

Towards better representation of organic agriculture in life cycle assessment

Hayo M G van Der Werf, Marie Trydemen Knudsen, Christel Cederberg

► To cite this version:

Hayo M G van Der Werf, Marie Trydemen Knudsen, Christel Cederberg. Towards better representation of organic agriculture in life cycle assessment. Nature Sustainability, 2020, 3 (6), pp.419-425. 10.1038/s41893-020-0489-6. hal-02983631

HAL Id: hal-02983631 https://hal.inrae.fr/hal-02983631

Submitted on 30 Oct 2020

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

2

3

4 5

Towards better representation of organic agriculture in life cycle assessment

Hayo M G van der Werf^{1*}, Marie Trydeman Knudsen², Christel Cederberg³

6 ¹SAS, INRAE, Agrocampus Ouest, Rennes, France; ²Dept. of Agroecology, Aarhus University, Tjele,

7 Denmark; ³Division Physical Resource Theory, Chalmers University of Technology, Gothenburg, Sweden

8 **Corresponding author, e-mail: hayo.van-der-werf@inrae.fr*

9

10 Preface

The environmental effects of agriculture and food are much discussed, with competing claims concerning the impacts of conventional and organic farming. Life cycle assessment (LCA) is the method most widely used to assess environmental impacts of agricultural products. Current LCA methodology and studies tend to favour high-input intensive agricultural systems and misrepresent less intensive agroecological systems such as organic agriculture. LCA assesses agroecological systems inadequately for three reasons: i) a lack of operational indicators for three key environmental issues, ii) a narrow perspective on functions of agricultural systems and iii) inconsistent modelling of indirect effects.

18

19 Abstract

20 Societal interest in the environmental performance of agricultural systems and their products is great and 21 growing. Life cycle assessment (LCA) is the method most widely used to analyse environmental impacts of 22 agricultural products. Current LCA methodology and studies tend to favour high-input intensive agricultural 23 systems and misrepresent less intensive agroecological systems such as organic agriculture. This is due 24 partly to LCA's product-based approach, which focuses on the production of biomass, without considering 25 other ecosystem services from agricultural systems, and partly because LCA rarely considers aspects that 26 agroecology aims to improve (soil health, biodiversity status, pesticide use impacts). The current practice of 27 limiting consideration of indirect effects in LCA studies to indirect land use change, using economic models 28 that ignore drivers of societal change and effects of policy instruments, further favours intensive agricultural systems. We identify three key areas (additional indicators, broader perspective, indirect effects) for which 29 30 we propose recommendations and priorities for research on environmental assessment of agricultural 31 systems.

- 32
- 33

34 Introduction

Societal interest in sustainable agriculture and food is great and growing^{1,2}, leading to a demand for information about the environmental performance of agricultural systems, food products and overall food 37 chains from almost all parts of society: policy makers, farmers, agribusinesses, public procurers, the media 38 and consumers. From this diverse group of stakeholders, different questions arise, such as: 'Is product A 39 better or worse for the environment than product B? Does converting to this production system really 40 decrease environmental impacts? Should this innovative management technology be encouraged from an 41 environmental perspective?'

42

43 The method most widely used to answer such questions is life cycle assessment (LCA), whose use is now 44 well established for assessing resource depletion issues and environmental and health impacts caused by production of agricultural products. LCA's basic principle³ is to follow a product through its life cycle, 45 46 defining a boundary between its 'product system' (the 'technosphere') and the surrounding environment. 47 Energy and material flows crossing this boundary are related to the system's inputs (e.g. resources) and 48 outputs (e.g. emissions to water and air). Resource consumption and pollutant emissions are then aggregated 49 into impact indicators; LCA thus focuses on negative impacts rather than including positive impacts. The first 50 LCAs were performed in the 1970s by Coca-Cola® when it investigated consequences of switching from 51 glass bottles to plastic bottles⁴. In the 1990s, application of LCA to agricultural systems began. From 1992 to 52 2018, the number of peer-reviewed English-language articles using LCA to assess agri-food systems 53 increased from 1 to 1040 per year (Fig. 1). Today, LCA is the core method in the European Union (EU)'s 54 development of a harmonised methodology for calculating environmental footprints of products (PEF) 55 including several food groups⁵.

56

57 LCAs of agricultural products very often consider only one function of an agricultural system: provision and processing of biomass to produce food, fibre or bioenergy⁶. By representing agricultural systems in a limited 58 59 manner, this product-based approach strongly contrasts with conceptual frameworks that focus on the multifunctionality of agriculture and its provision of a broad range of ecosystem services⁷ (contributions that 60 61 ecosystems make to human well-being). The ecosystem services concept has gained increasing global 62 recognition in policy making over the last decade, and today it is a significant research topic with diverse modelling and mapping approaches at multiple spatial and temporal scales⁸. Another example of a wider 63 64 view of agriculture is the concept of agroecology (Fig 2), recognised by United Nations (UN) institutions as 65 a science and social movement in the transition to sustainable food systems and a pathway to achieving the UN's Sustainable Development Goals (SDGs)⁹. Organic agriculture includes many agroecological practices; 66 its umbrella organisation, IFOAM - Organics International, defines it as a 'production system that sustains 67 68 the health of soils, ecosystems and people' and 'relies on ecological processes, biodiversity and cycles 69 adapted to local conditions', ultimately basing it on four principles: health, ecology, fairness and care¹⁰.

70

Willet et al.¹ highlight the urgency of transforming global food systems to meet the SDGs and the UN's Paris
climate agreement; they propose planetary boundaries for six key Earth system processes (climate change,

1 land-system change, freshwater use, nitrogen and phosphorous cycling, and biodiversity losses) on which food production and consumption have great impact. There is growing agreement on the need for changes in agri-food systems to make progress towards SDGs. Willet et al.¹ even call for a 'Great Food Transformation', which would require appropriate assessment tools and methods to examine the environmental performance of agriculture.

78

Here, we identify important deficiencies in LCA methodology when assessing agriculture based on agroecological principles, with examples of applying it to organic agriculture. We propose ways to strengthen the ability of LCA to capture environmental impacts of contrasting farming systems adequately.

82

83 Consequences of limiting agricultural system representation

84 LCA has a narrow perspective on functions of agriculture, linked to its product-based approach.

85

86 Narrow perspective on functions of agriculture

87 When analysing an agricultural system, LCA assesses its environmental impacts by considering both on-site 88 and off-site (associated with inputs) resource use, pollutant emissions and land use. LCA can capture 89 resource use and certain impacts (Fig. 3), although biodiversity losses and toxicity impacts due to pesticide 90 use are rarely included, as discussed later. Impacts are quantified using a set of indicators and reported per unit of product (e.g. kg of milk or wheat); consequently, they assess eco-efficiency¹¹. In contrast, the 91 92 ecosystem services framework⁷ models an agricultural system differently, considering the landscape of the 93 entire farm or farming region including its semi-natural habitats such as hedges, field margins, water bodies 94 and forests. Ecosystem services are generally expressed per unit area (e.g. ha of land¹²). This framework 95 considers the supply of a broad range of services (Fig. 3) from the agricultural system: provisioning (e.g. 96 crops, livestock, water), regulating and maintenance (e.g. pest control, pollination, climate regulation) and 97 cultural (e.g. recreation, education), as well as regulating and maintenance services supplied by other 98 ecosystems to the agricultural system. However, environmental impacts associated with inputs used in the 99 agricultural system are not considered.

100

101 The frameworks of LCA and ecosystem services assessment differ greatly in how they consider land. In LCA, 102 land is an elementary resource flow modelled in the same way as fossil energy and ore resources³, while in 103 ecosystem services assessment, it is part of the agricultural system, as land is the basis for essential 104 ecosystem functions, many of which are inextricably intertwined with the soil and its functions. Furthermore, 105 LCA considers only the provision of biomass (e.g. crops, animals) from the agricultural system. With this 106 narrow focus, LCA faces obvious problems when assessing multifunctional agricultural systems, such as 107 organic agriculture, and other food systems developed within the concept of agroecology. In the scientific 108 literature, there have been many attempts to set out principles of agroecology, and a UN expert panel has

109 recently suggested a comprehensive set of 13 agroecological principles. These are organised around three 110 operational principles for sustainable food systems: i) improve resource efficiency (recycling; input reduction); ii) strengthen resilience (soil health; animal health and welfare; biodiversity; synergy; economic 111 diversification) and iii) secure social equity/responsibility (co-creation of knowledge; social values and diets; 112 fairness; connectivity; land and natural resource governance; participation)⁹. Current LCA methods assess the 113 two resource efficiency principles sufficiently but consider inadequately many of the agroecological 114 principles designed to strengthen the resilience of food systems. This further illustrates the limited 115 116 perspective that LCA provides on food systems.

117

118 **Product-based approach**

119 There is a large consensus that organic agriculture has lower environmental impacts per unit of land occupied than conventional agriculture^{13,14}. If a farming region shifts to organic agriculture, its environmental impacts 120 will decrease (e.g. biodiversity will increase), and pesticide contamination of soil, water, air and food will 121 122 largely cease². Thus, government policies often favour a shift from conventional to organic agriculture. From 123 an LCA viewpoint, however, organic agriculture is not an obvious answer to environmental problems of 124 conventional agriculture, because LCA defines the function of the studied system using a 'functional unit", 125 which should be a precise measure of what the system delivers. Because LCAs express impacts per unit of 126 product by default, they typically identify the solutions that reduce emissions per unit of product as being the 127 best for production systems. Although organic agriculture generally emits less pollutants per unit of land 128 occupied than conventional agriculture (an area-based approach), it may have higher impacts per unit of product (e.g. land occupation, eutrophication, acidification)^{13,14}, due to its lower yields per unit area. Thus, 129 130 focussing solely on impacts per unit of product may well result in decisions in favour of conventional 131 agriculture that may increase pollutant emissions in the farming region.

132

Furthermore, many consumers perceive organic food to be of higher quality in terms of nutritional quality, pesticide residues and ethics, such as animal welfare¹⁵. Studies confirm organic products' better nutritional quality¹⁶ and positive effects on pesticide residues in urine¹⁷ and animal welfare¹⁸. By expressing impacts per unit of product, however, LCA studies comparing organic and conventional food rarely consider product quality, ignoring key qualitative aspects that are recognised in the principles of organic agriculture.

138

139 Neglected environmental issues

Surprisingly, LCA studies of agriculture and food systems rarely consider important issues such as land degradation, biodiversity losses, pesticide effects and animal welfare. The last item is not strictly an environmental issue, but it has recently been proposed as a fourth pillar of life cycle sustainability assessment¹⁹. Although animal welfare is important, and subject to trade-offs with environmental efficiency, we will not address it here.

146 *Land degradation*

Land degradation is a serious and widespread problem, including soil-deteriorating processes such as erosion,
 compaction, salinisation and soil organic carbon losses. Unsustainable land management in agriculture is a
 dominant driver of land degradation²⁰.

150

Despite efforts over the last 15 years to improve assessment of impacts due to land use, soil properties and 151 functions remain little represented in LCA, as discussed by Vidal Legaz and colleagues when evaluating 152 models assessing impacts on soil quality²¹. The models were evaluated against criteria such as scientific 153 154 soundness, stakeholder acceptance, reproducibility and model applicability in LCA. The authors conclude 155 that none of the models fulfilled all of the criteria, and that trade-offs were most frequent between the 156 relevance of the impact processes modelled and the model's applicability. Of the models assessed, the LANCA model was recommended for assessing land use impact in the EU PEF framework, but when 157 recently tested, it was found to still have some important limitations²². One main drawback of LANCA is that 158 it provides land use impact indicators at the coarse country scale, while soil properties have high spatial 159 160 variability and potential negative impacts are influenced greatly by local conditions. To favour assessment of 161 soil quality in LCA, methods need further development to strike a better balance between consideration of 162 local conditions and applicability.

163

164 A meta-analysis of 56 studies comparing a set of soil quality indicators measured in conventional and organic 165 systems shows that organic farming methods have a strong positive effect on total microbial abundance and activity in agricultural soils²³. According to its definition, organic agriculture must sustain and enhance soil 166 fertility, which is considered an important output of the farming system. In most agri-food LCA studies, 167 168 information about human pressure on land is expressed using the simple indicator 'area of land use per 169 functional unit and year'. Thus, soil quality effects of land management practices central to organic agriculture – such as diversifying crop rotations and using intercrops and catch crops – are largely ignored. 170 171 Consequently, current LCA studies rarely capture positive characteristics of these practices that are core 172 elements of organic agriculture.

173

The extent to which LCA tends to ignore impacts on soil quality can be illustrated by the fact that PestLCI²⁴, the state-of-the-art simulation model used in LCA studies to estimate pesticide emissions from an agricultural field to air, surface water and ground water, considers soil to be part of the technosphere. Thus, in this reductive viewpoint, the soil is equivalent to other technosphere elements such as factories, electrical power stations and livestock buildings. Lumping soil into the technosphere precludes assessing the toxicity of pesticide residues on soil life in LCAs, which is an obvious deficiency, as the presence of pesticide residues in conventional agricultural soils is the rule rather than the exception²⁵.

182 Biodiversity losses

183 Despite repeated warnings about the rapid loss of biodiversity and mounting evidence of biodiversity's key role in food security and nutrition, the ecosystems, species and within-species genetic resources of 184 agricultural systems worldwide are becoming ever less diverse²⁶. Since agriculture occupies more than one-185 third of global land area, biodiversity losses on agricultural land are crucial. Even though intensive 186 agriculture is a main driver of certain trends in biodiversity (e.g. insect decline²⁷), LCA studies of agricultural 187 systems tend to ignore biodiversity impacts. Recent reviews of LCA studies of livestock systems²⁸ (n=173) 188 and edible $oils^{29}$ (n=34) reveal that less than 5% of the studies considered biodiversity impacts. Even more 189 190 striking, only 12% of LCA studies comparing conventional and organic agriculture considered biodiversity impacts¹⁴. 191

192

193 Meta-analysis of many field observations has shown that organic agriculture supports biodiversity levels, 194 measured as species richness, that are approximately 30% higher than those of conventional agriculture, a result that has remained robust over the last 30 years³⁰. Even future LCA studies are unlikely to capture such 195 large differences due to agricultural practices, as the method selected by the LCA community³¹ to assess 196 impacts on biodiversity (potential species loss from land use) is recommended only for identifying hotspots 197 198 within product systems, not for comparing products or production systems. This model thus cannot be used 199 to distinguish conventional and organic agriculture. The latest version of this model distinguishes three levels of land use intensity³². A few studies (e.g. Knudsen et al.³³) provide metrics to differentiate impacts of 200 organic and conventional agriculture on biodiversity; however, an LCA-compatible method that can consider, 201 202 in detail, impacts of the variety of agricultural practices on biodiversity in both conventional and organic 203 agriculture is still lacking.

204

205 *Pesticide effects*

Worldwide, pesticide use increased from 1.5 to 2.6 kg active ingredient per ha of cropland from 1990 to 206 2015³⁴. Pesticides are now recognised as a major driver of biodiversity loss^{26,27} in terrestrial and aquatic 207 ecosystems, and can impact human health (e.g. cancer, neurological disease)³⁵. They have caused deaths 208 from acute poisoning³⁶, especially in developing countries, and high pesticide exposure of rural populations 209 in intensive farming regions has been observed in Argentina³⁷. In many EU countries, reducing and 210 improving pesticide use to improve water quality are important policy actions, but reports of contamination 211 of surface and ground water by agrochemicals are numerous^{38,39}. Despite the negative impacts that pesticides 212 can have on humans and ecosystems, agri-food LCA studies rarely consider them. For instance, ecotoxicity 213 was considered in only 14% of 173 LCA studies of livestock systems²⁸, and toxicity-related impacts were 214 215 considered in only 26% of 34 studies comparing organic and conventional agriculture¹⁴.

216

Organic agriculture's prohibition of synthetic pesticides in order to sustain soil, ecosystem and human health
 can be considered an application of the precautionary principle. Attempts by LCA methodology to assess

219 potential environmental and health impacts of pesticide use are laudable, but experience suggests that it may 220 take 20-30 years to discover toxicological hazards of new pesticides that had seemed relatively harmless at first. For instance, when introduced in the 1970s, glyphosate-based herbicides were considered not to persist 221 222 in the environment and to pose low risk to non-target species. Currently, however, glyphosate has been found to be widely present in the environment, probably carcinogenic to humans and a suspected endocrine 223 disruptor⁴⁰. Similarly, when introduced in the 1980s, neonicotinoid insecticides were considered to have less 224 225 environmental impact than the insecticides they replaced, due to the low doses used and their targeted 226 applications, such as in seed coatings. It has recently emerged, however, that neonicotinoids accumulate in soils and have significant sublethal impacts on pollinators⁴¹. Furthermore, as time passes, previously 227 unknown hazards associated with pesticides are discovered, such as endocrine disruption⁴² or impacts on 228 child neurodevelopment⁴³. Consequently, as fuller understanding of environmental and health impacts of 229 230 pesticides may take several decades, these impacts tend to be underestimated. Furthermore, assessing 231 toxicity effects in LCAs is also limited by the lack of toxicity data for some synthetic pesticides used in 232 conventional agriculture and for some of the biological/natural and inorganic pesticides used in organic agriculture¹⁴. Thus, LCA-based comparisons of toxicity effects of conventional and organic agriculture 233 234 remain highly uncertain.

235

236 Tukker⁴⁴ describes the underlying evaluative philosophy of LCA as a *risk assessment frame*, based on the belief that knowledge about emissions and effects of substances on humans and ecosystems is adequate, that 237 238 emission control will work and that nature is resilient. He contrasts the risk assessment frame to a 239 precautionary frame, which reflects low trust in the adequacy of knowledge and in measures to control 240 emissions, and the belief that nature is fragile. Tukker proposes an LCA approach based on the precautionary 241 frame, involving indicators of the potential degree of ignorance, and the level of irreversibility of 242 contamination and effects. These indicators would be used to give a bonus/penalty score to the emission and 243 fate/effect elements that are used to calculate impacts in the traditional way. This approach may allow for 244 implementation of the precautionary principle when assessing pesticide impacts, as knowledge about 245 pesticide emissions and fate is far from complete.

246

247 Including indirect effects of shifting to agroecological systems

248 Attributional LCA provides information about impacts of the processes that are directly associated with a product's life cycle. In contrast, consequential LCA (CLCA) considers consequences of changes in the level 249 of output of a product, including indirect effects outside the product life cycle⁴⁵. CLCA commonly relies on 250 economic models to capture relationships between demand for inputs, price elasticities, supply, etc.⁴⁶, but it 251 252 may also use simpler biophysical models⁴⁷. Indirect land use change (ILUC) is the indirect effect considered most often in agri-food CLCAs to date, especially when studying crop-based biofuels⁴⁸. Estimates of 253 254 greenhouse gas (GHG) emissions due to ILUC vary widely, reflecting the high uncertainty of these models⁴⁷. There is still no consensus on whether, or how, to include ILUC effects in LCA^{49,50}. 255

257 Analogous to the inclusion of ILUC GHG emissions in LCAs and carbon footprint studies of biofuels, a recent LCA study assigned additional GHG emissions to organic food production, referring to consequences 258 of organic agriculture's need for more land to make up for lower yields⁵¹. Similarly, Searchinger et al.⁵² 259 defined a 'carbon opportunity cost' as the amount of carbon that would be sequestered if the additional land 260 261 used for organic agriculture were instead subject to natural revegetation. The justification for assigning additional GHG emissions to organic food resembles the reasoning in favour of 'land sparing': by adopting 262 high-yield farming systems, we spare land for nature⁵³. Obviously, if a farmer adopts practices that increase 263 264 yields, less land will be needed to produce a given amount of agricultural goods. However, the land use 265 dynamics associated with shifts between higher- and lower-yielding systems are less clear. Yield gains due to intensification may well increase profit in that area, thereby encouraging expansion. Therefore, land use 266 intensification may coincide with expansion of agricultural land⁵⁴. Furthermore, it is far from certain that 267 deforestation will slow down due to higher yields or that farmers will leave their land to revegetate naturally 268 269 in areas where agriculture is economically challenging. Instead, they may, for instance, maintain extensive 270 pasture operations in anticipation of more favourable economic conditions.

271

272 The understanding of cause-effect mechanisms of land use transitions needs to be improved. Some empirical 273 knowledge exists about how agricultural land use patterns are affected by intensification or the introduction 274 of biofuel crops. However, there is a lack of knowledge about land use consequences of agroecological food-275 system transitions, which involve changes in both production modes and consumption patterns. 276 Consequently, cause-effect mechanisms for these transitions are even more uncertain and difficult to model. 277 Further, economic models used in many CLCAs are calibrated using historical experiences and are ill suited 278 for exploring situations in which public policies shape development towards compatibility with the Paris 279 climate agreement and SDGs, which require drastic deviation from long-term trends, possibly through 280 disruptive innovations that make established production practices obsolete.

281

282 Furthermore, a scientifically robust assessment of indirect effects cannot be limited to the (arbitrarily chosen) issue of land use change⁴⁹, as other indirect effects are also likely to occur in the food system. A deficiency in 283 284 models used in CLCA lies in their roots in neoclassical economics, which assumes that individuals have rational expectations and maximise utility⁴⁶ and excludes drivers of societal change such as ethical 285 considerations. Taking meat as an example, it is difficult, if not impossible, to foresee the overall 286 287 consequences in the food system of a shift in consumer demand towards more organic meat at the expense of conventional meat. Animal welfare is a major ethical attribute of organic food that influences European 288 consumers' purchase decisions¹⁹. Such considerations may mean that consumers of organic food will 289 290 purchase fewer animal-based food products but with higher (ethical) standards. Hardly any studies of such consumer behaviour exist; the few found (e.g. Baudry et al.⁵⁵) show that consumers of organic food tend to 291 292 eat less animal-based food and more plant-based food. Also, price effects must be included: if consumers buy

organic products, which are more expensive than their non-organic equivalents, they will have less money to
 buy other polluting products or services. Such effects are difficult and uncertain to quantify and have been
 included in very few food LCA studies⁵⁶.

296

297 To summarise, approaches that assign additional GHG emissions to organic food products, due to lower yields than those of conventional food products, can be considered a way to integrate an environmental 298 concern into LCA, in line with the precautionary principle. However, translating yield differences at any 299 300 location into a corresponding area of natural land spared from conversion (or agricultural land available for 301 revegetation) implies an oversimplification that does not capture the complexity of geographically diverse 302 agroecological food systems. Singling out only one indirect effect when comparing farming systems and 303 food products is a poor strategy when searching for the changes required to make progress on several SDGs. 304 Furthermore, these approaches favour high-yielding intensive systems, which generally have high impacts 305 per ha for a range of environmental concerns, thus strengthening the already narrow focus of LCA on 306 provision of crop and animal products.

307

308 **Possible ways forward**

309 Meeting the SDGs requires urgent transformation of global agricultural and food systems towards 310 agroecology. Doing so requires appropriate assessment tools and methods to examine the environmental 311 performance of agricultural systems. By misrepresenting agroecological systems such as organic agriculture, 312 current LCA studies tend to favour intensive agricultural systems that produce high yields but provide fewer ecosystem services overall than less intensive systems⁵⁷. LCA assesses agroecological systems inadequately 313 314 for three reasons: i) a lack of operational indicators for three key environmental issues, ii) a narrow 315 perspective on functions of agricultural systems and iii) inconsistent modelling of indirect effects. Thus, we 316 propose recommendations and priorities for three key areas of research on environmental assessment of 317 agricultural systems. Recommendations for LCA practitioners assessing agricultural systems are summarised 318 in Box 1.

319

320 1) Additional indicators

Land degradation represents one of the most urgent challenges for humanity²⁰. In the planetary boundary 321 framework, recently used to identify healthy diets and sustainable food production for the 21st century¹, soil 322 323 quality impacts are not considered. We call for a boundary for land degradation to be added to this 324 framework. The current modelling approach in LCA, in which soils are considered mainly as part of the 325 technosphere, thus making them equivalent to replaceable capital stocks, is inadequate. The degree to which 326 erosion, compaction, salinisation and loss of organic matter degrade soils, and the influence of agricultural 327 practices on these disturbances, is crucial information that urgently needs to be included in LCAs and other 328 frameworks for analysing agri-food systems. Methodological developments in this field should be a top 329 research priority to improve consideration of soil quality in environmental assessments⁵⁸.

331 The alarming **decline in biodiversity** is a major environmental challenge for food production, as changes in land and sea use are its most important drivers²⁶. The limited degree to which agri-food LCA studies have 332 addressed biodiversity impacts to date is problematic, and an LCA-compatible biodiversity indicator 333 334 framework that can differentiate farm management practices at a fine scale is lacking. Consequently, systems 335 appear more favourable in environmental assessments as their land use decreases, even though they may intensively use agrochemicals detrimental to biodiversity dimensions such as insect diversity²⁷. Ignoring 336 337 important intensive practices (e.g. widespread pesticide use, low crop diversity) when assessing biodiversity impacts is not consistent with recent research identifying drivers of species decline^{27,59} and can lead to the 338 conclusion that land sparing is the best solution for halting biodiversity losses associated with agriculture. 339 For instance, Willet et al.¹ proposed a boundary for cropland use in future food systems without adequately 340 discussing land use intensity. There is a critical need for datasets and indicators to explicitly assess impacts 341 342 of farm management practices on biodiversity in environmental assessments of food and other agricultural 343 products²⁶.

344

345 Comprehensive assessment of **pesticides' negative effects** on ecosystems and humans requires detailed data 346 on amounts and characteristics of active ingredients used, application methods, crop types and development 347 stages, soil properties and climate conditions. Many of these data are insufficiently available in developed countries and often lacking in many developing countries. Likewise, the dearth of information on risks to 348 349 farm workers' health due to working with pesticides is troublesome. While new pesticides often - but not 350 always – tend to have lower impacts than existing pesticides, their full range of impacts requires decades to 351 emerge, illustrating that knowledge about pesticide emissions, fate and effects is incomplete. Development of 352 an approach based on the precautionary frame seems an appropriate way forward. Agri-food LCA studies are not alone in largely disregarding pesticide impacts; for instance, Willet et al.¹ omitted them when choosing 353 354 environmental indicators for guiding transformation of food systems. Given the many negative effects 355 pesticides have on humans and nature, this omission is worrying, and reveals the need for massive and broad 356 research to improve assessment of pesticides' environmental and health impacts.

357

Land degradation, biodiversity impacts and negative effects of pesticides are serious problems associated with agricultural production. LCA studies have rarely considered these detrimental processes to date, and LCA still lacks fully comprehensive indicators to quantify them when assessing food systems' environmental impacts. Consequently, decision makers are currently provided unbalanced information, as trade-offs among different environmental aspects are not sufficiently emphasised, leading to the obvious risk that environmental assessments of food systems will fail to detect synergies in land management options.

364

365 2) The broader perspective

366 Because LCA was originally developed to assess environmental impacts of industrial products, it focuses on

367 reduced impacts per unit of product. When applied to agriculture, this approach tends to favour more 368 intensive systems, which have higher yields but also higher impacts per unit area. Thus, LCA studies of agriculture and food implicitly advocate the land-sparing theory. This strong product-based approach, 369 370 however, fits poorly when assessing impacts on important ecosystem services that must be managed at the 371 landscape scale. For instance, mosaic landscapes with small fields and high crop diversity favour 372 biodiversity and key ecosystem services (e.g. crop pollination, biological pest control) while maintaining agricultural productivity⁵⁹, but current LCA practice does not capture the positive effects of these landscape 373 374 configurations.

375

Furthermore, adequate assessment of agroecological systems, which are much more reliant on local resources and adapted to the local context than intensive high-external-input systems, requires a fine-grained approach to LCA that considers local soil, climate and ecosystem characteristics, as well as detailed representation of farm management practices. These variables should be integrated in models used to create LCA data. Current efforts to regionalise these data are a step in the right direction, but they need to be advanced to be able to assess agroecological systems at the necessary local spatial scale.

382

383 Measuring and valuing provision of ecosystem services for a range of spatial scales is a key area of 384 innovation required to assess sustainability of food systems⁹. As current LCA methodology is not adequate to 385 assess multifunctional agricultural systems with their surrounding landscapes, we propose to integrate it with 386 other environmental assessment frameworks, such as that for ecosystem services. One example of such integration is the framework recently developed by Alejandre et al.⁶⁰ for optimal coverage of ecosystem 387 388 services in LCA. The authors first propose a set of 15 categories of ecosystem services derived from the 389 CICES classification method that provide optimal coverage. They then identify which of these categories are 390 assessed in the widely used ReCiPe2016 LCA method. They finally prioritize missing categories, resulting in 391 a ranking of ecosystem service categories to be included in LCA, to help guide the research community.

392

Overall performance of agricultural systems is complex to measure and model. Because most ecosystem services associated with agricultural production depend on the context (mostly at the farm and/or landscape scale), it is critical to assess them at a fine spatial resolution. For this, we call for dedicated research efforts.

396

397 3) Indirect effects

Analysing and comparing contrasting farming systems (e.g. conventional vs. organic agriculture) requires complex information about factors such as changes in food consumption patterns, practices that may increase yields, use of crop residues and waste, and how much marginal land is used. Modelling consequences of conversion to less intensive agricultural systems requires a comprehensive food-system perspective rather than addressing only yield levels and potential ILUC GHG emissions. Meaningful quantification of ILUC and other indirect effects requires that we improve our knowledge about how drivers of societal change, and 404 policy instruments, may affect consequences of shifting from conventional to organic food. More systems-405 based research is needed on factors governing land use change, dietary transitions (conventional to organic, 406 as well as shifts towards more plant-based diets) and reduced food waste to spare land and reduce pressures 407 on resource use and ecosystems⁶¹. Science and policy efforts should concentrate on real-world solutions, 408 such as increasing yields of agroecological systems and halting deforestation through improved land and 409 forest governance^{62,63}, rather than on quantifying ILUC factors.

410

411 Conclusion

412 Food production is one of the largest drivers of global environmental change and thus a major cause of exceeding planetary boundaries. Transformative redesign of agri-food systems based on agroecological 413 414 principles is urgently needed, but it requires appropriate assessment tools and methods to examine the 415 environmental performance of these systems. Currently, LCA misrepresents agroecological systems such as 416 organic agriculture, partly because its product-based approach focuses by default on the output of 417 provisioning services from agricultural systems, and partly because key aspects of sustainable agriculture 418 (better soil health, lower biodiversity impacts, lower pesticide use impacts) are largely ignored. Consequently, 419 LCA studies tend to favour intensive high-input agricultural systems that produce higher yields but provide 420 fewer ecosystem services overall than less intensive systems. Environmental assessment of agricultural 421 systems must adopt a broader perspective, consider negative impacts of pesticides and consider effects of 422 agricultural practices on soil health and biodiversity. In addition, more research is needed to allow for 423 meaningful modelling of ILUC and other indirect effects.

424

425 **References**

- 426 1. Willett, W. et al. Food in the Anthropocene: the EAT–Lancet Commission on healthy diets from
 427 sustainable food systems. *Lancet* 393 (10170), 447-492 (2019).
- 428 2. Eyhorn, J. et al. Sustainability in global agriculture driven by organic farming. *Nat. Sustain.* 2, 253429 255 (2019).
- 430 3. European Commission Joint Research Centre. International Reference Life Cycle Data System
 431 (ILCD) Handbook General guide for Life Cycle Assessment Detailed Guidance. Publications
 432 Office of the European Union, Luxembourg (2010).
- 4. Bauman, H. & Tillman A.M. *The Hitchhiker's Guide to LCA*. (Studentlitteratur AB, Lund, Sweden, 2004).
- 4355. EuropeanCommission.TheEnvironmentalFootprintPilots.436https://ec.europa.eu/environment/eussd/smgp/ef_pilots.htm
- 437 6. Clark, M. & Tilman, D. Comparative analysis of environmental impacts of agricultural production
 438 systems, agricultural input efficiency, and food choice. *Environ. Res. Lett.* 12, 064016 (2017)
- 439
 439
 439
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440
 440

- 8. Burkhard, B., Crossman, N., Nedkov, S., Petz, K. & Alkemade, R. Mapping and modelling
 ecosystem services for science, policy and practice. *Ecosyst. Serv.* 4, 1-3 (2013).
- HLPE. Agroecological and Other Innovative Approaches for Sustainable Agriculture and Food
 Systems that Enhance Food Security and Nutrition. A report by the High Level Panel of Experts on
 Food Security and Nutrition of the Committee on World Food Security, Rome (2019).
- 446 10. Paull, J. From France to the world: The International Federation of Organic Agriculture Movements
 447 (IFOAM). J. Soc. Res. Policy 1(2), 93-102 (2010).
- 448 11. Basset-Mens, C., Ledgard, S. & Boyes, M. Eco-efficiency of intensification scenarios for milk
 449 production in New Zealand. *Ecol. Econ.* 68, 1615-1625 (2009).
- 450 12. Haines-Young, R., Potschin-Young, M. & Czúcz, B. 2018. *Report on the Use of CICES to Identify*451 *and Characterise the Biophysical, Social and Monetary Dimensions of ES Assessments*. Deliverable
 452 D4.2, EU Horizon 2020 ESMERALDA Project (2018).
- Tuomisto, H.L., Hodge, I.D., Riordan, P. & Macdonald, D.W. Does organic farming reduce
 environmental impacts? A meta-analysis of European research. *J. Environ. Manag.* 112, 309-320
 (2012).
- 456 14. Meier, M.S. et al. Environmental impacts of organic and conventional agricultural products Are
 457 differences captured by life cycle assessment? *J. Environ. Manag.* 149, 193-207 (2015).
- 458 15. Schleenbecker, R. & Hamm, U. Consumers' perception of organic product characteristics. A review.
 459 *Appetite* **71**, 420-429 (2013).
- 460 16. Baranski, M. et al. Higher antioxidant and lower cadmium concentrations and lower incidence of
 461 pesticide residues in organically grown crops: a systematic literature review and meta-analyses. *Brit.*462 *J. Nutr.* **112**, 794-811 (2014).
- 463 17. Hyland, C. Organic diet intervention significantly reduces urinary pesticide levels in U.S. children
 464 and adults. *Environ. Res.* 171, 568-575 (2019).
- 465 18. Sundrum, A. Organic livestock farming. A critical review. *Livest. Prod. Sci.* 67: 207-215 (2001).
- 466 19. Scherer, L., Tomasik, B., Rueda, O. & Pfister, S. Framework for integrating animal welfare into life
 467 cycle sustainability assessment. *Int. J. Life Cycle Assess.* 23, 1476-1490 (2018).
- 468 20. IPCC. Climate Change and land. An IPCC Special Report on Climate Change, Desertification, Land
 469 Degradation, Sustainable Land Management, Food Security, and Greenhouse gas fluxes in
 470 Terrestrial Ecosystems (2019).
- 471 21. Vidal Legaz, B. et al. Soil quality, properties, and functions in life cycle assessment: an evaluation of
 472 models. *J. Clean. Prod.* 140, 502-515 (2017).
- 473 22. De Laurentiis, V., Secchi, M., Bos, U., Horn, R., Laurent, A. & Sala, S. Soil quality index: Exploring
 474 options for a comprehensive assessment of land use impacts in LCA. *J. Clean. Prod.* 215, 63-74
 475 (2019).
- 476 23. Lori, M., Symnaczik, S., Mäder, P., De Deyn, G. & Gattinger, A. Organic farming enhances soil
 477 microbial abundance and activity—A meta-analysis and meta-regression. *PLoS ONE* 12(7):

- e0180442. (2017).
- 479 24. Dijkman, T.J., Birkved, M. & Hauschild, M.Z. PestLCI 2.0: a second generation model for
 480 estimating emissions of pesticides from arable land in LCA. *Int. J. Life Cycle Assess.* 17, 973-986
 481 (2012).
- 482 25. Silva, V. et al. Pesticide residues in European agricultural soils–A hidden reality unfolded. *Sci. Total*483 *Environ.* 653, 1532-1545 (2019).
- 484 26. Diaz, S. et al., Summary for Policymakers of the Global Assessment Report on Biodiversity and
 485 Ecosystem Services unedited advance version. IPBES (2019).
- 486 27. Sánchez-Bayo, F. & Wyckhuys, K.A.G. Worldwide decline of the entomofauna: A review of its
 487 drivers. *Biol. Conserv.* 232, 8-27 (2019).
- 488 28. McClelland, S.C., Arndt, C., Gordon, D.R. & Thoma, G. Type and number of environmental impact
 489 categories used in livestock life cycle assessment: A systematic review. *Livest. Sci.* 209, 39-45 (2018).
- 490 29. Khatri, P. & Jain, S. Environmental life cycle assessment of edible oils: A review of current
 491 knowledge and future research challenges. J. Clean. Prod. 152, 63-76 (2017).
- 30. Tuck, S.L. et al. Land-use intensity and the effects of organic farming on biodiversity: a hierarchical
 meta-analysis. *J. Appl. Ecol.* 51, 746-755 (2014).
- 494 31. Jolliet, O. et al. Global guidance on environmental life cycle impact assessment indicators: impacts
 495 of climate change, fine particulate matter formation, water consumption and land use. *Int. J. Life*496 *Cycle Assess.* 23, 2189-2207 (2018).
- 497 32. Chaudhary, A. & Brooks, T.M. Land use intensity-specific global characterization factors to assess
 498 product biodiversity footprints. *Environ. Sci. Technol.* 52, 5094-5104.
- 33. Knudsen, M.T. et al. Characterization factors for land use impacts on biodiversity in life cycle
 assessment based on direct measures of plant species richness in European farmland in the
 'Temperate Broadleaf and Mixed Forest' biome. *Sci. Tot. Environ.* 580, 358-366 (2017).
- 502 34. FAO. <u>www.fao.org/faostat/en/#data/EP/visualize</u>, accessed on February 5, 2019.
- 503 35. Sabarwal, A., Kumar, K. & Singh, R.P. Hazardous effects of chemical pesticides on human health –
 504 cancer and other associated disorders (review). *Environ. Toxicol. Phar.* 63, 103-114 (2018).
- 505 36. World Health Organization. *The Public Health Impacts of Chemicals: Knowns and Unknowns*.
 506 WHO/FWC/PHE/EPE/16.01 (2016).
- Avila-Vazquez, M., Difilipo, F.S., Mac Lean, B., Maturano, E. & Etchegoyen, A. Environmental
 exposure to glyphosate and reproductive health impacts in agricultural population of Argentina. J.
 Environ. Prot. 9, 241-253 (2018).
- 38. Casado, J. et al. Screening of pesticides and veterinary drugs in small streams in the European Union
 by liquid chromatography high resolution mass spectrometry. *Sci. Total Environ.* 670, 1204-1225
 (2019).
- 51339. GEUS. Forekomst av N,N-dimethylsulfamide (DMS) og 1,2,4-triazol i de almene vandværkers514boringskontrol (in Danish). (Occurrence of N, N-dimethylsulfamide (DMS) and 1,2,4-triazole during

- 515 monitoring of drinking water). GEUS Jnr:014-250. De Nationelle Geologiske Undersøgelser fir
 516 Danmark og Grønland (2019).
- 517 40. Myers, J.P. et al. Concerns over use of glyphosate-based herbicides and risks associated with 518 exposures: a consensus statement. *Environ. Health* **15**, 19 (2016).
- 519 41. Goulson, D. An overview of the environmental risks posed by neonicotinoid insecticides. *J. Appl.*520 *Ecol.* 50, 977-987 (2013).
- 42. McKinlay, R., Plant, J.A., Bell, J.N.B. & Voulvoulis, N. Endocrine disrupting pesticides:
 implications for risk assessment. *Environ. Int.* 34, 168-183 (2008).
- 43. Hertz-Piciotto, I. et al. Organophosphate exposures during pregnancy and child neurodevelopment:
 Recommendations for essential policy reforms. *PLoS Medicine* 15(10), e1002671 (2018).
- 525 44. Tukker, A. Risk analysis, life cycle assessment—The common challenge of dealing with the
 526 precautionary frame (based on the toxicity controversy in Sweden and the Netherlands). *Risk Anal.*527 22(5), 821-832 (2002).
- 528 45. Zamagni, A., Guinée, J., Heijungs, R., Masoni, P. & Raggi, A. Lights and shadows in consequential
 529 LCA. *Int. J. Life Cycle Assess.* 17, 904-918 (2012).
- 46. Yang, Y. & Heijungs, R. On the use of different models for consequential life cycle assessment. *Int. J. Life Cycle Assess.* 23, 751-758 (2017).
- 532 47. Schmidt, J. H., Weidema, B. P. & Brandão, M. A framework for modelling indirect land use changes
 533 in life cycle assessment. *J. Clean. Prod.* 99, 230-238 (2015).
- 48. Mason Earles, J. & Halog, A. Consequential life cycle assessment: a review. *Int. J. Life Cycle Assess*.
 16, 445-453 (2011).
- 536 49. Finkbeiner, M., 2014. Indirect land use change Help beyond the hype? *Biomass Bioenerg.* 62, 218537 221 (2014).
- 538 50. Parra Paitan, C & Verburg, P.H. Methods to Assess the Impacts and Indirect Land Use Change
 539 Caused by Telecoupled Agricultural Supply Chains: A Review. *Sustainability* 11, 1162 (2019).
- 540 51. Smith, L. G., Kirk, G. J., Jones, P. J. & Williams, A. G. The greenhouse gas impacts of converting
 541 food production in England and Wales to organic methods. *Nat. Comm.* 10(1), 1-10 (2019).
- 542 52. Searchinger, T.D., Wirsenius, S., Beringer, T. & Dumas, P. Assessing the efficiency of changes in
 543 land use for mitigating climate change. *Nature* 564: 249-253 (2018).
- 544 53. Fischer, J. et al. Land sparing versus land sharing: Moving forward. *Conserv. Lett.* **7**(3), 149-157 545 (2014).
- 546 54. Barretto, A., Berndes, G., Sparovek, G. & Wirsenius, S. Agricultural intensification in Brazil and its
 547 effects on land use patterns: An analysis of the 1975-2006 period. *Global Change Biol.* 19(6), 1804548 1815 (2013)
- 549 55. Baudry, J. et al. Dietary intakes and diet quality according to levels of organic food consumption by
 550 French adults: cross-sectional findings from the NutriNet-Santé Cohort Study. *Public Health Nutr.*551 20, 638-648 (2017).

- 552 56. Font Vivanco, D. & van der Voet, E. The rebound effect through industrial ecology's eyes: a review
 553 of LCA-based studies. *Int. J. Life Cycle Assess.* 19, 1933-1947 (2014).
- 554 57. Kremen, C. & Miles, A. Ecosystem services in biologically diversified versus conventional farming 555 systems: benefits, externalities, and trade-offs. *Ecol. Soc.* **17**, 40 (2012).
- 556 58. De Laurentiis, V., et al. Soil quality index: Exploring options for a comprehensive assessment of land 557 use impacts in LCA. *J. Clean. Prod.* **215**, 63-74 (2019).
- 558 59. Sirami, C. et al. Increasing crop heterogeneity enhances multitrophic diversity across agricultural 559 regions. *Proc. Natl. Acad. Sci. U.S.A.* **116**(33), 16442–16447 (2019).
- 560 60. Alejandre, E.M., van Bodegom, P.M. & Guinée, J.B. Towards an optimal coverage of ecosystem
 561 services in LCA. *J. Clean. Prod.* 231, 714-722 (2019).
- 562 61. Muller, A. et al. Strategies for feeding the world more sustainably with organic agriculture. *Nat.* 563 *Comm.* 38, 1290 (2017).
- 564 62. Lambin, E.F. & Meyfroidt, P. Global land use change, economic globalization, and the looming land
 565 scarcity. *P. Natl. Acad. Sci. USA* 108(9), 3465-3472 (2011).
- 566 63. Byerlee, D., Stevenson, J. & Villoria, N. Does intensification slow crop land expansion or encourage
 567 deforestation? *Global Food Secur-Agr.* 3, 92-98 (2014).
- 568

569 Acknowledgements

- 570 The authors thank Göran Berndes, Michael Corson, Roland Clift and four anonymous reviewers for helpful 571 comments on the manuscript. We thank Sylvaine Bitteur and Monique Delabuis for help with graphics and 572 Jacques Baudry and Valérie Viaud for landscape photographs. Thanks to Hubert van Nispen van Sevenaer for 573 inspiration.
- 574

575 Author contributions

- 576 H.v.d.W. and M.T.K. had the initial idea; H.v.d.W., M.T.K. and C.C. contributed ideas and wrote the paper.
- 577

578 **Competing interests**

- 579 The authors declare no competing interests.
- 580

581 Additional information

582 Correspondence should be addressed to H.v.d.W.

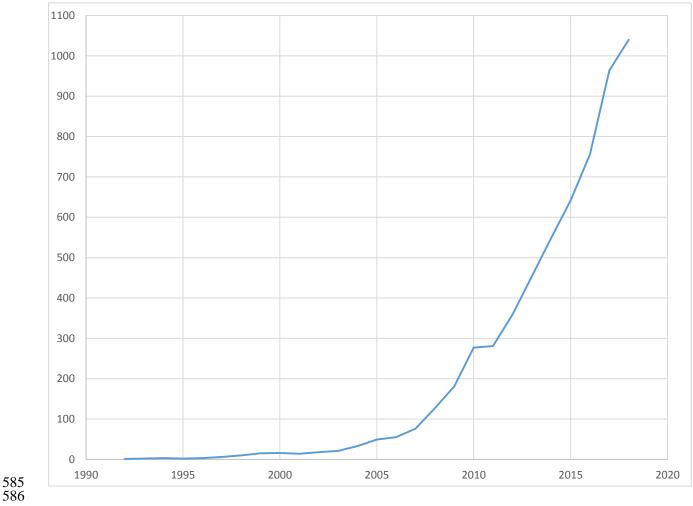


Figure 1. Annual number of peer-reviewed English-language articles published from 1990-2018 using life cycle assessment to assess agricultural and food systems (total = 5954).



593 Fig 2. Agricultural systems and landscapes can be classified along a continuum from high-input intensive to 594 agroecological. Photo a) shows an example of high-input intensive agriculture, aiming for high yields of a 595 few crop species, with large fields and no semi-natural habitats. Photo b) shows an example of 596 agroecological agriculture, supplying a range of ecosystem services not limited to crop and animal 597 production, relying on biodiversity and crop and animal diversity instead of external inputs, and integrating 598 plant and animal production, with smaller fields and presence of semi-natural habitats. Current LCA 599 methodology and studies tend to favour high-input intensive agricultural systems and misrepresent less 600 intensive agroecological systems such as organic agriculture.

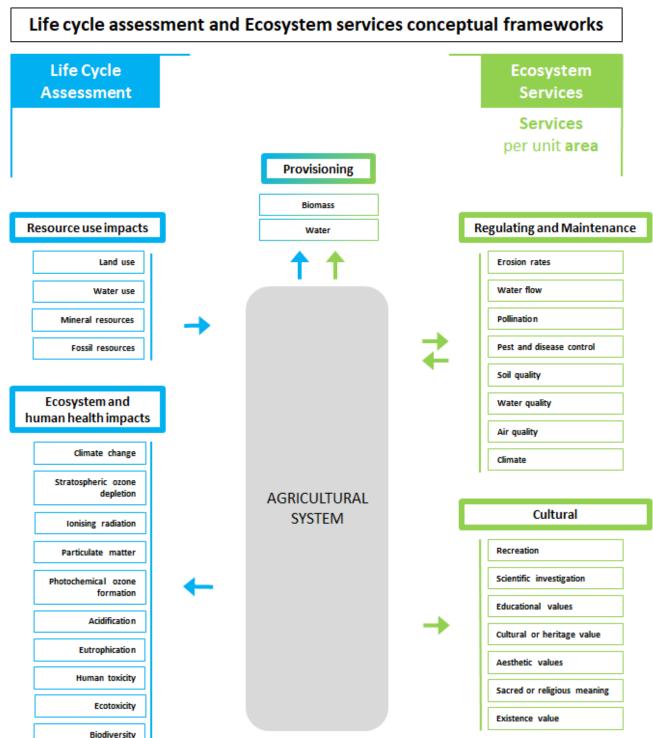


Figure 3. Life cycle assessment (LCA, in blue) and ecosystem services (in green) conceptual frameworks. The central panel represents an agricultural system, i.e. a farm or farming region, including semi-natural habitats. LCA assesses environmental impacts of the system by considering both on-site and off-site (associated with inputs) resource use, pollutant emissions and land use. Resource use, ecosystem and human health impacts are quantified using a set of indicators expressed per unit of product (e.g. kg of milk produced). Ecosystem services assess provisioning, regulating and maintenance, and cultural ecosystem

610 services provided by the structure and functions of the system. Other ecosystems supply the system with 611 additional regulating and maintenance ecosystem services. Ecosystem services are quantified using a set of 612 indicators expressed per unit area, e.g. ha of land occupied. LCA and ecosystem services have common 613 ground, e.g. emissions and sequestration of greenhouse gases are considered in the climate change impact 614 (LCA) and in the climate regulating service (ecosystem services). This comparison also reveals "blind spots": 615 LCA does not consider ecosystem services other than provisioning, whereas ecosystem services do not 616 consider resource use and effects of inputs used in the system.

618 Box 1. Recommendations for LCA practitioners assessing agricultural systems.

- Assess land degradation, biodiversity and pesticide effects, and do so using the best methods available.
- Use both product-based and area-based functional units.
- Supplement LCA with other frameworks, such as that for ecosystem services, for more comprehensive analysis of functions of agricultural systems.
- Consider farm practices and local soil, climate and ecosystem characteristics in detail.
- When studying indirect effects of transition to agroecological systems, do not consider only indirect land use change.
- If indirect effects are included, results should be interpreted very carefully because of the high uncertainty.