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Effects of packaging color on expected flavor, texture and liking of chocolate in Brazil and France

Iuri Baptista^{ab}*, Dominique Valentin^b, Erick Saldaña^c, Jorge Behrens^a

^aFaculdade de Engenharia de Alimentos, Universidade de Campinas, Rua Monteiro Lobato, 80, 13083-862 Campinas, Brazil ^bCentre des Sciences du Goût et de l'Alimentation, AgroSup, INRAE, 17, Rue Sully, 21000 Dijon, France ^cFacultad de Ingeniería Agroindustrial, Universidad Nacional de Moquegua, Calle Ancash s/n, 18001 Moquegua, Peru

*Corresponding author, i211255@dac.unicamp.br, Rua Monteiro Lobato, 80, 13083-862 Campinas, Brazil.

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4 Highlights

- 5 Black packaging made consumers expect chocolates to be more bitter.
- 6 Pink and yellow packaging made consumers expect chocolates to be sweeter.
- 7 The effect of a packaging color on expected liking depended on chocolate type.
- 8 Warm and cold classification of colors did not explain the effects of packaging color.
- 9 The effects of packaging color were not significantly different between cultures.
- 10

11 Abstract

12 Recent research has shown that the colors of plateware, glassware, cups, packaging, 13 and even the room and its lighting are able to influence consumers' preferences, expectations 14 and perceptions of taste, flavor and texture of food and beverages. This study contributes to 15 the subject by investigating how packaging colors affected the expectations of sweetness, bitterness, fruitiness, melting, and liking for chocolates in Brazil and France. Two groups of 16 17 210 consumers (N = 420) from each country evaluated samples of milk and dark chocolate 18 packaged in seven colors: black, blue, brown, green, red, pink and yellow. Analyses of 19 variance (ANOVA) indicated that there were multiple significant effects of packaging color 20 on consumers' expectations. Multiple factor analysis (MFA) showed that expected sweetness, fruitiness and liking were correlated to each other and inversely correlated to expected 21 22 bitterness. While milk and dark chocolate were expected the least sweet and the most bitter 23 when in black packaging, they were expected the sweetest and the least bitter when in yellow or pink packaging. Interestingly, the same black packaging made the milk chocolate the best 24 25 rated and the dark chocolate the worst rated on expected liking, showing that a color may 26 have inverse effects on expected liking depending on the type of chocolate. Although French 27 consumers eat more chocolate and with higher cocoa content than Brazilians, the effects of 28 packaging color were not significantly different between cultures. 29

30 Keywords

31 32 Consumer perception; Cross-cultural; Visual cues; Packaging; Color; Chocolate.

33 1. Introduction

34 Research has shown that not only the color of the product itself, but also the colors of 35 the tableware, packaging, and environment can influence expectations and perception of a 36 food or a drink (Spence, 2018). According to the predictive coding theory, this happens because when consumers see a product, such as a bar of chocolate, their brains immediately 37 38 search for cues that match previous experiences and try to anticipate what it is and what are 39 its characteristics (Piqueras-Fizsman & Spence, 2015). This process creates expectations that have been shown to affect behavioral response, sensory perception, and neural activation (Litt 40 41 & Shiv, 2012; Okamoto & Dan, 2013), making the subject fundamental for food industries 42 and food services interested in building a complete experience for their consumers. 43 It is argued that color is the most distinctive visual cue in a packaging (Singh, 2006),

44 but its effects depend on many factors such as the level of attention (Brignell et al., 2009), the

- 45 frequency of consumption of the product (Lick et al., 2017), the cultural context (Piqueras-
- 46 Fiszman et al., 2012), the consumers' sensitivity to design (Becker et al., 2011), and the
- 47 product itself (Zellner et al, 2018). A recent review (Spence and Velasco, 2019) summarized
- 48 the multiple roles of packaging color on consumers' expectation and perception of identity,
- 49 taste, flavor, fragrance, healthiness, and willingness to pay. After the review, new
- 50 contributions on the effects of packaging color reported that it may influence the emotions
- 51 evoked by a burger (Merlo et al., 2018), its inside color (i.e., the side in contact with the
- product) may influence the desirability of yogurt (van Esch et al., 2019), and the color of the
 label may influence the expected taste of specialty coffee (de Sousa et al., 2020).
- 54 Specifically on the subject of this study, several significant effects of packaging color 55 were reported on expected flavor, somatosensory sensations, and liking of food. Studies 56 commonly associated these effects with the warm and cold classifications of colors. The first 57 group includes colors of long length waves, like red, pink, and yellow; the second includes 58 colors of short length waves, like blue, purple and green (Huang & Lu, 2015). First, Deliza 59 and MacFie (2001) showed that an orange box made consumers expect passion fruit juice to 60 be sweeter and less sharp, refreshing, and liked than a white box. Then, it was found that a 61 dairy dessert in a yellow packaging was expected to be sweeter, creamier, and more liked than the same dessert in a black packaging (Ares & Deliza, 2010). Rebollar et al. (2012) 62 63 reported that chewing gums in warm-colored packaging were expected to be sweeter, fruitier, 64 and sourer than in cold colors or scale of grey; and Huang and Lu (2015) reported that cereal, ice cream, iced tea, and yogurt in red packaging were expected to be sweeter than in green or 65 66 blue. Tijssen et al. (2017) found that a dairy drink in a red box was expected sweeter, 67 creamier, more flavorful and liked than in a purple or a blue box; and that a sausage in a red box was expected to be saltier than in a green or blue box. Comparing juice, sorbet, and gums 68 69 in red and yellow packaging, Matthews et al. (2019) showed significant effects on sourness 70 and sweetness expectations. Finally, Rosa et al. (2019) reported that red-to-yellow, blue-to-71 green, and greyscale packaging influenced consumers' expectations for cookies tastes and 72 liking in multiple directions.
- 73 Thus, besides protecting physically, chemically, and microbiologically a food product, packaging is a communication tool that draws attention, expresses identity, induces appetite, 74 75 intensifies emotions, and conveys messages about the products' attributes (Velasco & 76 Spence, 2019). Its potential to communicate might be particularly relevant for the 7.3 million 77 tons of chocolate consumed globally (Conway, 2018), since they are usually eaten straight 78 from the packaging and since there is a wide range of attributes that could be interesting to 79 communicate: aromas (floral, fruity, nutty), tastes (bitterness, sweetness, sourness), oral 80 sensations (snap, melting, silky), types (white, milk, dark), categories (confectionary, 81 compound, bean-to-bar), varietals (forastero, criollo, trinitario), and countries of origin 82 (Colombia, Madagascar, Ivory Coast) (Beckett, 1994).
- 83 There are very few studies on the effects of packaging color on the expectations of 84 chocolate taste, flavor, texture, and liking. A master thesis (van Lith, 2015) showed that a 85 chocolate in red packaging was expected to be significantly healthier than in yellow 86 packaging and a chocolate in dark brown was expected to be significantly more natural than 87 in yellow or pink, but significant effects were not found regarding attractiveness or tastiness. 88 Another research (Kovač et al., 2019) reported that strawberry-flavored chocolate was

89 significantly more attractive when presented in vivid pink packaging than in a less saturated 90 color. As these two studies did not tackle the effect of packaging color on tastes and texture 91 of chocolate, further research could help pastry chefs, chocolatiers, designers, artisans, and 92 industries to choose colors to their products' background, either it is a plate, a packaging or a 93 shop window.

94 The purpose of this study was to evaluate the effects of seven different colors of packaging on expected sweetness, bitterness, fruitiness, melting, and liking of milk and dark 95 chocolates. As color and taste associations were shown to vary among cultures (Wan et al., 96 97 2014), the questionnaire was simultaneously answered by a group of Brazilian and a group of 98 French consumers. There are also expressive differences in the chocolate consumption 99 between the two countries, although chocolate is commonly considered the most desired food 100 in all the Western world (Richard et al., 2017). While the French eat 7.3 kg of chocolate per capita/year, with dark chocolate representing 30% of consumption among adults (Cohen, 101

102 2018), Brazilians eat 2.8 kg and only 3% of it is dark chocolate (Sebrae, 2018).

103 Based on the framework described above, the hypotheses were:

H1: the packaging color affects the expectations of sweetness, bitterness, fruitiness,melting, and liking of chocolate;

H2: Cold-colored packaging increase the expected bitterness (H2a), warm-colored
 packaging increase expected sweetness (H2b) and fruitiness (H2c);

108 H3: packaging colors differently affect expectations for milk and dark chocolates;

- H4: packaging colors differently affect expectations of Brazilian and Frenchconsumers.
- 111

112 2. Methods

113

114 *2.1 Stimuli*

115 The stimuli (Figure 1) were pictures (958 x 958 pixels) of Lindt (Lindt & Sprüngli AG, Kilchberg, Switzerland) milk chocolate (min. 30% cocoa) and dark chocolate (min. 70% 116 cocoa) in black, blue, brown, green, red, pink and yellow packaging. The packaging were 117 folded rectangular (10.5 x 4 cm) pieces of Maldor's 130 g/m² colored paper (Clairefontaine, 118 Etival Clairefontaine, France). The images are photographs, all taken in less than 5 minutes 119 with a Galaxy S7 (Samsung, Manaus, Brazil) in an open space with direct sunlight. They 120 121 were cropped, resized and had their contrast increased by 30% on Photoshop CS6 (Adobe, San José, CA, USA). 122

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Figure 1. Dark chocolate (above) and milk chocolate (below) in green, red, pink, yellow,blue, black and brown packaging.

128 2.2 Participants

129 An email inviting chocolate consumers to participate in the research was sent to 130 students and staff from AgroSup Dijon and the School of Biology, Earth and Environment Sciences of the University of Burgundy in France, and from the University of Campinas' 131 132 School of Food Engineering and School of Agricultural Engineering in Brazil. They were informed that they were going to "answer a questionnaire about four chocolates" and nothing 133 134 else on the subject or aim of the study. Together with the invitation, they received a link that 135 directed to an online survey designed and hosted on Compusense Cloud (Compusense, Guelph, Canada). Before starting the questionnaires, respondents read and were given the 136 choice to accept or decline an informed consent form. This study was approved by the 137 138 University of Campinas' Ethic in Research Committee (protocol 20489019.4.0000.5404).

139 Using their own devices (i.e., smartphones, tablets or computers), 445 chocolate 140 consumers in France and 245 in Brazil completed the questionnaire during the first two 141 weeks of March 2020. From them, 32 in France and 27 in Brazil were not included in the 142 study for being underage, not being French or Brazilian, or giving an invalid answer to any of 143 the questions. The first 210 valid respondents from Brazilian group were selected. This N was chosen so the maximum of data was used and the number of evaluations for each sample was 144 balanced. Then, French participants were also selected in "first in, first included" criterium to 145 146 match the Brazilian group in number (210), age range (18-60 y.o.), gender distribution (146 147 female), and mean age (26.40±8.32 y.o. in France, 26.40±6.69 y.o. in Brazil). This screening process was performed to obtain comparable groups across cultures (Ares, 2018). 148

149

150 *2.3 Data collection*

151 If respondents accepted to participate in the study, one of the samples from Figure 1 152 was shown without any other information on the purpose of the study or on the sample brand, cocoa content, packaging color etc. Then, participants were asked to rate their expectation of 153 154 sweetness, bitterness, fruitiness and melting using a 9-point intensity scale (1 = not at all, 9 =155 extremely) and expected liking using a 9-point hedonic scale (1 = extremely dislike, 9 =extremely like). The questions were "How sweet/bitter/melting/fruity do you think this 156 157 chocolate is?" and "How much do you think you would like this chocolate?". All communication and questionnaires were in Portuguese in Brazil and in French in France, the 158

translation to French was independently checked by three native speakers familiar withsensory analysis of food, but no equivalency test was performed.

Each participant monadically evaluated two samples of dark chocolate and two of 161 162 milk chocolate, therefore, four of the14 different samples. The balanced incomplete block was a Williams design provided by Compusense with 98 sets created by modifying a 14 x 14 163 164 Latin Square (Williams, 1949). The presentation of the chocolate types and the order of appearance of the attributes were balanced between participants. After evaluating the 165 samples, they were asked about what type of chocolate they usually eat ("white", "milk", 166 167 "dark > 60% cacao", and/or "dark < 60%"), with which frequency ("once a month", "2 to 4 168 times per month", "2 to 6 times per week", or "everyday"), which are their nationality (open 169 answer), gender ("masculine", "feminine", or "other"), and age (open answer).

170

171 2.4 Statistical analysis

172 Three-way mixed design analyses of variance (ANOVA) (model GLM, SAS Institute, 173 Cary, USA) at 5% significance level were performed separately for each attribute, so Holm-174 Bonferroni was used to correct for multiple comparisons. ANOVA was performed on least 175 square (LS) means to compensate for the incomplete block, with block as random factor because of the subjective nature of human behaviour, with country as between-subject fixed 176 177 factor because each group has a different nationality and it was a controlled factor, and with 178 sample as within-subject fixed factor because participants had different samples and it was 179 also a controlled factor. When the sample effect was significant, Tukey-Kramer's test was 180 used for pairwise comparison, also corrected by Holm-Bonferroni. Further contrast analysis 181 opposing dark and milk chocolate samples was performed using Excel 2010 (Microsoft, Remond, USA). Multiple factor analysis (MFA) was then performed on the samples by 182 183 attributes by country matrix to provide a synthetic view of the data. MFA was followed by 184 hierarchical cluster analysis (HCA) using the Ward's agglomeration criterion on the first two 185 dimensions of the MFA. Both MFA and HCA were performed using FactoMineR (Lê et al., 186 2008) for R (R Core Team, 2020).

187

188 **3. Results**

189 3.1 Participants

190 Type of chocolate consumed and frequency of consumption were both significantly different ($\gamma^2 = 27.85$, df = 3, p < .01 and $\gamma^2 = 10.48$, df = 3, p = .02, respectively) between the 191 two groups. As shown in Table 1, more French participants reported eating dark chocolate (> 192 60% cocoa) and more Brazilians reported eating white, milk, and dark (< 60% cocoa) 193 194 chocolates. Also, while French participants are well distributed between all frequencies of 195 consumption (1/month to everyday), most Brazilians eat chocolate on intermediary 196 frequencies (2-4/month and 1-6/week). Furthermore, the French showed more specificity regarding the type of chocolate eaten (1.79 versus 2.05 types of chocolate per person, $\chi^2 =$ 197 198 9.67, df = 3, p = .03). 199

- 200
- 201

Table 1. Chocolate consumption profiles of the Brazilian (N = 210) and French (N = 210)
 groups, in percentage.

		Brazil %	France %	
Туре	White	31.90	20.00	
	Milk	67.62	60.95	
	Dark (<60%)	66.19	49.52	
	Dark (>60%)	39.05	49.05	
	1/month	8.10	15.24	
Fraguanay	2-4/month	39.52	28.10	
Frequency	2-6/week	44.76	33.81	
	Everyday	7.62	22.86	

204

205 *3.2 ANOVA*

The performed analyses of variance (Table 2) showed that significant main effects of country were found for all attributes but sweetness (means 5.08 ± 1.94 vs. 4.98 ± 1.85 , in Brazilian and French groups, respectively). Brazilian participants expected the chocolates to be fruitier (5.20 ± 1.85 vs. 4.73 ± 1.94), more bitter (5.02 ± 1.99 vs. 4.47 ± 2.34), melting (4.07 ± 0.51 vs. 3.65 ± 0.28), and liked (6.45 ± 0.42 vs. 6.04 ± 0.43) than French. ANOVA also revealed significant main effects of sample for all descriptors, but no significant sample by country interaction was found.

213 The mean ratings given by participants to the milk and dark chocolate samples in 214 different packaging colors are shown in Table 3. Chocolate packaged in pink and yellow 215 were generally expected to be sweeter, less bitter, more melting, and fruitier. On the other 216 hand, black packaging increased bitterness ratings and lowered sweetness, fruitness, and 217 melting ratings. Scores for red, blue, and green samples were rarely significantly different 218 from other samples. Brown packaging had the greatest number of significant differences, 219 being rated as the sweetest, the least bitter, and most melting and liked among dark 220 chocolates, as well as the most bitter and the least sweet among milk chocolates. 221 Black, blue, and green packaging had the lowest liking ratings among dark and the

highest ratings among milk chocolates, while the opposite happened to pink and yellow, that
is, they received the lowest ratings among milk and the highest among dark. Regarding
fruitiness, the dark chocolate in pink and red packaging received the highest mean rates, they
were significantly different from milk chocolate in blue and black packaging.

226

Table 2. F values and p-values for each dependent variable from the three-way ANOVA with block, country and sample as factors. Significance of 5% after Holm-Bonferroni's correction for multiple comparison is p < .01.

	Swee	tness	Bitterne	SS	Fruitin	ess	Meltin	g	Liking	
Effects	F	р	F	р	F	p	F	p	F	р
Block	1.7	< .01	1.44	< .01	2.6	< .01	1.99	< .01	1.77	< .01
Country	0.83	.36	22.43	< .01	10.33	< .01	17.98	< .01	12.06	< .01
Sample	197	< .01	196.42	< .01	4.19	< .01	108	< .01	6.62	< .01
Sample* Country	1.3	.20	1.81	.04	0.74	.73	1.49	.11	.78	.68

230

231 Table 3. Least square means and standard deviation for sweetness, fruitiness, melting, and

232 liking of dark and milk chocolates in seven packaging colors (1 = not at all, 9 = extremely).

233 Values with the same letters in each column were not significantly different according to

234 Tukey-Kramer's test at 5% significance corrected by Holm-Bonferroni for multiple

235 comparison.

		Sweetness	Bitterness	Fruitiness	Melting	Liking
Dark	Black	2.27±1.33 ^e	7.77±1.49 ^a	3.49±2.43 ^{bc}	2.92±1.70 ^e	5.45±2.28 ^c
	Blue	2.94±1.64 ^{de}	7.07±1.96 ^{abc}	3.59±2.13 ^{bc}	3.12±1.64 ^{de}	5.68±2.02 ^{bc}
	Brown	4.11±1.69 ^c	5.89±1.85 ^d	3.53±2.15 ^{bc}	4.48±1.87 ^c	6.54 ± 1.74^{a}
	Green	2.88±1.62 ^{de}	7.27±1.73 ^{ab}	4.05 ± 2.22^{abc}	3.22±1.76 ^{de}	5.63±2.25 ^{bc}
	Pink	3.75±1.63 ^c	6.31±1.95 ^{cd}	4.62±2.21 ^a	3.79±1.15 ^{cd}	6.25±1.79 ^{abc}
	Red	3.33±1.68 ^{cd}	6.73 ± 1.90^{bcd}	4.40±2.26 ^{ab}	3.48±1.77 ^{de}	6.08 ± 1.78^{abc}
	Yellow	3.57±1.64 ^{cd}	6.31±1.90 ^{cd}	4.19±2.06 ^{abc}	3.70±1.89 ^{cde}	6.09±1.89 ^{abc}
Milk	Black	6.62±1.49 ^{ab}	3.06 ± 2.00^{efg}	3.41±1.92 ^c	6.32 ± 1.54^{ab}	6.80±1.45 ^a
	Blue	7.20±1.44 ^{ab}	2.21 ± 1.34^{fg}	3.44±1.99 ^c	6.50±1.74 ^{ab}	6.53 ± 1.70^{a}
	Brown	6.43±1.53 ^b	3.25±1.88 ^e	3.50±1.97 ^{bc}	6.42±1.70 ^{ab}	6.62±1.53 ^a
	Green	6.43±1.61 ^b	3.15±1.79 ^e	4.09 ± 2.17^{abc}	5.86±1.93 ^b	6.30±1.71 ^{ab}
	Pink	7.14±1.24 ^{ab}	2.17±1.39 ^g	4.08 ± 2.04^{abc}	6.71±1.73 ^{ab}	6.45 ± 1.54^{ab}
	Red	6.60±1.58 ^{ab}	3.00±1.77 ^{ef}	3.98±2.20 ^{abc}	6.29±1.86 ^{ab}	6.60±1.55 ^a
	Yellow	7.31±1.32 ^a	2.30±1.36 ^{fg}	3.82±2.22 ^{abc}	6.74±1.49 ^a	6.35 ± 1.70^{ab}

236

237 *3.3 Contrast analysis*

238 Further contrast analysis opposing dark and milk chocolate samples showed that type of chocolate had a greater significant influence on sweetness (F (1,1342) = 2,395.42, p < .01), 239 240 bitterness (F (1,1342) = 2,390.83, p < .01), and melting (F (1,1342) = 1,304.47, p < .01); and a smaller significant effect on fruitiness (F (1,1342) = 4.24, p < .05) and liking (F (1,1342) =241 242 44.77, p < .01). In addition to the contrast analysis, the greater standard deviations of the mean ratings (0.62 vs 0.38 in sweetness, 0.65 vs 0.48 in bitterness, 0.45 vs 0.30 in fruitiness, 243 0.52 vs 0.30 in melting, 0.39 vs 0.17 in liking) and the greater number of significant 244 245 differences between samples (27 vs 9 in total) indicate that packaging color affected more the 246 expectations for dark chocolate than for milk chocolate.

247

248 *3.4 MFA and HCA*

The correlation circle (Figure 2A) indicates small differences between the Brazilian and French groups. The first dimension explains 72.85% of the variance and is negatively correlated to bitterness (-.99 and -.99) and melting (.23 and -.32), while positively correlated to sweetness (.99 and .99), fruitiness (.99 and .99), and liking (.85 and .67) in Brazil and France, respectively. The second dimension is mostly correlated to melting (.93 and .90) and explains 17.43% of the variance so that the first two components of the MFA account for 90.28% of total variance.

256 The individual factor map (Figure 2B) shows a clear separation between the milk (right) and the dark chocolates (left) on the first dimension. As shown by Table 3, milk 257 258 chocolates were generally expected to be sweeter (means 6.82 ± 0.38 vs. 3.26 ± 0.62), less bitter 259 (2.73±0.48 vs. 6.76±0.65) and fruity (3.76±0,30 vs. 3.98±0.45), more melting (6.41±0.30 vs. 3.53 ± 0.52) and liked (6.52 ± 0.17 vs. 5.96 ± 0.39) than dark chocolate. The second dimension 260 shows both chocolates packaged in pink, red, yellow, and green (up) in opposition to blue, 261 262 black, and brown (down). Overall, the projections of each country for a same sample are 263 more distant vertically than horizontally, indicating that melting is an important attribute to discriminate the answers from the Brazilian and French groups. 264

HCA revealed three clusters, the first with all milk chocolate samples (left), the second with dark chocolate samples in pink, red, yellow and green packaging (right/up), and third with dark chocolate packaged in brown, blue and black (right/down). The first cluster is correlated with sweeter, fruitier, and more liked samples; the two others are correlated with more bitter samples. The difference between the second and the third clusters is on the second dimension, the former being positively correlated to melting and the latter negatively.



273

Figure 2. Multiple factor analysis: (A) Correlation circle of variables in Brazil and France;

275 (B) Individual factor map with HCA clusters.

276 4 Discussion

277 *4.1 Color*

278 The first hypothesis (H1) was confirmed, that is, packaging colors significantly 279 affected the expectations of sweetness, bitterness, fruitiness, melting and liking. A review of 280 Spence and Velasco (2018) showed significant effects of packaging colors on other food and 281 beverage products, but the only previous research on chocolate (van Lith, 2015) with 23 282 participants in Netherlands reported no significant effect of seven different colors of 283 packaging on tastiness and attractiveness. Reasons why the present study found significant 284 differences in liking, while the previous did not on tastiness and attractiveness, might be: the 285 colors used, a cultural difference, the lack of other information (i.e., logo, label, illustrative 286 picture) and/or just a greater number of participants.

287 As dark and milk chocolate were shown interleaved to make the aim of the study less 288 obvious to participants, the sample effect accounted for the influence of chocolate type and of 289 packaging color at the same time. All attributes were significantly affected by sample, but 290 sweetness, bitterness and melting were more strongly than fruitiness and liking. On one hand, 291 it is evident that type of chocolate was more relevant for sweetness, bitterness and melting, 292 because all milk chocolate samples were significantly different from all dark chocolate 293 samples in these attributes, regardless of packaging color. On the other hand, color was more 294 relevant for fruitiness than type of chocolate, because none of the samples were rated 295 significantly fruitier than the other type packed in the same color, while there were some 296 significant effects between samples with different colors. On liking, effects of type and 297 packaging color were dependent and can not be separated.

298 ANOVA revealed that black packaging is correlated to bitterness, while pink and yellow packaging are correlated to sweetness. Spence et al. (2015) indicated that the 299 300 associations black-bitter and pink-sweet are commonly reported in different cultures, but not 301 the yellow-sweet association, as this color is generally correlated to sourness. Yet, the 302 yellow-sweet association is somehow coherent with the findings that Uruguayan consumers 303 expected milk dessert in black packaging to be more bitter than in yellow or white (Ares & Deliza, 2010); that Spanish consumers expected chewing gum in grayscale (black to white) 304 packaging to be less sweet than in warm (red to yellow) or cool (blue and green) colors 305 306 (Rebollar et al., 2012); and that Brazilians expected and perceived two coffees as sweeter 307 when in a yellow cup (Carvalho & Spence, 2019).

308 Previous studies (Rebollar et al., 2012; Spence et al., 2015; Huang & Lu, 2015; 309 Tijssen et al., 2017) reported that red packaging consistently increases expectations of 310 sweetness, creaminess, and fruitiness in food products, but both chocolate samples in red 311 packaging in this study received intermediary rates. Red was only significantly different from 312 black on bitterness among dark chocolate and from pink on sweetness among milk chocolate. 313 The faible effect might be due to the brightness and/or saturation used in this experiment, but 314 van Lith (2015) also did not find significant effects for red packaging. Spence and Velasco's 315 (2018) argued that it could be due to the Dutch association between red packaging and dark 316 chocolate, the finding of the same result in France and Brazil indicates the lack of effect is 317 more general in Western cultures.

Brightness and/or saturation could also be relevant to understand why samples ingreen packaging were rated significantly more bitter than in brown, pink, and yellow among

- 320 dark chocolate, and blue and pink among milk chocolate. Two out of five studies reviewed by 321 Spence et al. (2015) reported an association between green and bitterness, while four reported 322 association with sourness. This ambivalence is not rarely explained by the prevalence of 323 limes or lemons in the participants' culture (Carvalho & Spence, 2019), but in this study 324 Brazilians distinctly associated green to bitterness. So, it is possible that the use of a brighter 325 or darker shade of green might also play a role in the ambiguity, as a same culture might 326 associate the first with the color of lime and the second with the color of dark green 327 vegetables like broccoli and spinach.
- 328 The dark chocolate in brown packaging received the most ambivalent ratings, being 329 the sweetest and the least bitter among dark chocolates, while it got the highest rating for 330 bitterness and the lowest for sweetness among milk chocolate. This might be due to a dual 331 effect of packaging color depending on chocolate type or, more likely, a miscalibration of the 332 lighting by the camera used in this study, as the dark chocolate seems slightly brighter in the 333 brown packaging than the other dark chocolate samples. This unbalanced lighting was 334 unnoticed by the researchers before the conclusion of the data collection. Other samples 335 might also have lighter differences, but these differences varied according to the device used 336 by the participant and are, at least in part, an effect of the contrast with the packaging color.
- 337 As the stimuli showed both chocolate and packaging, the samples were combinations 338 of two colors. It has been shown that the combination of two congruent colors can be more 339 strongly associated to a taste than the colors alone, especially when one is dominant and the 340 other is auxiliary (Woods & Spence, 2016; Woods et al., 2016). In the present study, stronger 341 effects of chocolate type indicate that the color of the chocolate was more important than the 342 color of the packaging, suggesting that the former was perceived as foreground and the latter 343 as background. That is understandable, since participants were asked to rate their 344 expectations for the chocolate, not for the packaging. Thus, the results in this study are the 345 effects of the combination of the packaging color with the color of chocolate and they might 346 not be replicable in packaging that do not show the chocolate by transparency or illustration.
- 347

348 4.2 Warm and cold colors

349 The hypothesis that cold colors would increase bitterness ratings (H2a) and warm-350 colored packaging would increase sweetness (H2b) and fruitiness (H2c) ratings was not confirmed. First, MFA separated almost perfectly between cold and warm colors, except for 351 352 both samples in green, that are together with pink, red and yellow on the upper part of the individual map (Figure 2B). Then, the milk chocolate in red packaging received much closer 353 354 ratings to black, blue, green, and brown than to pink and yellow in sweetness and bitterness, 355 being rated significantly more bitter than pink. In the other direction, the milk chocolate in 356 blue packaging, a cold color, was rated the second most sweet and the second less bitter, 357 being expected significantly less bitter than brown and green. Among dark chocolate the 358 distinction was stronger, as all warm colors were rated-although not always significantly-as 359 sweeter and less bitter than all cold colors, except brown, whose results are questionable.

Based on the cold and warm distinction, most studies compare and find significant
effects on expectation and perception of food and drinks in red and blue packaging (Rebollar
et al., 2012; Huang & Lu, 2015; Tijssen et al., 2017; van Esch et al., 2019; Rosa et al., 2019).
This study found no significant difference between these two packaging colors in any

attribute of any chocolate type. These unusual results might be particular to chocolate
packaging, to Brazilian and French cultures, or to the brightness and saturation used by this
experiment, but they resemble the results on single colors reported by Woods & Spence
(2016). Thus, researchers, industries, artisans, chefs, baristas, mixologists, packaging
designers, food bloggers and photographers might consider contrasting black to pink or
yellow, instead of red to blue when willing to influence the consumer's expectations.

370

371 *4.3 Chocolate type*

372 The third hypothesis (H3) was confirmed: packaging colors affected milk and dark 373 chocolate differently. The greater standard deviation of the mean ratings and the greater 374 number of significant differences indicate that packaging color affected more the 375 expectations for dark chocolate than for milk chocolate. HCA also evidences that, as dark chocolate samples were separated in two clusters, while the milk chocolate samples were all 376 377 grouped in one single cluster. This difference might be a consequence of a stronger and wider 378 flavor and texture profile found in chocolates with higher cocoa content, and/or of the adding 379 effect of shape and color congruency (de Sousa et al., 2020). As dark chocolate is related to 380 squared-shapes and milk chocolate to round-shapes (Ngo et al., 2011), results could be 381 different if the chocolate samples were rounded.

382 Regarding liking, packaging colors influenced the types of chocolate differently, even 383 in opposite directions. Table 2 shows that while the black packaging made the milk chocolate 384 the most liked of all samples, the same packaging made the dark chocolate the least liked. 385 The pink packaging had an opposite effect, making the dark chocolate the second most liked-386 only behind the one packed in brown, whose results are questionable as mentioned on the previous item-and the milk chocolate the second least liked among its type. Other colors, 387 except brown, had similar ambivalent effects, increasing liking in one of the types and 388 389 decreasing on the other.

390 If at first it would be intuitive and congruent to use dark packaging colors for dark 391 chocolates and light colors for milk chocolates, this study shows that contrasting the 392 chocolate type and the packaging color might make it more appealing for consumers. This might be an explanation for why some industries in Europe use red packaging for dark 393 394 chocolate, as mentioned before. Perhaps the incongruity between packaging color and chocolate type increases liking by making the product look more balanced, that is, "sweet 395 396 colors" make dark chocolate look not too bitter, and "bitter colors" make milk chocolate look 397 not too sweet.

398 This result does not necessarily mean that consumers individually prefer balanced 399 chocolates, but that the group preference converges on balanced chocolates, something 400 natural if we expect to have individuals with preferences normally distributed through all the 401 spectrum of bitterness to sweetness. Therefore, food industries and services that use visual 402 cues to sell their products to a broad public, such as chocolate bars in a supermarket or a 403 chocolate-based pastry on a shop window, could improve their overall expected liking by 404 using the right packaging or background color to the right type of chocolate. This resource 405 should be used with care, though, since great disconfirmation of expectation may lead to a 406 penalty on post-comsumption liking (Cardello & Sawyer, 1992). 407

408 *4.4 Cross-cultural effects*

409 The hypothesis that packaging color would affect Brazilian and French consumers differently (H4) was not confirmed. ANOVA combining the effects of country and sample 410 411 showed no significant difference between groups, indicating that packaging colors affected ratings in the same general way in both groups. This understanding is also supported by the 412 413 proximity between groups of the angles on the correlation circle (Figure 2A) and of the 414 projections on the individual map (Figure 2B). For practical applications, it evidences that no change in packaging or context colors might be necessary for exporting chocolate products or 415 416 offering a chocolate pastry dish in Brazil, France, and possibly many other Western cultures.

It is interesting to add that ANOVA found significant effects of country alone in all attributes except sweetness. These results show that the overall rating of bitterness, fruitiness, melting, and liking was different between groups, but not that packaging colors had different effects. For example, the Brazilian mean rating for bitterness of all samples was significantly higher than the French, probably a consequence of the smaller percentage of Brazilians that reported eating chocolate with more than 60% cocoa. As they are less familiar to bitter chocolates, they rated bitterness higher than the French.

424 In the other direction, it is unexpected that there was no significant difference for sweetness between countries with such different relationships with sugar, as Brazilian 425 426 desserts are known for being distinctly sweet and sugar being part of the cultural, economic 427 and political history of the country (Freyre, 2007). But the lack of difference does not necessarily indicate that they perceive sweetness equally, it only shows that both groups had 428 429 similar expectations of sweetness when seeing images of chocolate samples. What Brazilian 430 consumers would rate as a moderately sweet chocolate when tasted might still be too sweet 431 for French consumers.

432

433 *4.5 Limitations*

434 As recent studies showed that the lightning and chroma may affect emotions more than the hue alone (Schloss et al., 2020) and, as color-taste effects might occur via emotions 435 436 correspondences (Palmer et al., 2013), they were a relevant uncontrolled factor in this study. Further studies should investigate the effects of colors in more complex and realistic 437 438 packaging, including more than one hue, brightness and/or saturation. As the aim of this 439 study was to explore the color effect, no other detail was included in the packaging, such as 440 brand, weight, claims, product description or illustration. Participants of both groups were 441 recruited from institutions that teach and research Food, Biology and Agriculture, therefore 442 their background knowledge of scientific methods and/or food likely influenced the results. This study also did not check participants for color blindness, nor controlled the final 443 444 resolution and color balance of the pictures since participants used their own devices to 445 answer the questionnaire. To make the goal of the experiment less obvious to participants, this study chose to collect and analyze data on milk and dark chocolate samples together. 446 447 This might have led to a smaller number of significant differences, particularly among milk 448 chocolate samples, because the effects of packaging color on dark chocolate were stronger. Another step forward on the subject would be testing if these effects on expectation affect 449 450 consumers' actual perception, because that is not always the case (Zellner et al., 2018). 451

452 **5.** Conclusion

453 This study was the first to find significant effects of several packaging colors on expected taste, flavor, texture and liking of chocolate. It was also the first to evaluate that for 454 two types of the same food product with opposing sensory qualities (bitter x sweet) among 455 456 two different cultures. Another difference was showing both the product (chocolate) and the 457 packaging on the picture. Significant interactions between chocolate type, packaging color and culture showed that effects are co-dependent, making it important to consider and control 458 all these variables together. It is possible to conclude that black packaging induces greater 459 460 expectation of bitterness and yellow and pink packaging induce greater expectation of 461 sweetness and melting in chocolate. Also, that the effects of a packaging color on expected 462 liking might take opposite directions for milk and dark chocolate. The effects of context color 463 on food must be further investigated to understand how industries, artisans, chefs, baristas, mixologists, packaging designers, food bloggers and photographers may use it to influence 464 465 the consumers' expectations for its products and services.

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