

# Conservative to disruptive diets for optimizing nutrition, environmental impacts and cost in French adults from the NutriNet-Santé cohort

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- 3
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#### 25 Abstract

- 26 Improving sustainability of diets requires the identification of diets that meet nutritional
- 27 requirements of populations, promote health, are within planetary boundaries, affordable and
- 28 acceptable. Here, we explore the extent to which dimensions of sustainability could be
- 29 optimally aligned and identify more sustainable dietary solutions, from the most conservative
- 30 to the most disruptive among 12,166 participants of the NutriNet-Santé cohort. We aim to
- 31 concomitantly lower environmental impacts (including greenhouse gas emissions, cumulative
- 32 energy demand and land occupation), and increase organic food consumption, and study
- 33 departure from observed diet (considered as a proxy for acceptability).
- 34 From the most conservative to the most disruptive scenario, optimized diets were gradually,
- 35 richer in fruits, vegetables, and soya-based products and markedly poorer in animal-based
- 36 foods and fatty and sweet foods. The contribution of animal protein to total protein intake
- 37 gradually decreased by 12% to 70% of the observed value. The greenhouse gas emissions
- 38 from the food production for the diets gradually decreased across scenarios (% of observed
- values) by 36% to 86%, land occupation for food production by 32% to 78%, and energy
- 40 demand by 28% to 72%. Our results offer a benchmark of scenarios of graded diet changes
- 41 against graded sustainability improvements.

#### 42 Introduction

- 43 In high-income countries, rebalancing diets in favour of plant food is considered a major route
- 44 to improve the sustainability of food systems  $^{1-5}$ . The EAT-Lancet commission concluded that
- 45 a drastic reduction of red meat consumption, to less than 28 g.d<sup>-1</sup>, is required to improve the
- 46 sustainability of diet <sup>4</sup>. High intakes of animal-based food have been identified as contributing
- 47 to greenhouse gas emissions, a threat to planetary boundaries  $^{5,6}$  and a risk factor for chronic
- 48 diseases  $^{1,2,5}$ .
- 49 There have been attempts to model future sustainable diets with mathematical diet
- 50 optimization techniques, taking environmental, nutritional, economic and food habit metrics
- 51 into account  $^{7-9}$ .
- 52 In almost all previous modelling studies, the environmental impacts of diet have been
- 53 considered as constraints rather than objectives in the optimization model, *e.g.*, by limiting the
- 54 final level of greenhouse gas emissions required to maintain global warming below 2°C or
- 55 gradual reduction of the environmental impacts of diet production  $^{7-9}$ . We are aware of only
- one such study that tried to minimize three environmental indicators (greenhouse gas
- 57 emissions (GHGe), water use and land occupation) and monetary cost  $^{10}$ .
- 58 Finally, in these modelling studies, the impact of diets on toxicological and ecotoxicological
- 59 exposure is rarely considered <sup>11</sup>. Differences in environmental impacts of diets composed of
- 60 conventionally grown foods compared to organic ones have been shown, in particular with
- 61 respect to soil quality and biodiversity 12-18 and so, the food production method should be
- 62 introduced in optimization models as an alternative to intensive farming practices.
- 63 In general, previous studies have addressed the optimization problem at the level of average
- 64 diets for the entire population or some of its subgroups  $^{7}$ . The inter-individual variability in
- 65 diet composition, however, would enable greater assessment of the robustness of solutions
- 66 identified; for example, optimized diets could vary according to the proportion of plant-based
- 67 foods in the initial diet.
- 68 Here, we identify and compare the dietary changes needed to achieve a nutritionally adequate
- and economically acceptable diet with lower diet-related environmental impacts and higher
- 70 organic food contributions. We used individual-based multi-criteria optimization in a large
- sample of adult participants. To explore the departures from usual diets that are required, we
- 72 consider scenarios offering graded levels of suboptimal values for sustainability criteria
- real encompassing nutritional and environmental (using the pReCiPe, a synthetic indicator
- summarizing three indicators GHGe, land occupation and energy demand) characteristics.
- 75 Nutritional characteristics of the diets were described using the PANDiet score (a score

- reflecting the probability to reach nutritional references)<sup>19</sup>. To better identify the required
- changes for dietary habits, we presented the optimized diets according to the level of plant-
- 78 foods in the baseline diet.
- 79

# 80 Results

# 81 Individual characteristics

82 We performed the optimization process on a sample composed of 12,308 participants from 83 the NutriNet-Santé cohort. No solution was found by the model for 142 participants. The final 84 sample, composed of 12,166 participants, were likely to be older, with a higher income, living 85 with a partner and without obesity compared to the sample of participants included in the NutriNet-Santé cohort in 2014 (Supplemental Table 1). This population included more often 86 female and highly educated people than the general population <sup>20</sup>. **Table 1** presents the socio-87 88 economic and lifestyle characteristics of participants for which optimization succeeded by 89 tertiles of provegetarian score (a score reflecting the preference for plant-based foods without 90 total exclusion of animal food).

- 91 We found significant differences between tertiles for most of the characteristics tested, except
- 92 for the proportion of women and income categories. Participants with higher provegetarian
- score were more likely to be more highly educated, physically active, non-smokers, and
- 94 moderate or non-drinkers.
- 95

# 96 Intermediate optimization steps and the extent of potential improvements

- 97 The characteristics of the observed and optimized diets after the steps 0 (closest diet to the
- 98 observed diet meeting the nutritional needs), 1 (diet inducing the lowest environmental impact
- 99 while satisfying nutritional and price constraints) and 2 (diet inducing the highest
- 100 consumption of organic foods while satisfying nutritional and price constraints, for different
- 101 scenarios of concomitant reduction in environmental impacts) are shown in **Supplemental**

# 102 Figure 1, Supplemental Figure 2 and Supplemental Table 2.

- 103 After step 0, the monetary cost of the diet meeting nutritional needs was higher than the
- 104 monetary costs of the observed diet for 2,711 participants (22.2% of the sample). For these
- 105 2,711 participants, the maximum monetary cost imposed during the following steps was set to
- 106 the price obtained in this step 0.
- 107 After step 1 aiming to estimate the maximum improvement of the environmental impacts of
- 108 the diet production (E, based on the pReCiPe) without any consideration of organic food

- 109 intake (O) and diet departure (D), we observed that E could be reduced by as much as 90%,
- 110 regardless of the baseline provegetarian score.
- 111 After step 2 aiming to estimate the maximal improvement in O, without any consideration of
- 112 D, we obtained distinct solutions according to considered scenario of concomitant
- 113 improvement in E (value of the parameter p%, imposing an E improvement of at least p% of
- 114 its maximal improvement determined in step 1), but with diets being always composed almost
- 115 exclusively of organic foods regardless of the p% scenario.
- 116

#### 117 Final multi-optimized and acceptable diets

- 118 At the end of the optimization process (step 3), we obtained different diet solutions for each of
- the five considered p% scenarios of combined improvements in E and O, which were
- 120 constrained to be at least p% of their maximal improvements determined in step 2. The
- 121 changes in nutrient adequacy (PANDiet), monetary cost, energy density, organic food
- 122 contribution (O in % of dietary intake), environmental impact (E based on pReCiPe) and
- 123 animal protein contribution (% of animal in total protein intake) from the observed diet to the
- 124 optimized diets issued from the five scenarios are presented in **Figure 1** by provegetarian
- score tertile, and these data are further detailed in **Supplemental Table 3**. The mean
- 126 population values for the relative variations between optimized and observed diets in the
- 127 environmental and nutritional indicators are summarized in **Table 2**. From the most
- 128 conservative (p=25%) to the most disruptive (p=90%) scenario, we observed gradual
- 129 improvements towards environmentally-friendlier and nutritionally adequate diets, while the
- 130 monetary cost varied little. Among scenarios of progressive disruption, the environmental
- 131 impacts (pReCiPe) thus gradually decreased by 33% to 80% while the nutritional adequacy
- 132 (PANDiet) gradually increased from 16% to 28% of the initial observed values. The adequacy
- 133 probabilities for the main nutrients (PANDiet subscores) are further detailed in the
- 134 **Supplemental Table 4**. It is noteworthy that, as expected, most probabilities were close to 1,
- 135 except for a few whose reference values differed from the constraint being set in **Table 3**. For
- 136 most indicators, the more conservative (less disruptive) the scenario, the greater the
- 137 differences between tertiles of provegetarian score.
- 138 As for the dietary patterns represented in Figure 2, the contributions of fruit, vegetables (in
- 139 particular orange vegetables), starchy foods and soya progressively increased from the most
- 140 conservative to the most disruptive scenarios, whereas the consumption of meat, dairy
- 141 products, eggs, mixed dishes, fatty and sweetened or salted foods progressively decreased.
- 142 The contribution of nuts and legumes increased in the most conservative scenario (25%) but

- 143 decreased thereafter in the more disruptive scenarios (and notably from p=70%) in favour of
- 144 further increases in fruit, vegetables and soya. The differences in the structure of diets across
- 145 tertiles gradually decreased as p increased and were only minor in the most disruptive

scenario (p=90%). Finally, changes in food group consumption over scenarios were similar

- 147 across the different tertiles of provegetarian score, except for fish, whose consumption
- 148 increased over scenarios only for consumers of fish in the third tertile.
- 149 Consumption data (g/d) by food groups and scenario for observed, step 2 and step 3 diets per
- 150 tertile of Provegetarian score are presented in **Supplemental Table 5.**
- 151

# 152 Tensions between environmental impacts and organic consumption

153 Figure 3 illustrates the variations in environmental impact (pReCiPe) and organic food

154 contribution (%Org) through the different scenarios. From the observed diet to the most

155 disruptive scenario, as pReCiPe progressively decreased, %Org progressively increased until

reaching an inflection point for a pReCiPe of ~0.3, from which %Org stabilized around 95%

157 or even slightly decreased. This inflection point showed a conflict between further reducing

- 158 pReCiPe (below 0.3) and further increasing %Org (above 95%) at fixed monetary cost once a
- 159 very low pReCiPe has been achieved.
- 160

## 161 **Discussion**

162 This diet optimization study conducted at individual level in a large French sample of adults

163 has identified affordable and nutritionally adequate diets with reduced environmental impacts

164 (pReCiPe) and increased organic food content (%Org), and we graded those diets against

165 thresholds of improvements in these sustainability criteria.

166 From conservative to disruptive scenarios, the changes in food group consumption were

167 progressive. By improving the sustainability (encompassing nutritional, environmental, and

168 economic characteristics) of diets, the progressive substitution of animal products by plant

169 products observed in our work was in line with the results of other optimization studies in

170 high income countries <sup>9</sup>. It would be interesting to compare our solutions with regard to the

171 context and evolution of agricultural sectors in countries like France. For example, our

- solutions to reduce environmental impacts are characterised by an increase in soya-based
- 173 products. In the case of imported soya, the transportation phase may lead to counterproductive
- 174 effects. However, this was not assessed in our study as we considered impacts at the
- 175 production level only. In addition, their nutritional profile could be advantageous for
- 176 optimization. For instance, the risks or benefits of high isoflavone consumption from soya

177 have not been assessed. In addition, a number of other legume or nut-based foods were not 178 included (not detailed in the FFQ) in the items considered in the optimization. However, 179 given the ongoing trend to eat more plant protein, the food supply of products rich in plant 180 proteins increases and diversifies so that presumably the place of soya-based foods could be 181 less important in the future than it was at the time when the dietary data were collected in the 182 present study (2014). Along the same line, the solutions obtained revealed a high proportion 183 of organic food. This is in line with the latest French national nutrition and health program, in 184 which it is recommended to increase organic food consumption, if possible. Nevertheless, today the French organic food sector does not meet this societal demand <sup>12,21</sup> and this mode of 185 186 production would need to be expanded to make our prospective scenarios valid. In addition, 187 for the moment, the use of green manure without use for livestock is still very scarce in 188 France. However, the potential development of organic farming and plant-based diets will 189 induce crucial nitrogen needs and this should be considered in the future as the nitrogen from 190 manure or slurry (allowed in organic farming) will be probably insufficient. Finally, ruminant 191 meat consumption was removed from most solutions of disruptive scenarios while dairy 192 product consumption was only reduced compared to observed diets. It would be relevant to 193 assess whether the livestock required to produce this quantity of dairy products is in line with 194 the consumption levels of ruminant meat in each of the scenarios. Some authors have attempted to consider the co-products in their optimization models<sup>22</sup>, and indeed reported a 195 196 lower reduction of the consumption of ruminant meats, but with an extent that strongly 197 depended on the coefficient used to link milk production to meat production. In addition, such 198 a co-product approach makes sense at the population level but not at the individual level. 199 Indeed, it does not seem necessary that each participant's ruminant consumption complies 200 with her/his dairy product consumption as long as the co-products are balanced at the 201 population level. Finally, we observed that fish and seafood consumption decreased on 202 average for the participants of the first two tertiles, notably because of the introduction of 203 constraints. Our objective for adding constraints on fish was to take into account the depletion 204 of fish stocks and the acknowledged toxicological risks related to over-consumption of 205 seafood products. Nevertheless, we can see that with these solutions the nutritional needs for 206 eicosapentoenoic acid and docosahexaenoic acid were not covered by the diets. It would be 207 necessary to consider the introduction of other foods that are sources of these nutrients, such 208 as marine oils.

The optimized diets generated under our scenarios were in line with observed diets identified as more sustainable or proposed by others scenarios in previous studies  $^{1-4}$ . Indeed, they are 211 more plant-based with few fat and sweet foods. Previous results showed that this kind of diet is associated with improved health conditions  $^{4,23-26}$ . However, plant-based diets (100%) may 212 213 have consequences on nutritional status. On the one hand, the bioavailability of some 214 nutrients (iron, zinc, vitamin A) is jeopardized in plant-based diets due, for instance, to phytic 215 acid. On the other hand, meat and meat products play an important role in bioavailable intakes 216 of protein, iron, zinc and vitamin (A and B12)<sup>27</sup>. Consequently, it is important to consider that a shift towards highly plant-based diets may prevent nutritional requirements from being 217 met, although some food synergies may help the absorption  $^{28}$ . In our study, nutritional quality 218 219 was assessed by the PANDiet which considers bioavailable zinc and iron in its calculation. 220 Although for some nutrients, quality may be impaired, overall, the PANDiet score is 221 progressively improved in scenarios with increasing plant food content. It however reaches a 222 plateau in the most disruptive scenarios, illustrating that nutritional gain becomes low. 223 Moreover, given that the nutritional constraints are fixed, meat, especially from ruminants, is 224 not totally eliminated. Of note, we have not been able to conduct a specific analysis on the 225 individual amino acids as they are not available in our database.

We should acknowledge some limitations of the present study. Firstly, we conducted our 226 227 analyses on diets from volunteers involved in a long-term cohort focusing on nutrition and 228 health. Indeed, the NutriNet-Santé participants are more often women, highly educated and exhibit healthier behaviours compared to the French population <sup>20,29</sup>. This may have led to an 229 230 over-representation of sustainable dietary patterns (rich in vegetables, fruits, whole-grains, 231 legumes and nuts) compared to the general population. Thus, our diet solutions are applicable 232 only to diets similar to those of this sample, and it would be necessary to question our results 233 before their generalization or application even if we have worked on a large number of people with different dietary patterns. Then, environmental indicators were available for organic food 234 235 only at the production stage. Thus, we used production-related impacts for GHGe, energy and 236 land occupation which may have led to an underestimation of overall impact. This limitation is relative since most of the impacts occur during the production phase <sup>30</sup>. Given that data for 237 238 organic food are scarce, we were able to consider only three environmental indicators. The 239 three indicators included in the pReCiPe can be considered sufficient for an acceptable representativeness of the overall environmental impact  $^{31}$ . For some usual foods (*e.g.* tea, 240 241 etc.), no pReCiPe values were available. We therefore excluded these items in the modelling 242 procedure. In addition, the plant-based meat substitutes that young generation of vegetarians are often fond of <sup>32</sup> may be less environmental friendly <sup>3</sup> than crude plant-based foods and 243 244 may also depend on the farming system. Moreover, our diet solutions were driven by our

- 245 methodological approach including definition of the objective functions, constraints, scenarios
- and process. However, we elected to perform 5 scenarios to propose solutions according to
- the extent of the changes to be made. We also assumed, as in most diet optimization studies <sup>7</sup>,
- that the most acceptable diets are the closest to the observed diets, but this remains simplistic
- at a time where the eating habits change very quickly. In addition, we worked with ~200
- 250 generic items representing sub-categories, which was a modest sample compared to the
- 251 variety of French food offer in terms of food items, but this was in the range of numbers used
- in other optimization studies. Food-consumption data were self-reported and the use of 5-
- 253 points ordinal scale may have probably led to overestimation of the actual organic food
- consumption. However, these data derived from a validated food frequency questionnaire that
- had shown relative validity and reproducibility <sup>33</sup>. Finally, due to vast gaps in the field, we did
- not distinguish the food composition according to the model of production (conventional *vs.*
- 257 organic), place of purchase or seasonality.
- In conclusion, for the first time, this study identified at the individual level the existence of
- sustainable diets that notably comply with a large set of environmental metrics (including
- 260 GHGEs, energy demand and land occupation) and economical and nutritional criterions, and
- with high organic food content in a large French adult sample. This exploratory study also
- 262 offers five scenarios that are graded according to the underlying disruption of the food system,
- 263 on the one hand, and, on the other hand, the efficiency for meeting the environmental
- challenges.
- Our study provides important features concerning the composition of sustainable diets, based on a multi-criteria sustainability approach, under nutritional constraints, and at controlled cost. Our work illustrates the compatibility of various dimensions. This work could contribute to the development of recommendations for sustainable diets. Importantly, the more the impacts are reduced, the more the diets deviate from the initial intakes. All possible levers must be used so as to increase food knowledge of the population regarding sustainable issues. It is, however, important to bear in mind that even small changes on a large scale can lead to
- 272 significant reductions in impacts.
- 273 Materials and Methods
- 274 Study population
- 275 The study population was composed of adult volunteers from the prospective NutriNet-Santé
- 276 cohort, which was launched in May 2009 in France  $^{34}$ . At initiation of the cohort and yearly
- thereafter, participants completed a baseline set of self-administered questionnaires regarding
- their dietary intake, socio-economic, anthropometric, health status, and lifestyle

- 279 characteristics. Participants were also regularly invited to complete complementary
- 280 questionnaires. This study was conducted in accordance with the Declaration of Helsinki, and
- all procedures were approved by the Institutional Review Board of the French Institute for
- Health and Medical Research (IRB Inserm 0000388FWA00005831) and the Commission
- 283 Nationale de l'Informatique et des Libertés (CNIL 908,450 and 909,216). Electronic informed
- 284 consent was obtained from all participants. The NutriNet-Santé study was registered in
- 285 ClinicalTrials.gov (NCT03335644).
- 286

# 287 Assessment of Dietary Data

From June to December 2014, participants were asked to fill in a self-administered semi-

- 289 quantitative organic food-frequency questionnaire (Org-FFQ) based on a validated FFQ <sup>33</sup>.
- 290 The development and sensitivity analyses of the Org-FFQ have been published elsewhere  $^{35}$ .
- 291 Briefly, the Org-FFQ collected information on consumption frequencies (yearly, monthly,
- weekly, and daily units) and portion sizes for 264 items over a year. We estimated the total
- food intake by multiplying the portion size and the consumption frequency for each item. A 5-
- 294 point ordinal scale (never, rarely, half of the time, often and always) was added to measure the
- frequency of organic food consumption for 257 food and beverage items produced under the
- 296 organic label. We obtained the organic share for the 257 food items by attributing the
- respective percentages: 0, 25, 50, 75 and 1, to the modalities. We evaluated the share of
- organic food to the diet by dividing the total organic food intake (g/day) by the total food
  intake (g/day) excluding water.
- 300 We used the NutriNet-Santé food composition database <sup>36</sup> to estimate daily nutrient intake
- 301 from the diets, regardless of the food production method (organic vs. conventional) due to
- 302 gaps in the field limiting the coverage of the whole diet. In addition, much of the scientific
- 303 literature on the topic has underlined that some factors such as weather conditions, crop
- 304 species, soil type, location, livestock nutrition could prevail over organic vs. conventional
- 305 practices <sup>37</sup>. Finally, to assess the nutritional quality of diet, we computed the updated version
- 306 considering the 2016 ANSES (French National Health Security Agency for food, environment
- 307 and workplace) guidelines of nutrient-based probability of adequate nutrient intake diet,
- 308 named PANDiet <sup>19,38</sup>. It is composed of two subscores: an adequacy composed of nutrients for
- 309 which intake should be above a reference value and a moderation score for items for which
- 310 the usual intake should not exceed a reference value.
- 311 The provegetarian score is a dietary index reflecting the proportion of plant-based food
- 312 consumed in a diet <sup>39</sup>. It has been previously developed and adapted in the NutriNet-Santé

- cohort  $^{40,41}$ . We adjusted the consumption (g/d) of 5 animal food groups (eggs, fish, dairy 313 314 products, meat and added animal fats) and 7 vegetable food groups (fruit, vegetables, nuts, 315 cereals, potatoes, legumes and olive oil) for the total energy intake by using the residual 316 method, separately for men and women. For each plant component, we allocated 1 to 5 points 317 to energy-adjusted sex-specific quintile values. For animal food groups, the quintile values 318 were reversed (from 5 for the first quintile to 1 for the fifth quintile). We obtained the final 319 provegetarian score (range: 12-60 points) by summing the points of vegetable and animal 320 food groups.
- 321

# 322 Price database and computation of the monetary cost of diet

323 We assigned a price to each food item considering the mode of food production (organic *vs*.

324 conventional) as well as and the place of purchase using the 2012 Kantar Worldpanel

325 purchase database and a price database obtained through price collections carried out by

326 members of Bioconsom'acteurs for prices in short supply chains  $^{42}$ .

327 The main place of food purchase was assessed for 12 food groups gathering 264 items using a

328 secondary questionnaire concomitant with the Org-FFQ. This information was used to assess

the individual daily monetary cost of the diet by multiplying the quantities consumed (g/d) by

the corresponding item prices  $(\mathbb{E}/g)$ , while accounting for the place of food purchase and the mode of food production.

332

#### 333 Environmental impact database and computation of the environmental impacts

The method used to assess the environmental impacts related to raw products as well as the sources of data used have been extensively described in Seconda et al.<sup>43</sup>. Briefly, we

336 considered three environmental indicators measured per kg of each item: the GHGEs,

including carbon dioxide, methane and nitrous oxide emissions, measured as kg of CO<sub>2</sub>

equivalent by the global warming potential for a 100-year time horizon, the cumulative

energy demand in MJ and the land occupation expressed in  $m^2$  and defined as the area

340 required to produce raw agricultural products without considering the duration of land use

341 Environmental indicators were estimated using standardized procedures for LCA computation

<sup>44–48</sup>. The DIALECTE database, comprising 2,000 French farms, half of which are organic,

343 was used to calculate the environmental impacts of agricultural raw product at the farm gate.

344 When DIALECTE<sup>49</sup> data were too few or lacking, we used other data sources such as

345 Agribalyse <sup>50</sup> (heated greenhouses products, conventional pork, coffee) and literature results

346 (seafood, imported food such as sugarcane or tea). Environmental impacts were computed for

- 347 92 agricultural raw products at the farm gate, 62 came from DIALECTE and 30 from other348 sources.
- 349 The data were compared to the literature <sup>30,51</sup> for validation purpose. Impacts of food products
- 350 were calculated from impacts of raw products using economic factors when the
- transformation of the raw product yielded several valuable co-products <sup>52</sup>. We computed daily
- diet-related GHGEs, cumulative energy demand and land occupation per person by
- 353 multiplying the reported intake of each food item by their respective environmental impacts
- 354 considering the mode of food production (conventional *vs.* organic).
- 355 We used the pReCiPe, a synthetic score  $^{31,53}$  to aggregate these three indicators of diet
- 356 environmental impact into. The ReCiPe system was established to take into account trade-offs
- 357 and conflicts between environmental indicators and to consider the alignment of midpoint-
- 358 oriented and endpoint-oriented indicators, using weighing values, as defined by a panel based
- 359 on European data <sup>54</sup>. Kramer et al. <sup>31</sup> documented that the three indicators, namely GHGEs,
- 360 primary energy consumption and land occupation, included in the partial ReCiPe (pReCiPe)
- allow a satisfactory representativeness (about 90%) of the total environmental impact.
- 362 However, many other relevant indicators <sup>55</sup> also exist. We focused on these three indicators
- 363 due to lack of data concerning LCA for organic food.
- 364 It is defined as:
- 365 pReCiPe = [0.0459 X GHGEs + 0.0025 X CED + 0.0439 X LO]
- 366
- 367 where GHGEs is greenhouse gas emissions, in kgCO2 eq/kg, CED is cumulative energy
- demand, in MJ/kg and LO is land occupation, in  $m^2/kg$ .
- 369 We obtained the pReCiPe per day of each individual diet by multiplying the pReCiPe of each
- food item accounting for the food production method by the daily quantity of food consumedand by summing them up.
- 372

#### 373 Sociodemographic and lifestyle characteristics

- 374 Participants filled in validated web-questionnaires collecting data on sociodemographic and
- 375 lifestyle characteristics <sup>56,57</sup>. We used the data closest to the Org-FFQ completion date for
- articipant. Sociodemographic and lifestyle characteristics included sex, age (over 18
- 377 years), last scholar qualification (<high school diploma, high school diploma, and post-
- 378 secondary graduate), marital status, household size, monthly income per household unit
- 379 (<1,200€, between 1,200 and 1,800€, between 1,800 and 2,700€, and >2,700€ per household
- unit) obtained using the household income per month and the household composition,

- 381 smoking status (former, occasional, current, or non-smoker), level of physical activity
- 382 measured by the International Physical Activity questionnaire (IPAQ) <sup>58</sup>, and alcohol
- 383 consumption status (abstainers, moderate drinkers (<14 g alcohol/day), and heavy drinkers).
- 384

# 385 **Optimization process**

# 386 Optimization functions and constraints

- 387 We used individual data about food consumption, place of food purchase, nutritional
- 388 composition, environmental impacts and prices of items to build a model aiming at optimizing
- 389 diet according to the three following objectives, while ensuring coverage of the nutritional
- 390 needs and controlling the monetary cost, 1) to minimize the environmental impact of diet
- 391 production, 2) to maximize the organic food contribution to the diet and 3) to minimize the
- total departure from the observed diet (initial condition) and the corresponding modelled diet
- 393 for maximizing its acceptability.
- 394 Two types of variables composed the optimization model: the quantities consumed and the
- 395 proportions in the organic form for each item. We removed the items for which environmental
- impacts were missing (N=25, listed in **Supplemental Method**), so that a maximum of 239
- 397 items were included. We distinguished three types of items: the initially consumed items, the
- 398 non-consumed items that can be added to the diet and those that cannot be added to the diet
- 399 for health or cultural reasons (as meat or sweet food). The first two types of items were
- included in the optimization model; thus, the number of items in the model depended on eachparticipant.
- 402 Mathematically, the objective functions for the environmental impact (E), organic intake (O)
  403 and diet departure (D) were defined for each participant as follows:

404 
$$E = \sum_{i=1}^{239} \left[ pReCiPe_{org}(i)*intake(i)*\%_{org}(i) + pReCiPe_{conv}(i)*intake(i)*(1-\%_{org}(i)) \right]$$
  
405 
$$O = \sum_{i=1}^{235} \left[ intake(i)*\%_{org}(i) \right]$$

406 
$$D = \sum_{i=1}^{235} \left[ \frac{Moy_{obs}(i) - Moy_{opt}(i)}{SD(i)} \right]^2$$

- with i denoted the item (food or beverage), org and conv denoted organic and conventional,
  respectively, intake(i) and %<sub>org</sub>(i) represented the consumed quantity (g) and proportion of
  organic for the considered item, and Moy<sub>obs</sub>(i) and Moy<sub>opt</sub>(i) represented the mean daily
  ingested quantities of item i in the observed and optimized diets, respectively.
- 411 To ensure that optimized diets belong to a conceivable range, we introduced an upper limit for
- 412 each item, each food category and each food group. The upper limits of the intake are set at

- 413 the 95<sup>th</sup> percentile of the distribution of items intakes, food categories or food group by
- 414 participant categories (men, menopausal women, and non-menopausal women).
- 415 For each participant, we set as a constraint that the energy intake in the optimized diet was
- 416 comprised between 92% and 108% of the individual energy requirement (as assessed with
- 417 estimates of physical activity levels and basal metabolic rate, using Schofield equations).
- 418 Moreover, to ensure the nutritional adequacy of the optimized diets, we imposed a set of
- 419 nutritional constraints pertaining to 26 nutriments as presented in **Table 3**. Alcohol intake in
- 420 the optimized diet had to be below the minimum of the observed intake and the World Health
- 421 Organization recommendation of 14 g/d. Finally, in order to take into account exposures to
- 422 harmful substances through fish consumption, we added two additional constraints, according
- 423 to the French Agency for Food, Environmental and Occupational Health Safety (ANSES)
- 424 guidelines. We imposed a total fish consumption of less than 28 g/d and the consumption of
- 425 fatty fish of less than 14 g/d.
- 426 Finally, we imposed an upper limit of the diet monetary cost. For this purpose, we identified
- 427 the minimal price required to meet nutritional requirements, by minimizing deviations from
- 428 the observed diet (function D) under nutritional and food constraints to ensure coverage of the
- 429 nutritional needs. Thereafter, for the resolution of the optimization, the upper limit of the diet
- 430 cost was set to the maximum between the observed cost and the cost required to ensure
- 431 nutritional needs.
- 432 <u>Hierarchical method to solve the multi-objective problem</u>
- 433 The optimization was multi-objective including the three objective functions E, O and D. To
- 434 solve this multi-objective problem, we applied a hierarchical method, as described by
- 435 Mausser <sup>59</sup>. This method consists in ranking the objective functions in descending order of
- 436 importance and beginning with linear functions. Each function is then optimized individually,
- 437 under the constraints of concomitant improvements in the higher-ranked functions of at least a
- 438 specified fraction (p%) of their previously identified maximum potential improvements.
- 439 The different steps and deliverables of the hierarchical optimization process as set here are
- 440 presented in Figure 4. After a preliminary step to identify the diet monetary price required to
- 441 meet nutritional requirements (step 0), we first assessed the maximum potential improvement
- 442 of environmental impact, by minimizing the E function under the aforementioned constraints
- 443 (step 1). Then (step 2), the potential improvement in organic food consumption was identified
- 444 by maximizing the O function, under the usual constraints and an additional constraint
- 445 corresponding to an improvement in E of at least p% of its maximum improvement assessed
- in the step 1. Then (step 3), we optimized diet to be as close as possible to the observed diet

- 447 (minimization of the D function), under the usual constraints and additional constraints
- 448 corresponding to E and O improvements by at least p% of their previously estimated potential
- 449 combined improvements assessed in step 2. We conducted 5 scenarios of increasing
- 450 disruption, where p% was set at 25%, 50%, 70%, 80% and 90%. The p% means that in the
- 451 final step (step 3), the process achieves p% of the maximum improvement. For instance, in
- 452 the case of p=25%, the scenario allows to achieve 25% to the possible improvement in
- 453 pReCiPe, 25% of the improvement in organic food consumption while minimizing the
- 454 deviations from the observed diet.
- 455 <u>Optimization Tool</u>
- 456 The optimization process was performed using the procedure SAS/OR ® optmodel (version
- 457 9.4; SAS Institute, Inc.), with the Activeset algorithm for non-linear optimizations and the
- 458 option multistart to avoid solutions being only local optimums. The number of starts and
- 459 iterations for each step were fixed as a compromise to converge towards a solution within a
- 460 reasonable calculation time. We repeated the steps for failures once by increasing the number
- 461 of starts and iterations. we removed from the sample the few participants for whom we still
- 462 had no solution
- 463 Data analysis
- 464 <u>Sample Selection</u>
- 465 We estimated the energy requirement by accounting for the physical activity level and basal
- 466 metabolic rate computed by Schofield equations  $^{60}$ . In this study, we selected participants who
- 467 completed the Org-FFQ, with available data regarding the place of purchase for the monetary
- 468 cost of the diet assessment, and with no missing covariates. We also removed from the sample
- the participants whose energy intake/energy requirement ratio was < 0.80 or > 1.20. Finally,
- 470 the sample is composed of 12,308 participants.
- 471 <u>Statistical Analyses</u>
- 472 We ranked the participants in three categories according to the tertile-values of the
- 473 provegetarian score based on observed data. We reported findings globally and across tertiles
- 474 of provegetarian score, as mean difference in % of the observed values or means and standard
- 475 error (SE). We performed all statistical analyses using SAS (version 9.4; SAS Institute, Inc.).
- 476

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- 486

# 487 **Conflict of interests**

- 488 The authors declare that they have no competing interests.
- 489

# 490 Authors' contributions

- 491 The authors' responsibilities were as follows. JB, DL, BA, MT, SH and EK-G conducted the
- 492 study; LS, HF, J-F H, FM and EK-G: designed and conducted the research; LS, PP, JB, BL,
- 493 DL, BA, MT, SH and EK-G: provided essential materials; LS, HF, J-F H, FM, and EK-G:
- 494 analyzed the data; LS: wrote the paper; EK-G: had primary responsibility for the final content
- and supervised the research; and all authors: were involved in interpreting the results and
- 496 editing the manuscript and read and approved the final manuscript.
- 497

## 498 **Transparency statement**

- 499 Dr Kesse-Guyot (the guarantor) affirms that the manuscript is an honest, accurate, and
- 500 transparent account of the study being reported; that no important aspects of the study have
- 501 been omitted; and that any discrepancies from the study as planned have been explained.
- 502

# 503 Data availability statement:

- 504 Data can be retrieved from the corresponding author upon reasonable request
- 505

#### 506 **Code availability statement:**

- 507 Code and programs can be retrieved from the corresponding author upon reasonable request.
- 508

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| 516 | design, data collection, analysis, interpretation of data, preparation of the manuscript, and |
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| 519 | Number of tables: 3/Number of figures: 4/Supplemental information: 5 SI Tables, 2 SI          |
| 520 | Figure, 1 SI Method   |
| 521 |   |
| 522 | SI Table 1: Comparison between sociodemographic and lifestyle characteristics of              |
| 523 | NutriNet-Santé population, included participants and the final study sample, 2014             |
| 524 |   |
| 525 | SI Table 2: Characteristics of the observed and optimized diets issued from                   |
| 526 | intermediary steps (0, 1 and 2) of the optimization process according to different            |
| 527 | scenarios for the total population (N=12,166), NutriNet-Santé 2014                            |
| 528 |   |
| 529 | SI Table 3: Characteristics of the observed and optimized diets issued from the 5             |
| 530 | scenarios of increasing improvements in pReCiPe and %Org (final step 3 of the                 |
| 531 | optimization process) across tertiles of the provegetarian score (N=12,166), NutriNet-        |
| 532 | Santé 2014  |
| 533 |   |
| 534 | SI Table 4: Probability of adequacy of nutrient intakes for optimized diets issued from       |
| 535 | the 5 scenarios of increasing improvements in pReCiPe and %Org (final step 3 of the           |
| 536 | optimization process), NutriNet-Santé 2014  |
| 537 |   |
| 538 | SI Table 5: Consumption (g/d) by food groups and scenario for observed, step 2 and step       |
| 539 | 3 diets across tertiles of the provegetarian score  |
| 540 |   |
| 541 | SI Method 1: Observed consumption (g/d) of items not included in the optimization due         |
| 542 | to missing data for pReCiPe computation   |
| 543 |   |
| 544 | SI Figure 1: Composition of the observed and optimized diets issued from intermediary         |
| 545 | steps 0 and 1 of the optimization process, NutriNet-Santé Study 2014                          |
| 546 |   |

- 547 SI Figure 2: Structure of the observed and optimized diets issued from the five scenarios
- 548 of increasing improvements in pReCiPe (step 2 of the optimization process) across
- 549 tertiles of the provegetarian score, NutriNet-Santé 2014

#### 550 Figure 1: Sustainable characteristics of observed and optimized diets

- 551 Diet quality (PANDiet), price, energy density, organic food contribution (%Org),
- 552 environmental impact (pReCiPe) and animal protein contribution are presented for the
- 553 observed and optimized diets issued from the 5 scenarios of increasing improvements in
- 554 pReCiPe and %Org across tertiles of the provegetarian score in the observed diets
- 555 (N=12,166), NutriNet-Santé 2014

#### 556 Figure 2: Structure of observed and optimized diets

- 557 Diet composition as share of the diet (in weight) is presented for the observed and optimized
- diets issued from the 5 scenarios of increasing improvements in pReCiPe and %Org across
- tertiles of the provegetarian score (N=12,166), NutriNet-Santé 2014
- 560

## 561 Figure 3: Organic food and environmental impact of observed and optimized diets

- 562 Organic food contribution (%Org) according to environmental impact (pReCiPe) is presented
- 563 for the observed and optimized diets issued from the 5 scenarios of increasing improvements
- 564 in pReCiPe and %Org (N=12,166), NutriNet-Santé 2014
- 565

# 566 Figure 4: Optimization process

567

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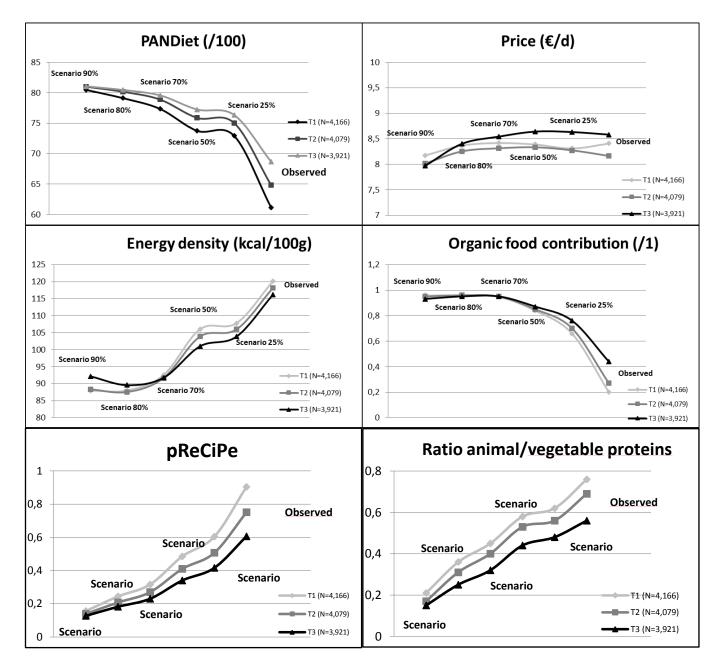
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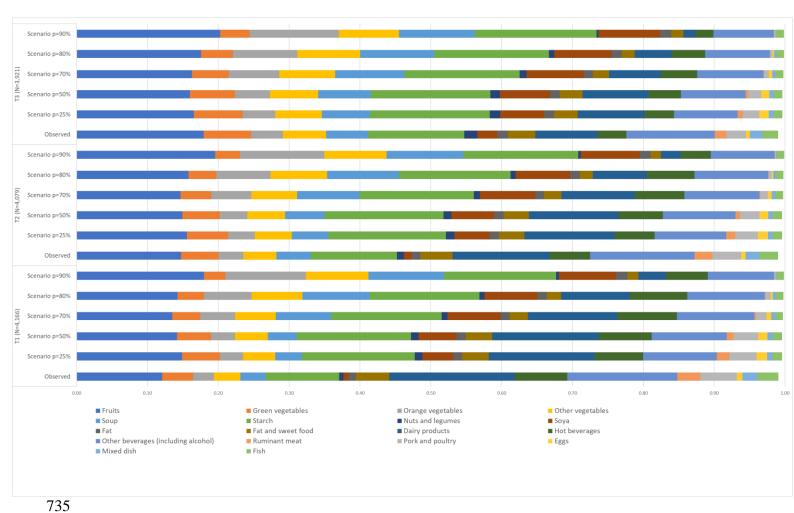
## 722 Figure 1: Sustainable characteristics of observed and optimized diets

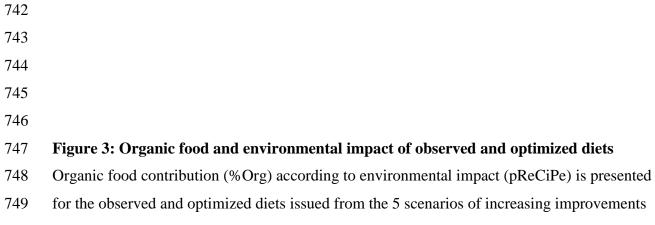
- 723 Diet quality (PANDiet), price, energy density, organic food contribution (%Org),
- represented for the environmental impact (pReCiPe) and animal protein contribution are presented for the
- observed and optimized diets issued from the 5 scenarios of increasing improvements in
- 726 pReCiPe and %Org across tertiles of the provegetarian score in the observed diets
- 727 (N=12,166), NutriNet-Santé 2014



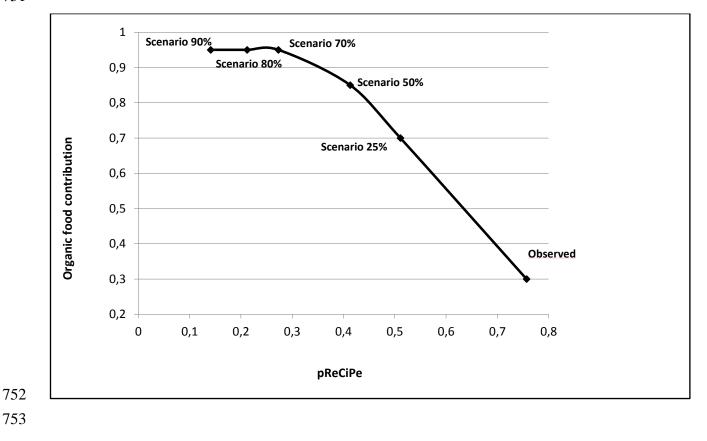
# 731 Figure 2: Structure of observed and optimized diets

- 732 Diet composition as share of the diet (in weight) is presented for the observed and optimized
- 733 diets issued from the 5 scenarios of increasing improvements in pReCiPe and %Org across
- tertiles of the provegetarian score (N=12,166), NutriNet-Santé 2014





- 750 in pReCiPe and %Org (N=12,166), NutriNet-Santé 2014
- 751



#### 754 Figure 4: Optimization process

