

Extensive review about industrial and laboratory dynamic filtration modules: Scientific production, configurations and performances

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EXTENSIVE REVIEW ABOUT INDUSTRIAL AND LABORATORY DYNAMIC FILTRATION MODULES: SCIENTIFIC PRODUCTION, CONFIGURATIONS AND PERFORMANCES

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4 CHENG Ming^{1*}, XIE Xiaomin^{2,3}, SCHMITZ Philippe^{1,4} and FILLAUDEAU Luc^{1,4*}

¹TBI, Université de Toulouse, CNRS UMR5504, INRA UMR792, INSA, 135, avenue de Rangueil, 31077, Toulouse, France

²Guangdong Midea White Home Appliance Technology Innovation Centre Co. Ltd.
 Foshan 528311, China

9 ³Institute of Environmental & Ecological Engineering, School of Environmental Science

10 of Engineering, Guangdong University of Technology, Guangzhou 510006, China

⁴FERMAT, Université de Toulouse, CNRS, INPT, INSA, UPS, 4 Allee Emile Monso,

12 31432 Toulouse, France

13

14 Abstract: By maintaining a high shear rate, dynamic filtration (DF) provides excellent 15 performances in controlling fouling and improving flux during filtration. Many DF devices 16 comprising a mechanical movement generated by the rotation, oscillation and/or vibration of 17 one element have been developed. Based on the bibliometric analysis, new applications and 18 technologies related to DF have become the new research hotspots. Major applications were 19 reported in food processing, water treatment and bioprocess engineering. With a precise 20 definition of the concepts of oscillation and vibration, 55 DF modules have been classified 21 into 15 different types according to movement and shape (filtration cell, membrane, impeller, 22 disk...). But it appears that it remains a great challenge to complete the knowledge on the 23 flow of fluid inside DF modules because of their complex geometries. Global, semi-local and 24 local investigation of hydrodynamics have been detailed. They not only make it possible to 25 estimate performances but also to help to calculate energy consumption according to 26 operating conditions. This review presents the main characteristics of DF devices and 27 existing applications. These empirical results are already beneficial for the selection of DF 28 devices for a dedicated application. However, a better understanding of local and temporal 29 variations in pressure and shear stress is still necessary to refine the choice of a device and 30 the operating conditions. The overarching aims propose to report the main criteria that will help engineers select the DF module or identify the scientific and/or technological 31 32 bottlenecks about hydrodynamics or applications.

33 Keywords: Dynamic filtration; Bibliography analysis; Hydrodynamics; Shear rate;
 34 Power consumption

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36 Corresponding author at: Toulouse Biotechnology Institute, Bio & Chemical Engineering

(TBI), Université de Toulouse, CNRS, INRAE, INSA, 135 avenue de Rangueil, 31077
 Toulouse CEDEX 04, France

39 E-mail: cheng@insa-toulouse.fr (CHENG Ming); luc.fillaudeau@insa-toulouse.fr
 40 (Fillaudeau Luc)

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43 Highlights:

- Bibliography overview of dynamic filtration (application, research items, trends and stakeholders)
 Classification and specification of laboratory and industrial dynamic filtration modules
 Global, local and instantaneous characterizations of fluid flow
 Main criteria to select dynamic filtration modules (critical conditions, performances, power).
- 51

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Nomenclature

Abbreviation				
AVM	axial vibration membrane			
BDF	biodruck-filter	biodruck-filter		
BOD	biological oxygen demand			
BSA	bovine serum albumin			
CAPEX	capital expenditure			
CFD	computational fluid dynamics			
CFF	cross-flow filtration			
COD	chemical oxygen demand			
СР	concentration polarization			
CR	cross rotational			
CRD	compact rotating disc filter			
CRDM	retentate recycling process			
CSAF	controlled shear affinity filtration			
CSF	controlled shear filtration			
CTF	Couette-Taylor flow			
DCF	dynamic cross-flow filter			
DCF	dynamic cross-flow filtration			
DEF	dead-end filtration			
DF	dynamic filtration			
DMF	dynamic membrane filter			
DRDM	retentate non-recycling process			
FMX	anti-fouling membrane unit			
HF	hollow fibre			
HSR-MS	high shear rotary membrane system			
IREC	Catalonia Institute for Energy Research			
ISBM	intermeshed spinning basket membrane			
LDV	laser doppler velocimetry			
LLR	Log-likelihood Ratio			
MBR	membrane bioreactors			
MF	microfiltration			
MMV	magnetically induced membrane vibration			
MSD	multi-shaft disk			
MSDF	modular span disk filtration			
MTV	molecular tagging velocimetry			
NF	nanofiltration			
OFSM	oscillatory flat surface membrane			
OPEX	operational expenditure			

PAAS	poly acrylic acid sodium		
PIV	particle image velocimetry		
РМА	copolymer of maleic acid and acrylic acid		
PMMA	polymethyl methacrylate		
PTV	particle tracking velocimetry		
RCF	rotating cross-flow		
RDM	rotating disk module		
RD-M	rotating disk-membrane		
RD-M	rotating disk-membrane		
R-HFM	rotating hollow fibre membrane		
RO	reverse osmosis		
RVF	rotating and vibrating filtration		
SBM	spinning basket membrane		
SBR	styrene butadiene rubber		
SS	single stirred		
SSDF	signal shaft disk filter		
TMP	transmembrane pressure		
UF	ultrafiltration		
UHT	ultra-high temperature processing		
URV	Rovira Virgili University		
USVM	uniform shearing vibration membrane		
UTC	University of Technology of Compiègne		
VCF	volume concentration factor		
VERO	vibration enhanced reverse osmosis		
VHM	vibrating hollow fibre microfiltration		
VMBR	vibrating membrane bioreactor		
VMF	vibrating membrane filtration		
VRM	vacuum rotation membrane		
VSEP	vibratory shear enhanced processing		
WoS	web of science		
Latin letter		1	
A	amplitude	m	
a, b	numerical constants	/	
D	diffusion coefficient	m²/s	
d	diameter m		
dh	hydraulic diameter m		
di	inner diameter m		
d _m	rotor diameter	m	

do	outer diameter	m
Da	Darcy number	/
Е	Specific energy demand	kWh/m ³
Ek	Ekman number	/
F	oscillating/ vibrating frequency	Hz
f	velocity factor, friction factor	/
Н	vertical distance	m
h	rotor height	m
Ι	tension	А
J	Flux	m/s
Jss	steady-state flux	m/s
k	core velocity coefficient	/
km	transfer coefficient	1
L	channel length	m
Lp	permeability	m/(s·Pa)
Ν	mixing rate	Hz
Np	Power number	/
Р	power consumption	W
P _M	mechanical power	W
P _P	pumping power	W
PT	total power	W
P _{in}	inlet pressure	Ра
Pout	outlet pressure	Pa
Pp	permeate pressure	Pa
Δp	pressure drop	Pa
ΔΡ	transmembrane pressure	Pa
ΔP_{ag}	additional pressure	Ра
Q	flowrate	m ³ /s
Qc	circulation flowrate	m ³ /s
Q_{f}	feeding flowrate	m ³ /s
Qp	permeate flowrate	m ³ /s
Qr	retentate flowrate	m ³ /s
r	radius	m
R _h	hydraulic resistance	m ⁻¹
R _m	rotor radius	m
r _h	hydraulic radius	m

		-
r _i	inner radius	m
ro	outer radius	m
Re	Reynolds number	/
Rea	Reynolds number for axial flow in annulus	/
Rem	Reynolds number for mixing	/
Re _Q	Reynolds number in the tube	/
Re _r	Reynolds number in rotating system	/
Re _s	Reynolds number for rotating cone	/
Re _v	Reynolds number in oscillating/ vibrating system	/
S	membrane surface area	m ²
S	characteristic length scale	m
Sh	Sherwood number	/
Sc	Schmidt number	/
Т	period	Hz
t	time	S
Δt	time interval	S
Та	Taylor number	/
U	current	V
u	velocity	m/s
uz	axial flow velocity	m/s
v	kinematic viscosity	m²/s
V0	amplitude of velocity	m
у	gap	m
z	gap between rotor and membrane, or between two cylinders	m
Greek lette	er	
α	inclination angle	0
γ	shear rate	s ⁻¹
γ _R	shear rate at rotor surface	s ⁻¹
γs	shear rate at membrane surface	s ⁻¹
δ	limiting layer thickness	m
μ	dynamic viscosity	Pa.s
$\mu_{\rm p}$	permeate viscosity	Pa.s
ρ	density	kg/m ³
τ	shear stress	Pa
ω	angular velocity	rad/s

	η	mechanical efficiency	/
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195 1. INTRODUCTION

196 Membrane separation technology has become widely used in the bioprocess and 197 food processing industry (dairy, juice, wine, water) [1, 2]. Among three-phase reactors, 198 membrane bioreactors (MBR) appeared in the 70s and were primarily applied in the 199 biotechnology field: white biotechnology, pharmaceutical and food industries [2-4]. 200 However, membrane processes are yet to identify as an industrial alternative by users. 201 The choice of membrane process is a multi-criteria and fundamental approach including 202 diversities of (i) mass transfer processes (from electro-dialysis up to microfiltration), (ii) 203 the geometry of module (plane, filter-press, tubular, spiral wound, hollow fibre), (iii) the 204 nature of membrane (mean pore diameter and associated distribution/chemical nature: 205 organic, mineral, metal, composite) and (iv) the operating mode (dead-end, cross-flow or 206 dynamic filtration) and technology dedicated to fouling limitation (co-current, backfiltration, back-shock, back-flush,...). The overarching aim is to improve qualitative and 207 208 quantitative performances of separation processes, in other terms, their intensification and 209 efficiency in biological, chemical, and food processes. Retention could be described by 210 mechanical and/or physico-chemical retention, but the mechanisms of retention result 211 from a complex balance between the local hydrodynamic conditions, the product, the nature and the membrane cut-off. 212

213

1.1 Conventional dead-end filtration and cross-flow filtration

214 In conventional dead-end filtration (DEF) (Fig. 1), the feeding flux is perpendicular 215 to the permeation, and the fouling will accumulate at the membrane surface. 216 Transmembrane pressure (TMP) increases with the formation of the cake layer, resulting 217 in lower filtration efficiency. Cross-flow filtration (CFF), also known as tangential flow 218 filtration, was typically designed to reduce the impact of fouling by applying a tangential 219 feeding flow passing over the membrane and remove some of the deposits from the 220 surface. Increasing feeding flowrate is one of the conventional ways to enhance 221 performances in CFF. However, energy consumption rapidly increases with pumping 222 power, which is proportional to the cube of flowrate in a turbulent flow regime [5].

A large number of hydrodynamic techniques has been proposed to limit or manage fouling by modifying dead-end and cross-flow filtration modules to improve the performances. The main technologies are introduced below:

- The periodic shutdown of transmembrane pressure [6, 7];
- Co-current operation (homogeneity of TMP along with the diaphragm) [8];
- Reversal of the permeation flow (backflush) [9];
- 229 230

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• The back-shock process (periodic inversion of the permeate flow, effective backflush time of less than 0.1 s) [10];

Cleaning by generating non-stationarity in the tangential flow: generation of Dean or Taylor vortices [11-13], installation of static turbulence promoters such as baffled channel or stamped membrane [14, 15], pulsed flow [16], generation of two-phase flow (gas-liquid, liquid-solid) [17], ultrasound [18].

Although the impressive results to control fouling with these methods, the
 performance limitation of previous configurations and operating modes lead engineers
 and researchers to propose an alternative named Dynamic filtration (DF).

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240 Fig. 1 Comparison between Dead-end filtration (DEF), Cross-flow filtration (CFF)

241 *and dynamic filtration (DF).*

242 **1.2 Dynamic filtration**

243 Dynamic Filtration, also named Shear Enhanced Filtration, appears as a promising 244 and alternative way compared to DEF and CFF. DF is characterized by the mechanical 245 movement of devices to create high shear stress at the membrane surface result in 246 uncoupling between local shear rate and TMP from feeding flowrate. As shown in Fig. 1, 247 the permeate flux is not limited by the feeding flowrate but determined by local 248 hydrodynamic conditions. The rotating disk/impeller/cylinder or membrane, oscillating and vibrating device or membrane, or other mechanical motion can generate locally high 249 250 shear rates approximately up to 3×10^5 s⁻¹ without large feeding flow rates [19]. Taking 251 advantage of this technology, DF performs its outstanding behaviours in permeability and 252 productivity, which leads to an effective and economical process, and those major expected advantages are [20, 21]: 253

- Enhanced local shear rates (magnitude and time fluctuation) at the membrane surface;
 - Application of low TMP;
- Reduction of fouling magnitude, which generates higher permeate flux and requires lower filtration area;

- Reduction of loop dead volume;
 - Significant energy-saving (Power/ Permeate flux or Energy/ Permeate volume).

Many studies [22-24] have shown an improvement in efficiency and fouling control with DF. However, additional motion modules will also generate a series of defects. The drawbacks of DF modules mainly attribute to their mechanical and hydrodynamics complexities:

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- Technical limitations:
 - The complex mechanical configuration of devices may generate higher capital and operational investment, especially when scaling up;
 - The increase in the filtration area for scaling-up can be difficult.
- Hydrodynamic limitations:
- 269 270 271
- Characterization of internal flow pattern is difficult (global performances and space-time resolution of velocity and pressure fields);
- 272 273
- Local high shear stress may generate energy dissipation and subsequent local thermal increase.

For process engineers, the balance between advantages and drawbacks should be established for industrial applications through rational criteria, generally derived from lab-scale investigations. These strategies include estimating local and global parameters, theoretical models and computational fluid dynamics (CFD) simulation, practical filtration performances and energy consumption. More and more new devices and investigations are emerging to deal with these issues.

280 In 1994, Mikulasek [25] reviewed the typical methods to reduce concentration polarization and membrane fouling thanks to chemical, physical and hydrodynamic 281 methods. The dynamic effects of rotating and oscillating to promote instabilities of flow 282 283 were detailed. The anti-fouling mechanisms in rotating filtration were summarized by 284 Lee and Lueptow (2004) [26], and they also described the recent efforts to apply rotating 285 filtration to reverse osmosis (RO). Jaffrin (2008, 2012) [20, 27, 28] and Ding et al. (2014, 286 2015) [21, 29] summarized the industrial dynamic filtration modules with rotating and 287 oscillating. The hydrodynamics inside the filtration cell was specialised concerning the shear rate and radial pressure. The empirical equation $I = a\gamma^{b}$ linked the permeate flux 288 289 (J) and mean shear rate (γ) with two constants, a and b. Outputs from a review of 290 available data from studies of rotating and vibrating membrane filters, as well as 291 vibrating hollow fibre membranes, providing a direct correlation between performances 292 (flux) and local hydrodynamics (shear rate) [30]. However, confusion remains between 293 the mean and maximum shear rates. Meanwhile, there is still no clear classification of 294 dynamic filtration devices.

295 In this review, the bibliometric analysis was conducted to confirm the research 296 trends about DF modules over the years and summarized its application field and 297 filtration type. Due to the diversity of DF devices, the industrial or lab-scale modules 298 were classified into 15 types according to the mechanical movement form and shape. The 299 use of the available information related to internal hydrodynamics of DF allows the prediction of local performance and power consumption. They were combined with 300 301 practical application requirements to guide the selection of appropriate industrial 302 equipment.

304 2. Scientific production related to dynamic filtration

The database interrogation was carried out to review scientific publications and to gain insight into research hotspots. The scientific database Web of Science (WoS) Core Collection (Thomson-Reuter) was used to identify relevant articles concerning "dynamic filtration" and with the following methodology.

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- Database: Web of Science Core Collection
- Profiles: (dynamic filtration) AND (membrane) AND (high shear OR shear
 enhanced OR rota* OR vibra* OR oscilla*)
 - Field: Topic (Title, Abstract, Keywords)
 - Period: 1975 to 2020 (Updated: February 30, 2020).

Citespace [31] is one of the most commonly used tools in the visual exploration of scientific literature. It was adopted as a research tool for quantitative analysis, while qualitative analysis was associated with its application fields and filtration types to identify the investigated scientific problematics. The working database was established by filtering the related publications about dynamic filtration from WoS.

319

320 **2.1 Quantitative analysis**

321 Out of 251 extracted articles, 150 publications are related to "dynamic filtration" 322 including research articles (142, 94.7%), proceedings papers (22, 14.7%), reviews (6, 4.0%), and book chapter (2, 1.3%). Fig. 2 shows the number of papers per year from 323 1991 to 2020. During the period 1975-1990, no article was found in WoS. The average 324 325 number of publications per year is 1.8 ± 0.8 between 1991 and 2001, and increased rapidly 326 to 11.8±3.4 during 2002-2019, even up to 16 in 2018. The number of citations almost 327 grows exponentially and is strongly correlated with the publication rate. 2544 citations 328 between 2002 and 2019 equivalent to 19.6 citations/articles ratio. This basic figure 329 indicates an increasing research activity, scientific and industrial interests in DF.



Fig. 2 Number of publications and citations per year (Source: Core Collection-WoS
 (Thomson-Reuter), period: 1991 to 2020)

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2.1.1 Scope of journals and research areas

Five research areas represent almost 90% of articles. Engineering (in general) account for 78.7% of total publications, which include 118 articles, whereas Polymer Science and Water Resources rank second and third places with only 31 (20.7%) and 28 (18.7%) articles, respectively. Biotechnology & Applied Microbiology, Chemistry and Environmental Science & Ecology also account for a significant proportion. Besides, DF modules have been reported in the other 11 categories, such as Food Science Technology, Agriculture, Mechanics, Physics, Energy & Fuels, etc.

According to the Citation report for 150 selected results, the articles related to DF were published by 26 journals among 23 WoS subject categories. The five most productive journals with the number of articles and categories of journals are shown in Table. 1. Total articles and citations rate in each journal follows the same trend with a dominant position for the Journal of Membrane Science.

Table. 1 Top 5 most productive journals considering the number of articles and citations (source: WoS)

Journal	TA (P)	TC (P)	Category
JOURNAL OF MEMBRANE SCIENCE	31 (20.7)	1143 (43.5)	ENGINEERING; POLYMER SCIENCE
DESALINATION	20 (13.3)	298 (11.4)	ENGINEERING; WATER RESOURCES
SEPARATION AND PURIFICATION TECHNOLOGY	14 (79.3)	203 (7.7)	ENGINEERING

Journal	TA (P)	TC (P)	Category
BIOTECHNOLOGY AND	7(47)	165 (6 3)	BIOTECHNOLOGY &
BIOENGINEERING	7 (4.7)	105 (0.5)	APPLIED MICROBIOLOGY
CHEMICAL ENGINEERING	6 (4.0)	32 (1.2)	ENGINEERING
RESEARCH DESIGN			

348 **TA: Total article; TC: Total citation; P: percentage (%)*

2.1.2 Research institutions and scientific cooperation

Table. 2 shows the top 9 most productive institutions with the same indicators. The leading institution is University of Technology of Compiègne, UTC (France), which published the most articles accounting for 30.0% of the total, and almost half of them were in cooperation. Afterward, Rovira Virgili University, URV (Spain), Jadavpur and Calcutta University (India) and Nagoya University (Japan) published relatively few articles, but they all exceed five. Then followed by IREC (Spain) and Tamkang University (China).

357 Table. 2 Top 9 most productive institutions (source: WoS)

Institution	TA(P)
UNIV TECHNOL COMPIEGNE	45 (30.0)
UNIV ROVIRA VIRGILI	9 (6.0)
JADAVPUR UNIV	8 (5.3)
UNIV CALCUTTA	7 (4.7)
NAGOYA UNIV	6 (4.0)
IREC	5 (3.3)
TAMKANG UNIV	5 (3.3)
DALIAN UNIV TECHNOL	4 (2.7)
UNIV TOULOUSE	4 (2.7)

358

*TA: Total article; P: percentage (%)

³⁴⁹



360 Fig. 3 Institutions cooperation network

113 institutions (academics or private companies) and 99 collaborative links were 361 362 illustrated by the cooperation network (Fig. 3). The size of the nodes represents the scientific activity, and the links inform the cooperation between the academic institutions 363 364 and/ or private companies. As for the major network, the dominant position is led by 365 UTC (France) from the 7 most massive clusters, which published 45 articles and linked with other 12 institutions, but mainly concentrated in 2000 to 2010. Another major one 366 emerged at University of Toulouse. It has 5 collaborators, among 4 of them are connected. 367 Furthermore, the bilateral national cooperations in India, Korea, and China, the 368 international collaborations between Tamkang University (China) and Nagoya University 369 (Japan), URV (Spain) and UTC (France) indicate the high degree of cooperation. In Fig. 370 371 3, the colour informs about the production year. Most productive institutions come from 372 China, France and Korea in the last four years.

373

2.1.3 Identification of research items

Generally, the keywords are associated with the core content of the publications; the 374 375 higher frequency of occurrence in specific fields reflects the research hotspots. Fig. 4 lists 376 the co-occurrence network of high-frequency keywords (count>3, representing more than 75% of total keywords) related to DF. Most of these keywords correspond to filtration 377 types (microfiltration, ultrafiltration, nanofiltration and reverse osmosis), DF modules 378 379 (rotating membrane, perforated disk, overlapping ceramic membrane, ...), treated fluids 380 (microalgae, dairy wastewater, biofuel, chicory juice, emulsion...), performances (permeate flux, critical flux, threshold flux, shear stress/ rate...).and CFD simulation. 381



383 Fig. 4 Keywords co-occurrence network

384 Based on keyword co-occurrence analysis, network relationships were simplified 385 into a relatively small number of clusters. Table. 3 lists 12 clusters with the top 5 terms 386 by using Log-likelihood Ratio (LLR). The majority of the keywords are cross-linked and 387 can be summarized in 4 dominants:

- 388 DF technologies, such as rotating disk, vibratory disk, rotating membrane filter, • 389 shear enhanced filtration and vibration;
- 390 Applications including yeast cells, dairy effluent, microalgae, alpha-lactalbumin, 391 etc.;
- 392 Hydrodynamics and performances associated with flux behaviour, turbulent flow, shear stress, concentration polarization and mass transfer; 393 394
 - Simulations (dynamic simulation).

395 Table. 3 Clusters of research hotspots

Cluster ID	Size	Top Terms (LLR)
0	44	particle size, clean-bed, membrane separations, yeast cells, ferric hydroxide
1	37	rotating disk, dairy effluent, nanofiltration, vibratory disk, ceramic membranes
2	36	flux behaviour, alfalfa juice, dynamic cross-flow filtration, turbulent flow, rotating membrane filter
3	28	concentration polarization, number, concentration polarization (cp), mass transfer, vibration enhanced reverse osmosis (vero)
4	24	microalgae, dynamic membrane filtration, perforated disk, shear stress, dewatering
5	20	shear enhanced filtration, beta-lactoglobulin, milk fractionation, alpha-lactalbumin and beta-lactoglobulin transmissions, alpha-lactalbumin
6	15	dynamic simulation, back transport flux, rotating disk uf membrane, cell pore-plugging model, mathematical modelling
7	14	algae dewatering, ceramic microfilter, vibrating membrane system, algae microfiltration, membrane dewatering impact factor
8	13	ceramic membrane, solid-liquid separation, rotary filter, filtration, membrane
9	12	ultrafiltration module, dean vortice, fluidized bed, co2 transfer, variable secondary flow
10	8	bundle configuration, hollow fibres, vibration, analytical solution, shear rate

11 7 vibratory disk, particle size, dynamic cross-flow filtration, ceramic membranes, dynamic simulation

397 Table. 4 presents the top 10 keywords citation bursts about DF between 1991 and 398 2019. It is noticeable that all keywords alternate between technologies, applications and 399 performances. Dynamic filtration ranked the first position with strength was bursting 400 from 2000 to 2005. Meantime, skim milk, ultrafiltration, performance and crossflow 401 microfiltration also stood as the hot pots before 2010. Afterward, the scientific interest 402 evolves from the description of fouling mechanisms (concentration polarization, 403 permeate flux and threshold flux) to new applications (microalgae) and technological 404 developments.

405

396

Table. 4 Ton) 10 kevwords	with the stronge	st citation bursts
100000000000000000000000000000000000000	10		

Keywords	Year	Strength	Begin	End	1991 - 2019
dynamic filtration	1991	4.2973	2000	2005	
skim milk	1991	2.5107	2004	2009	
ultrafiltration	1991	3.1852	2004	2009	
performance	1991	2.7155	2008	2010	
cross flow microfiltration	1991	2.4962	2009	2010	
concentration polarization	1991	2.5581	2011	2012	
permeate flux	1991	3.0425	2011	2013	
microalgae	1991	2.9145	2014	2019	
technology	1991	2.3293	2015	2019	
threshold flux	1991	3.0737	2015	2017	

406



408 Fig. 5 Distribution map of keywords and nodes time-zone associated with DF

The time-zone visualization (Fig. 5) distributes keywords in chronological order and co-occurrence relations help to clear the research trend over a given period. For each node, the keywords indicate the early emerging interest, and the size informs about the cumulated number of publications. Since 1990, filtration type, module geometries, fluids, flux behaviours and fouling have been investigated. Some of them are still research hotspots. Over the last 5 years, attention was paid to the emerging applications (microalgae, juice and biofuel) and alternative technologies.

416

417 **2.2 Qualitative analysis**

Fig. 6 reports the main domain of DF applications and membrane separation types
identified from 150 publications. DF is used in three main domains: Water treatment,
Food processing and Bioprocess engineering.

According to the types of fluid, microfiltration (MF) and ultrafiltration (UF) were 421 422 widely applied for separating fine particles from liquids, while nanofiltration (NF) and 423 reverse osmosis were commonly used for removing dissolved constituents. As present in 424 Fig. 6, MF (67 articles) and UF (63 articles) stands as the most frequent processes 425 compared with NF (11 articles) and RO (8 articles). In MF, the articles mainly concerned 426 large suspended solids removal such as biotic suspension (cell harvest, yeast suspension, 427 microalgae suspensions), mineral suspension, sludge dewatering and model suspension. 428 In UF, DF modules were tested with skim milk, soymilk, juice, surfactant solution, BSA 429 suspension, and oily emulsions. Besides, DF treatment of wastewater from food 430 processing presented a high-efficiency removal of chemical oxygen demand (COD) and 431 biological oxygen demand (BOD). NF and RO are mostly used for a deeper treatment of 432 separation, such as dissolved metals and salts removal, and drinking water purification.

In all processes (MF, UF, NF and RO), most membrane materials were organics (94
out of 119). In contrast, inorganic membranes (34) such as ceramic membranes and metal
oxide membranes were mainly used in MF processes.



436

438

437 Fig. 6 Application fields and filtration type associated with dynamic filtration

2.2.1 Water treatment

In water treatment (60 articles), researchers investigated DF to treat industrial and municipal wastewater and rarely drinking water. Experimental fluids were mostly synthetic model fluids and suspensions, whereas others used wastewater from the treatment plants (paper mill [32, 33], detergent [34] and food industries [35, 36], sludge from anaerobic membrane reactor [37, 38]. Due to the complex composition of industrial 444 wastewater, simple solutions, emulsions and solid-liquid suspensions were preferred as 445 test fluids. Simple solutions were used to mitigate toxic pollutants (halogenated organic 446 compounds [39, 40], 2-MIB and geosmin [41], polyvinyl alcohol [42], heavy metal ions [43, 44] and sodium dodecylbenzene sulfonate [45, 46]), artificial seawater (NaCl, KCl, 447 448 MgCl₂, CaCl₂, and MgSO₄) [47, 48], viscous solutions (polyethylene glycol-6000 [49-51], 449 carboxymethyl cellulose [52], ethanol [53]), soluble salts (CaSO₄ [54], NaCl [55]), model 450 wastewater in dairy process [56-62] and space mission [63]. For emulsion, oil/water 451 mixtures with surfactants were considered [64-70]. Solid-liquid suspensions with 452 dispersed particles as model effluent included bentonite [71], polymethyl methacrylate 453 (PMMA) [72-74], styrene butadiene rubber (SBR) latex [75], polystyrene latex [76], 454 CaCO₃ [77-81], SiO₂ [82-85], Al₂O₃ [86], ZnO, Fe(OH)₃ [87, 88] and hollow glass 455 microspheres [89].

456 The permeation/retention bottlenecks were scrutinized versus operating conditions (effluent, concentration, temperature, TMP) and device configurations (geometry of DF 457 458 modules, shear rate, membrane nature and cut-off). Most of the works reported 459 qualitative performances (flux, steady-state flux, hydronic resistance, concentration factor, volume reduction ratio, rejection rate, concentration polarization) to establish the 460 461 empirical correlations (flux versus shear rate/ shear stress). Computational fluid dynamics 462 [47, 55, 61, 73, 84, 89] was used to simulate local and global performances (shear rate/ 463 shear stress, flux) within the filtration cell and membrane surface. Only one article used 464 PIV (particle image velocimetry) [71] to examine the local hydrodynamics and compared 465 it with the filtration performances.

466

2.2.2 Food processing

467 In food processing (19 articles), DF was applied in liquid food production (dairy, brewing and extract juice). 12 articles (63%) were related to the concentrations of milk 468 protein from casein micelle [19, 90-96] and separation of α -lactalbumin and β -469 470 lactoglobulin [97-100]. The fluids commonly came from commercial UHT skim milk and 471 low heat powder milk. Defatted soy milk was also used to recover trypsin inhibitor and 472 soy milk protein [101]. Microfiltration in brewing (rough and clarified beer) [102] and 473 wine-making (crude simulated and filtered wine) [103] was investigated with DF. 474 Retention/permeation performances were reported in terms of product quality and cost-475 effectiveness. Juices of sugar beets [104], Alfalfa [105, 106] and Jerusalem Artichoke 476 [107] contain a large number of nutritional compounds, such as sugar, leaf protein and 477 inulin, which have been separated, purified and/or concentrated by ultrafiltration with DF.

478 All works are associated with technical locks about product concentration and 479 quality. Performances versus volume reduction ratio and modelling of hydraulic 480 resistance stand as major scientific questions. To increase filtration efficiency, optimizations of operating conditions and modifications of DF geometrical 481 482 configurations are handsome strategies. The filtration performances do not only focus on 483 the permeate flux but also permeate quality (purification and separation rate). These 484 analyses mainly rely on the permeate/retentate turbidity, coloration or conductivity, and the concentration or °Brix of target products. It should be mentioned that membrane 485 486 selectivity (membrane materials, structure and cut-off) is a critical issue for some 487 particular compositions.

488 2.2.3 Bioprocess engineering

489 For bioprocess engineering, 42 papers were carried out associate with DF modules 490 due to their wider coverage (cell productions: prokaryote, eukaryote, microalgae, animal 491 cells; biomolecule productions: recombinant proteins, antibody, exopolysaccharides, etc.). 492 Most of tested fluids are biological suspensions (fermentation broth) containing 493 microalgae [24, 108-123], yeast [19, 124-134], bacteria [135-137] and animal cell [138-494 140]. Other dispersions were produced by commercial or fermented biological products. 495 including bovine serum albumin (BSA) [141-143], polygalacturonic acid 140, α -lactose 496 monohydrate [144], ibuprofen [144], recombinant human growth hormone [145] and 497 monoclonal antibody [146]. Within the research, 17 publications (40%) focused on the 498 concentration and separation (biomass) of microalgae and constituted a new hotspot over 499 the last decade. Saccharomyces cerevisiae (baker's yeast) was commonly used in DF for 500 its widespread applications in the biotechnological industry. Model suspensions were 501 formed by the mixture of yeast with ultrapure osmosed water [19, 127] and buffer [129, 502 130] or directly extracted from fermentation broth [124, 125].

503 In bioprocess engineering, flux decline induced by the fouling layer was discussed. 504 Methods to improve critical flux are generally consistent with water treatment and food 505 processing, but cell viability needs to be considered under high shear stress [97, 147]. 506 Several publications dedicate to the formation of cell cake layers, which exhibit high 507 specific filtration resistance due to their high compressibility. The empirical correlations between cake mass and operating conditions (TMP and wall shear stress) were reported 508 509 and interpreted [112]. CFD has been combined with experimental data to investigate 510 local operating conditions with biological fouling within DF devices [112, 114, 115, 140, 511 142-144].

512

514 3. Specifications of Laboratory and Industrial Dynamic Filtration

515 MODULES

516 **3.1 Classification of dynamic filtration modules**

517 In recent decades, many efforts and a great number of studies have been achieved to 518 develop novel DF modules at the lab or pilot-plant scales. From scientific and technical 519 literature, 55 modules with 85 configurations were designed and produced by 29 industries and 21 laboratories. Reviewing the existing DF modules, they can be classified 520 521 by the type of movement, including rotation, oscillation and vibration. These movements 522 can occur to the membrane, the mechanical device (disk, impeller and cylinder) or the 523 whole filtration module. Oscillating and Vibrating movements are easily confused, and 524 there is no clear definition to distinguish them. In this paper, oscillation and vibration are defined as mechanical movement, which can be perpendicular and parallel to the 525 526 direction of permeate flux, respectively. Based on the shapes of the moving part and the 527 membrane, the 55 identified modules could be classified into 15 types considering the movement form and part (Table. 5). Table. 6 reports the technical specifications (trade 528 529 name, filtration area, maximum rotating speed, oscillating or vibrating frequencies) of all 530 modules.

Movement form	Movement part	Shape of movement part	Shape of membrane	Туре
		Disk	Disk	1
	Mambrana	Rectangular	Rectangular	2
	Memorane	Cylinder	Cylinder	3
Detetine		Hollow fibre	Hollow fibre	4
Rotating		Disk	Disk	5
	Mechanical device	Impeller	Disk	6
		Cylinder	Cylinder	7
	Mechanical device + Membrane	Disk + Membrane	Disk	8
	Filtration module	Cylinder	Disk	9
	Filtration module	Rectangular	Rectangular	10
Ossillatina		Rectangular	Rectangular	11
Oscillating	Membrane	Cylinder	Cylinder	12
		Hollow fibre	Hollow fibre	13
	Spacers	Rectangular	Rectangular	14
Oscillating + Vibrating	Membrane	Hollow fibre	Hollow fibre	15
Vibrating		/		

531 Table. 5 Classification of DF modules according to the movement and shape

532

534 Table. 6 Classification and specification of DF modules (d: membrane diameter, S: membrane surface area, N/F: maximum

535 rotating, vibrating and/or oscillating speed/ frequency, A: amplitude, displacement or vibrating angle, TMP: maximum operating

536 *transmembrane pressure*)

Туре	Manufacturer	Configuration	Status	Membrane size (mm)	S (m ²)	N/F (rpm, Hz), A (mm, °)	TMP (bar)	Fluid	Ref
	Spintek, Huntington Beach, CA,	Spintek ST II	Industrial	d=340	0.05-2.3	1200 rpm	10	/	[148]
	USA	Spintek ST IIL	Lab	d=203 (2)	0.05	1800 rpm	3.1	Cutting oil emulsion	[149]
	Spintek, Los Alamitos, CA, USA	High shear rotary membrane system, HSR-MS	Lab	d=74-267	0.0492	1750 rpm	5.17	Wastewater, O/W emulsion	[150-155]
	Novoflow CmbH Pain/Lach	Single shaft disk filter, SSDF-312	Industrial	d=312 (75- 100)	40	1	/	/	[156]
	Germany	SSDF-500+	Industrial	d=550 (75)	50	/	/	/	
	Germany	Compact rotating disk filters CRD	Lab	d=152 (3)	0.108	1800 rpm	1	Oily wastewater	[64]
	Diva Envitec Pvt. Ltd., India	Modular spin disk filtration, MSDF	Industrial	/	1-100	/	/	/	[157]
	Fraunhofer IGB, Germany, membrane supplier: KERAFOL	Rotating disk filter	Industrial	/	/	/	0.5- 1.2	/	[158]
1	Mio Vigneto Products, Automatic Filter System	Rocket M7	Industrial	(35)	7	/	/	/	[159]
	ANDRITZ KMPT GmbH, Vierkirchen, Germany	Rotational dynamic filtration, DCF 152/S	Lab	d=152	1	1150 rpm	/	Water/ ethanol	[53]
	HUBER (Berching, Germany), Vacuum Rotation Membrane	Vacuum rotation membrane bioreactor, VRM 20/36	Industrial	/	108	1.8 rpm	0.3	Wastewater	[160]
	V RIM® Bioreactor	VRM	Industrial	d=4500	540	1	/	/	[161]
	Hitachi, Japan	AQUA UFO	Industrial	/	/	1	/	1	[20]
	ANDRITZ KMPT (Vierkirchen, Germany), membrane supplier: KERAFOL	Dynamic cross-flow filter, DCF	Lab	d=152 (6)	0.138	1110 rpm	5.5	Microalgae	[109, 110]
	Westfalia Separator, Aalen, Germany	Multi shafts disks, MSD, two-shaft laboratory pilot	Lab	d=90 (12)	0.121	2000 rpm	2.5	CaCO ₃	[77, 80, 162]
		MSD, eight-shaft pilot	Industrial	d=31.2	80	1	/	1	[28]
	Gurpreet Engineering Works	Spinning basket membrane, SBM	Lab	130×55 (4)	0.0286	600 rpm	9.7	PEG 6000, BSA, Polyving alcohol	[42, 50, 142, 163]
2	Kanpur, UP, India	Intermeshed spinning basket membrane, ISBM	Lab	105×55 (8)	0.046	480 rpm	9.7	BSA	[143]
	Key Laboratory of Industrial	/	Lab	300×30 (2)	0.018	160 rpm	0.065	Kaolin, yeast, CaCO ₃	[164]
	Ecology and Environmental Engineering, Dalian University of	/	Lab	230×20 (2)	0.0092	/	/	Halogenated compounds in water	[39]

Туре	Manufacturer	Configuration	Status	Membrane size (mm)	S (m ²)	N/F (rpm, Hz), A (mm, °)	TMP (bar)	Fluid	Ref
	Technology, China								
	Hemascience, Santa Ana, CA, USA	Plasmacell filter	Industrial	/	/	/	/	1	[165]
3	Faculty of Engineering, University of Regina, Canada	/	Lab	/	/	10000 rpm	0.25	Oily-wastewater	[166]
	Membrex Inc. Fairfield, USA	Benchmark Biopurification system	Lab	1	0.02	2000 rpm	1.4	Yeast	[124]
	Department of Chemical	1	Lab	2π×15×500	0.0471	1500 rpm	1.51	PMMA	[72]
	Engineering, Nagoya University, Japan	/	Lab	2π×15×320	0.03016	5000 rpm	1.51	PMMA, O/W	[68, 69, 74]
	Department of Mechanical Engineering, Northwestern University, USA	Rotating reverse osmosis	Lab	2π×241×12 7	0.0192	180 rpm	10	CaSO ₄ , model wastewater	[54, 63]
	Millipore Co.	/	Lab	2π×26×670	0.1094	600 rpm	/	SiO ₂	[83, 85]
	Department of Chemical Engineering, Universitie de Technologie de Compiegne, France	/	Lab	2π×5×45	0.0014	7000 rpm	1	Al ₂ O ₃	[86]
	Suker AG, Winterthur, Switzerland	Biodruck-filter, BDF- 01	Lab	2π×33×200	0.04	3000 rpm	0.7	Yeast	[167]
4	Facultad de Ciencias-Seccion de Química, Universidad La Laguna, Spain	Rotating hollow fibre membrane, R-HFM	Lab	/	0.047	330 rpm	0.4	Anaerobic suspensions	[38]
	University of Technology of Compiegne, France	Rotating disk module, RDM-1	Lab	d=154	0.019	3000 rpm	10	CaCO3, yeast, skim milk, juice, broth, dairy effluent, microalgae, PAA–Cd complex	[19, 23, 34, 57, 58, 61, 62, 65, 66, 78, 79, 90, 97, 99, 101, 105, 106, 127, 135, 168-179]
		RDM-2	Lab	d=260	0.046	1500 rpm	2	Skim milk	[178]
	School of Chemistry and Chemical Engineering, Central South University, China	Rotating disk module	Lab	d=176	0.0242	3000 rpm	2.5	Cd ²⁺ , Zn ²⁺	[180, 181]
5	Department of Chemical and Materials Engineering Tamkang	Rotating-disk dynamic filter-1	Lab	d=38	0.00112	3000 rpm	1	Microalgae, PMMA, artificial seawater	[48, 73, 84, 112]
	University, Taiwan, China	Rotating-disk dynamic filter-2	Lab	d=155	0.0377	3000rpm	1	Microalgae	[115]
	Pall Corp., Dreieich, Germany	Dynamic membrane filter, DMF LAB6 system	Lab	d=151	0.0137	3450 rpm	2	Yeast, coli	[125, 139, 147, 182]
	Grundfos BioBooster, Bjerringbro, Denmark	Rotating cross-flow, RCF MBR	Lab	d=312	0.12	350 rpm	15	1	[183]
	BKT Water & Energy, Korea	Anti-fouling	Industrial	/	94.9	270 rpm	15	/	[184]

Туре	Manufacturer	Configuration	Status	Membrane size (mm)	S (m ²)	N/F (rpm, Hz), A (mm, °)	TMP (bar)	Fluid	Ref
		membrane filtration system FMX-S							
		FMX-E	Industrial	/	40	270 rpm	5	/	
		FMX-P	Industrial	/	0.0873-3.16	290-350 rpm	30	/	
		FMX-B	Lab	d=150	0.0146	1600 rpm	1	Microalgae	[114, 117, 119]
	Miltenyi Biotec, Germany	Life 18 disk separator	Industrial	/	/	/	/	/	[185]
	Michael Smith Laboratories, Canada	Controlled shear affinity filtration (CSAF)	Lab	d=30	0.0014	/	/	1	[186]
	Gesellschaft für Biotechnologische Forschung mbH, Biochemical Engineering Division, Germany	Controlled shear filtration, CSF	Lab	d=90	0.0515	/	/	BHK cell	[138]
	Bokela GmbH, Karlsruhe,	Dynotest	Lab	/	0.013	/	7	Alpha-lactose monohydrate suspension	[144]
	Germany	DYNO	Industrial	/	0.13-12	1	6	/	[187]
		Cross Rotational (CR)-Filter, CR 200/1	Lab	d=200 (1)	0.054	1	/		[188-190]
	Metso Paper Co, Raisio, Finland	CR 250/2	Lab	d=250 (2)	0.18		10		[191]
		CR 500/5	Industrial	d=500 (5)	1.75	470 rpm	2.7	1	[192]
		CR 550 / 15 to 30	Industrial	d=550 (15- 30)	7.5-15	1	/	Depor mill worte	[29]
6		CR 1000/26 to 60	Industrial	d=1000 (26-60)	35-84	/	/	Paper mill waste	[29]
		CR 1000/10	Industrial	d=1000 (10)	13.5	365 rpm	1		[189]
		CR 1010/70 to 100	Industrial	d=1010 (70-100)	98-140	/	/		[29]
	RVF Filtration, Paris, France	Rotating and vibrating filtration, RVF	Lab	d=142 (4)	0.024 per cell (1 to 5 cells)	50 Hz	3	Beer, wine, viscous, solution	[102, 103, 193- 196]
		RVF 5	Industrial	d=800 (10)	1 per cell (1 to 5 cells)	30 Hz	20	Waste water, chemical sludge	[197, 198]
7	Fann Instrument Company, Houston, Texas, USA	Fann 90 Dynamic HPHT® Filtration System	Lab	/	/	300 rpm	7	Drilling fluid	[199]
/	Department of Nuclear Methods in Process Engineering, Dorodna, Warsaw, Poland	Helical Couette- Taylor flow (CTF) filtration module	Lab	/	0.04	2800 rpm	0.7	Radioactive wastes, wastewater	[43, 44]
8	Gurpreet Engineering Works, Kanpur, UP, India	Rotating disk- membrane, RD-M	Lab	/	0.00246	Membrane:600 rpm stirrer: 1000 rpm	10	BSA, PEG6000, kraft black liquor	[32, 33, 49, 51, 141]
	Krauss-Maffei DCF, Andritz	Dynamic cross-flow	Lab	d=152 (4)	0.14	1000 rpm	5	Skim milk	[96]

Туре	Manufacturer	Configuration	Status	Membrane size (mm)	S (m ²)	N/F (rpm, Hz), A (mm, °)	TMP (bar)	Fluid	Ref
	KMPT GmbH, Vierkirchen, Germany	filtration, DCF							
		Vibratory shear enhanced processing, VSEP series L 101	Lab	d=135	0.05	55-60.75 Hz	15	Skim milk, simulated tannery wastewater, microalgae, latex solution	[24, 35, 56, 75, 91, 92, 118, 122, 175, 200]
		VSEP series LP	Lab	/	1.53	1	40	Microalgae	[113]
		VSEP series L	Lab	/	0.446	1	40	/	
	New Logic, CA, USA	VSEP series P	Lab	/	1.57	1	40	1	
		VSEP series B	Lab	/	0.0111	/	10	/	
0		VSEP series P-50	Lab	1	4.65	/	40	1	[201]
9		VSEP series i18	Lab	/	13.9-26.9	35-49 Hz	38	1	
		VSEP series i36	Lab	/	41.8-55.7	38-55 Hz	38	/	
		VSEP series i84	Lab	/	up to139	43-55 Hz	38	/	
	Pall Filtration, East Hills, NY,	Vibrating membrane filtration, VMF- PALLsep PS 10	Lab	/	0.2	55-55.75 Hz	2	BSA	[202]
	ODA	VMF-PALLsep Biotech Module	Industrial	/	0.2-5	/	3.5	1	[203]
10	Department of Mechanical Engineering, Texas A&M University, College Station, TX, USA	Vibration enhanced reverse osmosis (VERO) membrane	Lab	30×20	0.0006	60 Hz, 1.2 mm	55	Simulated seawater	[47, 55]
	Chemical and Biochemical Engineering Department, Western University, London, Ontario, Canada	Oscillatory flat surface membranes, OFSM	Lab	89×68	0.006	25 Hz, 30 mm	0.6	Yeast	[131, 133, 204- 207]
11	State Key Laboratory of Pollution Control and Resource Reuse, Tongji University, China	Uniform shearing vibration membrane, USVM	Lab	1	0.02	5 Hz, 20 mm	0.7	Microalgae	[208]
11	Faculty of Bioscience Engineering, Katholieke Universiteit Leuven, Belgium	Magnetically induced membrane vibration, MMV	Lab	1	0.016- 0.0215	60 Hz, 20 mm	0.3	Wastewater, microalgae, anaerobic sludge, lignocelluloses hydrolysate	[37, 209-213]
	State Key Laboratory of Pollution Control and Resource Reuse, Tongji University, China	Axial vibration membrane, AVM	Lab	/	0.02	15 Hz, 40 mm	0.7	Microalgae	[214-216]
12	Department of Chemical Engineering, University of Engineering & Technology Peshawar, Pakistanb	Oscillating membrane module	Lab	1	/	0-100 Hz, 0-10 mm	0.4	Oil in water emulsion	[70]
	Chemical Engineering Department, Loughborough University, Leics, UK	Azimuthal and axial oscillation filtration	Lab	/	/	100 Hz, 3 °	0.3	Suspension of calcite	[217]

Туре	Manufacturer	Configuration	Status	Membrane size (mm)	S (m ²)	N/F (rpm, Hz), A (mm, °)	TMP (bar)	Fluid	Ref
	IMI Institute for R&D, Israel Chemicals Group, Israel	Vibrating hollow fibre microfiltration, VHM	Lab	/	0.0057	10 Hz, 40mm	/	Yeast	[218]
13	School of Civil and Environmental Engineering, Nanyang Technological University, Singapore	Vibrating submerged hollow fibre membranes	Lab	/	/	0-15Hz, 0-12mm	0.4	Bentonite	[71]
	CAPEC, Department of Chemical Engineering, Technical University of Denmark, Denmark	HF membrane filter	Lab	/	0.0256	30 Hz, 1.175mm	0.25	Enzyme, yeast	[129, 130, 219, 220]
14	Nanyang Environment and Water Research Institute, Nanyang Technological University, Singapore	Spacer vibration of submerged flat sheet membranes	Lab	50×70×2	0.007	2 Hz, 12 mm	0.4	Bentonite and alginate solution	[221]
	School of Chemical Engineering, The University of New South Wales, Australia	Transverse vibrating hollow fibre membrane	Lab	/	/	58 Hz, 5 mm	0.2	Yeast, milk	[132, 222]
	Department of Nephrology, San Bortolo Hospital, Italy	Shaking HF membrane module	Lab	/	/	20 Hz, 20 mm (20 °)	/	/	[223, 224]
15	School of Chemical Engineering, The University of New South Wales, Australia	Rotational vibrating hollow fibre membrane	Lab	/	0.0131	10.3 Hz, 18.3-55°	0.8	Yeast	[22]
	School of Water Resource and Civil Engineering, Northeast Agricultural University, China	Vibration hollow fibre membrane	Lab	/	0.0045	2 Hz, 180 °	0.6	Microalgal	[123]
	Institute of Oceanic and Environmental Chemical Engineering, Zhejiang University of Technology, China	Pendulum type oscillation, PTO	Lab	/	0.2	70 rpm, 50 mm	/	Oily wastewater	[225]

539 **3.2 Rotating systems**

540 Rotating systems can be split into three categories: rotating membrane modules (21 541 modules), rotating mechanical devices (14 modules) and the association of rotating 542 membrane and mechanical devices (2 modules).

543

3.2.1 Rotating membrane modules

544

-

3.2.1.1 Rotating disk membrane

The disk membranes are one of the most commonly used in rotating membrane filter. From the literature, 10 modules (corresponding to 16 configurations, Table. 6) were designed and produced by local companies. These modules consist of membranes mounted onto the porous support driven by one or more central shaft. Such supports can be one or more rotary disk, allow a flexible configuration, and lead to easy scale-up with larger filtration area capacity. Cell design, geometrical configurations and operating conditions are the most critical parameters to optimize module efficiency.

In high shear rotary membrane system (HSR-MS) [151-155], flat round membrane disk packs are attached to the highly porous nylon meshes. A solid disk pack is placed between two meshes and sets on a hollow rotating shaft for permeation channels. By the central shaft rotation, the maximum liquid velocities close to the membrane can reach up to 18 m/s, compared to 4.5 m/s for conventional cross-flow UF systems [155]. Henrik et al. [53] tried to add a metal insert in the rotary membrane chamber. It generates disturbances in the bulk flow, which increase the filtration pressure.

Industrial applications of DF modules require technical improvements in order to increase the filtration area. Compact rotating disc filter (CRD) is a lab-scale module with 3 disk membranes compressed on the same hollow rotating shaft. In wastewater treatment, it has an available effective filtration area of 0.1 m² [64]. Signal shaft disk filter (SSDF-312) can equip with 75-100 filter disks mounted on a single shaft with a 10-15 m² filter area [156].

565 With the same concept, modular span disk filtration (MSDF) is manufactured by Novoflow GmbH (Germany), with a lot of disk membranes mounted on the single shaft, 566 567 and the filtration area ranging from 1 m^2 up to 100 m^2 [157]. Vacuum rotation membrane (VRM, HUBER, Berching, Germany) filtration unit also designed with an available 568 569 large-scale membrane area (diameter approx. 2.3 and 3.2 m, and membrane surface 570 approx. 900 m^2 and 3840 m^2) and coupled with a powerful aerator to clean the 571 contaminated membrane [160, 161, 226]. Rocket M7 was designed for the purification of 572 grape juice in winemaking; 35 disk membranes (total filtration area of 7 m^2) were 573 installed in the rotating shaft and driven by a 3 kW power motor [159].

574 Besides, some systems equipped with multi-shaft (rotate in the same direction), 575 overlapping membranes were developed and well-studied. A two-shaft system, Dynamic 576 cross-flow filter (DCF) commercialized and made by KMPT, was tested with microalgae 577 for biofuel production [109, 110]. In the optimized condition, pilot experiments achieved 578 a concentration factor up to 200 and permeability up to 600 L/h/m²/bar (with pre-579 concentration) [109]. Another two shafts filter, a lab-scale Multi-shaft disk (MSD, 580 Westfalia Separator, Aalen, Germany) module, was studied by Ding et al. [77, 80, 162]. 581 They found that the permeate with two shafts module is about twice higher than the 582 stationary membrane module with the same azimuthal rim velocity. The overlapping of disks generates the maximum shear rate [77]. With this knowledge, a larger MSD system
was commercialized by Westfalia Separator. It is equipped with 8 shafts and fixed a pile
of membrane-disks; all shafts and membrane-disks rotate at the same speed [28].

Bendick et al. [150, 151] used the zirconium dioxide ceramic MF membrane in HSR-MS to treat shipboard wastewaters (bilge water, blackwater and thermal destruction quench water). They changed different hub sizes resulted in various membrane diameters and different angular speeds of the membrane. For every 100 rpm increase in angular velocity, steady-state flux increased on average by 26 L/($h \cdot m^2$). While expanding the filter disk diameter, it also provides excellent performance per disk in lower rotation speed and reduces the number of discs required.

593 He et al. [80] modified the configuration of MSD (2 shafts). Previously, each shaft 594 equipped with 6 ceramic membranes (0.2 µm). The permeate flux for 12 membranes was 595 520 L/($h \cdot m^2$) when filtering 200 g/L of CaCO₃, while it was reduced to 503 L/($h \cdot m^2$) 596 when working with 6 membranes. However, after replacing one of the ceramic 597 membranes on each shaft by a smooth disk or a disk with 8 vans, the permeate flux was 598 further improved to 740 or 816 L/($h \cdot m^2$), respectively. With the same module, Tu and 599 Ding [78] replaced the ceramic membrane with nylon membranes of the same size and 600 pore diameter to concentrate CaCO₃. Maximum permeate flux was observed for the nylon 601 membrane to reach 850 L/($h \cdot m^2$) compared to 760 L/($h \cdot m^2$) for the ceramic membrane. In 602 the fractionation of milk protein, Espina et al. [97] found that the PVDF membrane 603 performed better than the ceramic one regarding permeate flux and casein rejection. In 604 another paper [99], the concentrated fluid from the MF for ceramic membrane achieved the transmissions of α -lactalbumin and β -lactoglobulin between 0.8 and 0.98, further 605 606 filtration with UF was applied to separate them.

In UF, the West Virginia University team compared the performances of polymeric 607 608 (100 kDa) and ceramic (0.11 μ m) membranes for treating oily wastes from metal-609 working. The latter was superior to the polymeric membrane in terms of permeate flux 610 and quality, as well as for cleaning and durability [152]. With CRD, oily wastewater with 611 different concentrations was treated in MF and UF (ceramic membrane). High oil (>99%) 612 and TOC (>98%) rejection rates were achieved with both membranes; their performances 613 were independent of the rotational speed and the feed concentration [64]. With VRM, the 614 wastewater treatment was performed at a very low rotational speed (1.8 rpm), and UF 615 polyether sulfone flat membranes (NADIR P-150F) were compacted with a total filtration area equal to108 m². The COD in final permeate flux was reduced to 3 mg/L without 616 suspended solids for an initial retentate concentration equal to 601 mg/L. Moreover, the 617 618 membrane immersed in wastewater could be used longer due to the air sourcing [160].

619

3.2.1.2 Rotating rectangular membrane

620 Only two modules (lab scale) were identified with rotating rectangular membranes,621 but their designs are entirely different.

Gurpreet Engineering Works in India [42, 50, 142, 163] developed the first module named Spinning basket membrane (SBM). Four flat rectangular membranes (each of dimension 65×145 mm² with an effective area of 55×130 mm²) fitted on alternate sides of adjacent radial arms, and the other side remains impermeable. These arms are driven by a hollow shaft and allowed permeate to pass. In continuous running, the membrane filtration cycle rotated as a normal run, followed by a short time cleaning cycle rotated in 628 the reverse direction. With the same theory, the intermeshed spinning basket membrane 629 (ISBM) consists of two identical spinning baskets, which are intermeshed with a phase 630 difference of 45° and able to operate at the same speed but in reverse direction [143]. Sarkar et al. [50]investigated the separation of PEG 6000 with the SBM module and PES 631 632 (5kDa) UF membrane. It indicated superior performance in terms of shear enhancement and flux recovery compared with other shear enhanced systems, namely the single stirred 633 634 (SS) and the rotating disk-membrane (RD-M) modules. The steady flux of the SBM 635 module was 45-95% higher than its RD-M counterpart; in comparison with SS, it was 300-450% enhanced when rotating at 62.5 rad/s. With its inbuilt cleaning facility, the 636 637 module restricts the flux decline within 15% of its start-up value, even after 21 h of 638 continuous running. In the UF of Bovine Serum Albumin, the average permeate flux of 639 ISBM was observed to be approximately 1.8 times higher than that of the SBM module 640 due to comparatively higher membrane shear stress. Maximum permeate flux was evaluated to be as high as $2.4 \times 10^{-4} \text{ m}^{3}/(\text{m}^{2} \text{ s})$ at moderate transmembrane pressure and 641 642 rotational speed (588 kPa and 52.36 rad/s). It also showed better performance in treating 643 extremely fouling feed solutions than other standard membrane units [142, 143].

644 The second module is named helical lab-scale filter [39, 40, 164]. Two pieces of the 645 flat membranes are supported on an aluminium spacer to maintain the helical angles (0°, 646 180°, 270°, 360° and 450°). A tubing outlet is assembled in the central of the aluminium 647 spacer, for one side is sealed out and allowed the collection of permeate water. The filter 648 sheet is immersed in the tube container and driven by a DC motor, which is able to rotate 649 at the speed of 75 and 160 rpm. Different particle suspensions (yeast, kaolin and CaCO₃) were tested in MF with dynamic membranes (PES, 0.22 µm) with a total filtration area of 650 651 0.018 m^2 . 360° stood as an optimal helical angle considering the permeate flux, and the 652 energy consumption for this condition was smaller (0.069 kWh/m^3) than the rotating flat 653 membrane (0.081 kWh/m³). The order of membrane fouling was yeast> kaolin> nano-654 CaCO₃ at the same concentration of 5.0g/L, even the mean diameter of yeast is smaller 655 than others [164]. To remove halogenated compounds (Cl⁻, Br⁻) in water, pre-coated nano-CaCO₃ dynamic membranes with an effective area of 0.0092 m^2 were applied in the 656 batch and the continuous photocatalytic experiments. This coated (fouling) layer 657 enhanced the filtration performances and the retention of photocatalysts [39, 40]. 658

659

3.2.1.3 Rotating cylinder membrane

In 1967, Sherwood et al. [227] suggested a rotating cylinder filter in reverse osmosis for salt and water transport, including an inner cylinder membrane rotating in a cylindrical stationary housing. 8 other modules with similar configurations have been reported in the literature. The reduction of membrane polarization is improved by taking advantage of Taylor vortices in the annular gap of the filter apparatus [167].

Early-commercialized filters, Biodruck-filter (BDF-01, Sulzer AG, Winterthur, 665 Switzerland) was dedicated to cell harvesting and cell debris removal [167], with 66 mm 666 667 diameter and 200 mm length inner cylinder membrane (0.04 m² filtration area) at rotation 668 speed up to 3000 rpm. With the Teflon membrane (0.2 µm), 3.3 and 10 concentration 669 ratios were tested. Flux increments have been observed during the increase of rotation 670 speed and TMP. In E. coli broth concentration, the Biodruck-filter showed a significantly higher flux (about three-fold) than cross-flow filtration techniques over a broad 671 672 concentration range.

673 Murase et al. [72] described the same dynamic microfiltration system with a rotating 674 ceramic membrane (0.2 µm alumina ceramic membrane). Polymethyl methacrylate as 675 slurry material was circulated in the annual gap of 3.3 mm. The same fluid was treated 676 with the increase of outer cylinder diameter to achieve a larger gap (12.5 mm) in the 677 filtration cell. PMMA solutions were diluted with glycerine at concentrations of 72, 53 678 and 0% to obtain different viscosities. Results showed that high-speed rotating dynamic 679 filtration was considered to be more useful when the slurry has a higher viscosity [74]. In 680 the separation of oil in water emulsion, high rotation speed helped to limit the oil layer at the membrane surface. With additional suspended particle in the emulsion, a further 681 increase in steady-state flux was observed from 5.41×10⁻⁴ to 1.14×10⁻³ cm/s in 49 kPa, 682 from 5.1×10⁻⁴ to 4.65×10⁻³ cm/s in 147 kPa [69]. 683

684 Park et al. (1994) [83] and Choi et al. (1999) [85] investigated another module from 685 Millipore Co. Different gap ratios 0.17, 0.54 and 0.65 (inner cylinder radius: 5.2 cm) were achieved by changing the diameter of the outer cylinder. Fine silica particles filtered 686 687 with MF-cellulose ester membrane (with total filtration area of 0.1094 m²) rotating varied 688 from 0 to 62.8 rad/s. In a higher rotating speed like 41.8 rad/s, filter flux decreased for the 689 cake layer formation during the initial transient period. The fouling accumulation and 690 sweeping effect came to equilibrium after 2 hours of work resulted in the pseudo steady-691 state filtrate flux. This value would decrease for higher concentration fluids, but 692 normalized filtrate flux seemed to be constant. In the range of tests, normalized filtrate 693 flux increased by reducing the gap radio or working at a higher rotation speed; a linear 694 relationship was observed with Taylor number (*Ta*) when $\omega > 34.1$ rad/s [83].

695 A company 'Mebrex' [124], developed a Benchmark Biopurification System for the 696 steroid recovery from yeast suspensions. It equips with a 100000 molecular weight cut-697 off hydrophilized polyacrylonitrile membrane (0.02 m² surface area). Steroid recovery in 698 the permeate showed a significant increase with the Taylor number and obtained the best 699 recovery conditions at Ta=2346 (2000 r/min).

700 Lee and Lueptow [54, 63] took advantage of Taylor-Couette flow instabilities to reduce the flux decline related to concentration polarization and membrane fouling in 701 702 reverse osmosis. Commercial polymeric RO membranes with an outer radius of 2.41 cm 703 and length of 12.7 cm (corresponded to the total area of 0.0192 m²) were applied in the 704 filtration of space mission wastewater (wash water, condensate, and urine). Rotational 705 speed and TMP showed to enhance the flux and rejection in rotating RO [63]. In the 706 concentration test of CaSO₄, the permeate flux for rotating RO at $\omega = 180$ rpm remained 707 constant up to a volume concentration factor (VCF) of 4.2. Further treatment would lead 708 to a sharp decrease in permeate flux due to the scale formation of soluble salts [54].

With the same concept, a plasmapheresis filter was commercialized for plasma collection. An inner cylinder (capacity, 7 ml) was assembled in the filter, driven by a magnet, and rotated inside the cell. Blood was separated for the centrifugal force and reached into the collection system, which permits the collection of 500 mL of plasma within 30 min [165].

The last one was described by Amgar [166] in oily-water systems. Three parameters (membrane rotation speed, membrane radius and azimuthal velocity profile) were investigated, the rejection capacity of the membrane and fouling problem were reported. With the rotation of the membrane, due to the centrifugal force, the oil droplets will
718 gather in the middle and reduce the membrane fouling. The rejection rate of the oil phase 719 increase with the rotation speed and diameter of the membranes.

3.2.1.4 Rotating hollow fibre

721 One module equipped with hollow fibre (HF) membranes has been investigated in a rotating system, named rotating hollow fibre membrane (R-HFM) [38]. In a 3 L 722 tank (inner diameter of 0.15 m), 97 fibres package assembled vertically, and each 723 724 fibre shows a length of 0.08 m with an outer diameter of 1.9 mm. The membrane modules have an average pore size of 0.04 µm and a nominal membrane surface area 725 726 of 0.047 m². Compared with conventional strategy, HF membranes were immersed in 727 the filtrate, but one top header of membranes rotated to restrict the cake layer 728 formation. In the UF of anaerobic suspensions, the fouling rate increased with permeate flux. The turbulence promoters were introduced to evaluate the 729 730 effectiveness of fouling limitations. With the increase of permeate flux from 8 to 14 731 $L/(m^2 \cdot h)$, this value decreased from 44.4% to 40.7% within the conventional gas-732 sparging membrane module, while sharply improved to 96% and 93% at the rotation 733 speed of 260 rpm [38].

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3.2.2 Rotating mechanical device module

3.2.2.1 Rotating disk

Unlike the rotating membrane system, the filter cake layer formation was limited by the rotation of external mechanical devices (such as disks, impellers or cylinders). 9 rotating disk modules (14 configurations) have been well documented in the literature. They solved the problem of flux decline by the design of inlet/outlet, disk structure, and the distance between rotating disk and membrane, mixing rate and TMP.

741 Dynamic Membrane Filter (DMF, Pall Corp., Cortland, NY) appeared as the first commercial system [125, 147, 182]. This filtration unit houses a 6-in.-diameter stainless-742 743 steel solid disk with a maximum rotating speed of 3450 rpm rotating in the clockwise 744 direction only. The gap between the membrane (7.5 cm radius and a total area of 0.0137)745 m^2) and the rotating disk is 4 mm. The feed entered the system from the centre at the 746 bottom side of the rotating disk, flowing into the gap between the stationary membrane 747 and disk toward the centre where the concentrate or retentate was collected. Lee et al. 748 [125] used this system installed with the MF membrane to filtrate yeast suspension. 749 Results showed no significant difference in flux with three different membranes (PVDF, 750 0.45 and 1.2 µm pore size for Nylon). Both Nylon membranes reached steady flux earlier than the PVDF membrane, at about 40 min. But 1.2 µm Nylon membrane performed 751 752 better by 1.4 times higher than the 0.45 µm one according to their concentration factor 753 profile. Moreover, compared with the conventional cross-flow system, steady flux and average shear rate of DMF were 100 L/(h·m²) and 12000 s⁻¹, which were 5 and 7.5-fold 754 755 higher than cross-flow systems, respectively.

Rotating Disk Module (RDM) has been largely reported concerning the filtration performances [19, 23, 34, 57, 58, 61, 62, 65, 66, 78, 79, 90, 97, 99, 101, 105, 106, 127, 135, 168-179]. 2 modules similar to DMF with different sizes were built. Both include a disk rotates inside a cylindrical housing around a hollow shaft, through which the retentate is evacuated. But the larger module (RDM-2) receiving a 460 cm² annular 761 membrane area, compared with a 190 cm² flat disk membrane in a smaller one (RDM-1). The module performances for 5 inlet/outlet configurations (lateral housing wall, back 762 763 plate or axial) were compared at a 3 mm disk-membrane gap. The highest permeate flux 764 was obtained at high speeds with the inlet at the backplate and axial retentate outlet. With 765 the increase of the disk-membrane gap from 4 to 18 mm, almost no effect on the 766 permeate flux was observed. In the gap of 10 mm, by equipping disk with nylon mesh in module 1, or eight pairs of 2-mm aluminium rods in module 2, permeate flux increased 767 768 due to the increment of core velocity coefficient [168]. The gap ratio (disk-membrane gap 769 divided by disk radius) seems relevant to this coefficient [19, 127, 178]. Bouzerar et al. 770 [79] applied RDM in MF of CaCO₃ suspension. First, the disk speed was decreased in 771 steps from 1500 rpm to rest in order to evaluate steady-state flux. Then speed was raised 772 again to 1500 rpm to investigate the irreversibility of fouling. Initial peripheral pressure 773 was fixed at 15 kPa, and experiments were performed with 0.1 µm PVDF membranes. 774 Final permeate flux almost kept the same value but represented only 64% of the initial 775 one when conducted at 50 kPa. When the rotation speeds up to 1100 rpm, permeate flux 776 increased with radius and fouling almost disappeared at r>4 cm. But in the central part, 777 relatively lower local velocity was not able to eliminate the cake layer. In dairy 778 wastewater treatment [57], RDM configuration was a disk equipped with 4 pairs of 6 779 mm-high vanes (disk membrane-gap not specified), which can rotate at up to 2500 rpm. 780 Performances were scrutinized versus shear rate $(0.169 \times 10^5 \text{ to } 2.05 \times 10^5 \text{ s}^{-1})$, TMP (3 to7 781 bar), temperature (35 to 55°C) and membrane cut-off (30 to 10 kDa). Flux decline was 782 observed due to membrane blocking by lactose and milk protein. Shear rate and TMP 783 showed a great effect on membrane fouling control and contributed to the cleaning 784 process. Evaluating the membrane permeability recovery rate, it concluded that high 785 shear rate combined with a cleaning agent is more conducive to fouling elimination. Low 786 TMP presented that the concentration polarization of casein micelles and the cake layer 787 was reduced on membrane surface [62]. In the concentration tests of leaf protein (MF, 788 UF), Zhang et al. [105] modified the loop operation of RDM module into the retentate 789 recycling process (CRDM) and retentate non-recycling process (DRDM). At rotation 790 speed of 1000 rpm, TMP of 3 bar for MF and 4 bar for UF, results indicated the least flux 791 decline, smallest irreversible fouling and highest permeability recovery after membrane 792 cleaning in CRDM, while DRDM obtained the best leaf protein rejection due to the 793 secondary filtration effect. In the treatment of detergent wastewater, NF was performed 794 after the pre-treatment in UF. The permeate flux in NF increased linearly with TMP to 795 reach 450 L/($m^2 \cdot h$) at 40 bar at the rotation speed of 2000 rpm, while it reached a plateau 796 at 350 L/(m²·h) above 35 bar without pre-treatment in UF. The rejection of conductivity 797 and COD could be more than 90% when the TMP is up to 20 bar. Increasing feed pH (4.5 798 to 9.9) and temperature (25 to 45° C) seemed to enhance electrostatic repulsion and led to 799 the increase of permeate flux, but conductivity rejection showed the opposite trend [34]. 800 In this rotating system, the net power consumed by the rotating disk was proportional to 801 the square of rotation speed. Disk with vans presented much higher net power than 802 smooth disk in the same condition. However, it was opposite with specific energy 803 consumption [65, 135]. There were other studies focused on various test fluids [34, 45, 46, 804 58, 87, 90, 101, 108, 127, 136, 137, 186, 228], and were often compared to the other DF 805 systems [19, 60, 66, 78, 88, 93, 94, 97-99, 104, 229].

806 The anti-fouling membrane filtration system (FMX) was produced by BKT Water & 807 Energy (Korea), equipped with high-speed rotating vortex generators. They were veined 808 discs and had two uneven and asymmetric surfaces. Standard class FMX-S and economic class FMX-E were proposed with a filtration area of 95 m² and 40 m². In the pilot-scale, 809 810 FMX-P compacted several membranes on the rotating shaft in series and reached the 811 space between 0.0873 m² to 3.16 m² [184]. FMX-B only equipped one rotating disk of 812 145mm diameter and 10mm thickness in the filtration cell (20 mm height). Membrane 813 (surface area of 0.0146 m²) was installed at the bottom of the module, while the feed inlet 814 and concentrate outlet were connected to the upper surface. With this module, a CFD 815 simulation was performed by Kim et al. [114]. Fluid velocity and average shear stress of 816 perforated disk on the membrane surface were found to be 2 and 7-fold higher than an 817 unperforated disk, respectively. As the average shear stress increased from 0.23 (0 rpm) 818 to 28.99 Pa (800 rpm), the microalgal fouling resistance reduced by 87%, and the plateau permeate flux was increased 6.7-fold to 381 L/(h·m²). Effect of rotation speed, TMP, 819 820 membrane cut-off or biomass concentration on filtration performances had been 821 illustrated associated with permeate flux and fouling resistance [117, 119].

822 Another rotating disk module derived from Central South University (China) was 823 assembled to separate heavy metal from wastewaters [180, 181, 230]. It shared the same 824 configuration of inlet and outlet with the RDM module. A disk with a radius of 83 mm 825 was driving by a rotating shaft in an 88 mm inner radius circular housing, enable to speed 826 up to 3000 rpm. The model waste of lead nitrate was fully complexed with poly acrylic 827 acid sodium (PAAS) or copolymer of maleic acid and acrylic acid (PMA), then removed 828 by UF. These metal complexes were sensitive to the high shear rate. The results indicated 829 that the critical shear rate of the PMA-Pb complex was less than that of the PAA-Pb 830 complex. But the former case was preferred for the treatment of lead contained 831 wastewater due to its higher load capacity of Pb (II) and easier regeneration [230]. For 832 the separation of Zn (II) from aqueous solutions, the critical shear rate for PAA-Zn 833 complexes was 1.58×10⁵ s⁻¹ at pH 7.0 [181]. Another publication investigated the critical speed of two disks (smooth disk and disk with 6 vans) to remove the PAA-Cd complex. 834 The critical shear rate for both disks calculated to be 1.31×10^5 s⁻¹ at pH 6.0, and the 835 836 rejection of Cd reached 99.7% [180].

837 A rotating-disk dynamic filter was designed by Tamkang University (China) [48, 73, 838 84, 112]. The diameter and height of the chamber are both 38 mm. Two vanes (10 839 mm×10 mm×1mm) are placed beneath a rotating disk with a diameter of 30 mm. A low 840 circular inlet and a high circular outlet are connected to the chamber for feed inflow and 841 concentrate outflow. A circular membrane is installed on the porous bottom plate with a 842 filtration area of 1.12×10^{-3} m² [112]. In MF, SiO₂ was separated from artificial seawater. 843 The pseudo-steady filtration flux was only 1.65 $m^3/(m^2 \cdot s)$ for a static disk, but it 844 increased by 170% as ω increased to 500 rpm at a distance of 1.5 mm between vans and 845 membrane. This increase in the flux was more considerable for a smaller gap of 0.8 mm, 846 and the filtration flux increases 2.4-fold as ω increases from 0 to 500 rpm [48]. From 847 another article, 2 vans disk (Type 1), 4 vans disk (Type 2) or 2 vans disk with circular 848 orifices (Type 3) was able to rotate 15 mm above the membrane. It indicated that 849 increasing the disk rotation speed or the number of vanes improves the mean filtration 850 flux, and the holes in the disk have no effect on flux enhancement. The specific filtration flux (flux divided by energy) could be ordered as Type 3>Type 1>Type 2, and it also 851

decreased with the increase of rotation speed [84]. For the same purpose, a larger module with a filtration area of 0.0377 m² was established by Hwang et al. [115]. By modifying the disk, 6 types of disks were constructed with different concepts (distance between disk and membrane, number of vans and vans' structure). Considering the filtration flux and power consumption, disk and disk with 2 vans (has an uneven rectangular cross-section) showed to be the optimal designs, and even 4 uniform vans could generate the highest shear stress and result in the highest permeate flux.

859 A particular configuration of the rotor could be observed in the controlled shear 860 filtration (CSF) module [138]. It has a conical rotor (inclination angle of 4°) of 70 mm 861 diameter rotating at 0.2 mm above a PVDF membrane (51.5 cm² effective filtration area) 862 for MF of recombinant BHK cell suspension. The threshold level of shear stability was 863 determined during the sharp decrease of cell viability for the step improvement of 864 rotating speed. An optimal growing cell showed better resistance with shear stress up to 17.2 N/m² instead of 7.12 N/m² for a lower growing cell. Compared with traditional 865 866 cross-flow filtration, constant flux 30 L/(h·m²) and cell viability percentage 83% greatly improved to 97%-91%, 290 L/(h·m²) with CSF, respectively. Almost with the same 867 868 configuration, the controlled shear affinity filtration (CSAF) module was investigated 869 with CFD simulation. A new rotor (0.2 mm gap to the membrane) was designed with a 870 variable inclination angle, which permitted the constant shear stress at all radial positions 871 except the centre-point. Meanwhile, the threshold shear stress of 0.17 Pa was achieved 872 across virtually the entire membrane surface at a rotor speed of 250 rpm. It was 60% less 873 than the rotor speed required in the original CSAF device [186].

In rotating cross-flow (RCF) MBR [183], a 156 mm radius of the membrane is placed in the support. With a gap of 5 mm, a disk of 140 mm radius can rotate between 50 and 350 rpm. A single-use medical product LIFE 18-Disk Separator, which was designed to separate plasma from whole blood, two membranes are configured with a spinning disk rotating between them within a plastic housing, and only reaches 50 ml for the volume [185].

880

3.2.2.2 Rotating impeller

881 Similar to the rotating disk module, the shape of the impeller also draws much 882 attention. 3 modules were developed into 10 configurations by compacting even up to 883 hundreds of membranes in the filtration cell and increasing the diameter of membranes 884 for large scale applications.

885 Cross Rotational Filters (CR-filters) was designed by Metso-Paper corp., equipped 886 with two-blade impellers between two membranes. The company proposed several scales 887 for users; diameter could range from 200 to 1010 mm, e.g., the Opti Filter CR-1010/100, 888 with 100 cassettes of 1010 mm diameter, achieve filtration area up to 140 m² [188-190, 889 231]. The DYNO (Bokela GmbH, Karlsruhe, Germany) filter was installed with a blade-890 like rotor for each filtration cell, reached 12 m² of total membrane area [187]. The same 891 concept also has been applied to the Rotating and Vibrating Filtration (RVF) module. A 892 lab-scale RVF module has two identical filtration cells. Each consisted of a three-blade 893 impeller with 135 mm diameter and 8 mm thickness, rotating in a 14 mm gap between 894 two porous substrates, which can operate up to 50 Hz [102, 193].

Gursch et al. [144] reported the continuous manufacturing of active pharmaceutical
 ingredients with a lab-scale Dynotest system, aluminium oxide disk membranes (0.5 μm)

897 were equipped with a total filtration area of 0.013 m^2 . A material-dependent linear 898 relationship of the permeate flow as a function of cumulated feed flow was found with 899 different fluids; slope *k* made it possible to established a constant concentration factor to 900 predict filtration performance for any given product.

In the paper industry, Jutta et al. [192] described the UF process with CR module, polymeric and ceramic membranes range from 8 to 200 kDa were tested. It was shown that the relatively low cut-off (30 kDa) hydrophilic C30G membrane made from regenerated cellulose had higher fluxes both at neutral and acidic pH. It could last six days of filtration with good permeation. The same reports also have been investigated in NF and RO [190, 231].

907 Rayess et al. [103] employed MF (PES and PTFE, 0.2 µm) with the RVF module in 908 wine clarification. In the filtration of crude simulated wine, the permeability of PTFE 909 membranes was slightly higher than PES membrane with N=0 Hz, but it is opposite 910 during filter wine filtration respectively for 1676 L/($h \cdot m^2 \cdot bar$) (PES) and 170 L/($h \cdot m^2 \cdot bar$) 911 (PTFE). When N was increasing, PES seemed to be sensitive to the mixing effect, while 912 PTFE showed to be unaffected by the frequency increase. Fillaudeau et al. [102] also 913 tested two ceramic membranes (0.6 to 4 μ m) with rough beer. In this research, the driving 914 force at the membrane surface and the core velocity coefficient associated with fluid 915 dynamics were determined with water. Afterwards, the performance of RVF was 916 evaluated for two different rough beers and model beers, which resulted in the ceramic 917 membrane achieving more satisfying quality and flux value than traditional filtrations.

918

3.2.2.3 Rotating cylinder

As discussed in the rotating cylinder membrane, studies on fixed membrane
cylinders have also been reported. 2 modules have been designed in the lab-scale
application.

922 The application of helical Couette-Taylor flow (CTF) [43] could help to reduce 923 fouling in the process of filtration. A tubular membrane module is configured with a tubular metallic membrane (diameter of 30/34 mm, filtration area of 0.04 m²) as a 924 925 housing and a coaxial inner cylinder as a rotor (diameter of 20 mm). In order to be 926 applied in radioactive wastes, cobalt ions solution was fed in UF with the metallic 927 membrane. After 720 min circulation, the permeate flux decreased to 8 $L/(m^2 s)$ without 928 mixing. Due to the instability of the flow, it almost 3-fold when the inner cylinder was 929 rotating up to 1500 rpm. Further improvement in the rotation speed seemed to have little 930 effect on the permeation [43]. The optimal hydrodynamic conditions were established by 931 response surface methodology, maximal permeate flux and the minimal flux decline were 932 observed at TMP 70kPa, retentate flowrate 108 L/h and rotating speed 2800 rpm [44].

933 DYNAMIC HPHT Filtration system [199] equipped with a rotating cylinder, which 934 could simulate the build-up of filter cake on the formation. A 6.3 mm thick porous walled 935 cylinder (inner diameter of 25.8 mm) as the filter medium and rotating shaft of 19 mm 936 diameter is placed within 250 ml high-pressure and high-temperature cell. The mud 937 sample sheared in the annulus formed between the inside diameter of the filter core and 938 the rotating shear shaft. A ceramic filter (5-90 µm) was used in the MF of the drilling 939 fluid. The experimental results fitted with the Boluk-Balavi equation, which could help 940 evaluate the spurt loss volume, the initial rate of fluid volume loss (flux), blocking and 941 cake erosion in DF [199].

3.2.3 Rotating disk and membrane module

The request for DF of large shear stress led to the introduction of a rotating disk and
membrane system (2 modules). Disk and membrane are mounted on different shafts,
could rotate in the reverse direction.

946 A shear-enhanced system, namely rotating disk-membrane (RD-M) modules, were 947 investigated on microfiltration of black liquor [32, 33], Ultrafiltration of PEG 6000 and 948 Bovine serum albumin [49, 51, 141]. The membrane was placed on disk-shaped porous support with an effective filtration area of 24.6 cm². A stirrer is provided inside the cell, 949 950 having the same diameter as that of the membrane. They rotate in the opposite direction 951 and give a maximum shear rate of 2×10^5 s⁻¹ at the membrane surface. In the UF of BSA, 952 the increment of membrane rotation speed, stirring speed, and TMP are likely to improve 953 the permeate flux. It has been demonstrated that 79.7% of steady-state permeate flux 954 decreased on increasing bulk concentration from 1 g/L to 30 g/L, resulted in the mean 955 residence time increasing from 0.17s to 0.28s. However, TMP did no effect on residence 956 time by increasing 294 kPa to 882 kPa [141]. The influence of membrane disc rotation 957 was found to increase the flux substantially, more than so obtained by stirrer rotation. 958 Nevertheless, the pre-treatment steps proved to be highly efficient in minimizing flux 959 decline [32, 33].

By modifying dynamic cross-flow filtration (DCF), Johannes et al. [96] described a new module. Two rotating shafts equipped with two ceramic membrane disks and one smooth metal blind disk individually, resulting in a membrane filtration area of 0.14 m². The disks from each shaft overlapped each other by 26.4% of their surfaces. As a result, MF (0.06 µm pore size) of pasteurized skim milk (3.4%, w/w, protein) could preferably be applied in small scale manufacture of milk retentate for protein contents of \geq 14.8% (w/w).

967

942

968 **3.3 Oscillating system**

Considering the movement part, oscillating could happen in the whole filtration cell
(3 modules with 12 configurations) or just occur in the membrane (9 modules with 9
configurations). These motions could be azimuthal, horizontal or axial oscillation. In
addition, the spacer oscillation (1 module) also promoter turbulence in the flow.

973 974

3.3.1 Oscillating filtration cell modules

3.3.1.1 Oscillating disk cell

Disk membranes are placed on the support to permit the collection of permeate and
filtration cell oscillated in the azimuthal direction to generate high shear stress. With this
knowledge, 2 companies have designed 11 configurations in lab and industrial
applications.

979 Commercialized by New Logic (USA), vibratory shear enhanced processing (VSEP) 980 [24, 35, 75, 91, 92, 118, 122, 126, 200] represented as the first vibrating filtration device. 981 These filtration bodies, which consist of alternative overlapping membranes or 982 membrane-coated disks in series, are mounted on a torsion bar driven by a motor. It can 983 reach 130 m² filtration area per module and scale up by installing more membrane modules. A similar principle was introduced in the vibrating membrane filtration module
(PallSep-VMF) [202]. Industrial VMF biotech modules were available in 0.2, 1 and 5 m²
surface areas for more flexibility and easy scale up or scale down [203].

987 The VSEP L101 was a relatively small pilot-scale module. An annular membrane 988 with an area of 503 cm^2 in a circular housing (with a gap of 3.5 mm) is placed at the top 989 of a vertical shaft, and driven by a torsion spring. This shaft amplified the vibrations to 990 reach the amplitude from 6.35 to 31.75 mm, corresponding to the frequency between 55 991 and 60.75 Hz. The feed and retentate channels are distributed on both sides of the rotating 992 shaft at the bottom plate. The permeates through the membrane was collected through the 993 holes in the membrane plate support [200]. Akoum et al. [126] investigated the MF of 994 yeast suspensions and the UF of BSA solutions with this module. In the case of yeast MF, the permeate flux was found to be proportional to $\gamma^{0.19}$ at a frequency below 59.7 Hz and 995 to $\gamma^{0.50}$ at a higher frequency. In UF of BSA, the permeate flux was proportional to $\gamma^{0.426}$ 996 997 at all frequencies. As for the treatment of dairy process water, the membrane oscillated at 998 60.75 Hz and 40 bar, the highest permeate flux in NF was 270 L/($m^2 \cdot h$) and the initial 999 COD reduced from 36000 to 94 mg/L. While in RO, final permeate flux was 240 L/($m^2 \cdot h$) 1000 and 36 mg/L COD [59]. Frappart et al. [60] compared the filtration performance of VSEP 1001 and RDM with dilute skim milk in RO. The permeate flux for the VSEP working at 1002 resonant frequency (60.75 Hz) was very close to the result for RDM rotating at 2000 rpm. 1003 The same device has also been applied in other suspensions, such as tannery wastewater 1004 [200], latex solution [75], metal working emulsions [67] and microalgae [24, 116, 118].

1005 PallSep PS10 VMF unit consists of two membrane discs, both covered with a hydrophobic PTFE membrane on both sides, giving a total membrane surface area of 0.2 1006 1007 m^2 . The feed is delivered to the first membrane disc through a feed channel at the bottom 1008 endplate of the VMF membrane assembly. Each membrane disk has 18 circular holes (8.5 1009 mm diameter), whose 12 holes are located at 270 mm on the outer edge and 6 holes at 26 1010 mm in the inner diameter of the disc. The fluid then flows outward in the retentate 1011 channel and inwards tangential to the membrane surface towards 6 equally spaced, which is collected in a series of grooves and exits the VMF system through the top end plate 1012 1013 permeate port. In the recycle mode of 200 g/L yeast suspension, a decline in permeate flux was observed until a steady-state was achieved after about 20 min with the vibration 1014 1015 at 19.5 mm amplitude. Without any vibration, permeate flux was seen to fall to zero in 10 1016 min at the same cross-flow rate of 1 L/min. In the concentration tests of yeast suspension, increased the gap width from 1.4 to 4.2 mm, the maximum solids loading capacity was 1017 1018 improved from 561 to 633 g/L. It also indicated that the volume concentration factor 1019 would increase with a larger gap width [202].

1020

3.3.1.2 Oscillating rectangular cell

1021 Another lab-scale oscillating filtration cell is vibration enhanced reverse osmosis 1022 (VERO) [47, 55, 232], derived from Texas A&M University (USA). A linear actuator 1023 was used to vibrate the RO membrane desalination cell at the given vibration curve shape, 1024 frequency, and amplitude. Feed solution enters from the left feed port at the top plate, 1025 flow into the membrane channel, and left the desalination cell through the retentate port 1026 at the other side of the top plate. The permeate flow is collected by the permeate carrier 1027 and flows out through the permeate ports at the bottom plate. The height of the feed 1028 channel is 0.78 mm and placed with a feed spacer, which just above the flat rectangular

membrane of 60 cm² (20 cm×3 cm) filtration area. With this module, Su et al. [47] 1029 investigated the desalination of artificial seawater in 3 feeding flowrates related to 1030 1031 Reynolds number 344, 516 and 688, respectively. Increasing in flowrates led to the 1032 decrease of NaCl and CaSO₄ concentration polarization (CP) module without vibration, 1033 owing to the sweeping effect of flow. In the vibration cases, shear stress was further 1034 increased by the vibration; CP modules decreased when the frequency changed from 20 1035 to 50 Hz. Periodic oscillations caused the fluctuation in normalized permeate flux; the 1036 higher the vibration frequency is, the higher the permeate flux. CFD simulation also 1037 showed excellent agreement with the results over different Reynolds number.

1038

3.3.2 Oscillating membrane module

3.3.2.1

1039

1040 Compared with the oscillation filtration cell modules, another kind of device is 1041 realized by immersing the oscillating membrane in the filtered liquid. The principle of 1042 shear enhancement by the oscillating membrane is generally applied in a rectangular 1043 membrane. Different technics aimed to create the horizontal motion of membranes, and 4 1044 modules are reported in recent publications.

Oscillating rectangular membrane

1045 Oscillatory flat surface membrane (OFSM) module was proposed by Gomaa et al. [131, 133, 204-207]. A flat membrane (filtration area of 0.06 m^2) is mounted on a 1046 membrane frame, fixed with a metal mesh, and immersed in a yeast solution. A vacuum 1047 1048 pump is used to collect permeate and control transmembrane pressure. Hydrophilic nylon 1049 membranes (0.22 μ m) were used in the re-hydrated baker's yeast on the condition of 0-25 1050 Hz (frequency), 3-30 mm (amplitude) and 0.2-0.6 bar (TMP). Increasing oscillation frequency or amplitude resulted in higher permeate flux; the effect of oscillation 1051 1052 frequency was found to be stronger than the amplitude [131]. Using higher oscillation 1053 frequency and lower amplitude were found to be more effective for flux enhancement and 1054 energy utilization [133]. Furthermore, membrane surface equipped with both flat 1055 turbulence promoters and grooved turbulence promoters has been proved to improve 1056 microfiltration flux further. The combined effect of oscillatory motion and turbulence 1057 promoters can result in substantial flux augmentation. Such an effect increased with 1058 increasing the oscillation frequency but decreased with its amplitude [133, 206, 207].

1059 With the same concept, a magnetically induced membrane vibration (MMV) system 1060 [37, 209-213] consists of one or more flat sheet membranes and is mounted on a metal 1061 frame. The oscillation is created by the magnetic attraction/repulsion forces to alternate membrane modules that move up and down with a specific frequency and amplitude. For 1062 UF of bio-ethanol from the hydrolysate, 4 membranes were placed in series with a gap of 1063 1064 1 cm with a filtration area of 0.04 m^2 . With undiluted feeds (extremely viscous), permeate 1065 and TMP showed the same trends with oscillation (frequency:10 Hz, amplitude: 6 mm) and without oscillation. However, these differences were enlarged with diluted feeds 1066 (dilution rate: 4 or 6 times). It also indicated that fouling control could be improved by 1067 1068 suitable membranes (nature) and higher oscillating amplitude [212]. In other fields such 1069 as wastewater treatment, [37, 209, 210] microalgae harvesting [211, 213] have also been 1070 investigated.

1071 Tongji University (China) has designed a constant-shear vibration device named the 1072 uniform shearing vibration membrane (USVM) [208] system. By the rotating shaft, the 1073 flat rectangular membrane (effective membrane area of 0.02 m^2) installed on a cassette 1074 can be operated with a uniform circular motion (not rotating), which induces a constant 1075 shear rate at the membrane surface. On the membrane frame, there is an outlet connecting 1076 a tube and the permeate can be taken away through the tube using a peristaltic pump. 1077 Another axial vibration membrane (AVM) [84, 215, 216] device that reduces membrane fouling by vibrating the shaft along the vertical axis was developed by the same lab. By 1078 1079 controlling the servo motor and changing the structure of the rotating shaft, different 1080 vibration frequency and amplitude for both devices can be achieved. On a cassette, 1 to 100 flat membranes can be installed in the square frame (11 cm) with the distance 1081 1082 between membrane from 1 to 50 mm. Zhao et al. [215] investigated AVM in microalgae harvesting; 0.1 µm PVDF membrane was used to work at any frequency up to 15 Hz and 1083 amplitude from 5 to 40 mm. The critical flux is proportional to $\gamma_{max}^{0.2284}$; the motion does 1084 1085 not only prevent the deposition of algae cells on the membrane but also reduces the adsorption of extracellular organic matter on the membrane. Horizontal system (USVM) 1086 1087 worked at a lower frequency (5 Hz) and amplitude of 20 mm, the same membrane was 1088 used. The TMP visibly reduced when the frequency increased from 1 to 5 Hz. Even at a relatively low frequency of 5 Hz, reversible and irreversible membrane fouling could also 1089 1090 be limited [208].

1091

3.3.2.2 Oscillating cylinder membrane

1092 With the same concept, azimuthal or axial oscillation can be achieved with cylinder 1093 membrane. 2 lab-scale modules were described, the effect of oscillating frequency, 1094 amplitude or angle were investigated.

A cylinder membrane (14 mm outside diameter, 64 mm membrane working length) was immersed in calcite suspension, and two modes of oscillations were performed [217]. The axial oscillations can be operated with an adjustable displacement and frequency up to 100 Hz. The azimuthal movement oscillates at 3 degrees with 20 to 100 Hz, the highest shear stress of 240 Pa is reached. The vibration mode did not influence the filtration performance, while it corresponded to the shear stress peak delivered by the oscillating system [217].

1102 With a vibrating head, an axial oscillation of the slotted-pore nickel membrane is 1103 controlled up to 100 Hz (frequency) and 10 mm (amplitude) [70]. The fouling of 1104 membrane pore area is reduced in the presence of the shear. Compared with crude oil, 1105 Tween 20-stabilized oil with decreasing interfacial tension and smaller droplet size, and 1106 led to a lower blocking area. The blocking constant at 1000 L/(m²·h) (permeate flux) 1107 were approximately five times smaller than 200 L/(m²·h), caused by the increased 1108 permeation of the deformable oil droplets [70].

1109

3.3.2.3 Oscillating hollow fibre membrane

1110 The principle of shear enhancement by oscillation has also been applied to hollow 1111 fibre membranes by attaching them to a sliding rod connected to a rotating head. 3 1112 modules have investigated the oscillation of the HF membrane in the axial direction.

1113 A Vibrating hollow fibre microfiltration (VHM) system [218] has 7 MF hollow fibre 1114 membranes (40 cm length) with a surface area of 0.0057 m². They were potted in a 1115 cassette using Araldite glue and then submerged in a yeast suspension tank. This cassette 1116 vibrated using a 250 W electric motor at any frequency up to 10 Hz with an amplitude of 1117 4 cm. A relatively monotonic increase in critical flux was observed during the frequency increased from 0 to 10 Hz. Two-step correlation between critical permeate flux and rotating frequency was established and separated in the frequency of 5 Hz [218]. A similar observation has been investigated by Jaffrin et al. [19] with RDM and VSEP. Specific critical flux was defined as the ratio between critical flux and specific power consumption. The peak value was observed at a low oscillating frequency below 2 Hz and followed with a subsequent drop to low values as the specific power consumption increased [218].

1125 The second module was described by the Technical University of Denmark [129, 130, 219]. The vibrating membrane bioreactor (VMBR) system consists of a module with 1126 1127 hollow fibres fixed in parallel between a steel plate at the bottom of the module and a 1128 permeate gap at the top. Despite different versions of modules equipped with diverse 1129 membranes (filtration area range between 84 and 488 cm²) and liquid level, these systems 1130 were almost identical. A total membrane area of 488 cm^2 is composed of 54 hollow fibres with a length of 12.5 cm; it oscillates with the displacement of 1.175 mm (the peak-to-1131 1132 peak amplitude is twice as big) and frequency up to 30 Hz. In yeast suspension, the PES 1133 membrane with a nominal pore size of $0.45 \,\mu\text{m}$ was tested, the critical flux was improved up to the maximum oscillating degree. The correlation between shear rate and critical 1134 1135 flux was similar to the oscillating rectangular membrane module [215, 219].

1136 The last module was described by Li et al. [71]. Membrane modules (13 HFs with a 1137 length of 40 cm, inner/outer diameters of 1/1.7 mm and 1/2 mm) were aligned vertically in parallel, with the distance between two adjacent fibres of 15 mm, and driven by a 1138 rotating head. The vibration amplitude varied from 0 to 12 mm accurately, while the 1139 vibration frequency from 0 to 15 Hz. In a 4 g/L bentonite solution, experiments were 1140 1141 conducted at both constant permeate flux and constant suction pressure conditions. In the constant permeate flux of 30 L/($m^2 \cdot h$), the fouling rate of 1.7 mm HFs was almost twice 1142 1143 as in 2 mm HFs without oscillation. The fouling rate typically decreased when vibration 1144 was applied. There was an 85% reduction in the fouling rate when the 2 mm HFs vibrated 1145 with 5 mm amplitude and 5 Hz frequency, comparing to no vibration. At constant suction 1146 pressure of -24 kPa, cake resistance implied that the larger fibre size could perform better 1147 in an oscillating system. As for the power consumption, 95% fouling reduction was 1148 observed with 8 mm amplitude and 10 Hz frequency, and consumed 16.6 W power. With 1149 the same setup, 21 W power was needed to achieve a 10% fouling reduction by 5 L/min 1150 bubbling rate [71].

1151

3.3.3 Oscillating spacers module

1152

3.3.3.1 Oscillating rectangular spacers

1153 Rotating or oscillating membrane/filtration cell involves the movement of membrane 1154 cassette and permeates inside of the membrane module. It requires a relatively high 1155 mechanical energy and a complicated membrane module. The alternative of a lightweight 1156 spacer in turbulence promoter permits to minimize energy consumption regarding 1157 oscillation.

1158 A submerged flat sheet membrane filtration system with vibrating spacers was 1159 introduced by Nanyang Technological University (Singapore) [221]. Two pieces of flat 1160 sheet membranes (8 cm×12 cm) with a total area of 70 cm² were mounted into a 1161 membrane module and then submerged into a tank. With a distance of 0.1 or 1 mm, both 1162 spacers were placed at each side of the membrane module and enabled to oscillate at 1-1163 2.5 Hz (frequency) and 0.8-2 cm (amplitude). At a distance of 0.1 mm between the 1164 spacers and membrane, the hill-like spacers more efficiently alleviated membrane fouling 1165 than smooth and grooves spacers. The increase in vibration frequency and amplitude led 1166 to the reduction of fouling, but the threshold operation presented to be 2 Hz and 1.2 cm 1167 with a hill-like spacer. As expected, spacer vibration consumed significantly less power 1168 $(<0.008 \text{ W/m}^2)$ than gas sparging (5.7 W/m^2) under the comparable fouling control 1169 effectiveness [221].

1170 **3.4 Oscillating and vibrating system**

1171 Vibrating system is not helpful for the permeate flux due to the compacting/cleaning 1172 effect during the back-and-forth movement of the membrane, a pure vibrating system 1173 does not exist yet. By the oscillation or vibration of HF membranes, transverse or axial 1174 movements may lead to a variety of flux directions, which corresponded to the 1175 combination of oscillating and vibrating modes. Five devices at a lab-scale were 1176 investigated.

The transverse vibrating hollow fibre membrane system was reported and compared 1177 1178 with the case of oscillating liquid [132]. The former one was driven by the rotor to vibrate 1179 the hollow fibre membrane, with the displacement varying from 0.5 to 5 mm. Another one performed the circular movement of container (liquid) with an eccentric axis (fixed 1180 1181 radius: 2.5 mm), and frequency up to 2200 oscillations per minute (36.6 Hz). MF of 100mg/L alginate solution with 0.2 µm HF membrane resulted in the permeate flux of 70 1182 1183 $L/(m^2 \cdot h)$, increased to 105 $L/(m^2 \cdot h)$ with the aid of liquid oscillation frequency of 6.7 Hz. 1184 However, this method was limited to small scale applications. Oscillating transverse 1185 motion via vibrating membranes was expected to be more practical for large-scale 1186 applications. The critical flux of 4 g/L yeast solution with the transverse membrane 1187 vibrations (10.3 Hz, displacement 2.5 mm) was found to be 40 L/(m^2 h), while it was 35 1188 $L/(m^2 h)$ under the oscillating liquid (at 10 Hz, displacement 2.5 mm) [132]. In the 1189 separation and concentration of milk proteins with 0.04 µm PVDF HF membrane, Chai et al. [222] summarized that the frequency of 10.3 Hz applied could fully reject the casein 1190 micelle and maintain very high transmission rates of whey proteins (α -LA and β -LG) and 1191 1192 lactose.

1193 Derived from the same institution (University of New South Wales, Australia), the 1194 axial vibration module was introduced [22]. 32 hollow fibre membranes (total membrane 1195 area: 0.0131 m²) were arranged in a tube-like shaft, immersed in a 6 L filtration column and held in place through a rod connected to an oscillation converter. This configuration 1196 1197 allows the angular displacement to vary from 18.3° to 55°. Different yeast concentrations 1198 of 10, 100 and 200 g/L in MF had little effect on the permeate flux with the aid of 1199 oscillating or vibrating at 10.3 Hz. The critical flux was not sensitive to the packing 1200 density of HFs in the module but highly dependent on frequency [22].

The same idea was also applied in another module. Two ends of the hollow fibre membrane were potted together to access the permeable channel, 7 fibres with a length of 10 cm, resulting in a total membrane area of approximately 45 cm². Angular vibrations generated by the motor convertor worked with fixed angular displacement of 180°, and frequency controlled between 0 and 2 Hz. The results indicated the effects of angular vibrations on the fouling rate at the frequency of 2 Hz in the following order: algal cells 1207 (\sim 97.4%) > debris (\sim 93.6%) > intracellular organic matter (\sim 81.8%) > extracellular 1208 organic matter (\sim 52.3%). A poor effect was found on pore blocking due to a large portion 1209 of extracellular organic matter fouling [123].

1210 Zhejiang University (China) introduced a vibrated submerged HF membrane module 1211 that achieved two motion modes by adjusting the vibrating shaft. The membrane was 1212 moved from forth and back in axial (mode-1) or left to right (mode-2) displacement through a sine wave pattern. Membrane oscillation could be vertical or parallel to the 1213 1214 module, respectively. In the standard module (without oscillation), the steady-state 1215 membrane permeability was 3.27 L/($m^2 \cdot h \cdot bar$), whereas, mode-1 and mode-2 (optimal) were stabilized over 21 L/(m²·h·bar) at 20 rpm. Increasing the vibrating speed also 1216 helped to reduce the permeability decline [225]. 1217

1218 The San Bortolo Hospital (Italy) introduced the concept of mechanical vibration to 1219 the hollow fibre dialysis membrane system [223, 224]. Four types of shaking models 1220 were established to increase the shear rate at the membrane surface: i) longitudinal 1221 shaking produced the reverse flow in the central and peripheral region of single hollow 1222 fibre; ii) transverse shaking developed a symmetric swirling to shaking direction and spiral flow pathlines; iii) rotational shaking to longitudinal axis also developed symmetric 1223 swirling flow regimes inside the HF, but the local shear rate presented non-uniform 1224 1225 distribution in the radial position of HF bundle; and iv) rotational shaking to the centroid 1226 resulted in lengthwise non-uniform hemodynamic enhancement and reached the 1227 maximum at the inlet and outlet [223].

1228

1229 4. CHARACTERIZATION OF FLUID FLOW IN DYNAMIC FILTRATION

1230 It is well known that the principle of dynamic filtration consists of creating relative 1231 motion between the membrane and its housing to generate a high shear rate at the 1232 membrane surface and/or oscillating flows. Among the advantages of DF devices, the 1233 ability to generate a high shear rate independently of the feed flow, the preservation of a 1234 high membrane permeability associated with the filtration at low TMP, and the reduction 1235 of the filtration loop volume, can be highlighted. Combining all these factors limits the 1236 fouling propensity and compressibility at the membrane surface [57, 79, 88, 97, 127, 168], 1237 which appears as major advantages for UF and MF, especially for the filtration of 1238 biological matrices. Film theory stands as the most common theoretical approach to 1239 describe permeation flux independently of pressure for mass transfer limited system.

1240 **4.1 Global approaches**

1241

4.1.1 Dimensionless analysis

1242 4.1.1.1 Reynolds number

1243 The well-known Reynolds number, *Re*, is the most common number used in fluid 1244 mechanics to characterize the flow regime (laminar, transient or turbulent). It is defined 1245 as the ratio between the inertia forces and the viscous forces. For convenience, it is 1246 generally written in the form of a length scale, the so-called hydraulic diameter d_h , a 1247 velocity scale *u* and the kinematic viscosity *v* of the fluid, as follows: $Re = \frac{ud_h}{v}$.

1248 Due to the complex geometry and operating conditions encountered in dynamic 1249 filtration devices (enclosed rotating disc/ impeller, static or rotating membrane, 1250 oscillating and vibrating module), different expressions of the Reynolds were proposed 1251 (see Table. 7). Based on $v = \frac{\mu}{\rho}$, all equations are given with dynamic viscosity μ , where 1252 ρ is the fluid density.

1253 Table. 7 Dimensionless numbers used to describe hydrodynamic within Dynamic 1254 Filtration device (d: diameter, u: velocity, Q: flowrate, r: radius, d_h : hydraulic diameter, 1255 r_h : hydraulic radius, d_o : outer diameter; d_i : inner diameter, u_z , axial flow velocity, N: 1256 mixing rate, d_m : rotor diameter, k: core velocity coefficient, ω : angular velocity, h: rotor 1257 height, a: inclination angle of conical rotor, s: characteristic length scale, F: 1258 oscillating/vibrating frequency, H: vertical distance)

Mode	Formula	Equation
Tube	$\operatorname{Re} = \frac{\rho du}{\mu} \operatorname{or} \operatorname{Re}_{Q} = \frac{\rho Q}{\mu \pi r}$	Eq. 1
Equivalent tube	$\operatorname{Re} = \frac{\rho d_h u}{\mu} \text{ or } \operatorname{Re}_Q = \frac{\rho Q}{\mu \pi r_h}$	Eq. 2
Annulus tube	$\operatorname{Re} = \frac{\rho(d_o - d_i)u}{\mu}$	Eq. 3
Axial flow in annulus	$\operatorname{Re}_{a} = \frac{2\rho du_{z}}{\mu}$	Eq. 4
Rotating system (mixing)	$\operatorname{Re}_{m} = \frac{\rho \operatorname{Nd}_{m}^{2}}{\mu}$	Eq. 5

Rotating system*	$\operatorname{Re}_{r} = \frac{k\rho\omega r^{2}}{\mu}$	Eq. 6
Rotating cone	$\operatorname{Re}_{s} = \frac{\rho \omega (h + r \tan \alpha)^{2}}{\mu}$	Eq. 7
Gap based Ekman number	$Ek = \frac{1}{Re_s} = \frac{\mu}{\rho\omega s^2}$	Eq. 8
Oscillating/Vibrating system	$\operatorname{Re}_{v} = \frac{2\pi F H^{2}}{\rho}$	Eq. 9

1259

1260 As can be seen, the hydraulic diameter d_h is equal to the outer diameter of the tube 1261 minus inner diameter (d_o : outer diameter; d_i : inner diameter) for an annulus tube in Eq. 3. 1262 According to the different operating conditions, the velocity scale can be defined using 1263 flowrate Q, see Eq. 1 and Eq. 2. However, when this annulus coaxial cylinder was fed by 1264 axial flow, it can be defined as the axial velocity [83, 233].

1265 In the rotating system, the Reynolds number is calculated from the angular velocity 1266 ω as $Re = (\rho \omega r^2)/\mu$ or by mixing rate N as shown in Eq. 5. But in practical applications, 1267 angular velocity should be replaced by $k\omega$ considering the transmission of impeller 1268 velocity to the fluid. Reynolds number induced by rotating disk/impeller is described by Eq. 6. An axial Reynolds number of a rotating conical rotor system was given as Eq. 7, 1269 1270 where the rotor height h and the inclination angle α were taken into account [138, 186]. 1271 And Reynolds number can be expressed as a reverse of the Ekman number Ek as Eq. 8 shows. Ekman number characterizes the ratio of viscous drag forces in a fluid to the 1272 1273 Coriolis forces [234].

Four different flow patterns of rotating disk in a housing system were proposed by researchers[125, 183]: Re_r is radial Reynolds number, z/R_m refers to the gap ratio (z is the distance between rotor and membrane, R_m is the radius of the rotor). With a flowrate equal to zero, four flow regimes (Table. 8) may appear in the filtration cell, and they have been described by Murkes & Carlsson (1988) [235]:

Rer	z/R _m	Flow regime	Pattern
$<2-3\times10^{5}$	< 0.05	Laminar	Ι
$<2-3\times10^{5}$	>0.05	Laminar	II
$>2-3\times10^{5}$	< 0.05	Turbulent	III
$>2-3\times10^{5}$	>0.05	Turbulent	IV

1279 *Table. 8 Four flow pattern in rotating system*

1280

Laminar regime I correspond to a small gap, with Couette flow and pressure gradient. When it comes to laminar regime II, the gap is more critical, and limiting layers are developing at stator and rotor surfaces. Close to the rotor, centrifugal forces eject the fluid outwardly compared to the shaft, whereas, at the membrane surface (stator), radial velocity induced centripetal forces concerning the continuity equation. The thickness of the limiting layer on the stator surface is theoretically four times higher than on the stator for an infinite disk. With this theory, limiting layers are separated in turbulent regime IV.

1288 In the oscillating system, the flow is governed by various parameters (oscillation 1289 frequency, amplitude, gap). This system was early studied by Rosenblat [236], who used 1290 two parallel infinite plane disks, oscillate torsional driven by a common axis for a 1291 Newtonian fluid. The Reynolds number is defined as a function of oscillation frequency 1292 *F* and the distance between disks, shown as Eq. 9. It has been applied to a commercial 1293 VSEP system, Reynolds number could reach 3×10^5 [116, 126].

1294

4.1.1.2 Taylor number

1295 The flow between two concentric cylinders with the inner one is rotating and an 1296 axial flow in the annulus cylinder. Due to the shear generated by the rotation, inertial 1297 forces tend to destabilize a system, whereas viscous forces tend to stabilize the turbulence. 1298 Taylor number (Ta) is defined as the centrifugal force due to rotation of a fluid relative to 1299 viscous forces, which is well discussed in Taylor–Couette flow [83, 167]. Taylor vortices would appear when the Ta reaches specific values. As shown in equation Ta =1300 $\frac{\omega r_i z}{v} \left(\frac{2z}{r_i + r_o}\right)^{0.5}$, r_i and r_o indicate the radius of the inner cylinder and housing cylinder, 1301 respectively, z is the distance between them. When $z/r_i \ll 1$, it could be simplified with 1302 $Ta = \frac{\omega r_i^{0.5} z^{1.5}}{v}$. Ta <42, the flow is in laminar regime. Further increase the rotation speed, 1303 Taylor vortices start to form, and these vortices increase until 400 (as described in the 1304 transition zone) [237]. When the Taylor number is above 400, these vortices degenerate 1305 1306 into fully developed turbulent flow.

1307

4.1.1.3 Mass transfer

1308 Dimension analysis of mass transfer (by analogy with heat transfer) lead to 1309 establishing the semi-empirical correlation between dimensionless numbers,

1310 Sherwood (Sh), $Sh = \frac{k_m d_h}{D} = \frac{d_h}{\delta}$, Schmidt (Sc), $Sc = \frac{v}{D} = \frac{\mu}{\rho D}$ and Reynolds (Re), 1311 $Re = \frac{\rho d_h u}{\mu}$ such as f(Sh, Re, Sc)=0, in laminar regime $Sh = A'Re^{\alpha}Sc^{\beta}\left(\frac{d_h}{L}\right)^{\varepsilon}$ and in 1312 turbulent regime $Sh = A''Re^{\alpha}Sc^{\beta}$ [6] (D: diffusion coefficient, k_m : transfer coefficient, d_h : 1313 hydraulic diameter, L: channel length, δ : thickness of limiting layer, μ : viscosity, u: 1314 velocity, ρ : density).

For laminar flow in a thin rectangular channel [238-240], Sherwood was presented with Graezt-Lévêque's correlation): $Sh = 1.62 \left(ReSc\left(\frac{d_h}{L}\right)\right)^{1/3}$ with $100 < ReSc\left(\frac{d_h}{L}\right) < 1317$ 5000. By rearranging, it becomes $k_m = 1.62 \left(\frac{uD^2}{d_hL}\right)^{1/3}$ and $k_m = 0.816 \left(\frac{\gamma}{L}D\right)^{1/3}$ with $\gamma = 8u/d_h$. In turbulent regime (Dittus-Boelter's equation): $Sh = 0.023Re^{0.80}Sc^{1/3}$ with Re > 10000. In an agitated cylindrical vessel, Colton [241] has proposed the following correlation:

1321 In laminar boundary layer, $8000 < \frac{\omega r^2}{v} < 32000$, $Sh = 0.285 \left(\frac{\omega r^2}{v}\right)^{0.55} \left(\frac{v}{D}\right)^{0.33}$. In 1322 turbulent boundary layer, $32000 < \frac{\omega r^2}{v} < 82000$, $Sh = 0.0443 \left(\frac{\omega r^2}{v}\right)^{0.75} \left(\frac{v}{D}\right)^{0.33}$. Both 1323 cases share the same exponent of Schmidt and similar Reynolds, but the slop is higher at 1324 mixing condition.

1325 These relations make it possible to evaluate the mass transfer coefficient, k_m . It leads 1326 to determine how membrane geometry and operating conditions may be selected to 1327 improve the filtration flux. These expressions require the identification of diffusion 1328 coefficient, *D* of solutes retained by the membrane in water (solvent). These relations 1329 highlight that flux may increase if flowrate increases or cross-section reduces. From a

1330 general standpoint, all hydrodynamics techniques to increase flow velocity and the shear 1331 rate at the membrane surface enable to increase flux [238]. In microfiltration, various 1332 recent theories take into account the hydrodynamics: i) lateral migration of particles 1333 (tubular pinch effect), ii) axial migration of deposit (flowing cake) or hydrodynamic 1334 diffusion generated by shear rate (shear-induced diffusion). To enhance the understanding 1335 of the transfer mechanism in the membrane process, it seems essential to investigate and 1336 characterize hydrodynamics (velocity field, shear rate, flow regime) at local and global 1337 scales.

1338

4.1.2 Friction and power consumption curves

In mixing device, the power consumption curve is the representation of Power number, N_p (Eq. 10) against mixing Reynolds number, Re_m (Eq. 5). The characteristic curve integrates the tank configurations, fluid rheological behaviours and operating conditions. The mixing Reynolds number informs about the flow regimes while the Power number N_p is associated with power consumption (*P*).

$$N_p = \frac{P}{\rho N^3 d_m^5}$$
 Eq. 10

1344 In a continuous process, the friction curve represents Darcy's number, Da (Eq. 12) 1345 against Reynolds number, Re_Q (Eq. 11). This curve predicts the frictional energy loss in a 1346 pipe or equivalent pipe based on the geometrical properties, fluid characteristic and 1347 operating conditions. The friction curve can be described using a unique expression (Eq. 1348 13) based on Churchill's model [242]. This general expression can be used for laminar, 1349 transitory or turbulent flow regimes.

$$Re_Q = \frac{\rho u d_h}{\mu} = \frac{2\rho Q}{\mu \pi d_h} \qquad \qquad Eq. 11$$

$$Da = \frac{8\tau_p}{\rho u^2} = \frac{\Delta p d_h}{4L\rho u^2} \qquad \qquad Eq. \ 12$$

$$Da = \left(((Da)_{tur}^{n1} + (Da)_{tran}^{n1})^{\frac{n2}{n1}} + (Da)_{lam}^{n2} \right)^{\frac{1}{n2}}$$
 Eq. 13

The friction (Fig. 7) and the power consumption (Fig. 8) curves of the RVF module were previously established [193] and aim to predict the pressure drop and energy demand for mixing. Considering Churchill's model, a unique correlation between $Da/Da_{N=0}$ and $Re_Q^{0.72}/Re_m^{1.08}$ was proposed. It indicated that this friction is related to the mixing rate and flowrate (laminar flow regime: $Re_Q < 3$, $Re_m < 2000$, transition flow regime: $3 < Re_Q < 50$, $2000 < Re_m < 300000$ and turbulent flow regime: $Re_Q > 50$, $Re_m > 300000$). On the opposite, power number seemed to be independent of the flowrate.





1358 Fig. 7 Friction curve established with RVF lab-scale module [193]



1359

1360 Fig. 8 Power consumption curve established with RVF lab-scale module [193]

1361 **4.2 Semi-local approaches**

1362

4.2.1 Radial pressure and core velocity coefficient

In the rotational DF device, the mechanical pieces in rotation and fluid flow generate additional radial pressure. The core velocity coefficient appears as a critical parameter to define the additional pressure, whose value is a complex function of the gap, radial position, mixing rate and geometry of rotating disk (smooth disk, modified surface disk, flat blade mixer).

4.2.1.1 *Radial pressure*

1369 The impact of additional pressure due to mixing was studied previously [102, 103, 1370193], Permeate flux *J* is calculated according to Darcy's law,

$$I = \frac{Q_p}{S} = \frac{\Delta P}{\mu_p R_h} = Lp\Delta P \quad \text{with} \quad \Delta P = \frac{(P_{in} + P_{out})}{2} - P_p \qquad \qquad Eq. \ 14$$

- 1371 where J: flux, Q_p : permeate flowrate, S: membrane surface area, ΔP : the transmembrane
- 1372 pressure, μ_p : the permeate viscosity, R_h : the total hydraulic resistance, L_p : the permeability, 1373 P_{in} , P_{out} and P_p are respectively inlet, outlet and permeate pressures.

Bouzerar et al. [19, 168, 239] indicated that in the core fluid layer, fluid velocity could be calculated by $2\pi kN$, where k is the core velocity coefficient inferior to 1. The core velocity coefficient highly depends on system geometry, such as the configuration of the mixer, distance from the mixer to the membrane. Therefore, the radial pressure gradient in the core layer can be expressed by:

$$\frac{\partial p}{\partial r} = \rho r (2\pi kN)^2 \qquad \qquad Eq. 15$$

$$p(r) = p_0 + \rho g z(r) + 2\rho (k\pi N)^2 r^2 \qquad \qquad Eq. 16$$

1379 where *p* is the pressure and *R* is the radius.

1380 The pressure field is then obtained by the integration of Eq. 15, and achieved the 1381 mean additional pressure by Eq. 16 over membrane area from R_0 to R_{max} . Due to the 1382 specification of impeller design [102], the hydrodynamic perturbation generated by this three-blade impeller in the gap (membrane to impeller) is different from a full flat disk. 1383 This perturbation is including the contribution of rotation speed (N) and additional 1384 pressure fluctuation (ΔP_{ag}). Additional pressure ΔP_{ag} deduced by measuring the flux 1385 versus the radial position and the rotation speed (Fig. 9). The flux was measured versus 1386 1387 the frequency and compared to the case rotation speed equal to zero (Eq. 17 and Eq. 18):

$$J(0, R \text{ to } R + dR) = \frac{\Delta P}{\mu_p R_h}$$
 Eq. 17

$$J(N,R \text{ to } R+dR) = \frac{\left(\Delta P + \Delta P_{ag}(N,R)\right)}{\mu_n R_h}$$
 Eq. 18

1388 where R_h is the hydraulic resistance of the clean membrane. Transmembrane pressure can 1389 be expressed by $\Delta P = p(r) - \Delta P_{ag}(N, r)$.



1390

Fig. 9 Determination of hydrodynamic performances, evolution of the radial pressure
 distribution versus the radius and the rotational speed [193]

TMP is related to the permeate flowrate in dynamic filtration. Fig. 9 showed the linear relationship between the additional pressure induced by the rotating impeller with R². The rotating disk equipped with 8 vans increases peripheral pressure in the filtration cell [168]. Fillaudeau et al. [102] have investigated the local pressure by replacing the membrane with radial permeable crowns in a rotating system. A 5 mm gap permits measurement of the radial pressure at the membrane surface. The final results agree with 1399 the empirical equation (Eq. 15) and almost share the same core velocity coefficient with 1400 the same configuration [102, 168], indicating that the k value could help to estimate the 1401 local pressure. It means that local pressure is highly dependent on the configurations of 1402 devices and operating conditions. Furthermore, many efforts (special-designed rotors [84, 1403 115, 168], inserts [53, 95], turbulence promoters [133, 221], and aeration [213]) focused 1404 on the improvement of shear stress by generating the disturbance of flow, which resulted 1405 in the variation of local pressure over time. The fluctuation of local pressure should be 1406 further investigated to reveal the benefits of non-stationary effects on the improvement of 1407 filtration.

1408

4.2.1.2 Core velocity coefficient

1409 Core velocity coefficient, k, the ratio of mean fluid velocity to impeller velocity, is 1410 one of the critical factors that present the mechanical efficiency of the rotating system as 1411 an indicator to quantify the mechanical efficiency. The k for different configurations of 1412 DF modules were displayed in Table. 9.

1413 The flow between a stationary and a rotating disk system was early studied by 1414 Wilson et al. [243]. They proved that the flow pattern between these two disks was 1415 different. Radial flow close to the rotor was centrifugally outwards, and it was directly 1416 inwards close to the stator. Two cases had different boundary layers, and suction effect 1417 will reduce the thickness. Then a concept of rotation rate was presented and equal to 1418 0.3131 at $Re=10^4$; it characterized the fluid rotating at an angular velocity $0.3131 \times 2\pi N$ 1419 with the rotor rotating at $2\pi N$.

1420 Most people are likely to be interested in improving k by increasing surface 1421 roughness or modifying device configurations. The flow field between a stationary 1422 membrane and a rotating disk was studied by Bouzerar et al. [168], resulting in the 1423 velocity coefficient was influenced by system geometry and configuration, to be 0.34 for 1424 a smooth flat disk, and rise to 0.62 for a flat disk equipped with vanes. Furthermore, this influence was further investigated by Brou et al. [127] by modifying the distance of disk 1425 to the membrane, and they found that coefficient rises from 0.45 with the smooth disk in 1426 1427 17 mm gap to 0.65 in 15 mm gap for disk equipped with 8 pairs of 2 mm thickness vanes. 1428 In addition, a disk equipped with more vans or vans with larger height but with the same 1429 gap between disk and membrane has been confirmed to be useful in increasing the core 1430 velocity coefficient. The disk has reached a larger k value (0.84) with 8 pairs of 6 mm 1431 thickness vanes [127, 178]. As for another rotor, a three-blade impeller in a 3 mm rotor-1432 to-membrane gap has been performed in the RVF module with k equal to 0.71 [102].



1433

1434 Fig. 10 Evolution of core velocity coefficient, K_{θ} as a function of gap-to-radius ratio, 1435 z/Rm. Values are reported from literature for DF modules using confined rotating 1436 impeller close to the membrane and established under laminar or turbulent regimes 1437 [195]

1438 Among the different rotating systems, the ratio z/R_m was used to characterize the 1439 geometry of the DF module, where z is the minimal distance between impeller or disk 1440 (considering geometrical modification) and the membrane, R_m is the radius of the rotor. 1441 The lowest values in Zone 1 (k=0.3-0.5) and the enhanced mechanical efficiency in Zone 1442 2 (k>0.5) were described by Xie et al. in Fig. 10 [195].

1443 Little researches are focused on the investigation of the core velocity coefficient in 1444 the laminar regime. Bentzen et al. [183] have conducted CFD simulations in laminar and 1445 turbulent regimes. They report k=0.8 (turbulent) and 0.9 (laminar) for a rotating cross-1446 flow MBR installed with a plastic disk rotor ($z/R_m=0.0357$). These values are very close, 1447 which is unexpected for the laminar regime. In RVF module (with a 3 blades impeller), 1448 the local flow was identified by PIV measurements and CFD simulation in laminar 1449 regime, which provides a similar value of 0.3 and 0.35, respectively [195].

1450 Table. 9 Core velocity coefficient of different DF filters

Module Rotor	z (mm) z/R	^m Flow regime	Testing fluid	k	Ref.
--------------	---------------	-----------------------------	---------------	---	------

Rotating infinite disks	One disk rotates	/	/	/	Reynolds numbers Re=10 ⁴	0.3131	[243]
	Plexiglas flat disk (smooth)		0.13	Turbulent		0.32	
	PVC flat disk	10		Turbulent		0.35	
RDM (154 mm housing)	Disk with mesh		0.13	Turbulent	CaCO3 suspension.	0.43	[168]
(10 · 10 uog)	Smooth flat disk			Turbulent	60 kg/m ³	0.44	[100]
	Disk with 8 pairs of 2mm rods	10	0.14	Turbulent		0.62	
	Smooth flat disk		0.11	Turbulent		0.45	
RDM (154 mm housing)	Disk with 8 pairs of 6mm vans	8	0.11	Turbulent	Water	0.84	[19]
	Smooth flat disk			Turbulent		0.42	
	Disk with 8 pairs of 2mm			Turbulent		0.65	
RDM (154 mm housing)	Disk with 8 pairs of 4mm vans	15	0.21	Turbulent	Water	0.71	[178]
	Disk with 8 pairs of 6mm vans			Turbulent		0.79	
	Flat disk	14	0.17	Turbulent		0.44	
RDM (176 mm housing)	Disk with 6 of 2mm rectangular vanes	12	0.14	Turbulent	Water	0.79	[180]
	Smooth flat disk	17	0.23	Turbulent		0.45	
	Disk with 8 pairs of 2mm vans	15	0.21	Turbulent		0.65	
RDM (154 mm housing)	Disk with 4 pairs of 4mm vans	15	0.21	Turbulent	Baker yeast suspension, 3g/L	0.69	[127]
	Disk with 8 pairs of 4mm vans	15	0.21	Turbulent	C	0.71	
	Disk with 8 pairs of 6mm vans	15	0.21	Turbulent		0.84	
	3 blades			Turbulent	Water	0.71	[102]
RVF (154 mm housing)	impeller	3	0.04	Laminar Laminar	PIV CFD	0.3 0.35	[195]
RCF (352.6 mm housing)	Plastic flat disk	5	0.04	Laminar Turbulent	CFD CFD	0.895 0.795	[183]

1451

1452**4.2.2** Shear rate and shear stress

In dynamic filtration, separation efficiency and productivity are highly dependent on the selection of membrane types and materials. However, as mentioned previously, the shear rate is one of the crucial factors, which can control membrane fouling and enhance permeate flux. Some theoretical and semi-empirical mathematical models for calculating the shear rate in different systems are presented in this section.

1458 In general, the shear rate between two disks can be estimated according to viscosity 1459 law, with the flow velocity, *u* and the gap, *y*:

$$\gamma = \frac{du}{dy}$$
Or by the shear stress, τ and the fluid viscosity, μ :

Eq. 20

 $\gamma = \frac{\tau}{\mu}$

1460

1461 *4.2.2.1 Rotating systems*

1462 In rotating systems, flow is governed by centrifugal forces generated by rotors, and 1463 induce different flow pattern near the rotor and the stator. Considering the boundary 1464 theory, four different flow regimes were presented, according to azimuthal Reynolds 1465 number and the gap to radius ratio z/R_m , and shear rates at rotor (γ_R) and membrane (γ_S) 1466 surfaces were defined in Table. 10:

	<i>33 3</i> 0	·	5	
Regime	Rotor		Stator	
Ι	$\gamma_R = \frac{\omega r}{Z}$	Eq. 21	$\gamma_S = \frac{\omega r}{z}$	Eq. 22
II	$\gamma_R = 1.81 \frac{\left(k\omega\right)^{3/2} r}{v^{1/2}}$	Eq. 23	$\gamma_S = 0.77 \frac{\left(k\omega\right)^{3/2} r}{v^{1/2}}$	Eq. 24
III	$\gamma_R = 0.008 \frac{(\omega r)^{7/4} (v/z)^{1/4}}{v}$	Eq. 25	$\gamma_{S} = 0.0115 \frac{(\omega r)^{7/4} (v/z)^{1/4}}{v}$	Eq. 26
IV	$\gamma_R = 0.057 \frac{(k\omega)^{9/5} r^{8/5}}{v^{4/5}}$	Eq. 27	$\gamma_{S} = 0.0296 \frac{(k\omega)^{9/5} r^{8/5}}{v^{4/5}}$	Eq. 28

1467 *Table. 10 Shear rate of four flow regimes in a rotation system*

1468

1469 Bouzerar et al. [168] obtained the same expression of Eq. 24 and Eq. 28 for laminar 1470 and turbulent flow for investigating the RDM system. By assuming a thinner gap between 1471 the rotor and membrane, boundary layers merged. The narrow gap, s represents the layer thickness, Eq. 25 and Eq. 26 give the shear rates for rotor and stator, respectively [87, 235, 1472 244]. Bendick et al. [150] assumed that steady state flux J_{ss} evolves as a function of γ and 1473 1474 Re in the rotating membrane system HSR-MS. They confirmed the Jss-Re and Jss-y 1475 relationships and extended the model for further prediction with larger membranes. With 1476 this theory, shear rates on the rotating membrane surface with a membrane diameter of 1477 267 mm were characterized using Eq. 23 in laminar flow and Eq. 27 in turbulent flow.

1478 Vogel et al. [138] considered the average shear rate γ_{av} in the gap between the 1479 conical rotor and the membrane in CSF system. It could be estimated by the angular 1480 velocity ω , the radius of the rotating cone *r*, the distance between the cone and the 1481 membrane *h*, and the inclination angle of the cone α as Eq. 29 shown.

$$\gamma_{av} = \frac{\omega r}{h + r t a n \alpha} \qquad \qquad Eq. \ 29$$

1482 Lee et al. [54] calculated the shear rate in an annulus of coaxes cylindrical RO 1483 membrane system as Eq. 30 shown, where *f* was a velocity factor and depended on the 1484 flow regime. They considered the circumferential velocity was uniform in the centre of 1485 the annulus at about $r_i\omega/2$. The maximum shear rate was generated close to the rotating 1486 inner cylinder.

$$\gamma_{max} = f\left(\frac{\omega r_i}{r_0 - r_i}\right) \qquad \qquad Eq. \ 30$$

1487 Atsumi et al. [245] described the shear rate in an annulus of a coaxes cylinder. The 1488 Couette-Taylor flow has been studied intensively and the wall shear stress, τ is given 1489 below (Eq. 31):

$$\tau = f \rho \omega^2 r_i^2 / 2$$
 for $0.03 \le d/r_i \le 1.0$ Eq. 31

1490 where ω was angular velocity, r_i was the radius of the inner cylinder, d was the gap 1491 between two cylinders, f denoted the friction factor, was determined by the flow and 1492 could be described as the following equation Eq. 32 and Eq. 33. Reynolds number is 1493 given in Eq. 3, while Re_C stood as the critical Reynolds number, at which Taylor vortex 1494 begins.

$$f = \frac{4(1+\frac{a}{r_i})^2}{(2+\frac{d}{r_i})Re} \quad \text{for } 20 \leq Re \leq Re_C \qquad Eq. 32$$

$$f = 0.08 \left(\frac{d}{r_i}\right)^{0.35} Re^{-0.53} \quad \text{for } Re_C \le Re \le 10^4$$
 Eq. 33

1495

4.2.2.2 Oscillating systems

Azimuthal and axial oscillation are two strategies to reduce fouling by generating
torsion or oscillating on the membrane. VSEP is a general module that has been largely
reported.

1499Rosenblatt et al. early studied the flow between oscillating disks [236]. And Akoum1500et al. [126] calculated the local shear rate on the membrane in a lab-scale VSEP system1501by Eq. 34:

$$\gamma_w(r,t) = \frac{\partial V}{\partial Z}\Big|_{z=0} = \frac{\partial V}{\partial Z}\Big|_{z=h} = \frac{r\Omega}{h} \sqrt{\frac{Re}{2}}G(t) \qquad Eq. 34$$

$$\gamma_w(r,t) = \frac{r}{R_2} d_r (\pi F)^{1.5} v^{-0.5} (\cos(2\pi Ft) - \sin(2\pi Ft))$$
 Eq. 35

1502 With $G(t) = \cos(2\pi Ft) - \sin(2\pi Ft)$, wall shear stress represented as Eq. 35, 1503 where d_r was the membrane displacement at radius r, R_2 was the outer radius of the 1504 membrane, h was the gap between the disks, t was the operating times, F was oscillation 1505 frequency. The maximum shear rate occurred at the periphery ($r=R_2$), which generated 1506 the maximum displacement *d*, and could be calculated by Eq. 36:

$$\gamma_{w max} = 2^{0.5} d(\pi F)^{1.5} v^{-0.5}$$
 Eq. 36

1507 Moreover, the mean value of the shear rate can be defined by computing the absolute 1508 value over a specific area. For example, the annular limited by inner radius R_1 and outer 1509 radius R_2 , in this case, showed as Eq. 37.

For the VSEP system [126], the result showed that the maximum and mean shear rates at the membrane was 112000 and 37000 s^{-1} , respectively, with water at 20°C. These expressions were also used to estimate the maximum shear rates with Eq. 36 [92] and calculate the mean shear rate with Eq. 37 [59, 91] in 45°C milk solution.

1514 Gomaa et al. [131] investigated a flat vertical vibrating membrane unit with 1515 turbulence promoters. They gave a surface shear rate expression in Eq. 38 and the 1516 maximum shear rate in Eq. 39.

$$\gamma(t) = \frac{Aw^{1.5}}{2v^{0.5}} \left(\cos \omega t + \frac{\pi}{4}\right)$$

$$Fq. 38$$

$$\gamma_{max} = \frac{Aw^{1.5}}{2v^{0.5}}$$

$$Eq. 39$$

As described in the oscillating rectangular membrane, AVM [215] stands as a typical system; the membrane moves horizontally in a direction parallel to them. The local shear rate is evenly distributed on the membrane surface, could be expressed by Eq. 40:

$$\gamma_w(t) = d(\pi F)^{1.5} v^{-0.5} (\cos(2\pi Ft) - \sin(2\pi Ft))$$
 Eq. 40

1520 Zhao et al. [215] found the shear decrease with the increment of distance to the 1521 membrane. At 10 Hz frequency and 1 cm amplitude, shear rate induced by the oscillation 1522 membrane could be ignored and decreased from 4000 to 0 s⁻¹ at the distance of about 1 1523 mm.

1524 Another similar device described by Su et al. [47] achieved the oscillation frequency 1525 up to 50 Hz, but with a lower amplitude (1.2 mm) in the desalination of simulated 1526 seawater. The membrane boundary shear rate was more than 6000 s⁻¹ in this condition 1527 (Re=344).

1528 Hollow fibre membranes are often applied in the oscillating system. The shear rate in 1529 this membrane module was calculated by Beier et al. [129]. They showed that the shear 1530 rates (Eq. 41) at the membrane surface should be:

$$\gamma_s = v_0 \sqrt{\frac{\omega}{2v}} [\sin(\omega t) - \cos(\omega t)] \qquad \qquad Eq. \ 41$$

1531 where ω was angular velocity ($\omega = 2\pi f$), v_0 was the amplitude of velocity ($v_0 = A\omega$, A was 1532 the peak-to-peak amplitude), t was time.

1533 Based on this expression, a time mean average of shear rate was computed as Eq. 42. 1534 At the frequency of 30 Hz and amplitude of 1.175 mm, it could reach up to 1535 approximately 2000 s⁻¹ with the permeate flowrate of 68 L/(m^2 h).

$$\bar{\gamma}_{s} = \frac{\sum_{i=0}^{1000} |\gamma_{s}(t = i/1000)|}{1000} \qquad Eq. 42$$

$$\frac{\gamma_{max1}}{\gamma_{max2}} = \frac{d_{1}}{d_{2}} \left(\frac{\omega_{1}}{\omega_{2}}\right)^{1.5} \qquad Eq. 43$$

The maximum shear rate of these kinds of systems was compared as following Eq. 43. The shear rate for VSEP (frequency 60 Hz, amplitude 30 mm) is 28-fold higher than the hollow membrane system (30 Hz, 3 mm) [20]. It is 33-fold higher than VERO system (50 Hz, 1.2 mm). But what we also need to take into consideration is the distribution of shear rate at the membrane surface. The shear rate in VSEP increases with a higher radius of the disk membrane, while the other two modules are uniformly distributed at the membrane surface.

1543

4.2.2.3 Oscillating and vibrating systems

Except for oscillating parallel to the HF membrane, other forms of movement applied with HF membrane would be classified into Oscillating and vibrating, where the motion is perpendicular to the fibre axis [132] or with a defined angle [225]. The shear force is cylindrically asymmetric around the fibre, and using a simple equation to describe it is not available.

- 1550 **4.3 Local approaches**
- 1551

4.3.1 Local velocity and shear stress

In DF system, the complexity of the flow field is of course expected to improve 1552 1553 filtration performances. It is promoted by different phenomena related to the specific geometrical configuration and the associated moving walls. Indeed, the flow pattern is 1554 1555 not only time dependant but also highly influenced by the different length scales that exist in the system. Moreover, the rheological behaviour of the fluid that can be Non-1556 1557 Newtonian in some applications may also affect the flow field. Global and semi-local 1558 approaches to get quantitative information on velocity, pressure and shear stress show 1559 their limitation to reveal what really happens in the system.

From an experimental point of view, recent optical measurement/visualization techniques are becoming more and more popular for accurate and reliable local measurements, resulting in the velocity field, concentration field, temperature field, etc.

15634.3.1.1Particle Image Velocimetry (PIV)/ Particle Tracking1564Velocimetry (PTV)

1565 PIV allows the estimation of the velocity field by the average displacement of multiple small tracer particles in a given interval Δt . In comparison, PTV is developed in 1566 1567 the case of low seeding densities to calculate the particle velocity by measuring the optical track length of the particle under a specific exposure time [246, 247]. Typically, 1568 1569 the tested device needs to have a good light transmission to ensure a better acquisition of 1570 particle images by laser light scattering. Trace particles seeded in the flow are assumed to move with the fluid without any velocity lag, fluorescent, polystyrene, silver-coated 1571 1572 particle, or other reflective particles are used [247]. Li et al. [71] seeded 0.1 g/L particles

with a diameter of 20 μm in the oscillating system to establish a velocity vector map; the
data acquisition system was performed at 6Hz. In rotating system, Xie et al. [195, 196]
investigated the velocity fields in radial and vertical profiles with BREOX solution. They
found that velocity is highly organized and stable within the filtration cell and is mainly
governed by the impeller shape.

1578

4.3.1.2 Molecular Tagging Velocimetry (MTV)

1579 MTV determine the velocity field in fluid flow by tagging specific molecular instead 1580 of macroscopic particles as in PIV, and tracking its displacement by imaging twice. There 1581 are three optical ways to visualize these tagged molecules: fluorescence, phosphorescence 1582 and laser-induced fluorescence [248]. This technology is achieved on a molecular basis to 1583 avoid the non-uniformed seeding and the impact of the suspended particle on the fluid 1584 itself. Simultaneously, the capital cost would increase sharply for the complex 1585 experimental system [249].

1586

4.3.1.3 Laser Doppler Velocimetry (LDV)

1587 LDV is also known as Laser Doppler Anemometry (LDA), is one of the techniques 1588 of using the Doppler shift in a laser beam to measure the velocity in transparent or semi-1589 transparent fluid, or the linear or vibratory motion of opaque, reflecting, surfaces. The 1590 measurement with LDA is absolute, linear with speed, and requires no pre-calibration 1591 [250-252]. But the drawbacks are the high precise optical arrangement and bothersome 1592 signal processing [247]. Bentzen et al. [183] measured tangential velocity with LDA 1593 system at the rotating speed between 50 and 350 rpm. Wall shear stress in 15 locations 1594 was achieved and indicated a good agreement with CFD simulation.

1595

4.3.1.4 Electrochemical method

1596 Electrochemical method is based on the redox reaction of the electrolyte 1597 combination. The magnitude of the current was measured and derived from calculating 1598 the shear stress from the theoretical relationship. For most of these solutions, ferried and 1599 ferrocyanide is used as oxidizing and reducing ions, respectively [253]. A reaction is 1600 conducted at high enough voltages to reduce the concentration of the reacting species to 1601 zero at the surface of the working electrode. Therefore, the reaction rate is controlled by the speed of mass transfer, which is directly related to the local shear stress. What should 1602 1603 be concerned are the non-uniform flow across the probe and the response of the 1604 instrument to fluctuations in the velocity field [254, 255].

1605

1606 **4.4 Computational Fluid Dynamics (CFD)**

1607 As an alternative to the experimental studies, Computational fluid dynamics can be used to solve mass and momentum balances governing equations for fluid flow, the so-1608 called Navier-Stokes equations, to determine the velocity and pressure fields in the 1609 1610 geometrical domain. It is of great use to replenish our knowledge about increasing the filtration performances without further experimental verification. Although attractive, this 1611 1612 theoretical approach is often complicated to implement in particular to take into account 1613 the mobile walls of the domain and the turbulent flow regime, especially in the complex-1614 geometries modules. Moreover, a proper calculation mesh should adapt to the geometry

1615 and the numerical method, according to the specific problem [256-258]. In laminar flow, 1616 a relatively lower mixing rate of 2 Hz in RVF module, CFD simulation was in good agreement with PIV regarding velocity fields and profile [195]. The simulated shear 1617 1618 stress distributions indicated that the rotating speed and disk structure are the main factors affecting shear stress on the membrane surface [84, 115]. For the requirement of uniform 1619 surface shear stress, CFD simulation was used to optimize the design of rotor in rotating 1620 system, which permits the same mean shear stress with relatively low rotation speed 1621 1622 [186]. 1623

1624 **5. DISCUSSION**

1625 Dynamic filtration permits to reduce fouling and concentration polarization thanks to 1626 high local shear stress generated by rotating, oscillating or vibrating movements. Various 1627 systems have been described and investigated, but no instruction or procedure helps 1628 engineers select a suitable DF module.

1629 As for conventional filtration, engineers should previously define the specifications 1630 including objectives (separation, concentration), expected of the application, performances and operating limitations. Capital expenditure (CAPEX) and operational 1631 1632 expenditure (OPEX) associated with DF modules should be evaluated in the business 1633 plan. For CAPEX (equipment, land, construction, etc.), production capacity and scaling 1634 should be known. For OPEX, the main cost will be associated with DF energy demand. 1635 In this last situation, several approaches can be proposed to estimate total power 1636 consumption.

1637

1638 **5.1 Energy demand associated with DF module**

1639 Compared with cross-flow filtration, dynamic filtration shows great performances in 1640 fouling control with higher critical flux, which leads to longer filtration run between 1641 cleaning steps. However, the power consumption is much more delicate to estimate in DF 1642 modules than the conventional one (DEF, CFF).

1643 The total power P_T in the basic filtration unit includes mechanical power P_M and 1644 pumping power P_P (Eq. 44). Energy consumption for the mixing, oscillating or vibrating movements is usually much higher than pumping energy. The driving force for filtration 1645 1646 (TMP) is highly dependent on the filtration type: MF (0.2 to 3 bar), UF (1 to 10 bar), NF 1647 (10 to 40 bar) and RO (10 to 100 bar). Its magnitude for filtration will affect pumping 1648 powers in different ways for each filtration mode present in Fig. 11. Two conventional 1649 filtration modes [259] are considered: single-pass continuous filtration and continuous 1650 filtration with partial retentate recycle (Feed & Bleed), as illustrated in Fig. 11.

$$P_T = P_M + P_P$$

Eq. 44



1651

1652 Fig. 11 Filtration modes, (a). Single-pass continuous filtration (b). Continuous 1653 filtration with partial retentate recycle (Feed & Bleed)

1654 **5.1.1 Mechanical power**

1655 Considering a DF module, the mechanical power consumption can be estimated by 1656 generated movement (mixing, oscillating, vibrating) and the flow through the module. 1657 Therefore, three strategies are described: i) the global approach (power consumption 1658 curves, friction curves), ii) semi-local empirical correlation estimating the mean shear 1659 stress and iii) local measurements (or simulation) of the velocity field.

1660 For global approach, power consumption curves and friction curves (friction factor or Euler) can be determined for each module, as reported by Fillaudeau et al. [193] for 1661 1662 RVF lab-scale module. With mixing Reynolds number ranging from 10 to 10^6 , semi-1663 empirical dimensionless correlations were established. The power number was calculated with the global electrical power of the motor, and the mechanical power was estimated by 1664 1665 subtracting consumed power without charge. They demonstrated that the power 1666 consumption curve was independent of the flowrate. On the opposite, the friction curve was strongly affected by mixing conditions and a semi-empirical correlation was 1667 1668 established to take it into account.

1669 For semi-local approach, the mechanical power could be estimated by the local shear stress, which has been presented in §4.2. In RDM module (rotating system, type 5), the 1670 1671 mechanical power P_M developed by friction force was given in Eq. 45 [127]. τ_d and τ_{db} are the shear stress on two sides of the disk (b: smooth backside); k_d and k_{db} are the core 1672 1673 velocity coefficients. Mechanical power was found to vary linearly with electric power 1674 (efficiency close to 0.39 for disks with vanes and 0.63 for smooth disk). Using Murkes 1675 and Carlson's equation (Table. 10, Eq. 27), the turbulent shear stress was estimated. It implied that electrical power varied as $\omega^{14/5}$ [127], which was verified by Brou et al. 1676 1677 [135]. In SBM module [50] (rotating system, type 2), with a different configuration, it 1678 was reported that electrical power was proportional to ω . In laboratory oscillating (type 11, [133]) and/or vibrating (type 15, [132]) systems, time average values should be taken 1679

1680 into account over a period for different frequencies, as seen in Eq. 46. The function f(t)1681 represents the force balance with drag force, gravity, buoyancy and viscous force 1682 according to the motion; v(t) is the local velocity in the time domain. It gives the 1683 maximum value of $2\pi FA$.

$$P_{M} = 2\pi \int_{0}^{\pi} (\tau_{d} + \tau_{db}) r^{2} \omega d_{r} = 0.0779 \rho v^{1/5} \omega^{14/5} R^{23/5} (k_{d}^{9/5} + k_{db}^{9/5}) \qquad Eq. 45$$

$$\overline{P_{M}} = \frac{1}{T} \int_{0}^{T} f(t) v(t) d_{t} \qquad Eq. 46$$

For local approach, Xie [260] has investigated the local shear stress with PIV measurements at the static walls in RVF module (rotating system, type 6). Considering the angular momentum balance, the torque along the rotor is equal to the torque on the surrounded housing. The corresponding mechanical power was lower than those estimated with the power consumption curve, due to the non-uniform distribution of torque on the lateral/external walls. The contribution of periodic motions and turbulence needs to be considered.

5.1.2 Pumping power

1692 In industrial applications, the pumping power is represented by the electric 1693 consumption (Eq. 47) by knowing current (U) and tension (I). Mechanical power can also 1694 be estimated from the pressure difference between inlet and outlet and the flow rate. In 1695 both filtration modes (Fig. 11), the contribution of pumping will significantly differ.

$$P_P = UI Eq. 47$$

1696 For single-pass continuous filtration, the power is calculated from feeding flowrate 1697 (Q_f) and pressure difference with Eq. 48. It is equivalent to the pressure differences 1698 associated with permeate and retentate flowrate. Pin, Pout and Po represent the inlet/outlet pressure of DF module and the atmospheric pressure; Q_r and Q_p are the retentate and 1699 1700 permeate flowrate. By neglecting the pressure drop in the DF module, it could be assumed that $(P_{out} - P_0) \approx \Delta P$. In NF and RO where TMP is maintained at high 1701 1702 pressure (>10 bar), pumping power cannot be neglected. But these powers are still greatly 1703 reduced for the relatively lower feeding flowrate applied compared with conventional CF, 1704 which needs a high shear rate produced by high feeding flowrate. In DF modules, the 1705 uncoupling of flowrate and local shear rate is ensured by mechanical power. Feeding 1706 flowrate should satisfy permeate and retentate concentration and volume reduction ratio. 1707 Consequently, pumping power can be drastically reduced up to a negligible contribution.

$$P_P = Q_f (P_{in} - P_0) \approx Q_r (P_{out} - P_0) + Q_p \Delta P$$
Eq. 48

For Feed & Bleed mode, the pumping power is equal to the sum of feed and circulation powers associated with Q_f and Q_c , given in Eq. 49. In conventional filtration, this configuration enables to uncouple the feeding and circulation flowrate. Consequently, the pumping power can be significantly reduced for the high-pressure filtration process (NF, RO). With DF module, this configuration will be simplified by eliminating the circulation pump. Feed & Bleed mode will have a negligible interest except if a preconcentration of the fluid is used. The knowledge of the friction curves is required to estimate the pressure loss within the DF module [193]. However, the pumping power(feed) is expected to be lower than in a single-pass configuration.

$$P_{P} = Q_{f}(P_{out} - P_{0}) + Q_{c}(P_{in} - P_{out})$$
 Eq. 49

1717 Luo et al. [170] proposed a linear relationship between pumping power and TMP, 1718 within the range 0 to 40 bar, $P_{in}-P_0$ would approximate the transmembrane pressure in 1719 the open-loop when neglecting the pressure drop of DF. In the UF of PEG 6000 with 1720 SBM, the retentate flowrate was fixed at 10⁻⁴ m³/s, but the maximum permeate flowrate 1721 was 8×10^{-7} m³/s, which is negligible in comparison with the retentate flow [50]. These 1722 limited permeate flux would reduce the output of the feeding pump, especially in 1723 configuration (b) in Fig. 11.

1724

5.1.3 Specific energy demand

1725 Specific energy demand E (Eq. 50) is defined as the total energetic consumption per 1726 m³ of permeate, equal to the ratio between total power and permeate flowrate. The total 1727 power is supposed to be the sum of pumping and mechanical power previously discussed. 1728 But in most publications, the pumping power is negligible or a very low concern in MF 1729 and UF. It could be ignored compared with mechanical power as demonstrated in RDM 1730 module for mixing rate up to 2000 rpm [170].

$$E = \frac{P_T}{Q_f} \approx \frac{P_M}{JS\eta}$$
 Eq. 50

For laboratory or industrial DF devices, energy demand is rarely reported and resumed in Table. 11. Specific energy varies to a large extend from 0.29 to more than 1000 kWh/m³ due to the different configurations and scales. Other parameters such as disk design, fluid concentration and temperature also affect power consumption. Consequently, the comparison of DF modules is hardly reliable or feasible.

1736 *Table. 11 Specific energy demand in different modules*

Module	Fluid	Membrane	N (Hz, rpm)/ A (mm)	S (m ²)	J (L/m ⁻² h)	TMP (bar)	E (kWh/m ³)	Ref.
RDM	1:2 diluted skim milk	NF	2000 rpm	0.0176	350	40	170	[170]
RDM	1:2 diluted skim milk	NF	1000 rpm	0.0176	135	40	303	[170]
RDM	1:2 diluted skim milk	UF	2000 rpm	0.019	/	/	65	[178]
RDM	1:2 diluted skim milk	UF	2000 rpm	0.019	/	/	30	[178]
RDM	Baker yeast	MF	2000 rpm	0.019	200	/	15	[127]
MSD	CaCO ₃	MF	1930 rpm	0.06	/	3	1.7	[78]
MSD	CaCO ₃	MF	1930 rpm	0.033	/	3	2.9	[78]
VSEP	Skim milk	RO	60.2 Hz	100	55	40	1.63	[60]
VSEP	Skim milk	UF	60.4 Hz	151	56	/	1.05	[92]
OFSM	baker's yeast	MF	25 Hz/1.5 mm	0.006	500	0.6	0.3	[133]
SBM	PEG 6000	UF	400 rpm	0.0286	411	5.884	80	[50]
MMV	Activated sludge	MF	/	0.016	16	/	12.2	[210]
MMV	Activated sludge	MF	/	0.096	16	/	2.03	[210]
VHM	Yeast	MF	1.7 Hz	0.0057	46	/	0.29	[218]

VERO	Silica colloids and sodium chloride	RO	/	0.006 /	/	~10 ³	[55]
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1737 1738 For several rotating and oscillating DF modules (MSD, RDM, VSEP, VHFM), 1739 membrane type (NF, MF, UF with polymeric and ceramic membranes) and experimental 1740 fluids, Zsirai et al. [30] demonstrated that permeate steady-state flux increased as a power 1741 law of shear rate, $J = k\gamma^n$ with 0.186 < n < 1.560 and 0.00003 < k < 6.7. The empirical 1742 correlation of n = (1.98 - logk)/5.04 was fitting well except for the high viscosity 1743 liquid and non-uniform shear rate distributed in MSD. However, confusion remains 1744 between the mean and maximal shear rates being applied.

1745 In rotating RDM modules, since the maximum shear rate was proportional to $\omega^{9/5}$ 1746 (Eq. 27 and Eq. 28), and permeate flux increased as a power law of maximum shear rate, y_{max} with an exponent of 0.5-1 [19, 20, 90, 101, 127, 178, 261]. Then the specific energy 1747 1748 demand can be easily estimated knowing the mechanical power and the steady-state flux, indicating that E is proportional to $\omega^{1-1.9}$. In the concentration of skim milk with NF 1749 membrane, operating conditions at 2000 rpm and extreme TMP (40 bar) resulted in the 1750 1751 lowest mean specific energy demand around 170 kWh/m³. In comparison, it increased up 1752 to 303 kWh/m³ under 1000 rpm and 40 bar [170]. The same fluid was tested by Ding et al. 1753 [178] in UF, and specific energy demand increased from 30 kWh/m³ with smooth disk up 1754 to 65 kWh/m³ with disk equipped with vans. In MSD, a solution of 200 g/L CaCO₃ was filtered at 3 bar TMP. The maximum specific energy consumption was 2.9 kWh/m³ for 1755 the nylon membranes and 1.7 kWh/m³ for the ceramic ones [78]. 1756

1757 In oscillating VSEP (type 9) system, Frappart et al. [60] estimated the power 1758 consumed by the oscillation of module at 60.2 Hz (amplitude: 2.5 cm). Specific energy 1759 demand was evaluated at 1.63 kWh/m³ by assuming a permeate flux equal to 55 L/($m^2 \cdot h$). With defined operating conditions ($Q_p=8.42 \text{ m}^3/\text{h}$, $S=151 \text{ m}^2$, TMP=1500 kPa and 1760 1761 F=60.4 Hz), the power demand for the oscillation and pumping are 8.83 kW and 6.58 1762 kW, respectively. The estimated specific energy demand was below 1 kWh/m³ for 1763 oscillating [92]. Gomaa et al. [133] compared the specific energy consumption based on low and high-efficiency power recovery of 20% and 80% with the oscillating membrane 1764 (type 11), they were 0.3 kWh/m³ and 0.1 kWh/m³ at the highest frequency (25 Hz), 1765 respectively. In VERO module (type 10), equipped with a small membrane area of 0.006 1766 m^2 for desalination, the estimated specific energy demand was in the order of 10^3 1767 kWh/m³. 1768

1769 In oscillating and vibrating systems, Kola et al. [132] investigated the energy 1770 consumption in terms of productivity in a linear transverse vibration HF system (type 15) 1771 with the vibration frequency at 3.7 Hz and displacement of 2.5 mm for 0.04 μ m PVDF 1772 membrane. The specific energy consumption for oscillation and vibration was around 1773 0.0009 kWh/m³ at permeate flux of 30 L/(m²·h). It increased to 0.20 kWh/m³ at the same 1774 permeate flux for the highest frequency of 21.8 Hz and 2.5 mm displacement.

1775 In conclusion, specific energy demand can be estimated if information about power 1776 consumption and permeate flux are known. However, the different configuration and 1777 scale do not allow to compare absolute values between different modules.

1778

1779 **5.2 Specifications and decision tree for DF application**

1780 Dynamic filtration is a reliable alternative to dead-end and cross-flow filtrations as 1781 reported in the present documents. Their performances are associated with complex flow 1782 patterns generating high and fluctuating local shear rates and pressure at the membrane 1783 surface.

1784 Considering the 15 types of DF devices (corresponding to 55 known modules), the 1785 overarching aims propose to elaborate the main criteria helping engineers to select a DF 1786 module or to identify the missing information about DF module or fluid to be treated. The 1787 best choice for a given application will be driven by several basic questions:

- What is the limitation associated with the fluids?
- How to determine the operating conditions?
- What should we know about dynamic filtration equipment?

1791 A framework (Fig. 12) is proposed with criteria operating conditions and knowledge 1792 barriers in DF application, which would help to select a module with available 1793 information and identify the missing knowledge.



1794 1795

Fig. 12 Main criteria and knowledge gap in DF application

1796 Firstly, basic information about nature, composition, physico-chemical properties of 1797 the fluid to be treated (ex. rheological behaviour, density, temperature, pH, particle size 1798 distribution, concentration) and the objectives of the process (concentration, purification 1799 or separation), as well as the production capacity and mode (volume, time, batch, 1800 continuous...), should be known. This information will help to select the filtration type (MF, UF, NF or RO) and the membrane (cut-off and nature). Coupled with the limitation 1801 1802 of fluid and DF module, the operating conditions can be defined in terms of steady-state/ 1803 critical flux, TMP, frequency/ amplitude and volume reduction ratio.

In dynamic filtration module, the behaviour of experimental fluid under stringent
hydrodynamics conditions should be investigated (ex. sensitivity to shear rate magnitude,
to temperature increase, to mechanical degradation such as cell or particles...) before
process design and scaling (filtration area, power consumption, acceptable operating
conditions). Meanwhile, the knowledge of local hydrodynamics (velocity, shear or

1809 pressure field), including maximum, average and time-dependent values may enable to 1810 estimate performances as well as critical local operating conditions.

Finally, Table. 12 summarizes the empirical applicative and hydrodynamics data available for the 15 types of DF modules as discussed in **Part 3 and 4**. It leads to highlight the knowledge gap for future scientific research and industrial applications.

Table. 12 Resume of available data about hydrodynamics and applications with the
different type of DF module (n.a: not available)

	En	npirical o	lata	Hydrodynamics investigation									
	A / Po	application of the second s	on nces	(Global aj	pproach		Semi-local approach (membrane or rotor surface)			Local approach (filtration cell volume)		
Туре	Water treatment	Food processing	Bioprocess engineering	Dimensionless analysis	Dimensionless correlation	Pumping power	Mechanical power	Core velocity coefficient, k	$\bar{P}(x,y,z)$	$\gamma(\mathbf{x},\mathbf{y},\mathbf{z})$	$U(x,y,z,t)/\gamma(x,y,z,t)$	P(x,y,z,t)	CFD
1	Х	Х	Х	Х	Х	n.a.	n.a.	Х	Х	Х	n.a.	n.a.	Х
2	Х	n.a.	Х	Х	n.a	Х	Х	n.a	n.a	n.a	n.a.	n.a.	Х
3	Х	n.a.	Х	Х	Х	n.a	n.a	n.a	Х	Х	n.a.	n.a.	Х
4	Х	n.a.	n.a.	Х	n.a	n.a	n.a	n.a	n.a	n.a.	n.a.	n.a.	n.a.
5	Х	Х	Х	Х	n.a	Х	Х	Х	Х	Х	Х	n.a	Х
6	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	n.a	Х
7	Х	n.a.	n.a.	Х	n.a	n.a	n.a	n.a	Х	Х	n.a.	n.a.	n.a.
8	Х	Х	Х	Х	Х	n.a	n.a	n.a	Х	Х	n.a.	n.a.	n.a.
9	Х	Х	Х	Х	Х	n.a	n.a	n.a	n.a.	Х	n.a.	n.a.	Х
10	Х	n.a.	n.a.	Х	Х	n.a	n.a	n.a	n.a.	Х	n.a.	n.a.	Х
11	Х	n.a.	Х	Х	Х	Х	Х	n.a	n.a	Х	n.a.	n.a.	Х
12	Х	n.a.	n.a.	n.a.	n.a	n.a	n.a	n.a	n.a.	Х	n.a.	n.a.	n.a.
13	Х	n.a.	Х	Х	n.a	n.a	Х	n.a	n.a.	Х	Х	n.a.	n.a.
14	Х	n.a	n.a	n.a	n.a	n.a	Х	n.a	n.a	Х	n.a	n.a	Х
15	Х	Х	Х	Х	n.a	n.a	Х	n.a	n.a.	n.a.	n.a.	n.a.	х

1816 1817

1818 **6. CONCLUSION**

In present review, a bibliography synthesis about dynamic filtration (application, research items, trends and stakeholders) was reported. Almost 150 papers have been scrutinized, and a qualitative and quantitative analysis was conducted thanks to specific software (bibliometric methodology). It enables identifying the most important actors (or scientific clusters), as well as the evolution of "research hotspots" over the last 30 years. The application fields (empirical data) with different lab, pilot or industrial modules were exhaustively identified and discussed.

1826 The fouling control and flux enhancement have been well documented in the 1827 literature, which confirms the high potential of shear-enhanced dynamic filtration. The 1828 lab-scale modules are mainly applied to water treatment, food processing, and bioprocess 1829 engineering. In recent publications, microalgae filtration constitutes a promising research 1830 topic but is still limited to lab and pilot scales. In industrial modules, wastewater 1831 treatment and paper mill sludge are reported. However, it is assumed that industrial 1832 applications are confined to internal practices and trade secrets. The knowledge gap to 1833 expand and scale-up DF from lab to industry should be supplemented. A brake on DF's 1834 development appears to lie in their mechanical complexity. At present, the selection of DF device and operating conditions is driven by empirical trial-result strategy. The 1835 1836 general trend is to improve filtration performances through the control of local shear rate 1837 and pressure within the filtration cell and specifically at the membrane surface. In 1838 rotating systems, most of the research has focused on equipment improvements (MSD, 1839 SBM, CSAF) and alternative applications (microalgae, halogenated compounds, PAA-1840 Cd). In oscillating systems (except VSEP), the applications are poorly documented in 1841 comparison with the rotating systems. Fluid velocity, pressure and shear-stress fields are 1842 rarely investigated. The shear-rate intensity is determined by the oscillating frequency as 1843 well as amplitude. Thus, the fluctuation value of shear stress is correlated with the 1844 oscillating amplitude, frequency and rheological behaviour. In the last decade, several new oscillating devices such as VERO, USVM, MMV and AVM have emerged. The 1845 1846 frequency and amplitude of oscillation were controlled within a wide range from 5 to 60 1847 Hz and 1.2 mm to 40 mm, respectively, with a more flexible generation of filtration 1848 driving force (Transmembrane pressure) and fouling limitation (shear stress). Dynamic 1849 filtration shows great potential with concentrated and fouling fluids, which would be 1850 restrictive criteria in conventional cross-flow filtration. However, high shear-rates may 1851 impact some sensitive suspensions, such as biomolecules (cellulosic polymer, proteins), 1852 microorganisms (Prokaryotic and eukaryotic cells) and chemical molecules (metal 1853 complexes).

1854 Technical specifications of DF modules have been described. One major challenge 1855 was to identify all existing DF modules and to propose a rational classification. Geometric complexity put forward the need to characterize instantaneous and local 1856 1857 hydrodynamics up to macroscopic data such as specific power consumption. However, 1858 the characterization of fluid flow within dynamic filtration modules (within filtration cell, 1859 at membrane surface) appears as crucial but still partial and delicate information to access. 1860 Different scale of hydrodynamics investigations was described. According to empirical correlations, mean shear rate and transmembrane pressure can be estimated with respect 1861 1862 to the knowledge of core velocity coefficient and operating conditions. However, some

hydrodynamics in oscillating and/or vibrating systems needs further research. The instantaneous magnitudes of local shear-rate and pressure at the membrane surface are still not yet reported. How to realize accurate measurements stands as a challenge to be addressed. In addition, this approach could be extended to Non-Newtonian fluids, including viscoelastic and viscoplastic rheological behaviour. Finally, the main criteria to select dynamic filtration modules (critical conditions, performances, power) are introduced. Process engineers need technical synthesis to know, to evaluate in order to select DF devices and optimal operating conditions.

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