

Hydroxychloroquine lung pharmacokinetics in critically ill patients with COVID-19

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25	Running title: Hydroxychloroquine concentration in lung epithelial lining fluid of COVID-19				
26	patients.				

27 Abstract

Different dosage regimens of hydroxychloroquine were used to manage COVID-19 patients, 28 29 with no information on the lungs' exposure in this population. The aim of our study was to 30 evaluate hydroxychloroquine concentrations in the lung epithelial lining fluid (ELF) in 31 patients infected with COVID-19. This study is a retrospective, observational, multicenter, 32 pharmacokinetics study of hydroxychloroquine in critically ill patients. No additional 33 interventions or additional samples compared to standard care of these patients were conducted in our teaching hospital. We included all intubated COVID-19 patients treated with 34 35 crushed hydroxychloroquine tablets, regardless of the dosage administered by the nasogastric tube. Blood and bronchoalveolar lavage (BAL) samples (n= 28) were collected from 22 36 37 COVID-19 patients and the total hydroxychloroquine concentrations in epithelial lining fluid were estimated. Median hydroxychloroquine plasma concentrations were of 0.09 [0.06; 0.14] 38 39 mg/l and 0.07 [0.05; 0.08] mg/l for 400 mg x 1/day and 200 mg x 3/day, respectively. Median hydroxychloroquine ELF concentrations were of 3.74 [1.10; 7.26] mg/l and 1.81 [1.20; 7.25] 40 41 for 400 mg x 1/day and 200 mg x 3/day, respectively. The median ratio of ELF/plasma 42 concentrations was of 40.0 [7.3; 162.7] and 21.2 [18.4; 109.5] for 400 mg x 1/day and 200 mg 43 x 3/day, respectively. Exposure in the ELF is likely to be underestimated due to the 44 concentrations of plasma hydroxychloroquine. In clinical practice, low plasma concentrations 45 should not induce an increase in drug dosage because the lung exposure may already be high.

46

47 **KEYWORDS:** COVID-19, hydroxychloroquine, plasma drug monitoring, bronchoalveolar
48 lavage (BAL)

50 **1. Introduction**

51 Based on the in vitro work carried out against SARS-CoV-2 and preliminary clinical data, 52 hydroxychloroquine is currently being used in the management of COVID-19 patients [1, 2]. 53 Hydroxychloroquine may have an antiviral action through three main mechanisms: (1) viral 54 entry prevention, (2) impairment of viral replication and (3) pleiotropic action on the human 55 immune system through immuno-modulating activity [3]. The various in vitro studies have 56 shown that the EC₅₀ of hydroxychloroquine ranged from 0.72 to 4.4 μ M (i.e. 0.241 to 1.4 57 mg/l) at 48 hours and 72 hours post-infection, respectively [3-5]. Pending the results of robust 58 clinical trials and due to the lack of pharmacokinetic-pharmacodynamic information in 59 COVID-19 patients, and in accordance with the National French Team, AC43-ANRS/STP-SFPT, different dosage regimens were applied in Toulouse University Hospital (France) (200 60 mg x 3 / day; 400 mg x 2 on day 1 then 200 mg x 3 / day; 400 mg x 2 on day 1 then 400 mg / 61 62 day; and at least for ICU patients, 600 mg x 2 on day 1 then 400 mg/day) in order to reach 63 pharmacokinetic equilibrium as quickly as possible [6].

On 2 April 2020, the French Ministry of Health imposed a dosage regimen identical to the one
used in systemic lupus erythematosus (SLE; no loading dose and 200 mg x 3/day) for patients
treated outside the context of a clinical trial.

Regardless of the dosage regimen, plasma concentration was monitored in order to evaluate individual drug exposure. It has been proven that the plasma concentrations measured in COVID-19 patients tended to be lower than the values reported in SLE patients, in particular for the standard regimen of "200 mg x 3/day" [7]. These preliminary results suggest that hydroxychloroquine concentrations are unlikely to be adequately predicted using the pharmacokinetic models derived from patients receiving hydroxychloroquine for SLE or rheumatoid arthritis treatment [8].

74 As the apparent volume of distribution of hydroxychloroquine is so large in volunteers as well 75 as in malaria patients ($\approx 5\ 000\ 1$ for the blood volume of distribution and $\approx 40\ 000\ 1$ for the plasma volume, at steady state) [9, 10], it can be suggested that the hydroxychloroquine gets 76 77 trapped in the red cells and granulocytes [9, 11, 12] and probably in various tissues [10]. It 78 could be assumed that the same occurs for COVID-19 patients. Consequently, it is natural to 79 question the concentration of hydroxychloroquine at the infectious site (i.e. the lung) [13]. Unfortunately, this information is not available. A lung biopsy is the most informative 80 81 approach. Even if a biopsy is a mixture of both intra- and extra-cellular matrices usually 82 homogenised so as to determine a mean concentration [14], hydroxychloroquine gets trapped 83 in the cells suggesting this drug is more likely present in the cells rather than outside. 84 However, this option would be highly intrusive and an alternative approach would be to 85 evaluate the hydroxychloroquine concentration in lung epithelial lining fluid (ELF) at the 86 bedside of intensive care unit (ICU) patients [15, 16].

87

Drug concentration in ELF can be inferred based on the concentration of hydroxychloroquine in the bronchoalveolar lavage (BAL) fluid and the concentration of urea both in the plasma and the BAL fluid [17]. This method is not new and is usually applied to explore the lung diffusion of antibiotics in ICU patients. Consequently, when available, BAL fluid can be used as a kind of "quality control" used to obtain information on the degree of impregnation in the lung for a short period (10-15 days) of treatment.

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95 The aim of our study was to evaluate hydroxychloroquine in the lung's ELF in COVID-1996 patients in order to estimate the level of lung exposure.

98 **2.** Patients and Methods

99 This study is a retrospective, observational, multicentre, pharmacokinetic study of 100 hydroxychloroquine in critically ill patients. We included all intubated COVID-19 patients 101 treated with crushed hydroxychloroquine tablets, regardless of the dosage administered by 102 nasogastric tube.

According to the guidelines established by the French National AC43-ANRS/STP-SFPT Team in March/April 2020, blood samples were collected at different time points (from 48h to 192h) during clinical management of COVID-19 patients after hydroxychloroquine initiation and 30 minutes before drug administration (i.e. trough concentration). In plasma, the steady state is supposed to be reached in 48 hours [18].

108

109 As part of our standard practice for monitoring patients with acute respiratory distress 110 syndrome (ARDS) who are at high risk for infectious complications, and in this particular 111 context of COVID-19, a mini-bronchoalveolar lavage (BAL) (twice 20 ml of physiological 112 saline) was systematically performed 7 days (+/-2 days) after treatment initiation or in the 113 event of a new respiratory degradation, for microbiological monitoring purposes 114 (bacteriology, mycology and viral replication of SARS-CoV-2). A leftover volume of 115 approximately 500 µl remained after these microbiological investigations, which was used to 116 determine the hydroxychloroquine concentration. BAL sampling was carried out between two 117 dose administrations, with no specific time imposed. Except for one case, only the BALs for 118 which plasma determinations were performed within 48 hours before or after collection were 119 included.

120

121 Hydroxychloroquine in plasma and BAL fluid concentrations were determined using a 122 chromatographic analytical method, validated as per FDA guidelines. All BAL sample 123 preparations included a protein precipitation and a virus inactivation step in a methanol 124 solution. The HCQ dosage method presents a lower limit of quantitation (LLOQ) of 0.05 mg/l 125 in the plasma and 0.01 mg/l in the BAL fluid, an upper limit of quantitation (ULOQ) of 2 126 mg/l in the plasma and the BAL fluid, and an intra- and inter-day variability of <4% and 127 <10% respectively. When plasma concentrations were <LOQ, the value was set to 0.025 128 mg/L (i.e. half the LLOQ). The plasma samples were stored at +4°C before analysis, for a 129 period of 24h maximum. The BAL fluid samples were stored at -80°C before analysis, for a 130 period of 30 days maximum. Previous studies have shown that hydroxychloroquine was 131 stable in the whole blood under these conditions [19]. As whole blood is a complex matrix, in 132 which xenobiotics tend to be less stable than other biological fluids, we considered that 133 hydroxychloroquine was also stable in plasma and BAL fluid in these conditions.

Urea is used as an endogenous marker of ELF because urea, a small and relatively nonpolar molecule, can freely travel across membranes to reach the outer surfaces of alveoli. The concentration of urea in ELF (Urea $_{ELF}$) is considered to be same as in the serum urea (Urea $_{serum}$) concentration, implying complete distribution. Therefore, the volume of ELF (V_{ELF}) is adjusted for excess exogenous water using the following equation:

139

$V_{ELF} / V_{BAL} = Urea_{BAL} / Urea_{plasma}$

140 Knowing (1) the concentration of hydroxychloroquine measured in the BAL (HCQ_{BAL}), (2) 141 the volume of BAL collected (V_{BAL}), the estimated ELF volume (V_{ELF}), it is then possible to 142 determine the concentration of hydroxychloroquine in ELF (HCQ_{ELF}) using the following 143 formula:

144
$$HCQ_{ELF} = V_{BAL}/V_{ELF} \times HCQ_{BAL} = (Urea _{plasma} / Urea _{BAL}) \times HCQ_{BAL}$$

Plasma urea levels were determined using an automated enzymatic method, validated as per FDA guidelines. This method presents a LLOQ of 0.5 mmol/l, an ULOQ of 15 mmol/l and an intra- and inter-day variability < 2% and <3%, respectively. Safety practices require a greater</p> level of caution when handling respiratory specimen from SARS-CoV-2 positive patients
[20]. Thus, urea concentrations in BAL was assayed using a gas chromatography – a mass
spectrometry method which included a protein precipitation and virus inactivation step in a
methanol solution. A LLOQ of 0.1 mmol/l, and a ULOQ of 20 mmol/l were achieved.
Precision assays showed an intra-day variability < 9% and an inter-day variability < 10%.
BAL fluid samples were stored at -80°C before analysis, for a period of 60 days maximum.
Previous studies have shown that urea was stable in serum in these conditions [21].

155

156 Continuous data was expressed as median (25th-75th percentiles) and categorical variables in 157 numbers (percentages). The relationship between the plasma and ELF concentrations and the 158 other parameters was assessed by simple linear regression. The analysis was performed using 159 the MedCalc®15 statistical software program (Ostend, Belgium). p < 0.05 was considered to 160 be statistically significant.

161

This study is entered in the Toulouse University Hospital register of retrospective studies
(registration number: RnIPH 2020-33) and is covered by MR-004 (CNIL number: 2206723 v
0). This study was approved by Toulouse University Hospital and ethical requirements were
entirely respected.

- 167 **3. Results**
- 168 *3.1 Population*

Twenty-eight hydroxychloroquine plasma and BAL fluid concentrations from 22 patients were measured (Table 1). The median patient age was of 60 [interquartile range (IQR) 53-70] years, and 91% of the patients were male. The median body mass index (BMI) was of 28 [IQR 26-31] kg/m². The median SAPS II and SOFA scores pertaining to the included patients were of 37 [IQR 32-46] and 6 [IQR 3-7] respectively, indicating a critically ill patient population.

175

176 3.2 Hydroxychloroquine trough concentrations

The values of the BAL fluid hydroxychloroquine concentration were determined 7 to 12 days
after treatment initiation. For one point, the time from blood collection to BAL was 9.8 days,
but the plasma concentration was at stead-state.

The median hydroxychloroquine plasma concentrations were of 0.09 [0.06; 0.14] mg/l and 0.07 [0.05; 0.08] mg/l for 400 mg x 1/day and 200 mg x 3/day, respectively. The median hydroxychloroquine ELF concentrations were of 3.74 [1.10; 7.26] mg/l and 1.81 [1.20; 7.25] mg/l for 400 mg x 1/day and 200 mg x 3/day, respectively. The median ratio of ELF/plasma concentrations were of 40.0 [7.3; 162.7] and 21.2 [18.4; 109.5] for 400 mg x 1/day and 200 mg x 3/day, respectively (Table 2).

186 The relationship between ELF and the plasma hydroxychloroquine concentration is presented187 in Figure 1.

188

189 No relationship was observed between the measured hydroxychloroquine concentrations and

- 190 the biological parameters characterising renal and hepatic functions (Supplementary Table 1).
- 191

192 **4. Discussion**

193 As previously reported for many anti-infective drugs used to treat pulmonary infections, ELF 194 concentration gives information on the intra- and extracellular lung exposure [15, 16, 22]. 195 However, this approach is essentially reserved for clinical research as the therapeutic 196 monitoring of anti-infective drugs in ELF is determined by practical and organisational 197 constraints. Firstly, performing a BAL requires that the operator be trained and that the patient 198 be stable enough to tolerate the serum injection in such way that it is only exceptionally 199 carried out on non-intubated patients. Secondly, drug quantification has to be performed in an 200 unconventional matrix (i.e. BAL) with a very sensitive analytical method (i.e. more often LC-201 MS/MS). In the special case of COVID-19 patients, the BAL is contaminated by SARS-CoV-202 2, thus imposing a specific and time consuming pre-analytical process.

203

204 We were able to gather all these conditions in order to assess whether all ELF concentrations 205 are higher than plasma concentrations, despite the variability of ELF values. The significant 206 variability in ELF concentrations may be explained in part by the BAL sampling. In fact, even if the injection volume were standardised (2 x 20 ml), the dwell time and the aspiration 207 208 pressure cannot be strictly identical [23]. Cells can also be part of the ELF, especially 209 macrophages, and may be lysed when measuring the drug concentration. Depending on their 210 quantity, the lysis of these cells may induce an increase in the hydroxychloroquine 211 concentration [11, 17]. As no measurement of the cell burden in the BAL sample was 212 performed due to insufficient BAL volumes available for pharmacokinetic exploration, this 213 lack of information has to be considered as a limitation of our study. Indeed, the cell burden 214 in the BAL sample is likely associated with the ELF hydroxychloroquine concentration (i.e. 215 the more the cells, the higher the concentrations).

216 Collecting blood and BAL samples at a different moment (day and/or time) and the potential 217 post-dose discrepancy between the blood sample and the collection of BAL, could appear as 218 limitating the interpretation of the ELF/plasma concentrations ratios. However, 219 hydroxychloroquine presents a large volume of distribution with deep compartments (i.e. 220 lung, spleen, melanin-containing tissues... [3] leading to different kinetic profiles in the 221 plasma and lung tissue [24]. Indeed, half-life elimination is likely to be short in the plasma of 222 COVID-19 patients [7, 18] as opposed to deep compartments. The blood samples have always 223 been taken at steady state while the BALs could be collected always after the plasma 224 concentration peak. Indeed, the staff in charge of carrying out the BALs was warned of this 225 constraint. Furthermore, a flat kinetic profile was expected in the lung tissue [14]. As a 226 consequence, it seems reasonable to suppose that ELF/plasma concentrations ratios do not 227 change between administrations, once the steady state has been reached. In fact, the ideal 228 solution would consist in determining the area under the time-concentration curve (AUC) in 229 both plasma and BAL matrices at steady state and to calculate the AUC ratio. This option is 230 not feasible and not ethical for critically ill patients presenting ARDS because multiple BALs 231 would alter the gas exchanges between alveoli and capillaries. It would worsen PaO2/FiO2 232 ratios (the ratio of arterial oxygen partial pressure (PaO2 in mmHg) to fractional inspired 233 oxygen (FiO2 expressed as a fraction)).

However, as our data was retrospectively collected from a small population of ICU patients, one limitation of our study is that the inter-individual variability of the plasma and ELF hydroxychloroquine concentrations likely under/overestimates the actual inter-individual value.

Our results show that hydroxychloroquine concentrations in the lung are higher than in the ELF. Passage from the blood compartment to the ELF involves passing through the pulmonary epithelial cells (i.e. prime target for the replication of SARS-CoV-2 [17, 25, 26]) 241 in which hydroxychloroquine is most likely accumulated with pharmacokinetic hysteresis. 242 Hydroxychloroquine's mechanism of action is poorly elucidated, but includes, among others, 243 the increase in endolysosomal pH necessary for viral fusion. The initial fusion between the 244 viral and the cellular membranes (e.g. lung epithelial cells) requires an interaction between the 245 surface proteins of the two partners, and this interaction can only take place under particular 246 acidic conditions, through the phenomenon of endocytosis. The inability to obtain the ideal 247 pH can block this process, and it is probably through this means that hydroxychloroquine may 248 act. Other properties may be involved: modification in the glycosylation of angiotensin 249 converting enzyme-2, the receptor that SARS-CoV-2 uses to enter the cells and/or post-250 translational modification of some viral proteins [27]. In addition, viral invasion may also 251 trigger a massive margination of the phagocytic cells to the infection site, which may deliver 252 increased amounts of hydroxychloroquine [28]. But, in the absence of clear information on 253 the influence of the inflammatory status reported in COVID-19 patients as to the 254 accumulation of hydroxychloroquine in lungs, this point should be considered as a limit of 255 our study.

256

257 Plasma concentration is not predictive of lung concentration, as shown in Figure 1. Therefore, 258 drug dosage determinations in studies assuming equilibrium between epithelium and plasma 259 concentrations may lead to overly high dosages [29]. Furthermore, in clinical practice, low 260 plasma concentrations should not induce an increase in the drug dosage because the lung 261 exposure may already be high. The various in vitro studies have shown that the EC_{50} of hydroxychloroquine ranged from 0.72 to 4.4 μ M (i.e. 0.241 to 1.4 mg/l) at 48 hours and 72 262 263 hours post-infection, respectively [3-5]. However, EC₅₀ could be determined in Vero cell 264 lines, but not in a human epithelial cell model [4]. This discrepancy may explain that hydroxychloroquine has not shown efficacy in clinical trials [29]. Thus, whether for a dose of 265

400 mg x 1 /day or 200 mg x 3 /day, the median ELF concentration of hydroxychloroquine is above the maximum EC_{50} value. Therefore, both regimens lead to a median lung exposure that could be sufficient to eradicate the virus. However, the heterogeneity of EC_{50} s raises the problem of selecting the "right" threshold used to determine the dosage, in particular through modelling techniques. In conclusion, despite all its imperfections, BAL fluid provides a rough idea of lung exposure.

272

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278

279 **Declarations**

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281 **Competing interests:** None to declare

282 Ethical Approval: This study was entered in the Toulouse University Hospital register of

retrospective studies (registration number: RnIPH 2020-33) and is covered by MR-004 (CNIL

number: 2206723 v 0). This study was approved by Toulouse University Hospital and all

285 ethical requirements were complied with.

286

Authors' contributions: SR, DC, JMC and PG conceptualised the research aims, designed the study, and took responsibility for the integrity of the data and the accuracy of the data analysis. PG, HV, DR, VM and BG contributed to the acquisition of data. TL, SB, ML and CM performed HCO concentration determinations in BALs and plasma. DC and JMC

- 291 performed the statistical analysis. SR, DC, JMC and PG wrote the first draft of the paper, and
- 292 other authors provided comments and approved the final manuscript.

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392	hydroxychloroquine and for whom a bronchoalveolar lavage was performed (BMI: body mass
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394	simplified acute physiology score; SOFA: Sepsis-related Organ Failure Assessment).
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Table 1: Socio-demographic and clinical data of 22 severe COVID-19 patients treated with hydroxychloroquine and for whom a bronchoalveolar lavage was performed (ALT: Alanine aminotransferase; AST: Aspartate aminotransferase; BMI: body mass index; CKD-EPI: Chronic Kidney Disease EPIdemiology; D0: day 0 of the hydroxychloroquine initiation, D7: day 7 after hydroxychloroquine initiation; IQR: interquartile range; SAPS II: simplified acute physiology score; SOFA: Sepsis-related Organ Failure Assessment).

All Patients (n=22)	Median [IQR]	Min - Max	
Age	59.5 [53 to 70]	30 - 81	
BMI	28.3 [26 to 31.3]	20.7 - 37	
SAPS II	37 [32 to 46]	8 - 76	
SOFA score	6 [3 to 7]	2 - 14	
Protidemia (g/L) D7	61 [59 to 68]	50 - 77	
AST(UI/L) D7	65[69 to 179]	28 - 135	
ALT (UI/L) D7	99 [69 to 179]	18 - 257	
Bilirubin (µmol/L) D0	7.6 [5.15 to 11.2]	4 - 29	
CKD-EPI D7 (mL/min/1.73 m ²)	97 [60.5 to 105.8]	9 - 123	
Duration of invasive ventilation (day)	19.5 [11 to 28]	0 - 22	

Table 2: Hydroxychloroquine (HCQ) plasma and epithelial lining fluid (ELF) concentrations (IQR: interquartile range).

	All dosages		400mg x1/day		200 mgx3/day	
	Median [IQR]	Min - Max	Median [IQR]	Min - Max	Median [IQR]	Min - Max
Plasma HCQ concentrations (mg/L)	0.09 [0.06 to 0.14]	0.03 - 0.19	0.09 [0.06 to 0.14]	0.03 - 0.19	0.07 [0.05 to 0.08]	0.03-0.09
ELF HCQ concentrations (mg/L)	3.03 [1.10 to 6.78]	0.13 - 36.75	3.74 [1.1 to 7.26]	0.13 - 36.75	1.807 [1.2 to 7.25]	0.34 - 10.08
ELF/plasma HCQ concentrations	38.07 [8.34 to 138.52]	2.1 - 290.4	39.96 [7.33 to 162.66]	2.1 - 290.4	21.22 [18.41 to 109.49]	13.4 - 168