

Dysbiosis, malnutrition and enhanced gut-lung axis contribute to age-related respiratory diseases

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 Vinciane Saint-Criq: vinciane.saint-criq@inrae.fr Geanncarlo Lugo: lugo@ipbs.fr Muriel Thomas: muriel.thomas@inrae.fr Corresponding Author: Vinciane Saint-Criq, INRAE, UMR1319 MICALIS - Equipe Probihôte, Centre de recherche lle-de-France-Jouy-en-Josas, 78352 Jouy-en-Josas Cedex, FRANCE, vinciane.saint-criq@inrae.fr HIGHLIGHTS Older people are often malnourished and susceptible to develop lung diseases. Ageing is associated with dysbiotic microbiota and alteration of the gut-lung axis. Nutrition is an accessible lever affecting microbiota and ageing of the lung. Gut and lung microbiota represent key players in the maintenance of healthy lungs. Gut and lung microbiota are potential targets against ageing-related lung disease. 	1	DYSBIOSIS, MALNUTRITION AND ENHANCED GUT-LUNG AXIS CONTRIBUTE TO
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31 ABSTRACT

Older people are at an increased risk of developing respiratory diseases such as chronic 32 33 obstructive pulmonary diseases, asthma, idiopathic pulmonary fibrosis or lung infections. 34 Susceptibility to these diseases is partly due to the intrinsic ageing process, characterized by genomic, cellular and metabolic hallmarks and immunosenescence, and is associated with 35 changes in the intestinal microbiota. Importantly, in the lungs, ageing is also associated with 36 a dysbiosis and loss of resilience of the resident microbiota and alterations of the gut-lung 37 38 axis. Notably, as malnutrition is often observed in the elderly, nutrition is one of the most 39 accessible modifiable factors affecting both senescence and microbiota. This article reviews the changes affecting the lung and its resident microbiota during ageing, as well as the 40 interconnections between malnutrition, senescence, microbiota, gut-lung axis and respiratory 41 health. As the communication along the gut-lung axis becomes more permissive with ageing, 42 this review also explores the evidence that the gut and lung microbiota are key players in the 43 maintenance of healthy lungs, and as such, are potential targets for nutrition-based 44 45 preventive strategies against lung disease in elderly populations.

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Keywords: Age-associated lung diseases, Microbiota, Nutrition, Gut-Lung axis, Ageing,
Respiratory infections.

49

51 **INTRODUCTION.**

Population worldwide is getting older; more than 1 billion people are over 60 and this number will continue to increase in the future, especially in developing countries. Failing to ensure healthy ageing in these elderly populations would lead to multiple negative consequences (personal, economic and societal) and it is, therefore, essential to prepare societies to meet their specific needs. This has been made a priority of the World Health Organization (WHO), which has elaborated the plan for a Decade of Healthy Ageing 2020–2030.

58 Ageing reflects all the changes taking place over the course of life. Its process has a high inter-individual variability with respect to its rate and affected organs, and is characterized by 59 a decrease in physical capacity, an increased vulnerability to environmental challenges, and 60 a growing risk of disease and death. Physiologically, loss in muscle mass, decline in strength 61 62 (Leong et al., 2015; Rantanen et al., 2003), and increased risk of fracture, are commonly associated with ageing. Impairments in sensory functions, increased frequency of infections, 63 falls, pressure ulcers and deterioration in cognitive functions (Fernández-Garrido et al., 2014; 64 Fried et al., 1991; Inouye et al., 2007), are negatively correlated with survival (Kane et al., 65 2012; Lordos et al., 2008). Particularly, the elderly population is at risk of developing lung 66 infections. The prevalence of other pulmonary diseases, (e.g. tuberculosis, chronic 67 obstructive pulmonary diseases, asthma), increases with age and contributes to morbidity 68 and mortality in older individuals. At a cellular level, ageing is associated with 69 70 immunosenescence (Lang et al., 2012) and with chronic low-level inflammation, known as inflamm-ageing (Ferrucci and Fabbri, 2018). 71

Malnutrition is defined by the European Society for Clinical Nutrition and Metabolism as "a state resulting from lack of intake or uptake of nutrition that leads to altered body composition (decreased fat free mass) and body cell mass leading to diminished physical and mental function and impaired clinical outcome from disease" (Cederholm et al., 2017). Importantly, it is also one of the first signs of a degrading health condition in the elderly population. Undernourished old people are at increased risk of falls, long hospital stays, infections,

impaired respiratory function and death (Rasheed and Woods, 2013; Tappenden et al.,
2013). Interestingly, an early study by Heymsfield *et al.* showed an association between
malnutrition and the apparition of further pathologies, including bronchopneumonia
(Heymsfield et al., 1979). Thus, because nutrition is one of the most accessible modifiable
factors, it can be considered as a lever of action to promote healthy ageing in older people
(Rodgers and Collins, 2020).

Many clinical issues observed in ageing individuals are associated with changes in the 84 85 microbiota composition and functionality in the gut and other organs (Claesson et al., 2012, 2011; Jackson et al., 2016; Jeffery et al., 2016; O'Sullivan et al., 2011). Although there is a 86 very high inter-individual variability, multiple studies have shown that the diversity of gut 87 microbiota and genera such as Bifidobacteria and Lactobacilli are reduced in older 88 89 individuals, whereas certain opportunists (e.g. Clostridioides difficile) are increased (Claesson et al., 2011; Milani et al., 2016; Rea et al., 2012). Moreover, specific microbial 90 profiles have been identified as longevity signatures in centenarians (Biagi et al., 2017; Cătoi 91 et al., 2020; Kong et al., 2016; Naito et al., 2019; Rampelli et al., 2020; Santoro et al., 2018; 92 N. Wang et al., 2019; Wu et al., 2020). In the lungs, due to the invasiveness of the 93 procedure, no study has been performed on lower respiratory tract microbiota and only a few 94 studies have described the composition of the upper respiratory tract (URT) microbiota in the 95 elderly (de Steenhuijsen Piters et al., 2016; J.-J. Lee et al., 2019; Stearns et al., 2015; 96 97 Whelan et al., 2014). It is not fully understood how the microbiota impacts ageing processes, but it is likely that dysbiosis plays a central role in the progression of ageing-related disorders 98 99 and that restoration of eubiosis would promote healthy ageing (Figure 1).

Here, we review the hallmarks of the ageing lung and interconnections between malnutrition, ageing, specifically cellular senescence, microbiota and lung health. The evidence of the gutlung axis and its specificities in the elderly population prompt us to propose that both gut and lung microbiota are main players in the maintenance of healthy lungs and, therefore, are central targets for nutrition based preventive strategies in elderly populations.

105 1. AGEING IN THE LUNGS

106 1.1. The ageing lung and the most prevalent age-related pulmonary diseases

107 According to the European Respiratory Society (ERS), the status of lung function is considered a predictor of healthy ageing (Meiners et al., 2015). For instance, elder 108 109 individuals with poor lung function are prone to develop cardiovascular disease, type 2 diabetes (T2D) and cognitive decline, when compared to those individuals with robust lung 110 function (Bowdish, 2019; Hole et al., 1996; Lange et al., 1990; Weiss et al., 1995). 111 112 Conversely, in older adults, a robust lung function correlates with a higher metabolic rate, which is a well-known parameter for fitness, activity and physical performance in the elderly 113 (Bowdish, 2019; Choi and Pai, 2004; Simpson et al., 2005). 114

115 Although the ageing of the lung is inevitable, and leads to a natural decline in its function, there are intrinsic (e.g., genetic components) and extrinsic (e.g., environment) factors that 116 117 may accelerate or slow down this process. There are ten hallmarks thought to contribute to physiological changes associated with ageing and particularly with the ageing lung (Figure 2) 118 (López-Otín et al., 2013; Meiners et al., 2015). These include 1) genomic instability, 2) 119 telomere attrition, 3) epigenetic alterations, 4) loss of proteotasis, 5) deregulated nutrient 120 sensing, 6) mitochondrial dysfunction, 7) cellular senescence, 8) stem cell exhaustion, 9) 121 altered intercellular communication and 10) dysregulation of extracellular matrix (ECM). These 122 alterations can occur in conducting airway epithelial cells, alveolar epithelial cells as well as 123 124 airway smooth muscle cells and resident immune cells, and result in both structural and physiological changes. For instance, the increased apoptosis of epithelial cells, together with 125 abnormalities of the microtubular structure of the cilia of multiciliated cells, the altered mucins 126 127 and antioxidants content of the epithelium lining fluid and the decreased respiratory muscle 128 and diaphragm strength, lead to defects in the mucociliary clearance machinery and a decreased ability of the lungs to clear mucus and inhaled particles and pathogens (Cho and 129 130 Stout-Delgado, 2020; Ho et al., 2001; Wansleeben et al., 2014). The size of alveoli is also 131 increased in the aged lungs, due to changes in the ECM and this leads to decreased elastic

recoil and increased end expiratory lung volume (Cho and Stout-Delgado, 2020; Janssens et
al., 1999). These physiological changes that occur in the ageing lung collectively lead to poor
lung functional capacity and a decreased ability to maintain homeostasis.

135 As a consequence of the natural accumulation of age-related physiological changes in addition to exposure to toxic environmental factors, this organ becomes susceptible to 136 chronic disorders such as Chronic Obstructive Pulmonary Disease (COPD), Idiopathic 137 Pulmonary Fibrosis (IPF), Lung cancer and asthma, and infectious diseases like Community 138 139 Acquired Pneumonia (CAP). In particular, the age-associated alterations of the immune system, called immunosenescence and discussed in the next section, are thought to be a 140 key driver of asthma exacerbation in the elderly (Cho and Stout-Delgado, 2020; Z. Li et al., 141 2017; Metcalf et al., 2015; Shaw et al., 2011). Similarly, immunosenescence is thought to be 142 143 the main culprit for predisposing the ageing lung to immunopathology in response to infectious stimuli (Chen et al., 2020; Cho and Stout-Delgado, 2020; Goldstein, 2012) and 144 consequently, the ageing lung is more predisposed to secondary bacterial infections 145 consecutive to viral pneumonia (Akgün et al., 2012; Castle et al., 2007; Chen et al., 2020; 146 Cho and Stout-Delgado, 2020; Goldstein, 2012). According to the WHO-Europe, older adults 147 are at a significant increased risk of severe disease from SARS-CoV-2 infection; over 95% of 148 the reported fatalities occurred in those individuals over 60 years old and with comorbidities. 149 Recent data indicate clear effects on the immune system, which may be worsen in older 150 individuals (F. Wang et al., 2020). 151

152 1.2. Immunosenescence and its impact on age-related pulmonary diseases

Immunosenescence is defined as the age-associated decline in the immune system capacity to respond to infections and generate long-term immune memory (Cho and Stout-Delgado, 2020). As a consequence, older individuals are prone to infection, cancer, autoimmunity and chronic inflammation, and exhibit a poor vaccine response (Al-Alawi et al., 2014; Fulop et al., 2014; Murray and Chotirmall, 2015). Globally, immunosenescence has the following traits: poor capacity to respond to new antigens, accumulation of memory T cells in detriment of a diminished naïve T cell repertoire, and chronic low-grade inflammation state known as
inflamm-ageing (Aiello et al., 2019). Both innate and adaptive immunity are affected by
immunosenescence.

162 Immunosenescence of *alveolar macrophages* causes a decrease in their total numbers and capacity to perform phagocytosis and clearance of foreign particles, provoking susceptibility 163 to bacterial and viral infections causing CAP (Chen et al., 2020; Volkova et al., 2012; Wong 164 et al., 2017). It also diminishes the capacity of these cells to produce pro-resolution 165 mediators, such as resolvins (Arnardottir et al., 2014), antioxidant/detoxification factors in 166 response to cigarette smoke in COPD disease (Suzuki et al., 2008), or to clear away 167 apoptotic airway epithelial cells generated during COPD (Chen et al., 2020; Hodge et al., 168 2003). Immunosenescence of airway mucosal Dendritic Cells (DCs) is reflected by lower 169 expression and function of Toll-like receptors (TLRs), decreased phagocytosis capacity, and 170 diminished/delayed migration potential towards draining lymph nodes upon activation 171 (Agrawal et al., 2007; Agrawal and Gupta, 2011; Volkova et al., 2012). In the context of viral 172 173 pneumonia, the capacity of DCs to prime naïve T cells against influenza is deficient due to a 174 delayed infiltration kinetics of DCs into the aged lungs, and a defect of DCs migration from 175 the lung into the draining lymph nodes (Valkenburg et al., 2012; Zhao et al., 2011). DCs also 176 display age-associated defects in their ability to prime CD8⁺ T cell responses through MHC-I-177 dependent antigen presentation (Zacca et al., 2015), and inflammasome activation and production of IL-1 β (Chen et al., 2020; Stout-Delgado et al., 2012). Immunosenescence of 178 179 neutrophils, the most abundant innate immune leukocyte, provokes an unregulated 180 production of superoxide, deficient phagocytosis (Chen et al., 2014; Cho and Stout-Delgado, 2020; Corberand et al., 1981) and deficient formation of neutrophil extracellular traps (NETs) 181 182 that correlates with defective bacterial clearance (Brinkmann and Zychlinsky, 2007). Immunosenescence also impacts neutrophil migration to the lung in response to stimuli but 183 these cells tend to accumulate and prolong their stay in this organ (Kahlich et al., 1975). 184 Together, these age-related alterations in neutrophil functions can have devastating 185 consequences, as best exemplified in the current SARS-CoV-2 pneumonia epidemic (Barnes 186

et al., 2020; Chen et al., 2020). Indeed, not only is the neutrophil accumulation welldocumented across mouse and large animals during influenza infection, but COVID-19 patients who succumb, display a two-fold increase in neutrophilia, contributing to the ageenhanced mortality to SARS-CoV-2 infection (Barnes et al., 2020; Liu et al., 2020; D. Wang et al., 2020).

192 In the adaptive immune system, immunosenescence is best reflected in the T cell 193 compartment, which increases the ratio of CD4⁺ to CD8⁺ T cells in the bronchoalveolar fluid, 194 suggesting that the pool of lung resident memory CD4⁺ T cells also augments as a function of age (Haynes and Swain, 2006; Kovaiou and Grubeck-Loebenstein, 2006). The systemic 195 and local increases in memory T cells are thought to contribute to the T cell-driven asthma in 196 the elderly (Murray and Chotirmall, 2015). In viral-driven pneumonia, immunosenescence 197 causes a decrease in the diversity of the CD8⁺ T cell compartment and in the global immune 198 response to influenza infection (Zhang et al., 2002). In SARS-CoV-2 pneumonia, the total 199 number of peripheral lymphocytes is reduced, displaying a clear defect in CD8⁺ T cell 200 201 abundance, shifting the CD4⁺ to CD8⁺ T cell ratio (F. Wang et al., 2020). Beyond alteration in abundance, it appears that the function of CD8⁺ T cells in COVID-19 patients becomes 202 203 exhausted (Zhang et al., 2019; Zheng et al., 2020). Immunosenescence also impairs B cell 204 development at all stages, leading to a net reduction of the total numbers and diversity of 205 immature B cells (Holodick and Rothstein, 2015). Consequently, the antibody specificity and 206 antigen affinity are diminished, resulting in poor vaccination efficacy and susceptibility to lung infections in older people (Holodick and Rothstein, 2015). In SARS-CoV-2 pneumonia, the 207 total number of peripheral B lymphocytes is reduced, a phenomenon that is reversed upon 208 treatment (F. Wang et al., 2020). In the context of influenza infection, aged mice exhibit a 209 210 defect in the cooperation between T follicular and B cells due to low expression of CD40L (Chen et al., 2020; Lefebvre et al., 2016). This is important because B cells require activation 211 signalling through the CD40 receptor (by CD40L expressed in T cells) to undergo affinity 212 maturation, class-switching, and differentiation into plasma cells (Chen et al., 2020; Lefebvre 213 214 et al., 2016). Immunosenescence also induces B cell-intrinsic effects, such as low expression

of the transcription factors paired box 5 (Pax 5) and B-lymphocyte-induced maturation 215 protein 1 (Blimp1), which are crucial for the development and differentiation of these B 216 217 lymphocytes (Chen et al., 2020; Frasca et al., 2016; Nipper et al., 2018). Not too surprisingly, there is a poor production of influenza-neutralizing antibodies in aged mice, non-human 218 primates and humans (Chen et al., 2020; Frasca et al., 2016; Josset et al., 2012; Toapanta 219 and Ross, 2009). Collectively, immunosenescence affects the humoral immunity in the 220 221 airways, creating an environment prone to infections and susceptible to other age-related 222 pulmonary diseases.

223 1.3. Microbiota in the ageing lung

The microorganisms populating this tissue have a low density, which appears to be critical 224 for the maintenance of healthy lungs (Mathieu et al., 2018) and these bacteria can exert 225 226 distinct effect on the pathogenesis of lung diseases such as asthma (Mathieu et al., 2018; Remot et al., 2017). Due to the difficulty to access resident lung microbiota, to date, no study 227 has been performed on lower respiratory tract microbiota in the elderly population and only 228 few studies have compared the composition of the upper respiratory tract microbial 229 ecosystem in the elderly to the one in younger adults (Table 1, (de Steenhuijsen Piters et al., 230 231 2016; J.-J. Lee et al., 2019; Stearns et al., 2015; Whelan et al., 2014)). Advanced age decreased the relative abundance of Corynebacterium and Propionibacterium in the nose 232 and of *Prevotella* in the oropharynx. Importantly, Whelan et al. demonstrated that, although 233 234 the microbiota from the anterior nares is distinct from that of the oropharynx in mid-aged adults, this distinction is abolished in elderly subjects (Stearns et al., 2015), suggesting that 235 236 ageing in the upper airways is accompanied by a loss in the geodistribution of the microbial ecosystem. Another study by the same group, comparing URT microbiota from children to 237 238 that of adults, reported similar high inter-individual variability, as was shown in elderly individuals. Therefore, it is possible that the absence of a more stable URT microbiota in 239 these aged groups is related to a higher susceptibility to respiratory infections and other lung 240 diseases, such as asthma as seen in children for whom the prevalence of these diseases is 241

also increased compared to adults. This is supported by mouse-based studies in which the age altered the recovery from pulmonary infection with *Streptococcus pneumoniae*. Indeed, older mice were less efficient to clear the pathogen and showed an increase in the abundance of OTUs from the *Firmicutes* phylum compared to the younger counterparts. The composition of URT microbiota from older mice also failed to return to their original composition, up to 4 weeks after the infection (Krone et al., 2014; Thevaranjan et al., 2016), suggesting a loss of resilience of their microbiota.

249Table 1: Summary of the results of publications comparing respiratory tract microbiome from elderly to
younger adults. Arrows indicate an increase (π) or decrease (Σ) in relative abundance of the microbiome

Study [ref]	N	Population	Age	Sample origin	Results		
Whelan (2014) (Whelan et al., 2014)	18	Elderly	68–96	Anterior nares and oropharynx	Elderly vs. mid- aged	mid- oropharynx) in elderly	
	242	Publicly available data (NIH's Human Microbiome Project)	18–40	Anterior nares and throats		in anterior nares: ↘ Corynebacterium, Propionibacterium, Staphylococcus ↗ Prevotella, Veillonella in oropharynx: ↘ Prevotella, Lachnospiraceae	
de Steenhuijsen (2016) (de Steenhuijsen Piters et al.,	100	Elderly pneumonia (EP) patients	75.7	Oropharynx	HE vs. YH	 ↗ Rothia and Lactobacillus ↘ Prevotella, Veillonella, Leptotrichia, 	
2016)	91	Healthy elderly (HE)	75-3		EP vs. HE & YP vs. YH	 verall bacterial density species richness (not in young cohorts) Shannon diversity indices (not in young cohorts) Streptococcus (pseudo)pneumoniae, several Streptococcus OTUs, Rothia 	
	27	Young adult pneumonia (YP) patients	46.4			Gemellales, Prevotella melaninogenica, Veillonella dispar, Parascardovia and Leptotrichia	
	187	Young healthy adults (YH)	34.4				
Lee (2019) (JJ. Lee et al., 2019)	10	Healthy elderly (HE)	67.3 ± 3.5	Nasopharynx	HE vs. HA	No change in diversity indices カ Proteobacteria ン Propionibacterium, Corynebacteriales	
	30	Asthmatic elderly (AE)	72.5 ± 5.4		AE vs. AA	צ Staphylococcus, Propionibacterium, Moraxella	
	10	Healthy adults (HA)	25.4 ± 6.2		AA vs. HA	↗ Proteobacteria	

	30	Asthmatic adults (AA)	34.1 ± 7.0		AE vs. HE	No significant relative abundances of phyla
Lee (2019) (S. Y. Lee et al., 2019)	24	Elderly	63	Sputum	Elderly vs.	↗ Firmicutes
	24	Young	29		young	→ Proteobacteria Fusobacteria and Leptotrichia associated with arterial stiffness in elderly subjects

251

252 2. IMPACT OF NUTRITION ON AGEING/SENESCENCE AND ROLE OF THE 253 MICROBIOTA

Lifestyle and more particularly certain diets/dietary patterns have been associated with changes in Years of Life Lost (YLL) and Years Lost due to Disability (YLD), thus modulating Disability-Adjusted Life Years (DALYs), which represents years of healthy life (GBD 2017 Diet Collaborators, 2019; May et al., 2015; Struijk et al., 2014).

258 2.1. Nutrition and cellular senescence

As described above, at the cellular level, the ageing process, called senescence is 259 characterized, among others, by shortening of telomeres and the SASP (Senescence-260 Associated Secretory Phenotype). One current area of interest is whether diet can influence 261 senescence process. This has now been studied by many groups and reviewed recently 262 263 (Balan et al., 2018), although no consensus has emerged. Some studies have reported a positive association between a longer telomere length, measured in leukocytes, and certain 264 types of food, including nuts, seaweed, fruits or coffee, whereas consumption of alcohol, and 265 red or processed meat was associated with a shorter leukocyte telomere length (Balan et al., 266 267 2018; Lee et al., 2015; Leung et al., 2014; Liu et al., 2016; Pavanello et al., 2011; Tucker, 2018). Consumption of certain diets, foods and nutrients have also been positively or 268 negatively associated SASP in different tissues (Sone and Kagawa, 2005; S.-Y. Wang et al., 269 2019; Yang et al., 2020). On the other hand, a meta-analysis from 2017 (Pérez et al., 2017), 270 271 which included 533 participants under 9 different diets in 5 randomized controlled trials, 272 showed that there was no effect of diet on telomere length. However, there was a high heterogeneity in the types, length of studies and in the dietary interventions, which might 273

have affected the conclusion of this meta-analysis. One of the hypotheses that could explain 274 how diet impacts senescence process is through the regulation of ROS production and 275 276 oxidative stress coming from endogenous and exogenous sources. Indeed, it is known, for example, that unhealthy diets, such as high fat diets, can induce an increase in ROS 277 production in certain tissues (Matsuzawa-Nagata et al., 2008; Vial et al., 2011). Of interest, a 278 healthy diet with reduced calorie intake (calorie restriction diet - CR), reduced mitochondrial 279 280 activity and ROS production, and this has shown some promising results in certain studies. 281 In animals, CR diet delayed the onset on some ageing-associated diseases such as diabetes 282 (Cheng et al., 2017; Colman et al., 2009), cardiovascular diseases (Colman et al., 2009) or cancer (Weindruch et al., 1986), and it even prolonged life in non-human primates (Colman 283 et al., 2014, 2009), although it did not appear to affect telomere length (Smith et al., 2011). In 284 humans, while such studies are difficult to implement, similar health benefits have been 285 reported in certain population, such as the Okinawan adult population. This population eats 286 an average of 17% less calorie-intake compared to that of the rest of Japan, and has a 287 288 higher rate of centenarian people and a lower mortality due to cardiovascular diseases, cancer and other diseases around the globe (Kagawa, 1978; Suzuki et al., 2001). The 289 290 CALERIE 1 & 2 studies have also demonstrated the beneficial effect of a CR diet on general 291 health and the prevention of ageing associated diseases (Most et al., 2018; Redman et al., 292 2018; Weiss et al., 2006). Interestingly, intermittent fasting has also been shown to reduce 293 insulin resistance and cholesterol levels in humans, suggesting that this type of diet could 294 also be beneficial against the development of ageing associated pathologies by modulating oxidative stress associated with ageing (Harvie et al., 2013, 2011; Klempel et al., 2012; 295 Stekovic et al., 2019; Varady et al., 2015). 296

297

2.2. Involvement of the microbiota in the effect of nutrition on ageing processes

It is now widely accepted that the composition and function of the gut microbiota are clearly influenced by dietary intake and can be modulated by specific diets (*e.g.*, meat, vegetarians, rich in fiber) and the type of food matrices, which includes both the composition and interactions between the constituents (Aguilera, 2019; David et al., 2014; Derrien and Veiga,
2017; Duncan et al., 2007; Ley et al., 2006; Muegge et al., 2011; Walker et al., 2011; Wu et
al., 2011). Consequently, the effect of particular diets on molecular and cellular processes
involved in ageing could be mediated by the modulation of microbiota.

305 *2.2.1. Impact of nutrition on microbiota*

Our diet feeds the microbiota by supplying substrates for microorganisms, especially non-306 307 digestible sugars, known as prebiotics (Gibson et al., 2017). Food is also a source of 308 microorganisms, seeding our ecosystems in the gut and the lung. The overall microbial biomass ingested per day has been estimated around 10¹⁰ (Derrien and van Hylckama Vlieg, 309 2015), ranging from 10⁹ to 10¹² in function of the diet considered. In addition to fermented 310 311 foods, that are especially rich in microorganisms, it is important to realize that raw food we ingest (e.g., fruits, vegetables) also contains their own microbial communities that could 312 313 impact the composition and functionality of gut microbiota. Thus, modifying the diet for elderly people also impacts the microorganisms they ingest. Since the early 2010's, it is 314 known that these food microorganisms survive through the GI tract and associate, at least 315 temporarily, with the resident gut microbiota (David et al., 2014; Walker et al., 2011), 316 although whether they "durably" colonize the digestive tract remains uncertain (McNulty et 317 al., 2011). 318

Distinct types of food, such as non-digestible starch, and particular diets like the 319 320 Mediterranean diet, affect the microbiota composition in a specific manner. It has been extensively reviewed in recent years (Barber et al., 2020; Burr et al., 2020; Dogra et al., 321 2020; Fassarella et al., 2020; Kolodziejczyk et al., 2019; Vandeputte and Joossens, 2020). 322 323 Although there exists a very high inter-individual variability, due to host and microbe own 324 characteristics, the microbiota appears as a strong malleable therapeutic target that can be reshaped using specific diets. For instance, a high-fat and low-fibre diet in mice decreased 325 microbiota composition in Bacteroidetes and increased Firmicutes and Proteobacteria 326 (Hildebrandt et al., 2009). The consumption of poly-unsaturated fatty acids increased 327

bacteria, such as Bifidobacterium, Lachnospira, Roseburia and Lactobacillus, in humans 328 (Watson et al., 2018). Of interest for the ageing population as they often show protein 329 330 deficiency, the source of this nutrient also affects the microbiota composition (Zhu et al., 331 2015). Soybean and peanut proteins induced modulation of the abundance of beneficial bacteria in the gut; a diet enriched with 20% peanut protein increased Bifidobacteria, and 332 reduced Enterobacteria and Clostridium perfringensa in rats (Peng et al., 2015). On the other 333 hand, animal derived proteins induced a *Bacteroides* enterotype (Wu et al., 2011). Gut 334 335 bacteria can convert L-carnitine and phosphatidylcholine, which are present in red meats, into trimethylamine N- oxide (TMAO), which is associated with the development of 336 cardiovascular diseases, linking, here as well, the impact of diet on microbiota and the host 337 physiology. Strikingly, a recent study showed that in older individuals, consumption of 338 Mediterranean diet was associated with specific changes in microbiota composition and 339 340 function; adherence to this diet was associated with a lower decline in microbiota diversity, an increase in taxa negatively associated with inflammation and positively associated with 341 342 SCFA production (Ghosh et al., 2020).

343 In addition to diet composition, food supplements or additives also impact gut microbiota 344 composition. In recent years, studies have investigated the effect of probiotics - ingested as food supplements - or fermented foods, which both have a high microbial load, on the 345 survival of these microorganisms their residence time in the host's digestive environment and 346 347 their direct impact on the microbiota. Although fermented foods have shown to beneficially 348 impact human health (Marco et al., 2017; Tamang et al., 2016), a detectable modification of the intestinal microbiota was not consistently observed in these studies, and it is therefore 349 350 important to dissociate the direct effects of food microorganisms and associated bioactive 351 compounds from those linked to the matrix itself. A recent study from Taylor et al. showed that, in stool samples from over 6000 individuals, there was a small significant change in 352 beta diversity as well as differential taxa between people consuming fermented foods and 353 non-consumers (Taylor et al., 2020). Finally, in one of the first reports on fermented foods 354 355 effects on microbiota, McNulty et al. demonstrated the impact of these foods on the intestinal

356 physiology of an individual without necessarily modification of the composition of their 357 microbiota (McNulty et al., 2011). Even though microbial food ecosystems might have very 358 little influence on the diversity, composition and stability of the gut microbiota, they are 359 thought to impact the host physiology.

Whether food, *via* substrates or microorganisms, is able to modify the lung microbiota is not known so far. A main trend of changes observed in gut microbiota in elderly populations is a decreasing abundance of beneficial microbes, like *Lactobacillus* and *Bifidobacterium*. Therefore, we assume that a diet enriched with these microorganisms or promoting their growth should be beneficial to counter-balance the loss. A better knowledge of the evolution of lung microbiota with age would help to define nutritional enrichment by specific microbes to preserve the lung microbiota.

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2.2.2. Impact of microbiota on cellular senescence

368 As food modulates microbiota composition and function as well as molecular ageing processes, it is believed that one of the possible mechanisms of action of diets on ageing 369 370 processes is through their action on microbiota, particularly the gut microbial ecosystem. This 371 can occur through the metabolites they secrete or their interactions with the immune system and the epithelial barriers. Indeed, it has been shown that metabolites and secreted products 372 373 from the microbiota are able to affect cellular senescence, either promoting or reducing it. In a healthy context, senescence can be detrimental, whereas in a carcinogenic context, for 374 375 example, the induction of senescence can eliminate cancerous cells. For example, colibactin, 376 a genotoxin from *E. coli*, has been shown to induce senescence, thus promoting colon tumor growth (Dalmasso et al., 2014). Other metabolites such as Cdtb (Cytolethal distending toxin 377 378 subunit B) of Helicobacter hepaticus, Trimethylamine-N-oxide or Urolithin A can also induce 379 senescence, although mechanisms of action vary from one metabolite to another (Giménez-Bastida et al., 2020; Ke et al., 2018; Péré-Védrenne et al., 2017). In the case of Urolithin A, it 380 381 is of interest to note that the induction of senescence actually served to prevent irreversible, 382 cell cycle progression of colon cancer cells. On the other hand, and of particular interest in

the search for molecules promoting healthy ageing, certain bacterial metabolites can also 383 reduce or prevent senescent process. This is the case of secreted products from 384 385 Lactobacillus fermentum, for example, which protects the 3T3-L1 preadipocytes in vitro 386 against oxidative stress-induced senescence by inhibiting the mTOR pathway (Kumar et al., 2019). Although the responsible molecules have not been identified in this case, this study 387 provided a proof-of-concept that metabolites from probiotics may possess anti-ageing 388 properties. Depending on the diet and type of food intake, gut microorganisms can also 389 390 produce a family of metabolites termed short-chain fatty acids (SCFA). These are end 391 products of bacterial fermentation that happens in the gut and play important roles at local and distant sites. In 2012, O'Callaghan et al. showed that a red meat rich diet was linked to a 392 decreased telomere length in rat colonic cells and could be prevented by adding resistant 393 starch to the diet. Interestingly, they found an association between the absolute telomere 394 length and the caecal levels of two SCFAs, acetate and propionate (O'Callaghan et al., 395 396 2012).

397 It is now well established that the gut microbiome plays an important role in the development and maturation of the immune system throughout life. In healthy individuals, commensal 398 bacteria rarely cross the physical barrier constituted by the epithelial cells and the mucus 399 400 layer. However, when this happens, bacteria are rapidly killed by macrophages. Others can 401 survive inside DCs but these cells only go as far as the mesenteric lymph nodes, restricting 402 the bacterial challenge to the mucosal immune system (Macpherson and Uhr, 2004). As the epithelium becomes leaky with age, it is inferred that more commensals or bacterial 403 components can cross that barrier and may induce aberrant immune responses (Man et al., 404 405 2014; Thevaranjan et al., 2017). The immune system may then react against native 406 microflora as well as inappropriately control invading pathogens. Additionally, the decline in 407 gut microbiota diversity, observed in older individuals, may also impact the immune system at the local and systemic levels. This could be due, at least partly, to the reduction in growth 408 control of distinct groups of potentially pathogenic bacteria. Together, this may participate in 409

the establishment of the low-grade inflammation observed in ageing individuals (Rehman,2012), as well as the onset of age-related illnesses.

Taken together, these studies show that diets modulate molecular mechanisms involved in ageing through their actions on gut microbiota. Thus, in older people, nutrition represents a mean of action to maintain a balanced gut microbiota that will positively impact senescence and ageing, at the local and systemic levels.

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417 3. THE GUT-LUNG AXIS AND AGEING LUNGS

418 In the literature, the first papers supporting the existence of an intestine-lung axis, trace back 419 to the early 1990's and referred to the occurrence of ARDS (Acute respiratory distress 420 syndrome) following septic shock and translocation of bacterial products from the lumen of 421 the intestine to the blood stream (Pugin and Chevrolet, 1991). It was actually mainly known 422 as the gut-liver-lung axis as the ARDS was caused by degranulation of neutrophils that was triggered by inflammatory factors secreted by the liver (Pugin and Chevrolet, 1991). Using a 423 424 bone marrow transplantation mouse model, Cooke et al. later proposed that controlling gut toxicity and specifically the translocation of lipopolysaccharide (LPS) across the intestinal 425 epithelium could reduce idiopathic pneumonia syndrome, demonstrating the existence of a 426 427 gut-lung axis of inflammation (Cooke et al., 2000). It is now known that certain acute and chronic lung diseases are associated with dysbiotic microbial communities in the lung and 428 429 gut, and with gut symptoms or disorders. This relationship is bi-directional and, although evidence for the lung-gut axis is not as abundant as data on the gut-lung axis, it is now well 430 established that chronic and acute lung disease induce changes in the gut microbiology and 431 432 physiology. One of the striking recent evidence supporting this is the presence of 433 gastrointestinal symptoms in 10 to 18 % of patients infected by the SARS-CoV-2, according to the most recent meta-analyses (Trottein and Sokol, 2020), for which, although all age 434 groups can contract the virus, older people (60+) are at increased risk of developing severe 435 436 illness. Here, we present the physiological similarities between the two tissues, and the

anatomical features enabling the gut-lung axis, and review the evidence of the reciprocal
regulation of the microbial ecosystems and epithelial physiology between the airways and the
gastrointestinal (GI) tract.

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3.1. The anatomical continuum between the lungs and gut is more permissive to exchanges in the elderly

In the gut and lungs, the epithelium serves a crucial role of barrier that is at the same time, 443 444 physical, chemical and physiological. Interestingly, the permeability of both the lung and gut epithelia is increased in aged individuals or ageing animal models (César Machado and da 445 Silva, 2016; Parrish, 2017; Tankersley et al., 2003), suggesting an impaired barrier function 446 of these tissues with old age. These structures are in permanent contact with exogenous 447 448 particles and microorganisms with a potential for pathogenicity and by regulating their 449 permeability through their tight junctions; they allow selective transfer of materials across this 450 physical barrier. They also take part in the innate immune response to pathogens, acting as a chemical barrier, by secreting soluble factors that will either have antimicrobial activity 451 (direct response) or signal to the immune system their presence, and thus trigger the 452 appropriate innate and adaptive immune responses. Both epithelial structures are also able 453 to tightly regulate ion and fluid transport via the expression of many ion channels and 454 transporters common to both organs, which are of particular importance in the regulation of 455 456 the composition and pH of the luminal environment in which the microbiota live. These barriers become 'leakier' with age, but up to now there is no evidence this is a cause or 457 consequence of microbiota dysbiosis. However, it is plausible that an impaired epithelial 458 barrier would allow for less stringent regulation of the trans-epithelial transport of microbes 459 460 and microbial metabolites, and therefore an enhanced and unfiltered communication along 461 the gut-lung axis that would lead to a loss in compartmentalisation of the microbiota.

462 Moreover, microbiota from the gut, the nose and throat, have recently been shown to 463 develop in a coordinated way during the first year of life (Grier et al., 2018). Both the GI and

respiratory tracts share a common entry point for microorganisms - the oral cavity. In this 464 organ, the microbiota has been shown to share significant overlaps with both the gut and 465 466 lung ecosystems (Bassis et al., 2015; Segata et al., 2012), and it is able to modulate inflammation in bronchial cells in vitro (Mathieu et al., 2020). A study from the Human 467 Microbiome Project revealed a 45 % overlap between the microbiota from the oral cavity and 468 stool samples (Segata et al., 2012). Interestingly, Bassis et al. demonstrated that, using 469 470 redundancy analysis of the microbiota from the mouth, nose and broncho-alveolar lavage 471 (BALs), the microbial community from the BALs were closer to that of the mouth instead of 472 that found in the nose, although the indices of intra-subject similarity ranged from dissimilar to highly dissimilar. When looking at bacterial Operational Taxonomic Units (OTUs), the 473 474 bacterial communities of the lung showed a significant overlap with the ones from the mouth, but they differed considerably from those found in the nose (Bassis et al., 2015). Therefore, 475 476 this establishes an anatomical continuum for microorganisms from the mouth to colonize 477 both the respiratory and GI tracts (Figure 3). Microorganisms from the mouth migrate to the 478 GI tract by swallowing, whereas they colonize the lungs through micro-aspirations and 479 inhalation of micro-aerosols. The elimination of microorganisms from the lungs occurs via the 480 mucociliary escalator, in which the coordinated movement of the cilia together with the 481 secretion of mucus, ion and water, allows to move the mucus up towards the pharynx, where 482 it will be swallowed or expectorated. By contrast, microbes present in the stomach may move 483 back to the mouth via the oesophagus in cases of gastroesophageal reflux and be inhaled in 484 the lungs. This is supported by the fact that the composition of the lung microbiome more closely resembles that of the oropharynx than the nasopharynx (Bassis et al., 2015; Dickson 485 and Huffnagle, 2015). Additionally, a study by Rosen et al. showed that the concentration of 486 487 some bacteria in the lung was correlated with full-column non-acid reflux burden in patients receiving acid-suppression therapy, supporting the fact that gastric microbiota can alter lung 488 microbiota (Duvallet et al., 2019; Rosen et al., 2014). The prevalence of gastroesophageal 489 reflux disease increases with age (Poh et al., 2010; Zhu et al., 1993), suggesting that transfer 490 491 of microbiota from the stomach to the lungs might happen more easily in older individuals.

492 providing here as well, an enhanced and unchecked communication pathway between the 493 gut and the lung. Moreover, some studies have shown that the coordinated response to 494 certain stresses or pathogens in the lungs and the gut are mediated through the modulation 495 of the immune system and the transport, in the blood, of bacterial metabolites (Figure 3).

496 *3.2. From the lungs to the gut – impact of lung infections on gut health*

Although it is difficult to establish a causal link between certain lung diseases and a dysbiotic 497 gut microbiota in humans, animal models have strongly contributed to this field in recent 498 499 years. The pioneering work from Sze et al. demonstrated that, in mice, acute lung injury induced by LPS caused an increase in bacterial load in the blood and caecum, whereas lung 500 total 16S was slightly reduced in BALs and changes in abundance of certain bacterial 501 species occurred (Sze et al., 2014). Another model of lung injury in mice, induced by 502 503 cigarette smoke, further detailed the impact of acute exposure to stress on gut inflammation, and it identified the Th17-dependent pathway as a main player in the communication 504 between the two tissues (Kim et al., 2019). In this study, mice were exposed to cigarette 505 smoke and then to an intestinal inflammatory challenge (2% DSS in drinking water for 6 506 507 days). DSS-induced weight loss was more pronounced in mice exposed to cigarette smoke, and this was accompanied by increased histological damage and immune cell infiltration at 508 the intestine level (Kim et al., 2019). This study did not report on the composition of the lung 509 and gut microbiota. However, it is possible that cigarette smoke-induced changes in the lung 510 511 microbial community that drove the local and systemic immune responses.

512 Of interest, especially in elderly people, is the impact of respiratory infections on gut 513 microbial and physiological homeostasis. As previously mentioned, older people are at 514 higher risk of complications when infected by certain respiratory viruses or bacteria (see 515 chapter 2). To date, no study has specifically reported the effect of respiratory bacterial or 516 viral infections on the gut microbiota in older human populations. However, studies in 517 younger human populations and in mouse models have provided accumulating evidence

- supporting a central role for acute infections in modulating gut microbiota and impacting its
- homeostasis (Table 2).

Table 2: Impact of respiratory pathogens on gut microbiota, mechanisms of action and consequences.Arrows indicate an increase (\nearrow) or decrease (\varkappa) in relative abundance of the microbiome 522

Study [ref]	Animal / Study [ref] Microbiota Analysis method		Effect on gut microbiota	Mode of Action and consequences	
Viruses	/iruses				
Wang (2014) (Wang et al., 2014)	Mouse / Real-time PCR and selective culture	Influenza A/PR/8/34 (PR8), o.1 HA of PR8, intranasally	No change in number of total bacteria ン Segmented filamentous bacteria (SFB) ン Lactobacillus/Lactococcus オ Enterobacteriaceae (E. coli)	Lung-derived CD4 ⁺ T cells -> IFN-γ CCL25-CCR9 axis: recruitment of CCR9 ⁺ CD4 ⁺ cells to the intestine -> gut microbiota dysbiosis	
Qin (2015) (Qin et al., 2015)	Human / Illumina TruSeq	H7N9	 Proteobacteria Eubacterium, Ruminococcus, Bifidobacterium and Roseburia Escherichia, Salmonella, Enterococcus, Veillonella 	N/A	
Yu (2015) (Yu et al., 2015)	Mouse / Bacterial colony plate counts	Influenza A/FM1/1/47 20% Lethal Dose 50 intranasally	۲ E. coli ک Anaerobic bacteria Bifidobacterium and Lactobacillus	N/A	
Deriu (2016) (Deriu et al., 2016)	Mouse / Influenza A /Puerto Rico/8/34 MiSeq Illumina (PR8) – 200 PFU – intra-tracheal		 ↗ Proteobacteria ↗ Escherichia 	↗ IFN-Is (Ifnarr ⁺) mice IAV leads to enhanced susceptibility to secondary enterior infections	
	Mouse / 16S qPCR		 ↗ Enterobacteriaceae ↘ Segmented Filamentous Bacteria 	N/A	
Bartley (2017) Mouse / (Bartley et al., 2017) Miseq Illumina		Influenza virus A/PR/8/34 (PR8), 400 EID50 intranasally	 ↗ Proteobacteria ↗ Verrucomicrobia 	N/A	
Groves (2018) (Groves et al., 2018)	Mouse / MiSeq Illumina	RSV-A2, 2 × 10 ⁵ PFU/ml	No change in total fecal bacterial load, total observed OTU, alpha diversity ↗ Bacteroidetes (Bacteroidaceae) ↘ Firmicutes (Lachnospiraceae, Lactobacillaceae)	7 in MUC5AC provides a source of energy to Bacteroidetes	
	Mouse / MiSeq Illumina	Influenza A/Eng/195/2009, 4 × 10 ⁴ PFU/ml	 ↗ Bacteroidetes (Porphyromonadaceae) ↘ Firmicutes 	N/A	
Yildiz (2018) (Yildiz et al.,	Mouse /	Influenza A/Viet Nam/1203/2004,	Transient decrease in community richness in small intestine at 7dpi	IAV leads to enhanced susceptibility to secondary enteric	

2018) MiSeq Illumina intranasal		intranasal	۲ <i>irmicutes</i> in small intestine at 7dpi	infections
			کا Bacteroidetes in small intestine at 7dpi	
			No change in fecal bacteria	
Sencio (2020) (Sencio et al., 2020)	Mouse (C57BL/6J) / • MiSeq Illumina	 Influenza H3N2 IAV Scotland/20/197 4, 30 pfu, intranasally Influenza H1N1 WSN/1933, 200 pfu, intranasally Influenza H1N1 California/04/20 09, (pdmo9), 100 pfu intranasally 	 Verrucomicrobia (Akkermansia), Cyanobacteria Parabacteroidetes and Odoribacter Bacteroidales S24-7 Clostridiales (unaffiliated), Ruminococcaceae, and Mogibacteriacecea Lachnospiraceae family, Dehalobacterium and Lactobacillus genera Alphaproteobacteria Gammaproteobacteria (Escherichia genus) Betaproteobacteria (Sutterella genus) 	IAV infection leads to decreased food intake, less production of Short chain fatty acids, particularly acetate
Bacteria				
Mycobacterium				
tuberculosis				
Winglee (2014) (Winglee et al., 2014)	Mouse (Balb/c) / 454 FLX pyro- sequencing	Mycobacterium tuberculosis CDC1551 or H37Rv., aerosol (Middlebrook inhalation exposure system)	 >> Diversity >> Bacteroidetes ↗ Actinobacteria ↗ Lactobacillus >>> Lachnospiraceae >>> Ruminococcaceae 	N/A
Luo (2017)	Human (adults) /		Bacteroidetes لا	N/A
(Luo et al., 2017)	MiSeq Illumina		 ↗ Proteobacteria (Escherichia), Actinobacteria (Collinsella) ↘ Prevotella, Lachnospira, Roseburia, Coprococcu (Firmicutes) 	
Hu (2019) (Yongfei Hu et al., 2019)	Yongfei Hu et tuberculosis		 ↘ Alpha-diversity ↗ Coprobacillus bacterium and Clostridium bolteae ↘ Haemophilus parainfluenzae, Roseburia inulinivorans, Eubacterium eligens, Roseburia hominis, Roseburia intestinalis, Megamonas unclassified, Eubacterium rectale, Ruminococcus obeum, Dora formicigenerans, Coprococcus sp_ART55/1, Megamonas funiformis, Sutterella wadsworthensis, Bifidobacterium adolescensis, Megamonas hypermegale, Collinsella aerofaciens, Bifidobacterium longum, Akkermancia muciniphila, Megamonas rupellensis, Coprococcus comes, Lachnospiraceae bacterium 	N/A

			1_1 57FAA, Ruminococcus lactaris, Bifidobacterium pseudocatenulatum, Dorea longicatena	
Hu (2019) (Yongfeng Hu et al., 2019)	(Yongfeng Hu		No significant difference	N/A
Huang (2019) (Huang et al., 2019)	Human (adults) / MiSeq Illumina	Mycobacterium tuberculosis	 ↗ Bacteroidetes ↘ Bifidobacteriaceae 	Correlation between gut F/B ratio blood IL-1β
Li (2019) (Li et al., 2019)	Human (children) / MiSeq Illumina	Mycobacterium tuberculosis	 Diversity (Simpson index) Enterococcaceae, Prevotellaceae Rikenellaceae, Bifidobacteriaceae, Lachnospiraceae, Ruminococcaceae, Enterococcus, Prevotella Faecalibacterium, Bacteroides Ruminococcus, Dorea 	N/A
Namasivayam (2019) (Namasivayam et al., 2018)	Rhesus macaques / Illumina NextSeq (V4 region)	Mycobacterium tuberculosis Erdman strain, <10 CFU, intrabronchial instillation	Minor changes Severe diseases associated with: Roseburia intestinalis (family Lachnospiraceae), Succinivibrio dextrinosolvens, certain Ruminococcaceae, and Weissella (family Leuconostocaceae) Streptococcus equinus (family Streptococcaceae)	N/A
Streptococcus pneumoniae				
Dabrowski (2019) (Dabrowski et al., 2019)	Mouse (BALB/c) / MiSeq Illumina	Streptococcus pneumonia, NCTC 7978, 10 ⁵ cfu	 ۸ Lachnospiraceae, Ruminococcaceae ۷ Bacteroidales S24-7, Lactobacillaceae, Coriobacteriaceae 	N/A
Fungi				
Samuelson (2016) (Samuelson et al., 2016)	Mouse (C57BL/6j) / MiSeq Illumina	Pneumocystis murina (2 × 10 ⁶ cysts/mL (intratracheal)	 Δ-diversity Δ Lactobacillus, Ruminococcus bromii Δ Turicibacter, Lachnospiraceae Oscillospira, 	N/A

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525 Of note, respiratory pathogens, however diverse they are, trigger common shifts in 526 microbiota composition; species from the *Firmicute* phylum and *Clostridiales* order, including 527 *Lachnospiraceae*, *Faecalibacterium* and *Ruminococcus*, are generally decreased as are 528 *Bifidobacteria* from the *Actinobacteria* phylum. By contrast, *Proteobacteria*, especially *E. coli* 529 species are found increased in the gut of individuals or animals infected with respiratory pathogens. As studies are sparse for certain types of pathogens such as fungi or certain
bacteria commonly responsible for pneumonia in elderly people, it is, at the time of writing,
impossible to identify specific patterns associated with specific types of pathogens.

Most studies that have searched for gut colonization of the respiratory pathogen have not 533 found any evidence that this occurs, suggesting that there exist other lines of communication 534 responsible for the dysbiosis observed in the gut. Mechanisms of action involved in 535 transducing the signal of infection from the lungs to the GI tract are not yet fully understood, 536 537 although certain pathways have been identified. For instance, Wang et al. showed that after Influenza A virus (IAV) infection, lung-derived CD4⁺ T cells activate the CCL25-CCR9 axis to 538 induce the recruitment of CCR9⁺CD4⁺ T cells to the intestine and thus inducing gut 539 microbiota dysbiosis. Likewise, a recent study by Liu et al. showed that mast cells might also 540 be key players in transducing the signal of infection from the lungs to the gut (Liu et al., 541 2019). 542

543 3.3. From the gut to the lungs – Impact of gut microbiota and food intake/diet on lung 544 health

As previously described, respiratory infections can impact the composition and diversity of 545 gut microbiota either by modulating local immune populations that are then recruited to the 546 gut, or by inducing anorexia, thus decreasing food intake and nutrient availability. 547 Interestingly, these changes in microbiota feed back to the respiratory tract and increase the 548 549 susceptibility to secondary infections, as in the case of Influenza virus infections (Sencio et al., 2020). There are now multiple reports supporting the role of intestinal microbiota in the 550 551 maintenance of healthy lungs, as well as its responses to respiratory infections and vaccines (Dumas et al., 2018; Hanada et al., 2018). Many studies have used oral antibiotics 552 553 administration in order to investigate the role of gut microbiota in other tissue responses to 554 various stressors (e.g. infection, inflammation). However, the impact of such treatment on distal microbiota such as the pulmonary microbiota has rarely been investigated and thus 555 556 requires further exploration. For example, early studies demonstrated that antibiotics

treatment increased mortality of animals that were infected with IAV (Abt et al., 2012; 557 Ichinohe et al., 2011) or S. pneumoniae (Schuijt et al., 2016). Although the effect of oral 558 559 antibiotics on lung microbiota cannot be excluded, several studies have reported different means of communication between the gut and the lungs. This communication along this gut-560 lung axis can be mediated by (i) the immune system, (ii) transfer of microbes, or (iii) microbial 561 and host metabolites in the blood from one tissue to the other. Since the gut microbiota plays 562 a major role in shaping the immune system, it affects both local and systemic (in the lungs) 563 564 responses to pathogens.

565 *3.3.1. Communication via the immune system.*

566 Depleting gut microbiota with antibiotics modulated the degree of the macrophage response 567 to respiratory IAV infection by decreasing the expression of macrophage-associated antiviral response genes in these circulating leukocytes, particularly genes related to IFN-dependent 568 569 responses (Abt et al., 2012). Schuijt et al. also reported that alveolar macrophages and whole-blood neutrophils displayed a decreased phagocytic activity against S. pneumoniae, 570 571 and a decreased inflammatory profile in response to stimulation with TLR ligands (Schuijt et al., 2016). Interestingly, in the latter study, faecal transfer of a microbiota from healthy 572 animals restored pulmonary bacterial clearance and certain cytokines levels in the lungs 573 (Schuijt et al., 2016). Another study reported the pivotal role of Granulocyte-macrophage 574 colony-stimulating factor (GM-CSF) and IL-17A in the bacterial clearance of S. pneumoniae 575 576 and Klebsiella pneumoniae. Both cytokine levels were impacted by oral antibiotics administration and neutralising antibodies increased bacterial burden in non-antibiotic-treated 577 mice. Moreover, by orally or intra-nasally administering a consortium of bacteria that were 578 579 strong Nod2 activators, it was shown that the restitution of either microbiota could rescue 580 defects in pulmonary clearance of S. pneumoniae or K. pneumonia (Brown et al., 2017). In the case of viral infections with IAV, Ichinohe et al. demonstrated that antibiotics treatment 581 altered B cells, CD4⁺ and CD8⁺ T cells, as well as DC homeostasis; mediastinal lymph nodes 582 583 DC numbers were reduced and showed an impaired presentation of viral antigen peptide,

making them unable to activate antigen specific CD8⁺ T cells (Ichinohe et al., 2011). Finally, 584 Gauguet et al. showed that gut microbiota also impacts responses to pulmonary infections by 585 586 modulating the Th17 cell-mediated immunity. Particularly, they found that the presence of the commensal segmented filamentous bacteria (SFB) in murine GI tract protected against 587 Methicillin-resistant Staphylococcus aureus (MRSA) pneumonia and increased mucosal 588 589 immunity (specifically type-3 immune effectors) in the lung. This was linked to higher levels of 590 IL-22 and larger numbers of IL-22⁺TCRβ⁺ cells in BAL fluids (Gauguet et al., 2015). Taken 591 together, these studies demonstrate that gut microbiota can impact innate and adaptive immunity by allowing the trafficking of immune cells between the gut and the lungs, which 592 further modulate responses to respiratory pathogens. This suggests that this axis of 593 communication, if functional, might play a beneficial role in the fight against pulmonary 594 bacterial and viral infections. We infer that altered microbiota in the ageing gut decreases its 595 protective role. 596

597

3.3.2. Communication via soluble components and metabolites.

In addition to directly modulating the host immune system, the microbial ecosystems also 598 produce soluble mediators that can be detected by the host. This is the case of SCFA, which 599 have been shown, in several studies, to exert a crucial role in shaping the local (gut) immune 600 601 system (reviewed in (Parada Venegas et al., 2019)). The three major SCFAs are butyrate, propionate and acetate, and these have been shown to exert positive effect against 602 603 respiratory tract infections. Specifically, in 2018, Trompette and colleagues showed that upon reaching the bone marrow, butyrate increased the production of a certain subtype of 604 macrophages (Ly6c⁻) that decreased the recruitment of neutrophils in the mouse lungs. In 605 606 parallel, the SCFA also increased CD8⁺ T cell effector function, and together these protected 607 the animals against Influenza virus lung damage (Trompette et al., 2018). Interestingly, Haak et al. showed that in patients that had received allogenic hematopoietic stem cell 608 609 transplantation, a high abundance of butyrate-producing bacteria in faecal samples was 610 associated to a decreased risk of developing viral lower respiratory tract infections (LRTI)

(Haak et al., 2018). The effect of butyrate is not specific to viruses as it was effective in 611 increasing survival of mice infected with K. pneumoniae (Chakraborty et al., 2017). Acetate 612 protected mice against Respiratory syncytial virus (RSV) infection as observed by a lower 613 614 weight loss, and a decreased lung viral load and inflammation (Antunes et al., 2019). Galvao et al. also demonstrated that acetate, via the activation of its receptor, free fatty acid receptor 615 2 (FFAR, also known as GPR43), was protective against K. pneumoniae infection also by 616 controlling bacterial load and inflammation levels (Galvão et al., 2018). Finally, the study by 617 618 Sencio et al. also reported that acetate protected against secondary lung infections. This group primarily infected mice with IAV followed by a secondary pulmonary infection with S. 619 pneumoniae. They found that supplementation with acetate restored IAV-induced impairment 620 of alveolar macrophages bacterial killing activity as well as prolonged mice survival following 621 bacterial infection (Sencio et al., 2020). Taken together, these studies demonstrate the 622 623 protective function of gut microbial metabolites against respiratory infection.

The evidence of this Gut-Lung axis has led to the hypothesis that respiratory infections might 624 625 be prevented, or at least dampened, by modulating the intestinal microbial ecosystem 626 through changes in food intake or supplementation with pre- and probiotics. Particular diets 627 have been associated, in animal models as well as in humans, with either the exacerbation or the improvement of lung health in acute and chronic diseases. Particularly, fiber-rich diet 628 629 has been associated with lower risk of developing COPD (Vaughan et al., 2019), improved 630 response to viral infection (Trompette et al., 2018), and decreased severity of allergic airway 631 disease (Trompette et al., 2014). It has been shown that probiotics can impact the course of respiratory diseases. Using a mouse model and the probiotic Lactobacillus plantarum 632 633 CIRM653, Vareille-Delarbre reported a reduced response to intra nasal infection with K. 634 pneumonia (Vareille-Delarbre et al., 2019). Results from randomised control trials and metaanalyses generally show improvement of lung health following probiotics supplementation, 635 whether it impact the incidence of certain infections, the duration, or the severity of the 636 disease (de Vrese et al., 2006; Hao et al., 2015; Wang et al., 2016). 637

638

639 4. DISCUSSION

640 As described in this review, recent studies have provided evidence for the modulation of 641 respiratory health by diets and/or shifts in microbiota composition and function (Sencio et al., 2020; Trompette et al., 2018, 2014; Vaughan et al., 2019). These studies have mainly been 642 carried out on animal models at a young or adult age. The ageing process is influenced by 643 both intrinsic and external factors. In addition to replicative senescence, events along 644 people's lifespan might have long-lasting impacts on their own physiology, as well as on their 645 646 microbiota. Repetitive courses of antibiotics, for example, disrupt the gut microbial ecosystem, and we can assume that it is also the case for the lung microbiota. Considering 647 the physiological characteristics of the elderly population, it is now important to investigate 648 the interactions between diet, gut and lung microbiota, and lung health and disease, in 649 650 models mimicking more closely the physiological changes happening in older human adults. This would involve either aged wild-type animals or genetically modified animal models of 651 accelerated ageing. The Terc-/- mice, for example, are deficient in the RNA subunit of the 652 telomerase, and have shown a higher susceptibility to bacterial pneumonia (Kang et al., 653 2018), while the Klotho^{-/-} mice, which develop premature-ageing syndrome, also develop 654 emphysema (Nakatani et al., 2009). It also appears that the lines of communication between 655 the gut and the lungs might be enhanced by the impairment of the barrier function of both 656 epithelial structures. Establishing models that will mimic these features will allow the study of 657 658 the impact of the loss of topography of the microbiota in the susceptibility and pathogenesis of pulmonary diseases. Interestingly, a loss of compartmentalisation of the microbiota has 659 660 been reported in chronically malnourished children (Vonaesch et al., 2018). Extrapolating to elderly individuals, malnutrition could also lead to the loss of topography of the microbiota, 661 662 exacerbating the communication between the lung and the gut and thus increasing the susceptibility to respiratory diseases. Although mammalian lungs from different species 663 share extensive characteristics, there exist differences, particularly between rodents and 664 humans, which can impact responses to inhaled particles and pathogens. This is particularly 665

666 the case for ion channels and transporters that actively participate in the mucociliary escalator to remove particulate matter trapped in the mucus, and are therefore playing a 667 668 central role in the barrier function of the airway epithelium (Cutting, 2015; Tanner and 669 Beeton, 2018). Consequently, although difficult to implement, it will be crucial to further investigate the impact of diet, probiotics and prebiotics on respiratory health in human older 670 671 adults. Thus, investigating the regulatory pathways between what we eat and how we 672 breathe, will not only expand the basic knowledge and understanding of the gut-lung axis, 673 but it will also help develop nutritional strategies to prevent the development of respiratory 674 pathologies in the elderly.

Older individuals are often malnourished; dietary needs evolve with age while nutrient intake 675 does not always change appropriately. It is thus critical to optimize nutrient intake and adapt 676 food matrices in order to elaborate personalized diets allowing the maintenance of adequate 677 nutritional health. In addition, as elderly people present dysbiotic microbiota, it appears 678 necessary to provide food supplements that will restore microbial balance (Figure 4). These 679 680 supplements can be probiotics, prebiotics or synbiotics, a combination of prebiotics with 681 probiotics that improve the survival and implantation of the microorganisms in the GI tract (de Vrese and Schrezenmeir, 2008). It is now essential to confirm the emerging evidence of the 682 impact of specific diets and supplements on respiratory health that suggests that nutrition 683 684 may be the most accessible lever of action to prevent the development of lung diseases. The 685 potential use of microbiota in clinical applications for preventing lung pathologies in older 686 populations is of particular interest.

It is well known that viral respiratory infections such as influenza, SARS or RSV, can cause serious illness in elderly patients and are often associated with changes in gastro-intestinal homeostasis and gut dysbiosis. Strikingly, older patients infected with SARS-CoV-2 who were hospitalized, frequently presented comorbidities, with hypertension and T2D being amongst the most prevalent ones (Guan et al., 2020; Richardson et al., 2020). These two conditions have also been associated with gut dysbiosis (Gurung et al., 2020; J. Li et al., 2017) and taken together, this points out to a central role of the microbiota in the physiology

of the elderly and the pathophysiology and disease course of airway diseases. As clinical studies have shown that probiotics can decrease the duration and severity of symptoms of respiratory viral infections (de Vrese et al., 2006), we argue that developing personalized nutritional plans specifically reinforcing a symbiotic microbiota will help in the fight against respiratory pathogens (Figure 4).

699

700 CONCLUSIONS

Older age is associated with dysbiotic gut and lung microbiota along with a loss of resilience and compartmentalisation of microbial species in both tissues. In this review article, we propose the existence of an enhanced bidirectional communication between the gut and the lung, which could link the nutritional status to the susceptibility to respiratory diseases in older individuals. Collectively, the studies presented here highlight a central role for the gutlung axis and the corresponding local microbiota communities in the maintenance of healthy lungs in older individuals.

708

709 LIST OF ABBREVIATIONS

- 710 AECII: Alveolar epithelial type II cells
- 711 AMDC: airway mucosal DC
- 712 ARDS: acute respiratory distress syndrome
- 713 ATP: adenosine triphosphate
- 714 BAL: bronchoalveolar lavage
- 715 BCR: B cell receptor
- 716 Blimp: B-lymphocyte-induced maturation protein
- 717 CAF: cancer-associated fibroblast

- 718 CAP: Community Acquired Pneumonia
- 719 COPD: Chronic Obstructive Pulmonary Disease
- 720 COVID-19: coronavirus disease 2019
- 721 CR: Calorie restriction
- 722 Cx43: connexin 43
- 723 DALY: Disability-Adjusted Life Years
- 724 DC: Dendritic cell
- 725 DDR: DNA damage response
- 726 DKC1: dyskerin 1
- 727 ECM: extracellular matrix
- 728 ER: endoplasmic reticulum
- 729 ERS: European Respiratory Society
- 730 EV: extracellular vesicles
- 731 FFAR: Free fatty acid receptor
- 732 FOXO: forkhead box "O"
- 733 GATA4: GATA binding protein 4
- 734 GI: gastrointestinal
- 735 GM-CSF: Granulocyte-macrophage colony-stimulating factor
- 736 IAV: influenza A virus
- 737 IFN: interferon
- 738 lg: immunoglobulin

- 739 IGF-1: insulin-like growth factor 1
- 740 IIS: insulin and IGF-1 signaling
- 741 IL: interleukin
- 742 IPF: Idiopathic Pulmonary Fibrosis
- 743 IRP2: iron-regulatory protein
- 744 LPS: ipopolysaccharid
- 745 LRTI: lower respiratory tract infections
- 746 MHC: major histocompatibility complex
- 747 miRNA: microRNA
- 748 MRSA: Methicillin-resistant Staphylococcus aureus
- 749 mTOR: mammalian target of rapamycin
- 750 NET: neutrophil extracellular trap
- 751 NF-κB: nuclear factor kappa-light-chain-enhancer of activated B cells
- NO: nitric oxide
- 753 NSCLC: non-small cell lung cancer
- 754 OTU: Operational Taxonomic Units
- 755 Pax: paired box
- 756 PI3K: phosphoinositide 3-kinase
- 757 PINK1: PTEN-induced putative kinase I
- 758 PTEN: phosphatase and tensin homolog
- 759 ROS: reactive oxygen species

- 760 RSV: Respiratory syncytial virus
- 761 SAMP: senescence-accelerated prone
- 762 SAMR: senescence-accelerated resistant
- 763 SARS-CoV-2: severe acute respiratory syndrome coronavirus 2
- 764 SASP: senescence-associated secretory phenotype
- 765 SCFA: short-chain fatty acids
- 766 SFB: segmented filamentous bacteria
- 767 SP: surfactant protein
- 768 T2D: Type 2 Diabetes
- 769 Tc: cytotoxic effector T cell
- 770 TCR: T cell receptor
- 771 TGF: Transforming growth factor
- 772 TLR: toll-like receptor
- 773 TNM: tumor, node, metastasis
- 774 Treg: regulatory T cell
- 775 UPR: unfolded protein response
- 776 URT: upper respiratory tract
- 777 WHO: World Health Organization
- 778 YDL: Years Lost to Disability
- 779 YLL: Years of Life Lost

781 **DECLARATIONS**

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Figure 1: Microbiota is a central element connecting malnutrition and declining physiological functions in the elderly. The decline in many physiological functions, occurring at an advanced age, are associated with changes in the microbiota structure and function in the gut and other organs. These aspects are affected by type and composition of food intake and diets.

Figure 2: Hallmarks of the ageing lung and key cellular players involved in
 senescence.

Figure 3: Continuum and basis for the communication pathways between the gut and lung microbiota. The oral and nasal cavities are the major points of entry for microorganisms to colonise both the gastrointestinal and the respiratory tracts. In addition, transport of metabolites and immune cells through the blood and lymph circulatory systems allows for signal transduction between the two tissues.

Figure 4: The slippery slope of malnutrition in the elderly. Malnutrition in the elderly leads to a sequence of reversible and irreversible pathophysiological changes leading to the degradation of the general state of the individual. One of the early signs of a degrading health is lung infection. We propose that targeting malnutrition, and more specifically gut microbiota, using probiotics containing foods, would help reverse this chain of events, thus preventing further health degradation.

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