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1	Effects of conventional, extensive and semi-intensive green roofs
2	on building conductive heat fluxes and surface temperatures in
3	winter in Paris
4	
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10	Highlights
11	• Conventional, extensive and semi-intensive green roofs have been compared.
12	• Green roofs reduced temperature and heat flux fluctuations at the building surface.
13	• Deeper substrates reduced the temperature and heat flux fluctuations of the building
14	surface.
15	• On average there was no or only slight effects on winter surface urban heat island.
16	
17	Abstract
18	This study investigated the impacts of extensive and semi-intensive green roofs on both
19	building insulation and surface urban heat island effect under winter conditions. To this aim we
20	compared measurements of surface and building envelope temperatures as well as conductive
21	heat fluxes reaching the external building envelope with those measured on a conventional
22	bituminous roof under identical climatic conditions. The main effect of green roofs was to
23	decrease daily fluctuations of external building envelope temperatures and as a consequence to
24	reduce fluctuations of conductive heat fluxes reaching the building envelope. This effect is all
25	the more important that the substrate is deep, in link with its heat capacity and thermal inertia.
	1

Yet, no significant effect of the green roofs on surface urban heat island has been observed on average despite a surface cooling during daytime. It is concluded that the green roofs can be suitable urban greening solutions since they do not have negative effect on surface urban heat island during winter, provide cooling during summer, and contribute to building insulation inducing therefore building energy savings.

31

32 Keywords

33 Green roofs; building insulation; urban heat island; conductive heat fluxes; winter conditions.

34 1 – INTRODUCTION

35 Urban areas and land-use changes lead to severe environmental issues due to urbanization. On 36 the one hand, urban areas are strong contributors to greenhouse gas (GHG) emissions at the 37 global scale and therefore to global warming. It is estimated that buildings are responsible for 38 19% of all global 2010 energy-related GHG emissions, mainly indirect ones from electricity 39 use. Yet, buildings account for 32% of total global final energy use, space heating and cooling 40 representing more than one third of total building final energy consumption [1]. On the other 41 hand, at the local scale the land-use modifications due to urbanization alter the urban 42 microclimate and induce the so-called "urban heat island" (UHI) effect [2,3] which reflects the 43 fact that cities are warmer than their surroundings. It has numerous impacts on building energy 44 consumption (e.g., [4-5]), citizen comfort and health (e.g., [6-8]), and urban air quality (e.g., [4, 45 9-10]). This phenomenon originates from the alteration of radiative budget and energy balance, 46 in which the heat released by anthropogenic activities is a strong contributor to UHI effect. 47 Indeed, anthropogenic heat release occurs mainly through building cooling and heating (e.g., 48 [11]) and is responsible for an increase in urban air temperatures between 0.2 and 2.5°C (e.g., 49 [12,13]). Within this context, the building insulation assessment allows (i) to mitigate UHI 50 effect (through the decrease of anthropogenic heat release) and its related deleterious effects at 51 the local scale, (ii) subsequent monetary savings, and (iii) to reduce the GHG emissions at the 52 global scale [1].

Among the numerous techniques to improve building insulation (e.g., insulation layer depth, new insulation materials) (e.g., [14-17]), green roofs currently receive strong attention. Additionally to building insulation, they contribute to the assessment of urban air quality (e.g., [18,19]), the water retention to prevent runoff events (e.g., [19-21]), sound reduction and insulation, ecological preservation (e.g., [21,22]), and last but not least direct UHI mitigation owing to their capability to refresh air throughout evapotranspiration (e.g., [23,24]). 59 Green roofs i.e., vegetated systems covering a building rooftop, are typically composed by some 60 layers aiming to protect the building envelope (i.e., waterproofing membrane, root barrier, 61 drainage layer), a growing medium (or substrate) layer, and a vegetation layer. It is 62 distinguished the extensive, semi-intensive, and intensive green roofs, each characterized by the substrate thickness and plant communities [25]. Owing to plant shading, evaporative 63 64 cooling, and additional insulation, green roofs help to reduce directly building energy 65 consumption but also indirectly through UHI mitigation. However, the thermal performance of green roofs is highly variable according to the substrate and vegetation characteristics, building 66 67 characteristics, and local climatic conditions. (e.g., [26-30]).

68 Many studies focused their attention on the impacts of green roofs on building insulation and 69 building thermal performance, as well as on UHI mitigation, under summer conditions (e.g., 70 [24, 28, 31-35]). Overall, it is consensual that green roofs reduce surface and air temperatures, 71 diminish heat fluxes entering inside the building, and therefore decrease energy consumption 72 for cooling. However, the impact of green roofs under winter conditions received less attention, 73 and is still under debate. For instance, Santamouris et al. [36] did not found any significant 74 effect of green roof on heating load variation under winter Mediterranean climate, while Jaffal 75 et al. [28] reported positive impact of extensive green roofs under temperate oceanic climatic 76 conditions. Yet, during heating period, Coma et al. [37] reported a negative impact of extensive 77 green roof on building energy consumption under continental climate conditions, whereas 78 under similar climatic conditions Lundholm et al. [38] found lower net heat losses from 79 extensive green compared to conventional roofs. Moreover, experimental studies carried out 80 under winter conditions mainly considered only one kind of green roofs, often extensive ones 81 [37-46], without comparing the extensive, semi-intensive, and intensive green roofs together. 82 Last, considering that winter UHI would be positive concerning building energy consumption, 83 in particular by reducing the indoor and outdoor temperature difference, surface (and consequently air) cooling by green roofs would be deleterious. This issue has not been explored
yet.

The objectives of this study are (i) to analyze how green roofs affect winter thermal fluxes reaching the building envelope, (ii) to determine their impact on winter surface UHI, and (iii) to compare extensive and semi-intensive green roofs under winter oceanic climatic conditions, from an experimental approach.

90

91 2 – MATERIALS AND METHODS

92 **2.1 – Site description**

The experiment was carried out from 1st November 2018 to 28th January 2019 in Paris, France. 93 94 The climate is oceanic and characterized by overall cool winter. The experiment was performed 95 on the building rooftop of AgroParisTech, higher education and research institute located in the 5th district of Paris (48°50'24"N, 2°20'55"E). It is a Haussmannian building built during the 96 97 19th century. The rooftop area is about 900 m² and is exposed to direct solar radiation without 98 shadowing effect from other buildings. The indoor spaces consisted in office rooms. Since the 99 indoor uses are similar for the three roofs, the indoor conditions (especially indoor 100 temperatures) did not differ between each roofs. The heating system only consisted in individual 101 heater alimented by a common boiler, and no central temperature heating regulating system 102 existed.

The experiment was performed on three different areas: the reference roof (REF) and the extensive (EXT) and semi-intensive (SI) green roofs. The reference is a conventional roof with a black bituminous waterproof membrane installed directly on the concrete slab. The green roofs are installed directly on the bituminous waterproof membrane and consisted in a root barrier, a substrate layer (13 cm for EXT, 27 cm for SI), and a vegetation layer (sparse Sedum

108 for EXT; dense grass for SI) (Figure 1). For the EXT green roof, plant coverage accounted for



109 22% while it was close to 100% for the SI green roof.

Figure 1: (a) The reference roof and vegetation covering the (b) extensive and (c) semiintensive green roofs. (d) Scheme of the experimental set-up and measurements on the conventional (REF), extensive (EXT) and semi-intensive (SI) green roofs.

113

114 **2.2 – Instrumentation and measurements**

115 For each roof, the mean surface temperature was measured by an infrared radiothermometer 116 (IR120, Campbell Scientific Ltd, UK) installed on a mast at 1.05 m height above the REF roof 117 and 2 m height above EXT and SI green roofs. The surface measured by the sensor (half-angle of view of 20°) was therefore 0.4 m² for the REF roof and 1.6 m² for the EXT and SI green 118 119 roofs. Additionally, each component of the radiative budget (i.e., incoming and outgoing short-120 and longwave radiations) were measured with a net radiometer (CNR4, Kipp & Zonen, NL) 121 above the SI green roof, while only the outgoing (i.e., reflected) shortwave radiation was 122 measured with a pyranometer (CMP11, Kipp & Zonen, NL) above the REF and EXT roofs. 123 Moreover, five thermocouples (Type T, TC Direct, FR) were installed to provide temperature 124 measurements: on the black bituminous membrane for the REF roof and on the substrate and at

125 the substrate-building envelope interface for both green roofs. Conductive heat fluxes inside 126 the substrate (installed at 6.5 cm and 13 cm depth for EXT and SI green roofs, respectively) 127 and outside the building envelope (i.e., installed directly on the bituminous membrane for the 128 REF roof and at the substrate-building envelope interface for both green roofs) were also 129 measured by heat flux plates (HFP01, Hukseflux, NL). Finally, water content reflectometers 130 (CS655, Campbell Scientific Ltd, UK), installed at 6.5 cm and 13 cm depth for EXT and SI 131 green roofs, respectively, provided measurements of volumetric substrate water content (Figure 132 1d). All the sensors were connected to a datalogger (CR1000X, Campbell Scientific Ltd, UK) 133 coupled with two multiplexers (AM16/32, Campbell Scientific Ltd, UK). Measurements were 134 averaged online over 30 min periods. Additionally, standard meteorological conditions were measured at 2.5 m height above the roof 135 136 by several sensors installed on a mast located on the roof at around 50 m from the experimental 137 area: air temperature and relative humidity (HMP45C, Vaisala, FI), and rainfall (TE525WS, 138 Campbell Scientific Ltd, UK). They were measured, averaged, and recorded every 30 min on a 139 datalogger (CR1000, Campbell Scientific Ltd, UK).

140 The ranges and accuracies of the sensors used during the experiment are given Table 1.

172 Table 1. List of sensors, men range and accuracy used during me experiment	142 ′	Table 1: Lis	t of sensors,	their range	and accuracy	used during	the experime
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Measured variable	Sensor	Range	Accuracy	
Mean surface	Infrared	$25 t_{0} + 60^{\circ}C$	10.200	
temperature	radiothermometer IR120	-23 to $+60$ C	±0.2 C	
Temperature	Thermocouple Type T	-50 to +150°C	±0.1°C	
Air temperature and	Thermo-hygrometer	-39 to +60°C	±0.2°C	
relative humidity	HMP45C	0.8 to 100%	$\pm 1\%$	
Shartwaya radiation	Net radiometer CNR4	0 to 2000 $W.m^2$	$\pm 10\%$	
Shortwave radiation	Pyranometer CMP11	0 to 4000 $W.m^2$	<2%	
Longwave radiation	Net radiometer CNR4	[-]	<10%	
			-15 to +5%	
Conductive heat flux	Heat flux plate HFP01	$\pm 2000 \text{ W.m}^{-2}$	according to the	
	-		material in contact	
Substrate water content	Reflectometer CS655	0 to 100%	±3%	

			-3.5 to +1%
Rainfall	Rain gauge TE525WS	[-]	according the
			intensity of rainfall

143

144 **3 – RESULTS AND DISCUSSION**

145 **3.1 – Overview of weather conditions**

146 During our experiment, weather conditions were representative of the winter climate in Paris. 147 Both daily and day-to-day variability of the weather conditions are reported through the half-148 hourly and daily statistics, respectively, in Figure 2 and Table 2. The cumulated solar radiation over the experimental period was 257 MJ.m⁻² over the 83 days of the experiment (Table 2). The 149 150 incident solar radiation followed a typical trend by increasing during the morning to reach its maximum at noon, on average around 150 W.m⁻², before decreasing during the afternoon to its 151 minimum and nocturnal value at 0 W.m⁻² (Figure 2a). Its intensity was weak: half-hourly solar 152 radiation was 145 ± 88 W.m⁻² on average and varied overall between 75 W.m⁻² and 198 W.m⁻² 153 ², as indicated by the 1st and 3rd quartiles respectively. However, some sunny days occurred, as 154 indicated by the maximum half-hourly solar radiation (520 W.m⁻²). At the daily scale, mean 155 solar radiation was only 127 ± 61 W.m⁻² and daily mean solar intensity usually ranged between 156 78 W.m⁻² and 183 W.m⁻² with a minimum and maximum at 51 W.m⁻² and 256 W.m⁻², 157 158 respectively (Table 2). Air temperature and relative humidity exhibited an inverse correlation. 159 Air temperature increased from its minimum, on average 6.3°C just before the sunrise, to its 160 maximum in early afternoon, on average 8.7°C, and then decreased progressively during the 161 afternoon and the night. Conversely, air relative humidity decreased from its maximum at the 162 sunrise, around 87% on average, to its minimum, around 77% on average, reached in early 163 afternoon, and finally increased in the afternoon and during nighttime (Figure 2b). Few particularly dry and warm days for the season occurred with maximum half-hourly and daily 164 165 mean temperatures of 19.5°C and 15.3°C, respectively, and minimum half-hourly and daily 166 mean air relative humidity of 40% and 65%, respectively. Some cold events also occurred, with

167 negative minimum half-hourly and mean daily air temperatures. Overall, climatic conditions 168 were cold and wet as indicated by mean and median values, around 7.4-7.8°C and 84-86% for 169 air temperature and relative humidity respectively, both at the half-hourly and daily scales. 170 (Table 2). Yet, rainfall events regularly occurred: 51 days with at least one rainfall event were 171 recorded corresponding to 337 half-hourly events over the whole experimental period. On 172 average, rainfall events were 0.46 mm and 3.02 mm at the half-hourly and daily scales, 173 respectively, but some exceptional and strong episodes appeared, 2.6 mm at the half-hourly 174 scale and 14.2 mm at the daily scale on maximum. Over the three months of the experiment the 175 cumulated rainfall was 154.2 mm (Table 2). As a consequence, green roof did not suffer from water limitation, mean substrate water content (0.29 m³.m⁻³ and 0.14 m³.m⁻³ for EXT and SI 176 green roofs, respectively) being close to their maximum (0.46 m³.m⁻³ and 0.19 m³.m⁻³ EXT and 177 178 SI green roofs, respectively). Yet, the regular rainfall events allowed a quite stable substrate 179 water content over the period for both EXT and SI green roofs, as indicated by the weak range of variations between 1st and 3rd quartiles of the substrate water contents (0.25 to 0.32 for EXT 180 181 green roof; 0.13 to 0.16 for SI green roof) (Table 2). As a consequence, the effect of soil water 182 content variations on temperatures and conductive heat fluxes could be excluded.



Figure 2: Half hourly means of **(a)** solar radiation, **(b)** air temperature (black line) and relative humidity (grey line), **(c)** surface temperatures of the reference (T°-surf-REF; black line), extensive (T°-surf-EXT; grey line), and semi-intensive (T°-surf-SI; dashed black line) roofs, and temperatures at the substrate-building envelope interface for extensive (T°-build-EXT; filled circles) and semi-intensive (T°build-SI; open circles) green roofs, and **(d)** conductive heat flux outside the building envelope for the reference (REF; black line), extensive (EXT; grey line), and semi-intensive (SI; dashed black line).

192	Table 2: Half-hourly and daily means (± standard deviations), medians, minimums,
193	maximums, and 1 st and 3 rd quartiles of solar radiation, air temperature, air relative humidity,
194	rainfall events, and substrate water content for extensive and intensive green roofs. The number
195	of rainfall events (n) and cumulated rainfall over the experimental period are also given

		Solar radiation W.m ⁻²	Air temperature °C	Air relative humidity %	Rainfall events mm	Substrate w	ater content m ⁻³
						Extensive	Semi- intensive
	Mean \pm SD	145 ± 88	7.4±3.9	84±10	0.46 ± 0.37	$0.29{\pm}0.03$	$0.14{\pm}0.03$
V	Min	0	-2.4	40	0.2	0.15	0.03
url	1 st quartile	75	4.8	77	0.2	0.25	0.13
-hc	Median	115	7.7	86	0.2	0.29	0.15
alf	3 rd quartile	198	10.3	92	0.6	0.32	0.16
H	Max	520	19.5	99	2.6	0.46	0.19
	n	-	-	-	337	-	-
	$Mean \pm SD$	127±61	7.4±3.7	84 ± 8	3.02 ± 3.20	$0.29{\pm}0.06$	$0.14{\pm}0.03$
	Min	51	-0.3	65	0.2	0.16	0.03
N	1 st quartile	78	4.9	79	0.8	0.25	0.13
ail	Median	106	7.8	84	2.0	0.28	0.15
Ц	3 rd quartile	183	10.2	90	4.2	0.33	0.16
	Max	256	15.3	97	14.2	0.43	0.17
	n	-	-	-	51	-	-
Whole	Sum	257 MJ.m ⁻²	-	-	154.2	-	-

196

197 **3.2 – Albedo values of conventional and green roofs**

The albedos for conventional and green roofs were determined by linear regressions between the measured incident and reflected shortwave solar radiations, albedos being given by the slopes of the regression lines. The SI green roof exhibited the largest albedo (0.16) while the EXT green roof had the weakest one (0.12). The REF roof showed an intermediate albedo (0.15) but also the most variable as indicated by the coefficient of determination of the relationships ($R^2 = 0.82$). Albedo variability was the weakest for the SI green roof ($R^2 = 0.95$) and intermediate for EXT green roof ($R^2 = 0.90$) (Figure 3). 205 Whereas Radhi et al. [47] reported largest albedo (0.23) for bituminous membrane, the albedo 206 for the REF roof determined experimentally is consistent with the typical range, 0.1-0.2, found 207 for bitumen roofs [48]. For the green roofs, although previous studies did not distinguished 208 between their different kinds i.e., extensive, semi-intensive or intensive, Lazzarin et al. [39] 209 reported green roof albedo of 0.23 and Takebayashi and Moriyama [49] determined soil and 210 grass albedos of 0.225 and 0.21, respectively, for dry surface conditions. The albedos for EXT 211 and SI green roofs are lower than these studies, but consistent with the results obtained by 212 D'Orazio et al. [42] who measured green roof albedo of 0.13. On the one hand, these weak 213 albedos for green roofs compared to those reported in previous studies can be explained first 214 by the season of the experiment. While previous studies were carried out under spring and 215 summer conditions implying green and fully developed canopy cover, the winter season implies 216 brown and less developed vegetation. It induces that the vegetation albedo is lower, and the soil 217 (typically a dark material) albedo contributes more to total green roof albedo. It also probably 218 explains the lowest albedo for the EXT green roof than for the SI green roof: since vegetation 219 is sparser, the lower soil albedo contributes more to the total EXT green roof albedo than for 220 the SI green roof. On the other hand, wetter conditions during winter than during spring and 221 summer induces darker color of the soil surface and bituminous membrane leading to lower 222 albedos. This issue could also explain the large variability of albedo for the REF roof and EXT 223 green roof which would reflect the wetting and drying of the surface alternately during the 224 experiment.



Figure 3: Relationships between incident and reflected solar radiation for the reference (REF;
black symbols), extensive (EXT; grey symbols), and semi-intensive (SI; open symbols) roofs.
Black, grey, and dashed black lines are regressions for REF, EXT, and SI roofs, respectively.

230 **3.3 – Impact of green roofs on building insulation**

225

In order to evaluate the impact of the green roofs on building insulation, temperatures and conductive heat fluxes at the building surface (corresponding to surface temperature for the REF roof and temperature at the substrate-building envelope interface for green roofs) have been analyzed and compared between REF, EXT and SI roofs.

For the REF roof, the building surface temperature exhibited the largest fluctuation and followed the dynamic of solar radiation by increasing during the morning to reach its maximum around noon, on average around 13°C, before decreasing during the afternoon to its minimum and nocturnal value at 3.5-5°C (Figure 2c). Over the whole experimental period median building surface temperature was 6.4°C, typically ranged from 2.6°C to 9.5°C (1st and 3rd quartiles), and up to -7.2 to 19.8 for the minimum and maximum values (Figure 4a). The conductive heat fluxes reaching the building envelope strongly varied across the day. During nighttime, mean half hourly fluxes were negative indicating heat losses from the building, around -5 W.m⁻². It increased from sunrise to reach its maximum (around 7 W.m⁻²) in late morning, and decreased until middle afternoon to its minimum (-11 W.m⁻²). Finally it increased until sunset to reach its nocturnal value. The building therefore lost heat most of the time, excepted during a short period during the morning for which building gained heat as indicated by the positive values (Figure 2d).

248 Considering only the whole period the EXT green roof exhibited similar median building surface temperature (7°C) and 1st and 3rd quartiles (3.6°C and 9.4°C, respectively) to those 249 250 observed for the REF roof (Figure 4a). However building surface temperature for EXT green 251 roof exhibited less fluctuations than REF roof as indicated by both their minimum and 252 maximum values (0.4°C and 15.7°C, respectively; Figure 4a) and its daily pattern (Figure 2c). 253 Indeed the minimal mean half hourly building surface temperature was 6°C and occurred only 254 in late morning. It reached its maximum, around 8°C, in late afternoon and continuously 255 decreased during nighttime (Figure 2c). Hence, the building surface for EXT green roof was 256 warmer than for REF roof most of the time, excepted between 9:00 and 15:00 for which it was 257 cooler (Figure 2c). The temperature difference between REF and EXT roofs typically ranged between -3.1°C (1st quartile) and 1.2°C (3rd quartile), overall at -0.8°C (median) indicating that 258 259 the building surface was slightly warmer for the EXT green roof than for the REF roof. 260 However, the building surface for the EXT green roof could be warmer (typically during 261 nighttime) or cooler (typically during daytime) by several degrees as indicated by the minimum 262 (-9.8°C) and maximum (7.7°C) values of the temperature difference (Figure 4b). On average 263 over the whole period, the conductive heat fluxes reaching the building envelope were always 264 negative, indicating heat losses from the building. However, they exhibited less diurnal fluctuations than for the REF roof. The mean half hourly conductive heat flux was -5 W.m⁻² 265

during nighttime, increased during the morning to peak at -1.5 W.m⁻² in early afternoon, and
decreased to its nighttime value during the afternoon (Figure 2d).

268 The mean half hourly conductive heat fluxes reaching the building envelope and building 269 surface temperatures for the SI green roof did not exhibited diurnal fluctuations: the former remained at around -4.5 W.m⁻² and the latter at around 9°C (Figures 2d and 2c, respectively). 270 The building surface temperature exhibited also less fluctuation as shown by the 1st and 3rd 271 quartiles (6.9°C and 11.4°C, respectively) and minimum and maximum (3.1°C and 14.2°C, 272 273 respectively) temperatures (Figure 4a). Over the whole period the SI green roof also exhibited 274 the warmest building surface envelope with a median temperature of 8.9°C (Figure 4a). The 275 building surface for the SI green roof was most of the time warmer than for the REF roof: the 276 temperature difference over the whole period was -2.6°C (median), typically varied between -0.2°C to -5.4°C (1st and 3rd quartiles), and could reach -14.1°C (minimum). However, it could 277 278 also be cooler than for the REF roof, although it remained exceptional and typically during 279 daytime, as indicated by the maximum value of the building enveloped temperature difference 280 reaching 7.6°C (Figure 4b).

281 Hence, huge differences has been observed concerning the impact of the green roofs on the 282 conductive heat fluxes reaching the building envelope. Nevertheless, these fluxes are also 283 closely linked with indoor temperatures, depending themselves to building users. In this study, 284 indoor environments consisted in offices and it has been hypothesis that indoor temperatures 285 were identical for each green roofs. However, since office users could control the room heating, 286 some differences could occur between indoors temperatures. The conductive heat flux (G) 287 depends on the building envelope surface temperature difference between outdoor and indoor (T_{out} and T_{in} , respectively) and on the thermal transmittance (U_{value} in W.m⁻².K⁻¹) (i.e., G = U_{value} 288 x (T_{out} – T_{in})). Considering a U_{value} of 3.33 W.m⁻².K⁻¹ (reported by Fokaides and Kalogirou [50] 289 for a flat and non-insulated roof made of reinforced concrete, which is comparable to the studied 290

building), a change of 1°C of the indoor building surface envelope would lead to a change of
3.33 W.m⁻² of the conductive heat flux reaching the external building envelope, which would
not affect the trends observed during the experiment. In addition, the nocturnal conductive heat
fluxes similar for each roofs (Figure 2d), corresponding to heat losses from the building,
suggested that the indoor temperatures were identical for REF, EXT, and SI roofs.





Figure 4: Boxplot statistics of (a) surface temperatures of the reference (REF) roof and temperatures at the substrate-building envelope interface for the extensive (EXT) and semiintensive (SI) roofs, and (b) half-hourly differences between surface temperature of the reference roof and temperature at the substrate-building envelope interface for the green roofs. Are also indicated the results from the Wilcoxon statistical test (**** = p-value < 0.0001).

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303 Although they were only interested in EXT green roofs during winter conditions, previous 304 studies also found that the green roofs exhibited weak fluctuations of the building surface 305 envelope temperatures under Mediterranean [37] and continental [45] climates, and less than 306 for the conventional roofs. For instance Teemusk and Mander [40] reported during the winter 307 period for continental climate monthly variation of daily temperature amplitudes between 308 around 1°C and 2.5°C approximately for a green roof while they reached up to 5°C for the 309 conventional roof. Similarly Getter et al. [41] reported for a Midwestern U.S. climate building envelope temperature varying between -4°C during nighttime and 10°C during daytime for a 310

311 conventional roof whereas it only ranged from around -1°C to 2°C for the green roof. These 312 largest temperature fluctuations for the conventional roof are linked with the absence of 313 substrate and vegetation layer, allowing a direct exposure of the building envelope to solar 314 radiation. It induces that the building envelope for the conventional roof rapidly warms during 315 daytime due to the fast increase of incident radiation, but also cools faster due to fast radiative 316 losses. On the contrary, the building envelope with a green roof is not directly exposed to the 317 solar radiation. The energy received at the green roof surface needs to be transferred through 318 the conductive heat flux within the substrate which is less efficient than the direct exposure to 319 sun radiation. This issue explains that the maximum building envelope temperature for the EXT 320 green roof only occurred in late afternoon while it occurred around noon for the REF roof. Yet, 321 the green roof substrate also prevents direct building envelope cooling from radiative losses. 322 The presence of substrate is therefore of a key importance for the assessment of building 323 insulation during winter, and its thickness the main factor controlling its efficiency since 324 vegetation is sparse and weakly evapotranspirates under such conditions, whatever the kind of 325 green roof (i.e., EXT or SI) considered. Indeed, the grass transpiration under winter conditions 326 only accounts for a small part of the total evapotranspiration, between 5-20% [51]. Hence, 327 although the vegetation densities are quite different between EXT and SI green roofs (with a 328 percentage of plant cover of approximatively 20-25% for EXT and 100% for SI, which is partly 329 composed of yellow and inactive leaves), it could be expected that the total evapotranspiration 330 is mainly driven by soil evaporation during winter conditions, which depends on atmospheric 331 conditions (identical for the two roofs) and substrate water content (always close to the field 332 capacity during the experiment). That would lead to a similar evapotranspiration for EXT and 333 SI green roof under winter condition despite the differences in terms of LAI and canopy cover, 334 as confirmed by Silva et al. [44].

As illustrated in Figure 5 the conductive heat fluxes within the substrate varied between -20 335 W.m⁻² and 35 W.m⁻² for the EXT green roof (Figure 5a) while it only ranged from -10 W.m⁻² 336 to 5 W.m⁻² for the SI green roof (Figure 5b) for the same period from 2 November to 17 337 338 November 2018. This trend is probably due to heat storage within the substrate which is all the 339 more important that the substrate is deep. Yet it is observed a time lag between (i) the 340 temperature difference between substrate surface and substrate-building envelope interface and 341 (ii) the conductive heat flux within the substrate for the SI green roof (Figure 5b), which did 342 not occurred for the EXT green roof (Figure 5a). Hence the thick substrate of the SI green roof provides large thermal inertia. As a consequence the conductive heat fluxes reaching the 343 344 building envelope exhibit less fluctuation with than without a green roof, and this effect increases with the substrate thickness. That issue was also found by D'Orazio et al. [42] who 345 346 compared EXT green roof with conventional ones.





348 Figure 5: Time series of half-hourly conductive heat fluxes within the substrate and 349 temperature differences between the substrate surface and the substrate-building envelope 350 interface for the (a) extensive and (b) semi-intensive green roofs.

352 **3.4 – Impact of green roofs on winter surface urban heat island**

The impact of the green roofs on winter surface UHI has been explored by comparing the surface temperatures for the REF, EXT, and SI roofs. The surface temperatures for the REF roof are those presented in the previous section and will not be described in this section.

356 Considering the whole period the EXT green roof had median surface temperature of 5.6°C (2°C and 8.4°C for the 1st and 3rd quartiles, respectively), minimum and maximum surface 357 358 temperatures reaching -3.9°C and 18.2°C, respectively. The SI green roof had slightly warmer surface temperature with median value equal to 6.2°C and 1st and 3rd quartiles of 3°C and 8.9°C, 359 360 respectively. The minimum and maximum surface temperature were similar than for the EXT 361 green roof. Considering the whole period, the surface temperature of the SI green roof did not 362 differ significantly to the surface temperature of the REF roof while the EXT green roof is 363 cooler, as indicated by the results of the Wilcoxon statistical tests (Figure 6a). Indeed, the half-364 hourly difference between surface temperatures of the REF and SI roofs typically ranged between -1.1°C and 0.9°C (1st and 3rd quartiles) with a median value of 0.2°C whereas this 365 difference varied between -0.5°C and 1.6°C (1st and 3rd quartiles) with a median value of 0.8°C 366 367 for the EXT green roof (Figure 6b).

Huge differences occurred between surface temperature of the REF, EXT and SI roofs at the 368 369 daily scale (Figure 2c). The surface temperatures for both the EXT and SI green roofs exhibited 370 a similar daily evolution, following the same dynamics than the REF roof: they increased during 371 the morning to reach their maximum around noon before decreasing during the afternoon to 372 their minimum and nocturnal value. However, maximum mean half-hourly surface 373 temperatures only peaked to 8.4°C and 8.9°C for the EXT and SI green roofs, respectively, 374 while it reached 13°C for the REF roof. The nocturnal surface temperatures are similar between 375 the EXT and REF roofs, around 3.5-5°C, but the SI green roof exhibited systematic slightly 376 warmer surface, around 4.5-6°C (Figure 2c).

377 Overall, EXT and SI green roofs only had a slight negative (i.e., cooling) effect on winter 378 surface urban heat island as reported by Teemusk and Mander [40]. However, under diurnal 379 conditions the presence of green roofs resulted in surface cooling. Although this cooling for the 380 SI green roof could be due its higher albedo compared to the REF roof, it would not be 381 consistent for the EXT green roof (its albedo being lower than those of the REF roof, warmer 382 surface would be expected). Hence, it would be probably due to low but significant 383 evapotranspiration even during winter conditions [39,44], which is comparable for EXT and SI 384 green roofs according to Silva et al. [44]. Nevertheless, the SI green roof exhibited 385 systematically warmer surface temperature, by around 1°C, inducing that it had a positive (i.e., 386 warming) effect during the nocturnal conditions compared to the REF roof. It is probably due 387 to its thicker substrate allowing a larger heat capacity and thermal inertia and therefore a slower 388 surface cooling during nighttime.



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Figure 6: Boxplot statistics of (a) the surface temperatures of the reference (REF), extensive (EXT), and semi-intensive (SI) roofs, and (b) the half-hourly differences between surface temperature of the reference roof and the surface temperature for the green roofs. Are also indicated the results from the Wilcoxon statistical test (ns = p-value > 0.05, **** = p-value < 0.0001).

395

396 4 – CONCLUSIONS

This study attempted to investigate the impact of two kinds of green roofs i.e., extensive (EXT) and semi-intensive (SI) green roofs, on both building insulation and surface urban heat island effect under winter conditions. To this aim we compared measurements of surface and building envelope temperatures as well as conductive heat fluxes reaching the external building envelope with those measured on a conventional bituminous roof under identical climatic conditions.

402 While the SI green roof provides a building envelope surface warming compared to the 403 conventional bituminous roof, the EXT green roof has no clear effect on average. However, at 404 the daily scale, although the conventional roof benefits to diurnal solar radiation, and therefore 405 surface heating, it is also exposed to important radiative heat losses during nighttime. On the 406 contrary, green roofs provide an additional insulation layer which diminishes the daily 407 fluctuations of building envelope temperature under winter conditions whatever the kind of 408 green roof. As a consequence, the conductive heat fluxes reaching the external building 409 envelope also suffer to more daily fluctuations for the conventional bituminous roof than for 410 the green roofs. These effects are all the more important that the substrate is thick, owing to its 411 larger heat capacity and thermal inertia. Although it has not been quantified, it could be 412 hypothesized that the reduction of daily fluctuations of conductive heat fluxes would also 413 reduce the building energy consumption by reducing heating loads.

414 On average the green roofs only have an insignificant or slight effect on surface UHI. However 415 they provide a surface cooling during daytime due to even low but significant 416 evapotranspiration. During nighttime the EXT green roof exhibits similar surface temperature 417 than the conventional roof, while the SI green roof is warmer.

418 Therefore green roofs can be suitable urban greening solutions since they do not have negative 419 effect on surface urban heat island during winter while they provide an efficient cooling under 420 summer conditions, and provide building insulation [24,28,31,33].

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425

426 **Declaration of interest**

- 427 The authors declare that they have no known competing financial interests or personal
- 428 relationships that could have appeared to influence the work reported in this paper.

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