

Harvesting spatially dense legacy soil datasets for digital soil mapping of available water capacity in Southern France

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1 Harvesting spatially dense legacy soil datasets for digital soil

2 mapping of available water capacity in Southern France

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- 9 Abstract

10 Although considerable work has been conducted in recent decades to build soil databases, the 11 legacy data from a lot of former soil survey campaigns still remain unused. The objective of 12 this study was to determine the interest in harvesting such legacy data for mapping the soil 13 available water capacities (SAWCs) at different rooting depths (30 cm, 60 cm, 100 cm) and to 14 the maximal observation depth, over the commune of Bouillargues (16 km², Occitanie region, 15 southern France)

An increasing number of available auger hole observations with SAWC estimations – from 0 to 2781 observations – were added to the existing soil profiles to calibrate quantile regression forests (QRFs) using the Euclidean buffer distances from the sites as soil covariates. The SAWC was first mapped separately for different soil layers, and the mapping outputs were pooled to estimate the required SAWC. The uncertainty of the SAWC prediction was estimated from the estimated mapping uncertainties of the individual soil layers by an error propagation model using a first-order Taylor analysis.

The performances of the SAWC predictions and their uncertainties were evaluated with a 10-23 fold cross validation that was iterated 20 times. The results showed that the use of a quantile 24 25 regression forest that was fed with auger hole observations and that used the Euclidean buffer 26 distances as soil covariates considerably augmented the performances of the SAWC 27 predictions (percentages of explained variance from 0.39 to 0.70) compared to the 28 performance of a classical DSM approach, i.e., a QRF that solely used soil profiles and only 29 environmental covariates (percentages of explained variance from 0.04 to 0.51). The analysis 30 of the results revealed that the performances were also dependent on the spatial patterns of the 31 different examined SAWCs and was limited by the observational uncertainties of the SAWCs 32 determined from auger holes. The best performance tended to also provide the best view of 33 the uncertainty patterns with an overestimation of uncertainty.

34 Despite these gains in performance, the cost-efficiency analysis showed that the augmentation 35 of soil observations was not cost efficient because of the highly time-consuming manual data 36 harvesting protocol. However, this result did not account for the observed gain in map details. 37 Furthermore, the cost efficiency could be further improved by automation.

38

39

40 1. Introduction

Digital soil mapping (DSM) has been recognized as the appropriate solution to provide spatial soil information for land users, scientist communities and policy and decision makers in agriculture and the environment (McBratney et al., 2003; Sanchez et al., 2009). The principle of DSM is to predict a soil property or soil classes and the associated prediction uncertainty by determining the quantitative relationships between the soil information available over a limited set of locations and the spatial data reflecting the state factors of soil formation 47 (envionmental covariates). DSM has now moved from a largely academic movement toward
48 an operational activity (Minasny & McBratney, 2016, Arrouays et al, 2017).

However, the performances of DSM predictions of soil properties often exhibit more uncertainty than initially expected. For example, the percentages of explained variances of less than 0.5 were observed for 95%, 76%, 100% and 86% of the tested soil properties for DSM applications at the catchment scale (Nussbaum et al., 2018), at the regional scale (Vaysse and Lagacherie, 2015), at the national scale (Mulder et al., 2016), and at the global scale (Hengl et al., 2014), respectively.

55 These authors converged toward the conclusion that the density of soil observations used for 56 calibrating the DSM models was the main factor that limited the DSM performances. Most of 57 the soil information used as input in DSM applications has been either soil maps or the spatial 58 sampling of sites with soil property measurements. The average densities used in most 59 operational DSM applications have been low, e.g., 4-12 sites/km² (several study areas in 60 Nussbaum et al., 2018), 0.07 sites/km² (Vaysse and Lagacherie, 2015), 0.03 sites/km² (Mulder 61 et al., 2016), and 0.001 sites/km² (Hengl et al., 2014), which limits the performances of soil 62 prediction, especially when the pattern of variation in the soil property is largely below the spacing of soil profiles (Vaysse and Lagacherie, 2015; Gomez and Coulouma, 2018). In 63 64 addition, further experiments that consisted of varying the spatial density of soil input 65 confirmed this analysis (Somarathna et al. 2017, Wadoux et al. 2019, Lagacherie et al, 2020). 66 Consequently, it is of paramount importance to increase the density of soil inputs to improve the performance of DSM models in predicting soil properties (Voltz et al., 2020). 67

The most straightforward way to increase the density of DSM model soil inputs involves harvesting the legacy soil data that have not yet been stored in the existing soil databases. Arrouays et al. (2017) showed that during the period 2009-2015, the numbers of legacy soil profiles stored in global and national soil databases increased by 1,046% and 45%, respectively. However, they estimated that a large amount of soil legacy data can still be
harvested. This is even more true in some areas across the world where soil surveying has
been particularly active in the past.

For example, in southern France, the BRL irrigation company conducted detailed soil surveys over its irrigation perimeter between 1957 and 1992, which resulted in detailed soil maps, 25,000 soil profiles (5/km²) and 203,000 auger hole observations (31/km²). At this stage, such soil data have not yet been harvested and therefore cannot be used as input for DSM applications. However, this data has great potential for improving DSM performance and should be thoroughly examined.

81 In this paper, a spatially dense set of soil observations harvested from soil survey documents 82 was tested for improving the performances of DSM models in mapping soil available water 83 capacities for different rooting depths (0-30 cm, 0-60 cm, 0-100 cm) and at maximum 84 observation depth, and the associated uncertainties. Our aim was to evaluate the cost-85 efficiency ratio of using such soil observations and to evaluate the added value of using 86 euclidian buffer distances as additional inputs of DSM models as proposed by Hengl et al 87 (2018). The study is conducted in the commune of Bouillargues, which is one of the 88 communes included in the BRL irrigation perimeter.

89

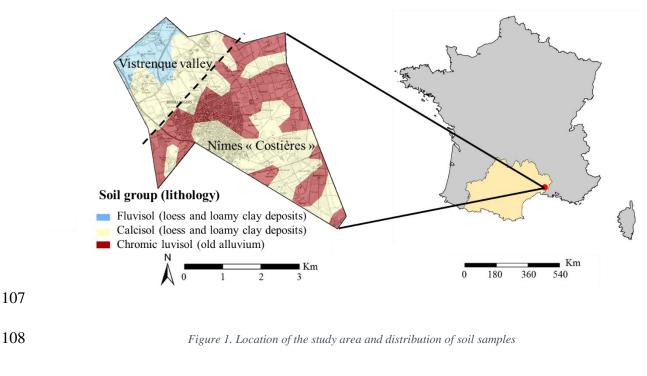
90 2. The case study

91 2.1. The study area

92 This study took place in the administrative commune of Bouillargues in the Occitanie 93 administrative French region (Figure 1). Located in southern France, Bouillargues covers 16 94 km² and is mainly devoted to vineyards, agricultural lands, forests, and scrublands. Bouillargues has a Mediterranean climate characterized by a moderate average annual rainfall
(600 mm) and dry and hot summers.

97 The study area is topographically split into two subregions with the large flat valley of the 98 Vistrenque in the northern part and old fluviatile alluvium terraces belonging to the Nîmes 99 "Costière" in the southern part. The two subregions have contrasting parent materials with i) 100 loess and loamy clay deposition in the Vistrenque valley and ii) old alluvium in the Nimes 101 Costière part, covered by some loess deposits. The contrast in parent materials induces 102 variations in soils with i) fluvisols and calcisols developed in loess and loamy clay deposition, 103 characterized by an absence of coarse fragments and a loamy texture, and ii) chromic luvisols 104 developed in old alluvium terraces characterized by important coarse fragment contents and 105 compacted clay accumulations (Figure 1).





- 109 2.2. Soil data
- 110 2.2.1. History and content of the BRL soil database

111 The soil data of this study are a part of the soil survey led by the "Compagnie Nationale 112 d'Aménagement de la Région du Bas-Rhône et du Languedoc" (CNARBRL) between 1957 113 and 1992 over the irrigated perimeter of this irrigation company, which covers 6,636 km². The objectives of this survey were to provide suitable soil information for i) improving the 114 115 development master plan of the irrigation perimeter and estimating the surface area of arable 116 and potentially irrigable lands and ii) supporting the cultural intensification made possible by 117 irrigation, assessing the irrigation supply, and setting technical assistance for landholders to 118 start irrigation and crop conversion.

The compilation of those studies resulted in a database of 228,000 soil observations with 25,000 soil profile descriptions and laboratory analyses (Figure 2) and 203,000 auger holes (Figure 3), which correspond to average spacings of 515 m and 181 m for the soil profiles and auger holes, respectively.

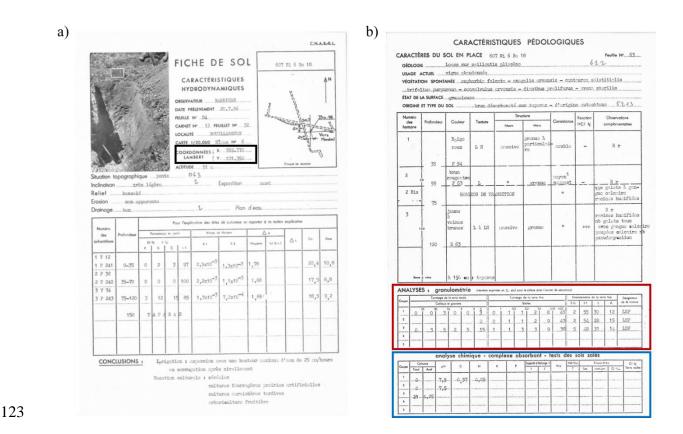
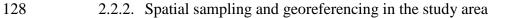


Figure 2. Soil profile a) horizon descriptions with geographical coordinates (black box) and b) laboratory analysis results, physical analysis (red box) and chemical analysis (blue box)

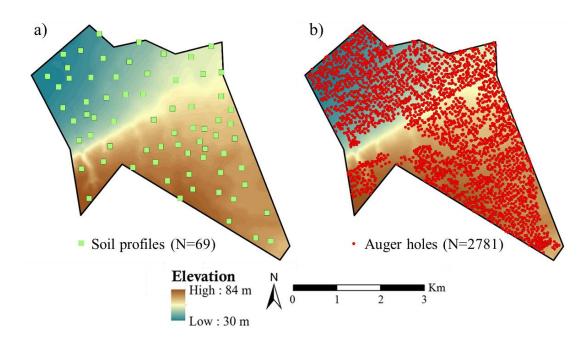
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Figure 3. Auger hole descriptions



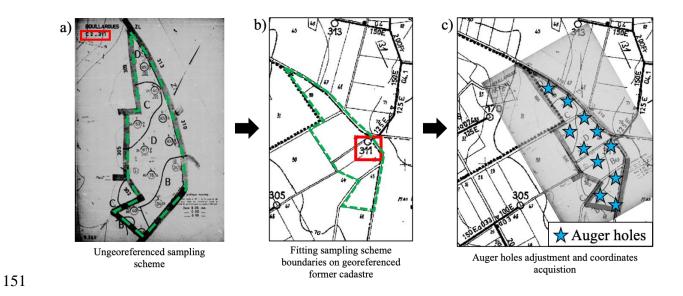
Focusing on the commune of Bouillargues, the harvested dataset is composed of 2850 sites with soil observations that include 2781 auger holes and 69 soil profiles, which correspond to average spacings of 76 m and 500 m, respectively (Figure 4). Both the soil profiles and the auger hole observations were fairly evenly distributed over the study area; however, some gaps corresponded to urbanized areas or lands that were not expected to have any agricultural potential.



137 Figure 4. Spatial distribution of a) soil profiles and b) auger holes over the commune of Bouillargues

The soil profile data records included geographical coordinates (Lambert III, black box inFigure 2a), whereas manual preprocessing was necessary for georeferencing the auger holes.

140 The auger holes were initially located through a non-georeferenced map representing the local 141 sampling scheme (Figure 5a). Each sampling scheme corresponded to an area of water 142 distribution supplied by an irrigation water access point of the BRL irrigation network. This 143 access point was georeferenced and could be positioned onto a georeferenced former cadastre 144 (red box in Figure 5b). To acquire the coordinates of the auger holes, the sampling scheme 145 was first located in the georeferenced cadastre using the coordinates of the irrigation water 146 access point. Its boundaries were then positioned (green dashed perimeter on Figure 5b) using 147 the geometry of the parcels and communication paths. Finally, each auger hole was manually 148 positioned onto the georeferenced cadastre (blue stars on Figure 5b) using the sampling 149 scheme (Figure 5a), and the coordinates of the auger holes were obtained using the 150 coordinates acquisition tool of BRL's web-GIS (Figure 5c).



152 Figure 5. Fitting the non-georeferenced sampling scheme of auger holes in the georeferenced former cadastre

154 2.2.3. Soil available water capacity determinations at sites with soil observations

155 This study took the mapping of soil available water capacity (SAWC) as an example of 156 applying DSM. SAWC refers to the capacity of the soil to store water for plant growth 157 (Veihmayer and Hendrickson, 1927). This functional property plays a key role in many 158 ecosystem services, such as food production, soil drought or climate and gas regulation. 159 Consequently, it is a crucial parameter used in land evaluations and recently in ecosystem 160 services assessments (Dominati et al., 2014). Information about the SAWC distribution in 161 space is essential for planning and management in agriculture and for ecological modeling. In 162 the present example, SAWC was required for fulfilling the irrigation objectives evoked above 163 (section 2.2.1). Currently, SAWC is computed in the literature as follows (Cousin et al., 164 2003):

$$SAWC = \sum_{i=1}^{n} dh_{i} * bd_{i} * \left(\frac{100 - st_{i}}{100}\right) * (\theta r_{i} - \theta w_{i})$$
(1)

where SAWC is the soil available water capacity (cm), dh_i = the thickness of the *i*th horizon (cm), bd_i = the bulk density (g/cm³) of the *i*th horizon, st_i = the coarse fragment content of the *i*th horizon (% volumetric), and θr_i and θw_i are the gravimetric soil water contents at field capacity (i.e., the soil water content that remains in the soil after water has drained due to gravitational force) and the permanent wilting point (i.e., the soil water retained so strongly that it is no longer available for plant roots, so plants wither and cannot recover their turgidity) of the *i*th horizon (cm³.cm⁻³), respectively.

173 Historically, the CNARBRL had a different approach for expressing the water retention term 174 of the fine earth, i.e., $(\theta r_i - \theta w_i)$, which leads to the following equation:

$$SAWC = \sum_{i=1}^{n} dh_i * bd_i * \left(\frac{100 - st_i}{100}\right) * (b_i * EqW_i)$$
(2)

175

176 The equivalent water content (EqWi) corresponds to θr_i of Eq. 1, and the textural coefficient 177 b_i is an expression of the water content at the permanent wilting point that weights EqW_i to 178 account for the water content that is not available for the plant (i.e., beyond the wilting point, 179 defined as θw_i in Eq. 1).

The values of bd_i and EqW_i were measured at each soil profile; bd_i was determined in the field following the Vergières protocol (Bourrier, 1965) but was estimated as 1.6 times the mass fraction of the fine earth from the ensemble coarse fragment and fine earth, when the coarse fragment phase of the soil sample was too important to perform the Vergières protocol (Legros, 1996).

185 The EqW_i of sieved samples was determined in the laboratory using a centrifuge apparatus set 186 at 100 kPa (pF = 3.0), a reference pressure that was considered, at the time of the CNARBRL 187 soil survey, as yielding the best approximation of the water content at the field capacity (see 188 section 2.2.1) (Baize and Jabiol, 1995). The EqW_i values were estimated on auger hole 189 observations by local pedotransfer functions using the field estimated textural classes.

190 The b_i coefficient was determined both on soil profiles and on auger hole observations by a

191 local pedotransfer function using the textural classes determined from granulometric analyses192 and field estimation, respectively, for soil profile and auger hole observations.

193 The coarse fragment content and the horizon thicknesses of Eq. 2 were retrieved from the 194 descriptions of the physical analyses and descriptions of the soil profiles and of the auger hole observations, respectively (Figures 1 and 2). Different total soil thicknesses (i.e., $\sum_{i=1}^{n} dh_i$) 195 196 were considered to determine the different rooting depths related to the different possible 197 crops of the study area (from market gardening to vineyard passing by annual crops). In 198 addition to the maximum soil thicknesses given by the soil observations that were considered 199 for calculating the maximum soil available water capacity (SAWCmax), restricted thicknesses 200 of 30 cm, 60 cm and 100 cm were then considered, leading to different restricted SAWCs, 201 denoted further as SAWC30, SAWC60, and SAWC100.

It must be noted that both the profiles and auger holes had limited observation depths of 140 and 120 centimeters, respectively, which may cause underestimations of SAWCmax.

204

205 2.3. Environmental covariates

The DSM approach, as formalized by the scorpan model (McBratney et al., 2003), considers quantitative relationships between a target soil property and environmental variables, which are also known as "covariates".

The selection of environmental covariates depends on two criteria: i) they could be derived from geodatasets freely available at least at the French national level, and ii) they have a logical and process-based relationship with soil properties according to the literature. 212 Following these criteria, we derived covariates related to the scorpan model component, i.e., 213 topography, organisms, and parent material, that regroups the major landscape types across 214 the study area. Climate data were not considered in this study since we did not find any 215 climate data at a spatial resolution fine enough to represent the climate variations over such a 216 small area. The relief component was described by a set of geomorphometric indicators 217 currently considered in DSM studies: elevation, slope, aspect, multiresolution valley bottom 218 flatness (MRVBF), multiresolution ridge top flatness (MRRTF), topographic wetness index 219 (TWI), topographic position index, plan curvature and profile curvature. These indicators 220 were derived from the French altimetry database (BD ALTI, 25 m resolution) digital elevation 221 model (DEM). They were computed using the SAGA GIS software (Böhner et al., 2006) and 222 his Terrain Analysis procedures.

223

Organisms and parent materials were derived from the Landsat 7 imagery and geological map, respectively, and were both resampled at the native resolution of the DEM (i.e., 25 m). Additionally, parent material covariates were developed by Vaysse and Lagacherie (2015) from the geological map (1:50,000) qualitative descriptions to quantitative indicators describing the hardness, mineralogy and texture of alteration materials.

229	Table 1	Exhaustive	categorical an	d continuous	covariates
	I UDIC I	· LAMMANIVE	cure sorreur un	a commons	covariates

Variables	Abbreviation	Resolution/Scale	Source	Soil-forming factor ¹	Type ²
Topography					
Elevation	ELEV	25 m	BD ALTI	r	Q
Multiresolution Valley Bottom Flatness	MRVBF	25 m	BD ALTI	r	Q
Slope	SLOPE	25 m	BD ALTI	r	Q
Topographic Wetness	TWI	25 m	BD ALTI	r	Q

Index					
Plan Curvature	PLANCURV	25 m	BD ALTI	r	Q
Profile Curvature	PROCURV	25 m	BD ALTI	r	Q
Multiresolution Ridge Top Flatness	MRRTF	25 m	BD ALTI	r	Q
Topographic Position Index	TPI	25 m	BD ALTI	r	Q
Geology					
Hardness	HARDNESS	25 m	Geological map/soil profile	р	С
Texture	TEXTURE	25 m	Geological map/soil profile	р	С
Mineralogy	MINERALOGY	25 m	Geological map/soil profile	р	С
Organisms					
Land use	LANDUSE	25 m	Landsat 7	0	С

¹: SCORPAN factors (o = organisms, r = relief, p=parent material)

²: $\mathbf{Q} = \mathbf{quantitative}, \mathbf{C} = \mathbf{categorical}$

230

231 2.4. Acquisition process and cost assessment

232 In section 2.2., we presented the main difference in using soil profiles and auger holes in a DSM application, i.e., the accessibility of the data. While soil profile acquisition is quite 233 234 straightforward, i.e., recording soil data and locations, auger hole acquisition is more 235 complicated as the locations are not directly available and manual georeferencing is required, 236 thus, the acquisition process is longer. In Table 2, we provide the main information about the 237 acquisition process for soil profiles and auger holes. As the number of auger hole observations 238 is substantially larger than the number of soil profiles and take longer to record, we provided 239 an assessment of the cost of soil data acquisition.

	Auger holes	Soil profiles
Recorded time of soil properties*	0.8	0.8
(min/observation)		
Recorded time of geo-localizations*	2.2	0.2
(min/observation)		
Number of observations	2721	69

*Computed from timed sessions of harvesting

To compute the cost of the acquisition process, we applied the following formula using the

243 information in Table 2:

$$Cost = \left(\frac{N * rec_time}{Daytime}\right) * Sal.$$
⁽⁵⁾

With N the number of harvested soil observations, rec_time the recorded times of harvesting a given soil observation in mn (see table 2), Daytime is 1440 (number of mn in a day) and Sal is the daily salary of the harvester.

247 3. Methods

248 3.1. DSM models for soil profiles

In this study, we used several mapping models derived from the random forest algorithm.
Hereafter, we provide a general description of random forest and its derivatives used in this
study.

252 3.1.1. Random forest

Random forest models (RF) (Breiman, 2001) are an ensemble learning method for both classification and regression. A forest, i.e., an ensemble of randomized decision trees, is built and trained based on a bootstrap approach. Individual trees are built using the principle of recursive partitioning. "*The feature space is recursively split into regions containing observations with similar response value*" (Strobl et al., 2009). The predictions of the individual trees are finally averaged to obtain a single prediction.

259 3.1.2. Quantile regression forest

260 The quantile regression forest algorithm (QRF) (Meinshausen, 2006) is an extension of 261 random forests that has become one of the most commonly used algorithms in DSM studies 262 (Hengl et al., 2015; Ugbaje and Reuter, 2013; Vaysse and Lagacherie, 2017). As a RF, QRF 263 provides an ensemble prediction based on *n* regression trees. However, while RF provides 264 solely the conditional mean, QRF supplies the whole conditional distribution of the target 265 variable by keeping all observations at the terminal nodes. This allows us to infer estimates 266 for the conditional quantiles (Meinshausen, 2006). More details on QRF can be found in 267 Meinshausen (2006).

QRF was performed with the ranger package, which is a fast implementation of Breiman's
random forest and Meinshausen's quantile regression forest for big data (Wright and Ziegler,
2017). QRF was run with the default parameters given by ranger.

271 3.2. Mapping models for dense spatial sampling

272 The usual applications of RF and its derivative to DSM only exploit the relationships between 273 the soil properties to be predicted with landscape elements characterized by a set of covariates 274 derived from the available spatial data. However, they do not consider the spatial relationships 275 between sites or spatial autocorrelation, which allows the spatial interpolations of a given soil 276 property between sites. This can lead to suboptimal predictions and possibly systematic over-277 and underestimation of predictions, especially if the target variable is spatially autocorrelated 278 and if point patterns show clear sampling bias (Hengl et al., 2018). In the case of dense 279 sampling, such spatial interpolation can be of great interest to overcome the limitations of 280 landscape covariates for predicting soil properties (Lagacherie et al, 2020).

To correct the non-spatial approach of RF and its derivative, Hengl et al. (2018) proposed
adding new covariates that consider the locations of the sites. These covariates are defined as

283 the Euclidean buffer distances from the observation sites. To limit the number of covariates 284 and the computing time in the case of a large dataset (> 1,000 sites), these distances to the 285 nearest points were not calculated for each individual observation site but for *n* equal classes 286 (from low to high AWC values). As RF is sensitive to the number of classes (Hengl et al., 287 2018), we performed a trial and error process, which was conducted to choose different 288 classes according to the maximal soil thickness considered and to the density scenario 289 (number of classes varying between 6 and 15). For each targeted SAWC, a map was 290 generated. In this DSM model, we considered soil profile and auger hole observations 291 indifferently as soil inputs, omitting their possible differences of uncertainty on the SAWC 292 determinations. This model will be denoted further QRF_{dist}. Euclidean buffer distance 293 mapping was performed using the GSIF package (Hengl, 2019).

294

295 3.3. Inference trajectories

296 Since we aimed to map SAWC, which is a soil indicator involving several soil properties and 297 several soil depths, it could be estimated following various possible inferences following the 298 order with which "combining primary soil properties", "aggregating soil layers across depths" 299 and "mapping" were performed to provide the SAWC (Styc and Lagacherie, 2019). Styc and 300 Lagacherie (2019) experienced a total of 18 inference trajectories throughout Languedoc-301 Roussillon that were performed to obtain the most appropriate SAWC map. From this study, 302 we considered the best-performing inference trajectory, i.e., we mapped the first AWC of four 303 separate layers (0-30, 30-60, 60-100 and 100-200 cm) and then aggregated the maps of the 304 four soil layers to obtain the final SAWC map.

305 3.4. Uncertainty analysis using error propagation

In this section, we provide the main details of uncertainty assessment using propagation error.
More details of the procedure can be found in (Román Dobarco et al., 2019, Styc and
Lagacherie, submitted).

309 The selected inference trajectory, i.e., SAWC estimated as the aggregation of AWC predicted 310 at four depth soil layers, required an error propagation to estimate the variance in SAWC, 311 considered as a proxy of the uncertainty prediction of the target variable (Heuvelink et al., 312 1989). In this study, we used a first-order Taylor expansion to calculate the error variance of 313 SAWC that results from the error variances of its components (here, the different mapped 314 AWC for the four considered soil layers). This calculation involved i) the error variances of 315 AWC for each soil layer obtained from the conditional distributions provided by QRF for 316 each predicted location (Meinshausen, 2006) and ii) the correlation coefficients between the 317 errors at each soil layer provided by the mapping residuals. Then, the estimate of the SAWC 318 variances was translated into a 90% prediction interval, assuming a normal distribution, by:

$$CIL_i = \hat{y}_i \pm 1.645 \,\sigma_{\hat{y}_i} \tag{6}$$

319

where CIL_i is the interval limits of the prediction, \hat{y}_i is the mean of the distribution, $\sigma_{\hat{y}}$ is the standard deviation and 1.645 is the Student's coefficient for a 90% confidence interval estimation.

323 Error propagation was performed using the *propagate* R package (Spiess, 2018).

324

325 3.5. The experiment

The goal of the experiment was two-fold: i) to evaluate the efficiency of the DSM model proposed for dealing with dense spatial sampling of auger holes (QRF_{dist}) and ii) to evaluate the cost-efficiency ratio of using auger hole observations with increasing densities.

For that, QRF_{dist} was applied to different soil input scenarios with increasing numbers of auger holes. The performances of the QRF_{dist} were compared with those of a baseline QRF application that did not consider any spatial relation between the sites, as practiced in most DSM applications. The four SAWCs presented in section 2.2.3 were considered. In the following, we provide some details about the sampling strategy for selecting auger holes, the evaluation protocol and the cost-benefit analysis.

335 3.5.1. The sampling procedure of auger holes

Different data scenarios were considered, all of which included all the available soil profiles as inputs. An increasing number of auger holes were sampled from the available set and added to the soil profiles in the soil input datasets (from 10% to 100% of the auger hole observations each 10%, e.g., average spacing of 278 m, 556 m, 834 m, 1112 m, 1391 m, 1669 m, 1947 m, 2225 m, 2503 m and 2781 m).

341 At each step, the auger holes were selected using a stratified random sampling technique

342 using compact geographical strata (Walvoort et al., 2010), as recommended by (Brus et al.,

343 2011). Thirty-three geographical strata of 0.5 km² were considered. Spatial stratification

sampling was performed using the *spcosa* R package (Walvoort et al., 2018).

345 3.5.2. Evaluation protocol

The performance of the SAWC DSM models was evaluated by k-fold cross validation. This evaluation procedure consisted of randomly dividing the data into k subsets. Then, the holdout method was repeated k times such that one of the k subsets was used as the validation set in each repetition, while the other k-1 subsets were combined to form the calibration set. Following this procedure, every data point was included in a calibration set k-1 times. In this study, we selected k = 10 and to increase the robustness of the evaluation, the 10-fold cross validation was iterated 20 times. The k-fold cross validation was performed using *cvTools* (Alfons, 2012).

To avoid uncertain estimations of the model performances due to the inherent uncertainty of SAWC estimations from the auger hole observations, the evaluation protocol presented hereafter was solely applied to the soil profiles.

To evaluate the prediction performances, we used classic performance indicators, e.g., the mean square error skill score (Nussbaum et al., 2018), which has the same interpretation as the percentage of variance explained by the model, the root mean square error (RMSE) and the bias.

Furthermore, we evaluated the estimation of the prediction uncertainty using the prediction interval coverage probability (PICP; Shrestha and Solomatine, 2006) and error-predicted uncertainty plots. The PICP was computed as follows:

$$PICP = \frac{count(LPL_i \le y_i \le UPL_i)}{n} \times 100$$
(7)

364

where *n* is the total number of observations in the validation set, and the numerator counts if the observation y_i fits within the prediction limits prior to estimation by the error propagation method. For a 90% confidence level, which is usually chosen in DSM studies (Arrouays et al., 2014b), the uncertainty is optimally predicted when the PICP value is close to 90%.

The PICP provides an assessment of the overall uncertainty prediction bias (underestimation or overestimation) but does not tell anything about the ability to map differences in uncertainty across the study area. The PICP was therefore completed by error-predicteduncertainty estimations that materialized the evolution of the cross validation RMSE with the 373 widths of the predicted confidence intervals. To remove noise, the RMSEs were averaged per 374 quartile of prediction interval widths denoted "low/fairly low/fairly high/high predicted 375 uncertainty". It was expected that the RMSE would increase from low to high predicted 376 uncertainty.

377 3.5.3. The cost efficiency of SAWC Digital Soil Mapping

Soil data need to be recorded, but this process can be time consuming and therefore costly. To answer the question, "Is all the data necessary to reach quality predictions?", we set two indicators to assess i) the cost of a unit of gained RMSE and ii) the relative cost efficiency, which were both calculated for each percentage of auger holes added to the soil profiles. The cost of a unit of RMSE was evaluated using the following equation (Eq. 8):

$$Err_{cost} = \frac{cost_i}{RMSE_i} \tag{8}$$

383

where Err_{cost} is the cost of a unit of RMSE (in ϵ /cm) and $RMSE_i$ is the root mean square error of the combination of *i*% of auger hole and soil profile datasets.

386 The relative cost efficiency was assessed following the recommendation of Kish (1965) used
387 by (Viscarra Rossel and Brus, 2018, Eq. 9):

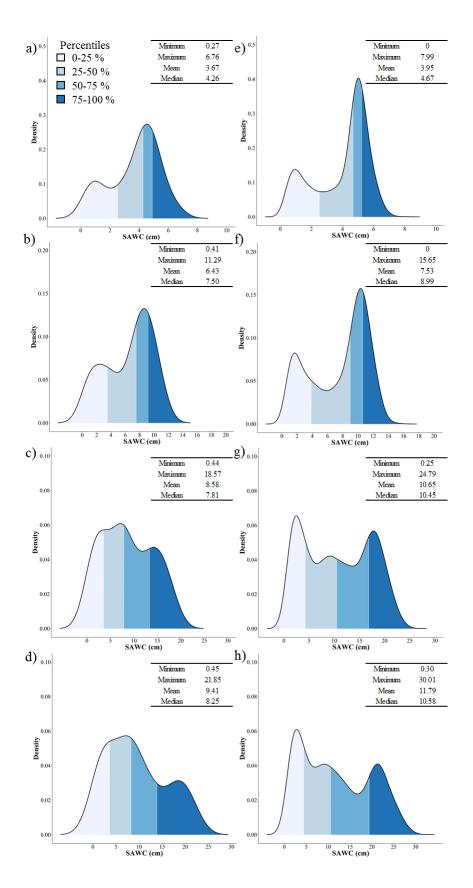
$$CE_r = \frac{cost_{ref} * RMSE_{ref}}{cost_i * RMSE_i}$$
⁽⁹⁾

where CE_r is the relative cost-efficiency ratio, $cost_{ref}$ and $RMSE_{ref}$ are the cost and the error of a reference design, respectively, here using solely soil profiles in the SAWC DSM, and $cost_i$ and $RMSE_i$ are the cost and the error, respectively, of the combination of i% of auger hole observation and soil profiles. A CE_r larger than one reveals more efficient sampling than the reference (Viscarra Rossel and Brus, 2018).

394 4. Results

395 4.1. Preliminary results

396 In Figure 5, we present the distributions of SAWC30, SAWC60, SAW100 and SAWCmax for 397 the soil profiles (left panel of Figure 5) and auger holes (right panel of Figure 5). We first 398 observed that the distributions of SAWC regardless of the considered soil depth were bimodal 399 for both the soil profiles and auger holes, with i) a higher peak for higher values of SAWC30 400 and SAWC60 and with ii) a higher peak for lower values of SAWC100 and SAWCmax. 401 Additionally, it is worth noting that both the SAWC ranges and the means of the auger holes 402 were systematically greater than those of the soil profiles. This could be explained by i) 403 possible underestimations of coarse fragments by visual determinations on very small 404 volumes using auger holes compared to real measurements of coarse fragments on larger 405 volumes using soil profiles and ii) possible biases of the field determination of textural class 406 on auger holes compared with laboratory analyses performed on soil profiles.





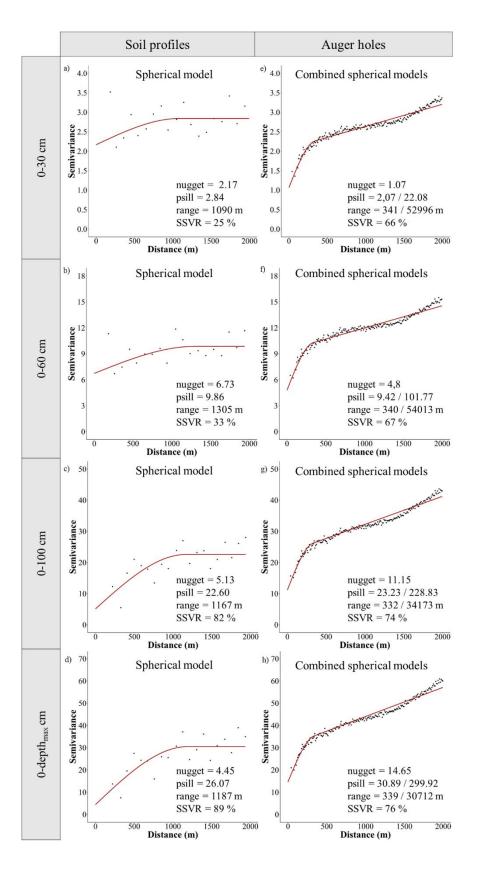
410 Figure 5. Distributions of the soil available water capacity of soil profiles at a) 0-30 cm, b) 0-60 cm, c) 0-100 cm and d) 0-411 depth_{max} and of auger holes at e) 0-30 cm, f) 0-60 cm, g) 0-100 cm and h) 0-depth_{max}

In addition, empirical variograms and their fitted models were computed using the *gstat* package (Pebesma, 2004) both from the soil profile data (Figure 6, left panel) and from the auger hole data (Figure 6, right panel), and for the different considered SAWC (lines of Figure 6). The Spatially structured variance ratio (SSVR, Eq. 10), which estimated the portion of the variance that was spatially structured, was computed from the variograms as follows:

$$SSVR = 1 - \left(\frac{nugget}{variance}\right) x \ 100 \tag{10}$$

417

418 First, we noted that the variogram of the SAWC determined from auger hole observations 419 exhibited clear spatial structures regardless of the maximal depth (SSVR ranging from 66% to 420 76%). The variograms showed a mix of short-range spatial structures (fitted ranges between 421 332 and 341 m) and large-range structures (fitted ranges exceeding 30 km). Conversely, the 422 variograms of SAWC30 and SAWC60 determined from the soil profile empirical variogram 423 exhibited less clear spatial structures (SSVR of 25% and 33%), whereas a clear structure 424 appeared for SAWC100 and SAWCmax (SSVR of 82% and 89%). Because of their larger 425 spacing, the soil profiles did not allow us to see the short-range spatial structures revealed by 426 the auger hole observations. Additionally, significant decreases in nuggets were observed 427 from the variograms of SAWC30 and SAWC60 processed from profiles to those processed 428 from auger holes. This decrease can be interpreted as the result of increasing sampling 429 densities that better captured the short-range spatially structured variance that was otherwise 430 included in the profile variogram nuggets. It is interesting to note that the converse occurred for SAWC100 and SAWCmax. The probable increase in the uncertainty of observations with 431 432 depth due to the difficulties in observing deep horizons from auger holes yielded a nugget 433 increase that largely counterbalanced the effect of the sampling density evoked previously.





436 Figure 6. Empirical variograms computed for SAWC using 69 soil profiles at a) 30 cm, b) 60 cm, c) 100 cm and d) 200 cm and using 2781 auger hole observations at e) 30 cm, f) 60 cm, g) 100 cm and h) 200 cm, and their theoretical variograms.

4.2. Comparing DSM model prediction and uncertainty prediction performances

440 Table 3 shows the prediction and the uncertainty prediction performances of the two 441 considered DSM models in predicting the SAWCs at four different depths. Only the extreme 442 data scenario, i.e., no auger hole vs. the whole set of auger holes, is shown.

First, better performances of SAWC predictions were generally obtained by adding the auger hole observations, with the noticeable exceptions of the predictions of SAWC60, SAWC100 and SAWCmax using a classical (nonspatial) QRF. When using QRF_{dist} , the performance increases by adding auger hole observations tended to decrease as the maximum considered depth increased.

448 Additionally, using QRF_{dist} that included geographical information led to better prediction 449 performances regardless of the SAWC only when the auger hole observations were added to 450 the soil profiles. Otherwise, (i.e., when only the soil profiles were used for calibrating the 451 model), using QRF yielded equal or slightly better prediction performances.

452 Concerning the ability of the models to provide unbiased estimates of prediction uncertainty, 453 as measured by the PICP, larger PICP values were obtained with QRF_{dist} than with QRF, 454 except for the PICP for SAWC100 with only soil profiles. Furthermore, the effects of 455 including auger holes in QRF calibration were different according to the selected model: the 456 PICP decreased when QRF was selected, whereas the PICP increased when the QRF_{dist} model 457 was selected. As far as the closeness to the nominal value of 90% is concerned, better results 458 were generally obtained when the auger hole observations were not used, with the noticeable 459 exception of the SAWC30 predictions using QRF. Furthermore, QRF_{dist} had more PICP values close to the 90% nominal value (< 2%) than did QRF (4 out of 8 vs. 1 out 8). 460

461

DSM models		QRF				QRF _{dist}			
SAWC	Auger holes portion (%)	SS _{MSE}	RMSE (cm)	Bias (cm)	PICP (%)	SS _{MSE}	RMSE (cm)	Bias (cm)	PICP (%)
SAWC30	0	0.04	1.66	0.17	86	-0.02	1.71	0.32	85
	100	0.38	1.34	0.49	86	0.49	1.22	0.37	90
SAWC60	0	0.33	2.74	1.08	87	0.3	2.79	0.35	89
	100	0.32	2.76	1.28	83	0.54	2.26	0.82	93
SAWC100	0	0.55	3.73	-0.47	92	0.46	3.97	0.22	90
	100	0.43	4.06	1.82	85	0.63	3.27	1.09	95
SAWCmax	0	0.61	4.01	-0.68	90	0.53	4.41	-0.56	91
	100	0.54	4.37	1.88	85	0.7	3.54	0.18	96

Table 3. Prediction and uncertainty prediction performances of SAWC using multiple DSM models

464

As expected, the averaged RMSE tended to increase with the widths of the confidence intervals predicted by QRF_{dist} (Table 4), which demonstrated the overall validity of the uncertainty predictions. However, non-monotonous increases were observed for the SAWC predictions at small depths that also exhibited the weakest performances (Table 3). This nonmonotonousness was clearer when the auger hole observations were added. Similar trends were observed for the confidence interval widths predicted by QRF (results not shown).

471

Rooting depth (cm)	Uncertainty	RMSE (cm)		
		Soil profiles	Soil profiles and auger holes	
30	Low	1.09	1.31	
	Fairly low	1.25	0.79	
	Fairly high	2.75	1.10	
	High	1.9	1.59	
60	Low	2.31	1.25	
	Fairly low	2.24	2.02	
	Fairly high	2.81	3.25	
	High	3.46	2.08	
100	Low	2.81	1.52	
	Fairly low	2.82	2.81	
	Fairly high	3.49	3.69	
	High	5.71	4.32	
Maximum observation depth	Low	3.07	2.24	
	Fairly low	2.88	2.82	
	Fairly high	4.55	4.20	
	High	6.09	4.37	

474 Table 4. Error-predicted uncertainty results of QRF_{dist} using only soil profiles and using soil profiles and auger hole observations for predicting SAWC at multiple depths

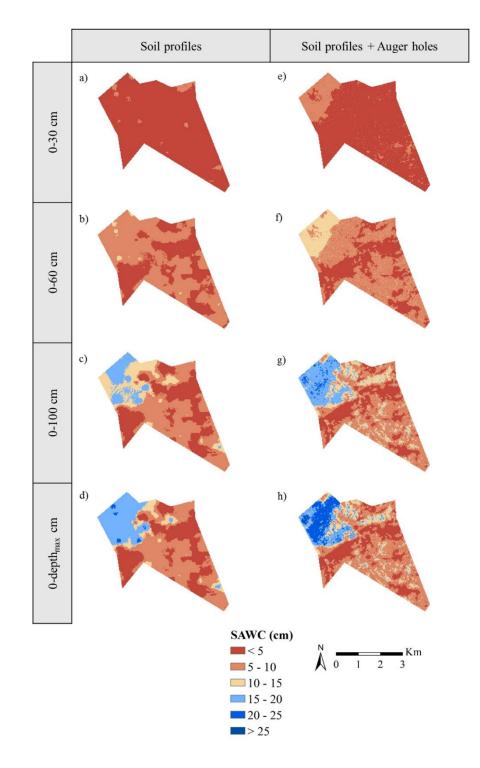
476

4.3. Spatial distribution of the SAWC and its associated uncertainty

477 All the predicted maps of SAWC (Figure 7) exhibited spatial patterns of variation that were 478 globally in accordance with the lithological variations shown in Figure 1. The highest values 479 of SAWC were predicted in the northeastern section of the study area with fluvisols 480 developed on loess. The smallest values corresponded to chromic luvisols developed on the 481 old stony alluvial deposits.

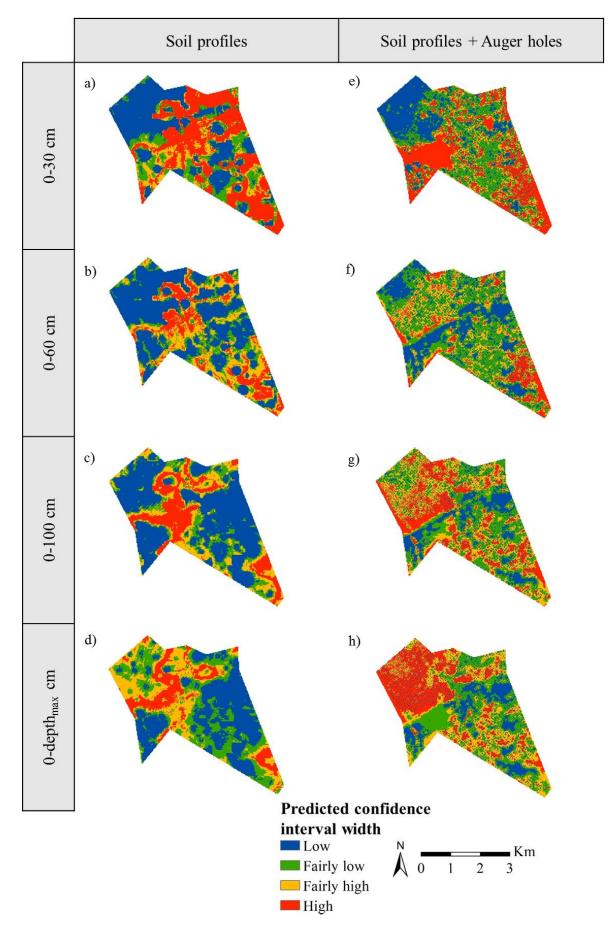
The spatial pattern became increasingly clear and contrasted as the considered soil depth forcalculating the SAWC increased (from the top to the bottom of Figure 7). The incorporation

- 484 of auger holes (from the left to the right column in Figure 7) led to i) an increase in the
- 485 predicted variabilities of the SAWC, leading to more contrasted patterns regardless of the
- 486 predicted SAWC; ii) an increase in the spatial resolution of the SAWC pattern delineations,
- 487 showing very fine details of variation; iii) the removal of some obvious artifacts of the map of
- 488 SAWC100 obtained from the soil profiles (Figure 7c); and iv) the addition of some artifacts
- 489 (isolated pixels) in the SAWC30 and SAWC60 maps (Figure 7e and 7f).



492 Figure 7. Predicted maps of SAWC over Bouillargues using QRF_{dist} with soil profiles for predicting a) SAWC30, b) SAWC60,
 493 c) SAWC100, and d) SAWCmax and using QRF_{dist} with soil profiles and auger hole observations for predicting e) SAWC30,
 494 f) SAWC 60, g) SAWC100, and f) SAWCmax

The uncertainty maps of SAWC predictions (Figure 8) obtained from the QRF_{dist} model exhibited spatial patterns that were both complex and very contrasted across the predicted SAWCs and soil inputs. When examining the variations between quartiles of predicted uncertainty that looked significant according to the error-predicted uncertainty results (Table 499 4), some of the maps revealed strong spatial pattern similarities with those of some 500 uncertainty drivers, i.e., the SAWC30 uncertainty map using soil profiles (Figure 8a) with the 501 lithology map (Figure 1), SAWC100 map using soil profiles (Figure 8c) with the spatial 502 density of soil profiles that is observable on the map of soil profiles (Figure 2a), SAWC30 503 uncertainty map using auger hole observations (Figure 8e) with the spatial density of auger 504 hole observations that is observable on the map of auger hole observations (Figure 2b), 505 SAWCmax uncertainty map using auger hole observations (Figure 8h) with the predicted map 506 of SAWCmax. The other uncertainty maps (Figure 8b, 8d, 8f) showed less interpretable 507 patterns, with probably mixed impacts of the above evoked drivers.



510Figure 8. Predicted uncertainty maps of SAWC prediction over Bouillargues presented by the classes estimated from the
quartiles of the validation distribution using QRF_{dist} with soil profiles for predicting SAWC at a) 30 cm, b) 60 cm and c) 100
cm; QRF_{dist} with soil profiles and the whole set of auger hole observations in covariates set for predicting SAWC d) 30 cm, e)
60 cm and f) 100 cm

514 4.4. Comparing the spatial densities of auger hole observations

In Figure 9, we present the evolution of the SS_{MSE} with the increasing number of auger hole observations in the calibration process. The density in the number of observations/km² is also expressed as the average spacing between observation sites, which means that the density increases as the average spacing decreases. The average spacing between observation sites was estimated as follows:

Average spacing =
$$\sqrt{\frac{\text{total area}}{\text{size}}}$$
 (11)

As already observed from Table 3, the general trend was an increase in performance as the number of auger hole observations increased regardless of the maximal depth at which the SAWCs were calculated. However, some local decreases in performance were observed, e.g., on SAWC60 and 100 predictions when adding 10% auger holes or on SAWC100 and SAWCmax predictions when passing from 20 to 30% auger holes. Conversely, the addition of 10% to 20% auger holes and 60% to 70% auger holes seemed beneficial for all predictions of the SAWC.

527

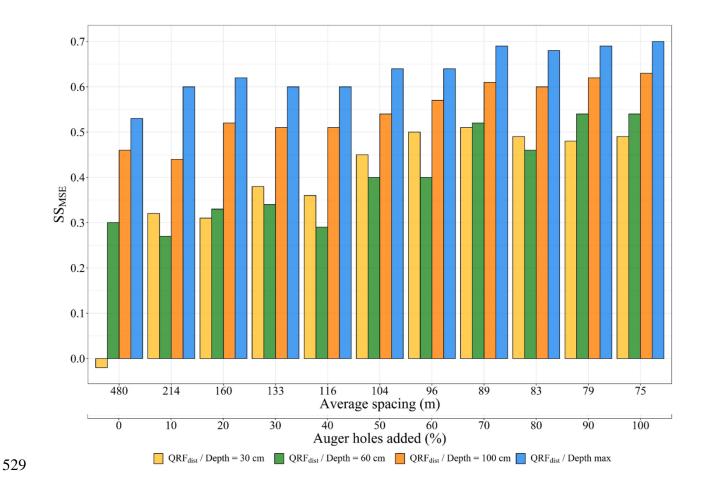
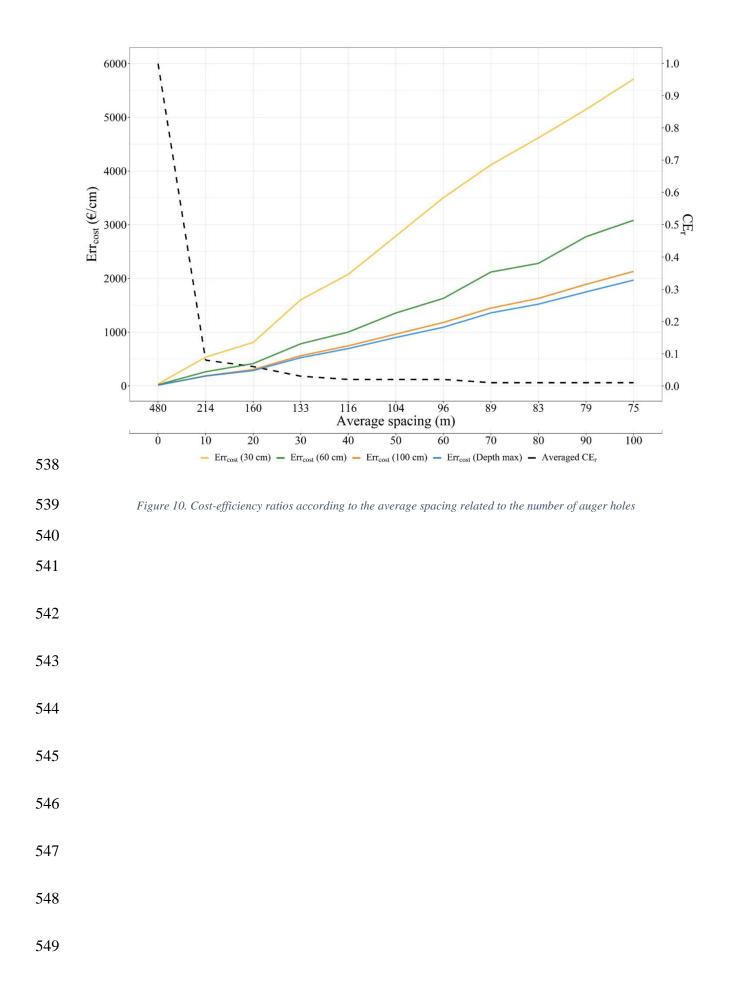


Figure 9. Evolutionary SS_{MSE} according to the number of auger hole observations added to the inputs for the four SAWCs
531

When considering the costs of adding new auger hole observations according to the two costefficiency indicators described in section 3.5., it appeared that the cost of gaining one unit of RMSE (the error cost, Err_{cost}) was important until the first addition of the auger hole and further linearly increased as new auger holes were added (Figure 10). This is translated by the relative cost-efficiency ratio (CE_r) by a dramatic decrease under the 1:1 ratio when adding the first auger hole observations and then a slow decrease for further additions.



550 5. Discussion

551

5.1. Soil Available Water Capacity

552 The selected case study considered the soil available water capacity, which is among the most 553 highly demanded properties of end users, as the targeted soil property (Richer de Forges et al, 554 2019). This paper completes the small set of papers that were devoted to the digital mapping of SAWC (Hong et al., 2013; Malone et al., 2009; Padarian et al., 2014; Poggio et al., 2010; 555 556 Román Dobarco et al., 2019; Ugbaje and Reuter, 2013, Amirian-Chackan et al., 2019) and the 557 even smaller set of papers that addressed all the SAWC components as defined by the original 558 definition reported by Cousin et al. (2003) (Eq. 1) (Leenaars, 2018; Romàn Dobarco et al., 559 2019; Styc and Lagacherie, 2019, submitted).

560 However, as in many DSM applications, the SAWC was determined at local sites without the 561 full measurements of its components. Visual estimations of the coarse fragment content and 562 of the soil depth generated observational uncertainties and, for the latter, right-censored 563 estimations due to the limitation in observation depths. Furthermore, the water retention 564 capacity of each horizon was not fully measured, although it is worth noting that some 565 components of this retention that are usually not measured (bulk density, field capacity) were 566 measured here on the soil profiles. To overcome the measurement limitations, pedotransfer 567 functions were used (see section 2.2.3). It is worth noting that these pedotransfer functions 568 were highly case specific both regarding their input (textural classes + field capacity 569 measurements) and their target (the b coefficient). The addition of all these peculiar 570 uncertainties should result in a significant overall uncertainty of the soil inputs that is well 571 reported by the nuggets of the variograms of the densest datasets (Figure 6, right panel). This 572 uncertainty may greatly explain the limitation of performances that was observed, even for the 573 densest datasets.

574

5.2. The interest of "spatial RFs"

575 Our results showed that the SAWC prediction performances were nearly systematically 576 increased by adding some geographical information, i.e., the n of "scorpan" in McBratney et 577 al.'s (2003) formula, to the set of candidate covariates used in a random forest. This 578 confirmed the results obtained by Hengl et al. (2018) from various case studies. This, 579 however, enriched these results by showing that the gains in performances provided by the 580 addition of geographical covariates depend on the density of the sampling. Indeed, these gains 581 were only effective when the dense sampling of auger hole observations was used (76 m 582 spacing), whereas the low density of soil profiles did not provide clear improvements (Table 583 3). At high density levels, the classical landscape covariates were not sufficient to account for 584 the variability shown in the dataset of soil inputs as represented by the variograms of Figure 6 585 (right panel), whereas the proximity effects brought by the geographical covariates allowed us 586 to overcome this limitation.

587 In digital soil mapping, proximity effects have been traditionally addressed by using 588 regression kriging (Hengl et al., 2004; Malone et al., 2009; Vaysse and Lagacherie, 2015). 589 However, spatial QRF was demonstrated to have similar performances (Hengl et al, 2018) 590 while having some decisive advantages in the context of our case study. Spatial QRF does not 591 require any rigid statistical assumptions about the distribution and the stationarity of the target 592 variable, which allows us to handle the bimodal distributions of SAWCs (Figure 5). It also 593 does not require any geostatistical expertise for the manual fitting of variograms, which opens 594 the possibility to fully automate the procedure so that non pedometrician, such as BRL staff, 595 could use it for the other communes of the irrigation perimeter.

596

5.3. The interest of adding auger hole observations

597 The addition of dense spatial sets of auger hole observations in the modeling process 598 significantly increased the level of performance when considering the best model (QRF_{dist}), 599 which is in accordance with several previous experiments studying the impact of soil sampling densities (Somarathna et al. 2017, Wadoux et al. 2019 and Lagacherie et al., 2020).
The performances observed in this case study were better than those in most of the published
DSM applications dealing with SAWC (Ugbaje and Reunter, 2013; Styc and Lagacherie,
2019, submitted), which was the result of a much greater spatial density of the soil inputs
(from 6/km² to 26/km²) than in these previous applications (from 0.01/km² to 0.05/km²)).

605 However, strong limitations in the SAWC prediction performances were still observed, even 606 when using the most dense set of auger hole observations. These limitations increased as the 607 maximum depth at which the SAWC was calculated decreased (Table 3). This means that 608 significant proportions of the SAWC variabilities were not mapped despite the large densities 609 of the auger hole observations used as input. To explain this fact, it is first interesting to note 610 that for both the soil profiles and the soil profiles plus auger hole inputs, the performances and 611 the spatially structured variance ratios of the input soil datasets were ranked similarly across 612 SAWCs and spatial densities (Figure 6), which was already observed in the same region for 613 different soil properties and study extents by Vaysse and Lagacherie (2015). Concerning the 614 results using solely the profiles, this revealed that a part of the short-range variability shown 615 by the variograms built from auger holes (Figure 6, left panel) was not captured by the soil 616 dataset because of a limitation in spacing. However, this limitation decreased as the 617 considered depth of the SAWC calculation increased, which explained the observed increase 618 in performance from SAWC30 to SAWCmax. Concerning the results using the auger hole 619 observations, a similar trend was observed since the local uncertainty as revealed by the 620 variogram nuggets (Figure 6, right panel) remained important due to observational uncertainty 621 (see section 5.1.), which may induce noise that may perturb the calibration of the QRF model.

Finally, it should be recalled that these performances were calculated for predictions of theSAWC at precise locations, whereas SAWC is required for field or in-field management

cones for most of the decision making. It could be expected that these performances wouldincrease when the SAWC prediction will be spatially aggregated (Vaysse et al, 2017).

626 5.4. Uncertainty predictions

627 Since SAWC is a soil functional property composed of several primary soil properties, 628 uncertainty predictions were provided by a specific error model previously proposed by 629 (Román Dobarco et al., 2019) and further refined by Styc and Lagacherie (submitted). The 630 uncertainty predictions were classically evaluated with regard to their unbiasness (PICP, 631 Table 3). They were also evaluated for their ability to identify contrasted uncertainty areas 632 (comparisons between residuals and predicted uncertainty, Table 4), which, to our knowledge, 633 has never been done in the DSM literature before Styc and Lagacherie (submitted). The 634 results were highly variable across models and spatial densities. However, the more accurate 635 models tended to also provide the best pictures of the uncertainty patterns (Figure 6) with an 636 overestimation of uncertainty (QRF_{dist} on Table 3). This overestimation was already observed 637 by Lagacherie et al. (2020) and was assumed to be due to the inclusion of outliers as the 638 average spacing decreased, which probably disturbs the limit estimations of the confidence 639 interval. On the other hand, a part of the inaccuracy of uncertainty predictions may come from 640 the differences (Figure 5) between the distributions of SAWC values calculated from auger 641 holes (used as calibration data only) and from soil profiles (used as evaluation data). More 642 attention must be paid in the future to uncertainty predictions in view of identifying the 643 possible causes of these uncertainty mispredictions.

It is interesting to note that some of the produced uncertainty maps showed strong similarities with possible drivers (see comments of Figure 8), which can be interpreted from our common sense pedological knowledge. The largest uncertainties were estimated i) in chromic Luvisols (Figure 6a) because of the large rates of coarse fragment content that are known to be difficult to quantify in the field, ii) in areas of lower densities of soil observations (Figure 6c and 6e) 649 because of difficulties of model calibration at these locations and iii) for the largest predicted 650 values of SAWCs with the best models (Figure 6h) because the estimates of relative 651 uncertainty reached an unsurmountable floor that is likely related to the observational 652 uncertainty. All these observations reinforce the credibility of the presented uncertainty maps.

653

654

5.5. The level of performance obtained and cost.

655 The use of auger hole observations as complementary soil input to soil profiles led to a 656 substantial increase in performance, but the harvesting process was very time consuming, 657 which resulted in high costs (see section 4.5). Figure 9 curves show that the performance 658 gains were obtained by increasing costs as the density of the auger holes increased. A 659 compromise should then be found, which can be formulated as "the number of auger hole 660 observations that reach an acceptable level of performance while keeping an acceptable cost 661 level". The cost indicator curves of Figure 10 did not reveal a clear compromise. However, 662 such curves could be used with a prior definition of what performance and costs are 663 acceptable. Furthermore, such cost curves could be improved if either more sophisticated 664 sampling is used (e.g., van Groningen et al, 1998) or if the harvesting costs could be reduced by a partial automation of digitizing procedures (Yang and Yang, 2017). 665

Finally, it should be stressed that the quantitative evaluation of prediction performance that served as a basis for building the curve costs should be completed by a qualitative examination of the maps. As revealed by the spatial patterns of the predicted SAWC maps, considerable gains in spatial resolution were obtained by adding auger holes, which may enable field-level decision making. This may constitute a more decisive added value than the moderate gain in precision quantitatively evaluated by the cost indicators.

672 6. Conclusion

673 In this study, the main lessons were as follows:

- A QRF approach using euclidian buffer distances outperformed a classical QRF approach in predicting SAWC with a dense set of profiles and auger holes
- The addition of a dense spatial sampling of auger hole observations dramatically
 increased the performance in predicting SAWCs and increased the spatial resolutions
 of the SAWC pattern delineations, but there were limitations due to the uncertainty of
 the auger hole observations.
- The performances in predicting SAWC values varied following some drivers that were
 expected average spacing of sites, and type of observations (profiles vs. auger holes)
 and following other drivers that were revealed by the uncertainty maps –
 pedological context, local density of sites, SAWC predicted values (see section
 5.4.).
- The cost-efficiency analysis did not reveal a clear compromise in terms of limiting the costly harvesting of auger hole data. Rather, the compromise should be user specific and should be updated as soon as partial automation is possible (see section 5.5)

688

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