

1 Rapid assessment and prediction of the efficiency of two preservatives
2 against *S. aureus* in cosmetic products using High Content Screening
3 – Confocal Laser Scanning Microscopy

4

5 **Running title:** Assessment and prediction of preservative efficiency by HCS-CLSM

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7 Samia Almoughrabie¹, Chrisse Ngari², Laurent Guillier³, Romain Briandet¹, Valérie Poulet²,
8 and Florence Dubois-Brissonnet^{1*}

9

10 ¹Université Paris-Saclay, INRAE, AgroParisTech, Micalis Institute, Jouy-en-Josas, France

11 ²Laboratoires Clarins, Pontoise, France

12 ³ANSES, Agence nationale de sécurité de l'alimentation, de l'environnement et du travail,
13 Direction de l'évaluation des risques, Maisons-Alfort, France

14

15 * Corresponding author

16 Email: florence.dubois-brissonnet@agroparistech.fr

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18 **Keywords:** bacterial inactivation; model; cosmetic matrix; preservative; fluorescence;

19 CLSM.

20

21 **Abstract**

22 Most cosmetic products are susceptible to microbiological spoilage due to contaminations that
23 could happen during fabrication or by consumer's repetitive manipulation. The composition of

24 cosmetic products must guarantee efficient bacterial inactivation all along with the product shelf
25 life, which is usually assessed by challenge-tests. A challenge-test consists in inoculating
26 specific bacteria, i.e. *Staphylococcus aureus*, in the formula and then investigating the bacterial
27 log reduction over time. The main limitation of this method is relative to the time-consuming
28 protocol, where 30 days are needed to obtain results. In this study, we have proposed a rapid
29 alternative method coupling High Content Screening - Confocal Laser Scanning Microscopy
30 (HCS-CLSM), image analysis and modeling. It consists in acquiring real-time *S. aureus*
31 inactivation kinetics on short-time periods (typically 4h) and in predicting the efficiency of
32 preservatives on longer scale periods (up to 7 days). The action of two preservatives,
33 chlorphenesin and benzyl alcohol, was evaluated against *S. aureus* at several concentrations in
34 a cosmetic matrix. From these datasets, we compared two secondary models to determine the
35 logarithm reduction time (Dc) for each preservative concentration. Afterwards, we used two
36 primary inactivation models to predict log reductions for up to 7 days and we compared them
37 to observed log reductions. The IQ model better fits datasets and the Q value gives information
38 about the matrix level of interference.

39

40 **Introduction**

41 Each year around the world, official authorities in Europe (Rapid Alert System for Non-Food
42 Products) or USA (US Consumer Product Safety Commission) notify many recalls for cosmetic
43 products due to microbiological contamination [1-3]. Cosmetic formulas are complex and are
44 susceptible to microbiological spoilage due to their composition, containing water and nutrients
45 such as lipids, polysaccharides, proteins...[4]. Contamination of cosmetic products could
46 happen during their fabrication but also by consumer's repetitive manipulations [5, 6]. The main
47 pathogens frequently found in cosmetic formulas are *Pseudomonas aeruginosa*, *Escherichia*
48 *coli*, *Burkholderia cepacia*, *Candida albicans*, *Klebsiella oxytoca*, *Enterobacter gergoviae*,

49 *Serratia marcescens* and *Staphylococcus aureus* [2, 7-9]. *S. aureus* has been found in various
50 cosmetic products such as shaving cream, moisturizing cream, face care cream and depilatory
51 cream [10-12]. It is a Gram-positive bacterium present on human skin and mucous membranes
52 in 30% of the population [13]. Many *S. aureus* strains produce exfoliative toxins secreted on
53 the skin that cause a wide range of clinical infections, including abscesses, furuncles or impetigo
54 [14-17].

55 Each cosmetic product has a different level of microbiological risk according to the standard
56 ISO 29621:2017, which depends on several parameters such as the formula composition
57 (preservative, ethanol, A_w , pH) or the type of packaging (unidose, airless pump, pots) [6, 18].
58 Preservatives that can be used in cosmetic products are listed in Annex V of the European
59 Regulation No. 1223/2009. Among them are listed chlorphenesin and benzyl alcohol, which
60 have been tested in this study. Chlorphenesin or 3-(4-chlorophenoxy)-1,2-propanediol is an
61 antifungal and antibacterial agent (active against both Gram-positive and Gram-negative
62 bacteria). It can be used at a maximum concentration of 0.32% in rinse-off products and up to
63 0.30 % in leave-on products [19]. Benzyl alcohol can be used in various cosmetic formulations
64 as a preservative, but also as a solvent, a fragrance or a viscosity-controlling agent. Its maximum
65 in-use concentration is 1% [20].

66 The preservation efficiency of a given product is evaluated by proceeding to a challenge-test,
67 as defined in the European standard EN ISO 11930:2019. During this procedure, specific
68 microorganisms, including *S. aureus*, are inoculated in the product at a final concentration
69 between 1.10^5 and 1.10^6 CFU.ml⁻¹ for bacteria, and 1.10^4 and 1.10^5 CFU.ml⁻¹ for molds or yeast.
70 The microbial population is evaluated at defined time intervals by enumerating the survivors at
71 7, 14 and 28 days after inoculation. A preservative system is considered as efficient against
72 bacteria if the formula composition leads to a bacterial logarithm reduction ≥ 3 seven days after
73 inoculation and without the growth of bacteria after 14 and 28 days. Challenge-test, as described

74 in the European standard involves several steps including sampling, neutralization, serial
75 dilutions, bacterial plating in duplicate, incubation time and colony counting [21]. The
76 reliability of challenge-tests depends on several parameters such as the manipulation errors
77 (pipetting and serial dilutions) [22], the type of plating method (spiral or pour plating), the level
78 of bacterial enumeration [23], and on the ability of stressed microorganisms to recover and
79 grow on agar plates [24]. It also relies on the efficiency of the neutralization step which consists
80 of stopping the antimicrobial activity of preservatives by diluting the surviving population in a
81 quenching solution [25]. The main limitation of the challenge-test procedure is relative to the
82 time-consuming protocol (inoculation, sampling, counting) and to the duration of the whole test
83 process (last sample analyzed on day 28).

84 Confocal Laser Scanning Microscopy (CLSM) allows *in-situ* 3-D visualization of microbial
85 consortia thanks to various fluorescent markers. It is commonly used to investigate complex
86 microbial spatial organizations such as biofilms [26], to analyze interactions between bacteria
87 and oil droplets [27] or to evaluate bacterial distribution in food systems [28-30]. Moreover,
88 CLSM was previously used to study the spatiotemporal action of biocide in biofilms [26, 31-
89 34]. This method enables a real-time and *in situ* visualization of the bacterial inactivation
90 kinetics after biocide addition. Typically, living cells are stained with an esterasic viability
91 marker, such as cFDA or calcein-AM, and after subsequent biocide addition, the fluorescence
92 is lost due to the leakage of the fluorescent marker out of the cell when the cell membrane is
93 permeabilized.

94 In this study, we used CLSM and image analysis for acquiring datasets of bacterial inactivation
95 kinetics upon short periods in model cosmetic matrices containing various concentrations of
96 preservatives and we accurately predicted the number of bacterial log reductions on longer
97 periods, which are similar to challenge-test ones.

98

99 **Materials and Methods**

100 **Chemicals and materials**

101 Cetearyl glucoside and glyceryl stearate were purchased from SEPPIC (Puteaux, France),
102 carbomer from Gattefossé (Lyon, France), glycerin from Oleon (Ertvelde, Belgium), cetearyl
103 isononanoate from BASF France (Lyon, France), tocopheryl acetate from DSM (Heerlen, the
104 Netherlands), tromethamine from Azelis (Heusden, Belgium), chlorphenesin and benzyl
105 alcohol from Thor (Compiegne, France). Eugon LT 100 supplemented broth was purchased
106 from Indicia production (Saint Genis l'Argentière, France).

107

108 **Bacterial strain and culture conditions**

109 The strain used in this study is *Staphylococcus aureus* CIP 4.83 recommended by the EN ISO
110 11930:2019 standard for cosmetic-product challenge tests. It was stored in cryovials at -80°C
111 and resuscitated by two successive subcultures in tryptic soy broth (TSB, Biomérieux, Marcy-
112 l'étoile, France) before each experiment. Cultures were grown at 30°C until the end of the
113 exponential growth phase.

114

115 **Preparation and characterization of the emulsified model matrix**

116 The aqueous phase was first prepared with 0.25% carbomer in water and heated to 75°C before
117 glycerin (moisturizer, 9%) was added. The oil phase is composed of 28.8% cetearyl
118 isononanoate (emollient), 3.5% cetearyl glucoside (emulsifier), 0.2% tocopheryl acetate
119 (antioxidant), and 2.5% glyceryl stearate (co-emulsifier). It was heated to 75-80°C before it was
120 blended with the aqueous phase (20/80 o/w %) at 1,800 rpm using a rotor-stator homogenizer
121 (Rayneri 33/300P, Group VMI) to obtain an emulsion. Benzyl alcohol and chlorphenesin at 7
122 different concentrations (respectively from 1.00 to 1.85 % and from 0.30 to 0.60 %) were

123 respectively pre-mixed with glycerin or water at 40°C. Tromethamine (base, 0.15%) was finally
124 added. The viscosity was measured using a penetrometer (PNR10, PetroMesures). A specific
125 cone was released in 300 g of matrix and the penetration depth measured (in mm \pm 0.1 mm)
126 after 5 s. The penetrometry measured on each batch in triplicate is 33.06 mm \pm 1.33 mm. The
127 pH, measured using pH-meter (SI Analytics, Lab 870) on each batch in triplicate, is 5.76 \pm 0.03.

128

129 **Bacterial staining and matrix inoculation**

130 Bacterial cells were harvested by centrifugation at 1,575 g for 10 min and washed twice in 150
131 mM NaCl. The bacterial suspension was calibrated to 1.10^{10} to 1.10^{11} CFU.ml $^{-1}$ in 150 mM
132 NaCl to observe at least 10-100 bacteria per CLSM image (290.6 x 290.6 x 1.6 μm^3). 300 μl of
133 bacterial suspension were labeled with 13 μl calcein-AM (53.55 μM in DMSO, Invitrogen by
134 ThermoFisher Scientific), incubated in the dark for 1h30 at 37°C and inoculated in 30 g of model
135 cosmetic matrix which was vortexed for 30 s. The average of the bacterial concentration in the
136 matrix is 1.10^8 to 1.10^9 bacteria/g. Calcein-AM is a viability marker that penetrates passively
137 into a cell where it is cleaved by cytoplasmic esterases and leads to green fluorescence. Each
138 experiment was performed respectively two or three times from independent cultures for benzyl
139 alcohol and chlorphenesin.

140

141 **Enumeration of the bacterial population by drop-plate method**

142 For each enumeration, 1 g of inoculated matrix was dispersed in 9 ml of neutralization solution
143 (Eugon LT 100 supplemented broth). After 30 minutes, the bacterial population is enumerated
144 by serial dilution in 150 mM NaCl on tryptone soya agar (TSA, Biomérieux) using the drop-
145 plate method [35]. Plates were incubated at 30°C for 24 to 48 h before counting. Bacterial
146 enumeration is processed every twenty minutes for four hours after inoculation and then at least

147 once every day until seven days. Each enumeration was performed at least in duplicate.

148

149 **Acquisition of bacterial inactivation curves by High Content**

150 **Screening - Confocal Laser Scanning Microscopy (HCS-CLSM)**

151 The evolution of bacterial population was acquired upon a short time (typically 4h) for 7
152 different concentrations in duplicate for benzyl alcohol and in triplicate for chlorphenesin. To
153 obtain one inactivation curve, the inoculated matrix containing a specific concentration of a
154 preservative was dropped into several wells of polystyrene 96-well microtiter plates (Greiner
155 Bio-One, France) and CLSM acquisition was achieved in each well at a specific time to avoid
156 photobleaching. Thanks to the HCS-CLSM, the stage was programmed to move automatically
157 to the next well every 15 min during 4h or every hour during 13h for low concentrations.

158 Image acquisition was performed using a Leica SP8 AOBS Confocal Laser Scanning
159 Microscope (Leica Microsystems, France) at the MIMA2 imaging platform
160 (<https://doi.org/10.15454/1.5572348210007727E12>). Calcein-AM is excited at 488 nm and the
161 emitted fluorescence collected in the range 498 to 560 nm. Images size were 290.6 x 290.6 x
162 1.6 μm^3 (512 x 512 pixels) and were acquired at 600 Hz using a 40x air objective (N.A. = 0.85)
163 and a hybrid detector. The HCS-CLSM control software was programmed to take a mosaic of
164 10 x 10 images per well, corresponding to a volume of 1.3×10^{-5} ml. The number of bacteria
165 by mosaic was counted by binarizing each image using the MaxEntropy algorithm in an
166 automatic macro executed in ImageJ software (National Institutes of Health, USA) [36]. The
167 obtained number of bacteria per ml was converted per g according to the matrix density (1.15
168 g/ml). In our experimental conditions, we consider that our threshold value is at 1 bacteria per
169 image or 100 bacteria per mosaic, which corresponds to 6.10^6 bacteria/g.

170

171 **Primary model for bacterial inactivation on short times**

172 The log-linear model of Bigelow *et al.* [37], described in equation 1, was used to fit each CLSM
173 inactivation curve acquired on short-times.

174
$$\log_{10}(N) = \log_{10}(N_0) - \frac{t}{D_c} \quad (1)$$

175 where N_0 is the initial bacterial population, N is the bacterial population at the sampling time,
176 D_c is the decimal reduction time and t is the time (min).

177 The GinaFit freeware add-in for Microsoft Excel was used to fit each curve [39] and to obtain
178 the D_c value to which we applied a correction factor to take into account to the correlation
179 between CLSM enumeration and plate enumeration. Hence, we obtained a dataset of D_c , each
180 of them corresponding to a specific concentration of one preservative.

181

182 **Secondary model for estimation of the D_c -value according to the
183 concentration**

184 From obtained D_c datasets, the D_c values were fitted according to concentration using a semi-
185 log approach, derived from Mafart *et al.* (2001) [40], and expressed in equation 2.

186
$$\log_{10}(D_c) = \log_{10}(D_c^*) - \left(\frac{C - C^*}{z_c} \right)^n \quad (2)$$

187 where D_c is the decimal reduction time for the concentration C , D_c^* is the decimal reduction
188 time for the reference concentration C^* , z_c is the increase of concentration which leads to a ten-
189 fold reduction of the decimal reduction, n is a shape parameter which can be set to 1 (model #1,
190 linear model) or 2 (model #2, second-degree model). D_c^* and z_c were the estimated parameters.

191 The model parameters were fitted with `nls` R function according to the minimization of the
192 residual sum of square errors (RSS). Confidence intervals of fitted parameters were assessed
193 by bootstrap using `nlsBoot` function from `nlsMicrobio` R package [41]. The two models were
194 compared according to the Bayesian information criterion (BIC) (equation 3). The lower the
195 BIC, the better the model fits the dataset.

196 $BIC = p \cdot \ln\left(\frac{RSS}{p}\right) + k \cdot \ln(p)$ (3)

197 Where p is the number of experimental points and k the number of parameters of the model

198

199 **Prediction of the log-reduction of the bacterial population over a**
200 **period of several days**

201 To predict the log reduction of the bacterial population over several days, we first predict D_c
202 with the secondary model #2 (equation 2) at some tested concentrations of preservative.

203 Afterwards, two different models were used to predict the inactivation of the bacterial
204 population as a function of time: the log-linear model (equation 1) and IQ model (equation 4).
205 The intrinsic quenching model (Lambert et al., 2000) [38] was constructed with the hypothesis
206 that the disinfection concentration decreases during the test period and can be described by the
207 equation 4.

208 $\log_{10}(N) = \log_{10}(N_0) - \frac{(1-e^{-Q \cdot t})}{Q \cdot D_c}$ (4)

209 where N_0 is the initial bacterial population, N is the bacterial population at the sampling time,
210 D_c is the decimal reduction time, t is the time (min) and Q is the quenching coefficient. Q was
211 the estimated parameter.

212 The logarithm reduction of the bacterial population that should be obtained after a defined time,
213 from 1 to 7 days was predicted. To optimize and validate the model, a dataset of log-reductions
214 of the bacterial population was acquired by plate enumeration on the corresponding periods (1
215 to 7 days) for 7 concentrations of each preservative, as described before. Predicted and observed
216 log reductions were compared.

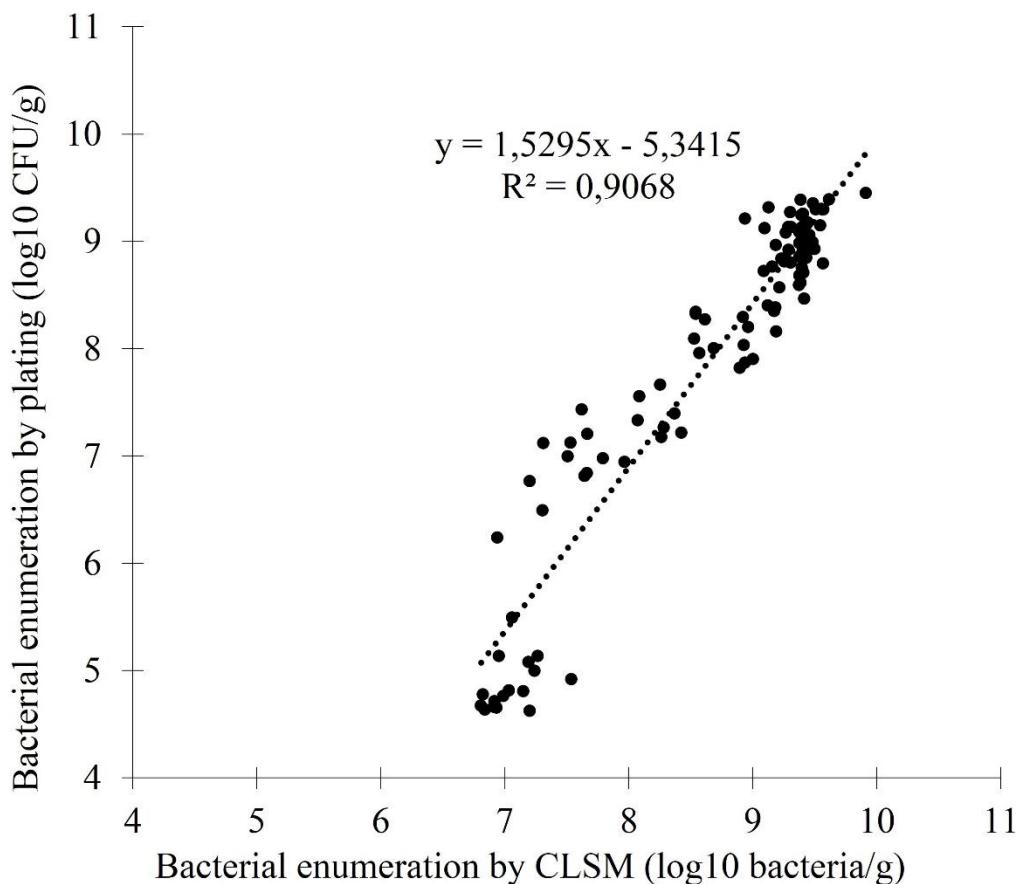
217 The model parameters were fitted with `nls` R function according to the minimization of the
218 residual sum of square errors (RSS). Confidence intervals of fitted parameters were assessed
219 by bootstrap using `nlsBoot` function from `nlsMicrobio` R package [41].

220

221 **Results**

222 **Correlation between enumeration by CLSM and plate counting**

223 Model cosmetic matrices were formulated with different concentrations of chlorphenesin or
224 benzyl alcohol. Bacterial enumeration of *S. aureus* was achieved at several contact times
225 (between 10 min and 4h) by both plate counting (log CFU/g) and CLSM enumeration (log
226 bacteria/g). Fig 1 gives the relationship between both techniques. The relationship between both
227 techniques is linear ($y = 1.530x - 5.342$; $R^2 = 0.907$) for a level of population over the detection
228 threshold of the technique (6.10^6 bacteria/g).



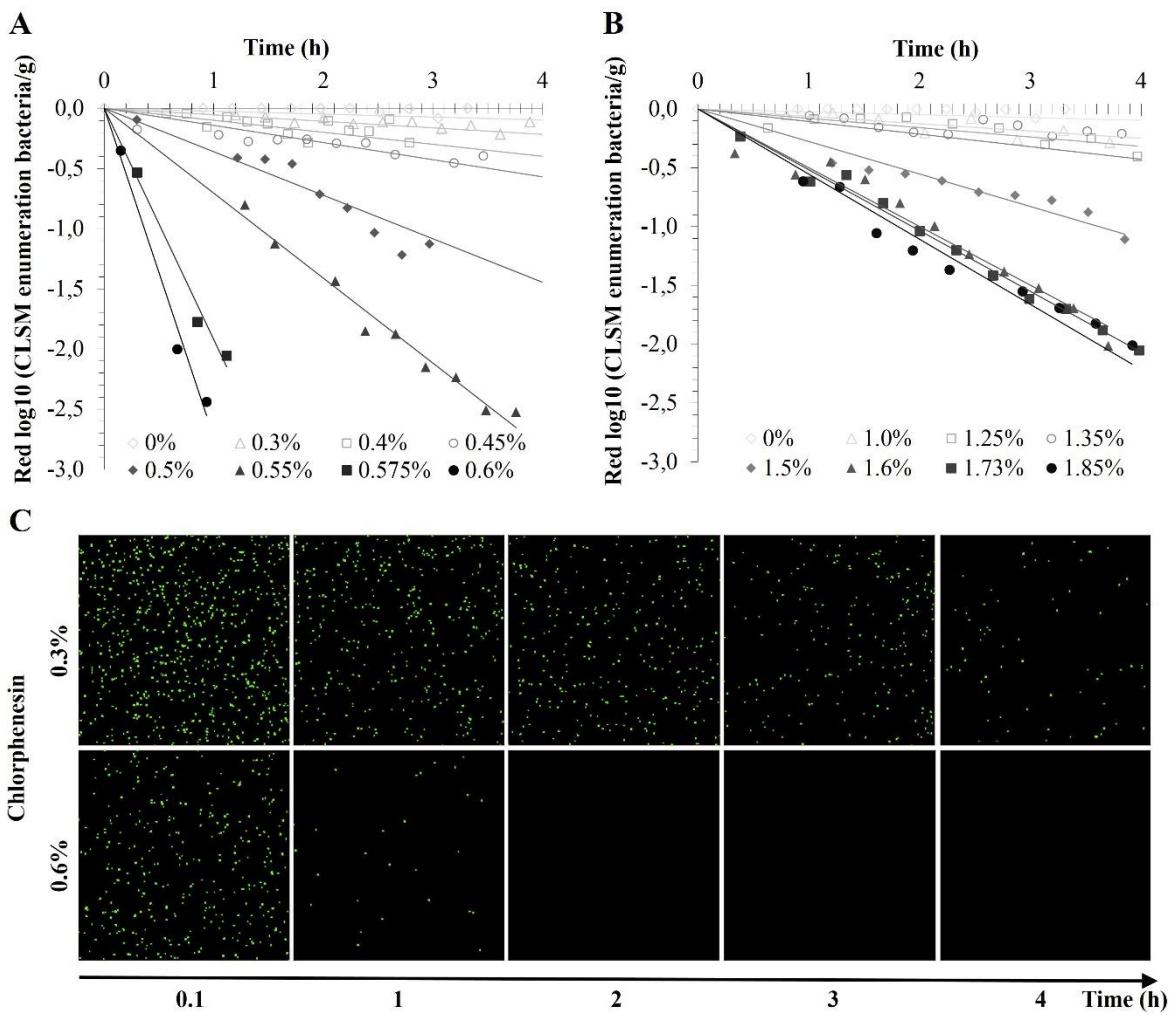
229

230 **Fig 1. Correlation between bacterial enumeration by plating (log₁₀ CFU/g) and bacterial**
231 **enumeration by CLSM imaging (log₁₀ bacteria/g).**

232

233 **Inactivation of *S. aureus* according to the concentration of the**
234 **preservative**

235 Fig 2 shows the kinetics of bacterial reduction obtained by CLSM during four hours for seven
236 different concentrations of chlorphenesin (Fig 2A) or benzyl alcohol (Fig 2B). According to the
237 correlation between enumeration by CLSM and plate counting (Fig 1), we only took into
238 account data in the range of population above 6.10^6 (maximum $2.5 \log_{10}$ reductions). The higher
239 the concentration of preservative the higher the slope of inactivation and the lower the Dc. For
240 chlorphenesin, 0.3% is the smallest concentration for which Dc is measurable ($17.89 \text{ h} \pm 1.12$)
241 on a CLSM kinetics (maximum 17h). For the range between 0.40 and 0.50%, Dc varies
242 between $10.05 \text{ h} \pm 0.44$ and $3.55 \text{ h} \pm 1.04$. For the range between 0.55 to 0.60%, Dc varies
243 between $1.48 \text{ h} \pm 0.10$ and $0.45 \text{ h} \pm 0.07$. For benzyl alcohol, Dc for the smallest concentration
244 1% is $28.09 \text{ h} \pm 7.50$. From 1.5% Dc increasingly decreases to reach $1.07 \text{ h} \pm 0.05$ at 1.85 %.
245 To obtain similar log reductions of *S. aureus*, the concentrations of benzyl alcohol should be
246 higher than those of chlorphenesin. For example, we obtained one log reduction in $0.45 \text{ h} \pm$
247 0.07 with 0.6% chlorphenesin whereas $1.07 \text{ h} \pm 0.05$ is necessary with 1.85% benzyl alcohol.
248 Fig 2C illustrates the loss of fluorescence of *S. aureus* in a model matrix with 0.3% and 0.6%
249 chlorphenesin over time. With 0.3% chlorphenesin, the number of fluorescent bacteria
250 decreases very slowly over time. At 4 h, the slight decrease of fluorescent bacteria number
251 corresponds to a bacterial reduction of about $0.2 \log$ bacteria/g (Fig 2A). In contrast, with 0.6%
252 chlorphenesin, the fluorescent bacteria number decreased rapidly in 1h which corresponds to a
253 reduction of 5.10^2 bacteria/g (Fig 2A). After 2h, no bacteria were visible anymore.



254

255 **Fig 2. *S. aureus* inactivation kinetics obtained by HCS-CLSM in cosmetic model matrices**
 256 **with several concentrations of chlorphenesin (A) and benzyl alcohol (B). Example of the**
 257 **loss of bacterial fluorescence assessed by HCS-CLSM over time for two concentrations of**
 258 **chlorphenesin (C).**

259

260 **Estimation of Dc value according to the preservative concentration**

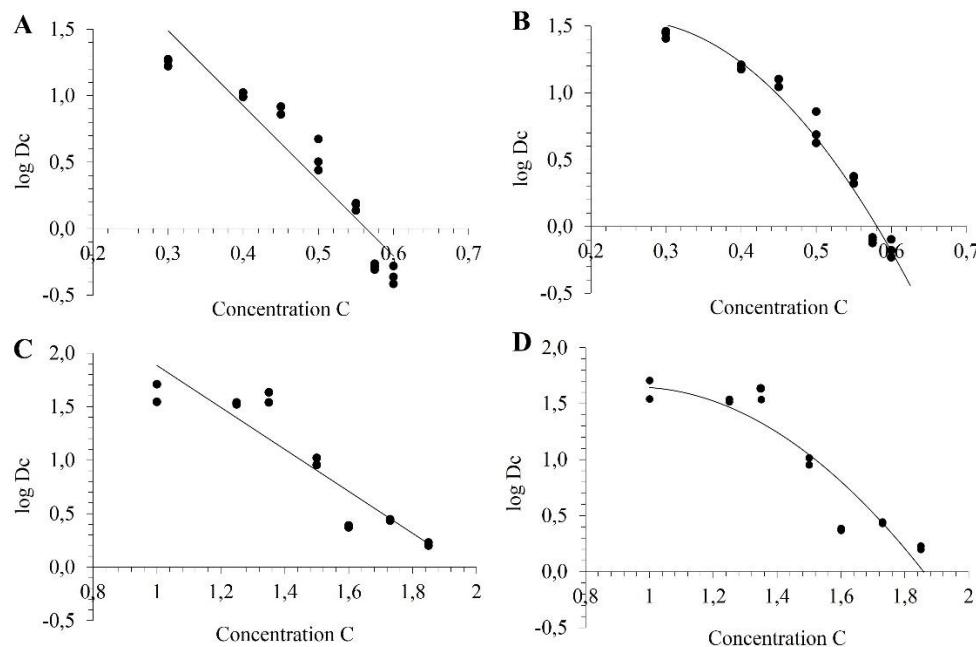
261 Semi-log models were used to fit datasets of Dc values upon the preservative concentration.
 262 The shape parameter n was set at 1 in model #1 (linear-model, Fig 3 A and C) and set at 2 in
 263 model#2 (second-degree model, Fig 3 B and D). Model parameters of the two models, Dc* and
 264 z_c , are given in Table 1 together with the RSS and BIC for both preservatives. Second-degree

265 model allows the lowest BIC for both preservatives, meaning that the shape parameter is
 266 significant. Accordingly to the BIC, model #1 does not fit well and was not used for the
 267 following prediction.

268

269 **Table 1. Estimated parameters (and their 95% CI intervals) and performance criteria of**
 270 **both secondary models.**

	Chlorphenesin		Benzyl alcohol	
	<i>model#1</i>	<i>model#2</i>	<i>model#1</i>	<i>model#2</i>
n	1	2	1	2
Number of data	21	21	14	14
<i>C*</i>	0.25	0.25	0.95	0.95
log (Dc*)	1.96 [1.76-2.16]	1.54 [1.47 – 1.62]	1.98 [1.70 – 2.22]	1.65 [1.44 – 1.78]
<i>z_c</i>	0.18 [0.15-0.21]	0.27 [0.26 – 0.28]	0.51 [0.41 – 0.65]	0.71 [0.64 – 0.79]
RSS	0.76	0.20	0.72	0.60
BIC	-63.49	-91.48	-36.22	-38.86



271

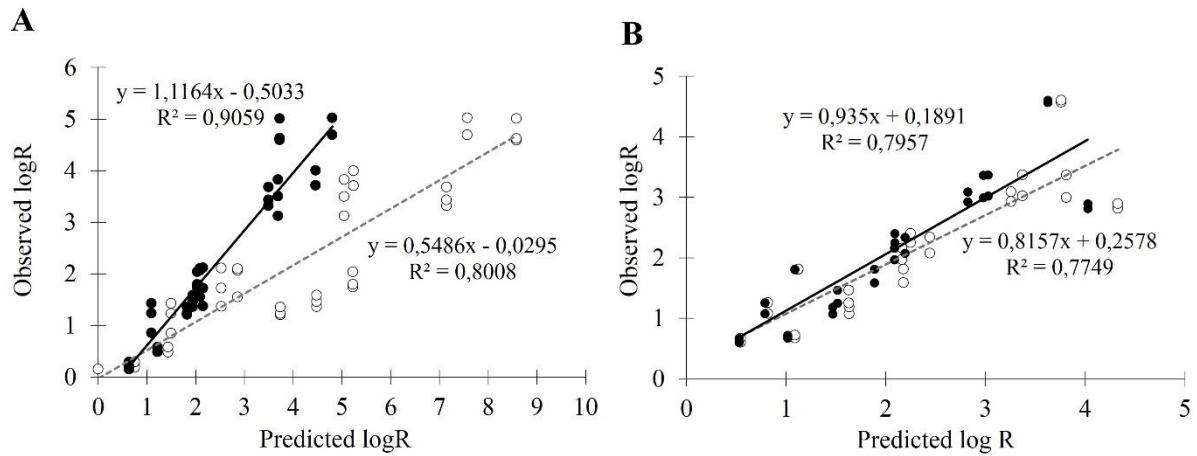
272 **Fig 3. Relation between the Dc value and the concentration of chlorphenesin (A, B) and**
 273 **benzyl alcohol (C, D) by fitting of model#1 (A and C) and model#2 (B and D).**

274

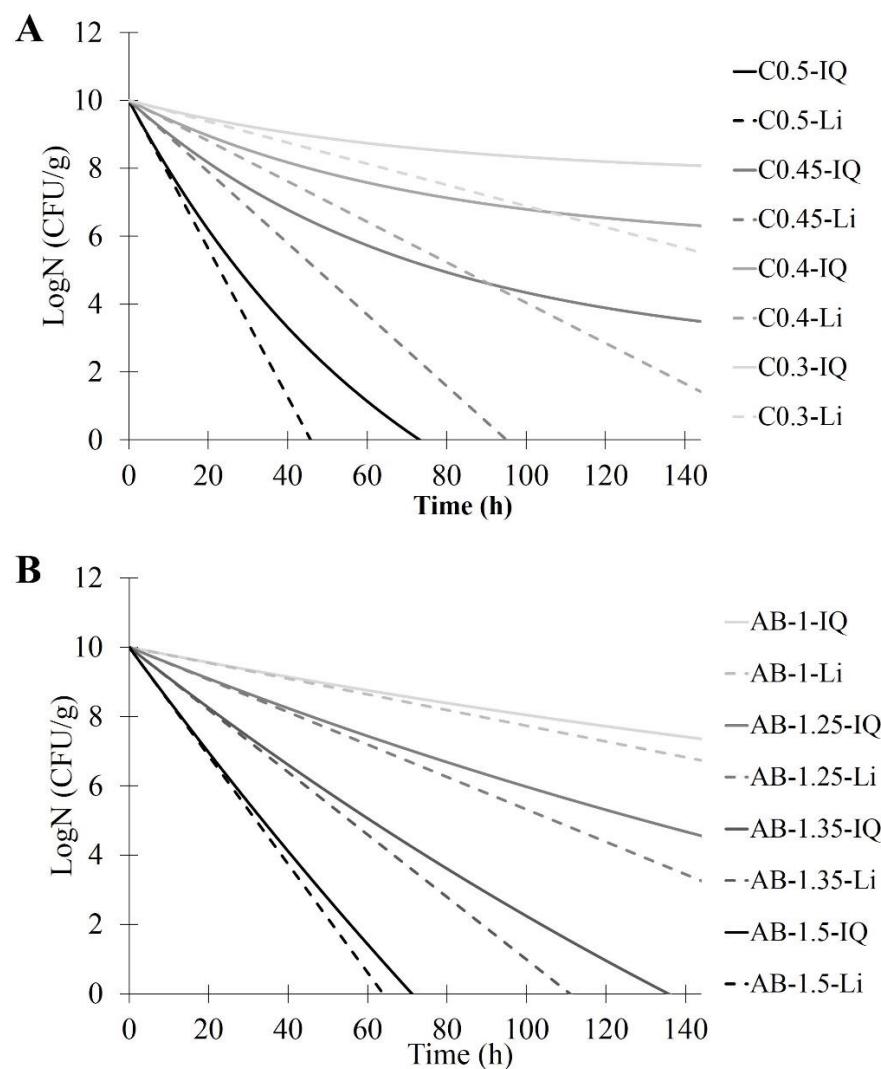
275 **Prediction of bacterial log reduction on long periods**

276 Dc values at specific concentrations were first estimated from model #2. The logarithm
277 reduction of the bacterial population was then calculated for specific times (from 1 to 7 days)
278 using the Bigelow linear-model (equation 1) or the IQ model (equation 4). Fig 4 presents the
279 relationships between predicted and experimental bacterial reductions. For both preservatives,
280 the best combination is obtained when using IQ model for log-reduction estimation. For
281 chlorphenesin (Fig 4A), the IQ model prediction for log-reduction datasets is far better than the
282 linear model. The Q coefficient could be optimized at 0.0141 (CI 95% 0.0124 – 0.0156) and
283 the slope of regression curve is 1.12 ($R^2=0.906$). Linear model is less relevant with a slope
284 around 0.55 and lower R^2 . For benzyl alcohol (Fig 4 B), predictions with both models are less
285 different than for chlorphenesin. The Q coefficient is optimized at 0.0043 (CI 95% 0.0022 –
286 0.0076) and the slope of the regression curve with the IQ model is 0.93 ($R^2=0.796$). For both
287 preservatives, one could note that the prediction is relevant only for maximum 5 log-reductions
288 because of the initial level of contamination and the experimental protocol used to obtain the
289 observed datasets. Fig 5 shows the prediction of the evolution of bacterial enumerations over
290 seven days for 4 tested concentrations of chlorphenesin (Fig 5A) and benzyl alcohol (Fig 5B)
291 with Bigelow linear-model (dotted lines) or IQ model (plain lines). These curves could be
292 generated for any concentrations in the range of 0.3 to 0.6 % of chlorphenesin and 1 to 1.9 %
293 for alcohol benzyl from model #2 and IQ model with respective Q to 0.014 for chlorphenesin
294 and 0.003 for benzyl alcohol.

295



297 **Fig 4. Correlation between the observed bacterial log-reductions and the predicted ones**
 298 **using Bigelow linear-model (white dots) or IQ model (black dots) for chlorphenesin (A)**
 299 **and benzyl alcohol (B).**



301 **Fig 5. Illustration of the possible prediction of the evolution of the bacterial population**
302 **over seven days for four concentrations of chlorphenesin (A) or benzyl alcohol (B) with**
303 **Bigelow linear-model (dotted lines) or IQ model (plain lines).**

304

305 **Discussion**

306 Challenge-tests are necessary to assess the efficiency of preservation in cosmetic products.
307 Nevertheless, the procedure of the challenge-test is time-consuming due to the numerous
308 enumerations by plate-counting necessary and to the results that are available only 48h after the
309 last assessment point (day 28). By consequence, the challenge-test method lacks reactivity and
310 flexibility for optimizing the preservation of a formula. In this study, we propose a new
311 alternative method allowing the prediction of the log reduction of a bacterial population in long-
312 term preservation by acquiring data on short-time periods. This method relies on the acquisition
313 of CLSM kinetics of bacterial inactivation in the presence of several concentrations of
314 preservatives during short times: acquisition during 4h is generally enough to evaluate Dc but
315 13h could be necessary for very low concentrations. Bacteria are first stained with a viability
316 fluorescent marker, calcein-AM. This marker is widely used to assess bacterial viability by
317 CLSM or by flow cytometry [31, 42]. Its precursor diffuses passively into the cytoplasm, where
318 it is cleaved by intracellular esterases into green-fluorescent calcein [43]. This non-permeant
319 fluorescent dye is released out of the cell when the membrane is permeabilized (dead cell).
320 We have first shown that the enumeration obtained by CLSM and dedicated image analysis can
321 be correlated to bacterial plate-counting during the action (10 min to 4h) of the preservative
322 (chlorphenesin or benzyl alcohol at specific concentrations). Our detection threshold by CLSM
323 imaging is 6.10^6 bacteria/g which is lower than the one obtained by CLSM by Auty *et al.* [29]

324 (1.10⁸ bacteria/ml). This is probably due to the observed surface which was enlarged to a mosaic
325 of 100 CLSM images. Here, bacterial CLSM enumeration is always higher (between 0.5 and 1
326 log) than the enumeration by plate counting. Auty *et al.* [29] also compared enumeration by
327 CLSM and plate counting before they assess the viability of human probiotic strains in dairy
328 products. They used Live/Dead Baclight marker and also underlined an overestimation of the
329 CLSM enumeration of about 1 log. They suggested that this might be due to the bacterial
330 clumping on plates. Indeed, the accuracy of enumeration by plate counting is usually estimated
331 in the range of 0.3 to 0.7 log [23, 44]. However, we can notice that the difference between both
332 techniques increases when enumeration decreases. Lower enumerations correspond to bacterial
333 populations that remain alive after action of the preservative. Among this persistent population,
334 a high fraction of bacteria is under stress which could explain why this fraction could not have
335 the ability to recover and grow on agar plates while it is still stained by the viability marker by
336 CLSM [24].

337 We used CLSM enumeration technique to follow the action of two preservatives at different
338 concentrations in model cosmetic matrices. The bacterial inactivation kinetics was assessed by
339 acquiring the calcein-AM loss of fluorescence over a few hours. These acquisitions were only
340 possible thanks to the HCS-module of the CLSM. The automated high content screening (HCS)
341 system is an emerging software solution that allows a CLSM to acquire automatically high
342 content images for analysis of numerous samples, thanks to an automatically xyz-positioning
343 in multiple wells as a function of time [45]. Automatic movements from well to well allow to
344 acquire images for the same sample over time while avoiding photobleaching by enlightening
345 each well only once. Moreover, we can also investigate several preservative concentrations over
346 the same time lapse.

347 The CLSM method used during this study is very well suited to evaluate the efficiency of
348 preservatives that cause membrane permeabilization. Chlorphenesin is a phenol ether with a

349 chlorine atom and it belongs to the class of organo-halogen organic compounds. Phenols disrupt
350 the cytoplasmic membrane and induce leakage of potassium ions of the cytosol. Their
351 halogenation is known to improve their antibacterial activity [46]. Benzyl alcohol is an organic
352 aromatic alcohol. Alcohols are known to damage cell membranes and denature bacterial
353 proteins that are essential to the cell metabolism which leads to the cell lysis [46].

354 Chlorphenesin seems to be more effective than benzyl alcohol against *S. aureus* in the model
355 cosmetic matrix. We observed that obtaining the same logarithm reduction needs lower
356 concentrations of chlorphenesin than benzyl alcohol. According to the literature, the partition
357 coefficient (logP) can be a parameter influencing bacterial inactivation [47]. The higher the
358 logP the higher the antibacterial activity. Chlorphenesin could have a better ability than benzyl
359 alcohol to intercalate into the bacterial membrane of *S. aureus* because its logP is higher (1.713)
360 than the one of benzyl alcohol (1.100) [48, 49].

361 In this study, we were able to predict the number of log reduction at any time for one
362 preservative at any concentration in a specific range from inactivation datasets obtained over
363 short-term times. We fitted the datasets with two models describing the effect of the
364 concentration on the log reduction time. These models derived from Mafart models [40] can
365 take several forms by setting the shape parameter at 1 (linear model#1) or 2 (second-degree
366 model#2). Mafart *et al.* (2001) compared these two first semi-log models for describing the
367 effect of pH on the heat resistance of spores (reduction time D_T) and showed that second-degree
368 model presents a better safety than the linear one. From our side, we used the BIC calculation
369 to choose the most relevant model while adjusting the minimum number of parameters. BICs
370 of models#2 are better than model#1 for both chlorphenesin and benzyl alcohol (Table 1). This
371 indicates that the preservative concentration and the contact time do not have a similar impact
372 on the reduction time. As noticed by Mafart *et al.* (2001) for the effect of pH on the resistance
373 of spores, we can hypothesize that the relationship between D_c and the preservative

374 concentration is more complex than that of the effect of temperature on heat resistance. Hence,
375 the linear model was discarded from the following prediction.

376 The next step was to predict, from the Dc values calculated with model#2, the log reduction of
377 the bacterial population on longer times (up to seven days) using two primary models, the
378 Bigelow linear model and the IQ model. The Q coefficient is the characteristic parameter of the
379 IQ model which indicates the level of quenching of the preservative in the matrix (Lambert et
380 al 2000). Below 0.005, which appears to be the case of benzyl alcohol, the level of quenching
381 is very low and the inactivation curves are quite similar to linear log-survivor curves. On the
382 contrary, the Q coefficient for chlorphenesin is 0.014 which indicates a quenching of the
383 preservative in the matrix. The level of quenching increases over time as it is demonstrated by
384 the comparison between the predictions of linear-model and IQ models (Fig 5). As it is not
385 similar for both preservatives, we can hypothesize that it is influenced by the interactions
386 between the antimicrobial and the matrix. As the model cosmetic matrix used here is an
387 emulsion, we can hypothesize that chlorphenesin which has a higher log P (1.713) than benzyl
388 alcohol (1.100) could progressively partition into the hydrophobic droplets, thus losing its
389 preservative efficiency. Pernin et al. (2019) studied the antimicrobial activity of two natural
390 phenolic compounds, ferulic acid and eugenol, against *Listeria monocytogenes* in a model oil-
391 in-water emulsion. They showed that eugenol, which has the highest logP, loses its antibacterial
392 efficacy in emulsified systems, in contrast of ferulic acid. The authors suggest that once in the
393 emulsion, the more hydrophobic antimicrobial agent would preferentially partition in the lipid
394 droplets and thus the remaining concentration in the aqueous phase would not be able to inhibit
395 microorganisms [50]. Polarity, antimicrobial charge, and environmental conditions such as
396 temperature, ionic strength, and pH can also play a major role in the effectiveness of an
397 antimicrobial [51]. Electrostatic and hydrophobic interactions between antimicrobials and the
398 matrix constituents, such as lipids, proteins and charged polysaccharides, could interfere with

399 the antimicrobial activity [51]. For example, the addition of bovine meat proteins decreases the
400 antimicrobial activity of phenolic compounds [52, 53]. Some gelling agents, such as
401 hydroxypropylmethylcellulose, may be associated with the loss of effectiveness of
402 preservatives [54]. Emulsifiers could also participate in the reduction of antimicrobial activity
403 by sequestering antimicrobial molecules in micelles [50, 55, 56].

404 Nevertheless, from the estimations of Dc with model#2 and then of the log-survivors from IQ
405 model, we propose here a method of prediction of the efficiency of two preservatives. The log-
406 reduction of *S. aureus* population could be estimated at any concentration and after any time in
407 a period of a few days for both tested preservatives.

408 This prediction is matrix- and preservative- dependent. The Q parameter is a characteristic of
409 the interactions between them. This method should be challenged for many other couples of
410 preservatives and matrices before it can be used for industrial prediction purposes. Moreover,
411 some other microorganisms should be tested besides *S. aureus*, i.e. environmental strains
412 isolated from contaminated cosmetic products. Calcein-AM is relevant for many bacteria
413 including some Gram negative ones such as *Salmonella* [57]. However, it doesn't work for
414 some species including *Escherichia coli* [58]. Indeed, some strains, such as *Pseudomonas*
415 *aeruginosa*, have efflux pumps that release the fluorescence outside the alive bacteria and
416 prevent cell visualization [59]. To limit these pump interferences, it was suggested to add
417 sodium azide in the staining solution [59], as used for the observation of biofilms [32].
418 Unfortunately, we cannot add this molecule in cosmetic matrices because it could modify the
419 structure and composition of the formula. Hence, other impermeant fluorescent dyes should be
420 evaluated.

421

422 **Conclusions**

423 In this paper, we propose a rapid HCS-CLSM method associated with modeling to predict the

424 preservative efficacy in a cosmetic matrix. This method could provide a quick evaluation of
425 preservative efficiency and save a lot of time by replacing many microbiological analyses. It
426 could be beneficially used for screening preservatives or for optimizing the formulation of a
427 cosmetic product. Nevertheless this model has to be challenged in the future and adapted for
428 several bacterial species, preservatives and matrices.

429

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436

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