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1 **Visible, Near- and Mid- infrared spectroscopy coupled with an innovative**  
2 **chemometric strategy to control apple puree quality**

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26

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28 **Highlights**

29 Vis-NIRS and MIRS coupled with PLS can detect the cultivar composition of mixed  
30 purees.

31 MIRS evaluated with a high confidence the quality characteristics of formulated  
32 purees by PLS.

33 Spectra of individual puree cultivars can be used to control the quality of formulated  
34 apple purees.

35 MIRS coupled with MCR-ALS can reconstruct the puree mixture using the  
36 concentration profiles.

37

38

39 **Abstract**

40 Vis-NIRS, MIRS, and a combination of both coupled with PLS and machine learning  
41 were applied to i) trace the composed proportions of different apple varieties in  
42 formulated purees and ii) predict the quality characteristics of formulated purees from  
43 spectral information of initial puree cultivars. The PLS models could estimate  
44 proportions of each apple cultivar in puree mixtures using MIR spectra  
45 (RMSEP<8.1%, RPD> 3.6), especially for Granny Smith (RMSEP=2.7%, RPD=11.4).  
46 The concentration profiles from multivariate curve resolution-alternative least squares  
47 (MCR-ALS) made possible to reconstruct spectra of formulated purees. MIRS  
48 technique was evidenced to predict the final puree quality, such as viscosity  
49 (RPD>4.0), contents of soluble solids (RPD=4.1), malic acid (RPD=4.7) and glucose  
50 (RPD=4.3), based only on the spectral data of composed puree cultivars. Infrared  
51 technique should be a powerful tool for puree traceability, even for multicriteria  
52 optimization of final products from the characteristics of composed puree cultivars  
53 before formulation.

54

55 **Key word:** *Malus domestica* Borkh., Vis-NIR, MIR, machine learning, MCR-ALS

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## 59 **1. Introduction**

60 Apple puree is an ideal source of healthy constituents such as polyphenols and fibers  
61 (Le Bourvellec et al., 2011) and ~~antioxidants such as polyphenols with their major~~  
62 ~~polymeric form, procyanidins (Loncaric, Dugalic, Mihaljevic, Jakobek, & Pilizota,~~  
63 ~~2014; Oszmiański, Wolniak, Wojdyło, & Wawer, 2008; Rembiałkowska, Hallmann, &~~  
64 ~~Rusaczonek, 2007).~~ It can be used as an intermediate for smoothies, fruit sauce, pie  
65 fillings and fruit-based baby food (Opatová, Voldřich, Dobiáš, & Čurda, 1992). The  
66 ~~industrial production of apple purees consists typically in cooking at 93–98°C for~~  
67 ~~about 4–5 min, refining to remove seeds and skin pieces and then pasteurization at~~  
68 ~~90°C around 20 min to obtain a shelf life of 6 months at room temperature~~  
69 ~~(Oszmiański, Wolniak, Wojdyło, & Wawer, 2008).~~ Puree quality characteristics vary  
70 with fruit genetics (Rembiałkowska, Hallmann, & Rusaczonek, 2007), storage  
71 (Loncaric, Dugalic, Mihaljevic, Jakobek, & Pilizota, 2014), cooking parameters  
72 (Picouet, Landl, Abadias, Castellari, & Viñas, 2009), grinding intensity (Espinosa et  
73 al., 2011) and refining (Lan, Jaillais, Leca, Renard, & Bureau, 2020). In order to reach  
74 an apple puree with anticipated and constant taste and texture, a mixture of  
75 proportions of different apple varieties is generally done, presenting also the most  
76 economic and efficient strategies for manufacturers (O'sullivan, 2016). ~~Most papers~~  
77 ~~dealing with the apple processing have not considered this practice insofar as they~~  
78 ~~have been focused only on one apple cultivar (Espinosa et al., 2011; Picouet, Landl,~~  
79 ~~Abadias, Castellari, & Viñas, 2009; Keenan, Brunton, Butler, Wouters, & Gormley,~~

2011).—Thus, developing rapid and reliable approaches to determine the puree  
formulation, including fruit cultivars and the proportions of each one, could be highly  
beneficial for fruit processed products and traceability control.

Infrared spectroscopy (visible-near and mid infrared) known as a rapid, relatively  
cheap, easy-to-use, non-destructive and automatable technique, has been applied for  
the quality analysis of apple based products, such as juices (Kelly & Downey, 2005;  
León, Kelly, & Downey, 2005; Reid, Woodecock, O'Donnell, Kelly, & Downey, 2005)  
and wine (Peng, Ge, Cui, & Zhao, 2016). For fruit purees, the studies have mainly  
aimed at detecting adulterations in mixed purees of different fruit species (Contal,  
León, & Downey, 2002; Defernez, Kemsley, & Wilson, 1995; Kemsley, Holland,  
Defernez, & Wilson, 1996). Particularly, the MIR technique combined with partial  
least squares discrimination analysis (PLS-DA) detects the presence of apple starting  
at 20% in apple-raspberry mixed purees (Kemsley, Holland, Defernez, & Wilson,  
1996). Similar detectable limits are obtained using Vis-NIRS coupled with a principal  
component analysis (PCA) and a linear discriminant analysis (LDA) in  
apple-strawberry mixed purees (Contal, León, & Downey, 2002). The infrared  
spectroscopy (Vis-NIR and MIR) appears as a potential tool to access the composition  
of purees prepared with several fruit species. However, so far, there has been no  
attempt to use such approaches for more advanced works on purees of apples only,  
but resulting from various proportions of different cultivars.

Further, for fruit processors, the ever-increasing variability of raw fruits may mean  
that their empirical knowhow may not be sufficient to produce expected and constant

102 final purees. The challenge is therefore to provide specific guidance for formulation of  
103 final purees based on information of individual batches of single cultivar puree.  
104 Multivariate curve resolution-alternative least square (MCR-ALS) has been widely  
105 used to simultaneously elucidate the pure spectra of different species present in  
106 processed products and their concentration profiles (de Juan & Tauler, 2006), such as  
107 edible oils from different vegetable sources (Le Dréau, Dupuy, Artaud, Ollivier, &  
108 Kister, 2009) and fruit juices with various organic acids (Silva, Lourenço, & de  
109 Araujo, 2018). The interest of this approach is to reconstruct the spectra of final  
110 processed products (in our case, formulated purees) according to the relative spectra  
111 of individual components (single cultivar purees) by MCR-ALS. If so, the predictive  
112 models of processed puree quality traits (physical and chemical) using the  
113 reconstructed spectra dataset could open the possibility to provide a multicriteria  
114 optimization of puree formulation based on the prior information of single cultivar  
115 purees.

116 Partial least squares (PLS), a typical linear algorithm, has been used to successfully  
117 determine the global quality parameters of apple purees using NIRS information, such  
118 as titratable acidity, dry matter and soluble solids (Lan, Jaillais, Leca, Renard, &  
119 Bureau, 2020). However, the overlapping of absorption bands linked to non-linear  
120 rheological variations gave poor prediction of puree's texture by PLS regression.  
121 Machine learning approaches, such as random forest (RF) and Cubist, have been  
122 specially constructed to address large and complex nonlinear systems. Indeed, RF  
123 algorithm allows a better detection of adulteration in formulated oils than PLS (de

124 Santana, Borges Neto, & Poppi, 2019). Cubist regression working as decision tree  
125 models, gives a higher prediction accuracy than RF and PLS regression in palm-based  
126 cooking oil (Goh et al., 2019).

127 Accordingly, Vis-NIRS, MIRS and the combination of both (CB) infrared spectra  
128 coupled with machine learning (RF and Cubist) and PLS regressions were applied in  
129 our work on apples to: i) assess the possibility to detect the proportions of specific  
130 cultivar purees in the formulated purees and evaluate the limits of the detection; ii)  
131 build models to evaluate the quality parameters of formulated purees obtained from  
132 different proportions of single cultivar purees; and then iii) use information of single  
133 cultivar purees to reconstruct spectra of formulated purees by MCR-ALS and  
134 investigate the possibility to develop regression models to guidance the quality of  
135 final purees.

## 136 **2. Material and methods**

### 137 **2.1 Apple purees**

#### 138 **2.1.1 Purees processing**

139 Apples of four varieties: ‘Golden Delicious’(GD), ‘Granny Smith’(GS),  
140 ‘Braeburn’(BR) and ‘Royal Gala’(GA) (all abbreviations are shown in **Table 1**) were  
141 harvested at a commercial maturity from La Pugère experimental orchard (Mallemort,  
142 Bouches du Rhône, France) in 2019, and stored for up to 2 months at 4 °C and around  
143 90% relative humidity to ensure starch regression. After sorting and washing, on three  
144 consecutive weeks, a batch of each apple cultivar (2 kg) was processed into purees in  
145 a multi-functional processing system (Roboqbo, Qb8-3, Bentivoglio, Italy) following



146 a Hot Break recipe: cooked at 95°C for 5 min at a 1500 rpm grinding speed, then  
147 cooled down to 65°C while maintaining the grinding speed. Finally, processed purees  
148 were conditioned in two hermetically sealed cans: one was cooled in a cold room  
149 (4°C) before formulation, while the other was stored at -20°C for biochemical  
150 measurement of individual sugars (fructose, sucrose and glucose) and malic acid.

## 151 **2.1.2 Puree formulations**

152 After processing the single-cultivar purees, a total of 6 experimental groups (named A  
153 to E) were prepared, each, with two apple cultivars (**Figure 1**). Each group (A-F)  
154 included 9 samples with different formulated proportions of weight, was divided into  
155 two subsets: the first including 6 proportions (10%-90%, 25%-75%, 50%-50%,  
156 75%-25%, 90%-10%, 95%-5%) for the modeling set, while the second with 3  
157 proportions (80%-20%, 33%-67%, 14%-86%) for the external prediction set. Finally,  
158 spectral measurements (Vis-NIR and MIR), chemical (soluble solids, titratable acidity,  
159 pH, dry matter) and physical (color and rheological tests) characterizations were  
160 performed on each sample (single and formulated purees).

## 161 **2.2 Determination of quality traits**

### 162 **2.2.1 Physical characterizations**

163 The puree color was determined three times through a dedicated glass cuvette using a  
164 CR-400 chromameter (Minolta, Osaka, Japan) and expressed in the CIE 1976 L\*a\*b\*  
165 color space (illuminant D65, 0° view angle, illumination area diameter 8 mm). The  
166 puree rheological measurements, as flow curves, were carried out using a Physica  
167 MCR-301 controlled stress rheometer (Anton Paar, Graz, Austria) and a 6-vane

168 geometry (FL100/6W) with a gap of 3.46 mm, at 22.5°C. The flow curves were  
169 performed after a pre-shearing period of 1 minute at a shear rate of 50 s<sup>-1</sup>, followed by  
170 5 minutes at rest. The viscosity was then measured at a controlled shear rate range of  
171 [10; 250] s<sup>-1</sup> on a logarithmic ramp. The values of viscosity at 50 s<sup>-1</sup> and 100 s<sup>-1</sup> ( $\eta_{50}$   
172 and  $\eta_{100}$  respectively) were kept as final indicators of the puree texture linked to  
173 sensory characteristics during consumption (Chen & Engelen, 2012).

### 174 **2.2.2 Biochemical analyses**

175 Soluble solids content (SSC) was determined with a digital refractometer (PR-101  
176 ATAGO, Norfolk, VA, USA) and expressed in °Brix at 20°C. Titratable acidity (TA)  
177 was determined by titration up to pH 8.1 with 0.1 mol/L NaOH and expressed in  
178 mmol H<sup>+</sup> kg<sup>-1</sup> of fresh weight (FW) using an autotitrator (Methrom, Herisau,  
179 Switzerland). Individual sugars and malic acid were quantified using colorimetric  
180 enzymatic kits (glucose: No. 10716251035; fructose: No. 10139106035, sucrose: No.  
181 10716260035, malic acid: No. 10139068035) according to the manufacturer's  
182 instructions (R-biopharm, Darmstadt, Germany), respectively. The content of glucose,  
183 fructose, sucrose and malic acid were expressed in g kg<sup>-1</sup> FW. These measurements  
184 were performed with a SAFAS flx-Xenius XM spectrofluorimeter (SAFAS, Monaco)  
185 at 570 nm for the sugars and 450 nm for malic acid. The dry matter content (DMC)  
186 was estimated from the weight of freeze-dried samples upon reaching a constant  
187 weight (freeze-drier, 5 days). The individual sugars (fructose, glucose, sucrose) and  
188 malic acid contents of formulated puree samples were calculated based on the  
189 measured values of processed single cultivar purees.

### 190 **2.3 Spectrum acquisition**

191 The Vis-NIR spectral data of purees was acquired with a multi-purpose analyzer  
192 spectrometer (Bruker Optics®, Wissembourg, France) at 23°C, which provides  
193 diffuse reflectance measurements with a spectral resolution of 8 cm<sup>-1</sup> from 12500 to  
194 4000 cm<sup>-1</sup> (wavelength from 400 to 2500 nm). For each spectrum, 32 scans were  
195 recorded and averaged. The spectral acquisition and instrument adjustments were  
196 controlled by OPUS software Version 5.0 (Bruker Optics®). Puree were transferred  
197 into 10 mL glass vials (5 cm height x 18 mm diameter) which were placed on the  
198 automated sample wheel of the spectrophotometer. Each puree sample was measured  
199 three times on different aliquots. A reference background measurement was  
200 automatically activated before each data set acquisition using an internal Spectralon  
201 reference.

202 The MIR spectra of purees was collected at 23°C using a Tensor 27 FTIR  
203 spectrometer (Bruker Optics®, Wissembourg, France) equipped with a horizontal  
204 attenuated total reflectance (ATR) sampling accessory and a deuterated triglycine  
205 sulphate (DTGS) detector. Three replications of spectral measurement were  
206 performed on different aliquots. The purees were placed at the surface of a zinc  
207 selenide (ATR-ZnSe) crystal with six internal reflections. Spectra with 32 scans for  
208 ATR-ZnSe were collected from 4000 cm<sup>-1</sup> to 650 cm<sup>-1</sup> with a 4 cm<sup>-1</sup> resolution and  
209 were corrected against the background spectrum of air.

210 The whole spectral dataset of Vis-NIR or MIR included 36 spectra (3 replicates × 3  
211 processing weeks × 4 varieties) of single-cultivar purees, 324 spectra of formulated

212 purees spectra for the modelling set (3 replicates  $\times$  3 processing weeks  $\times$  6 formulated  
213 puree groups  $\times$  6 proportions) and 162 spectra for the external prediction set (3  
214 replicates  $\times$  3 processing weeks  $\times$  6 formulated puree groups  $\times$  3 proportions)  
215 described in 2.2.1 and **Figure 1**.

#### 216 **2.4 Statistical analyses of reference data**

217 After ensuring normal distribution with a Shapiro-Wilk test ( $\alpha=0.05$ ), the reference  
218 data of processed purees were presented as mean values and the data dispersion  
219 within our experimental dataset expressed as standard deviation values (SD). Analysis  
220 of variance (ANOVA) was carried out to determine the significant differences due to  
221 the different single apple varieties (**Table S-1**) or formulated puree groups (**Table S-2**)  
222 using XLSTAT (version 2018.5.52037, Addinsoft SARL, Paris, France) data analysis  
223 toolbox. And the pairwise comparison between means was performed using Tukey's  
224 test. Principal component analysis (PCA) was carried out on all reference data of  
225 single-cultivar purees or of formulated purees to evaluate their discriminant  
226 contributions using Matlab 7.5 (Mathworks Inc. Natick, MA, USA) software.

#### 227 **2.5 MCR-ALS and spectra reconstruction**

228 MCR-ALS (multivariate curve resolution-alternative least square) is an effective  
229 multivariate self-modelling curve resolution method developed by Tauler (de Juan &  
230 Tauler, 2006). The relative contributions given by MCR-ALS were obtained for both,  
231 the Vis-NIR (400-2500 nm) and MIR (900-1800  $\text{cm}^{-1}$ ) spectral information, using the  
232 formulated purees and their corresponding single-cultivar purees (**Figure 2**). For the  
233 formulated samples, one matrix  $D$  ( $n \times \lambda$ ) was made up with the number of samples ( $n$ )

234 and the intensity at each wavenumbers or wavelengths ( $\lambda$ ). The  $S^T$  matrix ( $s \times \lambda$ ) is the  
 235 spectroscopic matrix describing the ‘pure’ infrared spectra ( $\lambda$ ) of all single-cultivar  
 236 purees ( $s$ ). The  $D$  matrix can be mathematically decomposed into the individual  
 237 contributions related to the spectral information of ‘pure’ purees in matrix  $S^T$   
 238 according to Eq. (1) and is interactively transformed using an alternative least square  
 239 (ALS) procedure as Eq (2).

$$240 \quad \mathbf{D} = \mathbf{C}\mathbf{S}^T + \mathbf{E} \quad (1)$$

$$241 \quad \mathbf{C} = \mathbf{R}(\mathbf{S}^T)^+ \quad (2)$$

242 Matrix  $C$  ( $n \times q$ ) is the concentration matrix describing the contribution of every  
 243 single-cultivar purees ( $q$ ) in reconstructed purees ( $n$ ).  $E$  is the error matrix that  
 244 provides the data variation not explained by their contributions. The matrix  $(S^T)^+$  is the  
 245 pseudo-inverse matrix of  $S^T$ . A general constraint used in curve resolution method is  
 246 the non-negativity on the concentration profiles.

247 Once the concentration profiles (matrix  $C$ ) for each single-cultivar spectrum,  
 248 including Golden Delicious ( $C_{GD}$ ), Granny Smith ( $C_{GS}$ ), Braeburn ( $C_{BR}$ ) and Royal  
 249 Gala ( $C_{GA}$ ), were obtained, they were used to reconstruct a new spectroscopic matrix  
 250  $R$  ( $n \times k$ ) for monitoring all formulated purees. Each row  $R_i$ . ( $i=1, \dots, n$ ) was made up  
 251 of a reconstructed spectrum. And each column  $R_j$  ( $j=1, \dots, k$ ) gave the reconstructed  
 252 spectral intensity at a wavenumber of MIRS or a wavelength of Vis-NIRS based on  
 253 the corresponding pure puree spectra of Golden Delicious ( $\lambda_{GD}$ ), Granny Smith ( $\lambda_{GS}$ ),  
 254 Braeburn ( $\lambda_{BR}$ ) and Royal Gala ( $\lambda_{GA}$ ), following Eq (3).

$$255 \quad \mathbf{R} = \mathbf{C}_{GD}\lambda_{GD} + \mathbf{C}_{GS}\lambda_{GS} + \mathbf{C}_{BR}\lambda_{BR} + \mathbf{C}_{GA}\lambda_{GA} \quad (3)$$

## 256 **2.6 Spectral multivariate regression**

257 Spectral pre-processing and multivariate regression were performed with several  
258 packages ('prospectr' (Stevens & Ramirez-Lopez, 2013), 'pls' (Mevik, Wehrens, &  
259 Liland, 2019), 'Cubist' (Kuhn, Weston, Keefer, Coulter, & Quinlan, 2014) and 'caret'  
260 (Kuhn, 2015)) of the R software (version 2.6.2) (R Core Team, 2019). As  
261 demonstrated in previous works (Bureau et al., 2013; Ncama, Opara, Tesfay, Fawole,  
262 & Magwaza, 2017), the wavelengths from 400 to 2500 nm of Vis-NIR and the  
263 wavenumbers from 900 to 1800  $\text{cm}^{-1}$  in MIR were selected (**Figure 3**). For all  
264 spectral datasets, standard normal variate (SNV), resampling (intervals= 5, 10, 15),  
265 and derivative transform calculation (Savitzky–Golay method, gap size = 11, 21, 31,  
266 41) of first or second order were compared before multivariate regression. SNV  
267 pre-processing applied on the Vis-NIR and MIR data had the best performances to  
268 predict puree quality and was then systematically used.

269 The partial least square (PLS), Cubist and RF regression models were developed to i)  
270 detect the proportions of each apple varieties in puree samples (**Table 2**) and predict  
271 the quality characteristics of formulated purees based on ii) the acquired Vis-NIR,  
272 MIR and their combined infrared spectra (CB) (**Table 3**) or iii) the reconstructed  
273 Vis-NIR, MIR and CB spectra (**Table 4**). All aforementioned spectral matrices  
274 (Vis-NIRS, MIRS and CB) corresponded to the same reference dataset. The set of all  
275 modelling spectra (324 spectra) was randomly split, with two-thirds of the dataset  
276 (216 spectra) used for calibration and a third (108 spectra) for internal validation.  
277 Then, calibrated models were further validated with the external prediction set (162

278 spectra). The procedure was repeated 10 times in order to obtain the dispersion of  
279 values giving an idea of the model stability and robustness. The developed models  
280 performance was then described by the 10-times averaged values of the determination  
281 coefficients of internal validation ( $R_v^2$ ) and external prediction ( $R_p^2$ ), root mean  
282 square error of prediction (RMSEP), RPD (Residual Predictive Deviation) value as  
283 described by Nicolai (Nicolai et al., 2007). During model training, the variable  
284 importance (VIP) for each puree characteristics were computed using the ‘varImp’  
285 function by ‘caret’ package in R software (Kuhn, 2015), which could be applied both  
286 on PLS and machine learning regressions (Parmley, Higgins, Ganapathysubramanian,  
287 Sarkar, & Singh, 2019).

### 288 **3. Results and discussion**

#### 289 **3.1 Characteristics of single-cultivar purees and formulated purees**

290 After puree processing, the four different cultivars provided a large variability of  
291 appearance, in particular color and texture (**Figure S-1**). According to PCA results  
292 taking into account their rheological and biochemical characteristics (**Figure S-2**),  
293 ‘Royal Gala’ (GA) purees were clearly discriminated from the other purees along the  
294 first principal component (PC1), with significantly ( $p < 0.001$ ) lower TA, pH, glucose,  
295 malic acid and viscosity ( $\eta_{50}$  and  $\eta_{100}$ ) (**Table S-1**). Particularly, the values of  
296 viscosity at a shear rate of  $50 \text{ s}^{-1}$  ( $\eta_{50}$ ), which is commonly used to describe the  
297 in-mouth texture perception of fluid foods (Chen & Engelen, 2012), were much more  
298 lower in GA purees ( $547 \pm 13 \text{ Pa}\cdot\text{s}^{-1}$ ) than in ‘Golden Delicious’ (GD) ( $839 \pm 53 \text{ Pa}\cdot\text{s}^{-1}$ )  
299 and ‘Granny Smith’ (GS) ( $904 \pm 31 \text{ Pa}\cdot\text{s}^{-1}$ ) purees (**Table S-1**). As expected, the

300 viscosity and global quality (SSC and TA) of the formulated purees were affected  
301 when prepared with GA purees (**Figure S-3**). For example, the formulated GA-GD  
302 (group C) or GA-GS purees (group E) provided a high range of viscosity (**Figure**  
303 **S-3c and d**) and composition (**Figure S-3e and f**), but with a limited variation of  
304 color ( $a^*$  and  $b^*$  values) (**Figure S-3a and b**).

305 Remarkable changes ( $p < 0.001$ ) of color parameters ( $L^*$ ,  $a^*$  and  $b^*$ ) allowed the  
306 separation of 'Braeburn' (BR) purees and the others along the second principal  
307 component (**Figure S-2 and Table S-2**). Particularly the redness ( $a^*$  values) of  
308 formulated puree groups (**Figure S-3a**), the admixture of BR (groups B, D and F)  
309 introduced more intensive variations (from -4.33 to 2.35) than the others (groups A, C  
310 and E, from -4.77 to -1.52). The limited variations of yellowness ( $b^*$  values) in  
311 formulated GD-GA purees resulted in differences below the visual detection threshold  
312 (**Figure S-3b**). Consequently, different strategies of puree formulation, especially the  
313 mixtures with 'Royal Gala' or with 'Braeburn' purees, could provide variability in  
314 taste, texture and color.

### 315 **3.2 Characteristics of formulated purees: determination of composed** 316 **single-cultivar puree proportions**

317 In this part, the ability of SNV pre-processed Vis-NIR, MIR and CB coupled with  
318 PLS, Cubist and RF regressions was compared to estimate the proportions of  
319 single-cultivar in all formulated purees (**Table 2**).

320 Both, Vis-NIR and MIR techniques were potentially able to estimate the proportions  
321 of single-variety puree in the formulated purees with good models presenting robust



322 determination coefficients for both internal validation ( $R_v^2$ ) and external validation  
323 ( $R_v^2$ ), acceptable RMSEP (<10%) and RPD values at least higher than 2.5 (Nicolai et  
324 al., 2007). For Vis-NIR technique, two regression methods, PLS and RF, showed an  
325 acceptable ability to estimate proportions of GS ( $R_P^2 > 0.92$ ,  $RPD > 3.4$ ,  $RMSEP <$   
326  $9.2\%$ ) and of BR ( $R_P^2 > 0.95$ ,  $RPD > 4.2$ ,  $RMSEP < 7.9\%$ ) varieties in all formulated  
327 purees, based on the VIP wavelengths at 412 nm, 524 nm and 672 nm (**Figure S-4b**  
328 **and c**). The predictive errors obtained here for the mixture of two cultivars of the  
329 same species, apple, were lower than those obtained earlier for the mixture of two  
330 species, namely apple/raspberry (11.3%) (Contal, León, & Downey, 2002). The  
331 poor Vis-NIRS prediction results for GD ( $RMSEP > 17.4\%$ ,  $RPD < 1.7$ ) and GA  
332 ( $RMSEP > 16.2\%$ ,  $RPD < 2.1$ ) were probably due to their similar color (**Figure S-1**).  
333 As the VIP wavelengths of Vis-NIR models were mainly dominated in the visible  
334 spectral region (412-672 nm), the color variations were not enough to be used for  
335 prediction of proportions in formulated purees, especially in the group C (GD-GA)  
336 (**Figure S-3a & b**).

337 MIR provided a better prediction of the proportions of single-cultivar purees in the  
338 formulated purees than Vis-NIR. Moreover, the regression method affected the  
339 prediction results of MIR. PLS gave better prediction results ( $RMSEP < 8.1\%$ ,  $RPD >$   
340  $3.6$ ) than Cubist ( $RMSEP < 15.1\%$ ,  $RPD > 2.3$ ) and RF ( $RMSEP < 10.6\%$ ,  $RPD > 2.7$ ).  
341 Particularly, MIRS combined with PLS reached the lowest determination error  
342 ( $RMSEP = 2.7\%$ ,  $RPD = 11.4$ ) for GS compared with other cultivars (GD, GA, BR).  
343 The highest VIP values (**Figure S-5c**) at  $1723\text{ cm}^{-1}$ ,  $1065\text{ cm}^{-1}$  and  $1034\text{ cm}^{-1}$

344 attributed respectively to malic acid, fructose and glucose (Bureau, Cozzolino, &  
345 Clark, 2019; Clark, 2016), were consistent with the existence of marked differences in  
346 chemical composition (SD and significance) between purees containing GS (**Table**  
347 **S-2**). The excellent PLS predictions obtained for BR (RMSEP=4.3%, RPD=7.7) were  
348 based on the VIP wavenumbers at 998  $\text{cm}^{-1}$  and 1084  $\text{cm}^{-1}$  related to sucrose and  
349 fructose (Bureau, Cozzolino, & Clark, 2019) (**Figure S-5b**). Besides the  
350 aforementioned spectral signal, the satisfactory assessments of GD and GA  
351 proportions (RMSEP<8.1%, RPD>3.6) were linked to the MIRS region between 1750  
352 and 1650  $\text{cm}^{-1}$  related to organic acids, pectins, proteins, phenolics and absorbed water  
353 (**Figure S-5a & d**) (Abidi, Cabrales, & Haigler, 2014; Canteri, Renard, Le Bourvellec,  
354 & Bureau, 2019; Kačuráková et al., 1999).

355 The CB spectra, including Vis-NIR and MIR regions, coupled with PLS (RPD>2.8,  
356 RMSEP<11.5%) and RF (RPD>3.0, RMSEP<9.5%) provided a satisfactory  
357 assessment of the proportions of single-cultivar purees (**Table 2**). However, the results  
358 on CB were not as good as for MIR only.

359 Consequently, to predict proportions of single-cultivar purees, Vis-NIR was suitable  
360 for the formulated samples presenting large diversity in the color range, with the use  
361 of Braeburn and Granny Smith apples for example, and under vacuum processing  
362 conditions providing a good puree color preservation. MIRS coupled with PLS was  
363 evidenced as a powerful tool to provide excellent estimations of puree proportions,  
364 mainly based on differing concentrations of individual sugars and acid. Combining  
365 Vis-NIR and MIR did not improve prediction.

### 366 **3.3 Characteristics of formulated purees: prediction of quality traits**

367 As previously, the different spectral areas, Vis-NIR, MIR or CB, of all formulated  
368 purees coupled with the different regression methods, PLS, Cubist and RF, were  
369 compared for their ability to predict color, rheological and biochemical characteristics  
370 of formulated purees (**Table 3**). MIR spectra coupled with PLS obtained the best  
371 predictions in comparison with Vis-NIR and CB, except for color. Indeed concerning  
372 the color parameters, a good prediction of  $a^*$  values was obtained for all spectral areas  
373 with a RPD decreasing order Vis-NIR (RPD>4.0), CB (RPD>3.6) and MIR (RPD>3.3)  
374 for both PLS and machine learning regressions (Cubist and Random forest).  
375 Particularly, the best prediction of  $a^*$  values was obtained on CB with PLS models  
376 ( $R_p^2=0.96$ , RPD=5.0), slightly better than in Vis-NIR ( $R_p^2=0.95$ , RPD=4.7).  
377 MIR spectra coupled with PLS gave the best prediction ( $R_p^2>0.90$ , RPD>4.1) of the  
378 rheological parameters ( $\eta_{50}$  and  $\eta_{100}$ ) (**Table 3**). The identified VIP wavenumbers  
379 were 1026, 1065, 1113 and 1720  $\text{cm}^{-1}$  (**Figure S-6**). These dominant carbohydrate  
380 bands centered at 1000-1200  $\text{cm}^{-1}$ , associated with C-OH and C-O-C vibration of  
381 glucose and fructose (Bureau, Cozzolino, & Clark, 2019), have also been identified to  
382 predict viscosity of tomato purees (Ayvaz et al., 2016). And an acceptable estimation  
383 of DMC was observed for all developed MIR models (RMSEP< 0.003, RPD>2.7).  
384 For biochemical parameters, MIR coupled with PLS allowed a very good prediction  
385 of SSC (RMSEP=0.1, RPD=5.1) in accordance with previous results of apple and  
386 tomato purees (Ayvaz et al., 2016; Lan, Renard, Jaillais, Leca, & Bureau, 2020). In  
387 apples, SSC is strongly correlated to the presence of sugars, namely fructose, sucrose

388 and glucose. The two main sugars, fructose and sucrose, were satisfactorily predicted  
389 with PLS (RPD>3.0) and the non-linear regressions, Cubist and RF (RPD>2.9).  
390 However, MIR could not predict the glucose content (RPD<2.4) (**Table 3**).

391 Considering the different expressions of acidity such as pH, TA and malic acid content,  
392 MIR coupled with PLS provided their excellent prediction with  $R_p^2>0.92$  and  
393 RPD>4.0. It can be noticed that Vis-NIRS gave also acceptable prediction of TA and  
394 malic acid ( $R_p^2>0.87$ , RPD>2.9), better than our previous results in NIRS on apple  
395 purees (Lan, Jaillais, Leca, Renard, & Bureau, 2020).

396 In comparison with Vis-NIRS and MIRS, the slight improvements of using the  
397 combined spectra (CB) concerned only the prediction of  $a^*$  values (**Table 3**).

398 Combining Vis-NIRS and MIRS spectra offered little improvement or even degraded  
399 the results in comparison with MIRS alone for analyzing puree viscosity and chemical  
400 variations, (**Table 3**). These conclusions were in accordance with previous works on  
401 forage (Reeves, 1997) and beers (Iñón, Garrigues, & Guardia, 2006). They can be  
402 explained by i) the limited ability to balance the important variables after combination  
403 of two spectral domains with different resolutions (**Figure S-6**); and ii) the  
404 involvement of non-relevant or unimportant spectral regions which disturbed the  
405 calibration modelling by producing more noise.

406 In summary, MIRS coupled with PLS had promising ability to well estimate viscosity,  
407  $a^*$  color parameter, DMC, SSC, pH, TA, malic acid, sucrose and glucose of  
408 formulated purees, but not for fructose. Acceptable assessments of  $a^*$ , TA, malic acid

409 and glucose were obtained with the Vis-NIR region, in which sensors could be easily  
410 adapted for fruit processing.

### 411 **3.4 Characteristics of formulated purees: prediction of quality traits based on the** 412 **reconstructed spectra**

413 In order to compute the concentration profiles of relevant single-cultivar puree  
414 compositions, MCR-ALS was applied on the Vis-NIR and MIR spectra of all  
415 formulated purees and of the four single-cultivar purees, using two approaches: the 54  
416 averaged formulated puree spectra and the 4 averaged single-cultivar puree spectra of  
417 a) each week or b) over the three weeks. These two methods (a and b) obtained  
418 similar concentrations, indicating their robustness over different processing weeks.  
419 Results are only shown for method b taking into account different processing periods  
420 (**Table S-3**). Based on that, in total 486 spectra of formulated purees were  
421 reconstructed based on their corresponding 36 single-cultivar spectra (4 varieties x 3  
422 replications x 3 weeks).

423 Accurate predictions of the concentrations were obtained with MIRS. These  
424 predictions were highly related to the proportions of the single-cultivar purees (**Table**  
425 **S-3**). However, the results were not acceptable with Vis-NIRS (**Table S-3**). The  
426 limited ability of Vis-NIRS was due to the high similarity in color between GA and  
427 GD and so a poor prediction of the proportions GA/GD in formulated purees (**Table**  
428 **2**). The concentration profiles of MIRS in each group (A-E) appeared to follow a  
429 non-linear relationship along the variation of puree proportions.

430 Prediction models were then developed using these reconstructed MIR spectra and the

431 reference data characterized on the formulated purees (**Table 4**). Overall,  
432 reconstructed MIR spectra with PLS regression better predicted the puree  
433 characteristics than Cubist and RF regressions. What stands out in these results was  
434 the highly accurate PLS predictions ( $R_p^2 > 0.85$ ,  $RPD > 4.0$ ) of rheological parameters  
435 ( $\eta_{50}$  and  $\eta_{100}$ ) from reconstructed spectra (**Table 4**), which were close to those  
436 obtained from the spectra of formulated purees ( $R_p^2 > 0.90$ ,  $RPD > 4.1$ ) (**Table 3**).  
437 Particularly, similar MIRS fingerprint wavenumbers were obtained in reconstructed  
438 spectra and directly on formulated purees described above, mainly 1720, 1113, 1065  
439 and  $1026\text{ cm}^{-1}$  related to acid and sugars (Bureau, Cozzolino, & Clark, 2019). The  
440 prediction of DMC was acceptable ( $RPD > 2.5$ ) as mentioned above with real spectra  
441 in **Table 3**. For color, a good prediction of  $a^*$  value was obtained with both, PLS  
442 ( $R_p^2 = 0.92$ ,  $PRD = 3.5$ ) and machine learning methods ( $R_p^2 > 0.89$ ,  $PRD > 3.2$ ) but not for  
443  $L^*$  and  $b^*$ . For SSC, although the slight lower  $R_p^2$  and  $RPD$  values than the best  
444 results obtained directly on MIR spectra ( $RMSEP = 0.13$ ,  $RPD = 5.1$ ) (**Table 3**), the PLS  
445 and Cubist models had an acceptable ability to estimate it for all formulated purees  
446 ( $RMESP < 0.20$ ,  $RPD > 4.1$ ) (**Table 4**). Considering the global acidity parameters,  
447 acceptable PLS predictions ( $R_p^2 > 0.88$ ,  $PRD > 3.2$ ) were obtained for pH and TA, with  
448 a lower performance than directly on real spectra ( $R_p^2 > 0.92$ ,  $PRD > 4.0$  in **Table 3**).  
449 For individual sugars and acids, PLS models showed an excellent prediction of  
450 glucose and malic acids ( $R_p^2 > 0.94$ ,  $RPD > 4.3$ ), and an acceptable prediction of sucrose  
451 ( $R_p^2 = 0.86$ ,  $RPD = 2.8$ ) but not for fructose ( $RPD < 2.5$ ). The specific wavenumbers at  
452  $1034\text{ cm}^{-1}$  for glucose,  $1723\text{ cm}^{-1}$  for malic acid and  $998\text{ cm}^{-1}$  for sucrose, mainly

453 contributed to the PLS models both from reconstructed spectra and directly on puree  
454 spectra. The decrease of prediction accuracy was possibly owing to the non-negativity  
455 of the concentration profiles which could constrain the spectral reconstruction (Le  
456 Dréau, Dupuy, Artaud, Ollivier, & Kister, 2009). Briefly, MIR spectra coupled with  
457 the concentration profiles of MCR-ALS showed a potential way to directly estimate  
458 the viscosity,  $a^*$  color parameter, SSC, TA, malic acid, pH, fructose and glucose for  
459 formulated purees depending only on the spectral information of the single-cultivar  
460 purees.

461 Compared to the previous prediction models obtained on the real spectra of  
462 formulated purees (**Table 3**), highly consistent specific fingerprints and acceptable  
463 prediction results (**Table 4**) provided a justifiable explanation to use the spectra  
464 reconstruction of formulated purees from spectra of single-cultivar purees. MCR-ALS  
465 has been used in other ways to identify precisely the chemical species or track their  
466 evolutions (Garrido, Rius, & Larrechi, 2008; de Juan & Tauler, 2006). Here, it was  
467 firstly used with the concentration profiles to reconstruct spectra of processed  
468 products based on the spectra of raw materials.

#### 469 **4. Conclusion**

470 This was the first detailed work to show the ability of infrared spectroscopy coupled  
471 to suitable chemometric methods as a powerful tool to trace different composed  
472 cultivars and estimate their corresponding compositions in apple purees. Moreover, an  
473 innovative chemometric method based on MCR-ALS was developed to reach  
474 simultaneous targets in terms of composition (in % of different cultivars) and

475 physico-chemical properties (rheology, SSC, TA, DMC) of final puree products. As  
476 far as we know, this was the first report concerning the control of the final fruit  
477 product quality variations depending on the spectral information of the initial purees  
478 using a spectral reconstruction approach.

479 Vis-NIR on formulated purees could detect the composed single cultivars purees with  
480 large color differences, such as ‘Granny Smith’ ( $R_p^2 > 0.92$ ,  $RPD > 3.4$ ,  $RMSEP < 9.2\%$ )  
481 and ‘Braeburn’ ( $R_p^2 > 0.95$ ,  $RPD > 4.2$ ,  $RMSEP < 7.9\%$ ), but not for ‘Golden  
482 Delicious’ and ‘Royal Gala’. MIR had the potential to trace the composed apple  
483 varieties with the excellent evaluations of ‘Granny Smith’ and ‘Braeburn proportions’  
484 ( $RMSEP < 4.3\%$ ,  $RPD > 7.7$ ) and the satisfactory assessments of ‘Golden Delicious’  
485 and ‘Royal Gala’ proportions ( $RMSEP < 8.1\%$ ,  $RPD > 3.6$ ). And MIR could also predict  
486 the internal quality (SSC, TA, DMC, viscosity, pH, fructose, malic acid) of formulated  
487 purees coupled with PLS and machine learning regressions.

488 Innovatively, MIR technique opens the possibility to control and guidance the final  
489 puree characteristics by simply scanning the single-cultivar apple purees, in order to  
490 maintain the product quality or to drive the development of new products in apple  
491 industry. For instance, after acquiring MIR spectra of the four single-cultivar purees,  
492 our developed PLS models might be used in industry: i) to formulate purees with  
493 defined SSC and viscosity (e.g.  $15.0 \pm 0.3$  °Brix and  $1500 \pm 100$  Pa.s<sup>-1</sup>, which might  
494 be reached with the formulate solutions as 75% GD-25% GS, 80% GD-20% BR and  
495 90% GD-10% GA purees); or ii) to compare *in silico* the results of different puree  
496 formulation strategies, such as 33.3% GD and 66.6% GS purees (low redness, high



497 acidity and viscosity) versus another strategy of 80% BR and 20% GA purees (more  
498 redness, low acidity, low viscosity), depending on the market.

499 Further challenging works will be to investigate the possibility to reconstruct spectra  
500 of final processed purees based on spectra acquired directly on raw apples to provide  
501 non-destructive information guidance.

502

503

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512

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629

1 **Figure captions:**

2 **Figure 1.** Experimental scheme of purees reformation, quality characterizations and  
3 spectral acquisition.

4 **Figure 2.** Process of VIS-NIRS and MIRS data by multivariate curve resolution-  
5 alternative least square (MCR-ALS) and spectral reconstruction of reformulates puree  
6 samples.

7 **Figure 3.** Overview of the applied methodology of VIS-NIR and MIR spectra pre-  
8 processing and multivariate regression.

9

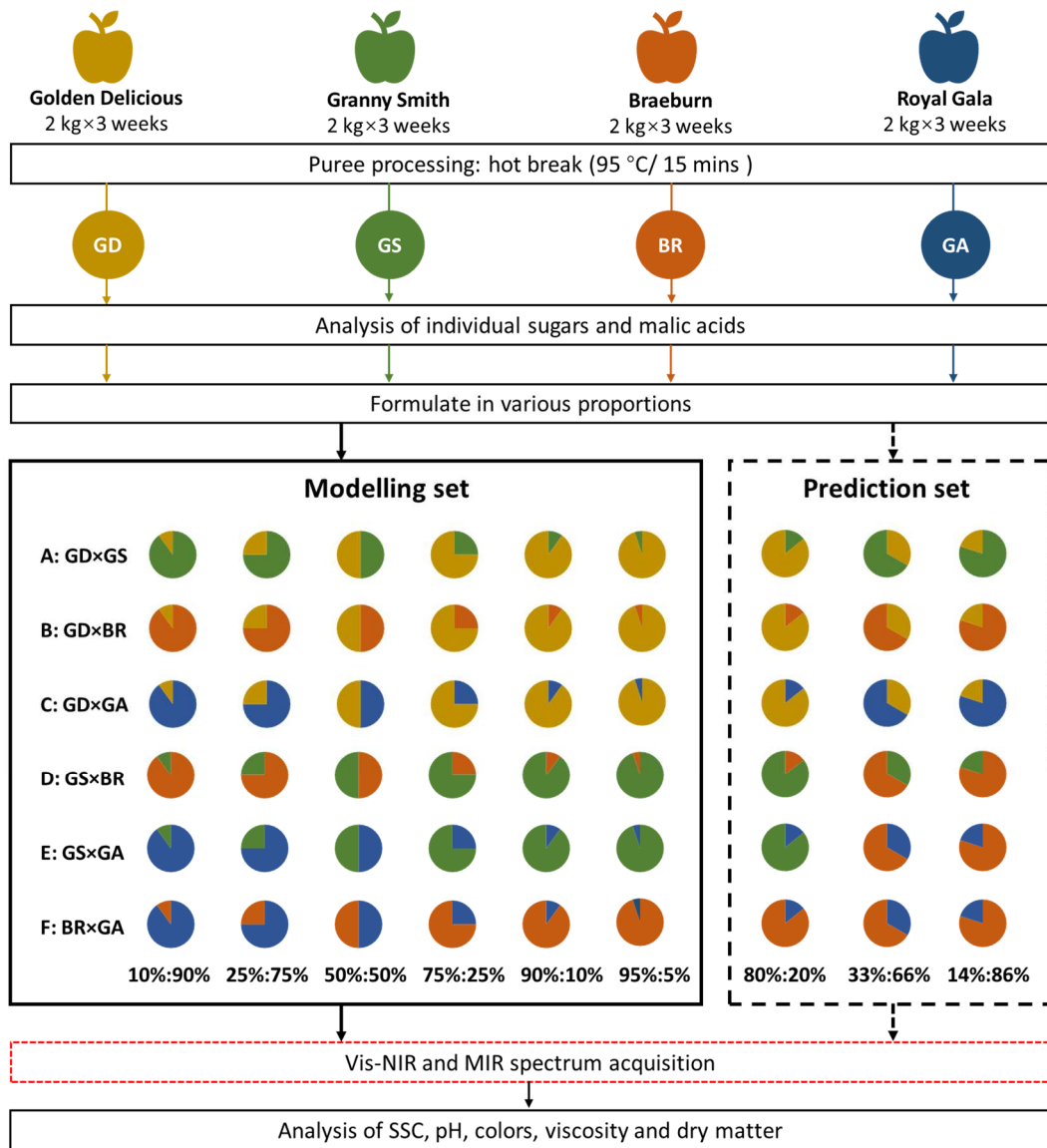
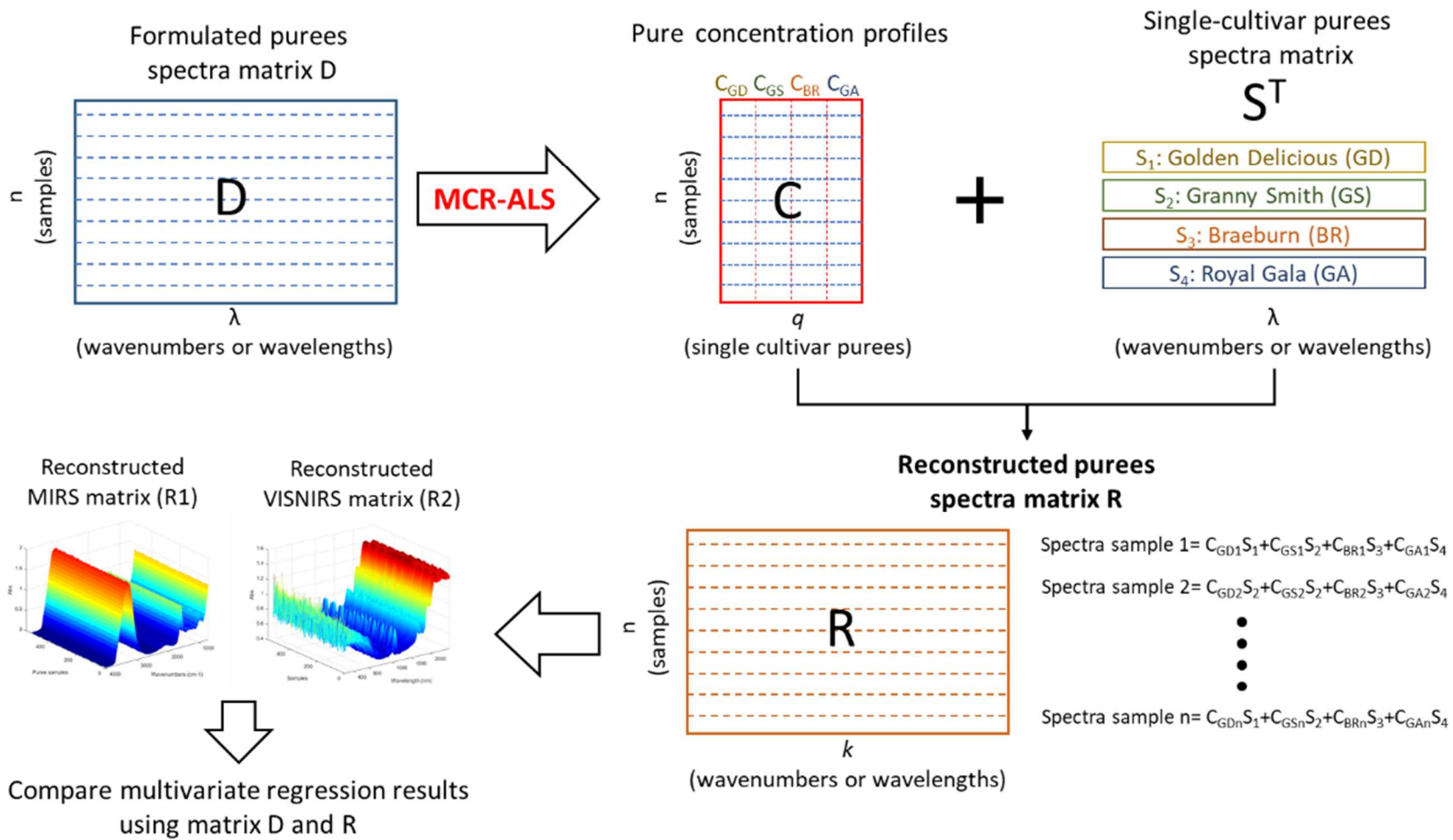


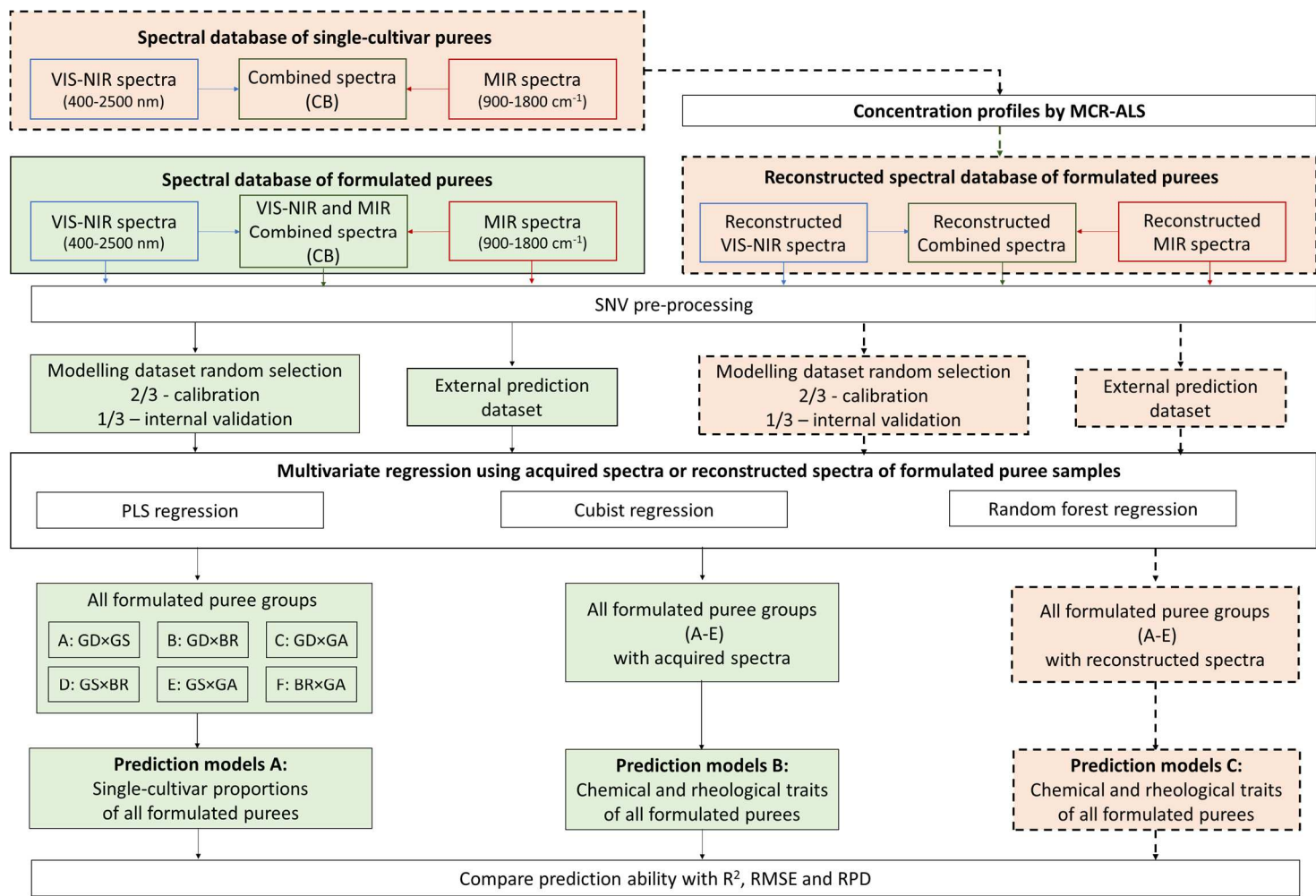
Figure 1



13

14 **Figure 2**





**Figure 3**

1 **Table 1.** The common names and their abbreviations used in this study

Common names	Abbreviations
'Golden Delicious' purees	GD
'Granny Smith' purees	GS
'Braeburn' purees	BR
'Royal Gala' purees	GA
partial least square	PLS
random forest regression	RF
combination of Vis-NIR and MIR	CB
multivariate curve resolution-alternative least square	MCR-ALS
dry matter contents	DMC
soluble solid contents	SSC
titratable acidity	TA
purees viscosity at a control share rate of 50 s <sup>-1</sup>	$\eta_{50}$
purees viscosity at a control share rate of 100 s <sup>-1</sup>	$\eta_{100}$
standard deviation values	SD
Principal component analysis	PCA
fresh weight	FW
standard normal variate	SNV
determination coefficients of internal validation	$R_v^2$
determination coefficients of external prediction	$R_p^2$
root mean square error of prediction	RMSEP
variable importance	VIP
Residual Predictive Deviation	RPD

2

3 **Table 2.** Prediction of the proportions (%) of single-cultivar purees in all formulated purees based on VIS-NIR (400- 2500 nm), MIR (900- 1800  
 4  $\text{cm}^{-1}$ ) and their combined spectra (CB; VIS-NIR-MIR). Comparison of three regression models (PLS, Cubist and Random forest)

Single-cultivar	Spectra	PLSR				Cubist				Random forest			
		$R_v^2$	$R_p^2$	RMSEP	RPD	$R_v^2$	$R_p^2$	RMSEP	RPD	$R_v^2$	$R_p^2$	RMSEP	RPD
GD	Vis-NIR	0.66	0.60	19.0	1.5	0.82	0.58	19.4	1.5	0.88	0.64	17.4	1.7
	MIR	0.94	0.92	8.1	3.6	0.95	0.86	11.3	2.6	0.94	0.87	10.6	2.7
	CB	0.91	0.88	10.3	2.8	0.93	0.82	12.7	2.3	0.96	0.90	9.5	3.0
BR	Vis-NIR	0.97	0.95	7.5	4.4	0.98	0.93	8.7	3.8	0.97	0.95	7.9	4.2
	MIR	0.99	0.98	4.3	7.7	0.98	0.97	5.2	6.3	0.98	0.95	7.6	4.3
	CB	0.99	0.98	5.0	6.6	1.00	0.97	5.6	5.9	0.98	0.97	6.1	5.4
GS	Vis-NIR	0.93	0.92	9.2	3.4	0.97	0.89	10.5	3.0	0.97	0.94	8.2	3.8
	MIR	0.99	0.99	2.7	11.4	0.99	0.93	8.1	3.8	0.98	0.97	5.3	5.8
	CB	0.99	0.98	4.3	7.3	0.99	0.98	4.9	6.4	0.98	0.97	5.8	5.4
GA	Vis-NIR	0.79	0.65	16.2	2.1	0.67	0.68	20.2	1.7	0.75	0.73	18.5	1.9
	MIR	0.96	0.94	7.4	4.7	0.90	0.82	15.1	2.3	0.91	0.90	10.3	3.4
	CB	0.89	0.83	11.5	3.0	0.88	0.79	16.2	2.2	0.94	0.92	9.4	3.7

5 Notes: single-cultivar purees of Golden Delicious named 'GD', Braeburn named 'BR', Granny Smith named 'GS', Royal Gala named 'GA'. All results corresponded  
 6 to the averaged values of 10 replicates.  $R_v^2$ : determination coefficient of the validation test (internal);  $R_p^2$ : determination coefficient of the prediction test (external);  
 7 RMSEP: root mean square error of prediction test (external) expressed as the puree proportions (%); RPD: the residual predictive deviation of prediction test. (external).

8

9 **Table 3.** Prediction of chemical and rheological parameters of all formulated purees using Vis-NIR (400-2500 nm), MIR (900-1800 cm<sup>-1</sup>) or their  
 10 combined spectra (CB) and regression methods, PLS, Cubist or Random forest.

Parameter	Spectra	Range	SD	PLSR				Cubist				Random forest			
				R <sub>v</sub> <sup>2</sup>	R <sub>p</sub> <sup>2</sup>	RMSEP	RPD	R <sub>v</sub> <sup>2</sup>	R <sub>p</sub> <sup>2</sup>	RMSEP	RPD	R <sub>v</sub> <sup>2</sup>	R <sub>p</sub> <sup>2</sup>	RMSEP	RPD
L*	Vis-NIR			0.81	0.70	0.8	1.6	0.87	0.63	0.9	1.4	0.88	0.75	0.6	1.9
	MIR	41.6-48.6	1.5	0.88	0.80	0.6	2.0	0.96	0.83	0.6	2.2	0.94	0.80	0.6	2.2
	CB			0.89	0.79	0.6	2.1	0.95	0.79	0.6	1.9	0.94	0.83	0.5	2.4
a*	Vis-NIR			0.97	0.96	0.4	4.7	0.98	0.94	0.5	4.0	0.96	0.94	0.5	4.1
	MIR	(-4.8)-2.4	2	0.96	0.94	0.5	4.0	0.98	0.92	0.5	3.6	0.97	0.91	0.6	3.3
	CB			0.98	0.96	0.4	5.0	0.99	0.93	0.5	3.6	0.98	0.94	0.5	4.1
b*	Vis-NIR			0.62	0.55	1.2	1.5	0.76	0.46	1.5	1.3	0.72	0.53	1.3	1.4
	MIR	9.6-18.4	1.7	0.67	0.56	1.2	1.5	0.86	0.48	1.4	1.3	0.84	0.62	1.1	1.6
	CB			0.67	0.53	1.3	1.5	0.88	0.46	1.4	1.3	0.81	0.57	1.2	1.5
Viscosity $\eta_{50}$	Vis-NIR			0.79	0.81	54.6	2.2	0.85	0.85	49.8	2.4	0.82	0.78	57.8	2.1
	MIR	526-1029	119	0.94	0.90	29.8	4.1	0.95	0.89	39.4	3.1	0.9	0.87	43.6	2.8
	CB			0.91	0.87	43.5	2.8	0.93	0.88	43.2	2.8	0.91	0.89	42.8	2.8
Viscosity $\eta_{100}$	Vis-NIR			0.73	0.74	108.0	2.0	0.87	0.79	98.9	2.2	0.82	0.75	109.3	1.9
	MIR	834-1721	210	0.94	0.91	52.0	4.1	0.96	0.86	81.2	2.6	0.90	0.88	74.4	2.9
	CB			0.88	0.87	79.6	2.7	0.91	0.87	76.5	2.8	0.91	0.88	77.3	2.8
DMC (g/g FW)	Vis-NIR			0.85	0.79	0.004	2.1	0.81	0.75	0.004	1.9	0.79	0.77	0.004	2.0
	MIR	0.14-0.17	0.009	0.93	0.89	0.003	3.1	0.91	0.88	0.003	2.7	0.93	0.90	0.003	3.0
	CB			0.85	0.83	0.003	2.5	0.96	0.83	0.003	2.5	0.93	0.87	0.003	2.8
SSC (°Brix)	Vis-NIR			0.61	0.53	0.5	1.5	0.79	0.56	0.5	1.3	0.78	0.62	0.5	1.5
	MIR	12.1-15.3	0.7	0.96	0.95	0.1	5.1	0.96	0.93	0.2	3.9	0.94	0.94	0.2	4.1
	CB			0.89	0.94	0.2	4.0	0.95	0.92	0.2	3.4	0.95	0.96	0.1	4.4

fructose (g/kg FW)	Vis-NIR			0.37	0.38	7.3	1.2	0.52	0.25	8.4	1.1	0.70	0.50	6.3	1.4
	MIR	40.2-80.3	9.1	0.82	0.78	3.7	2.4	0.93	0.81	4.0	2.2	0.92	0.70	4.8	1.8
	CB			0.67	0.56	5.8	1.5	0.83	0.74	4.4	2.0	0.91	0.76	4.4	2.0
sucrose (g/kg FW)	Vis-NIR			0.54	0.49	3.9	1.4	0.69	0.52	4.3	1.3	0.76	0.46	4.0	1.4
	MIR	33.2-57.3	5.5	0.89	0.89	1.8	3.0	0.88	0.89	1.8	2.9	0.92	0.92	1.6	3.3
	CB			0.60	0.67	3.1	1.7	0.92	0.87	2.0	2.6	0.87	0.78	2.5	2.1
glucose (g/kg FW)	Vis-NIR			0.92	0.93	1.0	3.6	0.96	0.87	1.3	2.6	0.91	0.89	1.2	2.9
	MIR	13.2-28.3	3.7	0.98	0.98	0.5	6.7	0.99	0.97	0.6	5.6	0.97	0.94	0.9	4.1
	CB			0.95	0.93	1.0	3.7	0.98	0.96	0.7	4.9	0.98	0.95	0.8	4.4
pH	Vis-NIR			0.84	0.83	0.1	2.4	0.94	0.76	0.1	2.0	0.82	0.76	0.1	2.0
	MIR	3.39-4.47	0.23	0.94	0.92	0.1	4.0	0.89	0.85	0.1	2.5	0.94	0.92	0.1	3.4
	CB			0.83	0.86	0.1	2.7	0.96	0.67	0.1	1.7	0.9	0.85	0.1	2.4
TA (meq/kg FW)	Vis-NIR			0.93	0.87	5.0	2.9	0.95	0.90	5.1	3.1	0.96	0.89	5.2	3.0
	MIR	28.0-94.8	16.2	0.99	0.96	3.5	4.3	0.99	0.94	3.9	3.9	0.96	0.91	4.7	3.3
	CB			0.95	0.91	4.9	3.1	0.98	0.95	3.8	4.0	0.96	0.9	4.8	3.2
malic acid (g/kg FW)	Vis-NIR			0.90	0.88	0.5	2.9	0.91	0.85	0.5	2.6	0.94	0.87	0.5	2.8
	MIR	3.0-8.8	1.3	0.97	0.97	0.2	5.9	0.95	0.92	0.4	3.7	0.94	0.94	0.3	4.2
	CB			0.92	0.92	0.4	3.4	0.91	0.84	0.5	2.5	0.96	0.93	0.4	3.7

11 Notes: all results corresponded to the averaged values of 10 replicates.  $R_v^2$ : determination coefficient of the validation test (internal);  $R_p^2$ : determination coefficient  
12 of the prediction test (external); RMSEP: root mean square error of prediction test (external); RPD: the residual predictive deviation of prediction test. (external).

13

14 **Table 4.** Prediction results of chemical and rheological parameters of all formulated purees from the reconstructed MIR spectra computed by the  
 15 concentrations of MCR-ALS and the spectra of single-cultivar purees.

Parameter	Range	SD	PLSR				Cubist				Random forest			
			$R_v^2$	$R_p^2$	RMSEP	RPD	$R_v^2$	$R_p^2$	RMSEP	RPD	$R_v^2$	$R_p^2$	RMSEP	RPD
L*	41.6-48.6	1.5	0.91	0.86	0.5	2.4	0.9	0.83	0.6	1.9	0.86	0.78	0.6	2.1
a*	(-4.8)-2.4	2	0.92	0.92	0.5	3.5	0.94	0.89	0.6	3.2	0.93	0.91	0.6	3.4
b*	9.6-18.4	1.7	0.62	0.59	1.2	1.6	0.56	0.48	1.2	1.5	0.58	0.54	1.2	1.5
Viscosity $\eta_{50}$	526-1029	119	0.93	0.86	32.3	4.0	0.86	0.82	45.6	3.1	0.86	0.79	47.4	2.8
Viscosity $\eta_{100}$	834-1721	210	0.94	0.85	55.5	4.0	0.86	0.83	81	2.8	0.85	0.78	85.3	2.7
DMC (g/g FW)	0.14-0.17	0.009	0.87	0.85	0.003	2.7	0.85	0.84	0.003	2.6	0.89	0.82	0.004	2.5
SSC ( $^{\circ}$ Brix)	12.1-15.3	0.7	0.95	0.9	0.2	4.1	0.9	0.85	0.2	4.1	0.79	0.73	0.3	2.3
fructose (g/kg FW)	40.2-80.3	9.1	0.84	0.79	4.0	2.1	0.88	0.82	3.7	2.5	0.83	0.8	3.7	2.3
sucrose (g/kg FW)	33.2-57.3	5.5	0.88	0.86	2.0	2.8	0.87	0.85	2.1	2.7	0.88	0.83	2.1	2.7
glucose (g/kg FW)	13.2-28.3	3.7	0.94	0.94	0.9	4.3	0.97	0.9	1.1	3.2	0.93	0.94	0.9	3.7
pH	3.39-4.47	0.23	0.89	0.88	0.1	3.2	0.89	0.83	0.1	2.8	0.86	0.79	0.1	2.7
TA (meq/kg FW)	28.0-94.8	16.2	0.92	0.91	4.4	3.4	0.91	0.88	5.9	2.7	0.92	0.92	4.4	3.4
malic (g/kg FW)	3.0-8.8	1.3	0.95	0.93	0.3	4.7	0.94	0.87	0.4	3.9	0.95	0.95	0.3	4.3

16 Notes: all results corresponded to the averaged values of 10 replicates.  $R_v^2$ : determination coefficient of the validation test (internal);  $R_p^2$ : determination coefficient  
 17 of the prediction test (external); RMSEP: root mean square error of prediction test (external); RPD: the residual predictive deviation of prediction test (external).

18

19 **Supplementary Tables:**20 **Table S-1.** Mean values with the characteristics of single-cultivar purees differed significantly using Tukey's test.

Cultivar	Viscosity $\eta_{50}$	Viscosity $\eta_{100}$	L*	a*	b*	SSC (°Brix)	DMC (g/g FW)	pH	TA (meq/kg FW)	malic acid (g/kg FW)	fructose (g/kg FW)	sucrose (g/kg FW)	glucose (g/kg FW)
GD	838.6± 69.6 a	1388.5± 138.2 ab	47.0± 0.3 a	-4.1± 0.3 c	15.8± 0.5 a	14.5± 0.4 a	0.167± 0.003 a	3.9± 0.1 b	53.9± 0.8 c	6.0± 0.1 b	72.8± 6.2 a	46.7± 6.6 a	18.7± 0.8 b
GS	904.2± 18.8 a	1501.2± 18.9 a	45.1± 0.8 b	-4.3± 0.9 c	14.4± 1.4 a	13.2± 0.3 b	0.152± 0.003 b	3.6± 0.2 c	89.1± 1.3 a	8.1± 0.5 a	58.6± 14.9 ab	37.4± 4.3 b	26.8± 1.3 a
BR	736.8± 61.1 b	1229.1± 106.2 b	42.2± 0.4 c	1.8± 0.3 a	10.2± 1.2 b	13.1± 0.5 b	0.151± 0.005 b	3.7± 0.2 bc	62.7± 1.5 b	5.9± 0.1 b	59.4± 6.6 ab	50.0± 3.7 a	17.2± 1.5 b
GA	547.1± 38.0 c	860.9± 59.5 c	45.6± 0.5 b	-2.5± 0.2 b	15.0± 0.8 a	12.4± 0.6 b	0.143± 0.008 b	4.3± 0.2 a	29.3± 1.3 d	3.5± 0.7 c	49.3± 4.0 b	36.0± 1.3 b	14.6± 1.3 c

21 Note: Data are expressed as puree fresh weight (FW) ± standard deviation. Puree cultivars: Golden Delicious ('GD'); Granny Smith ('GS'), Braeburn ('BR') and Royal Gala ('GA').

22

**Table S-2.** Mean values with the characteristics of formulated puree groups differed significantly using Tukey's test.

Groups	Viscosity $\eta_{50}$	Viscosity $\eta_{100}$	L*	a*	b*	SSC (°Brix)	DMC (g/g FW)	pH	TA (meq/kg FW)	malic acid (g/kg FW)	fructose (g/kg FW)	sucrose (g/kg FW)	glucose (g/kg FW)
A: GD×GS	854.1± 70.3 a	1412.8± 124.5 a	46.3± 1.0 a	-4.5± 0.2 d	15.0± 1.2 a	13.9± 0.7 a	0.160± 0.007 a	3.7± 0.1 e	70.0± 10.5 b	7.0± 0.8 a	66.1± 6.4 a	42.3± 3.5 b	22.6± 2.8 a
B: GD×BR	767.2 ± 67.4 b	1278.8± 128.7 b	45.2± 1.6 b	-1.3± 1.9 b	13.9± 1.9 b	13.9± 0.5 a	0.160± 0.006 a	3.7± 0.1 d	58.7± 4.3 c	5.9± 0.1 b	66.4± 5.5 a	48.2± 3.1 a	18.0± 0.9 c
C: GD×GA	684.7± 90.5 c	1127.0± 172.3 cd	46.1± 0.9 a	-3.2± 0.7 c	14.7± 0.7 a	13.4± 0.7 b	0.156± 0.008 b	4.1± 0.2 a	42.7± 7.9 e	4.8± 0.8 c	61.6± 11.7 b	41.6± 4.7 b	16.8± 1.6 cd
D: GS×BR	853.8± 99.2 a	1408.5± 173.0 a	43.6± 1.0 c	-1.4± 2.0 b	12.4± 1.4 c	13.2± 0.5 b	0.154± 0.007 d	3.6± 0.1 e	76.6± 7.5 a	7.2± 0.8 a	59.0± 2.9 b	43.4± 5.1 b	22.3± 3.1 ab
E: GS×GA	743.2± 115.2 b	1209.1± 197.0 bc	45.1± 0.5 b	-3.5± 0.8 c	14.4± 1.1 ab	12.9± 0.5 c	0.150± 0.007 c	3.8± 0.2 c	60.5± 17.8 c	5.9± 1.5 b	54.2± 8.7 c	36.7± 2.0 c	21.0± 4.0 b
F: BR×GA	651.7± 86.2 c	1061.4± 161.1 d	44.1± 1.2 c	-0.3± 1.5 a	12.8± 1.7 c	12.8± 0.3 c	0.148± 0.003 c	4.0± 0.2 b	48.7± 10.2 d	4.7± 0.8 c	54.6± 7.2 c	43.3± 5.6 b	16.0± 1.1 d

Note: Data are expressed as puree fresh weight (FW) ± standard deviation. Puree cultivars: Golden Delicious ('GD'); Granny Smith ('GS'), Braeburn ('BR') and Royal Gala ('GA').



25 **Table S-3.** The VIS-NIR (400-2500 nm) and MIR (900-1800 cm<sup>-1</sup>) spectral concentration  
 26 profiles of each apple cultivar in formulated puree obtained from MCR-ALS.

Groups	Proportions	MIRS (900-1800 cm <sup>-1</sup> )				VIS-NIRS (400-2500 nm)			
		BR	GA	GD	GS	BR	GA	GD	GS
A: GD×GS	95%:5%	0.012	0.000	0.925	0.057	0.000	0.162	0.635	0.193
	90%:10%	0.010	0.000	0.911	0.073	0.000	0.275	0.381	0.333
	75%:25%	0.015	0.049	0.790	0.143	0.000	0.000	0.833	0.169
	50%:50%	0.015	0.000	0.566	0.415	0.000	0.000	0.595	0.406
	25%:75%	0.018	0.000	0.328	0.649	0.000	0.000	0.151	0.857
	10%:90%	0.075	0.000	0.100	0.809	0.000	0.000	0.254	0.731
	80%:20%	0.008	0.000	0.772	0.211	0.012	0.231	0.358	0.398
	33%:66%	0.079	0.003	0.337	0.571	0.033	0.061	0.043	0.868
	14%:86%	0.090	0.120	0.179	0.603	0.005	0.010	0.000	0.979
B: GD:BR	95%:5%	0.050	0.004	0.942	0.004	0.034	0.243	0.564	0.148
	90%:10%	0.081	0.010	0.897	0.000	0.000	0.250	0.621	0.133
	75%:25%	0.235	0.017	0.738	0.000	0.146	0.017	0.812	0.034
	50%:50%	0.495	0.004	0.492	0.000	0.252	0.000	0.764	0.000
	25%:75%	0.711	0.003	0.279	0.000	0.657	0.159	0.073	0.117
	10%:90%	0.847	0.013	0.135	0.000	0.866	0.079	0.000	0.058
	80%:20%	0.141	0.024	0.826	0.000	0.144	0.000	0.865	0.000
	33%:66%	0.515	0.000	0.425	0.058	0.599	0.164	0.103	0.133
	14%:86%	0.827	0.000	0.171	0.002	0.673	0.000	0.328	0.000
C: GD:GA	95%:5%	0.000	0.062	0.933	0.000	0.000	0.078	0.815	0.101
	90%:10%	0.048	0.047	0.897	0.005	0.000	0.000	0.995	0.000
	75%:25%	0.028	0.239	0.728	0.000	0.000	0.407	0.533	0.061
	50%:50%	0.006	0.474	0.500	0.016	0.019	0.584	0.272	0.113
	25%:75%	0.000	0.732	0.263	0.000	0.000	0.601	0.416	0.000
	10%:90%	0.000	0.907	0.087	0.000	0.000	0.521	0.483	0.000
	80%:20%	0.019	0.165	0.807	0.005	0.000	0.528	0.281	0.183
	33%:66%	0.003	0.642	0.352	0.001	0.000	0.367	0.632	0.000
	14%:86%	0.000	0.836	0.142	0.021	0.000	0.549	0.461	0.000
D: GS:BR	95%:5%	0.220	0.090	0.002	0.677	0.000	0.000	0.068	0.927
	90%:10%	0.283	0.259	0.000	0.444	0.001	0.000	0.000	0.994
	75%:25%	0.403	0.163	0.013	0.410	0.205	0.000	0.011	0.768
	50%:50%	0.636	0.138	0.000	0.217	0.417	0.000	0.092	0.492
	25%:75%	0.782	0.067	0.037	0.106	0.631	0.000	0.064	0.312
	10%:90%	0.951	0.005	0.000	0.040	0.853	0.000	0.000	0.144
	80%:20%	0.341	0.162	0.000	0.488	0.143	0.000	0.031	0.822
	33%:66%	0.738	0.082	0.000	0.171	0.543	0.000	0.201	0.249
	14%:86%	0.846	0.000	0.000	0.144	0.668	0.000	0.352	0.000
E: GS:GA	95%:5%	0.000	0.265	0.000	0.725	0.000	0.000	0.142	0.849
	90%:10%	0.006	0.219	0.000	0.787	0.000	0.000	0.178	0.822
	75%:25%	0.030	0.419	0.000	0.537	0.091	0.071	0.058	0.773

	50%:50%	0.009	0.655	0.000	0.334	0.093	0.000	0.560	0.340
	25%:75%	0.003	0.820	0.000	0.169	0.024	0.436	0.348	0.195
	10%:90%	0.047	0.702	0.238	0.000	0.000	0.068	0.943	0.000
	80%:20%	0.050	0.365	0.000	0.574	0.000	0.000	0.286	0.721
	33%:66%	0.000	0.799	0.000	0.193	0.000	0.453	0.114	0.431
	14%:86%	0.000	0.991	0.000	0.000	0.000	0.428	0.530	0.046
	95%:5%	0.785	0.209	0.000	0.000	0.706	0.000	0.314	0.000
	90%:10%	0.849	0.149	0.000	0.000	0.737	0.131	0.076	0.058
	75%:25%	0.599	0.398	0.000	0.000	0.513	0.000	0.496	0.000
	50%:50%	0.406	0.593	0.000	0.000	0.292	0.620	0.000	0.097
F: BR:GA	25%:75%	0.138	0.857	0.000	0.000	0.000	0.541	0.471	0.000
	10%:90%	0.051	0.946	0.000	0.000	0.000	0.533	0.475	0.000
	80%:20%	0.732	0.263	0.000	0.000	0.649	0.194	0.000	0.162
	33%:66%	0.254	0.745	0.000	0.000	0.176	0.644	0.033	0.136
	14%:86%	0.067	0.932	0.000	0.000	0.804	0.000	0.183	0.014

27 Puree cultivars: Golden Delicious ('GD'); Granny Smith ('GS'), Braeburn ('BR') and Royal Gala  
28 ('GA').  
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