; n=772, Spearman's  $\rho=0.16$ ,  $P_{adj}=9.13\times 10$ -6) and with host

inflammation markers (for example, serum levels of highly sensitive C-reactive protein (hsCRP), n = 763, Spearman's  $\rho = 0.70$ ,  $P_{adj} = 1.60 \times 10^{-113}$ ; Fig. 1a, Supplementary Table 5). Regarding metadata variables that define obesity or metabolic syndrome, only BMI, fat mass percentage and serum fasting triglycerides were found to explain a both significant and nonredundant fraction of compositional microbiome variation (n = 764, stepwise dbRDA,  $R_2 = 6.22\%$  ( $P_{\text{adj}} = 1.0 \times 10^{-4}$ ), 1.15% (1.0 × 10-4) and 0.39% (0.009), respectively; Fig. 1b, c, Supplementary Table 6). All three covariates correlated with microbiome gene richness  $(n = 771, \text{ Spearman's } \rho = -0.45 \text{ to } -0.26, P_{\text{adj}} = 4.0 \times 10^{-39} \text{ to } 1.6 \times 10^{-13}), \text{ a proxy for } 1.6 \times 10^{-13}$ microbial biodiversity proposed as a marker of metabolic health in obese individuals, and with faecal microbial load (n = 771, Spearman's  $\rho = -0.17$  to -0.13,  $P_{\text{adj}} = 4.1 \times 10^{-6}$  to  $3.1 \times 10^{-4}$ ; Extended Data Fig. 2, Supplementary Table 7). Additionally, BMI, fat mass percentage and triglycerides could all be linked to quantitative variation in specific microbiome features, in terms of composition as well as metabolic potential (Supplementary Table 8, Supplementary Fig. 2). Notable associations included the decrease in *Akkermansia*13—which is associated with metabolic health—with increasing BMI (n = 432, Spearman's  $\rho = -0.23$ ,  $P_{adj} = 6.8 \times 10^{-9}$ ), alongside an increase in, for example, Acidaminococcus spp. (n = 163, Spearman's  $\rho = 0.23$ ,  $P_{\text{adj}} = 5.8 \times 10^{-9}$ ), a genus that has previously been linked to body mass in a large Korean cohort<sub>14</sub>. The abundance of Faecalibacterium—a genus with potential anti-inflammatory properties 15—was negatively correlated with all three parameters assessed, but was most closely associated with serum triglyceride levels (n = 753, Spearman's  $\rho = -0.16$ ,  $P_{\text{adj}} = 2.5 \times$ 10-4). Covariation patterns between BMI, fat mass percentage or triglyceride levels and gutmicrobial metabolic modules consisted nearly exclusively of negative correlations (Supplementary Table 8), reflecting the accompanying overall decrease in total microbial load (Supplementary Table 7). Among the features that decrease with all three variables, we highlight that the variation in the butyryl-CoA-acetate CoA-transferase pathway16—the most common butyrate production pathway in colon bacteria (n = 771, Spearman's  $\rho = -0.27$  to -0.20,  $P_{\text{adj}} = 3.1 \times 10^{-13}$  to  $6.0 \times 10^{-8}$ ; Extended Data Fig. 3a–c)—is in line with previous reports linking this pathway with metabolic healths. The metabolism of microbiota-derived butyrate by colonocytes is essential for the maintenance of hypoxic conditions within the colon environment<sub>17</sub>; and the disruption of microbial butyrate production has been suggested to induce low-diversity gut microbiota dysbiosis18.

To investigate a potential association between BMI and the prevalence of faecal microbiome community constellations, we enterotyped the BMIS cohort using Dirichlet

multinomial mixtures on genus-level molecular operational taxonomic unit (mOTU) profiles. By applying probabilistic models to group samples that potentially originate from the same community, stratification based on Dirichlet multinomial mixtures reproducibly identifies microbiome constellations across datasets without making any claims regarding the putative discrete nature of the strata detected. Our analyses confirmed previous reports of microbiome variation centred around four enterotypes<sub>1,2,19</sub> (Fig. 1b, Extended Data Fig. 4a, b), hereafter termed Ruminococcaceae (Rum), *Bacteroides*1 (Bact1), *Bacteroides*2 (Bact2) and *Prevotella* (Prev) on the basis of their respective genus-level proportional abundance profiles (Extended Data Fig. 4c). Cell counts differed between enterotypes<sub>1</sub>, with the low-richness Bact2 samples (n = 782, Kruskal–Wallis,  $\chi_2 = 325.65$ ,  $P_{adj} = 5.5 \times 10$ –70) also exhibiting the lowest microbial loads (n = 771, Kruskal–Wallis,  $\chi_2 = 80.14$ ,  $P_{adj} = 2.9 \times 10$ –17; Fig. 2a, Supplementary Table 9).

A quantitative compositional and functional analysis of the differences between enterotypes aligned with previous reports 11 (Supplementary Table 10). Further to the findings highlighted above, we found that Bact2 communities displayed the lowest abundances of Akkermansia (n = 771, Kruskal–Wallis,  $\chi_2 = 141.12$ ,  $P_{\text{adj}} = 2.0 \times 10^{-29}$ ) and of Faecalibacterium (n = 771, Kruskal–Wallis,  $\chi_2 = 112.73$ ,  $P_{adj} = 1.7 \times 10^{-23}$ ), as well as a decreased butyrate production potential (n = 771, Kruskal–Wallis,  $\chi_2 = 167.12$ ,  $P_{\text{adj}} = 4.7 \times$ 10-35; Extended Data Fig. 3d). Whereas no significant differences in Acidaminococcus levels could be noted between enterotypes (n = 771, Kruskal–Wallis,  $\chi_2 = 6.47$ ,  $P_{\text{adj}} = 0.12$ ), taxa such as Eggerthella—a genus that is considered part of a normal microbiota but is also linked to gastrointestinal infections as well as bacteraemia20—was found to occur in higher absolute numbers against the background of overall reduced microbial load, as observed in Bact2 communities (n = 771, Kruskal–Wallis,  $\chi_2 = 224.95$ ,  $P_{adj} = 4.1 \times 10^{-47}$ ; Extended Data Fig. 5a, b, Supplementary Table 10). Co-abundance gene group analyses additionally indicated enterotype differentiation at the species level (Supplementary Table 11). For example, in Bact2-enterotyped communities, the *Bacteroides* fraction was observed to be proportionally depleted in *Bacteroides caccae* (n = 768, Kruskal–Wallis,  $\chi_2 = 78.40$ ,  $P_{adj} = 1.3 \times 10^{-15}$ ) and Bacteroides cellulosilyticus (n = 768, Kruskal–Wallis,  $\chi_2 = 64.79$ ,  $P_{adj} = 5.3 \times 10$ –13) when compared with Rum, Prev and Bact1 samples. By contrast, it seemed to be enriched in Bacteroides fragilis (n = 768, Kruskal–Wallis,  $\chi_2 = 65.26$ ,  $P_{adj} = 3.5 \times 10^{-11}$ ; Extended Data Fig. 6, Supplementary Table 11), which is considered to be among the most pathogenic and immunomodulatory of the *Bacteroides* species21,22.

The prevalence of enterotypes along a gene-richness axis in the non-statin-medicated cohort confirmed previous observations of a bimodal distributions; however, the Bact2 community type enabled further refinement of richness stratifications through the deconvolution of overlapping peaks (Fig. 2b). The prevalence of Bact2 was found to increase with BMI, from 3.90% among lean or overweight participants (BMI < 30) to 17.73% among obese participants (BMI  $\geq$  30) (n = 782, binomial logistic regression, relative risk = 1.05,  $P = 1.2 \times 10^{-7}$ , where relative risk can be interpreted as the scale factor necessary to obtain the prevalence of the Bact2 enterotype after a unit increase in BMI; Fig. 2c; Supplementary Table 12). Notwithstanding methodological differences, this finding was validated in the independent, amplicon-sequenced Flemish Gut Flora Projectio dataset (FGFP, n = 2,051; excluding statin-medicated participants; binomial logistic regression, relative risk = 1.03,  $P = 9.3 \times 10^{-3}$ ; Fig. 2c). In line with previous findings from the FGFP<sub>2</sub>, Bact2 hosts from the BMIS cohort displayed more pronounced systemic inflammation levels when compared to non-Bact2 participants, here assessed through serum hsCRP concentrations (Kruskal-Wallis,  $\chi_2 = 48.61$ ,  $P = 1.37 \times 10^{-10}$ ; Extended Data Fig. 7a; Supplementary Table 13). Notably, the inflammatory tone of Bact2 hosts exceeded the levels anticipated on the basis of their obesity status (n = 86, one-sample location test on residuals of non-statin-medicated BMIS linear regression between hsCRP and BMI, Cohen's d = 0.27,  $P_{\text{adj}} = 0.018$ ; Fig. 2e, Extended Data Fig. 7b, Supplementary Table 14). In a multivariate model, the BMI and the Bact2 carrier status of the participants both provided a non-redundant contribution to increased systemic inflammation levels, corresponding to a 1.04 (n = 763, linear multivariate model,  $P_{\rm adj} = 2.2 \times 10^{-2}$ 10-16) and a 1.16 ( $P_{adj} = 0.004$ ) unit increase risk in serum hsCRP levels, respectively (Supplementary Table 15). These observations support the qualification of the Bact2 microbiota configuration as an (low-grade) inflammation-associated, potentially dysbiotic enterotype<sub>1,2</sub>.

Whether initiating or sustaining pro-inflammatory processes and metabolic derailment, countering dysbiosis of the gut ecosystem has been suggested to contribute to the maintenance of host health and the containment of obesity-related comorbidities. However, no effective microbiome modulation strategy has yet been established. Here, within the limitations of the cross-sectional study design, we identify statin treatment as a potential lever in the management of dysbiosis. In contrast to the findings from the non-statin-medicated participants, we observed that Bact2 prevalence no longer significantly increased with BMI in statin-medicated individuals (n = 106, binomial logistic regression, relative risk = 1.03, P = 0.60). Among

obese individuals, only 5.88% of statin-medicated individuals were enterotyped as Bact2, compared with 17.73% of non-statin-medicated participants (Fisher's two-tail exact test, log likelihood = -2.88, P = 0.028; Fig. 3a, Supplementary Table 16). When exploring whether accounted clinical parameters, anticipated treatment responses, co-medication or key microbiome covariates 10 could be associated with the observed differences in Bact2 prevalence, we noted that statin-medicated obese participants displayed ameliorated lipid metabolism (low-density lipoprotein (LDL)-cholesterol, n = 473, Mann-Whitney U-test, r = -0.17,  $P_{\text{adj}} = 0.002$ ) and inflammation status (hsCRP, n = 462, Mann–Whitney *U*-test, r = -0.23,  $P_{\text{adj}} = 8.4 \times 10^{-6}$ ; Supplementary Table 17)—both expected outcomes of statin therapy23. Besides minor differences in the incidence of concomitant drug intake (notably aspirin intake being more prevalent among statin-medicated participants; n = 474, Fisher's two-tailed exact test, log likelihood = -17.36,  $P_{adj} = 2.2 \times 10^{-7}$ ) and glucose metabolism (lower HbA1c levels among non-statin-medicated participants, n = 474, Mann-Whitney U-test, r = 0.17,  $P_{\text{adj}} = 0.001$ )—the latter being a known side effect of statin treatment<sub>24</sub>—the statinmedicated subcohort was characterized as older (median age statin-medicated versus nonstatin-medicated, 61 versus 47; n = 474, Mann–Whitney *U*-test, r = 0.34,  $P_{\text{adj}} = 1.4 \times 10^{-11}$ ) and less obese (BMI 33.5 versus 40.8; n = 474, Mann–Whitney *U*-test, r = -0.25,  $P_{\text{adj}} = 2.1 \times 10^{-2}$ 10-6). However, among these significant covariates, and excluding variables that reflect pleiotropic effects of statins—that is, levels of LDL-cholesterol and inflammation markers only statin intake and blood HbA1c levels were shown to have a significant, non-redundant explanatory power for Bact2 prevalence (Supplementary Table 18), with the latter being associated with an increased probability of Bact2 carrier status (n = 472, multivariate binomial logistic regression, statin intake relative risk = 0.31,  $P_{adj} = 0.013$ ; HbA1c relative risk = 2.00,  $P_{\text{adj}} = 0.009$ ). Although 41% of BMIS participants reported taking non-statin drugs, (co-)medication status did not affect the outcome of Bact2 prevalence analyses in obese participants (Extended Data Fig. 8). Low prevalence of the Bact2 enterotype among statinmedicated individuals was validated in the accompanying MetaCardis cardiovascular disease dataset (non-diabetic patients with cardiovascular disease (CVD); Bact2 prevalence among statin-medicated versus non-statin-medicated participants, 4.72% versus 16.33%; n = 282, Fisher's two-tailed exact test, log likelihood = -3.47, P = 0.008; Fig. 3b, Supplementary Table 16). Here—and in accordance with observations in non-CVD disease cohorts<sub>1,2</sub>—increased Bact2 prevalence was not limited to obese non-statin-medicated patients with CVD, but could also be noted within the non-statin-medicated lean and overweight subgroup. In the

independent FGFP dataset—which targets an average representation of a Western population, and therefore covers a narrower BMI spectrum (n = 2,345; median BMI = 24.2, range = 16–40)—we confirmed lowered Bact2 prevalence among statin-medicated individuals given their BMI (n = 2,345, multivariate binomial logistic regression, Statin | BMI, relative risk = 0.72,  $P_{\rm adj} = 0.045$ ; Extended Data Fig. 9, Supplementary Table 16). Additional evidence—which is indicative of causality in statin-associated microbiota variation—is provided by a recent small-scale intervention study in a rat model, which demonstrates reversion of microbiota alterations induced by a high-fat diet and hypercholesterolemia upon treatment with atorvastatin, resulting in an increased microbiome richness<sub>25</sub>. Although caution should be applied when extrapolating findings from the rodent microbiome to a human context, these results do demonstrate directionality in statin-microbiota associations, although the effect of atorvastatin (31% of statin-medicated participants) in the present BMIS cohort did not reach statistical significance (Extended Data Fig. 1, Supplementary Table 4).

The cross-sectional nature of the MetaCardis dataset did not enable us to establish a causal chain of events that lead to a lower prevalence of the Bact2 enterotype among statinmedicated individuals. Given the putatively independent effects of statin therapy on levels of serum hsCRP and LDL-cholesterol23, we modelled the association of both variables with Bact2 prevalence for obese participants in the BMIS cohort. Although no significant effect of LDLcholesterol concentrations was found (n = 473, univariate binomial logistic regression, LDLcholesterol relative risk = 1.16,  $P_{\text{adj}} = 0.15$ ), lower hsCRP levels were associated with a lower prevalence of the Bact2 enterotype (n = 462, univariate binomial logistic regression, hsCRP relative risk = 2.11,  $P_{adj} = 0.003$ ; Supplementary Table 19). A multivariate model for the prediction of Bact2 prevalence—which covers treatment (statin intake), treatment outcome (hsCRP levels), as well as side effects of treatment (HbA1c concentrations)—indicated a significant additive contribution of statin therapy to the reduction of dysbiosis risk (n = 462, multivariate binomial logistic regression, Statin | (hsCRP and HbA1c) relative risk = 0.36,  $P_{\text{adj}} = 0.039$ ; Fig. 3c, Extended Data Fig. 10, Supplementary Table 19); this suggests that the effect of statins is greater than solely the attenuating effect on the inflammation status of the host. Nevertheless, the pleiotropic effect of statins on microbiome community constellations seemed to be closely associated with a concomitant effect on host inflammation levels. At this point, at least two mechanistic interpretations of our observations—or a combination of both remain possible (Fig. 3d). On one hand, aligning with the microbiota-inflammation hypothesis, statins could counteract the microbial contribution to inflammatory and metabolic obesity

comorbidities through (in)direct modulation of the microbiota. Consistent with this, in vitro studies have demonstrated that statins affect the growth of several gut microorganisms<sub>26</sub>. Conversely, the demonstrated anti-inflammatory effects of statins could alleviate gut host—microbial interactions and enable the subsequent development of enterotypes that are not associated with inflammation. However, it should be stressed that the cross-sectional design of our study does not allow us to rule out potential confounding by indication (lower Bact2 prevalence resulting from the specific condition that prompted statin prescription) or by unaccounted diagnosis-associated diet or lifestyle alterations (participants adopting health-promoting and/or microbiota-modulating activities complementary to statin therapy).

For many years, strategies for the modulation of microbiota have revolved around (next-generation) probiotics and prebiotics—introducing or promoting the growth of beneficial bacteria or bacterial consortia. It is only recently that a revived interest in the effect of small molecules and drugs on the colon ecosystem, as well as individual faecal isolates, has been noted26,27. Although we cannot rule out a potential effect of unaccounted confounders, nor can we infer causality from the associations observed, our analyses reveal that statin therapy is linked with a lowered prevalence of a pro-inflammatory microbial community type in obese individuals. Our results align well with previous, sparse reports of a beneficial effect of statins in pathologies in which a role of the gut microbiota has been postulated28—including interventional29 as well as epidemiological30 evidence in Crohn's disease, a condition that has previously been linked to a high prevalence of Bact21,2. Within the limitations of the cross-sectional nature of the cohorts analysed—and emphasizing the need for interventional follow-up research using a randomized, double-blind, placebo-control study design to exclude potential confounding by indication—our findings suggest statins as a possible target for the development of future drug-based strategies for the modulation of the intestinal microbiota.

Online content Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at

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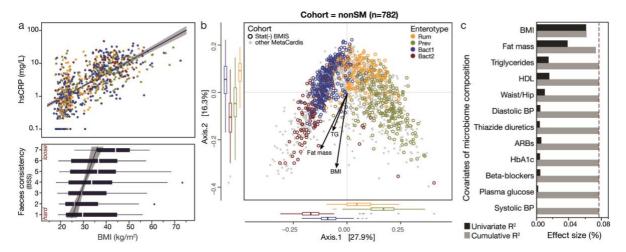


Fig. 1 | Microbiome variation in the non-statin-medicated BMIS cohort. a, Correlations between BMI and inflammation levels (top; serum hsCRP, n = 763 biologically independent samples, Spearman's  $\rho = 0.70$ ,  $P_{adj} = 1.60 \times 10_{-113}$ ) and faeces consistency (bottom; Bristol Stool Scale (BSS), n = 772 biologically independent samples, Spearman's  $\rho = 0.16$ ,  $P_{\rm adj} = 9.13 \times 10^{-6}$ ). Adjustment for multiple testing ( $P_{\rm adj}$ ) was performed using the Benjamini– Hochberg method. b, Principal coordinates analysis of inter-individual differences (genus level Bray-Curtis dissimilarity) in the microbiome profiles of the non-statin-medicated BMIS cohort (open circles, coloured by enterotype; Extended Data Fig. 4), with the rest of the MetaCardis dataset in the background (n = 1,240 biologically independent samples, grey dots). Arrows represent the effect sizes of a post hoc fit of significant microbiome covariates identified in the multivariate model in c. c, Variables correlating most to microbiome compositional variation in the non-statin-medicated BMIS cohort (dbRDA, genus-level Bray-Curtis dissimilarity), either independently (univariate effect sizes in black) or in a multivariate model (cumulative effect sizes in grey). The cut-off for significant non-redundant contribution to the multivariate model is represented by the red dashed line. In **a**, **b**, the body of the box plot represents the first and third quartiles of the distribution, the line represents the median, and the whiskers extend from the quartiles to the last data point within  $1.5 \times IQR$ , with outliers beyond.

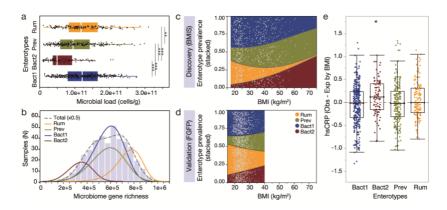


Fig. 2 | Characterization of enterotypes and variation in prevalence with BMI in the nonstatin-medicated BMIS cohort. a, Distribution of faecal microbial loads across enterotypes, showing decreased microbial density in the Bact2 enterotype (n = 771 biologically independent samples, Kruskal–Wallis with post hoc Dunn test, \*\*\* $P_{adj} < 0.001$ ; \*\* $P_{adj} < 0.01$ ; Supplementary Table 9). b, Distribution of gene richness between enterotypes, with low richness samples corresponding to the Bact2 community constellation (n = 782 biologically independent samples). c, Variation in the prevalence of enterotypes with the BMI of individuals, showing the significant increase in Bact2 prevalence with obesity (n = 782biologically independent samples, binomial logistic regression, Bact2 relative risk = 1.05,  $P = 1.2 \times 10^{-7}$ ). Coloured areas represent the stacked enterotype prevalence along the BMI gradient, with lines provided by multivariate logistic regression of enterotypes by BMI, and data points (light grey) jittered at the corresponding BMI. d, Validation of the association between BMI and Bact2 prevalence in the independent FGFP dataset (n = 2,051 participants, excluding statin-medicated individuals; binomial logistic regression, relative risk = 1.03,  $P = 9.4 \times 10^{-3}$ ). e, Inflammatory levels are higher in Bact2 carriers than would be expected on the basis of BMI, as shown by the distribution of residuals of the linear regression between serum CRP and BMI (n = 763 biologically independent samples, one-sample location test (dotted line, null hypothesis; mean = 0), Cohen's d = 0.27, \* $P_{adj} = 0.018$ ). In a, e, the body of the box plot represents the first and third quartiles of the distribution, the line represents the median, and the whiskers extend from the quartiles to the last data point within  $1.5 \times IQR$ , with outliers beyond.

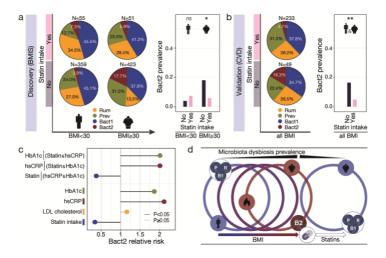


Fig. 3 | Association between the prevalence of the Bact2 enterotype, obesity and statin intake. a, Bact2 prevalence in obese (BMI≥30) compared with lean and overweight (BMI < 30) individuals in the BMIS cohort (n = 888), stratified according to statin medication status. Statin-medicated obese individuals display a significantly lower prevalence of Bact2 as compared with non-statin-medicated individuals (bar plots; statin-medicated versus non-statinmedicated, 5.88% versus 17.73%, n = 888 biologically independent samples, Fisher's two-tail exact test, log likelihood = -2.88, \*P = 0.028). b, The lower Bact2 prevalence among statinmedicated compared with non-statin-medicated individuals is validated in the MetaCardis cardiovascular disease cohort, comprising n = 282 non-diabetic patients with cardiovascular disease (CVD; statin-medicated versus non-statin-medicated, 4.72% versus 16.33%, n = 282biologically independent samples, Fisher's two-tail exact test,  $\log$  likelihood = -3.47, \*\*P = 0.008). c, Relative risk of obese BMIS individuals (n = 474 participants) harbouring a Bact2 enterotype as a function of statin intake and serum biomarkers for potential (side) effects of statins (lipidemic control (LDL-cholesterol), inflammatory modulation (hsCRP) and glucose regulation (HbA1c)). Variables were modelled independently or together in univariate or multivariate models, respectively (Supplementary Table 19). The latter suggests that statin intake remains associated with a reduction in dysbiosis risk after partialing-out hsCRP and HbA1c (n = 462 biologically independent samples, multivariate binomial logistic regression, Statin | (hsCRP and HbA1c) relative risk = 0.36,  $P_{adj} = 0.039$ ). Adjustment for multiple testing (Padj) was performed on univariate tests using the Benjamini–Hochberg method (represented by black lines when significant ( $P_{adj} < 0.05$ ), or otherwise a dashed grey line ( $P_{adj} = 0.15$ )). **d**, Graphical summary of the main results regarding the prevalence of the Bact2 enterotype, BMI and statin intake. In the present BMIS cohort, we identify Bact2 as an inflammation-associated microbiome community constellation, with increasing prevalence along a BMI gradient in non-

statin-medicated individuals. Statin therapy is associated with attenuated inflammation and a Bact2 prevalence comparable to that observed among lean and overweight subjects. Circles represent individual host configurations in terms of body mass, microbiota community type, and inflammation status.

## **METHODS**

## Sample collection

## **Ethical compliance**

Ethical approval was obtained from the Ethics Committee CPP Ile-de France, Ethics Committee at the Medical Faculty at the University of Leipzig, and the Ethical Committees of the Capital Region of Denmark. The study protocol (also comprising an interventional arm which is not part of the analysis presented) was registered at <a href="https://clinicaltrials.gov">https://clinicaltrials.gov</a> (study number NCT02059538). The study design (observational cohort study) complied with all relevant ethical regulations, aligning with the Helsinki Declaration and in accordance with European privacy legislation. All participants provided written informed consent.

## Study cohort

The n = 888 transnational Body Mass Index spectrum (BMIS) cohort was assembled as part of the overall MetaCardis recruitment efforts (Supplementary Fig. 1). Participants were recruited between 2013 and 2015 in the clinical departments of the Pitié-Salpêtrière Hospital (Paris, France), the Integrated Research and Treatment Center for Adiposity Diseases (Leipzig, Germany), and in the Novo Nordisk Foundation Center for Basic Metabolic Research (Copenhagen, Denmark). Potential participants were evaluated for suitability according to standardized inclusion and exclusion criteria across the three recruitment centres. Exclusion criteria included history of abdominal cancer/radiation therapy on the abdomen, history of intestinal resection (except for appendectomy), acute or chronic inflammatory or infectious diseases (including hepatitis C virus, hepatitis B virus and HIV), history of organ transplantation or receiving immunosuppressive therapy, severe kidney failure (MDRD glomerular filtration rate < 50 ml (min 1.73m<sub>2</sub>)<sub>-1</sub>), or drug or alcohol addiction. All study participants had to be free of any antibiotic use in the three months before inclusion. The BMIS (n = 888) cohort consisted of a MetaCardis sub-cohort, defined by exclusion of cardiovascular patients (defined in the MetaCardis consortium study protocol as patient groups 4, 5, 6 and 7) and any individual with type-2 diabetes. Diagnosis of type-2 diabetes was defined using the American Diabetes Association definition: fasting glycemia >6.9 mmol l-1 and/or 2 h values in the oral glucose tolerance test >11 mmol l-1 and/or haemoglobin A1c (HbA1c, glycated haemoglobin)  $\geq 6.5\%$  and/or use of any antidiabetic treatment. The MetaCardis project sample size calculation was focused on the objectives of

multi-omics integration and metagenomic-wide metabolome-wide association study (MW2AS) across groups of patients ranging different cardiometabolic phenotypes. On the basis of unpublished data from consortium partners, a sample size of 2,000 individuals was deemed required to detect a significant association (with and without concomitant risk factors). No specific sample size calculation was performed before BMIS sub-cohort recruitment. On the basis of the baseline prevalence of Bact2 enterotype (with baseline defined as lean/overweight individuals P(Bact2) = 14%) in the amplicon-sequenced FGFP cohort, the present study cohort size enabled us to identify a minimum difference of 7.4% in Bact2 prevalence between the two groups: lean or overweight (n = 414) versus obese (n = 474) as significant (power = 80%, alpha = 0.05).

## Validation cohorts

MetaCardis Cardiovascular Disease (CVD, n = 282). The CVD cohort was recruited as described above as part of the MetaCardis cohort, and corresponds to patients with cardiovascular disease and without diabetes, defined in the MetaCardis consortium study protocol as patient groups 4, 5, 6 and 7. Flemish Gut Flora Project (FGFP, n = 2,345). The FGFP cohort is part of a population-level cross-sectional sampling of the Flemish population described in ref. 10 and re-sequenced with dual-indexed HiSeq amplicon sequencing as analysed in ref. 31. Ethical approval for the FGFP sampling was granted by the Commissie Medische Ethiek UZ-VUB (B.U.N.143201215505) and the Ethische Commissie Onderzoek UZ/KU Leuven (\$58125). The inclusion and exclusion criteria defined for recruitment of the MetaCardis cohort and, more specifically, the BMIS subset, were applied to the FGFP: inclusion age between 18 and 75 years old, exclusion of acute or chronic inflammatory or infectious diseases (notably diagnosis of inflammatory bowel disease and recent gastroenteritis), and exclusion of patients with diabetes—defined as having a diagnosis of diabetes or increased glycated haemoglobin A1c levels (HbA1c  $\geq$  6.5%), or the use of any antidiabetic treatment. The disease diagnoses used for exclusion were reported by the general practitioners of the participants. The medical questionnaire and blood sampling for analysis (including HbA1c) were performed within one week of faecal sampling.

## Sample collection

Faeces were collected according to International Human Microbiome Standards (IHMS) guidelines (modified SOP 04 V1 (collection without anaerobic bag)). In brief, participants were handed a collection kit, collected samples at home, and stored them temporarily (less

than 48 h) at -20 °C until they were transported frozen (on dry ice) to the collection centre (Pitié-Salpêtrière Hospital (France), University Hospital of Leipzig (Germany) or Frederiksberg Hospital (Denmark)). Blood samples were collected during the clinical examination visit after overnight fasting.

#### Metadata collection

Participant phenotyping was performed according to standardized operational procedures and included the acquisition of biological samples and the assessment of clinical parameters and anthropometrics including age, gender, smoking status, weight, height, BMI, blood pressure, body composition, and waist and hip circumference measurements. Body fat mass and fatfree mass were determined through bioelectrical impedance analysis. Systolic and diastolic blood pressure were measured using a mercury sphygmomanometer (measures were taken three times on each arm; the mean of the last two measurements on the right arm was used for analyses). During the interview at the clinical visit, a detailed list of prescribed medications (based on direct recall or medication list when provided) as well as the medical history of the patient was compiled. Subjects were questioned on adherence to their medication plan. Five-year antibiotic intake was assessed by recall in France and Denmark, whereas participants in Germany were requested to provide medication anamnesis from their general practitioners or physicians (drugs prescribed over the past five years). All medication data was curated jointly by the study physicians at each centre so as to harmonize presentation. The metadata necessary for reproducing the results presented in the article are available in Supplementary Table 2.

# Sample analyses

## **Blood analyses**

Blood metabolic markers were assessed in local routine laboratories. Analyses of adipokines, measures of glycaemia, inflammatory markers, and free fatty acids were centralized; plasma and serum samples were stored at the respective clinical centres at  $-80\,^{\circ}$ C until shipment to a central measuring facility. Blood cell counts (leukocytes, monocytes, neutrophils and immune cells) were measured using flow cytometry as described previously32. Fasting glucose, total cholesterol, high-density-lipoprotein cholesterol, triglycerides and HbA1c were measured using enzymatic methods. LDL-cholesterol concentrations were measured enzymatically for German participants; values for French and Danish subjects were calculated using the Friedwald equation. Kinetic assays based on coupled enzyme systems

were used to measure alanine aminotransferase, aspartate aminotransferase and  $\gamma$ glutamyltransferase levels. Free fatty acid concentrations were assessed by photometrics (Diasys Diagnostic Systems). A chemiluminescence assay (Insulin Architect, Abbott) was used to measure serum insulin and C-peptide levels in a fasting state and at 30 and 120 min during an oral glucose tolerance test. Serum leptin was determined using the Human Leptin Quantikine ELISA Kit (R&D Systems); adiponectin was measured using an ELISA sandwich assay (HMW & Total Adiponectin ELISA Kit, ALPCO). Levels of hsCRP were determined by an IMMAGE automatic immunoassay system (Beckman-Coulter). Blood concentrations of high-sensitivity interleukin 6 (hsIL6) and CD14 were measured using the Human IL-6 Quantikine HS and the Human Quantikine ELISA Kit (R&D Systems), respectively. A Luminex assay (ProcartaPlex Mix&Match Human 13-plex, eBioscience) was set up to measure the following cytokines: interferon gamma-induced protein 10 (IP-10), C-X-C motif chemokine ligand 5 (CXCL5), CC-Chemokin ligand 2 (CCL2), Eotaxine, Interleukine 7 (IL-7), macrophage migration inhibitory factor (MIF), macrophage inflammatory protein 1β (MIP 1β), stromal cell-derived factor 1 (SDF1) and vascular endothelial growth factor A (VEGFA).

## Metagenomic analyses of faecal samples

Total faecal DNA was extracted following the International Human Microbiome Standards (IHMS) guidelines (SOP 07 V2 H) and sequenced using an Ion proton system (Thermo Fisher Scientific) resulting in 23.3  $\pm$  4.0 million (mean  $\pm$  s.d.) 150-bp single-end reads per sample on average. Reads were cleaned using AlienTrimmer (v0.2.4)33 to remove resilient sequencing adapters and to trim low quality nucleotides at the 3' side (quality and length cutoff of 20 and 45 bp, respectively). Cleaned reads were subsequently filtered from human and potential food contaminant DNA (using human genome RCh37-p10, Bos taurus and Arabidopsis thaliana with an identity score threshold of 97%). Gene abundance profiling was performed using the 9.9-million-gene integrated reference catalogue of the human microbiome34. Filtered high-quality reads were mapped with an identity threshold of 95% to the 9.9-million-gene catalogue using BowTie (v.2.2.6) included in the METEOR software 35. A gene abundance table was generated by means of a two-step procedure using METEOR. First, the uniquely mapping reads (reads mapping to a single gene in the catalogue) were attributed to their corresponding genes. Second, shared reads (reads that mapped with the same alignment score to multiple genes) were attributed according to the ratio of their unique mapping counts. The gene abundance table was processed for rarefaction and normalization

and further analysis using the R package MetaOMineR36. To decrease technical bias due to different sequencing depth and avoid any artefacts of sample size on low-abundance genes, read counts were rarefied. The gene abundance table was rarefied to 10 million reads per sample by random sampling of 10 million mapped reads without replacement. The resulting rarefied gene abundance table was normalized according to the FPKM (fragments per kilobase of transcript per million mapped reads) strategy (normalization by the gene size and the number of total mapped reads reported in frequency) to give the gene abundance profile table and binned by functional and phylogenetic categories as carried out within the MOCAT2 framework37. 1,436 metagenomic species (MGS; co-abundant gene groups with more than 500 genes corresponding to microbial species) were clustered from 1,267 human gut metagenomes used to construct the 9.9-million-gene catalogue34, as described previously38. MGS abundances were estimated as the mean abundance of the 50 genes defining a robust centroid of the cluster (if more than 10% these genes gave positive signals). MGS taxonomical annotation was performed using all genes by sequence similarity using NCBI blast N; a species-level assignment was given if more than 50% of the genes matched the same reference genome of the NCBI database (November 2016 version) at a threshold of 95% of identity and 90% of gene length coverage. The remaining MGS were assigned to a given taxonomical level from genus to superkingdom if more than 50% of their genes had the same level of assignment. Microbial gene richness (gene count) was calculated by counting the number of genes that were detected at least once in a given sample, using the average number of genes counted in ten independent rarefaction experiments.

## **Determination of faecal microbial load**

Microbial loads of faecal samples of were determined as described previously<sub>1,2</sub>. In brief, 0.2 g frozen (-80 °C) aliquots were dissolved in physiological solution (9 g l-1 NaCl; Baxter S.A.) to a total volume of 100 ml. Subsequently, the slurry was diluted 1,000 times. Samples were filtered using a sterile syringe filter (pore size 5 μm; Sartorius Stedim Biotech). Next, 1 ml of the microbial cell suspension obtained was stained with 1 μl SYBR Green I (1:100 dilution in DMSO; shaded 15 min incubation at 37 °C; 10,000 concentrate, Thermo Fisher Scientific). The flow cytometry analysis was performed using a C6 Accuri flow cytometer (BD Biosciences)<sub>39</sub>. Fluorescence events were monitored using the FL1 533/30 nm and FL3 > 670 nm optical detectors. In addition, forward and sideward-scattered light was also collected. The BD Accuri CFlow (v.1.0.264.21) software was used to gate and separate the microbial fluorescence events on the FL1/FL3 density plot from the faecal sample

background. A threshold value of 2,000 was applied on the FL1 channel. The gated fluorescence events were evaluated on the forward/sideward density plot, so as to exclude remaining background events. Instrument and gating settings were kept identical for all samples (fixed staining/gating strategy39; Supplementary Fig. 2). On the basis of the exact weight of the aliquots analysed, cell counts were converted to microbial loads per gram of faecal material.

## Analyses of faecal metagenomes

## Quantitative microbiome profiling

Phylogenetic quantitative microbiome profiles were built using a modified version of the pipeline described in ref. 1. In short, sample abundance profiles were downsized to even sampling depth, defined as the ratio between sampling size (average mOTU marker genes coverage40) and microbial load (average total cell count per gram of frozen faecal material). The sequencing depth of each sample was rarefied to the level necessary to equate the minimum observed sampling depth in the cohort. The rarefied mOTU abundance matrix was converted into numbers of cells per gram and quantitative microbiome profiling matrices created for phylum to species levels. Functional quantitative microbiome profiles and quantitative co-abundance gene groups38 profiles were constructed by multiplication of relative proportions to an indexing factor proportional to the microbial cell densities of the samples (load), defined as the sample load divided by the median load over the entire MetaCardis cohort. The processed microbiome profiles can be downloaded at http://raeslab.org/software/BMIS/.

## **Customized module analyses**

Customized module sets included previously described gut metabolic modules11 covering bacterial and archaeal metabolism specific to the human gut environment with a focus on anaerobic fermentation processes, expanded with a specific set of six modules focusing on bacterial trimethylamine metabolism41. Additionally, following a previously published strategy to build manually curated gut-specific metabolic modules11,31, we constructed a new set of modules to describe and map microbial phenylpropanoid metabolism (phenylpropanoid metabolism modules, PPM) from shotgun metagenomic data. This set of 20 modules, following KEGG syntax, is provided in the Supplementary Information, including references to the original publications in which the pathways were described (Supplementary Table 3). Abundances of customized modules were derived from the orthologue abundance tables

using Omixer-RPM v1.0 (https://github.com/raeslab/omixer-rpm)11,42. The coverage of each metabolic variant encoded in a module was calculated as the number of steps for which at least one of the orthologous groups was found in a metagenome, divided by the total number of steps constituting the variant. The presence or absence of a module was identified with a detection threshold of more than 66% coverage to provide tolerance to misannotations and missing data in metagenomes. Module abundance was calculated as the median of ortholog abundances in the pathway with maximum coverage.

# Statistical analyses

Statistical analyses were performed in R using the following packages: vegan43 v.2.5-3, phyloseq44 v.1.26.0, FSA45 v.0.8.24, coin46 v.1.2-2, DirichletMultinomial47 v.1.24.0, Hmisc48 v.4.1-1, car49 v.3.0-2, sjstats50 v.0.17.5, and nnet51 v.7.3-12. All statistical tests used were two-sided. All P values were corrected for multiple testing when appropriate using the Benjamini–Hochberg method ( $P_{adj}$ ), only  $P_{adj} < 0.05$  were reported as significant.

## Faecal microbiome derived features and visualization

Observed richness was calculated using phyloseq<sup>44</sup>. Microbiome inter-individual variation was visualized by principal coordinates analysis using Bray–Curtis dissimilarity on the genus-level relative abundance matrix with Hellinger transformation.

## Partitioning of microbiome variation across clinical explanatory variables

The estimation of the explanatory power of clinical features regarding relative, genus-level, microbiome profiles variation was performed using univariate or multivariate stepwise distance-based redundancy analysis as implemented in the R package vegan43.

## Microbiome community typing

Enterotyping (or community typing) of the genus-level abundance microbial profiles with Hellinger transformation was performed on the basis of the Dirichlet multinomial mixtures (DMM) approach implemented in the R package DirichletMultinomial, as described in ref. 52 on the whole of the n = 2,022 MetaCardis cohort. Although the dissimilarity/distance-based approaches were applied to screen for covariate-associated microbiome trends throughout the whole of the BMIS cohort, DMM-based stratification allows identification of covariates not only associated with the strata, but also linked to fluctuations in the prevalence of one (or more) particular microbiota constellation(s). This makes enterotyping a valuable strategy when assessing microbiome variation in pathologies that are not expected to be characterized

by generalized dysbiosis with varying severity according to diagnosis53, but—by contrast—by the increased occurrence of a single dysbiotic community type with prevalence depending on the condition studied1,2,31, as proposed here for obesity.

#### Microbiome features and clinical features associations

Taxa unclassified at the genus level or present in fewer than 20% of samples were excluded from the statistical analyses. Pearson or Spearman correlations were used, respectively, for linear or rank-order correlations between continuous variables, including genera abundances and metadata. The Mann–Whitney *U*-test was used to test median differences of continuous variables between two different groups. For more than two groups, the Kruskal–Wallis test with post-hoc Dunn test were used. Statistical differences in the prevalence of enterotypes between groups were evaluated using pairwise Fisher's exact tests. Modelling the association between the prevalence of enterotypes (Bact1, Bact2, Prev, Rum) or Bact2 prevalence (Bact2 = Yes/No) and single (univariate) or multiple (multivariate) dependent variables (clinical metadata features) was performed using generalized linear models, namely multinomial or binomial logistic regression (for enterotypes or Bact2 prevalences, respectively) with significance evaluated by likelihood ratio tests using the R package car. Risk ratio estimates (and their confidence intervals) were retrieved using the R package sjstats, by conversion of the odds ratios of the generalized linear models<sup>54</sup>, the latter corresponding to exponential transformation of the model coefficients.

## Reporting summary

Further information on research design is available in the Nature Research Reporting Summary linked to this paper.

#### Data availability

Raw amplicon sequencing data used in this study have been deposited in the EMBL-EBI European Nucleotide Archive (ENA) under accession number PRJEB37249. The metadata and processed microbiome data required for the reanalysis of results presented in the manuscript are respectively provided as Supplementary Table 2 and available for download at <a href="http://raeslab.org/software/BMIS/">http://raeslab.org/software/BMIS/</a>. For clinical cohort-related questions, contact K.C.

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**Competing interests** J.R., S.V.-S., G.F. and M.V.-C. are listed as inventors on patent application PCT/EP2018/084920, in the name of VIB VZW, Katholieke Universiteit Leuven, KU Leuven R&D and Vrije Universiteit Brussel, covering the features of the microbiome associated with inflammation described in ref. 2.

## **Additional information**

Supplementary information is available for this paper at

Correspondence and requests for materials should be addressed to J.R. or K.C.

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