

Leafy season length is reduced by a prolonged soil water deficit but not by repeated defoliation in beech trees (Fagus sylvatica L.): comparison of response among regional populations grown in a common garden

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6	repeated defoliation in beech trees (Fagus sylvatica L.): comparison of
7	response among regional populations grown in a common garden.
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29 Highlights

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• Three years of soil water deficit delayed bud-burst and advanced leaf-yellowing.

- Three years of annual experimental defoliations had no impact on leaf phenology.
- Leafy season length varied among populations along a small latitudinal gradient.
- Populations presented different leaf phenology response to soil water deficit.
- 34

35 Abstract

36 Bud-burst and leaf-senescence determine the length of the growing season for deciduous trees and therefore the duration of potential carbon assimilation with consequences on biomass 37 production. In Fagus sylvatica L., leaf phenology depends on both photoperiod and 38 39 temperature. The future climate is expected to induce more frequent soil water deficits and biotic attacks (possibly resulting in severe defoliation). The aim of the study is to assess 40 41 whether these constrains may alter leaf phenology. In a common garden, we sowed seeds collected from six beech forests along a small latitudinal gradient (140 km) in North-Eastern 42 France. In 2014, after seven years growth, a rain exclusion was installed above the trees to 43 44 test how recurrent soil water deficits impacted bud-burst (BB) and leaf-yellowing (LY) over three years. We also analyzed the response of leaf phenology to annual defoliation, aiming at 45 affecting carbon and nitrogen availability in trees. Delayed BB and early LY were observed, 46 reducing the growing season (GS) until 14 days in response to soil water deficit whereas no 47 influence of defoliation was detected. These time lags were not in relation with leaf nitrogen 48 content. In the control treatment, BB occurred earlier and LY later in the northernmost 49 populations than in the southernmost without clear relationships with local climate. A 50 significant treatment x population interaction was observed revealing a plasticity in the leaf 51

52 phenology response to soil water deficit among populations. These results suggest that beech 53 trees present a genetic differentiation of leaf phenology even within a small latitudinal 54 gradient but that these differentiations could be disrupted by soil water deficit that is predicted 55 to increase in the future.

Keywords: *Fagus sylvatica* L., bud-burst, leaf-senescence, leaf phenology, drought,
intraspecific variability.

1. Introduction

Phenology describes the timing of recurrent biological events in response to seasonal variations in climate. Phenology is one of the plant traits where responses to climate change are the most visible (Menzel *et al.*, 2006). Since 1950, shifts in phenology have been observed and used as evidence of global warming (Menzel and Fabian, 1999; Menzel, 2000; Sparks *et al.*, 2000; Peñuelas *et al.*, 2002, Menzel et al, 2020). For instance, in vineyards, historical recordings of harvest dates helped to detect changing temperatures over several centuries (Chuine *et al.*, 2004).

Leaf phenology events such as bud-burst (BB) and leaf senescence are particularly 67 important in deciduous forest trees because they determine the length of the leafy season and 68 69 consequently the duration of new carbon production by assimilation that is essential for biomass production (Richardson et al., 2010). The leaf phenology of perennial plants is 70 expected to optimize their carbon gain (Manzoni et al., 2015; Vico et al., 2015) and water 71 uptake (Zapater et al., 2013). Leaf senescence implies nutrient resorption from leaves to 72 perennial organs. It usually happens before autumn frosts and nutrient resorption efficiency 73 74 affects leaf production during the following year (Estiarte and Peñuelas, 2015).

In the two last decades, numerous experimental and modelling approaches have 75 been developed to understand the drivers of leaf phenology and its variations with climate 76 77 (Cooke et al., 2012). Leaf phenology is strongly controlled by local temperature (Hunter and Lechowicz, 1992; Peaucelle et al., 2019). To summarize, in temperate tree species, BB results 78 from the succession of two phases: i) breaking endodormancy by the fulfilment of chilling 79 temperature requirements and ii) the accumulation of warm temperatures (forcing 80 temperature) during the ecodormancy phase (Lang et al., 1987). The temperature 81 requirements during the two phases are species-specific (Kramer, 1995; Chuine and Cour, 82 1999; Morin et al., 2009; Vitasse et al., 2009a; Basler and Körner, 2014; Schuster et al., 83

2014; Zohner and Renner, 2014; Dantec *et al.*, 2014; Laube *et al.*, 2014; Fu *et al.*, 2015).
Moreover, in some tree species like beech, photoperiod may also interact with temperature to
determine bud-burst date, though the mechanisms of this interaction remain unclear (Heide,
1993; Partanen *et al.*, 1999; Körner and Basler, 2010; Vitasse and Basler, 2013; Basler and
Körner, 2014; Laube *et al.*, 2014; Hamilton *et al.*, 2016; Fu *et al.*, 2019b). Day-length may
interact negatively with heat requirements during ecodormancy to avoid that BB occurred too
late (if abnormally cold spring) or too early (if warm spring) (Fu *et al.*, 2019b).

The role of climate as a driver of leaf senescence (i.e. yellowing and shedding) is less well 91 understood than that of spring phenology (Richardson et al., 2013). Long-term investigations 92 93 on the links between leaf-senescence and air temperature have sometimes revealed a delay in leaf senescence in response to global warming, sometimes an advance, and sometimes no 94 effect at all (Peñuelas et al., 2002; Menzel et al., 2006; Morin et al., 2009). A recent meta-95 96 analysis showed that leaf senescence seemed to be particularly dependent on October temperatures, a warmer autumn leading to delayed senescence (Gill et al., 2015). Several 97 models have been designed to predict leaf-yellowing or leaf-shedding while considering both 98 temperature and photoperiod (Delpierre et al., 2009), or only temperature (Richardson et al., 99 2006; Keenan and Richardson, 2015) but more complex interactions among factors, including 100 101 soil water availability should be integrated for accurate predictions (Xie *et al.*, 2018; Liu et al, 2019). Furthermore, leaf senescence may also affect spring bud-burst timing the following 102 year (Nielsen and Jorgensen, 2003; Heide, 2003), and BB timing could impact the leaf 103 senescence timing of the current year (Fu et al., 2014; Keenan and Richardson, 2015). 104

Extreme drought events occurred more frequently in Europe in the last decades (e.g.1976, 2003, 2018, and 2019) and their frequency and severity are projected to increase under future climate scenarios (IPCC 2014). Experiments that directly evaluate the effects of soil water deficit on leaf phenology are scarce and present contrasted results. Leaf unfolding

responses to rainfall seem to be positive, i.e. a later unfolding date with higher water 109 110 availability (Peñuelas et al. 2004; Adams et al. 2015). Ogaya and Penuelas (2004) showed that a 15% reduction in soil water availability induced by a rainfall exclusion system, delayed 111 all the phenophases in Arbutus Toledo but not in Quercus ilex or in Phillyrea latifolia. 112 Another more drastic rainfall exclusion experiment reducing rainfall by 50% in autumn 113 showed no impact on leaf development the following spring for *Quercus ilex* whereas a 58% 114 115 reduction in rainfall during spring led to severe aborting of buds (Misson et al, 2011). How soil water deficits interact with warm temperature in leaf senescence is complex; the two 116 parameters have yet to be disentangled (Estiarte and Peñuelas, 2015; Liu et al, 2019). 117 118 Escudero and Del Arco (1987) showed that soil water deficit induced earlier leaf fall, but Pallardy and Loewenstein (2004) observed that this response was species dependent. Estrella 119 and Menzel (2006) also observed advanced leaf senescence during autumn drought, though 120 121 leaf yellowing date may be dependent on climatic drivers occurring not only during autumn but also throughout the leafy season (Liu et al, 2019). 122

During the growing season, a prolonged soil water deficit limits water and carbon uptake by 123 trees (Cowan, 1982; Farquhar and Sharkey, 1982) and slows down nutrients uptake and 124 phloem transports (Sevanto, 2014; Dannoura et al, 2019). The question remains, however, 125 whether these modifications affect leaf phenology. Indeed, in spring, for the establishment of 126 new organs, deciduous trees use carbon reserves (Barbaroux and Bréda 2002; Hoch et al., 127 2003; Gilson et al., 2014) and nutrient reserves (El Zein et al, 2011a; Bazot et al, 2016) and 128 need water to remobilize these reserves towards the sink organs (i.e, buds and leaves). During 129 leaf senescence, nutrients are resorbed from the leaves towards perennial organs, and this also 130 implies important fluxes (Estiarte and Penuelas, 2015) which may be disrupted by severe soil 131 water deficits. 132

Finally, phenology is also controlled by complex interactions between genetic and 133 134 environmental factors. Indeed, studies on beech species have shown differences in leaf phenology among populations from a large climatic gradient within the distribution area of 135 species (Von Wuelish et al., 1995; Zohner and Renner, 2014; Harter et al., 2015; Schueler 136 and Liesebach, 2015; Kramer et al., 2017) or along altitudinal clines (Vitasse et al., 2009a; 137 Vitasse et al., 2009b). These results suggest that leaf phenology in beech trees is adapted to 138 139 large variations in climatic conditions, and this capacity could help populations to cope with climate change. However, the phenotypic plasticity and adaptive capacity of populations at a 140 regional scale within a small climatic range have rarely been investigated. Moreover, it is 141 142 important to study to what extent this adaptation could be challenged by recurrent extreme 143 events, like droughts.

In the present study, we used a common garden experiment to investigate the 144 145 variability of leaf phenology among regional beech (Fagus sylvatica L.) populations along a small latitudinal gradient of 140 km in lowlands located in the central area of the European 146 147 beech distribution range (North-eastern France). We also compared the response of these populations to repeated soil water deficit or defoliation events. Our main aim was to assess 148 whether or not leaf phenology would be modified by disturbances i) in tree water status 149 and/or ii) in tree carbon and nitrogen status. For three years, these statuses were 150 experimentally modified by provoking recurrent prolonged soil water deficits in a rain 151 exclusion system or annually repeated defoliation. In response to these constraints, carbon 152 assimilation was reduced either by stomatal closure under soil water deficit or by reducing 153 leaf area under defoliation. We examined the impact of these constraints on the mean tree BB 154 and LY, on the length of growing season (GS) and on leaf unfolding and leaf yellowing 155 dynamics. We analyzed these traits in the offspring of six regional beech populations. We 156 addressed three questions: (1) Do three years of soil water deficit and defoliation modify 157

158	budburst and leaf-yellowing days and dynamics in beech trees? (2) How does leaf phenology
159	vary among regional populations? (3) Do prolonged soil water deficits and repeated
160	defoliation modify leaf phenology similarly in all regional populations?

161 **2. Materials and methods**

162 2.1. Study site, plant material and treatments

In October 2006, beechnuts from Fagus sylvatica L. trees were collected on the ground in six 163 164 forests in Lorraine (North-eastern France) along a latitudinal gradient of 140 km (Fig. 1). For each forest, seed collection was conducted in plots of at least 0.5 ha, which included at least 165 20 mother trees with at least 120 seeds on the ground (E Silva, 2010). All the beechnuts 166 collected in a forest were combined in order to constitute a population. After being rehydrated 167 to a water content of 30-34% and having received an antifungal treatment, the beechnuts were 168 stored in a cold chamber at 3°C in the dark for three months to break their dormancy. In 2007, 169 the seeds were sown in a nursery and 144 randomly selected seedlings per forest were 170 transplanted by groups of 24 into the soil in six different blocks in a common garden (INRAE 171 Grand Est Nancy, Champenoux, France, 48°75'2''N/6°34'15''E, elevation: 257 m, 172 173 Supplemental Information Table S1).



Figure 1. Localization of the study zone represented by the rectangle on the map of France 207 (a), map of the elevation distribution in the study zone represented by the longitude and 208 latitude positions (the elevation range is represented by the color scale presented below the 209 map) (b), variation of the mean annual rainfall and the mean annual temperature in the study 210 zone (grey points (c). In (c), only the points in the range of elevations + 5% where seeds were 211 collected (i.e., between 297m and 432m) are shown. The mean climatic characteristics were 212 calculated at 1km resolution over the period 1981 - 2010 with the Aurehly model (Météo 213 France, Bénichou and Le Breton, 1987; Canellas et al., 2014)., The six sites where the seeds 214 to be sown in the common garden were collected, are presented by black squares using the 215 following names: Gorze (GOR), Pont-à-Mousson (PAM), Boucq (BOU), Sexey-aux-Forges 216 217 (SEX), Saint-Amond (STA) and Arc-en-Barrois (AEB) from North to South. In c, the common garden (CHPX) is presented with a blue point. The climatic data of the six forest and 218 the common garden are presented in the Supplemental Information Table S1. 219

In 2014, a transparent roof built of polycarbonate sheets was installed 5m above all the trees

to intercept rainfall. Four treatments were imposed on the seven-year-old trees (average height

1.5 m, average diameter 25 mm, see Fig. S3 for information per population) for three years 223 224 (2014-2016): i) an irrigated control treatment (n=48 trees per population split into two blocks of 24 individuals each); ii) an irrigated defoliation treatment (n= 48 trees per population split 225 226 into two blocks of 24 individuals each) where defoliation was done once per year as follows: the first two years (2014 and 2015), 75% of the foliage was manually removed from each tree 227 228 in June after primary growth was finished (3 short shoots, 3 long shoots and the terminal 229 shoot elongation plus leaves area monitored on 20 trees in each treatment every year), and the third year (2016), 90% of the foliage was removed in May; thanks to the irrigation in both 230 control and defoliation treatments, predawn twig water potential remained above -0.25 MPa 231 232 during the whole experiment (Chuste et al., 2019); iii) a moderate soil water deficit treatment without defoliation (MWD, n=24 trees per population in one block). The trees in this 233 treatment were near the edge of the roof and were lightly irrigated by lateral rain entry; their 234 235 predawn twig water potential reached until -1.2 MPa (Chuste et al., 2019); and iv) a severe soil water deficit treatment without defoliation (SWD, n= 24 trees per population in one 236 237 block) inducing predawn twig water potential down to -2.0 MPa (Chuste et al., 2019). Tree root systems in the MWD and SWD treatments were isolated with a rigid waterproof plastic 238 sheet (DELTA®-MS) buried around the two blocks of the two treatments to a depth of 1.80 239 240 m. The control and defoliation treatments were done with an automatic drip watering system, which delivered between two and four liters of water per tree two to three times a week. 241 Irrigation was adjusted to avoid any water shortage in these two treatments, i.e. to maintain 242 Relative Extractable soil Water (REW) above 40%, the threshold below which stomatal 243 closure reduces transpiration (Granier et al., 1999). In the MWD and SWD treatments, rain 244 was excluded all year round, including winter, and the trees were slightly irrigated only once 245 (about 40 mm) in November. 246

249 2.2. Meteorological data and soil water content

Air temperature and relative humidity were automatically recorded at 30s intervals with a probe (HMP35A; Vaisala Oy, Helsinki, Finland), 2.50 m above the ground under the rain exclusion roof in the middle of the common garden. The measurements were averaged and stored every 30 min in a datalogger (CR5000, Campbell scientific, Shepshed, UK).

254 Soil water content was monitored with a neutron probe (TROXLER TX 4301, Research 255 Triangle Park, North Carolina, USA) to a maximum depth of 1.60 m. Neutron probe access 256 tubes (aluminum) were installed in the soil to quantify water content at different depths. The tubes were distributed equally among the control, defoliation and two soil water deficit 257 treatments: for each treatment, there were two tubes ranging from 0 to 100 cm in depth and 258 259 one ranging from 0 to 160 cm. During the growing season of each year, measurements were carried out every two weeks. Counts were logged every 10 cm for the upper 100 cm, and 260 261 every 20 cm below that. Relative Extractable Water (REW, in %) was calculated according to Bréda et al. (1995). 262

We used the Aurélhy meteorological model (1km resolution, Météo France, Bénichou and Le Breton, 1987; Canellas *et al.*, 2014) and a Digital Elevation Model with a resolution of 25 m (BD Alti ® 25m, IGN) according to geographical coordinates to obtain the meteorological conditions and altitude for the zone of origin of each population (Fig.1) and for the common garden site. For each of the seven sites (six forests and the common garden), we calculated mean annual temperature, mean number of freezing days and mean annual rainfall over the period 1981-2010 (Supplemental Information Table S1).

270 *2.3. Phenological observations*

We monitored bud development on each tree during spring (between April 1st and May 30th) for three consecutive years (2014-2016). Observations were made every three or four days and bud development of each tree was noted from 0 to 39 according to the BBCH code (Biologische Bundesanstalt Bundessortenamt und CHemische Industrie) (Supplemental Information Fig. S1). For each tree, bud-burst (BB) day was set when the majority of buds on the tree had reached stage 7 (Supplemental Information Fig. S1), as proposed by Chuine and Cour (1999).

Leaf-yellowing (LY) was monitored every one to two weeks depending on the color change dynamics in autumn (September 1st - December 15th) during the same three years. Leaf color changes were assessed according to a specific code that we defined for our young beech trees (Supplemental Information Fig. S1). For each tree, LY day was set when at least 50% of a tree's leaves had no green color left (stage 6, Supplemental Information Fig. S1). As many of these young beech trees were marcescent (i.e. trees keep their leaves during winter until the arrival of the new leaves at the following spring), we did not follow leaf fall.

The BB and LY of the trees in the control treatment were monitored during a fourth year (2017).

287 2.4. Leaf nitrogen content

Five leaves per tree were collected at 4 dates: July 2015, October 2015, June 2016 and October 2016. According to the dates and treatments, between 3 and 6 trees were sampled. At each date, the leaves of the same tree were pooled, frozen in liquid nitrogen and stored at -80 °C. The samples were then freeze dried [Dura-Top ®, Dura-Dry ®, FTS Systems ®, Stone Ridge, NY, USA], weighed and ground into a fine powder in a ball mill (CEPI SODEMI CB2200, Cergy, France). Leaf N concentration (% of dry matter) was measured with an elemental analyser (NA 1500 NCS, Carlo Erba,Milan, Italy). Analyses were carried out at the SilvaTec platform (UMR Silva, INRAE Grand Est-Nancy, France). The average and standard
deviation were calculated per treatment and date.

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299 2.5. Data analysis

300 We first transformed the BBCH code into a continuous variable (resulting in a new code from 0 to 17, Supplemental Information Fig. S1) before proceeding with the data analysis described 301 hereafter. BB day was defined for each tree as the date when the tree was noted stage 3 (new 302 code Supplemental Information Fig. S1). Given the rapid development of beech buds and the 303 three-to-four-day time lapse between two observations, the precise stage 3 date (new code) 304 305 was not always observed for each tree in the field. For those trees, BB day was calculated by linear interpolation between the dates when stages 2 and 4 were observed. Leaf-yellowing day 306 307 of each tree was defined at stage 6. When the precise stage 6 date was not observed in the 308 field, the LY day was calculated by linear interpolation between the dates when stages 5 and 7 were observed. Growing season duration (GS) was calculated for each tree as the number of 309 days between BB and LY days. The average and standard deviation were calculated for BB, 310 311 LF and GS for each combination of population and treatment.

BB and LY days and GS length were compared among treatments and among populations within each treatment with a linear model fitted in the R software (R version 3.3.2, 2016-10-31, http://www.r-project.org). For the ANOVA analysis, done with the "car" package, the fixed effects were treatment, population and their interactions. BB and LY days and GS length were also ranked among populations within each treatment thanks to a Spearman correlation analysis between the phenological variables and latitude. Results were considered significant when the test p-values were below 0.05. The seasonal progress of the mean bud development and leaf yellowing was also calculated for each treatment and was fitted with sigmoidal ($y = A/[1 + e(-(X-X_0)/B)]$) or double sigmoidal functions ($y = A_1/[1 + e(-(X-X_1)/B_1)] + A_2/[1 + e(-(X-X_2)/B_2)]$).

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323 **3. Results**

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3.1. Bud-burst and leaf-yellowing responses to soil water deficit and defoliation

The trees in the control and defoliation treatments were irrigated and REW was maintained above a 40% threshold (Fig. 2). In the MWD treatment, REW was maintained below 40% from June 2014 until June 2016, with a minimum of 12% at the end of the 2014 and 2015 summers. In 2016, a very rainy spring caused a rise in groundwater and a transitory increase in REW in this treatment, which exceeded 40% between DOY160 and DOY220. In the SWD treatment, REW was maintained below 40% all the three years with a minimum of around 5% at the end of each summer (Fig. 2).



Figure 2. Time course (Day of Year) of Relative Extractable Water (REW, %) over three consecutive years (2014 to 2016) in four treatments: control, defoliation, moderate water

deficit (MWD) and severe water deficit (SWD). The horizontal dashed line indicates the REW threshold value (40%) below which stomatal conductance is affected, according to Granier *et al.* (1999). n=3 for control and defoliation treatments, n=2 for SWD, n=1 for MWD.

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During spring 2014, the rain exclusion system had not been yet installed and bud development was similar among treatments, following a sigmoid curve from bud opening until the leaf area was fully deployed (Fig.3).



Figure 3. Time course (DOY, day of year) of the mean bud development stages according to the modified BBCH scale for three consecutive years (2014 to 2016) in beech trees under four treatments: control (C), defoliation (D), moderate water deficit (MWD) and severe water deficit (SWD). The horizontal dashed line represents bud-burst stage. Sigmoïdal curves were fitted for 2014 and 2015, and double sigmoïdal curves for 2016.

During 2015, the year following the beginning of soil water restrictions and defoliation, the dynamics of bud development were similar in the control and defoliation treatments (Fig. 3, Table 1) whereas they were delayed in the MWD and SWD treatments (Fig. 3, Table 1). The drought treatments presented a delay in the beginning of bud development compared to the control and defoliation treatments whereas the speed (slope of the sigmoidal curve) and the duration of leaf deployment were similar among all treatments (Fig. 3). In 2016, after two years of defoliation and soil water deficit, control and defoliated trees presented similar bud

- development dynamics whereas trees in MWD and SWD treatments reached bud burst later
- 374 (Fig. 3).

Table 1. Mean + standard deviation bud-burst (BB) day, leaf-yellowing day (LY) and growing season (GS) length for the four treatments

378 (control, defoliation, moderate soil water deficit (MWD) and severe soil water deficit (SWD) for three consecutive years (2014, 2015 and 2016).
379 For each variable and each year, the *P*-values of the treatment effect analyzed by a one-way ANOVA are noted in italics and the treatments with

significantly different values are noted with different superscript letters. n=288 trees for control and defoliation treatments, n=144 for MWD and

381 SWD treatments.

	2014			2015			2016			
Treatment	BB	LY	GS	BB	LY	GS	BB	LY	GS	
Control	110 <u>+</u> 5 ^a	307 <u>+</u> 12 ^c	197 <u>+</u> 12 ^c	114 <u>+</u> 6 ^{<i>a</i>}	302 <u>+</u> 8 ^c	188 <u>+</u> 9 ^b	120 <u>+</u> 8	314 <u>+</u> 8 ^c	194 <u>+</u> 10 ^b	
Defoliation	111 <u>+</u> 5 _{ab}	303 <u>+</u> 11 ^b	192 <u>+</u> 11 ^b	113 <u>+</u> 5 ^{<i>a</i>}	302 <u>+</u> 6 ^c	189 <u>+</u> 8 ^b	120 <u>+</u> 8	313 <u>+</u> 8 ^c	193 <u>+</u> 9 ^b	
MWD	111 <u>+</u> 4 _{ab}	293 <u>+</u> 17 ^a	182 <u>+</u> 17 ^a	116 <u>+</u> 5 ^b	292 <u>+</u> 7 ^a	176 <u>+</u> 9 ^{<i>a</i>}	120 <u>+</u> 7	300 <u>+</u> 8 ^{<i>a</i>}	180 <u>+</u> 10 ^a	
SWD	112 <u>+</u> 4 ^b	296 <u>+</u> 12 ^a	184 <u>+</u> 12 ^a	120 <u>+</u> 5 ^c	298 <u>+</u> 7 ^b	178 <u>+</u> 8 ^a	120 <u>+</u> 6	303 <u>+</u> 9 ^b	183 <u>+</u> 11 ^{<i>a</i>}	
P-value	0.002	<0.001	<0.001	<0.001	<0.001	<0.001	0.569	<0.001	<0.001	

Due to peculiar climatic conditions during the spring 2016 (very cold temperatures with a 389 daily mean of 6°C from day 114 to day 122 followed by warm temperatures with a daily 390 mean of 14.8°C from day 123 to day 132 during the bud-burst period, (Supplemental 391 Information Fig. S4), the dynamics of bud development followed a double sigmoid curve 392 (Fig. 3). These particular dynamics were particularly marked in the treatments with the 393 earliest development (the control and defoliation treatments), which were more strongly 394 slowed down by the late cold. Consequently, whereas the bud development trajectory showed 395 differences among treatments (Fig. 3), there was no difference in mean BB day among 396 treatments for the year 2016; BB day was delayed until day 120 for all treatments (Table 1). 397

Leaf-yellowing followed a sigmoid curve each year (Fig. 4). In all treatments, majority of tree displayed a leaf coloration corresponding to stage 3 from the spring, stages 1 and 2 were observed for very few trees. Similar LY dynamics were observed in the control and defoliation treatments in 2015 and 2016 whereas LY occurred four days earlier in the defoliation treatment compared to the control in 2014 (Table 1). The MWD and SWD trees displayed earlier LY than the controls during the three years.



Figure 4. Time course (DOY, day of year) of the mean leaf-yellowing stages according to leaf colour code (see Supplemental Information figure S1) for three consecutive years (2014 to 2016) in beech trees under four treatments: control (C), defoliation (D), moderate water deficit (MWD) and severe water deficit (SWD). The horizontal dashed line represents leafyellowing stage. Sigmoïdal curves were fitted for all three years.

LY occurred slightly earlier in the MWD than in the SWD, though the difference was significant in 2015 only. In 2014 and 2015, LY began earlier and finished earlier in the water deficit treatments, with the same rate of yellowing (Fig. 4). In 2016, leaf yellowing started at the same time in all the treatments but proceeded faster in the water deficit treatments than in controls, leading to an earlier end of LY. LY occurred earlier in the drought treatments compared to the controls (for MWD and SWD treatments, respectively, by 14 and 11 days in 2014, 10 and 4 days in 2015 and 14 and 11 days in 2016, Table 1)

As a result, length of the growing season (GS), varied among treatments (Table 1). In 2014, 423 GS was longer in control than defoliated trees, whereas during the following two years, both 424 425 treatments presented similar GS lengths (Table 1). Over the three years, GS was shorter in SWD and MWD than in control and defoliated trees. The shortest GS was observed in the 426 MWD treatment. When all individual trees were considered over the three years and the four 427 treatments, the variation in GS length depended more on LY day (R²=0.65, data not shown) 428 than on BB day (R²=0.15, data not shown), and no correlation was observed between LY and 429 430 BB (R²=0.06, data not shown). The correlation coefficient (R²) between GS and LY varied among treatments between 0.51 and 0.73 whereas the correlation coefficient between GS and 431 BB was between 0.12 and 0.23 (Fig. 5). 432

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Figure 5. Correlations between the length of the growing season (in days) and (a) bud-burst day and (b) leaf-yellowing day over the three years of the experiment in the combined moderate (MWD) and severe (SWD) soil water deficit, defoliation and control treatments. Each point is a single tree belonging to one of the three treatments during one of the three years of the experiment (2014-2016). The trees in the control treatment monitored during a fourth year were added. The slope (s), the correlation coefficients (R²) and their significance (*** when p<0.001) are indicated in the corresponding color for each treatment.

464 3.2. Leaf nitrogen response to soil water deficit and defoliation

Leaf nitrogen content was similar between all treatments in October 2015 and October 2016 but presented some differences earlier in the season (Table 2). In July 2015, a higher N content was measured in the leaves of the Defoliation treatment than in that of the Control. In June 2016 the trees in the SWD and MDW treatments presented significant lower N content in their leaves than the trees in the Control and Defoliation treatments. **Table 2.** Mean \pm standard deviation leaf nitrogen content (g.100g⁻¹ Dry Matter) for the four treatments (control, defoliation, moderate soil water deficit (MWD) and severe soil water deficit (SWD) at two dates per year for two years (2015 and 2016). For each date, the *Pvalues* of the treatment effect analyzed by a one-way ANOVA are noted in italics and the treatments with significantly different values are noted with different superscript letters. n=3 to 6 trees per date and treatment. In October 2016, only trees in SWD treatment were measured.

	Treatment				Treatment effect
Date	Control	Defoliation	MWD	SWD	P-value
July-15	1.83 <u>+</u> 0.1 ^b	2.28 <u>+</u> 0.12 ^a	1.86 <u>+</u> 0.1 ^b	2.08 <u>+</u> 0.11 ^{ab}	<0.001
Oct-15	1.45 <u>+</u> 0.07	1.62 <u>+</u> 0.48	1.50 <u>+</u> 0.13	1.22 <u>+</u> 0.7	0.82
June-16	2.24 <u>+</u> 0.05 ^a	2.18 <u>+</u> 0.05 ^a	1.99 <u>+</u> 0.04 ^b	1.99 <u>+</u> 0.03 ^b	<0.001
Oct-16	1.63 <u>+</u> 0.24	1.5 <u>+</u> 0.08	-	1.56 <u>+</u> 0.08	0.51

477 3.3. Variability in bud-burst and leaf-yellowing days among populations under well-478 watered conditions

The latitudinal gradient presented also an altitudinal variability (between 313m and 411m), a 479 range of mean annual temperature between 9.2°C and 10°C and a range of mean annual 480 rainfall between 818mm and 1009mm among the populations (Fig. 1 and supplemental 481 information Table S1). BB and LY days and the length of the GS displayed a correlation with 482 the population's latitude of origin (Fig. 6). Populations ranking according to latitude was 483 tighter for BB and GS length than for LY (Spearman rank correlation, Table 3). Even if all the 484 correlation coefficients (R²) varied among years, the slope of the relationship with latitude 485 was well conserved over the four years (slopes between -3.5 and -4.3 days.degré⁻¹ for BB, 486 between 6.2 and 7.9 days.degré⁻¹ for LY and between 9.8 and 11 days.degré⁻¹ for GS). This 487 shows some stability in the ranking of the populations and indicates a similar phenological 488 response of the populations to inter-annual variations in weather conditions. During the four 489 years, BB was negatively correlated with latitude; the northern populations displaying an 490 earlier BB than the southern ones (Fig. 6a, R² from 0.51 to 0.91 according to the year). A 491 maximal delay of five days was observed between the two most distant populations (Table 3). 492 On the contrary, a positive correlation was observed between LY and latitude; the northern 493

494 populations senesced later than did the southern ones (Fig. 6b). A delay of 7 to 12 days495 according to year was observed between the earliest and the latest populations (Table 3).



Figure 6. Relationships between the latitude of origin of the trees grown in the common garden experiment under well-watered conditions (controls) and (a) bud-burst day, (b) leaf-

- yellowing day and (c) growing season length in 2014 (squares and dotted line), 2015
 (triangles and dashed line), 2016 (circles and solid line) and 2017 (crosses and dashed-dotted
- 515 line). For each year, the slopes (s), the correlation coefficients (R^2) and their significance (ns
- 516 when p>0.05, * when p<0.05, ** when p<0.01, *** when p<0.001) are given.

Table 3. Mean \pm standard deviation of bud-burst day, leaf-yellowing day and growing season length for six populations under four treatments (control, defoliation, moderate soil wsfull deficit (MWD) and severe soil water deficit (SWD) for three years (2014, 2015 and 2016). n=48 trees for each population in the control and defoliation treatments and n=24 insful MWD and SWD treatments. The populations are listed following a latitudinal gradient from North to South. For each variable, each year and each treatment, populations rabult was tested with the Spearman correlation coefficient (ρ) between the phenological variables and the latitude. Different superscript letters note the statistically significant disferences among populations revealed by a one-way ANOVA in each treatment. The comparison of populations (*Pop effect*) ,treatments (*Treat effect*) and their interaction (*Ts2at x Pop inter*) was analysed with a two-way ANOVA (The *F*-values and their significance *** = P<0.001, ** = P<0.01 and *= P<0.05, ns= non-significant are noted).

	- -	2014				2015				2016			
		Control	Defoliation	MWD	SWD	Control	Defoliation	MWD	SWD	Control	Defoliation	MWD	SWD
BURST	Gorze	107 <u>+</u> 5 ^a	110 <u>+</u> 4 ^{ab}	110 <u>+</u> 3	110 <u>+</u> 4 ^{ab}	111 <u>+</u> 5 ^a	111 <u>+</u> 4 ^a	113 <u>+</u> 3 ^a	117 <u>+</u> 3	117 <u>+</u> 7 ^a	115 <u>+</u> 7 ª	118 <u>+</u> 7	116 <u>+</u> 5 ^a
	Pont-à-Mousson	110 <u>+</u> 5 ^{ab}	109 <u>+</u> 5 ^a	110 <u>+</u> 4	110 <u>+</u> 4 ^a	113 <u>+</u> 6 ^{ab}	111 <u>+</u> 4 ^a	115 <u>+</u> 3 ^{ab}	118 + 5	119 <u>+</u> 8 ^{ab}	116 <u>+</u> 7 ^{ab}	120 <u>+</u> 6	118 <u>+</u> 7 ^{ab}
	Boucq	109 <u>+</u> 6 ^{ab}	109 <u>+</u> 5 ^a	110 <u>+</u> 5	110 <u>+</u> 5 ^{ab}	115 <u>+</u> 7 ^ь	112 <u>+</u> 4 ^{ab}	115 <u>+</u> 6 ^{ab}	123 + 5	121 <u>+</u> 8 ^{ab}	118 <u>+</u> 7 ^{abc}	119 <u>+</u> 8	120 <u>+</u> 5 ^{ab}
	Sexey-aux-Forges	110 <u>+</u> 5 ^{ab}	111 <u>+</u> 5 ^{abc}	112 <u>+</u> 3	112 <u>+</u> 4 ^{abc}	114 <u>+</u> 7 ^{ab}	113 <u>+</u> 5 ^{ab}	114 <u>+</u> 2 ^{ab}	122 + 5	121 <u>+</u> 8 ^{ab}	120 <u>+</u> 8 ^{bc}	121 <u>+</u> 5	121 <u>+</u> 7 ^{ab}
	Saint-Amond	112 <u>+</u> 4 ^b	113 <u>+</u> 4 ^{bc}	113 <u>+</u> 4	114 <u>+</u> 4 ^{bc}	115 <u>+</u> 6 ^b	115 <u>+</u> 5 ^{bc}	118 <u>+</u> 6 ^{bc}	121 + 4	119 <u>+</u> 8 ^{ab}	122 <u>+</u> 6 ^{cd}	122 <u>+</u> 6	122 <u>+</u> 5 ^{ab}
Ē	Arc-en-Barrois	112 <u>+</u> 5 ^b	113 <u>+</u> 3 °	113 <u>+</u> 3	115 <u>+</u> 3 °	115 <u>+</u> 5 ^ь	117 <u>+</u> 4 °	119 <u>+</u> 5 °	120 + 5	122 <u>+</u> 6 ^b	126 <u>+</u> 3 ^d	123 <u>+</u> 6	125 <u>+</u> 5 ^b
BU	Spearman ρ	-0.89 *	-0.83 *	-0.94*	-0.94*	0.66 ^{ns}	-1 **	-0.83 *	-0.43 ns	-0.6 ns	<i>-1</i> **	-0.94 *	<i>-1</i> **
	Treat effect	5.5 ***				<i>55.4</i> ***				0.5 ns			
	Pop effect	22.7 ***				<i>19.7</i> ***				19.0 ***			
	Treat x Pop inter	1.1 ^{ns}				2.2 **				1.5 ^{ns}			
	Gorze	305 <u>+</u> 14 ^{ab}	305 <u>+</u> 12	307 <u>+</u> 13 ^b	292 <u>+</u> 12	304 <u>+</u> 8 ^{bc}	305 <u>+</u> 5 ^b	299 <u>+</u> 6 ^b	298 <u>+</u> 7	317 <u>+</u> 7 °	314 <u>+</u> 8	305 <u>+</u> 9	302 <u>+</u> 7
5 S	Pont-à-Mousson	310 <u>+</u> 11 ^{ab}	304 <u>+</u> 10	290 <u>+</u> 20 ^a	294 <u>+</u> 12	304 <u>+</u> 6 ^{abc}	304 <u>+</u> 5 ^{ab}	292 <u>+</u> 10 ^a	301 <u>+</u> 5	314 <u>+</u> 6 ^{abc}	311 <u>+</u> 8	302 <u>+</u> 10	305 <u>+</u> 7
	Boucq	312 <u>+</u> 10 ^b	301 <u>+</u> 9	282 <u>+</u> 16 ^a	302 <u>+</u> 10	305 <u>+</u> 7 °	302 <u>+</u> 6 ^{ab}	289 <u>+</u> 7 ^a	301 <u>+</u> 8	316 <u>+</u> 7 °	312 <u>+</u> 9	300 <u>+</u> 6	306 <u>+</u> 7
YELLOV	Sexey-aux-Forges	313 <u>+</u> 12 ^b	304 <u>+</u> 10	294 <u>+</u> 12 ^{ab}	298 <u>+</u> 11	305 <u>+</u> 9 ^{bc}	300 <u>+</u> 6 ^a	291 <u>+</u> 4 ^a	299 <u>+</u> 6	316 <u>+</u> 8 ^{bc}	312 <u>+</u> 7	298 <u>+</u> 5	304 <u>+</u> 10
	Saint-Amond	301 <u>+</u> 11 ^a	302 <u>+</u> 11	295 <u>+</u> 9 ^{ab}	293 <u>+</u> 15	298 <u>+</u> 9 ^{ab}	300 <u>+</u> 7 ^a	293 <u>+</u> 4 ^{ab}	296 <u>+</u> 9	309 <u>+</u> 11 ^a	313 <u>+</u> 8	299 <u>+</u> 7	300 <u>+</u> 16
	Arc-en-Barrois	303 <u>+</u> 9 ^a	301 <u>+</u> 12	288 <u>+</u> 17 ^a	298 <u>+</u> 10	298 <u>+</u> 7 ^a	301 <u>+</u> 7 ^{ab}	291 <u>+</u> 6 ^a	297 <u>+</u> 9	311 <u>+</u> 7 ^{ab}	314 <u>+</u> 7	298 <u>+</u> 7	301 <u>+</u> 7
Ľ,	Spearman p	0.37 ^{ns}	0.71 ^{ns}	0.31 ^{ns}	-0.31 ns	0.54 ^{ns}	0.83 *	0.43 ^{ns}	0.6 ^{ns}	0.77 ^{ns}	-0.14 ns	0.94 *	0.54 ^{ns}
EA	Treat effect	<i>54.9</i> ***				72.0 ***				116.0 ***			
Γ	Pop effect	<i>4.4</i> ***				<i>9.9</i> ***				5.5 ***			
	Treat x Pop inter	6.3 ***				3.5 ***				2.4 **			
_	Gorze	198 <u>+</u> 13 ^{bc}	195 <u>+</u> 12 ^{ab}	197 <u>+</u> 13 ^b	182 <u>+</u> 12 ^{ab}	193 <u>+</u> 8 °	194 <u>+</u> 6 °	186 <u>+</u> 7 ^ь	181 <u>+</u> 7 ^{ab}	200 <u>+</u> 8 °	199 <u>+</u> 9 °	187 <u>+</u> 13 ^b	186 <u>+</u> 9
NO	Pont-à-Mousson	200 <u>+</u> 10 ^c	195 <u>+</u> 9 ^ь	180 <u>+</u> 20 ^a	184 <u>+</u> 12 ^{ab}	191 <u>+</u> 7 °	193 <u>+</u> 6 °	177 <u>+</u> 10 ^a	183 <u>+</u> 6 ^b	195 <u>+</u> 9 ^{bc}	195 <u>+</u> 8 ^{bc}	182 <u>+</u> 11 ^{ab}	187 <u>+</u> 8
GROWING SEAS	Boucq	203 <u>+</u> 9 °	192 <u>+</u> 11 ^{ab}	172 <u>+</u> 17 ^a	192 <u>+</u> 10 ^b	190 <u>+</u> 7 ^{bc}	190 <u>+</u> 7 ^{bc}	174 <u>+</u> 8 ^a	178 <u>+</u> 9 ^{ab}	195 <u>+</u> 8 ^{bc}	194 <u>+</u> 11 ^{abc}	181 <u>+</u> 9 ^{ab}	186 <u>+</u> 6
	Sexey-aux-Forges	203 <u>+</u> 9 °	193 <u>+</u> 10 ^{ab}	182 <u>+</u> 12 ^a	186 <u>+</u> 11 ^{ab}	190 <u>+</u> 9 ^{bc}	187 <u>+</u> 8 ^{ab}	177 <u>+</u> 5 ^a	177 <u>+</u> 6 ^{ab}	195 <u>+</u> 8 ^{bc}	192 <u>+</u> 9 ^{ab}	177 <u>+</u> 6 ^a	183 <u>+</u> 13
	Saint-Amond	189 <u>+</u> 11 ^a	189 <u>+</u> 11 ^{ab}	182 <u>+</u> 10 ^a	179 <u>+</u> 15 ^a	184 <u>+</u> 8 ^{ab}	185 <u>+</u> 6 ^{ab}	180 <u>+</u> 7 ^a	175 <u>+</u> 8 ^a	190 <u>+</u> 12 ^{ab}	191 <u>+</u> 8 ^{ab}	177 <u>+</u> 8 ^{ab}	178 <u>+</u> 17
	Arc-en-Barrois	191 <u>+</u> 10 ^{ab}	188 <u>+</u> 13 ^a	175 <u>+</u> 16 ^a	183 <u>+</u> 11 ^{ab}	183 <u>+</u> 8 ^a	184 <u>+</u> 8 ^a	172 <u>+</u> 8 ^a	177 <u>+</u> 9 ^{ab}	189 <u>+</u> 8 ^a	188 <u>+</u> 7 ^a	175 <u>+</u> 8 ^a	176 <u>+</u> 8
	Spearman p	0.37 ^{ns}	0.89 *	0.31 ^{ns}	0.14 ^{ns}	<i>0.94</i> *	1 **	0.71 ^{ns}	0.89 *	0.94 *	1 **	0.94 *	0.89 *
	Treat effect	67.8 ***				134.1 ***				95.3 ***			
	Pop effect	13.2 ***				35.7 ***				25.4 ***			
	Treat x Pop inter	5.8 ***				2.3 **				0.6 ns			

The differences in both BB and LY days among populations acted in the same direction on growing season length, which showed as many as 14 days of difference between the northern and southern populations; the northern populations displayed longer GS than the southern populations (Fig. 6c). GS length presented higher correlation coefficients with latitude than did BB and LY days in 2015 and 2016.

528 *3.4. Bud-burst and leaf-yellowing responses to soil water deficit and defoliation among* 529 *populations*

530 For BB, in 2014 and 2016, the population ranking was stable whatever the treatment, as shown by the Spearman rank correlation results and the non-significance of the treatment - population 531 532 interaction (Table 3). But in 2015, the SWD treatment presented a different population ranking, leading to a significant treatment - population interaction. LY often presented a lower Spearman 533 correlation coefficient than did BB, with a less clear ranking of the phenological dates according 534 to population latitude, but the ranking of the extreme populations in the latitudinal gradient was 535 well maintained. The treatments significantly impacted LY and differently according to the 536 populations as revealed by the significant treatment - population interaction for the three years. 537 For GS, in 2015 and 2016, the ranking of populations was stable whatever the treatment (high 538 spearman correlation coefficients). In 2014 and 2015 a significant treatment-population 539 interaction was observed. 540

541 4. Discussion

542

4.1 Annual variability of leaf phenology in beech trees

543 Over the three years of our experiment, for the beech trees in the control treatment, the maximal 544 inter-annual variability in bud-burst date was 14 days. LY seems to play an important role on the 545 GS length in beech trees since GS was more strongly dependent on LY than on BB. This was 546 also observed by Vitasse *et al.* (2009a) on beech populations along an altitudinal gradient. 547 Consequently, LY date variability could significantly impact the annual carbon balance in beech

trees. Dragoni et al. (2011) showed that variations in leaf senescence date would have more 548 549 impact on the C balance than the variations in BB date in a broadleaf forest. However, under our latitude with low sun elevation and short photoperiod in October, cumulated daily irradiance is 550 551 smaller in autumn than in spring. These properties could limit the impact of the LY variations on the seasonal C balance. Indeed, at the beginning of our experiment, when the trees were seven 552 years old, the phenological differences among populations did not seem to have a significant 553 influence in tree height or diameter growth which were similar among populations 554 (Supplemental Information Fig. S2). 555

556

4.2. *Responses of beech leaf phenology to soil water deficit and defoliation*

In a context of climate change, beech has been described as a species whose leaf phenology (or 558 at least BB) would be little affected by global warming (Fu et al., 2019a) because: i) BB would 559 560 occur only when a defined photoperiod, which is a fixed parameter, is reached, and ii) the absence of a sufficient chilling temperature would be compensated for an increase in a forcing 561 temperature, as observed during warm years in the past (Cannell, 1997; Dantec et al., 2014). 562 However it is obvious from our study that leaf phenology is also modified by a long soil water 563 deficit. We observed that a soil water deficit caused delayed bud-burst, and overall, early leaf-564 565 yellowing, thus significantly reducing the length of the growing season. These results are valid for our young beech trees but could be attenuated in mature trees due to a potential deeper root 566 system. As a reference, under natural condition the duration of one of the most extreme water 567 deficit during the last-10-years in beech stand in 2003 lasted 124 days (Granier et al., 2008), 568 from DOY 178 to DOY 300 with minimum REW close to zero (Peiffer et al., 2014). Similar 569 extreme soil water deficits were computed in European forests in 2003 (Granier et al., 2007) and 570 in 2018 (Schuldt et al, 2020). Depending on the intensity of the water deficit and on year, GS 571 was shortened by up to 15 days, which is within the same range of variation as the inter-annual 572

variability of GS length observed in the control treatment. Surprisingly, MWD treatment 573 presented earlier LY than SWD treatment. This is a possible response to variation of 574 microclimate under the roof, the trees of the MWD being situated at the edge of the roof. 575 576 Reducing GS in response to soil water deficit may help the tree save water by shortening the transpiration period, thus avoiding extreme dehydration and reducing the risk of hydraulic 577 dysfunction as was suggested in the study on the impact of rainfall exclusion on a Mediterranean 578 579 forest (Misson et al, 2011). An early leaf fall affecting green leaves in summer, without any yellowing process, has sometimes been reported in case of extreme drought (Bréda et al., 2006; 580 Marchin et al., 2010), probably as a result of petiole embolism. But this was not the case in our 581 582 study since the duration of the leaf yellowing process was similar for drought-stressed and control trees. Reducing GS may also allow the tree to save carbon and nitrogen. Indeed, 583 prolonged soil water deficit induces a long-term source-sink imbalance because some carbon 584 585 sinks are maintained active such as maintenance respiration whereas carbohydrates production is reduced due to stomatal closure (Farquhar and Sharkey, 1982; Calister and Adams, 2006; Flexas 586 et al., 2006). Both carbon and nitrogen metabolisms are enhanced in response to soil water 587 deficit to help save internal water and ensure cell survival (Chuste et al., 2019, 2020). Although 588 some carbon sinks, such as primary and secondary growths, are sacrificed under soil water 589 590 deficit (Chuste et al, 2020), other important C sinks remain active (e.g. transport, maintenance respiration) or may even be up-regulated (*e.g.* defense, starch interconversion to soluble sugars 591 for osmoregulation and protection against cell dehydration) (Chuste, 2018). Beech trees have to 592 compensate for this carbon imbalance. They may remobilize their carbon reserves to ensure 593 594 survival (Chuste et al., 2020). In response to soil water deficit, early leaf senescence may save carbon by reducing the carbon used in the maintenance respiration of living organs and to avoid 595 596 tissue dehydration (Hinckley et al., 1979; Xie et al., 2018). As carbon and nitrogen metabolisms are closely linked, early leaf yellowing may also save nitrogen and would allow an early 597

resorption of leaf nitrogen before leaf death and dehydration in case of extreme soil water deficit (Marchin *et al.*, 2010; Chuste, 2018). These regulations could participate in the survival strategy of the beech trees in response to soil water deficit. However, even if shortening GS is an adaptive response of trees to drought, it is not the best mean for saving water and carbon; other adaptive mechanisms such as stomatal closure or leaf area reduction are much more efficient.

603 In our study, neither BB nor LY changed in response to defoliation. By defoliating trees, we 604 limited i) the tree's capacity to assimilate carbon by strongly reducing photosynthetic leaf area (75% of defoliation in 2014 and 2015 and 90% in 2016) and ii) the nitrogen available to the trees 605 since 30% of the N total stock is contained in the beech leaves in spring (El Zein et al., 2011b), 606 607 at the period when defoliation was carried out. This reduction of the nitrogen and carbon availability did not affect the leaf phenology of our beech trees. However, another consequence 608 of defoliation is to limit the tree consumption of carbon (by reducing maintenance respiration), in 609 610 the absence of re-foliation (as was our case in 2014 and 2015, but not in 2016). In addition, higher leaf N concentrations was measured in the foliage remaining on our defoliated trees in 611 612 2015 as also observed by Pinkard et al. (2011) in Eucalyptus globulus. This leaf nitrogen 613 increase did not significantly affect leaf phenology contrarily to the results suggested by the study of Fu et al. (2019c). As a large fraction of leaf N is invested in Rubisco (Evans, 1989), this 614 615 leaf N increase could increase the C assimilation in the remaining leaves of the defoliated trees, a response to defoliation often reported in the literature (Hart et al., 2000; Handa et al, 2005). 616 Moreover defoliating part of crown undoubtedly allowed remaining, previously shaded leaves to 617 receive more light which may have stimulated carbon assimilation in these leaves. Consequently, 618 619 the defoliation treatment may have only slightly affected carbon assimilation at the tree scale. Therefore, the absence of a phenological response to defoliation could also be because 620 621 defoliation affected the carbon and nitrogen balances of the trees less than expected.

624 *4.3. Plasticity of leaf phenology among regional beech forests*

We examined the question of the phenological differentiation among six populations issued from 625 626 a small latitudinal gradient presenting a small climatic range in the central distribution area of 627 Fagus sylvatica L. From one year to the next, we often observed a similar population ranking for both BB and LY in the control treatment: Delpierre et al. (2017) observed that leaf phenology 628 ranking was stable from year to year among trees of temperate forest, including Fagus sylvatica, 629 over a large distribution area. The relationship between BB and LY and the latitudinal origin of 630 631 the populations that we observed, suggests a genetic differentiation at the regional scale that could be adaptive or not. We checked the literature for the factors controlling the expansion of 632 633 beech in Europe during the post-glaciation period. We found three main drivers: i) climatic 634 conditions, ii) human activities and iii) the natural, slow migration of beech forests due to dispersal limitation (Willner et al., 2009; Magri, 2008). In our study, we observed few significant 635 correlations between the latitudinal gradient and the mean climatic trends along our gradient 636 suggesting that the genetic differentiation could result from factors other than climate 637 (Supplementary Information Fig S3). However, this absence of climatic links could also be due 638 639 to the limited number of populations within a small climatic gradient. Moreover, the meteorological model we used to estimate the climatic variables at each site, may not have been 640 641 enough precise at the regional scale. Finally, we used mean climatic values over 30 years (1981 642 -2010) to investigate the climatic gradients and it is possible that a population's adaptation to a 643 local climate is driven more by its ability to survive extreme climatic events than by a mean climatic trend. For instance, one of our populations has been particularly sensitive in term of tree 644 645 mortality in response to SWD treatment in the common garden experiment (data not shown). We revealed that in our regional gradient, the northern populations presented a longer GS than 646

647 the southern ones. This result at the local level contrasts with previous observations done over a

large European geographical range: several studies observed that the northern populations would 648 649 be genetically adapted to a cold climate with later BB and earlier LY than the southern populations (Robson et al., 2013; Delpierre et al., 2017),. Such a response had been observed in 650 651 Germany and Poland where northern populations flushed later (Von Wuehlisch et al., 1995; Chmura and Rozkowski, 2002). In the Northern hemisphere, Gill et al. (2015) observed a 652 negative correlation between leaf-yellowing date and latitude. One possible explanation of our 653 654 conflicting results is the inversed frost gradient that we identified between the populations 655 situated at the most extreme zones of the latitudinal range (Supplementary Information Fig S3, Table S1): the mean number of frost days per year was lower (69.7 days) in the most northerly 656 657 site than in the most southerly (81.7 days). At regional scale, small topographic and/or altitudinal variations among forests may have counterbalanced the temperature gradient that we expected 658 along this latitudinal gradient (i.e., southern populations warmer than northern ones). The 659 660 difference in GS length observed between our northern and southern populations remains in agreement with the trade-off between growth performance and frost resistance suggested by 661 Loehle (1998): the southern populations may have presented the shortest growing season to 662 663 avoid frost.

We compared the capacity of regional beech populations in the central area of distribution to 664 face future soil water deficits. Globally, the response to soil water deficit was characterized by a 665 late BB and an early LY, thus strongly reducing GS length. However, we also found population-666 specific responses to soil water deficit with significant treatment-population interactions 667 668 suggesting that some populations were more impacted by soil water deficit than others. Our results suggest that climate change may challenge the current adaptation of trees to their local 669 growth conditions, and this could be particularly important in the central zone of the species 670 distribution area. Indeed, for a long time studies focused on the fitness of the populations 671 growing in the extreme zones of the distribution area (Jump et al., 2006; Piovesan et al., 2008), 672

but more recent studies suggest a stronger sensitivity to recent drought episodes for trees growing in the wetter mid-latitudes (Friedrichs *et al.*, 2009; Scharnweber *et al.*, 2011). In fact, populations in the central area of distribution, where extreme events are rare, could be more sensitive to soil water deficit than those growing at the south edge of the range, which frequently experience extreme climatic events. Populations in the edge area would retain only the most resistant and best adapted individuals in their populations (Cavin and Jump, 2017).

679 **5.** Conclusion

Our study suggests that beech trees present a local variation of leaf phenology, even within a 680 681 small latitudinal gradient, and that different populations have different phenological response to soil water deficit. The impact of drought on both bud-burst and leaf yellowing dates leads to a 682 shorter growing season and suggests that soil water content should be taken into account more 683 often in studies of inter-annual phenological variability, particularly in the context of future 684 climate change where spring and summer drought events are likely to become more frequent. 685 Accounting for soil water content could help researchers to better estimate the length of the 686 growing season in carbon balance models for sensitive deciduous species like Fagus sylvatica 687 under future climatic conditions. 688

689

690 Data accessibility

691 The data are available from the authors upon request.

692

693 Declaration of Competing Interest

694 None.

695

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710 Appendix A. Supplementary information

- Figure S1. Codes used to determine the bud burst day (stage 7 in the BBCH code) and leaf yellowing day (stage 6).
- Figure S2. Variability in tree size within and among beech populations at the beginning of the experiment.
- Figure S3 Correlation matrix between the geographic, climatic and phenological variables for
 the six beech populations.
- Figure S4 Changes in thermal time (°C day) during (a) bud-burst and (b) leaf-yellowing periods
 in relation to the day of year (DOY).
- 719 Table S1. Mean climatic characteristics 1981-2010 from the Aurehly model (Météo France) for
- the sites of origin for the six populations along a 140-km latitudinal gradient in the Lorraine
- region (North-eastern France), and for the common garden site.
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