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Mathilde Marc, Regis Risani, Eric Desnoes, Xavier Falourd, Bruno Pontoire, et al.. Co-Polyesters of Hydroxy-Fatty Acids Extracted from Tomato Peel Agro-Wastes and Glycerol with Tunable 16 Mechanical, Thermal and Barrier Properties. 2020. hal-02980994v1

**HAL Id: hal-02980994**

**<https://hal.inrae.fr/hal-02980994v1>**

Preprint submitted on 27 Oct 2020 (v1), last revised 21 Dec 2020 (v2)

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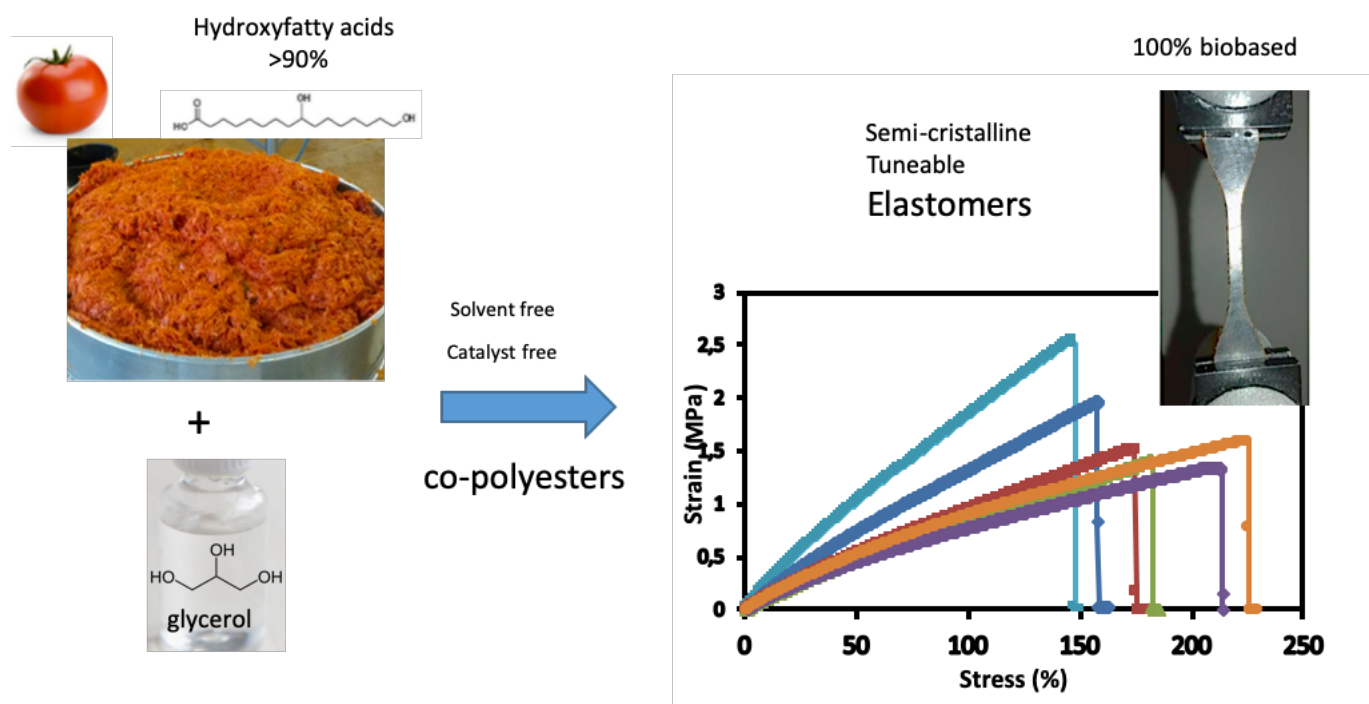


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## Synopsis

Bioinspired polyester elastomers synthesized from glycerol and tomato agrowaste, through a catalyst- and solvent-free process display new and tunable properties.

## Graphical abstract



**Co-polyesters of hydroxy-fatty acids extracted from tomato peel agro-wastes and glycerol with tunable mechanical, thermal and barrier properties**

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## Abstract

By mimicking the cutin natural polyester networks of plant cuticles, we produced hydrophobic elastomers by a sustainable process, i.e., using a catalyst- and solvent-free polycondensation of glycerol and hydroxy-fatty acids, two by-products of the agro-food industry. The hydroxy-fatty acid fraction was obtained by alkaline hydrolysis in ethanol of peels from industrial tomato pomaces. This industrial-like fatty acid fraction contained more than 90% wt. of 9(10)-16 dihydroxyhexadecanoic acid (diOHC16). The co-polyesters were designed by increasing the ratio of esterified glycerol/diOHC16 in a range observed in plant cutins (up to 6% wt.). Their structure and functional properties (thermal, mechanical, gas permeability, interaction with bacterial cells) were characterized. Increasing the glycerol contents induced a significant decrease in the reticulation degree of the polyesters and the formation of crystalline domains with an hexagonal organization. These structural modifications were related to a marked increase of elastomeric extensibility (up to 217%). While water vapor permeability was not impacted, the increase of glycerol content induced a significant decrease of oxygen permeability. None of the polyesters displayed biocide activity, but an increase of glycerol content significantly reduced the adhesion of bacterial cells, potentially giving rise to antifouling applications. Finally, the increasing content of free hydroxyl groups of the co-polyesters offer opportunities of grafting and covalent substitution for tailored functionalization.

## Keywords

Plant cuticle, biomimetic, cutin polyester, hydroxy-fatty acid, glycerol, semi-crystalline elastomer

## Introduction

To face terrestrial life colonization, plants have developed a hydrophobic barrier, the cuticle, that covers the surface of every aerial organs. This ubiquitous interface between the plant and its environment, fulfils multiple crucial functions such as mechanical supports against wounding and cracks, control of non-stomatal water loss and permeation of gases<sup>1</sup>.

The main biopolymer of this complex hydrophobic assembly is the cutin polyester, that is essential for the functional properties of the plant cuticle<sup>2, 3</sup>. Cutin is insoluble due to its polyester network architecture. It can be depolymerized mainly into a variety of long chain hydroxy-fatty acids building blocks. Each of these carboxylic acid monomers contains 16 and-or 18 carbon atoms and one to three hydroxyl groups. Interestingly, the tomato fruit peels concentrate high amount of cutin, whose monomer composition is strikingly homogenous, with more than 80% by weight consisting of 9(10)-16 dihydroxyhexadecanoic acid (diOHC16). These  $\omega$ -hydroxylated molecule carrying midchain functionalities, present high interfacial properties<sup>4</sup>, but constitutes also a unique opportunity for the development of innovative biosourced polymer materials from depolymerized tomato cutin feedstock<sup>5</sup>. Reaching this innovation would address the important landmarks set by the United Nations sustainable development goals because tomato cutin is a readily available residue of the tomato processing industries. Tomato wastes, i.e., pomaces, are mainly composed of peels and seeds. 44 MT of tomato fruit are processed worldwide, to make derived tomato products (e.g., purees, juice, sauces) generating nearly 1.5 MT of animal feed and production of biogas whereas their transformation for development of new materials, from bioplastic to high-functional value products remains largely overlooked<sup>6</sup>. Peels and seeds can be easily separated and dried, directly in the factory, and the hydroxy-fatty acids can be easily extracted from the cutin of tomato peels<sup>7</sup>.

Furthermore, glycerol, a main by-product of the biodiesel and oil industries<sup>8</sup>, has been identified as a potentially influent minor component in tomato cutin. Esterified glycerol has been evidenced in the cutin polyester network structure<sup>9</sup> and typically represents only 0.6 to 0.7 % wt. of the cutin monomers obtained by depolymerization (Philippe et al 2016). In addition, we have recently highlighted that the ratio of the glycerol to hydroxy-fatty acid can be modulated in tomato fruit. Indeed, in tomato fruit mutants affected in a cutin synthase (CUS1), an enzyme involved in the cutin biosynthesis, a 60% reduction in cutin deposition was induced without any significant negative impact either on the fruit development or the

generation of cracks<sup>10</sup>. Looking at the cutin polyester structure, we observed a significant increase of ratio of the glycerol to hydroxy-fatty acid. These results obtained with the mutants suggested that the inclusion of co-polymerized glycerol in the tomato cutin network could be involved in the mechanical adaptation of the fruit cuticle, in particular in the modulation of its extensibility. This is especially essential for resistance to turgor pressure during fruit growth and environmental constraints to prevent fruit from cracking, a phenomenon responsible for major crop losses<sup>12</sup>. Taking inspiration from nature, our leading hypothesis is that we can produce tunable bio-based co-polyesters that mimic potential adaptive events of cutin simply by playing on the glycerol/diOHC16 ratio.

Hereinafter, we describe a solvent- and catalyst-free polymerization process to generate co-polyesters elastomers from two diOHC16 and glycerol, two readily available and renewable biological resources. Tuning of the ratio of esterified glycerol to diOHC16 in a range similar to what it is observed in plant cutin effectively results in the modulation of the structure (amorphous or semi-crystalline), the properties (mechanical, thermal and barrier properties) of the ensuing co-polyesters.

## **Experimental Section**

### **Materials**

Glycerol (99 % purity) and all other chemical ACS reagents were from Sigma-Aldrich (USA). The analytical grade solvents were obtained from Carlo Erba (Val de Reuil, France). The 16-10(9)-hydroxy-hexadecanoic acid enriched hydroxy-fatty acid fraction (referred as “diOHC16” in the manuscript) was extracted from industrial tomato pomaces provided by the “Conserveries de Bergerac” (UNIPROLEDI, Bergerac, France). The peels and seeds of the pomaces were separated by decantation in a water tank. The floating peels were recovered, their water excess was squeezed out and then dried at room temperature. Dried peels (1kg) were grounded and dewaxed under reflux of acetone: ethanol 1:1 (v:v) in a Soxhlet extractor and then dried in a fume hood. Cutin depolymerization was conducted at room temperature in 5% (wt.) KOH in ethanol 95%. After filtration on a Buchner funnel, about 90% of the filtrate was evaporated under vacuum and replaced by water. Hydroxy-fatty acids were precipitated by adjusting pH at 3.5 with concentrated HCl. The hydroxy-fatty acid precipitate was extensively washed with water, and finally freeze-dried.

The purity of the fatty acids was determined after phase partitioning in CHCl<sub>3</sub>/CH<sub>3</sub>OH/H<sub>2</sub>O 8:4:3 (v:v). The lower chloroform-rich phase containing only lipophilic compounds, mainly fatty acids and some pigments, was recovered, evaporated under vacuum and weighed. The cutin monomer batches contains 98 ± 0.5% of lipophilic compounds. The fatty acid composition of the lipophilic fraction was determined by gas chromatography coupled to a mass spectrometer as previously described <sup>13</sup>. A 60% yield of hydroxy-fatty acids was obtained and the same batch of 500g of diOHC16 extract was used in the study. This batch was dark-red colored due to the remaining pigments and consisted of ~90 % diOHC16 (**Supplemental Table 1**).

### **Preparation of the cutin-like co-polyesters films**

Co-polyesters films were produced by a solvent-free and catalyst-free polycondensation. We developed a polymerization process in order to minimize the non-esterified glycerol within the copolyester films. Preparation with different weight ratio of diOHC16/ Glycerol (1.8g diOHC16 for PG0.4 ; 1.71g diOHC16/90 mg glycerol for PG2.3; 1.62g diOHC16/180 mg glycerol for PG3.9; 1.53g diOHC16/ 270 mg glycerol for PG4.8 ; 1.44g diOHC16/ 360 mg glycerol for PG5.2 and 1.35 g of diOHC16/ 450 mg glycerol for PG6.2 respectively) were put in Teflon molds (5 cm x cm) with a thickness of about 0.7-1 mm. The nomenclature of the co-polyesters refers to the glycerol weight ratio comprised within the co-polyester at the end of the process (**Table 1**). Bulk poly-condensation was then conducted for 24h at 150°C in an oven (VaccuTherm, ThermoFisher, USA). In order to avoid bubbles and to minimize the presence of residual free glycerol, i.e., non-esterified, in the final material a 400mbar vacuum was applied during the first 2h of the polycondensation process. After 24h, the polymers were rapidly cooled on ice and stored at room temperature. Samples of the ensuing films were immersed under agitation in various solvents of diOHC16 and/or of glycerol, i.e., water, ethanol and chloroform 16h at room temperature to assess their solubility.

### **Structural characterization of the cutin-like co-polyester films**

Attenuated total reflectance Fourier-transform infrared spectroscopy (ATR-FTIR) spectra (200 scans) were recorded at a resolution of 2 cm<sup>-1</sup> on a Nicolet Magna IR 550 spectrometer equipped with a liquid nitrogen cooled mercury-cadmium-telluride detector. The instrument was continuously purged with dry air. Spectra of co-polyester films were obtained by attenuated total reflection (ATR) using

a single reflection accessory fitted with a thermostated diamond crystal with a 45° angle of light incidence. All spectra (3 per co-polyester) were acquired in the 4000 to 700 cm<sup>-1</sup> range at 4cm<sup>-1</sup> resolution and accumulating 30 scans.

<sup>13</sup>C solid state NMR (CP-MAS NMR) was carried out on a Bruker AvanceIII-400 MHz spectrometer operating at 100.61 MHz for <sup>13</sup>C, equipped with a double-resonance H/X CP-MAS 4-mm probe for CP-MAS (cross-polarization magic-angle-spinning) solid-state experiments. Sixty mg of the co-polyester films were put packed into 4 mm zirconia rotors. The samples were spun at 12,000 Hz at room temperature. CP-MAS spectra were acquired with a contact time of 1 ms, a recycling delay of 10 s and over accumulation of 2048 scans. The carbonyl carbon was set to 176.03 ppm through external glycine calibration. NMR spectra deconvolution was performed using the PeakFit® software (Systat Software, Inc., USA).

X-Ray Diffraction analysis was performed on a Bruker-AXS D8 Discover diffractometer. The X-ray beam was produced in a sealed copper tube at 40 kV and 40 mA. The 500-μm beam with a CuKα1 wavelength (1.5405 Å) was collimated and parallelized using two crossed-coupled Göbel mirrors. The X-ray diffraction data were collected using a Vantec500 two-dimensional detector, previously calibrated with silver behenate, in the 2.θ-range: 3-70°. The samples are placed perpendicular to the X-ray beam. Recorded diffractograms  $I = f(2\theta)$  were normalized to remove the influence of thickness variation among the samples. Temperature kinetics were performed using Linkam's HFS91 stage. The samples were placed in a mica window cell. The detector was positioned at a focusing distance of 8.6 cm from the sample surface. It was in direct beam position. The heating kinetic applied to the sample was 3°C.min<sup>-1</sup> from 20°C to 100°C.

### **Chemical characterization of the cutin-like co-polyester films**

Free glycerol was extracted from polymers (5mg in 1 mL of CH<sub>3</sub>OH), overnight at room temperature. Esterified glycerol was released from polymers by mild methanolysis using a modified procedure <sup>9</sup>. Isolated pieces of the co-polyester films were stirred at room temperature in a mixture of 50mM sodium methoxide in dry methanol with the internal standard 1,2,3-butanetriol <sup>14</sup>. Free and esterified glycerol extracts were dried with a nitrogen flow, and analyzed by GC-MS and GC FID. For the quantification of the cutin hydroxy-fatty acids, the co-polyesters were depolymerized through methanolysis according to a described procedure during 6h until complete depolymerization. The depolymerized hydroxy-fatty acids were silylated with 1% BSTFA/TMCS and analyzed by GC-MS and GC-FID as previously described <sup>11</sup>.



Free OH groups were derivatized by benzyl-etherification resistant to alkaline depolymerization, according to an established method <sup>11</sup>. Briefly, co-polyesters (3mg) were mixed at 90°C in a screw-capped glass tube for 24h with 15mg of 2-benzyloxy-1-methylpyridinium triflate and 1.68 mg of magnesium oxide in 1mL of trifluorotoluene. The samples were then extensively washed with CH<sub>2</sub>Cl<sub>2</sub> and dried before depolymerization and cutin monomer analysis. GC-FID surface response of the labelled diOHC16 (either in midchain position or in ω-position) were compared between the different co-polyesters and were expressed as equivalent of heptadecanoic acid, as external standard.

#### **Thermal and mechanical properties of the cutin-like co-polyester films**

Differential Scanning Calorimetry (DSC) was performed on a DSC Q100 (TA Instruments, New Castle, DE, USA). The DSC instrument was calibrated with an indium standard. Analyses were made with 2–5mg of samples, using hermetically-sealed aluminium pans. An empty pan was used as a reference. Samples were cooled from 20°C to –50°C and heated at 3°C.min<sup>-1</sup> until 80°C. The Glass transition temperature (T<sub>g</sub>) of the co-polyesters was defined at the midpoint of heat capacity change on the thermograms recorded on the first scan. The melting temperature was determined at the maximum of the peak.

Dynamic Mechanical Thermal Analysis (DMTA) was performed on a DMTA MKIV (Rheometric Scientific, US). Rectangular specimens (20 mm ×4 mm) were cut from the films and thickness of about 0.7–1 mm was precisely measured with a micrometer. The samples were analyzed in the tensile mode at the frequency of 1 Hz with strain amplitude of 0.1%. To keep the samples taut a static force superior by 10% to the dynamic force was applied to the sample. A scanning rate of 3°C min<sup>-1</sup> from -50°C to 80 °C was used. Each sample was analyzed in duplicate.

Tensile test of the films was carried out using MTS Synergie 100 (MTS Systems Corporation, USA). The film samples were cut into a dog-bone shape. The test was performed using a cross-head speed of 10 mm/min. The reported results, including Young's modulus, ultimate strength and elongation at break, were the average values of five specimens. The standard deviations are indicated in **Table 1**.

#### **Barrier properties of the cutin-like co-polyester films**

Water permeances of the co-polyesters were determined at 25°C and under 100% relative humidity gradient using transpiration chambers as previously described <sup>11, 15</sup>. Typically, co-polyesters (5 replicates) were mounted on the top of the transpiration chamber with a 0.6 cm diameter hole, subsequently filled

with 300 µL of deionized water, inverted and placed in a desiccator filled with a desiccant. Water transfer across the co-polyesters samples was determined by gravimetric analysis of the transpiration chamber every 8h during 4 days. Water permeance (P) was calculated as the ratio  $P=F/A.\Delta c$ , where F (Flow rate of water) is determined as the slope of water transfer across the samples (in  $\text{g.s}^{-1}$ ), A (in  $\text{m}^2$ ) is the area across which transport has occurred, and  $\Delta c$  (in  $\text{g.m}^{-3}$ ) is the water gradient driving force.

The oxygen permeability of co-polyesters was determined in triplicate at 23°C and 0% relative humidity through an isostatic and dynamic method using gas-phase chromatography as previously described<sup>16</sup>. The film samples (4 cm diameter) were placed inside sealed stainless-steel permeability cells. The lower chamber was continuously spread by a  $5 \text{ mL.min}^{-1}$  flux of permeant gas ( $\text{O}_2$ ), and the upper chambers by the same flux of vector gas (helium), thus applying a permanent  $\text{O}_2$  partial pressure difference. The permeability cells were coupled to a gas chromatograph with thermal conductivity detector (GC-TCD, Agilent 7890A, USA), equipped with an automatic valve to online analyze the evolution with time of  $\text{O}_2$  gas concentration in the upper chamber. The GC-TCD was equipped with two capillary columns - PoraPlot U ( $25 \text{ m} \times 0.530 \text{ mm id}$ , Agilent) and HP Molesieve ( $30 \text{ m} \times 0.535 \text{ mm id}$ , Agilent) – able to separate  $\text{O}_2$ ,  $\text{CO}_2$  and  $\text{N}_2$ . Data were collected and processed using the ChemStation OpenLab Software (Agilent) and SRA Prochem interface (SRA Instruments). The  $\text{O}_2$  permeability,  $P_{\text{O}_2}$  ( $\text{mol.m}^{-1}.\text{s}^{-1}.\text{Pa}^{-1}$ ), of the film samples was calculated as follows:

$$P_{\text{O}_2} = \frac{J \times e}{A \times \Delta P} \quad (\text{equation1})$$

Where:  $J$  ( $\text{mol.s}^{-1}$ ) is the flux of gas that pass through the film,  $e$  is the film thickness (m),  $A$  is the permeation area ( $\text{m}^2$ ) and  $\Delta P$  is the  $\text{O}_2$  pressure differential between the upper and lower chambers of the cell (Pa).

### Microbiological analyses

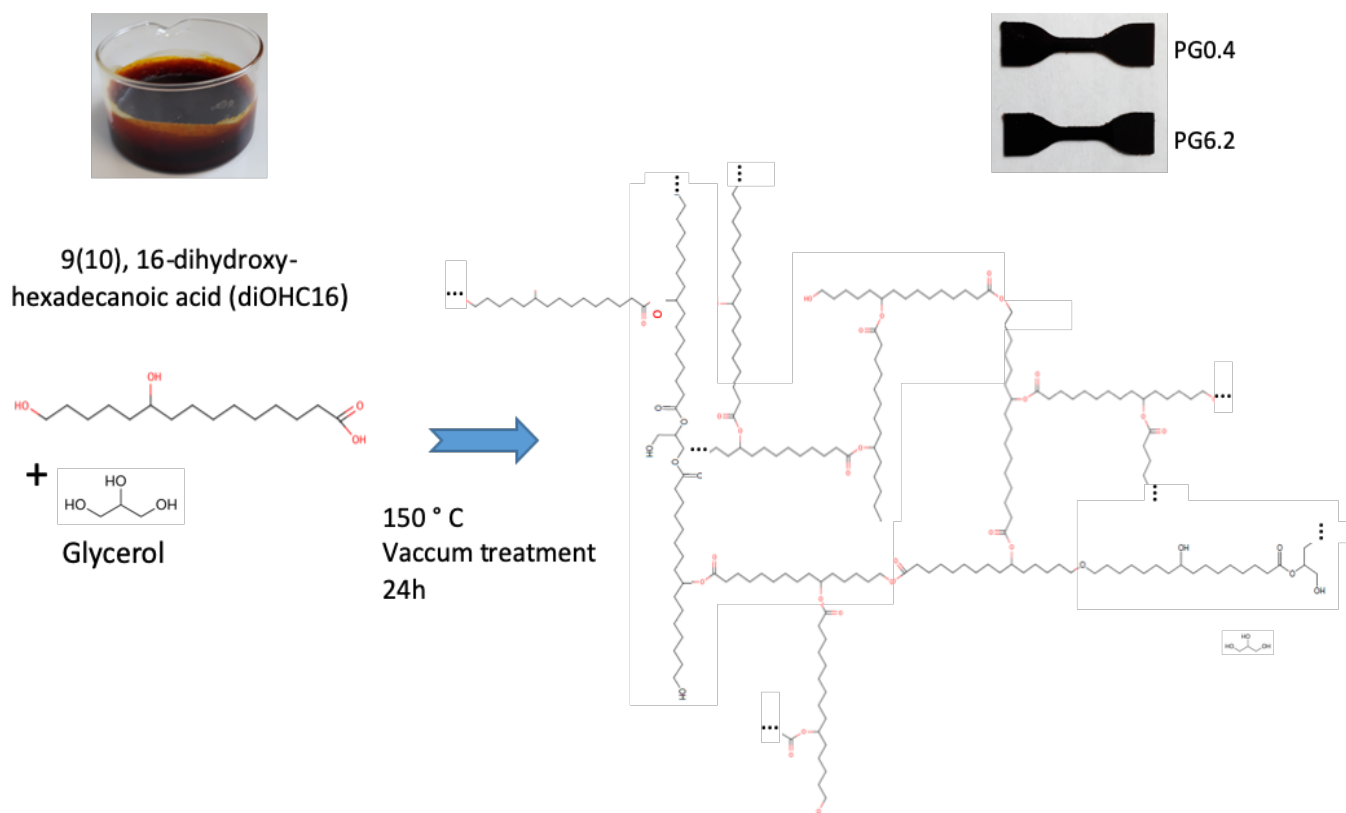
*S. aureus* NCTC8325 and *E. coli* TOP 10 cells ( $10^5 \text{ cells.mL}^{-1}$ ) in Mueller-Hinton broth (MHB) medium were exposed for 24h to  $0.5 \text{ cm}^2$  of polyester films (*ca.* 10 to  $20 \text{ mg.mL}^{-1}$ ) at 37 °C, under orbital agitation (100 rpm). Upon incubation, the cellular morphology and the viability of the bacterial cells were visualized by light and fluorescent microscopy, respectively, using a Leica DM6000 B microscope equipped with an iXon EM+ 885 EMCCD camera (Andor Technology®). The fluorescent dye propidium iodide (PI) was used for labelling the dead cells (red fluorescence). Negative controls, i.e., bacteria growing in media devoid of cutin-like co-polyester films, were also carried out. Films from each tested condition were also collected

to scan for the presence of bacterial cells on the film's surface. All assays were done in triplicate. The films were first washed with phosphate buffered saline (PBS) to remove non-adherent bacteria, subsequently fixed with glutaraldehyde 2.5% (v/v) during 10 min. After 10 min, samples were rinsed with distilled water and dehydrated with aqueous solutions with increasing concentration of ethanol (ranging from 70 to 100%). Scanning electron microscopy (SEM) (microscope JEOL JSM-7001F, with an accelerating voltage set to 15 kV) was used to scan the co-polyester film's surfaces. All samples were coated with gold before analysis.

## Results and Discussion

### Sustainable production of dark black colored insoluble co-polyesters with different ratio of esterified-glycerol

The cutin-like polyesters were manufactured to meet green chemistry and sustainability criteria. Indeed, they were prepared through a catalyst- and solvent-free process using hydroxy-fatty acids and glycerol from renewable resources, i.e., agro-wastes and by-products of the tomato and oilseed processing industries. The hydroxy-fatty acids were extracted following two major biorefinery steps i) fractionation of industrial wastes, i.e., tomato pomaces, into seeds and peels and ii) the processing of peels to recover the hydroxy-fatty acids of the tomato cutin polyester. In both steps, green solvents were used, water and ethanol, which can be recycled to improve the sustainability of the process. The dewaxing step performed in this work, to limit the molecular complexity of this study, could be by-passed, since waxes account for less than 5% (wt.) of tomato cuticles<sup>10</sup>. In contrast with previous studies, the extraction of fatty acids from peels, instead of raw pomaces provided a fatty acid fraction with a higher diOHC16 content (90% instead of 45%)<sup>17</sup>. Interestingly, the alkaline hydrolysis in ethanol at room temperature gave rise to a high fatty acid yield (around 60% wt. of dry peels), instead of around 15% wt. for hot aqueous alkaline hydrolysis<sup>18</sup> thereby strengthening the sustainability of the process. The tomato seeds, a major by-products of pomace biorefinery, can be used for animal feed and human food due to the good nutritional quality of their protein, oil and fiber fractions, or for the production of biogas<sup>19</sup>. Finally, a similar sustainable processing of tomato pomaces was recently developed at the industrial scale for the internal coating of food cans (<https://www.tomapaint.com/>).



**Figure 1 – Schematic representation of the solvent- and catalyze-free polycondensation of tomato cutin hydroxyfatty acid and glycerol**

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278 The cutin-like co-polyesters formed by polycondensation of the diOHC16-rich hydroxy-fatty acid  
 279 extract and glycerol (**Figure 1**) displayed a dark brown color. All the polyester films were almost insoluble  
 280 in water, ethanol and chloroform (**Table 1**). This low solubility of the co-polyesters is therefore ascribed to  
 281 the formation of cross-linked networks. Minor hydroxy-fatty acids including some dioic acids, as well as  
 282 phenolic compounds (**supplemental Table 1**) present in the diOHC16 extract, are also insolubilized during  
 283 polymerization and therefore, are also involved in the formation of the polymer network. In the  
 284 amorphous polymers produced from whole tomato pomace, it has been proposed that impurities and their

oxidation could lead to the formation of additional acid functions during the polymerization, strengthening the formation of cross-linkages <sup>7</sup>. In our case, similar oxidation processes should occur as evidenced by the darkening that occurs during the polymerization process (**Figure 1**). Although these minor compounds and/or their oxidation products cannot be ignored, all other things being equal, the main variable of this study was the glycerol content. Accordingly, we can follow the modulating effect of glycerol on the properties of the co-polyesters produced from the fatty acid extract from peels provided from tomato pomaces, in line with a vision of a sustainable cutin-inspired chemistry.

To fulfill this objective, in the aim to monitor the effect of glycerol addition onto the cutin-*like* co-polyesters properties, materials containing different amounts of esterified glycerol were produced. After the poly-condensation reaction, the added glycerol can be (i) free, i.e., non-esterified, acting as an external plasticizer, or (ii) esterified, constituting a polyester monomer. Determination of free and esterified glycerol contents revealed that more than 95% of the glycerol was esterified (**Table 1**).

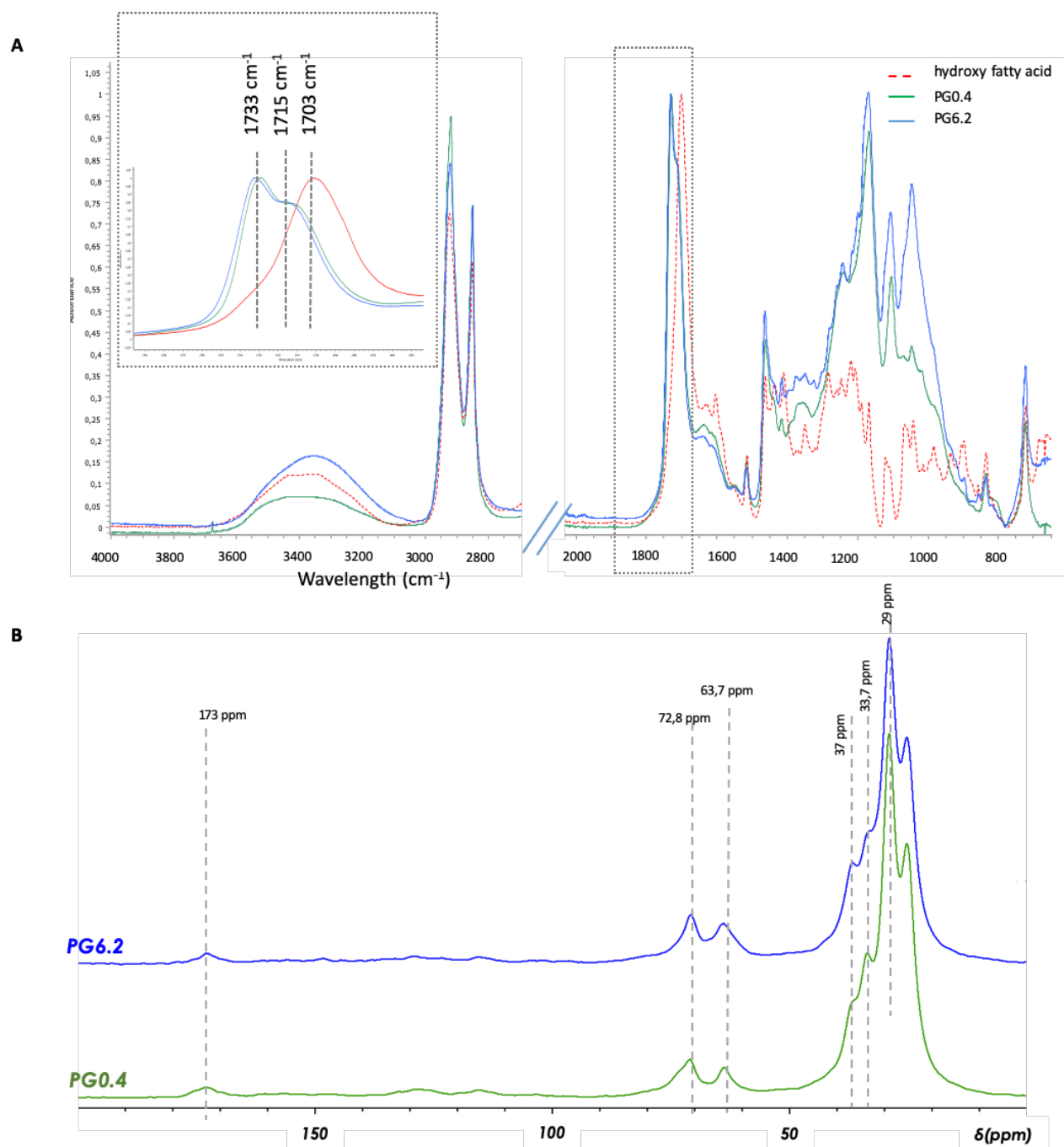
W water, CF chloroform, Et Ethanol

Table 1 Composition, chemical, thermal and mechanical characterization of the cutin-inspired co-polyesters

Sample	Chemical characterisation						DSC				DMTA		Mechanical test			
	glycerol total (%wt)	esterified glycerol (% wt)	free glycerol (% wt)	insoluble fraction (%) W	insoluble fraction (%) CF	insoluble fraction (%) Et	Glass transition temperature (°C)	Melting temperature 1 (°C)	Melting Enthalpy (J/g)	Melting temperature 2 (°C)	Melting Enthalpy (J/g)	Mechanical relaxation temperature (°C)	Storage Modulus at 50°C (MPa)	Breaking stress (MPa)	Strain at break (%)	Young's modulus (Mpa)
<b>PG0.4</b>	0.43 ± 0.24	0.38 ± 0.21	0.05 ± 0.04	100	100	98	-8.7	-	-	-	-	8.6	5.867	2.1 ± 0.5	124.0 ± 24.5	2.29 ± 0.05
<b>PG2.3</b>	2.27 ± 0.31	2.17 ± 0.32	0.10 ± 0.03	99	100	98	-13.1	-	-	-	-	5.4	5.035	2.0 ± 0.2	155 ± 12.7	1.74 ± 0.06
<b>PG3.9</b>	3.93 ± 0.34	3.75 ± 0.31	0.18 ± 0.05	99	99	94	-15.7	-	-	39.7	0.07	3.2	4.058	1.7 ± 0.2	180.7 ± 10.3	1.26 ± 0.2
<b>PG4.8</b>	4.80 ± 0.82	4.57 ± 0.80	0.23 ± 0.15	99	97	95	-17.0	17.9	0.32	41.6	2.60	1.9	1.408	1.4 ± 0.1	178.4 ± 8.6	1.11 ± 0.03
<b>PG5.2</b>	5.20 ± 0.31	4.87 ± 0.5	0.32 ± 0.2	99	94	91	-20.4	16.8	2.04	41.2	7.96	0.9	1.521	1.3 ± 0.1	197.3 ± 21.1	1.10 ± 0.01
<b>PG6.2</b>	6.18 ± 0.39	5.89 ± 0.45	0.29 ± 0.1	100	88	80	-18.5	18.3	0.59	41.6	9.05	0.2	1.589	1.5 ± 0.1	217.6 ± 14.2	1.19 ± 0.08

**A gradual increase of the glycerol content induces a decrease in the branching of the co-polyester films**

The co-polyesters containing the lowest (PG0.4) and the highest (PG6.2) levels of esterified glycerol were characterized by ATR- FTIR and  $^{13}\text{C}$  NMR (**Figure 2**). ATR-FTIR clearly indicated the formation of esters bonds in these co-polyesters. Indeed, we observed the characteristic shift of the carbonyl band from  $1705\text{ cm}^{-1}$ , assigned to the carboxylic acid, to the  $1733\text{ cm}^{-1}$  band assigned to the esterified carbonyl<sup>20</sup>. In addition, the  $1715\text{ cm}^{-1}$  band assigned to the ester bond involved in hydrogen bonding were also evidenced, as previously observed in tomato cutin<sup>10</sup>. In our present co-polyesters, the hydrogen bonds could involve the free hydroxyl groups either from the hydroxy-fatty acids and/or from the esterified glycerol. Besides, the  $1171\text{ cm}^{-1}$  band assigned to the stretching vibration of the C-O-C ester bond was observed in all co-polyesters. Likewise, the  $^{13}\text{C}$  C-MAS (**Figure 2B**) data confirmed the formation of esters, evidenced by a single broad peak at 173 ppm and by the peak at 64 ppm, which is assigned to primary esters<sup>17</sup>. In addition, no significant free fatty acid signals were evidenced at 178 ppm (-COOH) and at 35 ppm (-CH<sub>2</sub>-COOH). Finally, the comparison of the surface ratio of the 33.7 ppm and 37 ppm peaks, assigned to aliphatic carbons (-CH<sub>2</sub>-CHOR-CH<sub>2</sub>-) and (CH<sub>2</sub>-CHOH-CH<sub>2</sub>) respectively<sup>21</sup>, indicated a decrease (40%) in the esterification of the secondary hydroxyls of diOHC16 associated with the increase in the glycerol content.



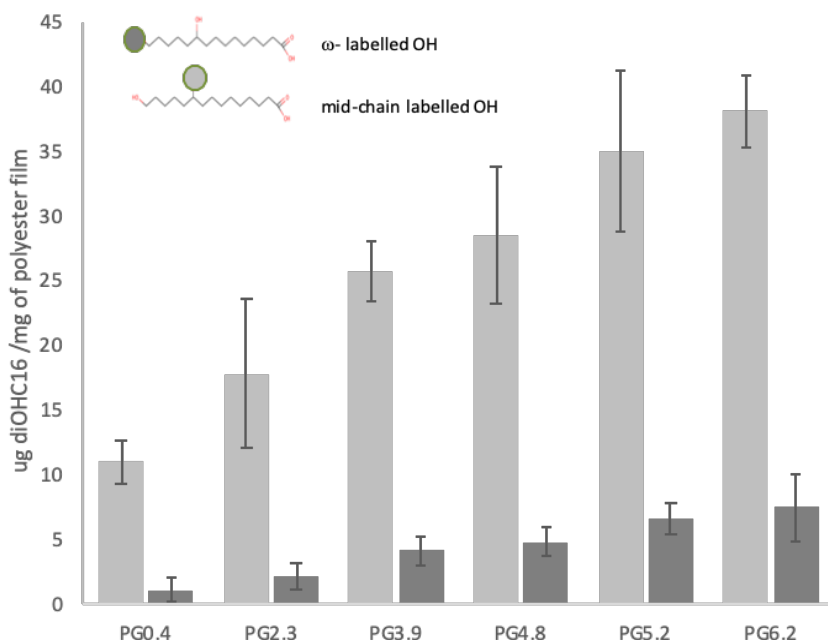
**Figure 2- ATR-FTIR and NMR spectra of the cutin-like polyesters films**

**A** . ATR-FTIR spectra of hydroxy-fatty acid (diOHC16) extracted from tomato cutin and the corresponding polymers with high ( PG6.2) or low ( PG0.4) glycerol. In inset, the magnification of the CO stretching bands at 1733  $\text{cm}^{-1}$  and 1715  $\text{cm}^{-1}$  are assigned respectively to ester group, and ester bond interacting by hydrogen bond whereas the band at 1703  $\text{cm}^{-1}$  is assigned to carboxylic group.

**B**. Solid State  $^{13}\text{C}$  CP-MAS NMR spectra of the polymers



The reticulation of the co-polyesters was further monitored by the chemical labelling of the free OH groups by benzyl ether within the diOHC16-derived polyesters <sup>11</sup> (Figure 3).



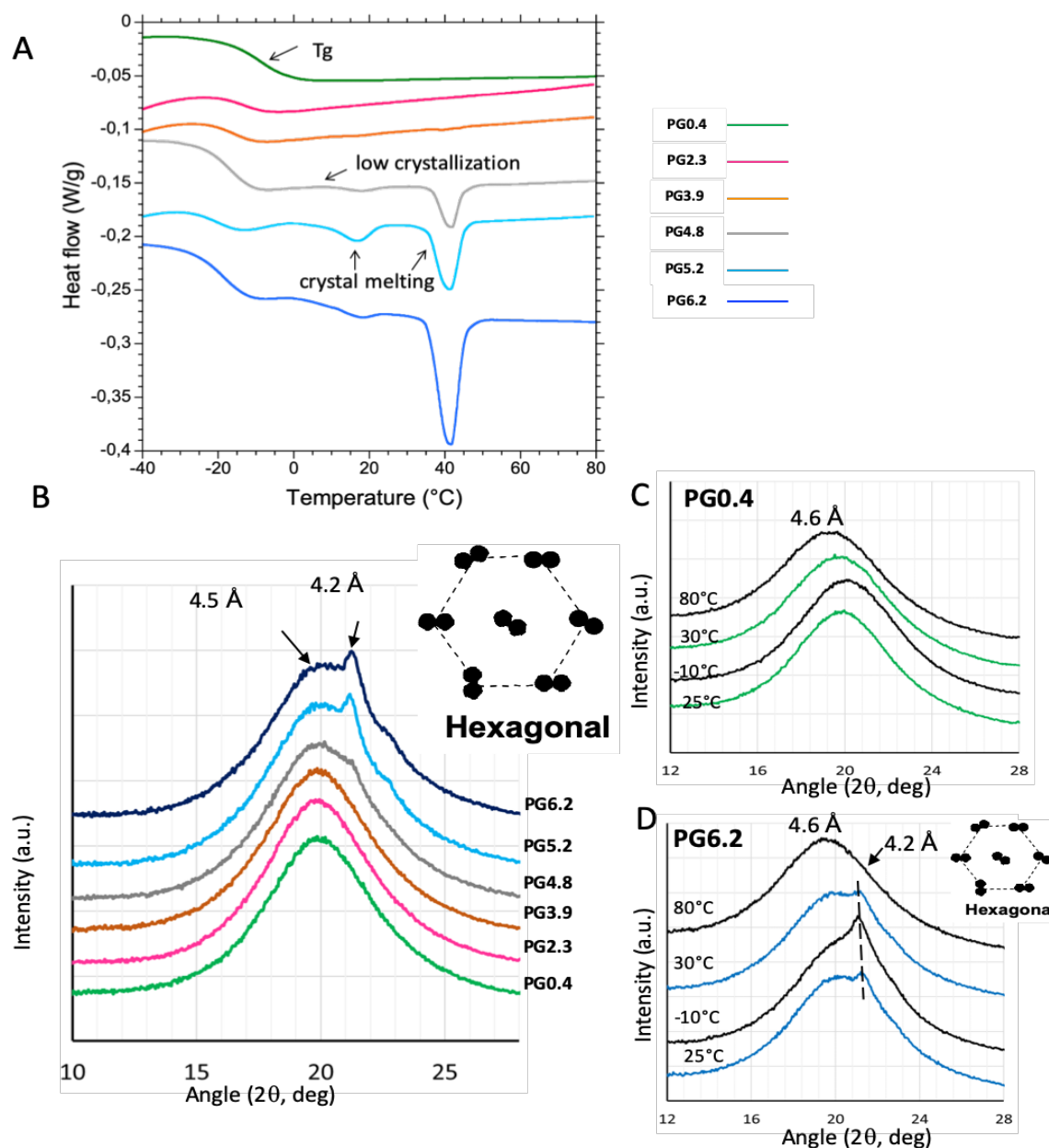
**Figure 3. Relative proportion of free OH groups at the  $\omega$ - and mid-chain position of the the diOHC16 in the co-polyester films.**

Values are means of at least three replicates bars are standard deviations

According to the chemical structure of the diOHC16, both, linear and branched ester bonds, can be formed in the co-polyesters. After complete depolymerization, the release of diOHC16 containing labeled OH groups either in  $\omega$ -position or in midchain-position was compared in the different co-polyesters. In PG0.4, the ratio of labeled mid-chain OH/ $\omega$ -OH labeled in diOHC16 was around 9, which indicates that the primary OH groups in the  $\omega$ -position were preferentially esterified compared to the OH groups in the midchain position. This result agrees with the NMR data (Figure 2B) and the previous studies of polycondensation of polyhydroxylated fatty acids <sup>21</sup>. A similar ratio was previously reported for tomato fruit cutin <sup>11</sup>. Furthermore, taking PG0.4 as a reference, the gradual introduction of glycerol in the co-polyesters resulted in a gradual increase (up to 3.5-fold) in the labeled OH groups, in particular in the midchain position. These results indicate that the introduction of glycerol in the co-polyesters induced a gradual decrease in the esterification of midchain hydroxyl groups involved in ester bonds and an increase in the linear polymerization scheme within the co-polyesters.

# Glycerol content modulate the elastomer properties of the co-polyesters

The thermal properties of all the produced co-polyesters were investigated by DSC on heating from -50°C to 80°C at 3°C.min<sup>-1</sup>. Characteristic thermograms are superimposed on the **Figure 4A**.



**Figure 4. DSC and XRD analyses of the different cutin-like diOHC16-glycerol co-polyester films**

**A** . DSC thermogram of the different co-polyesters containing increasing level of glycerol from 0.4 % wt (for PG0.4) to 6.2% wt (for PG6.2). **B** , XRD patterns of the different co-polyesters recorded at 25°C., XRD patterns recorded for PG0.4 (**C**) and PG6.2 (**D**) at different temperatures.

We checked that the cooling step at -50°C did not modify the crystallinity of the samples. The thermograms of the co-polyesters exhibited various events as a function of the composition, i.e., the amount of esterified glycerol in the samples. From -10°C to -20°C, the baseline fall was attributed to the glass transition characterizing the amorphous phase of the co-polyesters. The glass transition temperatures ( $T_g$ ), determined at the midpoint of the baseline fall, were determined for each co-polyester (**Table 1**). The  $T_g$  value recorded for the PG0.4 sample containing only traces of glycerol is -8.7°C, similar to that measured on polyester catalyst- produced (-7°C) from hydroxy-fatty acids extracted from green tomato cutin <sup>21</sup>. Interestingly, the  $T_g$  significantly decreased from -8.7 to -20.4°C with the gradual introduction of glycerol (up to 6.2% wt.) within the co-polyesters, suggesting that the mobility of the co-polyester chains increased giving rise to a more relaxed structure. This result is consistent with the observed reticulation pattern of the co-polyesters (**Figure 3**).

For the co-polyesters containing glycerol amounts lower than 4% wt. (PG0.4, PG2.3, PG3.9), the DSC thermograms recorded on heating did not exhibit thermal events above 0°C (**Figure 4A**). However, for the co-polyesters containing higher amounts of glycerol (PG4.8, PG5.2 and PG6.2), endothermic events were recorded and related to the gradual incorporation of glycerol in the materials. Indeed, for these co-polyesters two successive endothermic peaks were recorded around 17°C and 40°C. These endotherms may correspond to structural reorganizations, and/or changes in the physical state of molecules in the co-polyesters. The first peak (17°C), seems to be preceded by an exothermic peak, probably due to a low crystallization event (**Figure 4A**), and exhibits a low enthalpy that could not be clearly related with glycerol content (Table 1). The second endothermic peak (40°C) exhibits a higher enthalpy which increases with the level of glycerol content (**Table 1**).

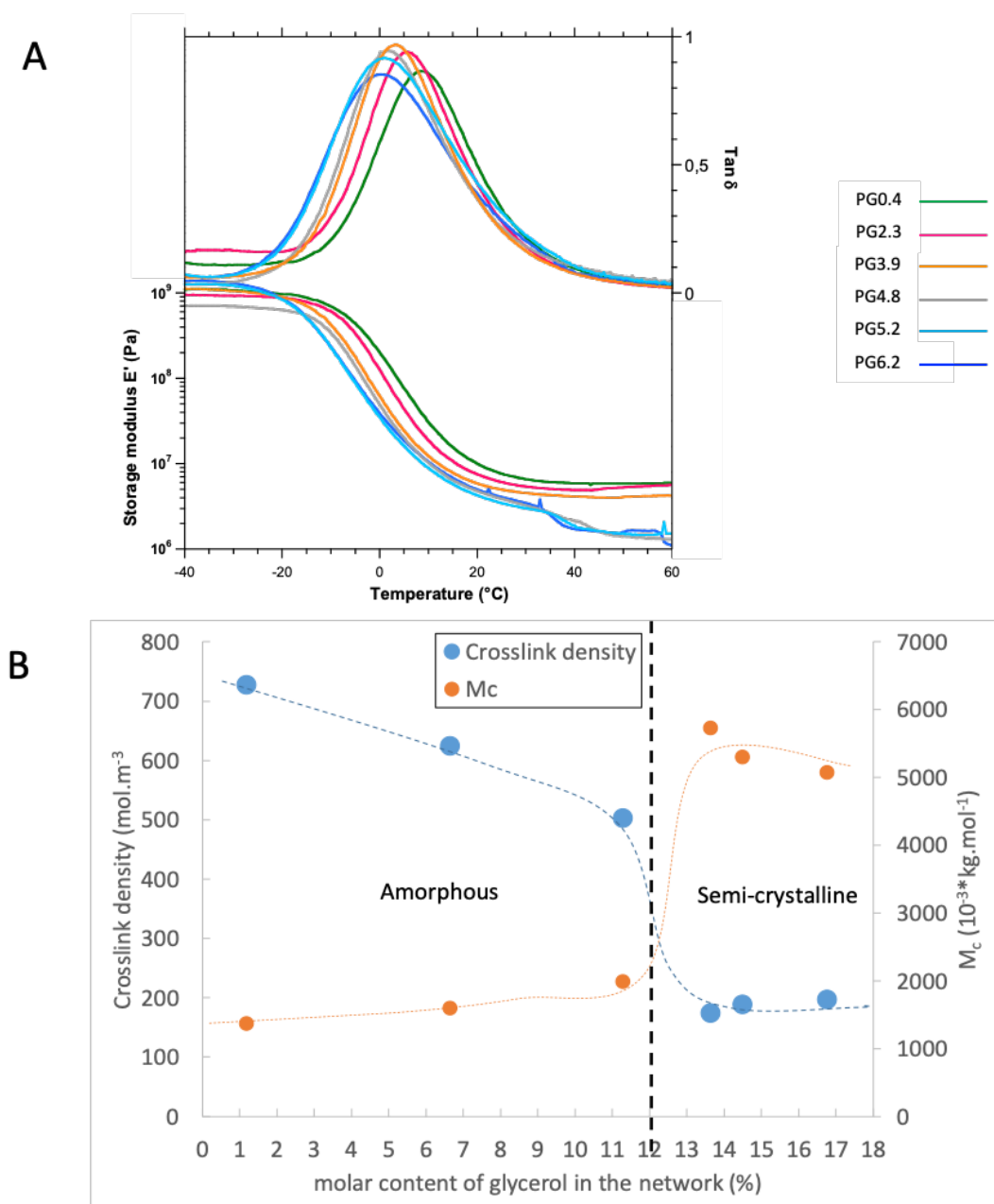
The X-ray diffraction experiments performed at 25°C showed at wide angles a bump centered at  $2\theta=19^\circ$  ( $d=4.5 \text{ \AA}$ ), corresponding to the amorphous state of the co-polyesters (**Figure 4B**). In the co-polyesters containing at least 5 % wt. of glycerol (PG5.2, PG6.2), a peak at  $2\theta=21.2^\circ$  was recorded (**Figure 4B**). This peak corresponds to a repeat distance of  $4.2 \text{ \AA}$  between the acyl chains that is characteristic of an hexagonal packing of acyl chains. This single peak constitutes the XRD signature of the formation of a crystalline organization of the linear esterified acyl chains within the co-polyesters containing at least 5% wt. glycerol. This XRD peak was superimposed to the bump centered at  $4.5 \text{ \AA}$  (**Figure 4B**), that corresponds to the coexistence of crystalline and amorphous states within the co-polyesters. The presence of glycerol

in the samples, and the esterification occurring between the hydroxyl groups of glycerol (mainly in *sn*-1 and *sn*-3 positions) and the carboxyl groups of the fatty acids induced a linear organization of the chains or the formation of shorter chains. This spatial proximity between the linear and shorter co-polyester chains may favor short-distance hydrophobic interactions between the acyl chains and result in a crystalline organization with a hexagonal packing below the melting temperature of the fatty acids. In absence of glycerol, the reticulation involves the hydroxyl groups both in midchain hydroxyl and  $\omega$ -positions. This prevents short-distance hydrophobic interactions between the linear chains formed by primary esterification, hence avoiding the formation of a crystalline organization in the co-polyesters.

The thermotropic phase behavior of the co-polyesters formed with very low (PG0.4) or high (PG6.2) amount of glycerol, were investigated by XRD at various temperatures ranging from -10°C to 80°C that have been selected according to the DSC results (**Figure 4A**). In presence of very low glycerol content (**Figure 4C**), the amorphous state of the polyester was characterized by a bump of RX diffusion, centered in a mean value that was affected by the temperature. Increasing the temperature induced a shift toward lower angle values corresponding to higher distances between the acyl chains associated with an increase in the molecular mobility. In the presence of 6 % wt. of glycerol (**Figure 4D**), the XRD experiments were conducted at 25°C, then the samples were cooled to -10°C, heated to 30°C (i.e., above the first endotherm) and then finally to 80°C (i.e., above the second endotherm). The single peak at  $2\theta=21.2^\circ$  (4.2 Å) was recorded at 25°C, confirming the results previously obtained. Decreasing the temperature to -10°C increased the intensity of the XRD peak at  $2\theta=21.2^\circ$  related to a hexagonal phase and was interpreted as an increase in the amount of the crystalline phase formed in the co-polyesters. On heating at 30°C, the intensity of the XRD decreased. Increasing the temperature at 80°C, i.e., above the second endotherm recorded by DSC and then above the melting point of the crystalline structures, induced the disappearance of the XRD peak at  $2\theta=21.2^\circ$  and to the recording of an XRD bump centered at 4.6 Å characteristic of acyl chains in a melted state. These XRD experiments evidenced the temperature-dependent behavior of the co-polyester, with presence of various amounts of hexagonal phase as a function of temperature.

The two endotherms revealed successively by DSC correspond to independent packing events of the molecules both organized in an hexagonal form as revealed by XRD. The different melting temperatures may correspond to packing of molecules with various compositions, *e.g.* chain length.

393 The thermo-mechanical behavior of all co-polyesters were analyzed by DMTA. **Figure 5A** shows the  
 394 plot of the storage modulus ( $E'$ ) and the loss factor  $\tan \delta$  of the co-polyesters.



**Figure 5 – DMA analysis of the cutin-like diOHC16-glycerol co-polyesters**

A – Plot of the storage modulus ( $E'$ ) and the loss factor  $\tan \delta$  of the co-polyesters with different level of esterified glycerol content.

B- Evolution of the crosslink density and the average mass between crosslinks as a function of the molar content of glycerol in the network. Dashed lines are just guides for the eyes.

For all samples, the large peak of  $\tan \delta$  corresponds to the main mechanical relaxation  $\alpha$  which is associated to the calorimetric glass transition of the elastomer (transition from glassy to rubbery state). The relaxation temperature from the glassy to the rubbery state ( $T_\alpha$ ), determined at the maximum of the  $\tan \delta$  curve, decreases from 8.6°C to 0.2°C when glycerol content increases (**Table 1**). In agreement with the  $T_g$  values obtained by DSC analyses (**Table 1**) such depressor effect of glycerol on  $T_\alpha$  further strengthens the impact of the glycerol on the properties of the cutin-like co-polyesters.

A second event appears on storage modulus which slightly fall in the temperature range 30-40°C for the composition containing more than 4% (wt.) of glycerol (PG4.8, PG5.2, PG6.2) (**Figure 5A**). This transition is attributed to the melting of the crystalline phase according to DSC and XRD results (**Figure 4**). Finally, the plateau of storage modulus is reached at about 50°C with a value which decreases from 5.867 MPa to 1.589 MPa when glycerol content increases from 0.4 to 6% wt. (**Table 1**).

Since these values are typical of rubber elasticity, the Flory theory<sup>22</sup> may be used to relate them to the structure of the networks<sup>23</sup>. Accordingly, the crosslink density  $\nu$  (mole.m<sup>-3</sup>) is given by:

$$\nu = \frac{E}{3RT} \quad (\text{equation 2})$$

Where: R is the gas constant 8.32 J.mol<sup>-1</sup>.K<sup>-1</sup>, T is the temperature in Kelvin and E the elastic modulus at small deformation.

Therefore, the average molecular mass  $M_c$  (kg.mol<sup>-1</sup>) between crosslinks is:

$$M_c = \frac{3RT\rho}{E} \quad (\text{equation 3})$$

Where:  $\rho$  is the density of the material in Kg.m<sup>-3</sup>. In the present case, E corresponds to the plateau storage modulus measured in DMTA at 50°C (T = 323 K). The density of the co-polyesters at this temperature could not be measured. As a first approximation, we considered a constant density  $\rho = 1000$  kg.m<sup>3</sup> for the calculations reported in **Table 2** and **Figure 5B**.

	n	$M_c$ (g/mol)	$M_c/M_0$	Esterified molar glycerol content *
	(mol.m <sup>-3</sup> )	(10 <sup>-3</sup> kg.mol <sup>-1</sup> )	-	mol %
PG 0.4	728	1374	5.1	1.2
PG 2.3	625	1601	5.9	6.64
PG 3.9	503	1987	7.4	11.3
PG 4.8	175	5726	21.2	13.6
PG 5.2	189	5301	19.6	14.5
PG 6.2	197	5074	18.8	16.8

\* the molar content of glycerol in the networks given by

$$\text{esterified Glycerol (mol\%)} =$$

$$\frac{\frac{\text{esterified glycerol w\%}}{M_{\text{glycerol}}}}{\frac{\text{esterified glycerol w\%}}{M_{\text{glycerol}}} + \frac{(100 - \text{free glycerol w\%} - \text{esterified glycerol w\%})}{M_{\text{diOH16}}}}$$

Table 2 : Macromolecular structure of the network predicted by the Flory theory

The elastomers PG0.4, PG2.3 and PG3.9 show the largest crosslink density values in the range of 500- to 730 mol.m<sup>-3</sup> associated to small values of  $M_c$  in the 1300-2000 g.mol<sup>-1</sup> range. Concurrently, much lower values of  $\nu$  (175 to 200 mol.m<sup>-3</sup>) are obtained for samples PG4.8, PG5.2 and PG6.2, with higher values of  $M_c$  in the 5000-5700 g.mol<sup>-1</sup> range.

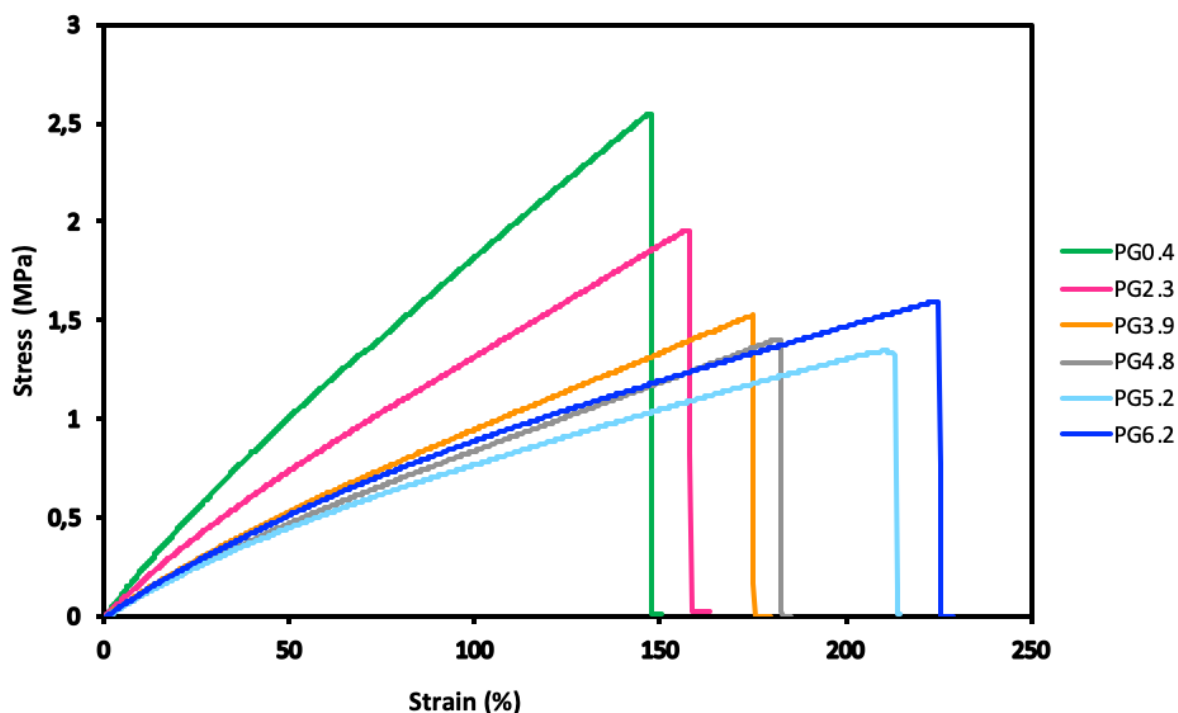
In a first approximation, the number of polymerized diOHC16 repeating units between crosslinks can be estimated by dividing these values of  $M_c$  by a repeating unit mass  $M_0 = 270$  g.mol<sup>-1</sup> (this value assumes that elastically active chains consist essentially of polymerized diOHC16 monomer units in which only 1 acid and 1 hydroxyl group have reacted.)

The values of the ratio  $M_c/M_0$  calculated in **Table 2** suggest that for samples PG0.4, PG2.3 and PG3.9 the number of polymerized diOHC16 repeating units should range from 5 to 7, while 19 to 21 repeating units are predicted for samples PG4.8, PG5.2 and PG6.2. For these latter, a few glycerol units are probably also present in the elastically active chains. Anyhow, such a loosening of the network induced by the gradual introduction of the glycerol is in full accordance with the increase in the labelling of free OH groups within the co-polyesters (**Figure 3**).

Besides, the plot of  $\nu$  and  $M_c$  as a function of this molar content of glycerol chemically bound to the network is shown on Figure 5B. A sharp transition from dense amorphous networks (PG0.4, PG2.3 and PG3.9) to loose semi-crystalline networks (PG4.8, PG5.2 and PG6.2) seems to take place around a threshold value of 12 mol% of glycerol.

The development of a crystallinity for higher glycerol contents may be ascribed to the flexibility of the long chain segments between two crosslinks which become able to fold into crystallites, while at lower glycerol content the shorter chains remain amorphous.

According to the different chemical structures highlighted by the structural and thermal characterization of the different co-polyesters, we further checked their tensile mechanical properties. The determined tensile strength, strain at break and Young Modulus are reported on **Table1**, and the characteristic curve are superimposed on the **Figure 6**.



**Figure 6- Typical Stress-strain curves of the cutin-like diOHC16-glycerol co-polyester films from 0.4 % wt. for PG0.4 to 6.2% wt. for PG6.2**

All co-polyesters, either with low or high content of glycerol, show a quasi linear and reversible stress-strain behavior that is characteristic of polyesters exhibiting rubber elasticity comparable to synthetic elastomers. The mechanical properties of the PG0.4, were comparable to those previously



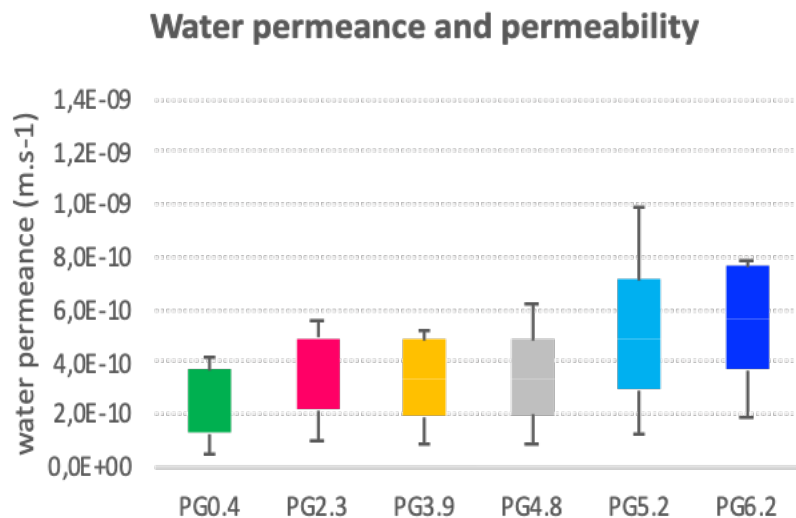
described with other polyhydroxylated fatty acids<sup>21, 24</sup>. Moreover, our present data show that the mechanical properties were strongly modified by the gradual introduction of glycerol in the co-polyesters which resulted in a decrease in the Young modulus (from 2.29 to 1.19 MPa), as well as in the stress at break (from 2.1 to 1.5 MPa). Surprisingly, higher glycerol of 6% induces a slight increase of tensile strength simultaneous to the increase of strain at break. It is certainly due to the crystallinity evidenced by calorimetry and XRD measurements (Figure 4).

The most striking observation is the increase in strain at break from 124% to 217 % (for PG0.4 and PG6.2 respectively) induced by the esterified glycerol in the co-polyesters (**Table1, Figure 6**). This elongation value is comparable to some synthetic rubber such as ethylene-propylene-diene or polysulfide-butadiene rubbers<sup>25</sup>. This strain at break induced by an higher glycerol/hydroxy-fatty acid ratio fully fits the CUS1 tomato phenotype which is affected in cutin deposition<sup>11</sup>. Importantly, the CUS1 tomato cutin polyester exhibited a fivefold increase in the glycerol ratio (vs hydroxylated fatty acids), which probably account for the increase in its extensibility and the absence of cracks observed on the CUS1 fruits, regardless that their cuticle density shows a threefold reduction<sup>10</sup>.

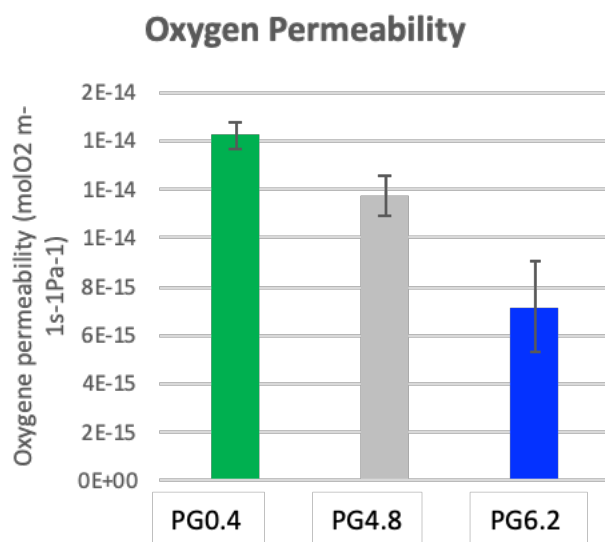
#### **Glycerol can modify the barrier properties of the co-polyesters and their interaction with bacterial cells**

Water permeance and oxygen barrier properties of the co-polyesters were analyzed. All the produced co-polyesters showed low water vapor permeance properties (**Figure 7A**) around  $10^{-9}$  m.s<sup>-1</sup>, in the same range than the plant cuticle permeance and some synthetic membrane, such as 3µm parafilm or 3µm polyethylene<sup>26</sup>. The introduction of esterified glycerol and the associated modification of the branching of the co-polyesters induced a slight, but statistically not significant increase in the water vapor permeability of the co-polyesters. This result is consistent with our previous data showing that a modification of the polymerization index did not significantly impact the water permeance of tomato cutins (Philippe et al, 2016).

A



B



**Figure 7- Barrier properties of the cutin-like co-polyesters**

Water permance (A) and Oxygen (B) barrier properties of the cutin-like diOHC16-glycerol co-polyesters containing increasing level of glycerol (0.4 %wt for PG0.4 to 6.2% wt for PG6.2 )

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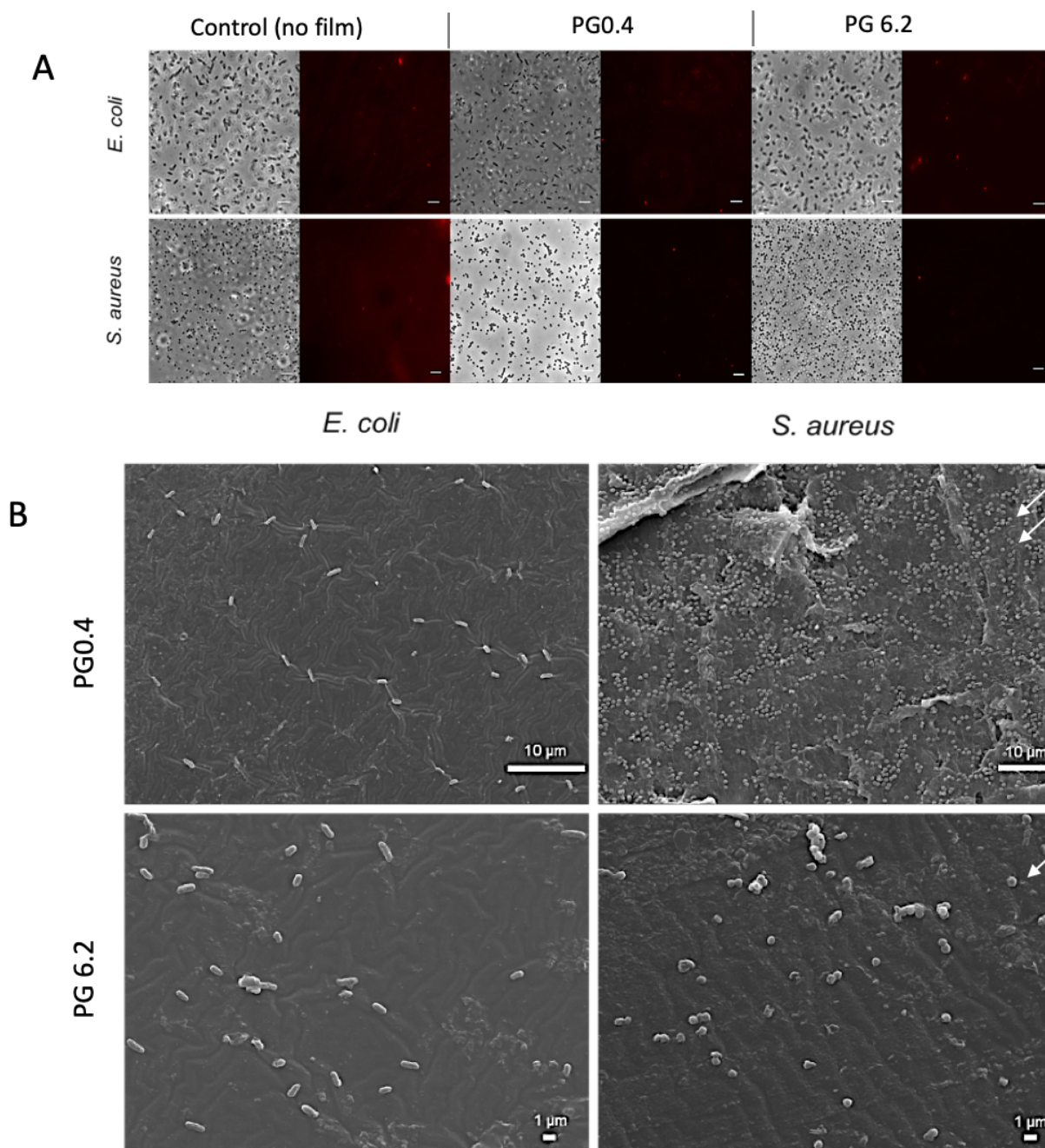
480 The oxygen permeability of the cutin-derived co-polyesters ranged between 0.7 and 1.4 x 10<sup>-14</sup> mol  
 481 m<sup>-1</sup> s<sup>-1</sup> Pa<sup>-1</sup> (Figure 7B). These values are in the same order of magnitude of synthetic polymers commonly  
 482 used for food packaging such as low-density polyethylene (0.1-0.2 x 10<sup>-14</sup> mol.m<sup>-1</sup>.s<sup>-1</sup>.Pa<sup>-1</sup>)<sup>16, 27, 28</sup>. These  
 483 values are also comparable to polylactic acid (PLA), a bio-based polyester, for which oxygen permeability  
 484 values ranging between 1x10<sup>-16</sup> and 1x10<sup>-14</sup> mol. m<sup>-1</sup>.s<sup>-1</sup>.Pa<sup>-1</sup> have been reported<sup>29-31</sup>. Other polyesters such

as fossil-based polyethylene terephthalate, or microbial synthesized polyhydroxyalkanoates (PHAs) have considerably higher barrier properties with oxygen permeability in the order of  $1 \times 10^{-17} \text{ mol m}^{-1} \text{ s}^{-1} \text{ Pa}^{-1}$  <sup>32, 33</sup>.

Interestingly, increasing the ratio of esterified glycerol in the co-polyesters improved the films oxygen barrier properties by reducing oxygen permeability by half (**Figure 7B**). This is probably associated with the increase of crystallinity, as observed for other elastomers <sup>34</sup> reducing the motion needed for oxygen diffusion.

Finally, the antibacterial activity of the co-polyesters containing the lowest and the highest levels of esterified glycerol (PG0.4 and PG6.2) was assessed on *E. coli* and *S. aureus*. After exposure to the co-polyester films, no labelling of dead cells was revealed (**Figure 8A**), which indicates that the co-polyesters were devoid of bactericidal properties regardless of the glycerol content. This result contrasts with the biocide activity that was demonstrated with acyl glycerol esters of hydroxy-fatty acids <sup>35</sup> or with films formed by self-assembly of these esters <sup>36, 37</sup> extracted by an ionic liquid catalyst from suberin, another hydrophobic plant polyesters.

Since the co-polyesters are devoid of bactericidal capacity, we further analyzed the films' surface following their incubation with bacteria (**Figure 8 B**). For either co-polyester, the numbers of adherent *E. coli* cells were low and comparable. Remarkably over the surface of the PG0.4 co-polyester, *S. aureus* formed a compacted biofilm whereas PG6.2 hinder significantly the adhesion of this bacteria species. This result demonstrates that increased glycerol levels in the cutin inspired co-polyesters leads to significant reducing of bacterial fouling. (**Figure 8 B**). The observed differences between the two bacteria species might be due to the electronegativity of the cells surface that is higher in *E. coli* than *S. aureus*, regardless that their different morphologies and surface roughness (rods and cocci, respectively) may greatly influence their adhesion in the conditions used here. This observation, supported by the structural, thermal and mechanical analyses, suggests that glycerol esterification altered the smoothness of the films' surface as well as their surface charge. One important finding is that by increasing further the glycerol levels in the cutin co-polyesters their anti-biofouling properties can be potentiated.



**Figure 8.** Microscopy imaging of the cutin-like co-polyesters PG0.4 and PG6.2 after incubation with *E. coli* and *S. aureus*.

**A.** Co-polyesters films activity against *E. coli* and *S. aureus*. Following exposure of bacteria to films pieces their morphology and viability were visualized by phase-contrast and fluorescence microscopy using Texas Red filter (dead cells are revealed by red fluorescence due to propidium iodide labelling), respectively. Controls were conducted without films. The scale bar in all images is 5  $\mu\text{m}$ .

**B.** Cells adherent onto the surface of the cutin co-polyester films were observed by SEM microscopy. No differences were noticed in the numbers of adherent *E. coli* cells in either co-polyester films. Conversely, the number of *S. aureus* adherent cells (ex under arrows) are significantly higher in the PG0.4 co-polyester compared to the PG6.2. The scale bar in all images is shown at the bottom.

## Conclusions

We report in this work, the sustainable production of glycerol/hydroxy-fatty acid co-polyesters by a catalyst- and solvent-free polycondensation process. Glycerol and hydroxy-fatty acids are biosourced molecules from by-products and wastes produced by the oilseed and tomato processing industries, respectively. The hydroxy-fatty acid fraction used in this work was prepared using an improved process compared to what is done today on an industrial scale. Therefore, the production of these glycerol/hydroxy-fatty acid co-polyesters meets the requirements of green chemistry and sustainability. These co-polyesters are inspired from the cutin polymer of plant cuticles, which is also composed of hydroxy-fatty acids and glycerol. Drawing on our previous studies on tomato fruit cuticle, we gradually increased the glycerol content while remaining in a concentration range observed in most plant cuticles. This biomimetic approach can be seen as a step forward in the production of natural elastomeric networks which is a very active field for instance from natural rubber<sup>23, 38</sup>. The produced co-polyesters displayed good elastomers properties, quite comparable to those of some synthetic rubber polymers. By adjusting the level of esterified glycerol, we induced modifications of the reticulation pattern which was associated to variation in chain mobility and extensibility. Glycerol also induced the loosening of the co-polyester network and the formation of crystalline domains. These modifications were associated to variations in the mechanical and barrier properties of the co-polyesters as well as adhesion of microorganisms. Actually, these hydroxy-fatty acid/glycerol co-polyesters provide excellent templates to further delineate the relationships between the complex molecular and macromolecular architecture and the functional properties of plant cuticular barriers, while developing green polymer composites adapted to specific applications for food, packaging industry or as antifouling natural product. Furthermore, increasing contents of glycerol led to available free hydroxyl groups for grafting and covalent substitutions for tailored functionalization, opening new routes for high-value applications (e.g., in the medical sector).

## Acknowledgment

This work was supported by INRAE Transfer grant (ELASTOMAT project) and the INRAE TRANSFORM department. RR is grateful to FCT funding for his PhD scholarship (SFRH-BD-110467-2015). The authors thank Mr André Lelion for his technical assistance.

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cutin monomers batch composition	%	(s.d.)
p-coumaric acid	1.8	(0.3)
16-hydroxy-hexadecanoic acid	2.7	(0.4)
16,9(10)-dihydroxyhexadecanoic acid	92.2	(2.3)
Hexadecane-1,16-dioic acid	0.4	(0.03)
7/8-hydroxy hexadecan-1,16-dioic acid	2.9	(1.2)

**Supplemental Table 1** – Composition of the hydroxy-fatty acid fraction extracted from tomato cutin from industrial tomato peels  
Value are means (standard deviation) of three experiments

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