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1 **Ivermectin treatment in lactating mares results in suboptimal**
2 **ivermectin exposure in their suckling foals**

3

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19

20 **Abstract**

21 The management of equine strongyles has become problematic over the last decade because
22 of an increased prevalence of drug-resistant isolates worldwide. Therapeutic options are
23 therefore limited, leaving macrocyclic lactones as the most often effective drug class. However,
24 their lipophilic properties result in a long-lasting elimination that could favour drug resistance
25 selection. As a result, ivermectin treatment in lactating mares could promote suboptimal
26 exposure of their foal parasites to ivermectin, thereby selecting for more resistant worms. To
27 test for this putative transfer, we selected two groups of six foal-mare pairs, one group of mares
28 receiving ivermectin and the other being left untreated. We compared faecal egg count
29 trajectories in foals from the two groups and quantified plasma ivermectin concentrations in
30 ivermectin treated mares and their foals during seven days. Our results showed limited but
31 sustained plasmatic exposure of foals associated with non-significant faecal egg count reduction
32 ($P = 0.69$). This suggests that ivermectin treatment in lactating mares results in suboptimal
33 exposure to the drug in their foal.

34

35 Introduction

36 Equine gastro-intestinal nematodes emerge as a major threat to equine health. Cyathostominae
37 and *Parascaris* spp. are the most problematic species as they account for most deaths in young
38 horses while tapeworms and *Strongylus* spp. seem currently under control (Sallé et al., 2020).

39 Cyathostominae are responsible for clinical disease occurring when encysted larval stages
40 emerge at once (Love et al., 1999). This so-called “larval cyathostominosis” is associated with
41 colic, protein-losing enteropathy and eventually death of the animals (Giles et al., 1985; Sallé et
42 al., 2020). *Parascaris* spp. mostly infect foals under the age of one year and recent observations
43 suggested that foal infection follows a bivariate pattern with worm counts peaking at five- and
44 nine-month of age under treatment-free conditions (Fabiani et al., 2016). Complex migration of
45 larval stages from the intestine, through the liver and lungs can result in coughing and nasal
46 discharge (Taylor et al., 2007). Heavy infection by adult stages is associated with foal
47 emaciation, catarrhal enteritis and it can lead to intestinal impaction or rupture (Cribb et al.,
48 2006; Sallé et al., 2020).

49 Control of these parasite species by anthelmintic drugs has become problematic over the last
50 decades because of widespread emergence of drug-resistant isolates. Fenbendazole has been
51 found inefficient against small strongyles in most countries (Hodgkinson et al., 2008; Lyons et
52 al., 2008; Kyvsgaard et al., 2011; Traversa et al., 2012; Kumar et al., 2016) while suboptimal
53 ivermectin efficacy has been frequently reported for *Parascaris* sp. (Lindgren et al., 2008; Lyons
54 et al., 2008; Näreaho et al., 2011; Laugier et al., 2012; Morris et al., 2019; Studzińska et al.,
55 2020). Expected efficacies of ivermectin and moxidectin are usually observed against small
56 strongyles although failures have been reported in Brazil and western Europe (Peregrine et al.,
57 2014)..

58 To date, risk factors favouring the selection of drug-resistant parasite populations are not fully
59 identified. Two recent surveys in the USA (Nielsen et al., 2018) or in France (Sallé et al., 2017)

60 showed limited convergence in their conclusions regarding the impact of parasite management
61 strategies, but identified pasture rotation as a beneficial strategy to limit drug resistance
62 development.

63 Ivermectin and other macrocyclic lactones are slowly eliminated, thereby exposing incoming
64 parasite infective larvae to reduced concentrations through time (Le Jambre et al., 1999). These
65 suboptimal drug concentrations promote the selection of resistant worms while eliminating
66 susceptible individuals, in a so-called “tail-selection” process (Le Jambre et al., 1999). Because
67 of their lipophilic properties (Geary, 2005), macrocyclic lactones are found in the milk of lactating
68 individuals including horses (Gokbulut et al., 2016). As a result, suckling offsprings may be
69 significantly exposed to the drug as quantified in cattle (Alvinerie et al., 1996). In sheep, two
70 independent experiments demonstrated that treatment of ewes with moxidectin could impact on
71 the parasite population in their lambs, either reducing by 70% the establishment of susceptible
72 *Teladorsagia circumcincta* (Leathwick et al., 2015) or inducing a 51% cut in *Haemonchus*
73 *contortus* excretion (Dever and Kahn, 2015). There is hence an opportunity that ivermectin
74 treatment given to lactating mares as part of calendar treatments (Sallé et al., 2017) may affect
75 their foal parasite communities. To the best of our knowledge, ivermectin transfer from mares to
76 suckling foals has never been characterized.

77 Here, we tested the possible passage of ivermectin from the mare milk to their foals by
78 comparing faecal egg count and plasma drug concentrations in foals whose mare were either
79 treated or not in late summer. Our results showed non-significant faecal egg count reduction in
80 combination with limited but sustained plasmatic exposure of foals..

81

82 **Materials and methods**

83 **Experimental design**

84 To investigate the impact of ivermectin transfer between treated mares and their foal, we used
85 fourteen Welsh pony mares and their foals. Pregnant Welsh pony mares were allocated to two 7
86 ha pastures in April 2019. Pasture allocation was balanced for the stallion they were mated with,
87 and for the foreseen date of foaling. This latter parameter was considered to obtain similar foal
88 parasite exposure across pastures. Foaling took place from May 25 to June 23 2019. Detailed
89 metadata are provided in supplementary Table 1.

90 Faecal egg count (FEC) was performed every month (from early June to late August) in the
91 seven mare-foal pairs (supplementary Table 1) to quantify strongyle egg excretion. Foals were
92 not sampled before they reached 14 days of age. For each pair, animals were placed in a
93 paddock to collect fresh faecal material off the ground. In some cases, foals did not expel faecal
94 matter directly; they were hence separated from their mother for 10 min to stimulate
95 defecation. At the end of August (August 30, 2019), six mares with faecal egg count above 150
96 eggs/g (Coles et al., 2006) were administered ivermectin orally (Eraquell, Virbac, France, 200
97 µg/kg bodyweight) to determine whether ivermectin treatment in the mare could affect parasite
98 egg excretion in foals. The other 8 mares and their foals remained untreated and were used as
99 a control group. FEC was measured 18 days later (September 17, 2019) to determine treatment
100 efficacy in both mares and foals.

101

102

103 **FEC measure**

104 FEC were measured from 5 g of faecal material diluted in 70 mL of a saturated NaCl solution
105 (specific gravity = 1.18). Filtrate was loaded on a McMaster slide and eggs were counted using
106 light microscopy at 150X magnification (detection limit of 15 eggs/g).

107

108

109 **Ivermectin dosage and analysis**

110 Plasmatic ivermectin concentration was measured to i) ensure that plasmatic ivermectin
111 exposure in mares was in line with observed FECR, ii) support ivermectin transfer to the milk
112 and iii) evaluate plasmatic exposure in foals. For this reason, we restricted the study to the first
113 seven days. Although ivermectin residence time in adult horses expands beyond seven days
114 (Pérez et al., 2003), restricting our study to the first seven days was sufficient to address our
115 main objectives while reducing animal use. For ivermectin dosage, milk (4 mL silica-coated
116 tubes, BD Vacutainer[®], Plymouth, UK) and blood (4 mL heparin-coated tubes, BD Vacutainer[®]
117 Plymouth, UK) samples were taken from six mares at 1 h, 2 h, 8 h, 24 h, 48 h, 4 days and 7
118 days after treatment. To measure putative ivermectin transfer in their foals (n = 6), blood
119 samples were collected from the foals at the same times from 8 h onwards. Blood samples were
120 centrifuged at 1500 g and 4 °C for 10 min to harvest plasma. Both milk and plasma samples
121 were cryopreserved at -80 °C until ivermectin concentration was subsequently measured.

122 Ivermectin concentrations were determined by High-Performance Liquid Chromatography with
123 fluorescence detection according to previously validated methods in plasma (Alvinerie et al.,
124 1999) and in milk (Alvinerie et al., 1993). Data were analyzed by a non-compartmental approach
125 using the KineticaTm software (version 4.2, innaPhase, Philadelphia, USA). Apparent and
126 maximal concentration (C_{max}) and time of C_{max} (T_{max}) were then determined. The partial area
127 under the plasma concentration time curve (AUC) and the mean residence time (MRT) were

128 calculated from 0 to 7 days by the linear trapezoidal rule. Data are expressed as mean \pm
129 standard deviation (SD) of 6 animals for mares and foals respectively.

130

131 **Statistical analysis**

132 Statistical analysis on FEC data was implemented in R v4.0.2 (R Core Team, 2016).

133 Treatment efficacy was assessed by FEC reduction in the treated group corrected for FEC

134 trajectory in the control group (Presidente, 1985) : $100 \times \left(1 - \frac{FEC_{14} \text{ treated}}{FEC_0 \text{ treated}} \times \frac{FEC_0 \text{ control}}{FEC_{14} \text{ control}}\right)$,

135 where FEC_{14} and FEC_0 correspond to group average FEC measured before and after treatment

136 respectively. This formula was applied to measure ivermectin efficacy in mares and in foals

137 whose mares had been treated. Efficacy in foals was estimated from the only foals with patent

138 strongyle infection (n = 5 in the mare treated group and 6 in the control group). Efficacy below

139 0% were censored to 0. Confidence intervals (95% CI) were estimated using the R-version of

140 the eggCounts package v2.3 (Wang et al., 2018).

141 In addition, to determine whether FEC reduction was significantly different between

142 experimental groups, we modeled FEC data with a marginal modeling approach using the geeM

143 package v.0.10.1 (McDaniel et al., 2013). The implemented model was: $FEC_{ij} \sim \beta_0 + \beta_1 \times \text{day}_{ij} +$

144 $\beta_2 \times \text{group}_{ij} + \beta_3 \times \text{day}_{ij} \times \text{group}_{ij}$, where FEC_{ij} stands for the j^{th} FEC record in individual i , with an

145 auto-regressive correlation structure between FEC_{ij} and FEC_{ij+1} , β_0 is the intercept, β_1 to β_3 are

146 the regression coefficients describing the covariate effects (day, treatment group and their

147 interaction). Because of the over-dispersed nature of FEC, a negative-binomial function was

148 used as a link function between the linear combinations of covariates and observed

149 FEC. Significance of the interaction term ($P\text{-value} < 0.05$) would indicate egg count reduction

150 between groups.

151 Data and R script used for this study are under the following repository:
152 <https://github.com/guiSalle/Ivermectin-in-lactating-ponies>.

153 **Results**

154 **FEC trajectory over the grazing season in mares and foals**

155 Summary statistics for FEC measured in mares and foals are shown in Table 1 and detailed
156 trajectories are available in supplementary Table 1 and supplementary Fig.Fig. 1. Mares
157 showed increased excretion of strongyle eggs from June to mid-August, reaching 464 eggs/g
158 (range between 0 and 2520 eggs/g) on average at that time. In foals, strongyle egg excretion
159 peaked at the end of August (227 eggs/g on average, ranging from 0 to 570 eggs/g). Of note,
160 one foal remained FEC negative throughout the study period.

161

162 **Ivermectin treatment in mares did not significantly affect foal strongyle egg** 163 **excretion**

164 FEC reduction was measured between foals whose mare received ivermectin treatment or not
165 over an 18-day period. Fig.Following suppressive ivermectin treatment in six mares (Table 1),
166 reduced egg excretion was found in four foals (Fig.Table 1), although FEC increased in one foal
167 from 30 to 45 egg/g (one foal remained FEC negative). Average FEC reduction in the foals
168 whose mares were treated was 67% (95% CI 6.2 - 85%). However, foals from the control group
169 also demonstrated 55% reduction of their FEC (95% CI 10.4 - 90.8%). FEC reduction
170 accounting for the control group FEC trajectory was hence 0%. In agreement with this result, the
171 marginal modeling approach found no evidence of statistical difference in FEC reduction
172 between both groups (reduction ratio of 1.33 between foals whose mares received ivermectin
173 and the control group; 95% CI 0.31 - 5.64, $P = 0.69$).

174

175 **Ivermectin accumulates in mare milk but not in foal plasma**

176 To control that mare exposure to ivermectin was achieved and to explore exposure in their
177 respective foal, plasmatic ivermectin concentration was measured in mare-foal pairs as
178 represented on Fig.Fig. 1 and supplementary Fig. 2. Maximal ivermectin concentrations in
179 mares ranged between 18.69 and 43.33 ng/mL (Fig. 1, Table 2) and occurred 8 h after
180 treatment (Fig.Fig. 1).

181 Milk ivermectin concentration followed a similar pharmacokinetic pattern than that found in
182 plasma (Pearson's correlation $r = 0.61$, $P < 0.001$) and also peaked at 8 h post-treatment in most
183 animals (Fig. 1). In two mares, this was slightly delayed at 24 h or 48 h post-treatment (Fig.Fig.
184 1). It was slightly lower than plasma concentrations, with AUC_{milk} to AUC_{plasma} ratios ranging
185 between 0.27 and 1.1 (mean 0.57 ± 0.27).

186 On the contrary, only limited concentrations of ivermectin were measured in foal plasma (Fig. 1)
187 with maximal concentrations ranging between 0.06 and 0.66 ng/mL (Table 2). These values
188 showed weak correlations with their mother plasmatic (Spearman's $\rho = 0.03$, $P = 0.85$) or milk
189 concentrations (Spearman's $\rho = 0.06$, $P = 0.85$). The total exposure of foal (AUC) represented
190 around 3% of the mare total drug exposure, and 7 % of that found in milk (Table 2).

191 Discussion

192 Calendar drenching schemes in horses often include an ivermectin treatment given in late
193 summer. Because of its lipophilic properties, ivermectin can be excreted into mare milk
194 (Gokbulut et al., 2016). This leaves the opportunity for a suboptimal ivermectin exposure in
195 foals. However, the transfer from mare milk to foal plasma was significant but low and under the
196 minimal active concentration. In line with this, no FEC reduction occurred in foals whose mares
197 were administered ivermectin relative to that with an untreated mother.

198 The pharmacokinetic data of ivermectin in mare plasma were in good agreement with previous
199 studies conducted in horses that received ivermectin orally (Gokbulut et al., 2016; Pérez et al.,
200 2003). In addition, significant ivermectin excretion in pony mare milk was found with
201 $AUC_{\text{milk}}/AUC_{\text{plasma}}$ ratio of 0.57. This is consistent with ivermectin lipophilic properties (Prichard
202 et al., 2012). This remains however in the common range of values ($AUC_{\text{milk}}/AUC_{\text{plasma}}$ between
203 0.7 and 1.0) reported for cows (Toutain et al., 1988) but lower than in ewes where
204 $AUC_{\text{milk}}/AUC_{\text{plasma}}$ can be as high as 2.5 (Imperiale et al., 2004). The lower ratio observed for our
205 pony population when compared to other species is consistent with the lower lipid content found
206 in equid milk, e.g. 7.4 g/L in ponies (Pagan and Hintz, 1986) and between 5 to 20 g/L in horses
207 (Malacarne et al., 2002) that contrasts with cow or ewe milk that exhibit fat content in the range
208 of 37 g/L (Malacarne et al., 2002) and 71 g/L respectively (Wohlt et al., 1984). However, the
209 $AUC_{\text{milk}}/AUC_{\text{plasma}}$ values calculated in our study were much higher than the 0.19 value
210 previously reported in horses (Gokbulut et al., 2016). Although unclear, the basis for such
211 difference may come from different factors including variation in milk lipid content between
212 animals. Variation in the expression level of the efflux transporter ABCG2 that is located in
213 mammary glands during lactation and plays an important role in the milk partitioning of drugs
214 (Jonker et al., 2005) including endectocides (Jani et al., 2011) may also contribute to this
215 discrepancy.

216 Ivermectin was detected in foal plasma throughout the seven-day trial. This level matched the
217 elevated ivermectin content found in milk of ivermectin-treated mares. To our knowledge, this is
218 the first attempt to quantify ivermectin transfer from mare to their foal. However, ivermectin
219 concentrations estimated in foal plasma were low, with C_{max} found below the minimal active
220 concentration of 1 ng/mL (Geary 1983). This is compatible with the lack of FEC reduction in the
221 group of foals whose mothers had received ivermectin. It is yet to be determined whether this
222 residual concentration results in a suboptimal exposure of parasite populations or is of no
223 biological relevance. Underdosing has been indeed advocated as a major factor favoring the
224 emergence of drug resistant isolates (Le Jambre et al., 1999). More importantly, it should also
225 favor the development of resistance in *Parascaris* spp. populations whose seasonal prevalence
226 peak (Fabiani et al., 2016; Sallé et al., 2020) would match autumn treatment in the mare.
227 Collection of larval populations to monitor their sensitivity to ivermectin using *in vitro* test could
228 validate their putative increased resistance level.

229 The low ivermectin plasmatic concentration measured in the foal was however contrasting with
230 the 0.57 AUC_{milk}/AUC_{plasma} transfer measured in the mare. This may be underpinned by the high
231 fractional turnover rates of body water measured in foals as a result of a low energy to water
232 content ratio (Ofstedal et al., 1983). This could contribute to dilute ivermectin in the foal plasmatic
233 compartment. In contrast, mean residence time in foal plasma expanded over two weeks. The
234 close vicinity of measured concentration with the detection limit of our method certainly
235 contributes to inflate mean residence time. It may also reflect a slow elimination process
236 resulting from an immature drug detoxification system in the young animal as demonstrated in
237 humans (Brouwer et al., 2015). This increased half-life of the drug would promote parasite
238 exposure to suboptimal drug concentrations and potentially aggravate drug resistance
239 development.

240

241 **Conclusion**

242 Our data suggests that ivermectin treatment in lactating mares leads to limited transfer into their
243 suckling foals. This had no effect on strongyle egg excretion in their progenies. The extent of
244 parasite exposure to ivermectin remains to be determined.

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249

250 **Animal welfare statement**

251 Experimental procedures were carried out following the guidelines of the European Council
252 Directive (2010/63/UE) and with French laws and regulations (Articles R214-87 to R214-137 of
253 the Rural Code and decree n°2013-118 dated February 1, 2013 published on February 7,
254 2013). Our project was approved by French Ministry of Higher Education and Research and the
255 Val de Loire ethics committee under license #20513-2019043009343644 v5.

256

257

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376

377

378 **Figure captions**

379

380 **Figure 1. Kinetics of ivermectin concentration in six treated mares and their foals**

381 Ivermectin was administered to six mares and its plasmatic level measured over seven days.
382 The plot represents ivermectin concentration through time (starting before treatment and ending
383 7 days post treatment), in mare plasma (green) and milk (light green) or in their respective foal
384 plasma (purple). Each point represents the mean of six replicates with standard deviation shown
385 for the upper range.

386

387 **Table 1. Summary statistics of strongyle faecal egg count in foals and mare**

388 Summary statistics (mean [minimum – maximum]) of faecal egg count measured in mares and
389 their foals from the treated (n = 6) or control group (n = 8). They are given before or after
390 ivermectin treatment in mares. Within-group faecal egg count reduction (FECR) is provided.
391 FECR values were all negative in foals and censored to 0%; in mares FECR values were all
392 100%. As a result, no confidence interval is given.

393

394 **Table 2. Pharmacokinetic parameters of ivermectin in plasma and milk estimated**
395 **for mares and foals.**

396 Parameters were estimated from six samples collected over a seven-day trial.

397 PK: pharmacokinetic; AUC: area under the plasma concentration time curve; MRT: mean
398 residence time

399