

Influence of soy and whey protein, gelatin and sodium caseinate on carotenoid bioaccessibility

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1	Influence	of	Soy	and	Whey	Protein,	Gelatin	and	Sodium	Caseinate	on	Carotenoid
2	Bioaccessi	bilit	ty									

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- 24 **Running title**: Proteins and pure carotenoid bioaccessibility

26 ABSTRACT

Proteins could alter carotenoid bioaccessibility through altering their fate during digestion, due to 27 emulsifying properties of resulting peptides, or influencing access of digestion enzymes to lipid 28 29 droplets. In this investigation, we studied whether whey protein isolate (WPI), soy protein isolate (SPI), sodium caseinate (SC) and gelatin (GEL), added at various concentrations (expressed as 30 percentage of recommended dietary allowance (RDA): 0, 10, 25 and 50%) would influence the 31 32 bioaccessibility of lycopene, β -carotene or lutein, added as pure carotenoids solubilized in oil, during simulated gastro-intestinal (GI) digestion. Protein and lipid digestion as well as selected 33 34 physico-chemical parameters including surface tension, ζ-potential and micelle size were evaluated. Adding proteins influenced positively the bioaccessibility of β -carotene, by up to 189% (p<0.001), 35 but it resulted in generally decreased bioaccessibility of lutein, by up to 50% (p<0.001), while for 36 37 lycopene, the presence of proteins did not influence its bioaccessibility, except for a slight increase with WPI, by up to 135% (p<0.001). However, the effect depended significantly on the type of 38 protein (p<0.001) and its concentration (p<0.001). While β -carotene bioaccessibility was greatly 39 40 enhanced in the presence of SC, compared to WPI and GEL, the presence of SPI strongly decreased carotenoid bioaccessibility. Neglecting individual carotenoids, higher protein concentration 41 correlated positively with carotenoid bioaccessibility (R=0.57, p<0.01), smaller micelle size (R=-42 0.83, p<0.01), decreased repulsive forces (ζ -potential, R=-0.72, p<0.01), and higher surface tension 43 (R=0.44, p<0.01). In conclusion, proteins differentially affected carotenoid bioaccessibility during 44 digestion depending on carotenoid and protein species, with both positive and negative interactions 45 occurring. 46

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48 Key-words: Interfacial properties, physicochemical characteristics; mixed micelles; oil-in-water
49 emulsion; in vitro digestion; emulsifiers; protein intake.

51 **INTRODUCTION**

52 Carotenoids are naturally occurring tetraterpenoid pigments synthesized by plants, algae, and 53 several bacteria and fungi. Despite the fact that humans cannot produce them, and that these 54 secondary plant compounds are not essential for humans, they have always been part of the food 55 chain, as carotenoid-rich food sources include a large variety of fruits and vegetables, as well as 56 certain animal-derived food items such as salmon and eggs ¹. Furthermore, several carotenoids are 57 included in fortified foods or are available as supplements.

Despite their non-essentiality, these phytochemicals contribute to important biochemical and 58 physiological functions in the human body². Some carotenoids are provitamin A compounds, such 59 as β -carotene, α -carotene and β -cryptoxanthin, and in many countries with low animal derived food 60 intake and for vegetarians, carotenoids are the main source of dietary vitamin A. Furthermore, many 61 of these pigments have been associated in epidemiological studies with reduced incidence of 62 chronic diseases, such as lowered risk of coronary heart disease 3 , certain types of cancer 4 , type 2 63 diabetes ⁵, and eye-health related diseases ^{6, 7}. Though the exact mechanisms are often unclear, this 64 is attributed to, at least in part, their influence on the cellular level, acting on transcription factors 65 and nuclear factors⁸, which may also contribute to their anti-inflammatory and antioxidant 66 activities ⁹⁻¹¹. 67

Given these potential health benefits for humans, the bioavailability of carotenoids, i.e. the fraction that is absorbed and is available for physiological function or storage, has been high on the agenda of carotenoid-oriented research. In fact, following their dietary intake, carotenoids are liberated from food matrices, solubilized in lipid droplets¹² and are finally incorporated into mixed micelles. The micellization of carotenoids is crucial for their uptake by enterocytes, which is a prerequisite for their intestinal absorption and further biodistribution ¹³.

However, in part due to their poor water-solubility, the bioavailability of carotenoids is low and variable ^{14, 15}, and many dietary as well as host-related factors are known to interfere with their

absorption ^{13, 16}. Regarding dietary factors, it has been acknowledged that lipids can enhance carotenoid absorption efficiency, by improving their micellization and fostering chylomicron sequestration ^{17, 18}. In contrast, dietary fibers negatively affect their bioavailability, likely by hampering the transition of lipid droplets into mixed micelles, also affecting the activity of digestion enzymes ^{19, 20}.

One factor that has not yet received much attention is the influence of co-digested proteins on 81 carotenoid solubility and/or absorption. Indeed, several proteins have exhibited emulsifying 82 properties during digestion, being highly surface-active, stabilizing emulsions by forming a 83 viscoelastic phase, and adsorb to the oil droplets, forming a physical barrier¹² and preventing 84 coalescence²¹. Thus, depending on digestive conditions, proteins, via their interaction with lipid 85 droplets during the digestion process, may influence carotenoid bioaccessibility by modulating their 86 transfer from lipid droplets into mixed micelles ¹². Such interactions may be positive due to the 87 stabilization of emulsions or negative, due to hampered enzymatic access to lipid droplets²². 88

For instance, it has been reported that sodium caseinates (SC) stabilized oil-in-water (o/w) 89 emulsions and facilitated β -carotene uptake ^{23, 24}. In another study employing milk-protein 90 stabilized fat droplets, these droplets remained small throughout the digestion process, suggesting 91 that they were stable against coalescence, facilitating the formation of smaller lipid droplets²⁵, 92 likely enhancing carotenoid bioaccessibility as demonstrated earlier ²⁶. In our previous study, the 93 addition of whey protein isolate (WPI) during simulated GI digestion influenced the bioaccessibility 94 of β -carotene positively as well as negatively, depending on the digestion conditions ²². While 95 positive effects appeared to dominate under more complete GI conditions, additional WPI reduced 96 β-carotene bioaccessibility at lower enzymatic activity of pancreatin, lower concentration of bile 97 98 and reduced peristalsis. However, most studies have focused only on the very apolar β -carotene and 99 especially on WPI.

Thus, in the current study, we aimed to investigate the effect of several frequently consumed 100 proteins of various hydrophobicity on the bioaccessibility of commonly ingested carotenoids. By 101 means of a European consensus model for static *in vitro* digestion ^{27, 28}, we focused on the influence 102 of WPI, soy protein isolate, sodium caseinate and gelatin on the bioaccessibility of individual 103 carotenoids, i.e. β-carotene, lutein and lycopene. In order to provide additional insights about the 104 interaction of proteins and carotenoids during digestion, we also investigated lipid and protein 105 106 digestion efficiency, as well as physico-chemical properties of the digesta, including surface tension, viscosity, and micelle size. Insights obtained from such experiments can be valuable for 107 108 fortifying/enriching food items with these health-associated pigments and to determine the optimal food matrix to support carotenoid bioavailability. 109

110

111 **EXPERIMENTAL**

112 Chemicals and carotenoid standards

Standards of *all-trans*-β-carotene (\geq 97% purity) and *all-trans*-lycopene (\geq 85%) were purchased from Sigma-Aldrich (Overijse, Belgium), while lutein (\geq 95%) was obtained from Extrasynthese (Genay, France). Pepsin from porcine gastric mucosa (\geq 250 U/mg, Art. No. P7000), pancreatin from porcine pancreas (activity equivalent to 4x USP specifications, Art. No. P1750) and porcine bile extract (Art. No. B8631) were obtained from Sigma-Aldrich (Overijse, Belgium).

Unless otherwise specified, all chemicals were of analytical grade or superior. Potassium chloride (\geq 99%), potassium dihydrogen phosphate (\geq 99%), sodium hydrogen carbonate (\geq 99%), sodium chloride (\geq 99.5%), magnesium chloride hexahydrate, ammonium carbonate, sodium hydroxide solution (1 M), calcium chloride dihydrate (\geq 99%), Nile red, fluorescein isothiocyanate isomer I (FITC), and phenolphthalein were acquired from Sigma-Aldrich. Hexane (\geq 95%), acetone (\geq 99%), and hydrochloric acid (1 M) were obtained from VWR (Leuven, Belgium).

125 **Pure proteins and dietary lipid sources**

Whey protein isolate (WPI) was acquired from Pure Nutrition USA[®] (95% purity, California,
USA), and soy protein isolate (SPI) was obtained from Self Omninutrition[®] (≥90% purity,
Stockholm, Sweden), whereas casein sodium salt (SC) from bovine milk (Art. No. C8654) and
gelatin (GEL) from bovine skin (Art. No. G9391) were both purchased from Sigma-Aldrich.

Peanut oil, typically free of native carotenoids ²⁹ and own blank examinations, was purchased
from a local supermarket (Delhaize, Strassen, Luxembourg).

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133 Solubilization of carotenoid standards & preparation of protein and enzyme solutions

All solutions were prepared as previously described ²². Briefly, carotenoid standard solutions 134 were produced at a final concentration of 0.2 mg/mL. For this, β -carotene, lycopene and lutein were 135 136 first dissolved in hexane. Peanut oil was added to foster the dissolution of pure carotenoids. Then, the solutions were sonicated at 50/60 Hz for 5 min. (Ultrasonic Cleaner, VWR Symphony®, 137 Massachusetts, USA) and warmed up at 30 °C until complete dissolution. The hexane was finally 138 removed by evaporation (TurboVap LV from Biotage®, Uppsala, Sweden) and the carotenoid 139 standard solutions were made by the further addition of peanut oil to reach the targeted 140 concentration of 0.2 mg/mL. 141

Regarding the protein solutions, the amounts of proteins tested were 0, 3, 7.5, 15 g/L, corresponding to 0, 10, 25 and 50% of the recommended dietary allowance (RDA) within 1 test meal, being 0.83 g per kg of body weight per day for adults (≈ 60 g/d)³⁰, by dissolving/emulsifying each protein type in pure water.

The simulated gastric fluid (SGF), the simulated intestinal fluid (SIF), as well as the enzyme solutions were prepared as recommended earlier ²⁷. Pepsin solution was prepared in SGF at a concentration of 2000 U/mL of the final gastric mixture, pancreatin and bile extract were prepared in the same SIF solution, at a concentration of 200 U/mL and 6.8 mg/mL, respectively. Based on

our previous study ²², pancreatin amount was doubled (200 U/mL based on trypsin activity) in order
to enhance protein digestion.

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153 Simulation of gastro-intestinal digestion and extraction of carotenoids

In vitro simulated GI digestion was carried out according to the harmonized INFOGEST protocol, with slight modifications for pancreatin concentrations ²². The model was used to test the influence of proteins at four different concentrations on the bioaccessibility of pure carotenoids. The oral phase was omitted as the matrix employed did not include significant amounts of carbohydrates and was liquid.

Gastric phase: Each sample comprised a total of 6.5 mL of gastric phase solution containing the 159 desired amounts of proteins, i.e. 0, 78, 195 or 390 mg (equivalent to 0, 10, 25 or 50% of the RDA), 160 also including 150 µL of oil containing carotenoid standards (approx. 30 µg of carotenoid standard 161 per digestion), similar to former *in vitro* experiments ³¹. The oil containing carotenoids was mixed 162 with the gastric phase by brief sonication for 5 min at 50 Hz to obtain oil-in-water emulsions. Prior 163 to the addition of SGF (1.25 x concentrate), 2000 U/mL of pepsin was added to the sample. Then, 164 0.075 mM of calcium dichloride was added in the final mixture, and pH was adjusted to 3 by 165 hydrochloric acid (1 M), bringing the volume of each sample to 13 mL with pure water in order to 166 reach a final ratio of matrix (protein + carotenoid solutions) to simulated gastric fluid of 50:50 167 (v/v). Samples were then incubated in a shaking water bath (GFL 1083 from VEL[®], Leuven, 168 169 Belgium) for 2 h at 37 °C, with a shaking speed of 100 rounds per minute (rpm).

Intestinal phase: At the end of the gastric incubation, SIF, pancreatin (200 U/mL) and bile extract (6.8 mg/mL) were added to the chyme. Then, calcium dichloride was added at a concentration of 0.3 mM in the final mixture. The ratio of gastric chyme to SIF of 50:50 (v/v) was obtained by filling up the sample to 26 mL with pure water, the pH was adjusted to 7 by the addition of sodium hydroxide solution (1 M), then the samples were incubated for 2 h at 37 °C,
maintaining a shaking speed of 100 rpm.

- 176
- 177 Analyses of final products of digestion

Extraction and analysis of carotenoids of the bioaccessible fraction: Carotenoid extraction 178 and analyses were carried out as described previously ²². Briefly, at the end of the intestinal 179 180 incubation, the intestinal digestion was stopped by immediately transferring the digests on ice. 12 mL of the digesta were centrifuged for 1 h at 3200×g (4 °C), and then 5 mL were collected from the 181 middle aqueous phase and filtered through 0.2 µm nylon membrane syringe filters (Corning 182 Incorporated[®] Life Sciences, Tewksbury, Massachusetts, USA). The extraction started by adding 6 183 mL of hexane: acetone (2:1, v:v) to 2 mL of the filtered aqueous phase. After brief centrifugation, 184 the supernatant hexane phase was collected, and the extraction process was repeated two times with 185 pure hexane. All extracts were combined in the same tube, dried under a stream of nitrogen, and 186 stored under argon at -80 °C until the spectrophotometric analyses. 187

The absorbance spectrum was measured between 300 and 600 nm (GENESYSTM 10S UV-Vis Spectrophotometer, Thermo Fisher Scientific, Massachusetts, USA). Carotenoid concentration was calculated by applying the Beer-Lambert law. The percentage of carotenoid micellization was used as a measure of bioaccessibility, and was expressed as the percentage of amount of carotenoids present in the micellar phase of the filtered digesta after *in vitro* GI digestion, compared to the initial amount added to the sample.

SDS-PAGE electrophoresis: Sodium dodecyl sulfate-polyacrylamide gel-electrophoresis (SDS-PAGE) was carried out as previously described ²² to study the extent of protein digestion. Samples were denatured at 95°C for 5 min. and were then loaded to a 15% acrylamide and 0.4% bisacrylamide gel for the samples obtained after complete GI digestion, or to a 10% acrylamide/0.3% bisacrylamide gel for the digesta obtained following only gastric digestion. The running time was 30 min. at 80 V followed by 90 min. at 100 V. Protein marker was Invitrogen
novex see blue plus 2 (Invitrogen, Carlsbad, CA). After each run, fixation/staining was done with
methanol/acetic acid/Coomassie brilliant blue R (50%, 10%, 0.1%) for 30 minutes, and destained in
methanol/glacial acetic acid/water (30%, 10%, 60%, 3 h). The gels were scanned by a Kodak Gel
Logic 2200 imaging system (Kodak, Rochester, NY).

Free fatty acid determination: Lipid hydrolysis was evaluated by measuring the amount of free fatty acids (FFAs) released in the digesta after GI digestion. This was determined by Cayman's Free Fatty Acid Fluorometric Assay (Cayman Chemical, Art. No. 700310, Ann Arbor, MI) according to the manufacturer's protocol.

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209 Macroviscosity and surface tension analysis

Flow curves were determined as previously described ²², using an Anton-Paar rheometer (MCR 302, WESP, Graz, Austria), equipped with a double gap cell at 5°C. A regression flow curve was calculated for the shear rate range between 0 s⁻¹ and 100 s⁻¹ for the shear stress respectively between 1 s⁻¹ and 100 s⁻¹ for the viscosity. The regression curves were plotted as viscosity and shear stress as a function of the shear rate.

Surface tension of digesta samples, pre-conditioned at 25 ± 0.1 °C, were determined by the weight-drop method as previously described ³². The air-water interfacial properties of the digesta were calculated as follows:

$$\sigma_{digesta} = \frac{m_{digesta}}{m_{H2O}} \ge \sigma H_2O$$

219 where σ_{H2O} = 71.99 dyn cm⁻¹ is the surface tension of pure water.

220

221 Confocal laser scanning microscopy

222 Confocal imaging of emulsion structures after GI digestion was carried out at room temperature223 with a confocal laser scanning microscope (Zeiss LSM 880, Airyscam SR, Jena, Germany), using a

63x objective. The aliquots were dyed with Nile red dissolved in ethanol, and FITC dissolved in acetone. Both were used for fat and protein staining, respectively, at a concentration of 1 μ g/mL. An Argon 488 nm laser excited the fluorescent dyes. The emitted light was collected at 500-540 nm for proteins and 590-650 nm for the fat phase. The resulting images were processed and de-noised by using a modular image-processing and analysis software for digital microscopy (Zen 2.3 blue edition, Carl Zeiss Microscopy GmbH, Jena, Germany).

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231 Micelle size and ζ-potential analysis

Aliquots of the filtered aqueous micellar fraction were used for the analysis of the micelle size and ζ -potential, and the measurements were done at room temperature with at least four replicates. The intensity-weighted mean hydrodynamic radius and ζ -potential were determined by dynamic light scattering and laser Doppler micro-electrophoresis, respectively, by using a Zetasizer Nano Zs instrument (Malvern Instruments, Malvern, UK).

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238 Statistical analyses and data treatment

In order to minimize day-to-day variations between experiments, bioaccessibility of pure carotenoids was normalized to a daily control which was assessed for each digestion. Unless otherwise stated, all values are expressed as the mean \pm standard deviation. Replicates were obtained from at least 2 individual sets of analyses obtained at different days (N \geq 2), and at least 4 replicates (n>4) were obtained for each digestive condition during 1 set of analyses.

Statistical analysis was performed using SPSS 22 software (SPSS Inc., Chicago, IL). Normal distribution of data was checked by Q-Q -plots and equality of variance by box-plots and Levene's test. For statistical evaluation, carotenoid bioaccessibility values were log-transformed to achieve a normal distribution. Linear mixed models were developed with the effect of type and concentration of proteins (quantitative variable) and carotenoid species as fixed independent factors and logcarotenoid bioaccessibility as the observed dependent factor. When significant interactions were obtained, additional linear mixed models were run to keep certain of these parameters constant and to better allow for all further group-wise comparisons. P-values < 0.05 were considered statistically significant different (2-sided). Where needed, ANOVA Fisher F-tests were followed by post hoc tests (Tukey's test, for comparing >3 groups) or LSD tests (for comparison of \leq 3 groups). For correlation analyses, Pearson correlation coefficients were calculated between major outcomes.

255

256 **RESULTS**

257 General influence of proteins on the bioaccessibility of carotenoids

Bioaccessibility differed significantly between carotenoid species. In fact, under control conditions (no protein added), the bioaccessibility of lutein was significantly higher (81.3% ±1.2) compared to that of β -carotene (26.4% ±0.7) (p>0.001), while the lowest bioaccessibility (p<0.001) was obtained for lycopene (20.2% ±0.9).

The addition of various proteins to the simulated GI digestion impacted the bioaccessibility differently. All carotenoids and all protein concentrations applied considered, i.e. evaluated together statistically (without applying post-hoc tests for group-wise comparisons), the addition of SC resulted overall in slightly higher bioaccessibility (43.7%) compared to WPI and GEL (41.9% and 41.7%, respectively) (p<0.001), while the lowest bioaccessibility was clearly engendered by SPI (35.1%) (p<0.001).

268 Influence of individual proteins on the bioaccessibility of carotenoids

Whey protein isolate: Following simulated GI digestion, the overall bioaccessibility of lycopene and β-carotene was positively influenced by the presence of WPI (all concentrations considered), showing an average increase to 24.1% (p<0.001) and 30.3% (p<0.001), respectively, compared to the respective controls (see average control values above), while the bioaccessibility of lutein dropped to 71.5% (p<0.001). More specifically, the addition of WPI at 10 and 25% RDA decreased the bioaccessibility of lutein to $63.3\% \pm 0.5$ and $61.2\% \pm 1.1$, respectively (p<0.001, Fig. 1A), while the same concentrations enhanced the bioaccessibility of lycopene up to 27.4% ±1.1 and 25.0% ±1.2 (p<0.001 and p<0.05, respectively). β-Carotene bioaccessibility was only enhanced by 50% RDA of WPI, to 39.0% ± 1.7 (p<0.001), while the other concentrations had no significant effect compared to the control.

Soy protein isolate: The addition of SPI (all concentrations considered) to the simulated GI digestion enhanced the bioaccessibility of β -carotene (to 31.3%, p<0.001), while it decreased the bioaccessibility of lycopene (to 15.8%, p<0.001) and lutein (to 58.2%, p<0.001) (Fig. 1B). In detail, at 10 and 25% RDA of SPI, the bioaccessibility of β -carotene increased to 36.9% ±2.5 and 34.6% ±1.6, respectively (p<0.05). Conversely, the addition of SPI drastically decreased the bioaccessibility of lutein in a dose-dependent manner, to 41.0% ±1.2 (p<0.001). Lycopene was less drastically influenced, with a reduced bioaccessibility to 14.3% ±0.8 at 50% RDA (p<0.001).

Sodium caseinate: No significant effect engendered by the presence of SC (all concentrations considered) regarding the bioaccessibility of lycopene (Fig. 1C), while an average increase up to 39.8% of β -carotene bioaccessibility was observed (p<0.001). As with other proteins, the addition of SC decreased the bioaccessibility of lutein to an average of 70.2% (p<0.001). More specifically, at high protein concentration (50% RDA), SC reduced lutein bioaccessibility to 62.9% ±1.2 (p<0.001). For β -carotene, a drastic increase in bioaccessibility to 49.8% ±0.9 was observed (p<0.001).

Gelatin: The co-digestion of pure carotenoids with GEL resulted in very similar effects regarding the bioaccessibility as observed with SC. Briefly, when considering all protein concentrations, the presence of GEL in the digested matrix (all concentrations applied) enhanced the bioaccessibility of β -carotene to an average of 32% (p<0.001). However, the bioaccessibility of lutein was brought down to an average of 72.3% (p<0.001). Again, there was no significant change regarding the bioaccessibility of lycopene compared to the control (Fig. 1D). The negative influence of GEL

regarding the bioaccessibility of lutein appeared to be dose-dependent, reaching a minimum 299 bioaccessibility of 63.4% ± 1.2 , while the bioaccessibility of β -carotene was improved in turn in a 300 301 dose-dependent manner, with an increase to $36.6\% \pm 2.4$ of bioaccessibility (p<0.001).

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303 **Protein and lipid analysis**

304 The images of the gels revealed that all bands disappeared after complete GI digestion (Fig. 2), 305 except for SPI, where some low-size polypeptide fragments remained. By way of comparison, the bands observed after the gastric phase were of variable size, but always corresponded to lower 306 307 molecular weights compared to their native proteins. Nevertheless, more marked bands were observed in case of WPI (Fig.2 A), corresponding mostly to β -lactoglobulin according to the native 308 undigested WPI. Comparatively, SPI was found less digestible (Fig. 2B), while SC (Fig. 2C) and 309 310 GEL (Fig. 2D) were apparently more readily digested. We observed that there were no protein bands present under control condition (0% RDA), only those corresponding to the enzymes. 311

Regarding lipid digestion (Fig. 3.), it is obvious that proteins influenced differentially the release 312 of FFAs. At 10% RDA of WPI and SC, the release of FFAs was found higher compared to the other 313 concentrations (Fig. 3A, p<0.05; Fig. 3C, p<0.001, respectively), i.e. the presence of these proteins 314 at higher concentrations negatively influenced lipid digestion, resulting in a significant negative 315 correlation (R=-0.857, -0.867, respectively, p<0.001). On the other hand, SPI had a positive 316 influence, in a dose-dependent manner (R=0.952, p<0.001, Fig. 3B), enhancing FFAs release more 317 318 than twice compared to the control (p<0.001). Conversely, the addition of GEL at different concentrations did not show any significant influence on FFAs release (Fig. 3D). 319

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Physicochemical characteristics of the digesta

Surface tension is an important indicator of the emulsifying capacity of proteins during digestion, 322 as they have been proposed to reduce the surface tension also in the GI tract ²¹. Overall, the addition 323

of proteins to the simulated GI digestion influenced differentially the surface tension of the digesta, 324 depending on the type of protein, even though absolute changes in surface tension remained rather 325 326 small. The presence of SPI in the digesta (all concentrations considered) led to the highest surface tension (39.5 dyn/cm), followed by SC and GEL (38.7 dyn/cm), and finally WPI (38 dyn/cm). A 327 significant difference was found between the three protein groups (SPI > SC=GEL > WPI, 328 p<0.001) (OSM Fig. 1). Regarding the effect of protein concentration (individual or all proteins 329 330 considered), we observed a significant increase on the surface tension at 50% RDA for all proteins 331 (except for WPI), compared to the control (p<0.001).

Viscosity is a measure of the resistance of a fluid to deformation under shear stress ³³. A high 332 viscosity can reduce the emulsification of dietary lipids ³⁴. As proteins could change the viscosity of 333 the digesta, the transport of carotenoids within lipid droplets and their incorporation into mixed 334 335 micelles could be affected. In the present study, the viscosity of digested and non-digested SC and WPI solutions did not change with the different concentrations (Fig. 4A). The same effect was 336 observed in digested GEL solutions, which remained in the viscosity range of the protein-free 337 solution. In contrary, the viscosity of undigested GEL solutions increased exponentially with its 338 concentration. Such a viscosity response was expected due to the presence of a gel-like structure in 339 the solution (Fig. 4A). The shear stress of samples digested without additional proteins (0% RDA) 340 showed a very small yield point of approximatively 1 mPa (Fig. 4B). However, the digested 341 samples containing WPI and SC showed a higher yield point of about 10 mPa, while digested 342 343 samples with GEL had a higher yield point reaching 10 Pa, in line with the polymeric property of GEL at low temperature (Fig. 4B). Due to sedimentation and the fact that a double gap cell was 344 used for this experiment, we preferred not to analyze the samples digested with SPI, as this would 345 346 involve changing parameters that were used during digestion of the proteins (such as requiring additional heating, ultrasonication), in order to obtain a homogeneous solution. 347

Lipid digestibility may vary depending of the emulsion characteristics, such as interfacial 348 composition and oil droplet size, influencing micelle formation and the associated carotenoid 349 bioaccessibility ³⁵. Here, the presence of proteins in the simulated GI digestion resulted in different 350 emulsion structures, though all proteins remarkably reduced the size of the emulsion (Fig. 5). 351 Overall, at 10% RDA, SPI, SC and GEL showed a higher degree of aggregation compared to WPI, 352 while the addition of these proteins at a concentration of 25% RDA resulted in a clear decrease of 353 354 microstructure sizes, with a smaller emulsion in case of WPI. However, increasing the concentration to 50% RDA resulted in very homogeneous emulsions, for all protein types, 355 356 compared to the other concentrations (Fig. 5). With all proteins, we observed a co-localization of the labelled compounds (proteins and lipids) present in the matrix, possibly indicating an interaction 357 between these during the simulated GI digestion. 358

The produced mixed micelles following the simulated GI digestion were significantly affected by 359 the presence of proteins (p<0.001). WPI and SPI had a stronger influence on the size of mixed 360 micelles (all concentrations considered), compared to GEL and SC (p<0.001). In fact, the addition 361 of WPI and SPI (OSM Fig. 2A and Fig. 2B, respectively) to the simulated digestion at a 362 concentration of 25% RDA reduced significantly the size of mixed micelles, compared to the 363 control (p<0.001), while no effect was observed following the addition of SC and GEL at this 364 concentration. However, increasing the concentration to 50% RDA, all proteins, including GEL and 365 SC, decreased significantly the size of the micelles, compared to the control (p<0.001). 366

 ζ -potential, which may directly affect the stability of emulsions, was also measured ³⁶. The ζpotential determines the effective electric charge on the particles' surface, by measuring the degree of electrostatic repulsion between adjacent particles ³⁷. Interestingly, the average of the absolute ζpotential (all proteins considered) was reduced in a dose-dependent manner (mean R =0.581, p<0.001); the results were similar for the different proteins. At 10% RDA of proteins, a significant difference was seen only for WPI and SPI (P<0.001), while at concentrations of 25 and 50% RDA, a significant difference was found in the presence of each individual protein, compared to the
control (p>0.001) (Fig. 6).

375

376 **DISCUSSION**

In the present study, we investigated the influence of different proteins (WPI, SPI, SC and GEL) at various concentrations equivalent to 0, 10, 25 and 50% of the recommended dietary allowance (RDA), on the bioaccessibility of pure carotenoids (lycopene, β -carotene and lutein). The presence of proteins during the simulated GI digestion influenced the bioaccessibility of carotenoids, either positively or negatively. These effects depended on the type and concentration of protein, but also on the type of carotenoid.

Proteins are surface-active molecules present within the aqueous phase surrounding the lipid 383 droplets during digestion ³⁸. Once adsorbed, they form a viscoelastic layer that stabilizes emulsions 384 ³⁹. They have been proclaimed to aid in emulsifying liposoluble dietary constituents ²³ and to enable 385 the incorporation of non-polar components into emulsions during GI digestion ³⁸. However, it has 386 been reported that high protein concentrations at the lipid surface could affect the stability of 387 emulsions, negatively influencing lipid degradation, impacting the incorporation of lipophilic 388 constituents into lipid droplets during GI digestion ^{12, 21, 40}. Indeed, in our previous study, we found 389 that β -carotene bioaccessibility was influenced positively by WPI due to the stabilization of 390 emulsions or negatively, due to hampered enzymatic access to lipid droplets²². 391

In the present investigation, the addition of proteins enhanced the bioaccessibility of β -carotene by up to 50% compared to the protein-free control, while hampering lutein bioaccessibility. In case of lycopene, adding proteins to the simulated digestion did not influence its bioaccessibility, except in the presence of WPI, with a slight increase compared to the control.

396 Physicochemical properties of carotenoids, such as polarity, could arbitrate the extent of 397 micellization 41 . Borel et *al*. have shown that carotenoids behave differently in biological emulsions,

depending on their polarity ⁴². Indeed, the more polar zeaxanthin, which has a chemical structure very close to lutein, was preferentially solubilized at the lipid droplet surface, while the apolar β carotene was solubilized almost exclusively in the core of the lipid droplets. Therefore, the presence of proteins at the interface may result in a stronger negative interaction of proteins and the more polar xanthophylls, though this may happen also before micelle incorporation ¹².

Regarding individual proteins, WPI at lower concentrations (10 and 25% RDA) enhanced 403 lycopene bioaccessibility, possibly due to its emulsion forming ability ⁴³, while at 50% RDA it 404 only improved the bioaccessibility of β -carotene. It was reported that β -lactoglobulin was largely 405 resistant to digestion by pepsin during the gastric phase ⁴⁴, and remained adsorbed at lipid droplet 406 surfaces 40 , being in line with our results. Another study demonstrated that β -carotene can be bound 407 by β -lactoglobulin with high affinity in the internal cavity of the β -barrel ⁴⁵, suggesting that this 408 409 major WPI fraction could play a transporter role for some carotenoids. Such interactions appear specific to a certain structure, i.e. β -ionone cycle and isoprenoid chain ⁴⁵; consequently, carotenoids 410 with only a hydrocarbon chain such as lycopene may show low binding affinity, perhaps explaining 411 the absence of positive effects of higher WPI concentration on its bioaccessibility. In addition, WPI 412 significantly decreased the release of FFAs. We can only speculate that in these emulsions, large 413 peptides adsorbed to lipid droplet surfaces could form a biological barrier to digestive enzymes, 414 rendering WPI-stabilized emulsions more resistant to lipolysis ⁴⁰, finally interfering with mixed 415 micelle formation and lycopene micellization. 416

In case of SC, the bioaccessibility of β -carotene increased in a dose-dependent manner, clearly a different behavior compared to WPI. SDS-PAGE showed that SC was almost completely digested following gastric phase. It is acknowledged that caseins are rapidly hydrolyzed into small peptides, covering the surface of produced emulsions ^{46, 47}. Furthermore, FFAs release was reduced in the presence of SC, possibly due to a film formed at o/w interface, with high viscoelasticity ⁴⁸. Thus, we hypothesize that the presence of these surface-active molecules enhanced the bioaccessibility of 423 β-carotene (and for lycopene, though not significantly), by stabilizing the emulsions and preventing
424 the aggregation of droplets.

Similar mechanisms seemed to occur with GEL, enhancing β -carotene bioaccessibility at 25 and 50% RDA, while only a slight increase was observed for lycopene. GEL was also fully digested at the end for the GI digestion, and its presence did not influence lipid digestion. Recent nanoemulsion applications have demonstrated the ability of GEL to adsorb on the o/w interfaces and produce emulsions that are stable toward coalescence ⁴⁹, finally promoting the micellization of the encapsulated β -carotene ⁵⁰.

431 Low concentrations of SPI enhanced β -carotene bioaccessibility, while reducing it at 50% RDA. The protein was incompletely digested following the gastric phase. SPI in the digesta led to the 432 formation of insoluble fractions which precipitated and accumulated at the bottom of the digested 433 phases, likely due to the low solubility of SPI ^{51, 52}. It was earlier shown that SPI influenced the 434 physicochemical behaviour of o/w emulsions during *in vitro* digestion; affecting emulsion stability 435 and extensively increasing lipid digestion, mainly dictated by the type of the liberated peptides ⁴⁴. 436 This increased lipid digestion is in accordance with our results regarding FFAs release. On one 437 hand, if being partially digested, i.e. at low concentrations (10 and 25% RDA), the resulting short 438 peptides were able to emulsify lipid droplets and prevented their aggregation, enhancing lipolysis as 439 well as β-carotene bioaccessibility. This is similar to hydrolyzed SPI which stabilized emulsions 440 thanks to a thick elastic film formed on lipid droplet surfaces ⁴⁸. On the other hand, the 441 442 accumulation of remaining long peptide chains from SPI digestion may aggregate in the aqueous phase, especially at high concentrations, due to hydrophobic interactions between partially 443 denatured proteins. These may be adsorbed on individual lipid droplets ⁵³, and carotenoids could be 444 445 trapped in these aggregates, negatively affecting their transfer into mixed micelles.

446 Despite the ambivalent influence that proteins had on carotenoid bioaccessibility, the viscosity of 447 the digested protein solutions did not depend on the protein concentration and remained in the

viscosity range of the control solution. In addition, the analysis of the complete shear rate range revealed that the presence of proteins did not affect shear thinning, except a slight apparent increase in the presence of GEL, which is typical for polymer solutions. Therefore, the drop of viscosity in combination with the lack of shear thinning could be taken as indication for full protein hydrolysis (except for SPI) due to digestive enzymes.

Surprisingly, adding proteins to the simulated GI digestion increased surface tension, most 453 454 strongly by SPI. However, the absolute increase compared to water was quite small. A previous study reported similar findings, assuming that the increase in surface tension is reflecting the effects 455 of pepsinolysis on the adsorbed proteins at the interface ⁵⁴, and the incorporation of intestinal 456 proteases exerted an additional hydrolysis of adsorbed proteins. Consequently, protein digestion 457 reduced the interfacial layer and caused an increment of the surface tension ⁵⁵. Furthermore, other 458 459 studies reported that these changes could be associated with the very complex characteristics of the interface, such as the adsorption of bile salts to the interface, the interaction between bile salts and 460 protein fragments, or the adsorption and activity of pancreatic lipase 56-58. 461

The investigated physico-chemical properties such as micelle size, ζ -potential as well as confocal 462 microscopy visualization, yielded additional insights regarding the stability of emulsions. At 25% 463 RDA, the presence of WPI and SPI during the simulated GI digestion outperformed other proteins 464 (SC and GEL) in reducing mixed micelle size. In addition, the absolute ζ-potential of WPI and SPI 465 was lower, compared to SC and GEL, indicating weaker repulsive forces and probably less stable 466 467 micelles. However and interestingly, microscopic visualization of the emulsions following GI digestion showed that all investigated proteins reduced lipid droplet size dose-dependently. These 468 results suggest that various processes govern the emulsifying properties of the investigated proteins, 469 470 with perhaps ζ -potential not playing a major role.

471 Previous studies have reported that in emulsions stabilized by hydrolyzed soy or whey proteins,472 the repulsive forces between the droplets were greatly lessened, and even no longer strong enough

to resist the attractive inter-droplet interactions, i.e. van der Waals and hydrophobic interactions^{59,} 473 ⁶⁰. This could lead to the formation of WPI- or SPI-stabilized emulsions, forming a network of 474 bridged lipid droplets, as a result of enhanced hydrophobic interactions between the adsorbed 475 proteins on individual droplets, without changing their individual integrities ^{61, 62}. Our results 476 indicated that besides the low solubility of SPI, and pepsinolysis-resistance of β-lactoglobulin in 477 case of WPI, these proteins could have higher surface hydrophobicity, a crucial parameter 478 determining their emulsification performance ⁶². Thus, the biological barrier at the interface could 479 hinder the transfer and incorporation of carotenoids into mixed micelles, reducing their 480 481 bioaccessibility. On the other hand, SC and GEL, which were better hydrolyzed even in the early stage of digestion, could be involved in the formation of emulsions with more dispersed oil 482 droplets, as the repulsive forces were slightly stronger, enhancing the bioaccessibility of 483 484 carotenoids.

Taken together, the low solubility of SPI resulted in a reducted protein digestion due to the 485 formation of aggregates in the aqueous phase. Accordingly, a higher surface tension and a 486 487 decreased ζ -potential were observed, adversely affecting carotenoid bioaccessibility. This could also be due to entrapment of carotenoids in the aggregates. GEL and SC showed a better solubility. 488 Their digestion was effective even during the early stage of digestion, associated with greater 489 repulsive forces between the particles and a better emulsification, as shown by the higher ζ -490 potential and the lower surface tension, respectively, leading to emulsions with more dispersed lipid 491 droplets. Consequently, GEL and SC promoted carotenoid bioaccessibility compared to SPI, despite 492 a slightly reduced lipid digestion. The same negative effect on lipid digestion was found for WPI, 493 perhaps due to the pepsinolysis-resistance of β -lactoglobulin, preventing enzymatic access to the 494 495 surface of the lipid droplets at the early stage of intestinal digestion, though this effect disappeared during intestinal digestion, resulting in complete digestion of WPI and fairly high carotenoid 496 bioaccessibility. 497

499 CONCLUSIONS

500 Proteins added to the simulated GI digestions significantly influenced carotenoid bioaccessibility, either positively or negatively. The effects depended on the type and concentration of the protein, 501 but also on the type of carotenoid. Proteins enhanced β -carotene bioaccessibility by up to 189%, 502 while a decrease of up to 50% was observed for lutein. The influence was somewhat limited 503 504 regarding lycopene bioaccessibility (increase by up to 135%). On the one hand, differences in polarity of each carotenoid are reflected in a different rate of micellization ^{41, 42} and on the other 505 506 hand, the contribution of proteins, via their emulsifying properties, depended on their type and concentration ^{21, 63}. This study also investigated the association between the digestion of 507 macronutrients (proteolysis and lipolysis) and their impact on digesta characteristics such as surface 508 509 tension and macroviscosity, which in turn could influence emulsion stability, repulsive forces, mixed micelle size, all of which could also impinge on carotenoid bioaccessibility. The results 510 highlight the effects of proteins on carotenoid micellization, constituting an important stage in their 511 bioavailability. However, studying further potential interactions of proteins or/with carotenoid-rich 512 food-matrices would be of great interest. It also remains to be investigated which interactions occur 513 following the interaction of carotenoids from a more mixed diet containing various types of 514 proteins. In addition, investigating the subsequent stages of bioaccessibility, i.e. cellular uptake, will 515 certainly provide additional insights, and of course, final confirmation of these results in vivo 516 517 should be targeted.

518

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TABLES

Table 1. Overview of the applied conditions and parameters investigated following the simulatedgastro-intestinal (GI) digestion.

Conditio	ons of the si	nulated <i>in vitro</i> (I digestion	Aspects investigated following the in vitro GI digestion						
			Carotenoid type studied	Micellar phase	Characterization of the digesta					
Digestion steps	Protein type digested	Protein concentration (% RDA*)			Macrom	blecules	Physicochemical characteristics			
				Carotenoids	Proteins	Lipids	Solution	Particles		
	Whey protein isolate	0		Carotenoid bioaccessibility	Protein degradation	Free fatty acid release	Macro- viscosity			
		10	-							
		25	Lycopene							
		50						ζ-Potentia		
G ()	Soy	0								
Gastric &	protein	10						Micelle siz		
intestinal	isolate	25	β-Carotene				Surface tension			
phases		50						Confocal		
	Sodium	0						microscopy visualization		
	caseinate	10								
		25	Lutein							
	Gelatin	50								

*RDA: Recommended dietary allowance.

FIGURE HEADINGS

707 **Fig. 1.** Influence of whey protein isolate (panel A), soy protein isolate (panel B), sodium caseinate 708 (panel C) and gelatin (panel D) at various concentrations on the bioaccessibility of pure carotenoids 709 following simulated gastro-intestinal digestions. β-Carotene, lycopene and lutein were examined in a digestion system either in the absence or presence of WPI, SPI, SC or GEL at different 710 concentrations (0, 10, 25 and 50% of protein recommended dietary allowance (RDA)). 711 712 Bioaccessibility is expressed as the percentage of pure carotenoids recovered from the aqueous micellar fraction at the end of the *in vitro* GI digestion, compared to the amount of the individual 713 714 carotenoid added at the beginning of digestion. Values represent means \pm SD of $n \ge 4$. Labeled means without a common superscript (alphabetic letters or roman numbers) differ significantly, P < P715 0.001. 716

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Fig. 2. SDS-PAGE results showing the protein bands of pure whey protein isolate (panel A), soy 718 protein isolate (panel B), sodium caseinate (panel C) and gelatin (panel D), compared to gastro-719 720 intestinal digestions and gastric digestions only in either presence or absence of proteins. Marker bands are shown on each side. G: gastric digestion of the matrix in the absence (0%) or presence of 721 722 proteins at a concentration of 50% RDA. GI: gastrointestinal digestion of the matrix in the absence 723 (0%) or presence of proteins at a concentration of 50% RDA. Pure protein represents native undigested protein. Some known polypeptides have been identified to confirm the integrity and type 724 725 of the proteins. Panel A) 1: β-Lactoglobulin; 2: α-Lactalbumin. Panel B) 1: β-Conglycinin polypeptides (α , α ', β subunits); 2: Acid glycinin subunit polypetides; 3: Basic glycinin subunit 726 polypeptides. Panel C) 1: α_{S2} -Casein; 2: α_{S1} -Casein; 3: β -Casein; 4: κ -Casein. 727

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Fig. 3. Influence of proteins on the release of free fatty acids (FFAs) during simulated gastrointestinal digestion. Whey protein isolate (panel A), soy protein isolate (panel B), sodium caseinate (panel C) and gelatin (panel D) were digested at various concentrations (0, 10, 25 and 50% of the recommended dietary allowance (RDA)), and the release of FFAs was evaluated at the end of GI digestion by means of Cayman's Free Fatty Acid Fluorometric Assay. Values represent means \pm SD of *n*=3. Labeled means without a common superscript (alphabetic letters) differ significantly, *P*<0.05.

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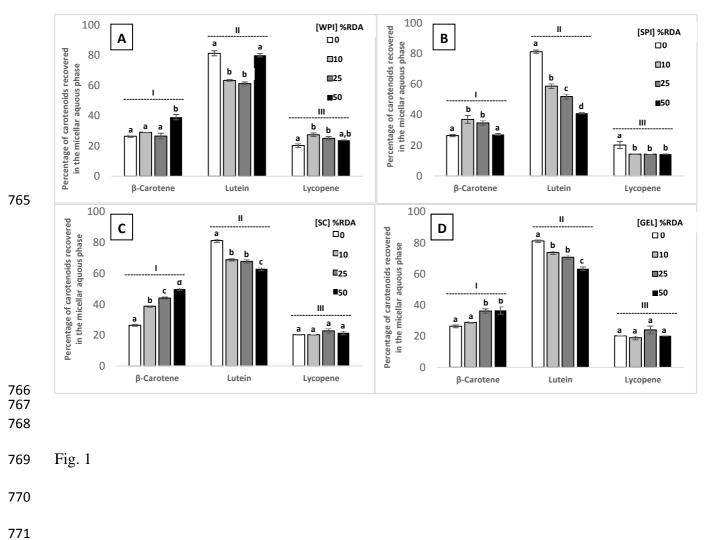
737 Fig. 4. Effect of pure proteins at various concentrations on the macroviscosity (panel A) and shear stress (panel B) of the digesta following gastro-intestinal digestion. Panel A represents the averaged 738 viscosity curves ($n \ge 3$) as a function of the shear (1 - 100 s⁻¹) rate for non-digested and digested 739 whey protein isolate (WPI), sodium caseinate (SC) or gelatin (GEL), at different concentrations 740 representing 0 and 50% of the recommended dietary allowance (RDA). Panel B represents the 741 742 averaged Bingham regressions ($n \ge 3$) of the shear stress (0 – 100 Pa) as a function of the shear (1 – 100 s⁻¹) rate for non-digested and digested WPISC or GEL at different concentrations, representing 743 0 and 50% of the RDA. 744

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Fig. 5. Confocal microscopy images taken after gastro-intestinal digestion with whey protein isolate 746 (WPI), soy protein isolate (SPI), sodium caseinate (SC), and gelatin (GEL). Confocal imaging of 747 748 emulsion structures was carried out at room temperature with a confocal laser scanning microscope (Zeiss LSM 880, Airyscam SR, Jena, Germany), using a 63x objective. The fluorescent dyes were 749 750 excited by an Argon laser (488 nm) and the emitted light was collected at 522 nm for protein and 751 635 nm for the fat phase. Lipids were labelled with Nile red (red colour) and proteins were labelled with fluorescent isothiocyanate (FITC) (green colour). Of note, the combination of red and green is 752 753 yellow in additive colour configurations. The white-grey bar indicates 10 µm.

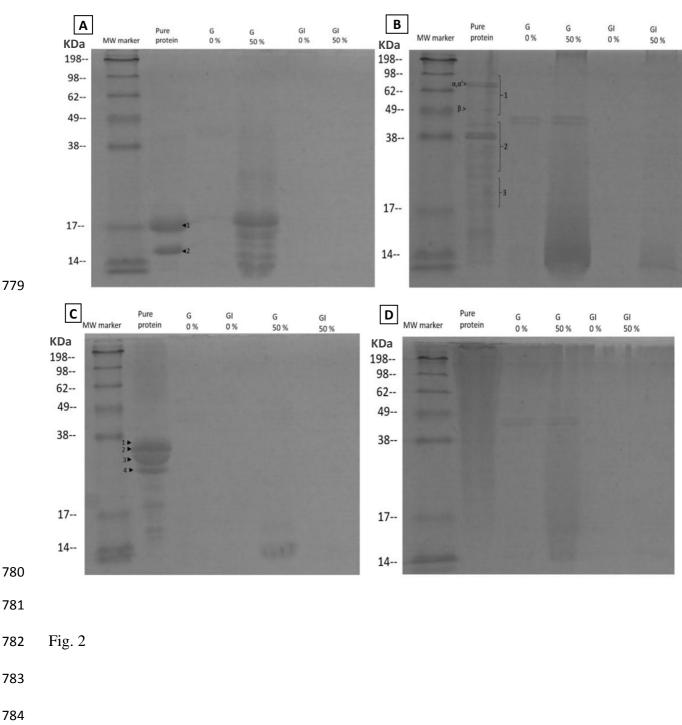
Fig. 6. Effect of whey protein isolate (panel A), soy protein isolate (panel B), sodium caseinate 755 (panel C) and gelatin (panel D) at various concentrations on the mixed micelle ζ-potential following 756 gastro-intestinal *in vitro* digestions. β-Carotene, lycopene and lutein were examined in a digestion 757 758 system in the presence of WPI, SPI, SC or GEL at different concentrations (0, 10, 25 and 50% of 759 the recommended dietary allowance (RDA)). Filtered aliquots of the aqueous micellar fraction were used to determine mixed micelle size by using photon correlation spectroscopy (Zetasizer Nano Zs, 760 Malvern Instruments) at room temperature. Values represent means \pm SD of *n*=4. Labeled means 761 without a common superscript (alphabetic letters) differ significantly, P<0.001. 762

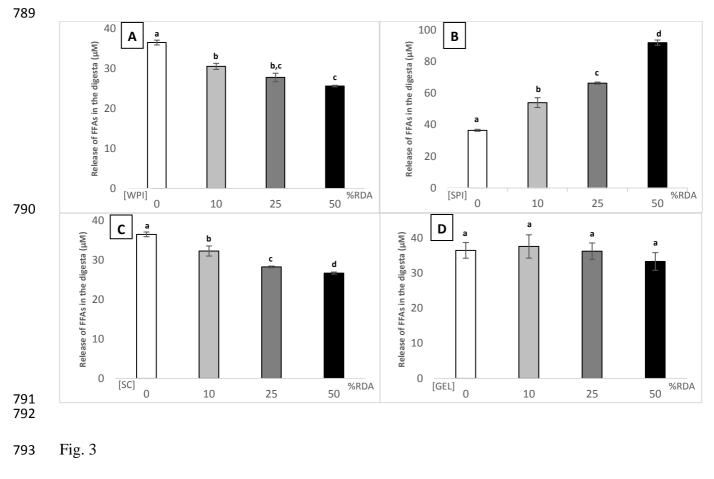
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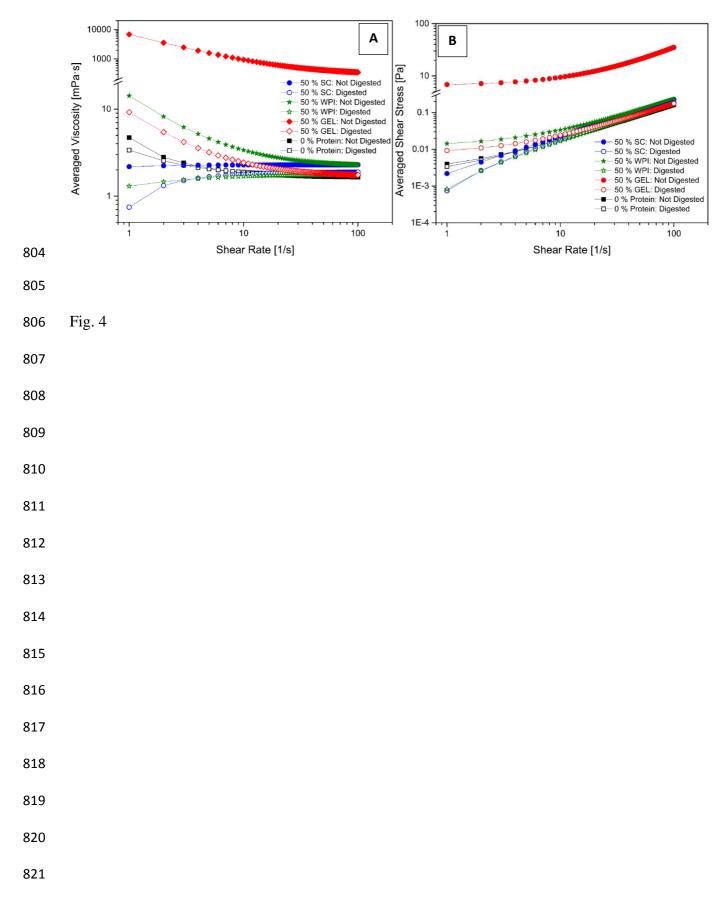


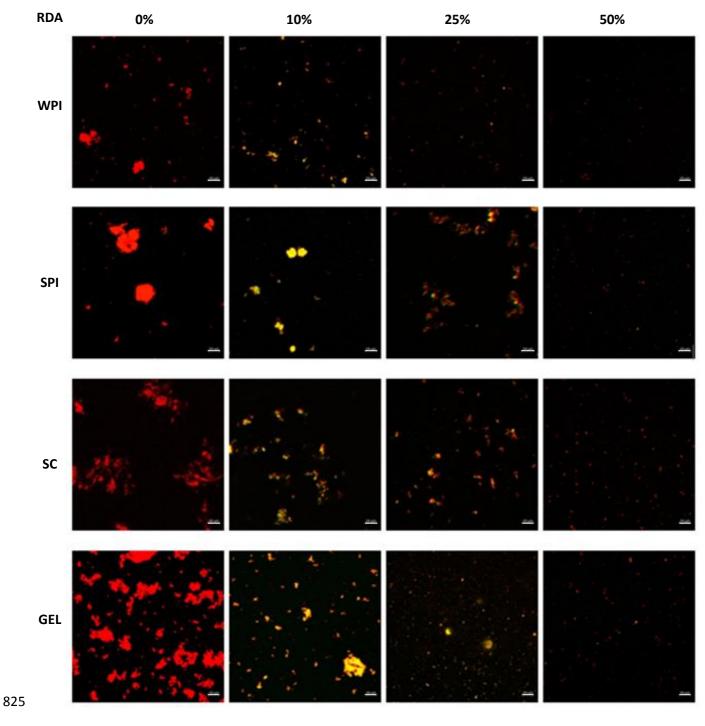
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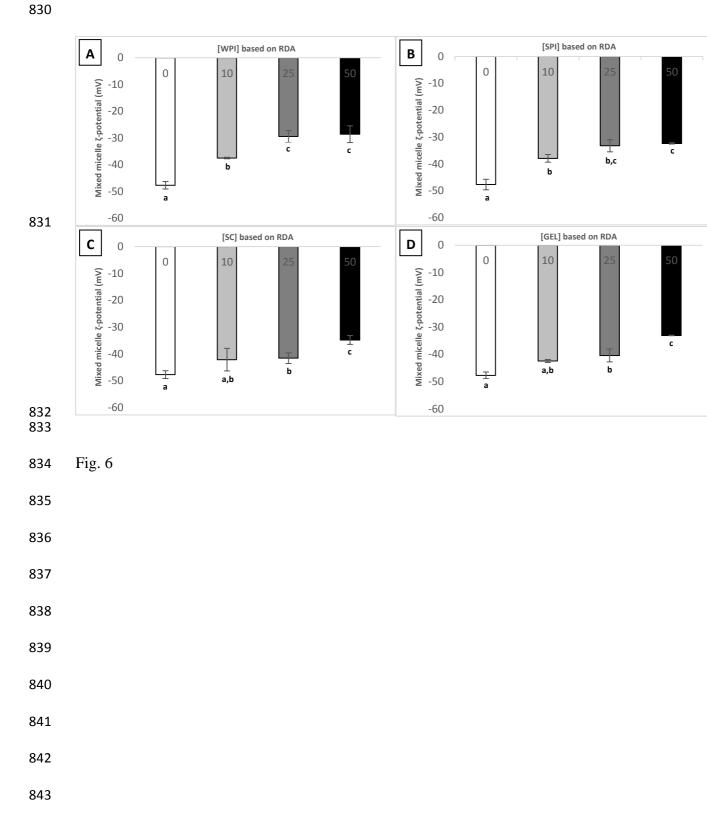




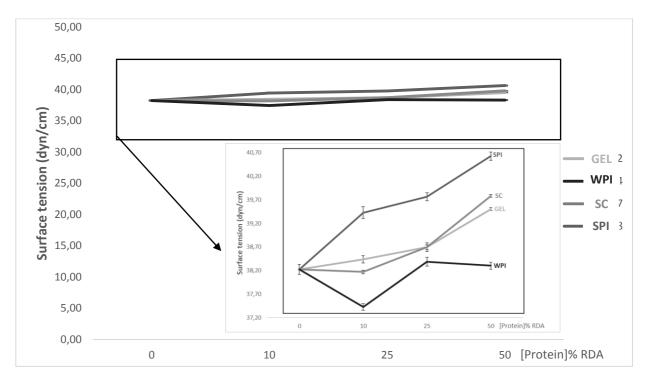




- Fig. 5



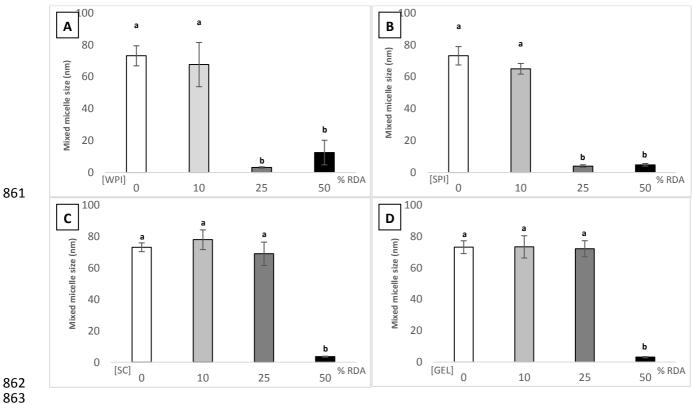
846 Online supporting material (OSM)





OSM - Fig. 1. Effect of pure proteins at various concentrations on the surface tension of the digesta (value of pure water: 71.99 dyn/cm), following gastro-intestinal digestion. Whey protein isolate (WPI), soy protein isolate (SPI), sodium caseinate (SC) or gelatin (GEL) were subjected to simulated GI digestion at different concentrations (0, 10, 25 and 50% of the recommended dietary allowance (RDA)). The surface tension of digesta, pre-conditioned at 25 ± 0.1 °C, was determined by the weight-drop method. Values represent means \pm SD of n = 16 replicates.

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OSM – Fig. 2. Effect of whey protein isolate (WPI, panel A), soy protein isolate (SPI, panel B), sodium caseinate (SC, panel C) and gelatin (Gel, panel D) at various concentrations on the size of the produced mixed micelles following gastro-intestinal in vitro digestions. WPI, SPI, SC or GEL were subjected to the simulated digestion at different concentrations (0, 10, 25 and 50% of the recommended dietary allowance (RDA)). Filtered aliquots of the aqueous micellar fraction were used to determine mixed micelle size by photon correlation spectroscopy (Zetasizer Nano Zs, Malvern Instruments) at room temperature. Values represent means \pm SD of $n \ge 12$. Labeled means without a common superscript (alphabetic letters) differ significantly, P < 0.05.