

Belowground impacts of alpine woody encroachment are determined by plant traits, local climate, and soil conditions

Courtney Collins, Marko Spasojevic, Concepción Alados, Emma Aronson, Juan Benavides, Nicoletta Cannone, Chatrina Caviezel, Oriol Grau, Hui Guo, Gaku Kudo, et al.

▶ To cite this version:

Courtney Collins, Marko Spasojevic, Concepción Alados, Emma Aronson, Juan Benavides, et al.. Belowground impacts of alpine woody encroachment are determined by plant traits, local climate, and soil conditions. Global Change Biology, 2020, 26 (12), pp.7112-7127. 10.1111/gcb.15340. hal-03006624

HAL Id: hal-03006624 https://hal.inrae.fr/hal-03006624

Submitted on 19 May 2022

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1	
2	DR. COURTNEY GRACE COLLINS (Orcid ID: 0000-0001-5455-172X)
3	DR. HUI GUO (Orcid ID : 0000-0001-6347-5976)
4	PROF. JASON E. STAJICH (Orcid ID : 0000-0002-7591-0020)
5	DR. JEFFREY M. DIEZ (Orcid ID : 0000-0002-4279-1838)
6	
7	
8	Article type : Primary Research Articles
9	
LO	
l1	Belowground Impacts of Alpine Woody Encroachment are determined by Plant Traits, Local
L2	Climate and Soil Conditions
13	Courtney G. Collins ^{1,2} , Marko J. Spasojevic ³ , Concepción L. Alados ⁴ , Emma L. Aronson ⁵ , Juan C.
L4	Benavides ⁶ , Nicoletta Cannone ⁷ , Chatrina Caviezel ⁸ , Oriol Grau ^{9,10} , Hui Guo ¹¹ , Gaku Kudo ¹² , Nikolas J.
	behavious , vicoletta camione , charma caviezer , orior draa , mar das , daka kado , vikolas s.
L5	Kuhn ⁸ , Jana Müllerová ¹³ , Michala L. Phillips ^{14,2} , Nuttapon Pombubpa ⁵ , Frédérique Reverchon ¹⁵ , Hannah
L5 L6	
	Kuhn ⁸ , Jana Müllerová ¹³ , Michala L. Phillips ^{14,2} , Nuttapon Pombubpa ⁵ , Frédérique Reverchon ¹⁵ , Hannah
16	Kuhn ⁸ , Jana Müllerová ¹³ , Michala L. Phillips ^{14,2} , Nuttapon Pombubpa ⁵ , Frédérique Reverchon ¹⁵ , Hannah B. Shulman ⁵ , Jason E. Stajich ⁵ , Alexia Stokes ¹⁶ , Sören E. Weber ^{17,3} , Jeffrey M. Diez ²
16 17	Kuhn ⁸ , Jana Müllerová ¹³ , Michala L. Phillips ^{14,2} , Nuttapon Pombubpa ⁵ , Frédérique Reverchon ¹⁵ , Hannah B. Shulman ⁵ , Jason E. Stajich ⁵ , Alexia Stokes ¹⁶ , Sören E. Weber ^{17,3} , Jeffrey M. Diez ² ¹ Institute of Arctic and Alpine Research, University of Colorado Boulder, USA
16 17 18	Kuhn ⁸ , Jana Müllerová ¹³ , Michala L. Phillips ^{14,2} , Nuttapon Pombubpa ⁵ , Frédérique Reverchon ¹⁵ , Hannah B. Shulman ⁵ , Jason E. Stajich ⁵ , Alexia Stokes ¹⁶ , Sören E. Weber ^{17,3} , Jeffrey M. Diez ² ¹ Institute of Arctic and Alpine Research, University of Colorado Boulder, USA ² Department of Botany and Plant Sciences, University of California Riverside, USA
16 17 18 19	Kuhn ⁸ , Jana Müllerová ¹³ , Michala L. Phillips ^{14,2} , Nuttapon Pombubpa ⁵ , Frédérique Reverchon ¹⁵ , Hannah B. Shulman ⁵ , Jason E. Stajich ⁵ , Alexia Stokes ¹⁶ , Sören E. Weber ^{17,3} , Jeffrey M. Diez ² ¹ Institute of Arctic and Alpine Research, University of Colorado Boulder, USA ² Department of Botany and Plant Sciences, University of California Riverside, USA ³ Department of Evolution, Ecology, and Organismal Biology, University of California Riverside, USA
16 17 18 19	Kuhn ⁸ , Jana Müllerová ¹³ , Michala L. Phillips ^{14,2} , Nuttapon Pombubpa ⁵ , Frédérique Reverchon ¹⁵ , Hannah B. Shulman ⁵ , Jason E. Stajich ⁵ , Alexia Stokes ¹⁶ , Sören E. Weber ^{17,3} , Jeffrey M. Diez ² ¹ Institute of Arctic and Alpine Research, University of Colorado Boulder, USA ² Department of Botany and Plant Sciences, University of California Riverside, USA ³ Department of Evolution, Ecology, and Organismal Biology, University of California Riverside, USA ⁴ Instituto Pirenaico de Ecología (CSIC), Zaragoza, Spain
16 17 18 19 20	Kuhn ⁸ , Jana Müllerová ¹³ , Michala L. Phillips ^{14,2} , Nuttapon Pombubpa ⁵ , Frédérique Reverchon ¹⁵ , Hannah B. Shulman ⁵ , Jason E. Stajich ⁵ , Alexia Stokes ¹⁶ , Sören E. Weber ^{17,3} , Jeffrey M. Diez ² ¹ Institute of Arctic and Alpine Research, University of Colorado Boulder, USA ² Department of Botany and Plant Sciences, University of California Riverside, USA ³ Department of Evolution, Ecology, and Organismal Biology, University of California Riverside, USA ⁴ Instituto Pirenaico de Ecología (CSIC), Zaragoza, Spain ⁵ Department of Microbiology and Plant Pathology, University of California Riverside, USA
16 17 18 19 20 21	Kuhn ⁸ , Jana Müllerová ¹³ , Michala L. Phillips ^{14,2} , Nuttapon Pombubpa ⁵ , Frédérique Reverchon ¹⁵ , Hannah B. Shulman ⁵ , Jason E. Stajich ⁵ , Alexia Stokes ¹⁶ , Sören E. Weber ^{17,3} , Jeffrey M. Diez ² ¹ Institute of Arctic and Alpine Research, University of Colorado Boulder, USA ² Department of Botany and Plant Sciences, University of California Riverside, USA ³ Department of Evolution, Ecology, and Organismal Biology, University of California Riverside, USA ⁴ Instituto Pirenaico de Ecología (CSIC), Zaragoza, Spain ⁵ Department of Microbiology and Plant Pathology, University of California Riverside, USA ⁶ Pontificia Universidad Javeriana, Bogotá, Colombia
16 17 18 19 20 21 22	Kuhn ⁸ , Jana Müllerová ¹³ , Michala L. Phillips ^{14,2} , Nuttapon Pombubpa ⁵ , Frédérique Reverchon ¹⁵ , Hannah B. Shulman ⁵ , Jason E. Stajich ⁵ , Alexia Stokes ¹⁶ , Sören E. Weber ^{17,3} , Jeffrey M. Diez ² ¹ Institute of Arctic and Alpine Research, University of Colorado Boulder, USA ² Department of Botany and Plant Sciences, University of California Riverside, USA ³ Department of Evolution, Ecology, and Organismal Biology, University of California Riverside, USA ⁴ Instituto Pirenaico de Ecología (CSIC), Zaragoza, Spain ⁵ Department of Microbiology and Plant Pathology, University of California Riverside, USA ⁶ Pontificia Universidad Javeriana, Bogotá, Colombia ⁷ Università degli Studi dell'Insubria, Como, Italy

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the <u>Version of Record</u>. Please cite this article as <u>doi:</u> 10.1111/GCB.15340

26	⁹ CREAF, Global Ecology Unit, Campus de Bellaterra (UAB), Edifici C, Cerdanyola del Vallès, 08193,
27	Barcelona, Catalonia, Spain
28	¹⁰ Cirad, UMR EcoFoG (AgroParisTech, CNRS, Inra, Univ Antilles, Univ Guyane), Campus Agronomique,
29	97310 Kourou, French Guiana
30	¹¹ College of Resources and Environmental Sciences, Nanjing Agricultural University, China
31	¹² Environmental Earth Science, Hokkaido University, Sapporo, Japan
32	¹³ Institute of Botany of the Czech Academy of Sciences, Průhonice, Czech Republic
33	¹⁴ US Geological Survey, Southwest Biological Science Center, Moab, UT, USA
34	¹⁵ Instituto de Ecología (INECOL), Red de Estudios Moleculares Avanzados, Pátzcuaro, Mexico
35	¹⁶ University Montpellier, AMAP, INRAE, CIRAD, IRD, CNRS, France
36	¹⁷ Institut für Evolutionsbiologie und Umweltwissenschaften, Universität Zürich, Switzerland
37	
38	Corresponding author: Courtney G. Collins courtney.collins@colorado.edu (407) 620-3062
39	https://orcid.org/0000-0001-5455-172X
40	
41	Running Title: Alpine Woody Encroachment Impacts Soil Microbes
42	
43	
44	
45	
46	
47	
48	
49	
50	Abstract
51	Global climate and land use change are causing woody plant encroachment in arctic, alpine, and
52	arid/semiarid ecosystems around the world, yet our understanding of the belowground impacts of this

phenomenon is limited. We conducted a globally distributed field study of 13 alpine sites across 4

Author

 continents undergoing woody plant encroachment and sampled soils from both woody encroached and nearby herbaceous plant community types. We found that woody plant encroachment influenced soil microbial richness and community composition across sites based on multiple factors including woody plant traits, site level climate, and abiotic soil conditions. In particular, root symbiont type was a key determinant of belowground effects, as Nitrogen-fixing woody plants had higher soil fungal richness, while Ecto/Ericoid mycorrhizal species had higher soil bacterial richness and symbiont types had distinct soil microbial community composition. Woody plant leaf traits indirectly influenced soil microbes through their impact on soil abiotic conditions, primarily soil pH and C:N ratios. Finally, site level climate affected the overall magnitude and direction of woody plant influence, as soil fungal and bacterial richness were either higher or lower in woody encroached versus herbaceous soils depending on mean annual temperature and precipitation. All together, these results document global impacts of woody plant encroachment on soil microbial communities, but highlight that multiple biotic and abiotic pathways must be considered to scale up globally from site and species level patterns. Considering both the aboveground and belowground effects of woody encroachment will be critical to predict future changes in alpine ecosystem structure and function and subsequent feedbacks to the global climate system.

Keywords: Woody encroachment, plant-soil interactions, alpine, global change, soil microbes, leaf traits

85

95

96

97

98

99

100

101

102

103

104

105

106

107

108

109

110

111

112113

114

Global climate and land use change are altering the distributions of organisms worldwide (Chen, Hill, Ohlemüller, Roy, & Thomas, 2011; Parmesan, 2006; Walther et al., 2002) and this is particularly true in arctic and alpine tundra ecosystems where warming is accelerated (Elmendorf et al., 2012; Walker et al., 2006; Wilson & Nilsson, 2009). One prevalent change in tundra ecosystems is the encroachment of woody plants (shrubs and dwarf trees) into areas previously dominated by non-woody grasses, sedges and forbs (Myers-smith & Hik, 2018; Rundqvist et al., 2011; Sturm et al., 2005). Woody plant encroachment can strongly impact aboveground productivity, the redistribution of snow by wind, and water and nutrient cycling in the tundra (Demarco, Mack, & Bret-Harte, 2014; Myers-Smith et al., 2011; Myers-Smith & Hik, 2013; Weintraub & Schimel, 2005). However, few studies have considered the biotic impacts of woody encroachment, particularly belowground effects on soil microbial communities (Myers-Smith et al., 2011). Some case studies, primarily from the Arctic, show that encroachment alters soil microbial community structure and function via woody litter inputs, leading to increased soil organic matter mineralization and soil carbon C:N ratios (Eskelinen, Stark, & Männistö, 2009; K. Rousk, Michelsen, & Rousk, 2016; Wallenstein, McMahon, & Schimel, 2007). However, we lack a general understanding of how woody encroachment affects soil microbial communities at the global scale, or whether observed impacts are species and site specific (Donhauser & Frey, 2018; Myers-Smith et al., 2011).

To fill this knowledge gap, we conducted a coordinated global study of alpine woody encroachment on soil microbial communities. We assessed a diverse set of pathways by which plants can impact soil microbes, including changes in the quality and quantity of litter inputs (J. H. C. Cornelissen et al., 2007;

Santonja et al., 2017), alteration of soil abiotic conditions such as soil chemistry, moisture and pH (Eskelinen et al., 2009; Schimel, Bilbrough, & Welker, 2004; Yannarell, Menning, & Beck, 2014), or through interactions with rhizospheric microbes such as dinitrogen (N₂)-fixing bacteria or mycorrhizae (Bengtson, Barker, & Grayston, 2012). Due to fluctuating environmental conditions and extreme spatial heterogeneity, alpine soil microbial communities are highly specialized, and can vary greatly across vegetation types, soil properties, and microclimates (Donhauser & Frey, 2018). Also, the effects of woody plant encroachment may interact with the direct effects of climate change (e.g. soil warming or drought) on soil microbes, making net outcomes difficult to predict (Classen et al., 2015; Kardol, Cregger, Campany, & Classen, 2010). Thus understanding how woody plant encroachment directly and indirectly influences soil microbial communities is key to predicting long-term changes in the structure and function of alpine ecosystems (Hagedorn, Gavazov, & Alexander, 2019).

115

116

117

118

119

120

121

122

123

124

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

140

141142

143

144

145

146

Direct effects of woody plant encroachment on soil microbial communities include shifts in both the quality and quantity of leaf and root litter (Wardle et al., 2004, Eldor Alvin Paul, 2007;) as well as interactions with microbial symbionts in their roots for nutrient and resource uptake (Smith & Read, 1997a; Wookey et al., 2009). A shift from primarily herbaceous (grasses, sedges, forbs) to woody plant cover generally increases the quantity and decreases the quality of litter inputs, and may result in slower decomposition of organic matter (J. H. C. Cornelissen et al., 2007). However this pattern can differ across woody plant species based on chemical and morphological litter traits such as leaf carbon: nitrogen ratio (C:N), leaf dry matter content (LDMC) and specific leaf area (SLA) (Cornwell et al., 2008; Gavazov, 2010; Urbina, Grau, Sardans, Ninot, & Peñuelas, 2020). Litter mixing between woody and herbaceous plants can increase the chemical complexity of the substrate pool, enhancing both microbial niche space and diversity (Chapman & Newman, 2010; McGuire, Zak, Edwards, Blackwood, & Upchurch, 2010). Additionally, different types of microbial symbionts engage in distinct resource use strategies, and can greatly influence the resource economy of their plant host (J. Cornelissen, Aerts, Cerabolini, Werger, & van der Heijden, 2001; Gerz, Guillermo Bueno, Ozinga, Zobel, & Moora, 2018; Smith & Read, 1997b, 1997c). For example, Ecto- and Ericoid mycorrhizal fungi (ECM, ERM) have a higher affinity for organic forms of N and phosphorus (P) than arbuscular mycorrhizal fungi (AMF) which primarily scavenge inorganic nutrients (Read, 2003; Wookey et al., 2009), while N₂-fixing bacteria directly convert elemental N₂ into plant available forms of N (van der Heijden, Bardgett, & van Straalen, 2008). Differences in leaf litter chemistry across plant symbiont types may further select for faster (Cheeke et al., 2017; M. K. Taylor, Lankau, & Wurzburger, 2016) or slower (McGuire et al., 2010) decomposition by saprotrophic soil microbes. Furthermore, root symbionts can directly interact in numerous ways with

saprotrophic fungi and bacteria in the rhizosphere. For example, mycorrhizal fungi release organic acids, hyphal exudates and provide hyphal necromass, which can enhance bacterial growth and serve as a food source for free-living soil biota (Bending, Aspray, & Whipps, 2006; Liang, Schimel, & Jastrow, 2017). Alpine soils usually have very low organic matter, and therefore changes in the quantity and quality of litter inputs, hyphal exudates, and microbial necromass as a result of woody encroachment have the potential to create major changes in free-living soil microbial communities and belowground ecosystem functioning (Donhauser & Frey, 2018; Körner, 2003).

147

148

149

150

151

152

153

154

155

156

157

158

159

160

161

162

163

164

165

166

167

168

169

170

171

172

173

174

175

176

177

Woody plant encroachment can also indirectly influence soil microbes through changes in the abiotic soil environment (Collins, Carey, Aronson, Kopp, & Diez, 2016; Grau et al., 2019) and via interactions with local climate (Classen et al., 2015). Woody encroachment can alter C and nutrient cycling, water availability and pH, and can also drastically alter the spatial distribution of resources across a landscape (Eldridge et al., 2011; Myers-Smith et al., 2011). Shading under woody plant canopies retains soil moisture higher in the soil profile in addition to physical trapping of snow, that concentrates snowmelt (Gómez-Aparicio, Gómez, Zamora, & Boettinger, 2005; Sturm et al., 2005). Enhanced soil moisture and thermal insulation from snow can promote decomposition and biogeochemical cycling (Schimel et al., 2004), while leaching of organic acids from woody litter can directly influence soil pH (Jobbagyl & Jackson, 2003), which is a key driver of microbial community composition (Lauber, Hamady, Knight, & Fierer, 2009; J. Rousk et al., 2010). Overall, resource accumulation below woody plant canopies can lead to increased microbial biomass (Cable, Ogle, Tyler, Pavao-Zuckerman, & Huxman, 2009; Liao & Boutton, 2008), diversity (Hollister, Schadt, Palumbo, James Ansley, & Boutton, 2010) and shifts in community composition (Yannarell et al., 2014). In addition, impacts of woody plant encroachment may be more or less severe depending on ambient temperature and precipitation, which are changing rapidly in alpine environments (Rammig, Jonas, Zimmermann, & Rixen, 2010). Interactions between plant growth form (i.e. woody or herbaceous) and experimental shifts in air temperature, soil moisture and CO₂ influenced soil microbial enzyme production and nematode community composition (Kardol et al., 2010). Similarly, soil temperature and moisture determined whether arctic soils became net sources or sinks of CO₂ in woody but not herbaceous plant communities (Cahoon, Sullivan, Shaver, Welker, & Post, 2012). Because of these complexities, we lack a clear understanding of how specific abiotic conditions or climate patterns will influence woody plant-soil interactions. Thus, assessing woody plant encroachment across multiple sites spanning diverse climates and environmental conditions is crucial (Wookey et al., 2009).

The objectives of this research were to determine: 1) Is there a consistent global signature of woody plant encroachment on soil microbial communities in alpine ecosystems? and 2) What are the major abiotic and biotic drivers mediating the observed changes in soil microbial communities? We conducted this study across 13 alpine sites all undergoing woody plant encroachment, spanning four continents and ten mountain ranges (Table 1). We hypothesized that woody plant encroachment will: 1) alter soil microbial diversity and microbial community composition via changes in litter quality. Such changes are likely driven by differences in leaf functional traits and their influence on soil abiotic conditions; 2) impact soil microbial communities differently depending on root symbiont types (AMF, ECM and, N₂-fixers) and associated resource use strategies; 3) influence soil microbial communities indirectly through changes in abiotic soil conditions; 4) have climate-dependent effects on soil microbial communities due to high microbial sensitivity to temperature and moisture.

Materials and Methods

Site selection

This study took place at 13 sites (Fig 1, Table 1) across North and South America, Europe and Asia. We selected sites based on the following criteria: 1) woody plant encroachment into alpine plant communities dominated by herbaceous species, was observed within the last 50 years. We confirmed that woody plants were not previously present using aerial photography, historical records, and personal knowledge or information from local groups. See citations in Table 1 for further details regarding woody encroachment at each site. 2) Sites were alpine or subalpine (close to or above treeline), not Arctic (one site in Abisko, Sweden was considered 'subarctic' alpine). 3) Sites were not actively grazed or managed for agriculture (low intensity grazing did occur at our sites on the Tibetan Plateau in China and in the Swiss Alps and pine (*Pinus mugo*) silviculture occurred historically around our site in the Czech Republic). 4) International shipping speeds allowed samples to arrive in 72 hours or less on dry ice so that soils would stay frozen (this requirement affected our choice of study sites that excluded the Southern Hemisphere, Africa, and remote parts of Asia in our study). Finally, while we use the term 'woody' to describe primarily shrubs and dwarf trees at our study sites, one site (Japan) has a dwarf bamboo species (*Sasa kurilensis*) which is technically a 'woody graminoid.' This and other species of bamboo are common woody encroachers across Asia (Xu et al., 2020).

Soil sampling

We sampled soils from both directly under and outside woody plant canopies (~1.5-3.0 m outside) in the herbaceous plant interspace in areas where woody shrubs and dwarf trees were newly established (not present > 50 years). Soils were sampled during the growing season in either 2017 or 2018 (depending on site). All soils were sampled using an aseptic technique and sampling protocol as described in the USEPA/USGS Sample Collection Protocol for Bacterial Pathogens in Surface Soil (EPA, 2014). We collected ten soil samples from each vegetation type (woody and herbaceous) at each site for a total of 20 samples per site (20 x 13=260 soil samples). For each soil sample, three replicate soil cores were taken at a depth of 10-15 cm, combined into one sample with all excess rocks, roots, leaves or twigs removed and placed in sterile Whirlpak bags (Uline, Pleasant Prairie, WI, USA). Sampling locations within sites (individual woody plants and paired herbaceous soils) were at least 5 m apart. Soils were frozen within 24 hrs after sampling and remained in the freezer (-20° C) until being shipped. Soils were shipped on dry ice via expedited shipping to the University of California, Riverside, USA. All soils were sampled from within the same parent material and 100 m elevation differential or less at each site.

Soil abiotic parameters

At each soil sampling location (N=10 woody + 10 herbaceous=20 per site), we measured soil volumetric water content (VWC %) and soil pH *in situ* using handheld probes (Vegetronix VG-Meter-200 basic or equivalent; EXTECH Model PH100 or equivalent). For soil chemistry, shipped soils were thawed at room temperature (half of each sample, other half remained frozen for microbial analyses) sifted through a 2mm mesh sieve and ground via mortar and pestle. Soils were then oven dried at 60 °C for 72 hours, weighed into tin capsules and measured for total C and N on a Flash EA 112 analyzer at the University of California Riverside Environmental sciences research laboratory, U.S.A.

Leaf sampling and traits

Ten leaves were sampled from the encroaching woody species at each study site ($n=10 \times 13$ sites= 130 leaves). Leaves were kept moist and weighed within 24 hours of sampling on a microbalance to obtain fresh weight (g). Leaves were then placed in paper envelopes and left to air dry until shipping.

We measured the following leaf functional traits for each woody plant species: leaf dry matter content LDMC (g/g), specific leaf area SLA (cm²/g), leaf N (%), leaf C (%), δ13C, and δ15N. Leaves were scanned on a flatbed scanner to calculate leaf area (cm²) using ImageJ software (https://imagej.nih.gov/ij/). Leaves were dried (60°C, 72 hours) and then weighed for dry weight (g). LDMC was calculated as the ratio of fresh weight (g) to dry weight (g) and SLA was calculated as leaf area

(cm²) to dry weight (g). Leaf chemical (C, N) and isotope (δ^{13} C, and δ^{15} N) content were measured from dried leaf subsamples at the University of Wyoming Stable Isotope Facility (Laramie, WY, USA.).

Soil microbial analyses

238

239

240

241

242

243

244

245

246

247

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264265

266

267

268

We extracted microbial DNA from 0.25 g of soil (±0.025 g) of each sample using a Qiagen DNeasy PowerSoil Kit (Qiagen Inc., Germantown, MD, USA) and quantified the extracted DNA using a NanoDrop 2000 (Thermo Fisher Scientific Inc., Wilmington, DE, USA). After quantification, we standardized DNA extracts to 10 ng/µL. We performed PCR amplification using the 515F/806R primer set targeting V4 region of the 16S rRNA gene for bacteria (Caporaso et al., 2011) and the 5.8S-Fun/ITS4-Fun primer set targeting the ITS-2 region for Fungi (D. L. Taylor et al., 2016). PCR was run in 25 μl reactions including 1.25 µl of 1 µM for each primer (forward and reverse), 1 µl DNA template, 12.5 µl of Phusion Green Hot Start 2X Master Mix (Thermo Fisher Scientific Inc., USA), 1.5 μl of 3 μM MgCl₂ and 7.5 μl PCR grade water. Thermocycler settings were 95°C for 2 minutes, followed by 35 cycles of 95°C for 30 seconds, 55°C for 30 seconds, and 60°C for 4 minutes (ITS2) or 2:30 minutes (16S) with a 10°C hold. We then did PCR clean-up using Agencourt AMPure XP beads (Beckman Coulter, Inc., Indianapolis, USA, IN 46268). Purified PCR products (2.5 µl) were mixed with 2.5 µl of 100 nm custom universal tails indexing primers (forward and reverse) developed at EnGGen Laboratory, Northern Arizona University (Flagstaff, AZ, USA)(Colman et al., 2015) 12.5 μl of Phusion Green Master Mix, 1.5 μl of 3 μM MgCl₂ and 3.5 μl PCR grade water and were amplified using thermocycler settings of 95°C for 2 minutes, followed by 15 cycles of 95°C for 30 seconds, 60°C for 30 seconds, and 72°C for 1 minute with a 10°C hold. We then ran another round of cleanup and quantified PCR products using the Quant-iT PicoGreen dsDNA assay kit (Life Technologies Inc., Grand Island, NY, USA). As a final step, the samples were pooled in equimolar concentrations and sequenced in a multiplexed 2- x 300-bp paired-end sequencing run on the Illumina MiSeq platform (Illumina Inc., San Diego, CA, USA) at the Genomics Core Facility, University of California Riverside (USA).

Bioinformatics

ITS-2 sequences were analyzed using AMPtk: Amplicon Toolkit for NGS data (Palmer, Jusino, Banik, & Lindner, 2018) (https://github.com/nextgenusfs/amptk). Demultiplexed paired-end sequences data were pre-processed by trimming primer sequences, trimming forward and reverse reads to 250 bp (reads length less than 100 bp were dropped), and merging paired-end reads using USEARCH v9.1.13 (Edgar, 2010). A total of 8,310,353 reads passed the preprocessing steps and reads were filtered based on quality scores with a cutoff of an expected error less than 0.9 (Edgar & Flyvbjerg, 2015) to produce

6,441,443 reads which passed quality filtering. The quality filtered reads were clustered into 19,790 Operational Taxonomic Units (OTUs) using UPARSE (Edgar, 2013) at 97% identity threshold. The OTUs were further processed with VSEARCH (v 2.3.2)(Rognes, Flouri, Nichols, Quince, & Mahé, 2016) to identify and remove 569 chimeras based on comparison to the UNITE database v8.0(Nilsson et al., 2019) leaving 19,221 OTUs. We assigned taxonomy with the AMPtk "hybrid" approach which uses Global Alignment, SINTAX, and UTAX. Lastly, sequences were rarefied to 10,000 sequences per sample and processed with QIIME Core Diversity pipeline (Caporaso et al., 2010) to estimating Alpha (OTU richness) and Beta diversity (Bray-Curtis dissimilarity).

16S sequences were analyzed using QIIME2 (Bolyen et al., 2018) (https://qiime2.org) following the 'Atacama soil microbiome' pipeline for demultiplexed paired-end sequences. We truncated sequences at 220 bp and trimmed the first 25 bp based on the interactive quality plots in QIIME2 and then denoised sequences using DADA2 after truncating all sequences Chimeras were removed using the default method in DADA2 (Callahan, Mcmurdie, Rosen, Han, & A, 2016). A total of 12,669,635 sequences passed quality filtering. Unique sequences were aligned using MAFFT (Katoh & Standley, 2013), filtered using the masked alignment file, and used to construct a Maximum Likelihood phylogeny with FastTree (Price, Dehal, & Arkin, 2010). Alpha (OTU richness) and Beta diversity measures (Weighted UniFrac distance) (Lozupone & Knight, 2005) were estimated using a subsampled feature table containing 10,000 sequences per sample. Taxonomy was assigned to 34,417 unique sequences using a Naïve Bayes classifier trained on the GreenGenes database (McDonald et al., 2012) (version 13_8) using trimmed sequences pre-clustered at 99% similarity. After all sequence processing we retained N=224 unique samples for fungi and N=215 unique samples for bacteria.

Climate data

To test the interaction between site specific changes in climate and the influence of woody plant encroachment, we acquired climate data for each site through the WorldClim v 2.1 database at 30 second resolution (Fick & Hijmans, 2017). We tested the influence of multiple climate parameters at each site including: Mean Annual Temperature (MAT), Temperature Seasonality (standard deviation x100), Maximum Temperature of Warmest Month, Minimum Temperature of Coldest Month, Mean Annual Precipitation (MAP), and Precipitation Seasonality (Coefficient of Variation). We chose to use the 30-year climate normals (WorldClim) rather than annual climate data because our analyses aimed at understanding climatic control over broad geographic variation in microbial communities. We found substantial climate variability across sites and symbiont types (Fig S1), but found that overall MAT was

the best univariate predictor of microbial diversity (Fig S2). Therefore, we included MAT, and for consistency MAP, as the primary climate variables in subsequent models.

Statistical methods

Leaf traits

We used Principal Components Analysis (PCA) to collapse the values of the six measured leaf traits into two PC axes to be used in hierarchical models (below). Prior to the PCA, we infilled missing leaf trait data (LDMC and leaf chemistry) for one site where only SLA could be measured (China) and any NA values using the package *mice* in R (R Core Team, 2019; van Buuren & Groothuis-oudshoorn, 2011), taking the average of 100 imputed values for each trait estimate. All data were logged prior to PCA. Leaf traits and principal components scores were averaged by (woody) plant species at each site.

We also tested for a difference in leaf N between root symbiont types, due to frequently higher N in tissues of N_2 -fixing plants. We used one way ANOVA with leaf N (%) (logged) as the response and symbiont type (N_2 -fix, ECM/ERM, AMF) as the predictor, followed by a Tukey's HSD test.

Alpha diversity (OTU richness)

We fit linear mixed-effects (hierarchical) models in a Bayesian SEM framework to test the impacts of woody plant encroachment on soil fungal and bacterial richness. First, we estimated the effects of vegetation type, climate, abiotic soil conditions, root symbiont type and their interactions on OTU richness. Next, we ran a second set of models to estimate the effects of woody plant leaf traits on soil abiotic conditions (soil C:N and soil pH), as we predicted that leaf traits would influence microbial richness via shifting abiotic soil conditions (Hypothesis 1). Thus, soil abiotic conditions were a predictor in the first set and a response in the second set of models (see General Model, Table S1). We did not hypothesize a relationship between leaf traits and soil moisture, however, so we simply used vegetation type as a predictor of soil moisture. Additionally, for the root symbiont type by vegetation interaction, we grouped symbiont types at the site level based on each woody plant species (see Table 1, Table S1), and thus we only estimate the effect of root symbionts for woody plants.

We fit Bayesian models using the *brms* package in R (Bürkner, 2017). All data were standard normalized prior to modeling to improve model convergence and we logged the bacterial response variable (16S OTU richness) for normality. All models contained a site level random intercept and hierarchical structure as described below and in Table S1. The Bayesian framework was convenient here due to the somewhat uneven design and multilevel structure of the data (Table S1), and was useful for

331 predicting relationships with reasonable estimates of uncertainties. We used the posterior distributions 332 of each parameter to calculate the probabilities that it was different from zero, and three probability 333 levels are reported (85, 90 and 95% probabilities, respectively, that the parameter estimate is different 334 from zero). We also used these parameter distributions to calculate pairwise post-hoc comparisons 335 between root symbiont types. 336 General Model: 337 Alpha Diversity= (1|site)+ Vegetation type*Root symbiont Type+ Vegetation type*Climate+ Soil abiotic Soil abiotic= (1 site)+Woody leaf traits 338 339 BRMS model syntax = OTU richness ~ (1|site) + Symbiont*Vegetation type+ MAT*Vegetation type + MAP*Vegetation type + 340 VWC + pH + soilC:N 341 soilC:N~ (1 | site) + PC Axis1 (leaf traits) + PC Axis2 (leaf traits) 342 pH ~ (1 | site) + PC Axis1 (leaf traits) + PC Axis2 (leaf traits) 343 344 VWC ~ (1 | site) + Vegetation type 345 Beta diversity (Community composition) 346 To assess the impacts of woody plant encroachment on bacterial and fungal community 347 composition, we used non-metric multidimensional scaling (NMDS) of the Bray-Curtis (fungi) and 348 weighted Unifrac (bacteria) dissimilarity metrics and permutational multivariate analysis of variance 349 (perMANOVA) with the 'adonis' function in the Vegan package in R (Oksanen, Blanchet, Kindt, Legendre, 350 & O'Hara, 2016) (999 permutations). We ran three perMANOVA models, first with vegetation type 351 (woody versus herbaceous) as a predictor and site as a strata variable to restrict permutations within 352 sites; next we used root symbiont type, climate, and soil abiotic parameters as predictors with 353 vegetation type as a strata; third we ran a leaf trait model for woody soils only using leaf trait PCA axes 1 and 2 as predictors and no strata variable. All perMANOVA models had either bacterial or fungal 354 355 community composition as the response variable. 356 General Model: Beta Diversity = Vegetation type 357

This article is protected by copyright. All rights reserved

Beta Diversity = Root symbiont Type+ Climate+ Soil abiotic

359	Beta Diversity = Woody leaf traits
360	Adonis model syntax =
361	Bray Curtis/Unifrac distance ~ Vegetation type, strata=site
362	Bray Curtis/Unifrac distance ~ Symbiont + MAT + MAP + VWC + pH + soilC:N , strata=vegetation type
363	Bray Curtis/Unifrac distance ~ PC Axis1 (leaf traits) + PC Axis2 (leaf traits)
364	Taxonomic analyses
365	To assess differences in the relative read abundance of microbial taxa between woody and non-
366	woody vegetation, we used linear mixed effects models (for normally distributed data) or generalized
367	linear models with a Gamma distribution in the 'lmer' and 'glmer' functions in the Ime4 package in R
368	(Bates, Mächler, Bolker, & Walker, 2014). Read abundances (logged, zeroes removed) of microbial phyla
369	were the response variable, vegetation type (woody/herbaceous) was a fixed effect and site was
370	included as a random effect.
371	General Model:
372	Phylum reads \sim (1 site)+Vegetation type
373	We also used indicator species analysis to determine which taxa characterized soils from different
374	vegetation types (woody versus herbaceous) using the function 'multipatt' in the <i>indicspecies</i> package in
375	R(De Cáceres, Legendre, Wiser, & Brotons, 2012). We calculated Indicator Values (Indvalg) based on
376	species (OTU) abundance and considered indicator taxa significant at α =0.05 based on permutation tests
377	(n=999) and an indicator value (stat) of 0.2 or greater.
378	
379	Results
380	Leaf Traits
381	PCA analysis showed that SLA, leaf N, δ 15N, and LDMC loaded on PC1 which explained 37.3% of the
382	variation among species, and high PC1 values were associated with low SLA, leaf N and δ 15N and high
383	LDMC. Leaf C and δ 13C loaded on the second axis (PC2), which explained 17.5% of the variation among
20/1	species and high PC2 values were associated with high leaf C and low 813C (Fig S2)

ANOVA and post hoc analysis revealed N₂-fixing woody plants had the highest leaf N content (%) overall, and significantly higher leaf N than AMF and ECM/ERM symbiont types (Fig S5).

Alpha diversity (OTU richness)

Woody plant encroachment influenced the richness of soil microbial communities, but interestingly, these impacts differed across sites, with woody plant soils having higher, lower or similar richness as herbaceous soil microbial communities (Fig 2 a, b). Bayesian hierarchical models showed that N₂-fixing woody plants had higher soil fungal richness and lower soil bacterial richness than herbaceous plant communities within sites (Fig 3, Table S2). Additionally, ECM/ERM woody plants had higher soil bacterial richness and lower soil fungal richness than herbaceous plant communities within sites (Fig 3, Table S2). Post-hoc comparisons also revealed that N₂-fixing woody plants had higher soil fungal richness than AMF and ECM/ERM woody plants across sites, while ECM/ERM plants had higher soil bacterial richness than AMF and N₂-fixing woody plants across sites (Table S2, FigS6).

Soil abiotic conditions also predicted fungal and bacterial richness, including a positive relationship between pH and both fungal and bacterial richness, a negative relationship between soil C:N and fungal richness (Fig 4, Table S2), and a positive relationship between soil water content (VWC) and bacterial richness (Table S2). Woody plant soils had lower VWC than herbaceous soils and woody plant leaf traits predicted soil abiotic conditions (Table S2). The first axis of a principal components analysis (PC1) of multiple leaf traits was negatively related to soil pH and soil C:N, while PC2 was negatively related to soil pH in the Bayesian hierarchical model (Fig 4, Table S2).

Finally, there were interactions between woody encroachment and climate, including a negative interaction between mean annual precipitation (MAP) and vegetation type on fungal richness, a positive interaction between mean annual precipitation (MAP) and vegetation type on bacterial richness and a negative interaction between mean annual temperature (MAT) and vegetation type on bacterial and fungal richness (Fig 3, Table S2).

Beta diversity (Community composition)

Microbial beta diversity was generally higher between rather than within sites, as communities clustered strongly by sampling site (Fig 2 c, d). Within sites, microbial community composition differed among vegetation types and this pattern was stronger for bacterial than fungal communities based on perMANOVA results and NMDS overlap (Fig 5 a, d, Table S3,). Within vegetation types, plant traits, climate and soil abiotic conditions were significantly related to both fungal and bacterial community

composition (Table S3). Environmental variables such as climate and soil abiotic conditions explained up to an order of magnitude more variation in bacterial than fungal community composition (maximum R² 0.135 vs 0.012; mean R² 0.06 vs 0.01, Table S3). Root symbiont type was a significant predictor of both fungal and bacterial communities, with the highest community similarity within N₂-fixing soil fungal communities (Fig 5 b,e). Mean annual precipitation (MAP) and soil pH were the best abiotic predictors of fungal and bacterial community composition, respectively (Fig 5 c, f, Table S3). Woody plant leaf traits were also significant predictors of microbial community composition with PC2 being most predictive of fungal and bacterial communities (Table S3).

Taxonomic analyses

The soil fungal community comprised 10 phyla, with Ascomycota dominating (40.1%), followed by Basidiomycota (26.6%) and Mortierellomycota (13.9%), Glomeromycota (0.8%) and Chytridiomycota (0.5%) (Fig S4 a,b). Six percent of the total ITS-2 sequences could not be assigned taxonomically, while two percent were assigned as unknown Fungi (i.e. only to Kingdom level) (red color-Fig S4). The soil bacterial community comprised 43 phyla with Proteobacteria making up the largest percentage (29.1%), followed by Acidobacteria (16.4%), Actinobacteria (12.9%), Bacteroidetes (8.7%), Planctomycetes (6.5%), Verrucomicrobia (6.5%), Chloroflexi (5.6%), unidentified bacteria (3.8%) and Firmicutes (1.5%) (Fig S4 c,d). Less than one percent of the total 16S sequences could not be assigned a taxonomy, while four percent were assigned as unknown Bacteria (red color-Fig S4).

Taxa abundance models of the dominant microbial phyla showed a lower abundance of Basidiomycota in woody versus herbaceous soils (Table S4, Fig S4 a,b). For bacterial phyla, soils from herbaceous communities had a higher abundance of Acidobacteria, Actinobacteria, Proteobacteria, Verrucomicrobia, and Planctomycetes than woody soils (Table S4, Fig S4 c,d).

Fifty-one fungal indicator OTUs (assigned to the species level) were found in woody plant soils and 23 indicator OTUs were in soils from herbaceous communities from Indicator species analysis. The six most prevalent indicator species were from the *Mortierella*, *Penicillium*, *Vishniacozyma*, *Herpotrichia*, and *Metapochonia* genera (OTUs 1585, 16274, 1203, 938, 101 and 1386) and were associated with soils beneath woody plants from at least ten sites (Table S5a). Species in the *Penicillium*, *Clavaria*, and *Pyrenochaetopsis* genera (OTUs 1611, 808, and 1271) were associated with soils from herbaceous communities at seven sites (Table S5a). There were only nine bacterial indicator OTUs assigned to the species level overall, but at the genus level, there were 32 bacterial indicator taxa (20 genera) for woody soils and 35 indicator taxa (22 genera) for herbaceous soils. Members of the genus *Herminiimonas*

(Proteobacteria) and *Segetibacter* (Bacteroidetes) were strongly associated with woody plant soils while the DA101 (Verrucomicrobia), *Rhodoplanes* (Proteobacteria), and GOUTA19 (Nitrospirae) genera were associated with soils from herbaceous communities. Indicator taxa from *Flavobacterium*, Candidatus *Koribacter*, Candidatus *Solibacter*, *Kaistobacter*, and *Pseudonocardia* genera were common in soils from both woody and herbaceous plants (Table S5b).

Discussion =

One of the most striking ways that global change is restructuring alpine tundra plant communities is through the replacement of herbaceous plants by woody shrubs and dwarf trees (Brandt, Haynes, Kuemmerle, Waller, & Radeloff, 2013; Formica, Farrer, Ashton, & Suding, 2014; Hallinger, Manthey, & Wilmking, 2010). For example, conversion rates of alpine meadows to woody shrublands were estimated between 39-72% in the large portions of the southern Himalayas (Brandt et al., 2013). Here, using a global, coordinated field study we found that woody plant encroachment is influencing both richness and composition of soil microbial communities but that these changes depend on a combination of abiotic soil conditions, climate, root symbiont types and plant functional traits. This is an important first step in building a more predictive, functional understanding of how climate-driven shifts in woody plant cover will affect soil microbial communities and ecosystem processes worldwide.

Broadly, we did not find one 'global signature' of woody encroachment, but rather that woody encroachment was associated with increased, decreased, and no change in microbial alpha diversity (OTU richness) when comparing with soils of nearby herbaceous plant communities (Fig 2). This likely reflects the broad taxonomic and functional diversity of the woody plant species across these sites, leading to variable litter quality (Table 1, Fig S3). For example, study species included evergreen conifers, deciduous hardwoods, legumes and woody graminoids, highlighting the diversity of woody species expanding into different alpine ecosystems worldwide. However, when accounting for easily measurable characteristics, such as woody plant leaf traits and root symbiont types, consistent patterns emerged for effects of woody plants on both bacterial and fungal richness and community composition.

Woody plant leaf traits modulated shifts in soil microbial communities supporting our first hypothesis. Leaf traits predicted the community composition of both bacteria and fungi in woody plant soils and influenced soil microbial richness indirectly through changes in soil abiotic conditions (pH, soil C:N). Two distinct trait axes influenced microbial community structure. The first axis of the principal components analysis (PC1) was primarily characterized by low SLA, leaf N and δ 15N and high LDMC and the second axis (PC2) was primarily characterized by high leaf C and low δ 13C (Fig S3). Thus PC1

represents variation in leaf economic traits and nitrogen acquisition strategies with low PC1 scores representing more resource-acquisitive species with higher N content and SLA (Wright et al., 2004). Moreover, PC2 represents variation in leaf C and water use with high PC2 scores representing species with resource-conservative strategies including high leaf C content and water use efficiency (Moreno-Gutiérrez, Dawson, Nicolás, & Querejeta, 2012). There was a negative relationship between PC2 and soil pH (Fig 4), suggesting that woody plants with higher C content in leaves reduced soil pH, likely due to leaching of organic acids into soil solution via recalcitrant litter (Eldridge et al., 2011; Jobbagyl & Jackson, 2003). Consistent with other studies, we also found that soil pH was a strong predictor of both bacterial and fungal richness (Lauber et al., 2009; J. Rousk et al., 2010), providing a clear mechanism for how woody plant litter chemistry can influence soil microbial diversity. Plant traits also influenced bacterial and fungal community composition, but PC2 was a stronger predictor than PC1 (Table S3), further suggesting that leaf C content is an important determinant of woody encroachment impacts on soil microbial communities.

Woody plants with different root symbiont types (AMF, ECM/ERM, N₂-fixers) had distinct impacts on soil microbial communities, supporting our second hypothesis. In particular, N₂-fixing woody species had higher soil fungal richness and lower bacterial richness than both herbaceous soils (within sites) and AMF, ECM/ERM woody plant soils (across sites)(Fig 3a, FigS6a, Table S2). Conversely, ECM-ERM symbionts had higher soil bacterial richness but lower fungal richness than both herbaceous soils (within sites) and N₂-fixing, AMF woody plant soils (across sites)(Fig 3a, FigS6b, Table S2). Root symbiont type was also an important predictor of both fungal and bacterial community composition (Fig 5b,e, Table S3). Root symbiont types can greatly influence plant resource use strategies, as well as litter chemistry and thus the impact of woody plants on soil microbial communities (Cheeke et al., 2017; Wookey et al., 2009). For example, N₂-fixing woody plants had higher leaf N content (%) than AMF symbiont types in our study (Fig S5) and thus may be altering soil microbial richness through high N leaf litter. Previous work has shown invasion of N₂-fixing woody species reduces soil microbial diversity (Lorenzo, Pereira, & Rodríguez-Echeverría, 2013; Lorenzo, Rodríguez-Echeverría, González, & Freitas, 2010), which we find to be true for bacteria, however we see the opposite response in fungi. Root symbionts, especially extraradical hyphal forming ecto- and ericoid mycorrhizas, may also interact directly with free-living microbes (Bending et al., 2006). Woody plants utilizing ECM and ERM fungi had higher soil bacterial richness and distinct soil microbial community composition (Fig 3a, 5b,e). ECM and ERM fungi release extracellular enzymes and organic acids for decomposition into the rhizosphere which can select for specific bacterial communities (Churchland & Grayston, 2014). In addition, mycorrhizal helper bacteria (MHB) (Frey-Klett,

Garbaye, & Tarkka, 2007) and/or chitinophagous species that feed on dead fungal hyphae may be enhanced in the rhizosphere of ECM and ERM woody plants (Brabcová, Nováková, Davidová, & Baldrian, 2016), and several of these taxa were indicator species of woody plant soils in our analysis (Table S5).

While we designated root symbiont types based on current literature and site-specific information, several of the woody plant species in our study can utilize multiple types of root symbionts. For example, *Salix* spp. (Teste, Jones, & Dickie, 2019) and *Juniperus communis* (Thomas, El-Bargathi, & Polwart, 2007) can be dually colonized by ECM and AMF, and the relative abundance of each mycorrhizal type often differs across habitats, with alpine *Salix* varieties being more ECM dominant (Dhillion, 1994). In addition, Nitrogen fixers may utilize different bacterial symbionts; for example, *Alnus alnobetula* is an actinorhizal species which associates with bacteria in the genus *Frankia* (Richardson, Allsopp, D'antonio, Milton, & Rejmánek, 2000), while *Echinospartum horridum* is a legume which associates with bacterial species in the genus *Rhizobium* (Komac, Alados, & Camarero, 2011). Rhizobial strains are considered more host-specific than *Frankia*, and N₂-fixing plant species may also have co-occurring AMF or ECM fungi (Teste et al., 2019). Despite these discrepancies, these very broad categories still proved to be useful predictors of complex soil microbial communities undergoing woody plant encroachment.

Soil abiotic conditions influenced microbial communities, supporting our third hypothesis, and soil pH was the most consistent driver of soil microbial richness (Fig 3, Table S2) and community composition (Table S3). Further, abiotic conditions were influenced by woody plant leaf traits, suggesting that woody plants affect soil microbial communities indirectly through changes in abiotic soil conditions (Fig 4). For example, soil pH had a positive effect on both fungal and bacterial richness and was the best predictor of bacterial community composition (Fig 3a, 5f). As described previously, there was also a negative relationship between woody plant leaf traits, particularly leaf C content, and pH (Fig 4). Soil pH is a consistently strong predictor of microbial community structure (Lauber et al., 2009; J. Rousk et al., 2010), however it is often framed as an abiotic driver decoupled from plant litter chemistry. Soil C:N had a negative effect on fungal richness and also influenced fungal and bacterial community composition (Fig 3a, Table S3). On the other hand, Soil C:N was negatively associated with N related leaf traits (PC1), however the direction of this relationship was the opposite of what we predicted (Fig 4). This may be due to the fact that in low N environments such as the alpine, N mineralization is very low and direct microbial uptake of organic N from is high (Schimel & Bennett, 2004), potentially weakening the link between leaf N traits and soil C:N. Finally, VWC had a positive effect on bacterial richness, and influenced microbial and fungal community composition (Fig 3a, Table S3), however unlike our initial

prediction, soils from beneath woody plants had slightly lower VWC (Table S2). Thus, woody plants may be depleting soil moisture as compared to herbaceous vegetation through deeper roots, or via accessing water later into the growing season (Acharya, Kharel, Zou, Wilcox, & Halihan, 2018; Awada et al., 2013). Overall, these patterns highlight that woody plant effects on abiotic soil conditions are an important indirect pathway between woody plant encroachment and soil microbial community structure.

While changing climate is among the major drivers of woody plant encroachment, our results demonstrate that woody encroachment may also modulate climate effects on soil microbes. In support of our fourth hypothesis, the effects of woody plants interacted with climate at the site level, including interactions between vegetation type and MAP, MAT on fungal and bacterial richness (Fig 3, Table S2). This suggests that soil microbial communities undergoing woody encroachment are more distinct from those of herbaceous plants at the more extreme ends of temperature and precipitation gradients (Fig 3 b, c). Fungal richness was more sensitive to the precipitation by vegetation type interaction, which is consistent with previous work showing MAP to be the best predictor of fungal richness worldwide (Tedersoo et al., 2014). Bacterial richness was more sensitive to the temperature by vegetation type interaction, likely because bacteria tend to be less cold tolerant than fungi, and fewer strains can maintain their biomass under winter snowpack (Lazzaro, Hilfiker, & Zeyer, 2015; Zinger, Shahnavaz, Baptist, Geremia, & Choler, 2009). Furthermore, MAT was one of the best predictors of fungal richness overall and MAP was among the top predictors of both fungal and bacterial community composition (Fig 3a, Fig 5c, Table S3), emphasizing the strong influence of climate on soil microbial communities in alpine environments. All together, we find that woody encroachment can significantly influence how soil microbial communities respond to temperature and precipitation and may alter both the magnitude and influence of the climate driver. Thus, future predictions of climate impacts on alpine soil microbial communities must also consider co-occurring shifts in plant community structure.

Due to this study's observational rather than experimental approach, we cannot conclusively state that observed differences in soil microbial communities are in *response* to woody plant encroachment rather than a potential *cause* of woody plant establishment. However, there are several reasons why we believe the former to be true. First, soil microbial communities were highly correlated with attributes of the woody plants themselves, including leaf traits, root symbiont type, and soil abiotic conditions related to litter chemistry. In addition, we selected sites where woody plant encroachment began within the last 50 years, and at most sites, woody encroachment has been present for between 30-40 years. In a previous study, alpine soil microbial communities reflected the transition from a woody to herbaceous plant community in under 5 years (Collins et al., 2016) and thus we believe our sampling interval

provides sufficient time for woody plants to have cultivated distinct soil communities. Next, our analysis of soil microbial community composition has focused on the saprotrophic, generalist species which are most abundant in bulk soil and unlikely to directly influence plant community composition (Fierer, 2017). This analysis does not test for species-specific soil mutualists or pathogens, the taxa which most strongly influence the success of plant establishment and range expansion (Mccarthy-Neumann & Ibáñez, 2012; Nuñez, Horton, & Simberloff, 2009; Tomiolo & Ward, 2018). Finally, while all soils were collected during the growing season (alpine summer), sampling times varied among sites due to differences in growing season length and snowmelt timing. Differences in sampling time can influence site-specific patterns in soil microbial communities (Bjork, Bjorkman, Andersson, & Klemedtsson, 2008; Lazzaro et al., 2015; Lipson & Schmidt, 2004), yet despite this, we observed many consistent patterns across sites in response to woody encroachment, suggesting that vegetation strongly influences soil microbial community structure in alpine ecosystems.

This study documents the global impacts of woody plant encroachment on soil microbial communities, but we emphasize that multiple pathways must be considered to disentangle these impacts. Specifically, divergent functional trait strategies and functional groups of woody plants based on root symbionts have consistent impacts belowground regardless of woody plant species or site. In addition, the influence of woody plants on soil microbes can be indirect through changes in the soil abiotic environment, such as reduced soil pH driven by high C content of woody plant litter. Finally, woody encroachment can influence both the direction and magnitude of direct climate effects on microbial richness, and bacteria and fungi respond to distinct climate and woody plant drivers. Our work highlights the complexity of plant-soil interactions in rapidly changing alpine ecosystems, an understanding that will influence our ability to predict feedbacks to terrestrial ecosystem function and climate, particularly the global C cycle, where soil microbes play an integral role.

Aut

Acknowledgements

612

613

614

615

616

617

618

619

620

621

622

623

624

625

626 627

628

629

630

631

This research was funded by an NSF Doctoral Dissertation Improvement Grant (DDIG) (Award No. (FAIN): 1701979) awarded to C. Collins and J. Diez. C. Collins was also supported by a UC President's Dissertation Year Fellowship and a UCR Graduate Dean's Dissertation Research Grant. M. Spasojevic was supported by the Niwot Ridge LTER (NSF DEB-1637686). A. Stokes and F. Reverchon were supported by the French and Mexican governments (ECOPICS project, ANR-16-CE03-0009 and CONACYT-2 73659). J. Mullerová was supported by a long-term research development project RVO 67985939 (The Czech Academy of Sciences) and Fulbright Grant. C. Alados was supported by the Ministerio de Economía y Competitividad-MINECO Project №: CGL2016-80783-R. Oriol Grau was supported by the ERC Synergy project, SyG-2013-610028 IMBALANCE-P and an INTERACT grant agreement No: 730938 EU-H2020. Jason Stajich is a CIFAR Fellow in the program Fungal Kingdom: Threats and Opportunities and supported by United States Department of Agriculture - National Institute of Food and Agriculture Hatch project CA-R-PPA-5062-H. Nuttapon Pombubpa was supported by a Royal Thai Government Fellowship. JCB acknowledges the support of Javeriana University. We thank Maximillien Osbourne-Thacker, Amulya Kunduru, and Chloe Hull for assistance with processing soil samples and molecular sequencing prep. We thank the following for assistance with site selection, plant identification, and soil sampling: Nevados National Park in Colombia and its staff, Katrin Sieron, Marco Morales, Leonor Jiménez, Daniel Hernández, Fabien Anthelme, Luis Merino-Martin, and Miguel Castillo.

Data Availability

633	https://github.com/cour10eygrace/woody-encroachment-microbes.git. Raw Sequences may be found in
634	the NCBI Short Read Archive (SRA) accession # PRJNA659596.
635	
636	
637	
638	
639	
640	
641	
642	
643	
644	
645	
646	
647	References
648	Bates, D., Mächler, M., Bolker, B., & Walker, S. (2014). Fitting linear mixed-effects models using Ime4.
649	Journal of Statistical Software, 67(1). https://doi.org/10.18637/jss.v067.i01
650	Bending, G. D., Aspray, T. J., & Whipps, J. M. (2006). Significance of microbial interactions in the
651	mycorrhizosphere. Advances in Applied Microbiology, 60(06), 97–132.
652	https://doi.org/10.1016/S0065-2164(06)60004-X
653	Bengtson, P., Barker, J., & Grayston, S. J. (2012). Evidence of a strong coupling between root exudation,
654	C and N availability, and stimulated SOM decomposition caused by rhizosphere priming effects.

All raw data and analysis scripts for thus study may be found at the following repository:

655	Ecology and Evolution, 2(8), 1843–1852. https://doi.org/10.1002/ece3.311
656	Bjork, R. G., Bjorkman, M. P., Andersson, M. X., & Klemedtsson, L. (2008). Temporal variation in soil
657	microbial communities in Alpine tundra. Soil Biology and Biochemistry, 40, 266–268.
658	https://doi.org/10.1016/j.soilbio.2007.07.017
659	Bolyen, E., Rideout, J. R., Dillon, M. R., Bokulich, N. A., Abnet, C., Al-Ghalith, G. A., Caporaso, J. G.
660	(2018). QIIME 2: Reproducible, interactive, scalable, and extensible microbiome data science. Peers
661	Preprints, 6, e27295v1. https://doi.org/10.7287/peerj.preprints.27295v1
662	Brabcová, V., Nováková, M., Davidová, A., & Baldrian, P. (2016). Dead fungal mycelium in forest soil
663	represents a decomposition hotspot and a habitat for a specific microbial community. New
664	Phytologist, 210(4), 1369–1381.
665	Brandt, J. S., Haynes, M. A., Kuemmerle, T., Waller, D. M., & Radeloff, V. C. (2013). Regime shift on the
666	roof of the world: Alpine meadows converting to shrublands in the southern Himalayas. Biological
667	Conservation, 158, 116–127. https://doi.org/10.1016/j.biocon.2012.07.026
668	Bürkner, PC. (2017). brms: An R package for bayesian multilevel models using Stan. Journal of
669	Statistical Software, 80(1). https://doi.org/10.18637/jss.v080.i01
670	Cable, J. M., Ogle, K., Tyler, A. P., Pavao-Zuckerman, M. a., & Huxman, T. E. (2009). Woody plant
671	encroachment impacts on soil carbon and microbial processes: Results from a hierarchical Bayesiar
672	analysis of soil incubation data. Plant and Soil, 320(1-2), 153-167.
673	https://doi.org/10.1007/s11104-008-9880-1
674	Cahoon, S. M. P., Sullivan, P. F., Shaver, G. R., Welker, J. M., & Post, E. (2012). Interactions among shrub
675	cover and the soil microclimate may determine future Arctic carbon budgets. Ecology Letters,
676	15(12), 1415–1422. https://doi.org/10.1111/j.1461-0248.2012.01865.x
677	Callahan, B. J., Mcmurdie, P. J., Rosen, M. J., Han, A. W., & A, A. J. (2016). Dada2: High resolution sample
678	inference from Illumina amplicon data. Nat Methods, 13(7), 581–583.
679	https://doi.org/10.1038/nmeth.3869.DADA2
680	Caporaso, J. G., Kuczynski, J., Stombaugh, J., Bittinger, K., Bushman, F. D., Costello, E. K., Knight, R.
681	(2010). QIIME allows analysis of high-throughput community sequencing data. Nature Methods, 7,
682	335. Retrieved from http://dx.doi.org/10.1038/nmeth.f.303

683	Caporaso, J. G., Lauber, C. L., Walters, W. A., Berg-Lyons, D., Lozupone, C. A., Turnbaugh, P. J., Knight,
684	R. (2011). Global patterns of 16S rRNA diversity at a depth of millions of sequences per sample.
685	Proceedings of the National Academy of Sciences of the United States of America, 108(SUPPL. 1),
686	4516–4522. https://doi.org/10.1073/pnas.1000080107
687	Chapman, S. K., & Newman, G. S. (2010). Biodiversity at the plant-soil interface: Microbial abundance
688	and community structure respond to litter mixing. Oecologia, 162(3), 763–769.
689	https://doi.org/10.1007/s00442-009-1498-3
690	Cheeke, T. E., Phillips, R. P., Brzostek, E. R., Rosling, A., Bever, J. D., & Fransson, P. (2017). Dominant
691	mycorrhizal association of trees alters carbon and nutrient cycling by selecting for microbial groups
692	with distinct enzyme function. New Phytologist, 214(1), 432–442.
693	https://doi.org/10.1111/nph.14343
694	Chen, I., Hill, J. K., Ohlemüller, R., Roy, D. B., & Thomas, C. D. (2011). Rapid range shifts of species of
695	climate warming. <i>Science</i> , 333(August), 1024–1026. https://doi.org/10.1126/science.1206432
696	Churchland, C., & Grayston, S. J. (2014). Specificity of plant-microbe interactions in the tree
697	mycorrhizosphere biome and consequences for soil C cycling. Frontiers in Microbiology, 5(June), 1–
698	20. https://doi.org/10.3389/fmicb.2014.00261
699	Classen, A., Sundqvist, M. K., Henning, J. A., Newman, G. S., M Moore, J. A., Cregger, M. A., Patterson,
700	C. M. (2015). Direct and indirect effects of climate change on soil microbial and soil microbial-plant
701	interactions: What lies ahead? Ecosphere, 6(8), art130. https://doi.org/10.1890/ES15-00217.1
702	Collins, C. G., Carey, C. J., Aronson, E. L., Kopp, C. W., & Diez, J. M. (2016). Direct and indirect effects of
703	native range expansion on soil microbial community structure and function. Journal of Ecology,
704	104(5), 1271–1283. https://doi.org/10.1111/1365-2745.12616
705	Colman, R. E., Schupp, J. M., Hicks, N. D., Smith, D. E., Buchhagen, J. L., Valafar, F., Engelthaler, D. M.
706	(2015). Detection of low-level mixed-population drug resistance in Mycobacterium tuberculosis
707	using high fidelity amplicon sequencing. PLoS ONE, 10(5), 1–18.
708	https://doi.org/10.1371/journal.pone.0126626
709	Cornelissen, J., Aerts, R., Cerabolini, B., Werger, M., & van der Heijden, M. (2001). Carbon cycling traits
710	of plant species are linked with mycorrhizal strategy. Oecologia, 129(4), 611-619.
711	https://doi.org/10.1007/s004420100752

712	Cornelissen, J. H. C.,	, Van Bodegom, P. M.	., Aerts, R.	, Callaghan, T. V.,	Van Logtestijn, R.	. S. P., Alatalo, J.,
-----	------------------------	----------------------	--------------	---------------------	--------------------	-----------------------

- 713 Zielke, M. (2007). Global negative vegetation feedback to climate warming responses of leaf litter
- 714 decomposition rates in cold biomes. *Ecology Letters*, 10(7), 619–627.
- 715 https://doi.org/10.1111/j.1461-0248.2007.01051.x
- 716 Cornwell, W. K., Cornelissen, J. H. C., Amatangelo, K., Dorrepaal, E., Eviner, V. T., Godoy, O., ... Westoby,
- 717 M. (2008). Plant species traits are the predominant control on litter decomposition rates within
- 718 biomes worldwide. *Ecology Letters*, 11(10), 1065–1071. https://doi.org/10.1111/j.1461-
- 719 0248.2008.01219.x
- 720 De Cáceres, M., Legendre, P., Wiser, S. K., & Brotons, L. (2012). Using species combinations in indicator
- value analyses. Methods in Ecology and Evolution, 3(6), 973–982. https://doi.org/10.1111/j.2041-
- 722 210X.2012.00246.x
- Demarco, J., Mack, M. C., & Bret-Harte, M. S. (2014). Effects of arctic shrub expansion on biophysical vs.
- biogeochemical drivers of litter decomposition. *Ecology*, *95*(7), 1861–1875.
- 725 https://doi.org/10.1890/13-2221.1
- 726 Dhillion, S. S. . (1994). Ectomycorrhizae , Arbuscular Mycorrhizae , and Rhizoctonia sp . of Alpine and
- 727 Boreal Salix spp. in Norway. *Arctic, Antarctic, and Alpine Research*, 26(3), 304–307.
- Donhauser, J., & Frey, B. (2018). Alpine soil microbial ecology in a changing world. FEMS Microbiology
- 729 Ecology, 94(9), 1–31. https://doi.org/10.1093/femsec/fiy099
- 730 Edgar, R. C. (2010). Search and clustering orders of magnitude faster than BLAST. *Bioinformatics*, 26(19),
- 731 2460–2461. https://doi.org/10.1093/bioinformatics/btg461
- 732 Edgar, R. C. (2013). UPARSE: highly accurate OTU sequences from microbial amplicon reads. *Nature*
- 733 Methods, 10, 996. Retrieved from https://doi.org/10.1038/nmeth.2604
- 734 Edgar, R. C., & Flyvbjerg, H. (2015). Error filtering, pair assembly and error correction for next-generation
- 735 sequencing reads. *Bioinformatics*, *31*(21), 3476–3482.
- 736 https://doi.org/10.1093/bioinformatics/btv401
- 737 Eldor Alvin Paul, F. E. C. (2007). Soil Microbiology, Ecology, and Biochemistry.
- 738 Eldridge, D. J., Bowker, M. a., Maestre, F. T., Roger, E., Reynolds, J. F., & Whitford, W. G. (2011). Impacts
- of shrub encroachment on ecosystem structure and functioning: Towards a global synthesis.

740	Ecology Letters, 14(7), 709–722. https://doi.org/10.1111/j.1461-0248.2011.01630.x
741	Elmendorf, S. C., Henry, G. H. R., Hollister, R. D., Björk, R. G., Boulanger-Lapointe, N., Cooper, E. J.,
742	Wipf, S. (2012). Plot-scale evidence of tundra vegetation change and links to recent summer
743	warming. Nature Climate Change, 2(6), 453–457. https://doi.org/10.1038/nclimate1465
744	Eskelinen, A., Stark, S., & Männistö, M. (2009). Links between plant community composition, soil organic
745	matter quality and microbial communities in contrasting tundra habitats. Oecologia, 161(1), 113-
746	123. https://doi.org/10.1007/s00442-009-1362-5
747	Fick, S. E., & Hijmans, R. J. (2017). WorldClim 2: new 1-km spatial resolution climate surfaces for global
748	land areas. International Journal of Climatology, 37(12), 4302–4315.
749	https://doi.org/10.1002/joc.5086
750	Fierer, N. (2017). Embracing the unknown: Disentangling the complexities of the soil microbiome.
751	Nature Reviews Microbiology, Vol. 15, pp. 579–590. https://doi.org/10.1038/nrmicro.2017.87
752	Formica, A., Farrer, E. C., Ashton, I. W., & Suding, K. N. (2014). Shrub expansion over the past 62 Years in
753	Rocky Mountain Alpine tundra: Possible causes and consequences. Arctic, Antarctic, and Alpine
754	Research, 46(3), 616–631. https://doi.org/10.1657/1938-4246-46.3.616
755	Frey-Klett, P., Garbaye, J., & Tarkka, M. (2007). The mycorrhiza helper bacteria revisited. New
756	Phytologist, 176, 22–36.
757	Gavazov, K. S. (2010). Dynamics of alpine plant litter decomposition in a changing climate. Plant and Soil,
758	337(1), 19–32. https://doi.org/10.1007/s11104-010-0477-0
759	Gerz, M., Guillermo Bueno, C., Ozinga, W. A., Zobel, M., & Moora, M. (2018). Niche differentiation and
760	expansion of plant species are associated with mycorrhizal symbiosis. <i>Journal of Ecology</i> , 106(1),
761	254–264. https://doi.org/10.1111/1365-2745.12873
762	Gómez-Aparicio, L., Gómez, J. M., Zamora, R., & Boettinger, J. L. (2005). Canopy vs. soil effects of shrubs
763	facilitating tree seedlings in Mediterranean montane ecosystems. Journal of Vegetation Science,
764	16(2), 191–198. https://doi.org/10.1658/1100-9233(2005)016[0191:CVSEOS]2.0.CO;2
765	Grau, O., Saravesi, K., Ninot, J. M., Geml, J., Markkola, A., Ahonen, S. H., & Peñuelas, J. (2019).
766	Encroachment of shrubs into subalpine grasslands in the Pyrenees modifies the structure of soil
767	fungal communities and soil properties. FEMS Microbiology Ecology, 95(4), 1–16.

768	https://doi.org/10.1093/femsec/fiz028
769	Hagedorn, F., Gavazov, K., & Alexander, J. M. (2019). Above- and belowground linkages shape responses
770	of mountain vegetation to Climate Change. Science, 1123 (September), 1119–1123.
771	Hallinger, M., Manthey, M., & Wilmking, M. (2010). Establishing a missing link: warm summers and
772	winter snow cover promote shrub expansion into alpine tundra in Scandinavia. New Phytologist,
773	186, 890–899. https://doi.org/10.1111/j.1469-8137.2010.03223.x
774	Hollister, E. B., Schadt, C. W., Palumbo, A. V., James Ansley, R., & Boutton, T. W. (2010). Structural and
775	functional diversity of soil bacterial and fungal communities following woody plant encroachment
776	in the southern Great Plains. Soil Biology and Biochemistry, 42(10), 1816–1824.
777	https://doi.org/10.1016/j.soilbio.2010.06.022
778	Jobbagyl, E. G., & Jackson, R. B. (2003). Patterns and mechanisms of soil acidification in the conversion
779	of grasslands to forests. <i>Biogeochemistry</i> , 64(2), 205–229.
780	Kardol, P., Cregger, M. A., Campany, C. E., & Classen, A. T. (2010). Soil ecosystem functioning under
781	climate change: plant species and community effects. Ecology, 91(3), 767–781. Retrieved from
782	http://poa46.bibliotecas.csic.es/www/stable/25661109
783	Katoh, K., & Standley, D. M. (2013). MAFFT Multiple sequence alignment software version 7:
784	Improvements in performance and usability. Molecular Biology and Evolution, 30(4), 772–780.
785	https://doi.org/10.1093/molbev/mst010
786	Komac, B., Alados, C., & Camarero, J. (2011). Influence of topography on the colonization of subalpine
787	grasslands by the thorny cushion dwarf Echinospartum horridum. Arctic, Antarctic, and Alpine
788	Research, 43(4), 601–611. https://doi.org/10.1657/1938-4246-43.4.601
789	Körner, C. (2003). Alpine Plant Life: Functional Plant Ecology of High Mountain Ecosystems. Springer-
790	Verlag Berlin Heidelberg NewYork.
791	Lauber, C. L., Hamady, M., Knight, R., & Fierer, N. (2009). Pyrosequencing-based assessment of soil pH as
792	a predictor of soil bacterial community structure at the continental scale. Applied and
793	Environmental Microbiology, 75(15), 5111–5120. https://doi.org/10.1128/AEM.00335-09
794	Lazzaro, A., Hilfiker, D., & Zeyer, J. (2015). Structures of microbial communities in alpine soils: Seasonal
795	and elevational effects. Frontiers in Microbiology, 6(NOV), 1–13.

796	https://doi.org/10.3389/fmicb.2015.01330
797	Liang, C., Schimel, J. P., & Jastrow, J. D. (2017). The importance of anabolism in microbial control over
798	soil carbon storage. Nature Microbiology, 2(8), 1–6. https://doi.org/10.1038/nmicrobiol.2017.105
799	Liao, J. D., & Boutton, T. W. (2008). Soil microbial biomass response to woody plant invasion of
800	grassland. Soil Biology and Biochemistry, 40(5), 1207–1216.
801	https://doi.org/10.1016/j.soilbio.2007.12.018
802	Lipson, D. A., & Schmidt, S. K. (2004). Seasonal Changes in an Alpine Soil Bacterial Community in the
803	Colorado Rocky Mountains. Applied and Environmental Microbiology, 70(5), 2867–2879.
804	https://doi.org/10.1128/AEM.70.5.2867
805	Lorenzo, P., Pereira, C. S., & Rodríguez-Echeverría, S. (2013). Differential impact on soil microbes of
806	allelopathic compounds released by the invasive Acacia dealbata Link. Soil Biology and
807	Biochemistry, 57, 156–163. https://doi.org/10.1016/j.soilbio.2012.08.018
808	Lorenzo, P., Rodríguez-Echeverría, S., González, L., & Freitas, H. (2010). Effect of invasive Acacia dealbata
809	Link on soil microorganisms as determined by PCR-DGGE. Applied Soil Ecology, 44(3), 245–251.
810	https://doi.org/10.1016/j.apsoil.2010.01.001
811	Lozupone, C., & Knight, R. (2005). UniFrac: a wew phylogenetic method for comparing microbial c
812	ommunities. Applied and Environmental Microbiology, 71(12), 8228–8235.
813	https://doi.org/10.1128/AEM.71.12.8228
814	Mccarthy-Neumann, S., & Ibáñez, I. (2012). Tree range expansion may be enhanced by escape from
815	negative plant-soil feedbacks. <i>Ecology</i> , 93(12), 2637–2649. https://doi.org/10.1890/11-2281.1
816	McDonald, D., Price, M. N., Goodrich, J., Nawrocki, E. P., DeSantis, T. Z., Probst, A., Hugenholtz, P.
817	(2012). An improved Greengenes taxonomy with explicit ranks for ecological and evolutionary
818	analyses of bacteria and archaea. <i>Isme J, 6</i> (3), 610–618. https://doi.org/10.1038/ismej.2011.139
819	McGuire, K. L., Zak, D. R., Edwards, I. P., Blackwood, C. B., & Upchurch, R. (2010). Slowed decomposition
820	is biotically mediated in an ectomycorrhizal, tropical rain forest. Oecologia, 164(3), 785–795.
821	https://doi.org/10.1007/s00442-010-1686-1
822	Moreno-Gutiérrez, C., Dawson, T. E., Nicolás, E., & Querejeta, J. I. (2012). Isotopes reveal contrasting
823	water use strategies among coexisting plant species in a mediterranean ecosystem. New

824	<i>Phytologist, 196</i> (2), 489–496. https://doi.org/10.1111/j.1469-8137.2012.04276.x
825	Myers-Smith, I. H., Forbes, B. C., Wilmking, M., Hallinger, M., Lantz, T., Blok, D., Hik, D. S. (2011). Shrub
826	expansion in tundra ecosystems: dynamics, impacts and research priorities. Environmental
827	Research Letters, 6(4), 045509. https://doi.org/10.1088/1748-9326/6/4/045509
828	Myers-smith, I. H., & Hik, D. S. (2018). Climate warming as a driver of tundra shrubline advance. Journal
829	of Ecology, (May 2017), 547–560. https://doi.org/10.1111/1365-2745.12817
830	Myers-Smith, I. H., & Hik, D. S. (2013). Shrub canopies influence soil temperatures but not nutrient
831	dynamics: An experimental test of tundra snow-shrub interactions. Ecology and Evolution, 3(11),
832	3683–3700. https://doi.org/10.1002/ece3.710
833	Nilsson, R. H., Larsson, K. H., Taylor, A. F. S., Bengtsson-Palme, J., Jeppesen, T. S., Schigel, D.,
834	Abarenkov, K. (2019). The UNITE database for molecular identification of fungi: Handling dark taxa
835	and parallel taxonomic classifications. Nucleic Acids Research, 47(D1), D259–D264.
836	https://doi.org/10.1093/nar/gky1022
837	Nuñez, M. a., Horton, T. R., & Simberloff, D. (2009). Lack of belowground mutualisms hinders Pinaceae
838	invasions. <i>Ecology</i> , <i>90</i> (9), 2352–2359. https://doi.org/10.1890/08-2139.1
839	Oksanen, J., Blanchet, F., Kindt, R., Legendre, P., & O'Hara, R. (2016). Vegan: community ecology
840	package. R Package 2.3-3, Available at: https://cran.r-project.org/web/packa. Retrieved from
841	http://cran.r-project.org/package=vegan
842	Palmer, J. M., Jusino, M. A., Banik, M. T., & Lindner, D. L. (2018). Non-biological synthetic spike-in
843	controls and the AMPtk software pipeline improve mycobiome data. BioRxiv.
844	https://doi.org/10.1101/213470
845	Parmesan, C. (2006). Ecological and Evolutionary Responses to Recent Climate Change. Annual Review of
846	Ecology, Evolution, and Systematics, 37(1), 637–669.
847	https://doi.org/10.1146/annurev.ecolsys.37.091305.110100
848	Price, M. N., Dehal, P. S., & Arkin, A. P. (2010). FastTree 2 – Approximately Maximum-Likelihood Trees
849	for Large Alignments. PLOS ONE, 5(3), e9490. Retrieved from
850	https://doi.org/10.1371/journal.pone.0009490
851	R Core Team. (2019). R: A Language and Environment for Statistical Computing. Retrieved from

352	https://www.r-project.org/
353	Rammig, A., Jonas, T., Zimmermann, N. E., & Rixen, C. (2010). Changes in alpine plant growth under
354	future climate conditions. Biogeosciences, 7(6), 2013–2024. https://doi.org/10.5194/bg-7-2013-
355	2010
356	Read, D. J. (2003). Mycorrhizas and nutrient cycling in ecosystems – a journey towards. 475–492.
357	Richardson, D. M., Allsopp, N., D'antonio, C. M., Milton, S. J., & Rejmánek, M. (2000). Plant invasions —
358	the role of mutualisms. Biological Reviews, 75(1), 65–93. https://doi.org/10.1111/j.1469-
359	185X.1999.tb00041.x
360	Rognes, T., Flouri, T., Nichols, B., Quince, C., & Mahé, F. (2016). VSEARCH: a versatile open source tool
361	for metagenomics. <i>PeerJ</i> , <i>4</i> , e2584–e2584. https://doi.org/10.7717/peerj.2584
362	Rousk, J., Baath, E., Brookes, P. C., Lauber, C. L., Lozupone, C. A., Caporaso, J. G., Fierer, N. (2010). Soil
363	bacterial and fungal communities across a pH gradient in an arable soil. Isme Journal, 4(10), 1340-
364	1351. https://doi.org/10.1038/ismej.2010.58
365	Rousk, K., Michelsen, A., & Rousk, J. (2016). Microbial control of soil organic matter mineralization
366	responses to labile carbon in subarctic climate change treatments. Global Change Biology, 22(12),
367	4150–4161. https://doi.org/10.1111/gcb.13296
368	Rundqvist, S., Hedenås, H., Sandström, A., Emanuelsson, U., Eriksson, H., Jonasson, C., & Callaghan, T. V.
369	(2011). Tree and shrub expansion over the past 34 years at the tree-line near Abisko, Sweden.
370	Ambio, 40(6), 683–692. https://doi.org/10.1007/s13280-011-0174-0
371	Santonja, M., Rancon, A., Fromin, N., Baldy, V., Hättenschwiler, S., Fernandez, C., Mirleau, P. (2017).
372	Plant litter diversity increases microbial abundance, fungal diversity, and carbon and nitrogen
373	cycling in a Mediterranean shrubland. Soil Biology and Biochemistry, 111, 124–134.
374	https://doi.org/10.1016/j.soilbio.2017.04.006
375	Schimel, J. P., & Bennett, J. (2004). Nitrogen mineralization: Challenges of a changing paradigm. <i>Ecology</i>
376	Vol. 85, pp. 591–602. https://doi.org/10.1890/03-8002
377	Schimel, J. P., Bilbrough, C., & Welker, J. M. (2004). Increased snow depth affects microbial activity and
378	nitrogen mineralization in two Arctic tundra communities. Soil Biology and Biochemistry, 36(2),
379	217–227. https://doi.org/10.1016/j.soilbio.2003.09.008

880	Smith, S. E., & Read, D. J. (1997a). Genetic, cellular and molecular interactions in the establishment of
881	VA mycorrhizas. In S. E. S. J. B. TM. S. (Second E. Read (Ed.), Mycorrhizal Symbiosis (pp. 81–104).
882	https://doi.org/http://dx.doi.org/10.1016/B978-012652840-4/50004-8
883	Smith, S. E., & Read, D. J. (1997b). Growth and carbon economy in ectomycorrhizal plants. In S. E. S. J. B.
884	TM. S. (Second E. Read (Ed.), Mycorrhizal Symbiosis (pp. 233–254).
885	https://doi.org/http://dx.doi.org/10.1016/B978-012652840-4/50008-5
886	Smith, S. E., & Read, D. J. (1997c). Growth and carbon economy of VA mycorrhizal plants. In S. E. S. J. B.
887	TM. S. (Second E. Read (Ed.), Mycorrhizal Symbiosis (pp. 105–111).
888	https://doi.org/http://dx.doi.org/10.1016/B978-012652840-4/50005-X
889	Sturm, M., Schimell, J., Michaelson, G., Welker, J. M., Oberbauer, S. F., Liston, G. E., Romanovsky, V. E.
890	(2005). Winter Biological Processes Could Help Convert Arctic Tundra to Shrubland. <i>BioScience</i> , Vol.
891	55, p. 17. https://doi.org/10.1641/0006-3568(2005)055[0017:WBPCHC]2.0.CO;2
892	Taylor, D. L., Walters, W. A., Lennon, N. J., Bochicchio, J., Krohn, A., Caporaso, J. G., & Pennanen, T.
893	(2016). Accurate estimation of fungal diversity and abundance through improved lineage-specific
894	primers optimized for Illumina amplicon sequencing. Applied and Environmental Microbiology,
895	82(24), 7217–7226. https://doi.org/10.1128/AEM.02576-16
896	Taylor, M. K., Lankau, R. A., & Wurzburger, N. (2016). Mycorrhizal associations of trees have different
897	indirect effects on organic matter decomposition. Journal of Ecology, 104(6), 1576–1584.
898	https://doi.org/10.1111/1365-2745.12629
899	Tedersoo, L., Bahram, M., Polme, S., Koljalg, U., Yorou, S., Wardle, D. A., & Lindahl, B. D. (2014).
900	Disentangling global soil fungal diversity. Science, 346(6213), 1052–1053.
901	https://doi.org/10.1126/science.aaa1185
902	Teste, F. P., Jones, M. D., & Dickie, I. A. (2019). Dual-mycorrhizal plants: their ecology and relevance.
903	New Phytologist. https://doi.org/10.1111/nph.16190
904	Thomas, P. A., El-Bargathi, M., & Polwart, A. (2007). Biological Flora of the British Isles: Juniperus
905	communis L. Journal of Ecology, 95(248), 1404–1440. https://doi.org/10.1111/j.1365-
906	2745.2007.01308.x
907	Tomiolo, S., & Ward, D. (2018). Species migrations and range shifts: A synthesis of causes and

908	https://doi.org/10.1016/j.ppees.2018.06.001
910	Urbina, I., Grau, O., Sardans, J., Ninot, J. M., & Peñuelas, J. (2020). Encroachment of shrubs into
911	subalpine grasslands in the Pyrenees changes the plant-soil stoichiometry spectrum. Plant and Soil
912	https://doi.org/10.1007/s11104-019-04420-3
913	van Buuren, S., & Groothuis-oudshoorn, K. (2011). mice: Multivariate Imputation by Chained Equations
914	in R. Journal of Statistical Software, 45(3).
915	van der Heijden, M. G. a, Bardgett, R. D., & van Straalen, N. M. (2008). The unseen majority: soil
916	microbes as drivers of plant diversity and productivity in terrestrial ecosystems. Ecology Letters,
917	11(3), 296–310. https://doi.org/10.1111/j.1461-0248.2007.01139.x
918	Walker, M. D., Wahren, C. H., Hollister, R. D., Henry, G. H. R., Ahlquist, L. E., Alatalo, J. M., Wookey, P.
919	A. (2006). Plant community responses to experimental warming across the tundra biome.
920	Proceedings of the National Academy of Sciences of the United States of America, 103(5), 1342–
921	1346. https://doi.org/10.1073/pnas.0503198103
922	Wallenstein, M. D., McMahon, S., & Schimel, J. (2007). Bacterial and fungal community structure in
923	Arctic tundra tussock and shrub soils. FEMS Microbiology Ecology, 59(2), 428–435.
924	https://doi.org/10.1111/j.1574-6941.2006.00260.x
925	Walther, G. R., Post, E., Convey, P., Menzel, a, Parmesan, C., Beebee, T. J. C., Bairlein, F. (2002).
926	Ecological responses to recent climate change. <i>Nature</i> , 416(6879), 389–395.
927	https://doi.org/10.1038/416389a
928	Wardle, D. a, Bardgett, R. D., Klironomos, J. N., Setälä, H., van der Putten, W. H., & Wall, D. H. (2004).
929	Ecological linkages between aboveground and belowground biota. Science (New York, N.Y.),
930	304(5677), 1629–1633. https://doi.org/10.1126/science.1094875
931	Weintraub, M. N., & Schimel, J. P. (2005). Nitrogen cycling and the spread of shrubs control changes in
932	the carbon balance of Arctic tundra ecosystems. <i>BioScience</i> , 55(5), 408.
933	https://doi.org/10.1641/0006-3568(2005)055[0408:NCATSO]2.0.CO;2
934	Wilson, S. D., & Nilsson, C. (2009). Arctic alpine vegetation change over 20 years. <i>Global Change Biology</i> ,
935	15(7), 1676–1684. https://doi.org/10.1111/j.1365-2486.2009.01896.x

936	Wookey, P. a., Aerts, R., Bardgett, R. D., Baptist, F., Bratnen, K., Cornelissen, J. H. C., Snaver, G. R.
937	(2009). Ecosystem feedbacks and cascade processes: Understanding their role in the responses of
938	Arctic and alpine ecosystems to environmental change. Global Change Biology, 15(5), 1153–1172.
939	https://doi.org/10.1111/j.1365-2486.2008.01801.x
940	Wright, I. J., Reich, P. B., Westoby, M., Ackerly, D. D., Baruch, Z., Bongers, F., Gulias, J. (2004). The
941	worldwide leaf economics spectrum. Science (New York, N.Y.), 12, 821–827.
942	Xu, Q. F., Liang, C. F., Chen, J. H., Li, Y. C., Qin, H., & Fuhrmann, J. J. (2020). Rapid bamboo invasion
943	(expansion) and its effects on biodiversity and soil processes +. Global Ecology and Conservation,
944	21, e00787. https://doi.org/10.1016/j.gecco.2019.e00787
945	Yannarell, A. C., Menning, S. E., & Beck, A. M. (2014). Influence of shrub encroachment on the soil
946	microbial community composition of remnant hill prairies. <i>Microbial Ecology, 67</i> (4), 897–906.
947	https://doi.org/10.1007/s00248-014-0369-6
948	Zinger, L., Shahnavaz, B., Baptist, F., Geremia, R. A., & Choler, P. (2009). Microbial diversity in alpine
949	tundra soils correlates with snow cover dynamics. ISME Journal, 3(7), 850–859.
950	https://doi.org/10.1038/ismej.2009.20
951	
952	
953	
954	
955	
956	
957	
958	
959	

Figure legends

961	countries and 4 continents. See Table 1 for further information.
963	Fig 2. Box and whisker plots of soil a) fungal and b) bacterial OTU richness (logged) and NMDS ordination
964	plots of soil c) fungal (stress =0.13) and d) bacteria (stress =0.11) beta diversity (community
965	composition) at each site. For richness, box fill color designates whether the soil was sampled in woody
966	encroached or herbaceous plant community and box outline color designates the root symbiont type of
967	the woody plant at each site. Here both fungal and bacterial richness are plotted on the log scale for
968	consistency but we only logged bacterial richness in Bayesian models. For beta diversity, colored ovals
969	represent 95% confidence intervals of sample ordination grouped by sampling site and shapes represent
970	the vegetation community (woody or herbaceous) of each soil sample.
971	Fig 3. a) Parameter estimates (points) and 95% credible intervals (lines) from Bayesian hierarchical
972	models for the effects of root symbiont type (woody plants only), climate, and soil abiotic conditions
973	associated with woody plant encroachment on alpha diversity (OTU richness) of fungi and bacteria.
974	Asterisks denote probabilities that the effect of a parameter is greater or less than zero based on
975	credible intervals (*** = probability> 95%; ** = probability> 90%; * = probability > 85%). Parameter
976	estimates and credible intervals are listed in Table S2. All values are standard normalized as was done
977	prior to modeling. b,c) Interactions between vegetation type and mean annual precipitation (MAP) and
978	mean annual temperature (MAT) on fungal and bacterial richness. Points are raw data, lines are fitted
979	model estimates, and all values are standard normalized. Interactions showed that encroachment by
980	woody plants lead to increased, decreased fungal richness in sites with lower, higher precipitation and
981	increased, decreased bacterial richness in sites with lower, higher temperature as compared to
982	herbaceous plant communities. All values are standard normalized as was done prior to modeling
983	Fig 4. Diagram of impacts of woody plant leaf traits on bacterial and fungal richness via changes in soil
984	abiotic conditions based on the Bayesian SEM. Red lines show significant negative relationships and blue
985	lines show significant positive relationships. Slope coefficients (standardized) show the magnitude and
986	line thickness reflects the associated credible interval of each relationship (85%, 90%, 95%). Leaf traits
987	shown in each corner reflect loadings on each Principal coordinates (PC) axis. Parameter estimates and
988	credible intervals are listed in table S2 and trait loadings are shown in Fig S3.
989	Fig 5. NMDS plots of community dissimilarity using Bray-Curtis and Weighted Unifrac distance for soil
990	fungi (a-c) and bacteria (d-f) respectively. Colored ovals represent 95% confidence intervals of sample
991	ordination grouped by vegetation and root symbiont type. The strongest abiotic predictor of each

microbial group (MAP-Fungi and soil pH-Bacteria) is plotted on the right with a color ramp for continuous values. Model parameter estimates are listed in Table S3.

Tables and Figures

Table 1. Woody Encroachment study sites included in this synthesis and corresponding information. Symbiont type refers to root microbial symbionts of woody plant species Arbuscular mycorrhizal (AMF), Ecto- or Ericoid mycorrhizal (ECM.ERM) and N_2 -fixing bacterial (Nfix). Reference manuscripts describe woody encroachment patterns at each site.

	U		Elevation	Symbiont	Woody	Reference
Site	Latitude	Longitude	(m)	type	species	
China	33.66536	101.8663515	3506.000	AMF	Potentilla	Klein et al.
					fruticosa	2007
Colombia	4.792977	-75.4254868	4024.000	AMF	Hesperomeles	Matson and
					obtusifolia	Bart 2013
Czech Rep	50.768887	15.5398797	1343.749	ECM.ERM	Pinus mugo	Soukupová et
						al. 1995
France	45.421500	6.1780400	1797.946	Nfix	Alnus	Anthelme et
+					alnobetula	al. 2007
Italy	46.673611	10.5919444	2357.600	ECM.ERM	Rhododendron	Cannone et
-					ferrugineum	al. 2007
Japan	43.563258	142.9011030	1771.600	AMF	Sasa kurilensis	Kudo et al
						2011

	Mexico	19.064165	-97.2669115	4110.500	AMF	Chionolaena	Ramírez-
						lavandulifolia	Amezcua et
							al. 2016
	Spain	42.575821	1.3667150	2100.000	AMF	Juniperus	Montané et
	-					communis	al. 2007
	Spain	42.602807	0.0332073	1942.007	Nfix	Echinospartum	Komac et al.
	Ordesa 🔳					horridum	2011
	Sweden	68.360658	18.7368890	740.000	ECM.ERM	Salix	Rundqvist et
						lapponum	al. 2011
	Switzerland	46.621100	8.6349430	1598.800	Nfix	Alnus	Caviezel et al.
		J)				alnobetula	2014
	US CA	37.576447	-118.240913	3750.000	AMF	Artemisia	Kopp and
	-					rothrockii	Cleland 2014
	US CO	40.153600	-105.670750	3530.000	ECM.ERM	Salix glauca	Bueno de
							Mesquita et
		U					al. 2018
1004							
1005							
1006		,					
1007							
1008							
1009							
	+						

1010

1011 Fig 1.

1012

1013

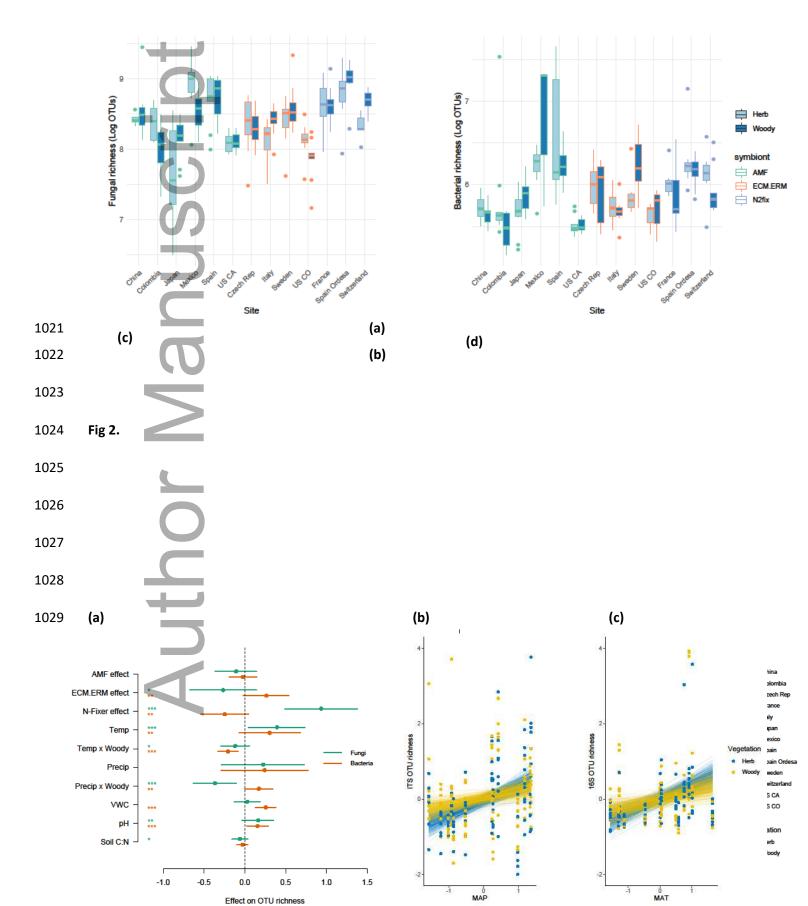
1014

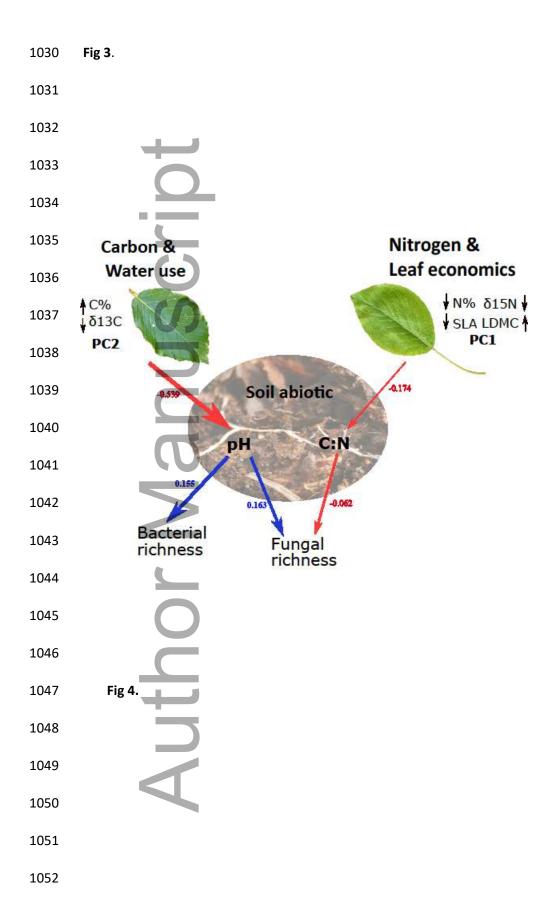
1015

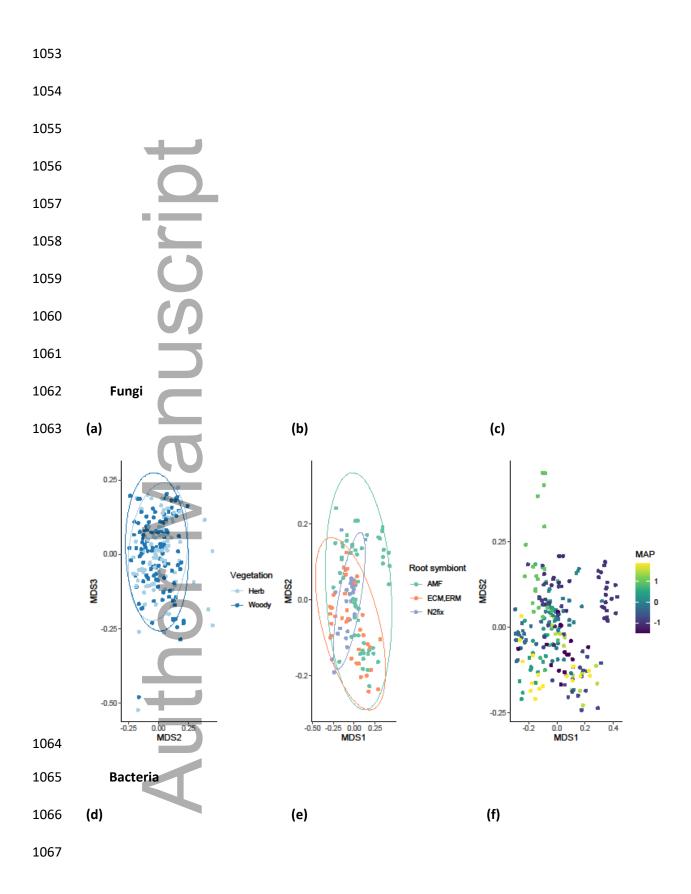
1016

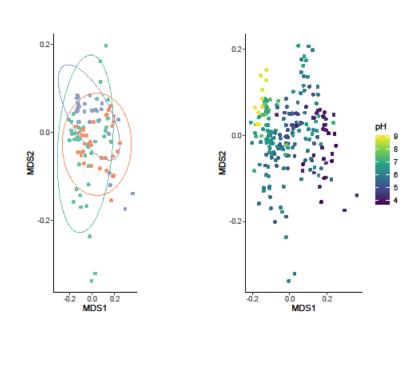
1017

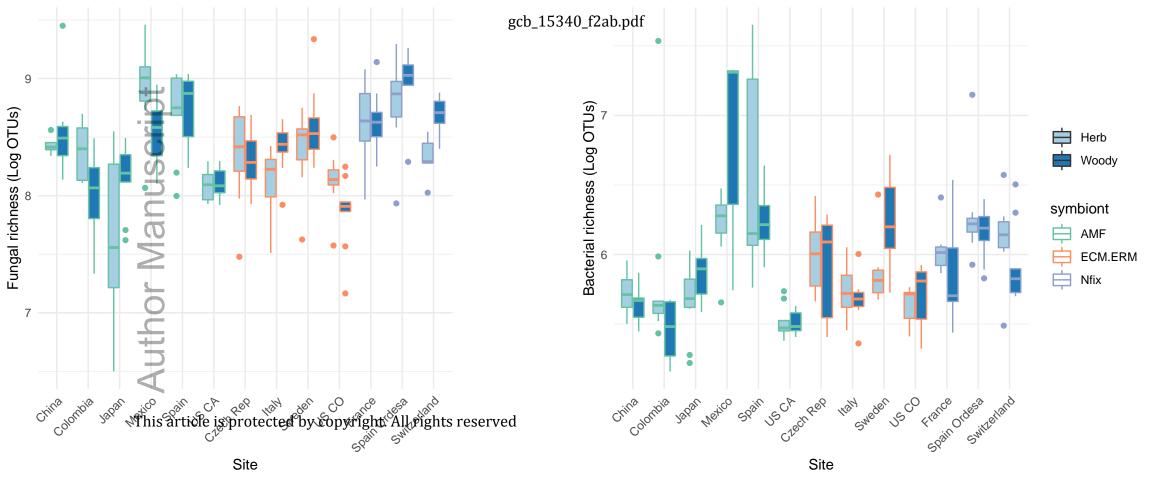
1018

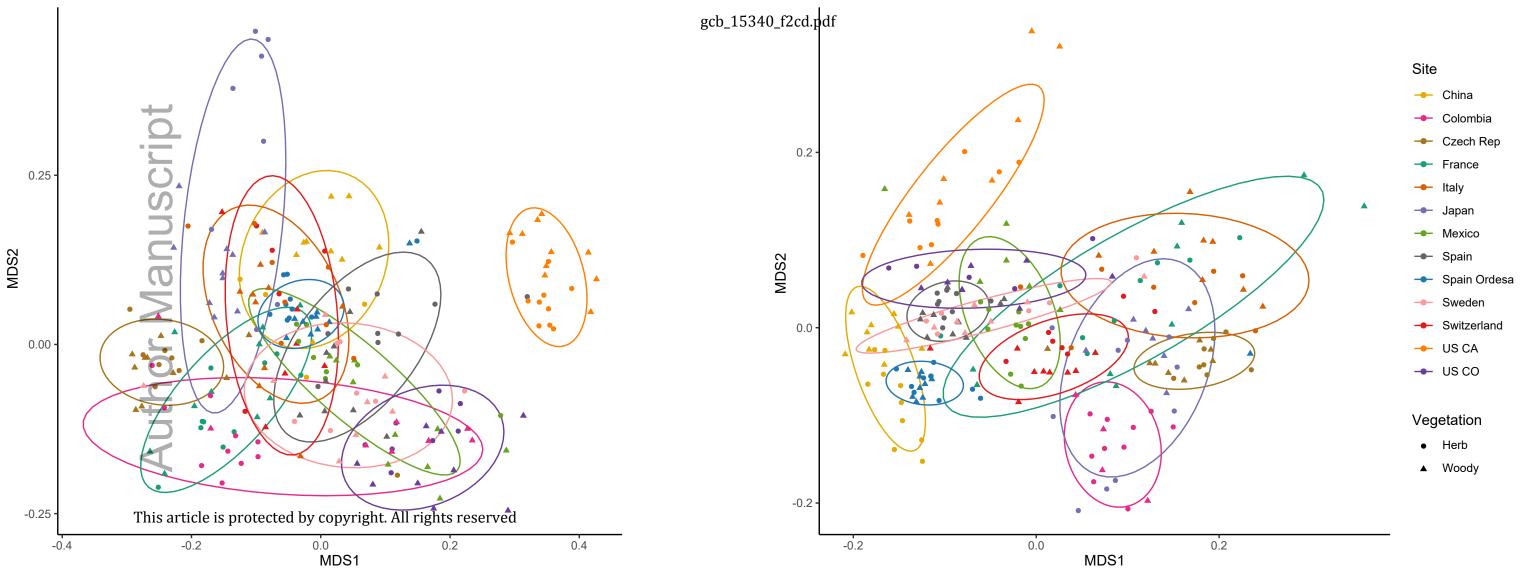


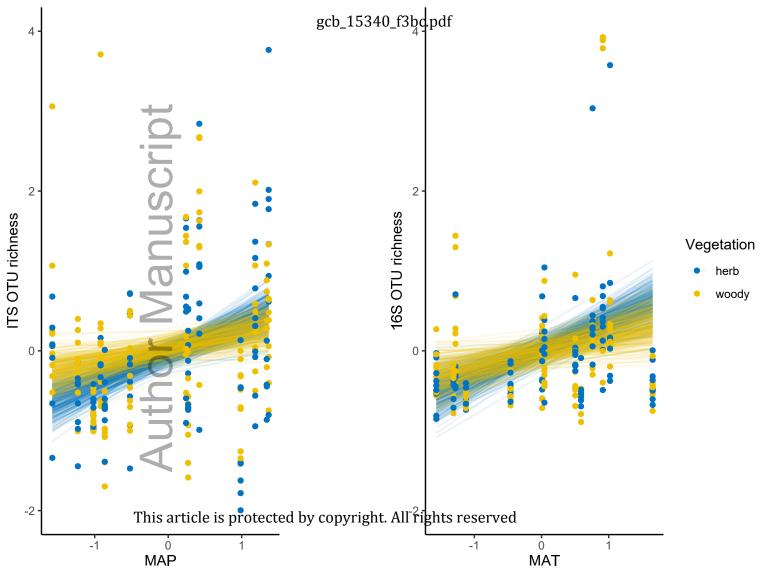


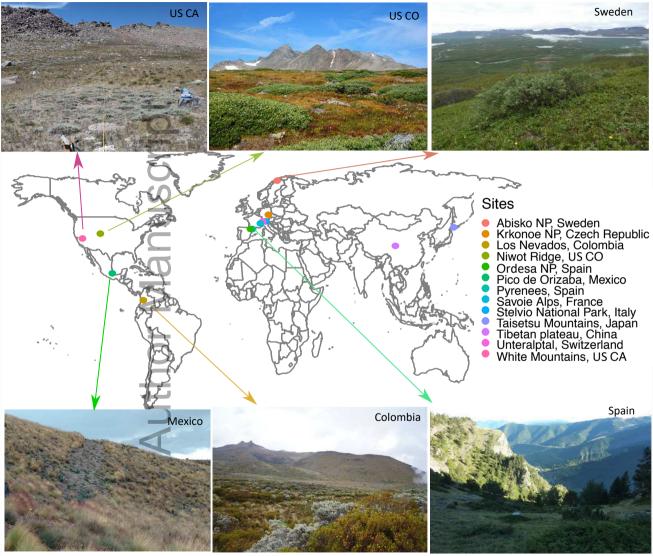


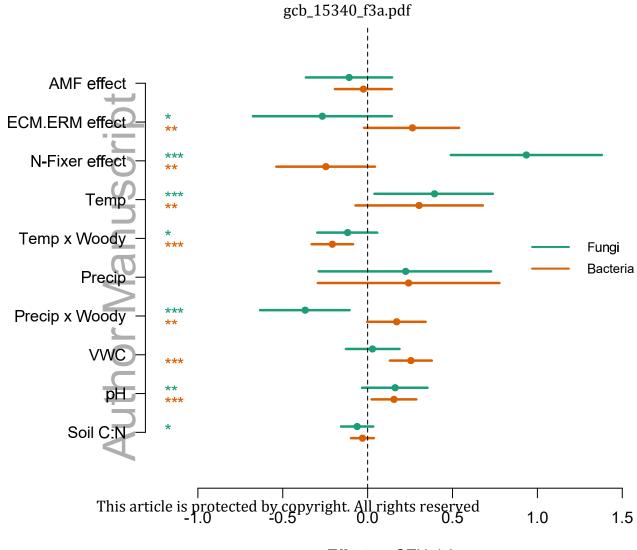












Effect on OTU richness

