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2

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24

25 **Summary (120 words) 116**

26 Infectious diseases are a major burden to global economies, public and animal health.

27 To date, quantifying the spread of infectious diseases to inform policy making has

28 traditionally relied on epidemiological data collected during epidemics. However, interest has

29 grown on recent phylodynamic techniques to infer pathogen transmission dynamics from

30 genetic data. Here, we provide examples where this new discipline has enhanced disease

31 management in public health and illustrate how it could be further applied in animal health. In

32 particular, we describe how phylodynamics can address fundamental epidemiological

33 questions, such as inferring key transmission parameters in animal populations and

34 quantifying spill-over events at the wildlife-livestock interface, and generate important
35 insights for the design of more effective control strategies.

36

37

38 **Text (3500 words) 3492**

39

40 **Controlling the spread of zoonotic diseases**

41 Infectious diseases represent a major concern for public and animal health and global
42 economy, as recent epidemics have resulted in vast socioeconomic damages and in a severe
43 loss of human and animal life. Most of emerging infectious diseases that are reported globally
44 in humans are **zoonotic** (see Glossary), that is, they are naturally transmitted from animals to
45 humans [1,2]. Major examples in the recent past include globally devastating epidemics such
46 as pandemic influenza H1N1 in 2009, Ebola in 2014, coronavirus diseases (i.e. SARS in
47 2003, MERS in 2012 and COVID-19 in 2020) and Zika in 2015 [1,2].

48 Experiences from most recent outbreaks indicate that an effective control of zoonotic
49 diseases requires an integrated collaboration between the animal and human health sectors
50 under the One Health concept [3]. For some diseases, human infections can be prevented
51 through interventions in animal populations, for instance, in rabies with vaccination
52 campaigns for dogs and control of free-roaming canid populations [4,5]. Also, active disease
53 surveillance in animal populations is essential in providing early warning for public health
54 authorities, as is the case for the West Nile fever [6]. Thus, prior knowledge on how infectious
55 diseases spread and can be controlled in animal populations remains crucial for reducing the
56 risk of animal-to-human transmission.

57 Quantifying the transmission dynamics of animal infectious diseases represents one of
58 our longstanding interests to inform policy making. This is usually addressed by fitting
59 mathematical models to epidemiological data (number, date and location of infected animals

60 or premises) collected during outbreaks to estimate key transmission parameters, such as the
61 **basic reproduction number** (R_0 , expected number of secondary infections generated by an
62 infected epidemiological unit in a totally susceptible population) [7]. However, recent
63 extensions in phylogenetics, coined as phylodynamics [8], mean that such question can now
64 be addressed based on the integrated use of epidemiological and genetic data, expanding our
65 capacity to understand disease transmission dynamics and identify suitable interventions.

66 While phylodynamic approaches have been fruitfully applied to a range of global
67 public health threats, such as COVID-19 [9–11], Ebola [12–14], Zika [15,16], Hepatitis C
68 [17], HIV infection [17–21] and dengue [22], they remain overlooked in animal health
69 research, restricting our ability to gain as much insight as possible from epidemics to design
70 more effective control strategies. We provide examples where this discipline has enhanced
71 human infectious disease management and illustrate how they can be further extended to the
72 field of animal infectious diseases. In particular, we describe how phylodynamics can address
73 fundamental epidemiological questions in animal health research, such as inferring key
74 transmission parameters in animal populations and quantifying **spill-over events** at the
75 wildlife-livestock interface.

76

77 **Inferring disease transmission dynamics with epidemiological data**

78 One of the key transmission parameters to estimate during epidemics is the basic
79 reproduction number (R_0) (or the **effective reproduction number** (R_e), which captures the
80 number of secondary infections generated at any time during an epidemic from a partially
81 immune population, also expressed as R_t to reflect changes over time) [7]. The magnitude of
82 R estimates is a useful indicator of the disease transmissibility, epidemic sustainability and
83 control strategies effectiveness. If $R < 1$, the disease will die out in the population because, on
84 average, an infectious individual infects less than one individual; if $R > 1$, the disease will

85 spread exponentially. The objective of control programmes is thus to identify and implement
86 strategies that decrease the R values to less than 1 such that the disease cannot persist in the
87 population. Alternatively, predictive mathematical models use R estimates as inputs to
88 simulate and assess the effect of control strategies during an epidemic [23–25]. Thus, accurate
89 estimation of R values is crucial for disease policy formulation (Box 1).

90 The 2001 foot-and-mouth disease (FMD) epidemic in the UK provides a noteworthy
91 example of how such transmission parameters have played a central role in guiding the
92 development of policies in animal health [26]. Outbreaks of FMD caused severe disruption
93 and economic losses for the country as a whole [27,28] and raised concerns about the
94 management and control of infectious diseases in livestock [29]. Extensive epidemiological
95 data generated during outbreak investigations stimulated the development of a variety of
96 mathematical models that aimed at estimating R values to quantify the disease transmission in
97 different domestic animal populations [30,31], including cattle [32], sheep [33,34] and pigs
98 [33,35] and to evaluate the effectiveness of strategies, including vaccination [36–39], culling
99 [31,36,37] or ban of livestock movement [40] on the disease spread.

100 Although epidemiological data is of paramount importance for quantifying key
101 transmission parameters during epidemics, genetic data is another extremely valuable source
102 of information, which has become increasingly available with the recent advances of
103 sequencing technologies. The growing accessibility of genetic data has subsequently led to
104 recent extensions in **phylogenetics**, coined as **phylodynamics** [8], that aim to generate
105 precise insights into disease transmission dynamics based on the integration of various
106 sources of information, including genetic and epidemiological data.

107

108 **Inferring disease transmission dynamics with genetic data**

109 *First steps in phylogenetics*

110 Genetic data has been specifically used to infer **phylogenetic trees** that show the
111 ancestral relationships among pathogens. In their simplest forms, reconstructing phylogenetic
112 trees requires a sequence alignment and a nucleotide substitution model that describes the
113 nature of nucleotide substitutions (Figure 1) [41]. The model is used to calculate the
114 likelihood of various possible phylogenetic trees, from which the most likely tree is identified.
115 Parts of a phylogenetic tree include: the tips representing the sequences, the branch lengths
116 representing the genetic change (number of nucleotide substitutions) between sequences and
117 the nodes joining two branches representing the most recent common ancestor (MRCA) of the
118 subsequent sequences. A set of sequences with relatively small genetic differences compared
119 to differences between other sequences will be thus clustered together in the tree.

120 In animal health, phylogenetic analyses have been traditionally performed to
121 determine the geographical origin of emerging outbreaks. For example, African swine fever
122 (ASF) virus strains sampled from infected pigs in Georgia in 2007 were identified as closely
123 related to strains circulating in Mozambique, Madagascar and Zambia [42], pointing to an
124 introduction from this region. The underlying explanations were that ASF virus, that is
125 currently responsible of severe outbreaks in domestic pig and wild boar populations in Asia
126 and Europe, entered Georgia via imports of contaminated pig products from Eastern Africa or
127 Madagascar. Phylogenetic analyses also helped detect pathogen genetic diversity during
128 outbreaks. Rift Valley Fever (RVF) is a zoonotic mosquito-borne disease associated with
129 severe economic losses due to death and abortion in infected livestock. During the sequential
130 RVF epidemics in Eastern Africa in 2006, phylogenetic analyses pointed to the existence of
131 multiple viral lineages prevailing in different geographical locations [43]. This suggested the
132 maintenance of RVF virus in these endemic regions through the hatching of infected *Aedes*
133 mosquito eggs or from the low-level cycling of the virus in livestock and humans. Likewise,
134 phylogenetic analyses helped identifying spill-overs of pathogens between species. Examples

135 include recent high-profile subtypes of avian influenza viruses (AIV), such as H5N1 which
136 continues to cause major economic costs with the loss of millions of poultry across Asia and
137 Europe and has raised concern as a potential pandemic threat [44]. Phylogenetic analysis of
138 the 1997 highly pathogenic avian influenza H5N1 outbreak in Honk Kong showed that the
139 circulating viruses were reassortants from H5N1 viruses in poultry and H9N2 and/or H6N1
140 viruses in quail [45]. This led to strict restrictions in Hong Kong's live poultry markets, such
141 as banning the sale of quail with other poultry species together and thorough cleaning of the
142 market on a monthly 'rest-day'.

143 *The new era of phylodynamics*

144 One of the aims of phylodynamics is to infer disease transmission dynamics based on
145 the genome of pathogens sampled during epidemics and associated epidemiological data.
146 Phylodynamics have been mostly developed for the study of rapidly evolving pathogens,
147 especially RNA viruses, for which a large amount of genetic variation occurs over the course
148 of a single epidemic. In such cases, pathogen evolutionary and epidemiological timescales are
149 likely similar, meaning that phylogenetic trees can be used as proxies of the transmission trees
150 [46]. Phylodynamics rely on **molecular clock models** [47,48] and on **population dynamic**
151 **models** (57) (Figure 1). Molecular clock models describe the rate at which nucleotide
152 substitutions occur over time. They allow to convert the branch lengths of a phylogenetic tree
153 from units of nucleotide substitution to units of time and thus, to estimate the time of the
154 MRCA (tMRCA), providing a lower bound estimate for the onset of the epidemic. Population
155 dynamic models describe the history of population dynamics based on genetic sequences
156 sampled the population (Box 1).

157 Thus, phylodynamics have proven useful in infectious disease epidemiology and have
158 been fruitfully applied to diverse global public health threats. Phylodynamic analyses have
159 revealed temporal changes in R_e estimates, providing insights into the epidemiological

160 determinants of disease spread, such as past public health interventions. Phylodynamic
161 inferences from Egyptian Hepatitis C virus (HCV) sequences showed that the increase in R_e
162 estimates coincided with the time when the antischistosomal injection campaigns started,
163 around 1920 [17], supporting the hypothesis that HCV epidemics were caused by viral
164 contamination of antischistosomal injections. Moreover, phylodynamic analyses have helped
165 to highlight heterogeneity in R_e estimates between individuals, allowing the identification of
166 transmission differences within and between risk groups. As an example, the estimates from
167 genetic data showed that HIV transmission dynamics in Latvia were driven by injecting drug
168 user groups between 1998 and 2002 and that the heterosexual groups played a smaller role in
169 HIV transmission [19]. Phylodynamic inferences have also demonstrated the possible
170 estimation of the timeline and the number of unreported cases, since the sampling rate is a
171 parameter that can be estimated in BD models. During the 2014 Ebola virus outbreak in Sierra
172 Leone, the sampling proportion was estimated at around 70% based on phylodynamic
173 inferences, which was in line with previous reports [12].

174 *Applying phylodynamics in animal health research*

175 Quantifying disease transmission dynamics within animal populations

176 First, phylodynamic approaches can be valuable to quantify disease transmission
177 dynamics within animal populations in animal health. Similar to public health, this could
178 provide opportunities to generate hypotheses related to the impact of past interventions.

179 Peste des petits ruminants (PPR) is a highly contagious viral disease of small
180 ruminants, causing severe mortality and production loss in developing countries [50]. Despite
181 control efforts including live animal movement control and mass annual vaccination, the
182 disease continues to spread across Asia and Africa and threatens farmers' livelihoods and
183 international trade in affected countries. Given the rapid and on-going spread of PPR across
184 Asia and Africa, it was crucial to determine whether past interventions had any significant

185 effect on disease transmission dynamics. Phylodynamic inferences using PPR virus sequences
186 from different regions in Asia and Africa showed a decrease in N_e estimates since late 1990s,
187 which was likely associated to effective control strategies, such as the start of mass
188 vaccination campaign in different regions [51]. Other phylodynamic inferences based on
189 Chinese PPR viral sequences revealed an increase in N_e estimates at the beginning of 2014,
190 which was likely associated with increasing lamb meat trade during Spring Festival [52].
191 Similarly, phylodynamic approaches were used to support the paramount importance of
192 vaccination strategies during outbreaks of Infectious Bronchitis virus (IBV), a highly
193 infectious respiratory disease of poultry, responsible for substantial economic loss for the
194 poultry industry [53]. The high-profile of IBV is mainly linked to its high variability and rapid
195 evolution, leading to a large number of strains circulating during outbreaks and limited
196 vaccine effectiveness due to poor cross-protection. Analyses of IBV sequences from Italian
197 poultry farms demonstrated a decrease in N_e estimates between 2013 and 2014, reflecting the
198 implementation of the large-scale vaccination trial, followed by a sharp increase in 2015,
199 likely associated with stop of the trial since the reduction in the number of outbreaks was
200 considered unsatisfactory by the authorities [54]. Similar analyses were applied to ASF virus
201 sequences in Eurasia and Africa and showed a continuous increase in N_e estimates from the
202 18th to the 21th century, which, according to the authors, was likely attributed to the
203 continuous viral circulation in the region as well as the growing intensity of pig trade during
204 the 19th century [55]. As a last example, phylodynamic analysis was applied to West Nile
205 virus (WNV) sequences obtained from birds, horses, mosquitoes and humans in Italy between
206 2011 and 2018 [56], with results showing an increase in R_e estimates in 2015-16 and 2017-18.
207 Since humans and horses are dead-end hosts in the epidemiological cycle of WNV, this
208 suggested that the increase in R_e was linked to an increase in reservoir birds or vectors during
209 this period.

210 Quantifying disease transmission dynamics between animal populations

211 With the recent development of structured population dynamic models,
212 phylodynamics also represent a promising technique to quantify disease transmission
213 dynamics between animal populations in animal health, in particular at the wildlife-livestock
214 interface (Figure 3).

215 While a number of phylodynamic studies have been attempted, including examples
216 with FMD, ASF, avian influenza and Newcastle, most of them have relied on **discrete trait**
217 **analysis (DTA) models** [51,57–65], rather than structured population dynamic models.
218 Originating from the field of phylogeography, DTA models were adapted to describe the rate
219 at which pathogen lineages transmit between different species as analogous to a nucleotide
220 substitution process, independently from the population dynamics [66]. These approaches
221 provided quantitative insights into disease transmission dynamics (i) between different bird
222 populations during H5N8 outbreaks in Europe, North America and Asia [57,58], H9N2 and
223 H5N1 outbreaks in Asia and Africa [59,60,67,68] and Newcastle disease outbreaks in China
224 [69,70], (ii) between domestic pigs, wild suids, and *Ornithodoros* ticks during ASF outbreaks
225 in Eurasia and Africa [55], between different pig farming systems during porcine reproductive
226 and respiratory syndrome virus (PRRSV) outbreaks in North America [64,71] and (iii)
227 between cattle and African buffalo (*Syncerus caffer*) or water buffalo (*Bubalus bubalis*)
228 during FMD outbreaks in Eastern Africa [61] or Asia [62], respectively. However, despite
229 their higher computational efficiency as compared to structured population dynamic models,
230 DTA models have been identified as very sensitive to heterogeneous sampling across species,
231 which can result in biased estimates of transmission parameters [72,73].

232 Very few research studies have implemented structured population dynamic models as
233 an alternative approach to DTA. Examples concern the H5N2 outbreak in North America
234 [63,74], in which transmission parameters were estimated between different bird species using

235 a multi-type BD model. Authors showed that the wild bird-to-poultry and wild bird-to-wild
236 bird transmission rates were greater than the poultry-to-wild bird and poultry-to-poultry
237 transmission rates, respectively [63], suggesting that the transmission could not be maintained
238 in poultry without spill-overs from wild birds (Figure 4). They also showed that the
239 transmission rate from layer chicken to turkey populations was greater than the reverse [74],
240 indicating that the poultry type played a role in the H5N2 outbreak dynamics. Another
241 example concerns PRRSV outbreaks [71] that remains the most important pig disease in the
242 United States, due to the continuous emergence of new outbreaks resulting in severe
243 economic losses for the pig industry [75]. Based on a structured coalescent model, authors
244 investigated the transmission events leading to the occurrence of PRRSV outbreaks in
245 different pig farming systems in North America and showed a clear transmission dominance
246 occurring from farms of non-commercially related pig systems compared to farms from the
247 same pig system.

248 Expanding the field of phylodynamics to slowly evolving pathogens

249 Although phylodynamics are best applied to rapidly evolving pathogens, the
250 development of whole genome sequencing technologies has also made possible the expansion
251 of this field to the study of slowly evolving pathogens [76,77].

252 *Mycobacterium bovis* (*M. bovis*), the aetiological agent of bTB, represents a key
253 example in animal health to illustrate how phylodynamics offer promises to quantify disease
254 transmission dynamics, even for slowly evolving pathogens. *M. bovis* is thought to be
255 maintained in a variety of wild animals, from which spill-over infections are associated with
256 outbreaks in livestock and humans [78]. bTB in cattle has been effectively controlled in many
257 countries thanks to regular tuberculin testing programmes associated with slaughter of
258 infected herds. In other countries such as the UK, Ireland and New Zealand, eradication
259 efforts have been hampered by wildlife reservoirs, that are suspected to continually re-infect

260 cattle populations. Again, the majority of *M. bovis* cross-species transmission parameters have
261 been estimated using DTA models. They allowed inferring *M. bovis* transmission rates
262 between elk (*Cervus elaphus nelsoni*), white tailed deer (*Odocoileus virginianus*) and cattle
263 populations in North America [79]. Estimates showed that the source of bTB infection for
264 cattle was more likely for deer than elk populations, suggesting that bTB eradication efforts
265 should be targeted at deer populations rather than elk. DTA models based on *M. bovis*
266 sequences from cattle and different wildlife populations in New Zealand provided high
267 estimates of transmission rates between wildlife and cattle [80]. Despite being unable to
268 estimate the transmission direction due to low genetic variability of *M. bovis* and asymmetric
269 sampling across species, the low bTB prevalence in New Zealand cattle populations supported
270 the fact that wildlife populations are likely acting as reservoirs and should remain the target of
271 control campaigns. One recent phylodynamic study based on a structured coalescent model
272 allowed providing more robust estimates of *M. bovis* cross-species transmission in the UK
273 [81]. The directional cross-species transmission rates indicated that badger-to-cattle
274 transmission occurred more frequently than cattle-to-badger and that within-species
275 transmission was greater than between-species transmission, highlighting the importance of
276 targeting both populations for effective control.

277

278 **Concluding remarks and future directions**

279 Infectious diseases are important concerns in animal health, and their effective
280 prevention and control is widely expected to improve human health. Existing studies in public
281 health have demonstrated how insightful phylodynamic approaches could be, highlighting the
282 value of genetic data for a broader understanding of infectious disease transmission. To date,
283 phylodynamics are not common in animal health research, impacting our collective ability to
284 effectively manage infectious diseases at the wildlife-livestock interface. Although there are a

285 number of applications, they are not systematically based on population dynamic models
286 (structured or not) that allow for the direct estimation of key epidemiological parameters (i.e.,
287 R_e , β , δ or ρ). Moreover, the majority of studies do not fully leverage all benefits of
288 phylodynamic tools, while more detailed information can be obtained, including estimates of
289 the timeline and the total number of cases/first imported cases or estimates of the types of
290 transmission events that gave rise to them (i.e., local transmission versus importations), that
291 are crucially important to guide disease control efforts. Promoting phylodynamics in the field
292 of animal health research should minimize the impact of epidemics by generating a greater
293 understanding of pathogens evolution and transmission and control, in which human-animal
294 interactions are embedded.

295 Despite the great achievements of phylodynamics to inform disease control, major
296 challenges include missing and inaccurate data, as well as a lack of data sharing (see
297 Outstanding Questions). This is due to various factors, such as the difficulty of collecting
298 samples, costs of sequencing and proprietary interests [82]. Several collaborative efforts have
299 been done to make sequences and associated epidemiological data publicly and timely
300 available through web-based platforms, such as COVID-19 Data Portal, IRD [83] and
301 GISAID [84,85], during recent high-profile outbreaks. However, there is currently no
302 guidelines to ensure that data are shared as widely and as quickly as possible, emphasizing the
303 fact that the global research community should agree on standard tools and practices that
304 promote cooperation to address the barriers to data sharing. Moreover, pathogen evolutionary
305 complexities, such as **recombination** or within-host diversity, pose significant challenges to
306 the field [86,87]. One problem arising from recombination is that the ancestral relationships
307 among genetic sequences have to be represented by a phylogenetic network instead of a tree.
308 Due to within-host diversity, pathogen isolates sampled from a given host are not necessarily
309 genetically identical to those transmitted by the host, obscuring the relationship between the

310 phylogenetic and transmission trees. However, phylodynamics is a growing field and novel
311 phylodynamic models that are able to accommodate such complexities [88,89] have been
312 developed to efficiently infer pathogen transmission dynamics between hosts.

313 Genetic data combined with epidemiological data offers the potential for
314 unprecedented insight into infectious diseases spread between human and animal populations.
315 However, this potential can be compromised when a poorly sampled population contributes to
316 transmission, as strong biases in the data are inevitable. Experiences have shown that most
317 emerging infectious disease outbreaks involve wildlife as maintenance hosts or reservoirs
318 [90], such as badgers for bTB, wild migratory birds for HPAI and West Nile, wild boars for
319 ASF, chimpanzees and gorillas for Ebola, wet market animals for SARS and COVID-19,
320 making it sometimes challenging to generate data from these hard-to-sample populations.
321 Detection and diagnosis of infectious diseases in wildlife compartments have been
322 considerably hampered by lack of existing government wildlife surveillance, difficulties in
323 deploying teams for field investigation, lack of sustainable funding and insufficient laboratory
324 capacity [91,92]. Thus, prevention and control of infectious diseases in humans must involve
325 an interdisciplinary and holistic approach that acknowledges the importance of wildlife
326 surveillance.

327

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337

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339

340 **References (80-100) 98 references**

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537

538 **Figures legends**

539 **Figure 1. From field samples to epidemiological parameters.** Recent extensions of
540 phylogenetics, coined as phylodynamics, mean that disease transmission parameters can be

541 now inferred based on genetic data. First, pathogen field samples are collected during an
542 epidemic, from which genetic sequences are obtained. Then sequences are aligned based on
543 their nucleotides to identify homologous genetic positions. Aligned genetic sequences are
544 usually represented as rows in a matrix, with gaps (-) inserted so that homologous nucleotides
545 are aligned in successive columns. A nucleotide substitution model that describes the nature
546 of nucleotide substitutions is then used to calculate the likelihood of various possible
547 phylogenetic trees, from which the most likely tree is identified. The molecular clock models
548 describe the rate at which nucleotide substitutions occur over time. They allow to convert the
549 branch lengths of a phylogenetic tree from units of nucleotide substitution to units of calendar
550 time and thus to estimate the time of the MRCA (t_0) providing a lower bound estimate for the
551 onset of the epidemic. A population dynamic model that describes the history of population
552 dynamics based on genetic sequences sampled the population is then fitted to the
553 reconstructed phylogenetic tree which allows to quantify epidemiological parameters such as
554 the basic reproduction number (R_0).

555

556 **Figure 2. Example of multi-type birth-death model to study avian influenza virus**
557 **transmission between wild bird, domestic poultry and human populations.** Under this
558 model, transmission can occur within wild bird ($\beta_{\text{wild bird} \rightarrow \text{wild bird}}$), domestic poultry (β_{poultry
559 $\rightarrow \text{poultry}}$) and human ($\beta_{\text{human} \rightarrow \text{human}}$) compartments. In addition, transmission is also possible
560 between compartments: from domestic poultry to human ($\beta_{\text{poultry} \rightarrow \text{human}}$) and vice versa (β
561 $\text{human} \rightarrow \text{poultry}$), and from wild bird to domestic poultry ($\beta_{\text{wild bird} \rightarrow \text{poultry}}$) and vice versa
562 ($\beta_{\text{poultry} \rightarrow \text{wild bird}}$). This model also assumes a becoming non-infectious rate for the human (δ
563 human), domestic poultry (δ_{poultry}) and wild bird ($\delta_{\text{wild bird}}$) compartments and a sampling
564 probability with which infected humans (ρ_{human}), domestic poultry (ρ_{poultry}) and wild birds (ρ
565 wild bird) are sampled and sequenced.

566

567 **Figure 3. Wildlife-livestock interface for the transmission of infectious diseases.** A)
568 European wild boar (*Sus scrofa*) populations are known to spread African swine fever to
569 domestic pigs (*Sus scrofa domesticus*) by indirect contact (such as B) when drinking from
570 water troughs) or C) by direct contact (D) European badgers (*Meles meles*) can become
571 infected with bovine tuberculosis (bTB) and transmit the disease to cattle by indirect contact,
572 such as when E) drinking from water troughs or F) eating from cattle feed. G) Wild birds, such
573 as greylag goose (*Anser anser*), can act as vectors of avian influenza and can infect domestic
574 poultry (such as H,I) cattle egret (*Bubulcus ibis*) by coming in close proximity to poultry on
575 free range farms. [Image credits: (A) Karin Bernodt, SVA (B) Ariane Payne, OFB (C) Jean
576 Hars, OFB (D) BadgerHero Wikimedia Creative Commons (E,F) Ariane Payne, OFB (G)
577 G.Balança CIRAD (H) C. LeGall ENVT (I) M. Delpont ENVT]

578

579 **Figure 4. Time-calibrated maximum clade credibility (MCC) phylogenetic tree of H5N2**
580 **sequences from wild birds and poultry during the 2014–2015 outbreak in North**
581 **America** [63]. MCC tree showing ancestral hosts for HPI H5N2 recovered using the multi-
582 type birth-death model. Branch tree colors represent the most probable host types of the
583 isolate ancestors (domestic poultry or wild bird). Authors were able to infer cross-species
584 transmission at a coarse scale: notably, H5N2 was likely introduced into poultry farms by
585 transmission events from infected wild birds, and that once the virus entered the poultry
586 production system, transmission was mainly driven by poultry production-related mechanisms
587 illustrated by close phylogenetic distance among sequences from poultry populations, with a
588 few transmission events back to wild birds.

589

590 **Text Box - 411 words**

591 Box 1. Epidemiological and phylodynamic models

592 In animal health, R estimates have been traditionally inferred by fitting mathematical
593 models to epidemiological data collected during outbreak investigations. The susceptible-
594 infected-recovered (SIR) model is one of the simplest and most frequently used mathematical
595 models. It divides the population into three compartments, each containing individuals that
596 are identical in terms of disease status, i.e. susceptible (S), infectious (I) and recovered (R),
597 and is dynamic in that the individuals move from one compartment to another over time. A
598 variety of other models are derivatives from it: the susceptible-infectious-susceptible (SIS)
599 model assumes no immunity against re-infection, the susceptible-exposed-infectious-
600 recovered (SEIR) model assumes a latent period before becoming infectious and the
601 susceptible-infectious-recovered-susceptible (SIRS) models assumes a temporary immunity
602 against re-infection but no latent period.

603 In public health, R estimates have been increasingly inferred by fitting population
604 dynamic models to genetic data collected during outbreak investigations. **Coalescent models**
605 [93,94] were the first applied to epidemics. They describe the rate at which a subset of
606 pathogen lineages of a phylogenetic tree merge backward in time, until a common ancestor is
607 reached. In that sense, the coalescent allows to estimate the **effective population size** over
608 time (N_e), which describes the level of genetic diversity within a pathogen population over
609 time and can be translated to the effective number of infections over the course of the
610 epidemic [95]. Other population models were developed as alternatives to coalescent models,
611 named as **Birth-Death (BD) models** [17,18]. They describe the rate at which pathogen
612 lineages of a phylogenetic tree split and go extinct forward in time and can be translated to the
613 rate at which infected hosts transmit and die/recover over the course of the epidemic.
614 Therefore, in comparison to coalescent models, BD models allow for the direct estimation of

615 key epidemiological parameters, such as the birth/transmission (β) and death/recovery (δ)
616 rates, and provide R (β/δ) estimates comparable to those inferred by mathematical models
617 (Figure 2) [96,97]. They also allow to explicitly model the sampling process through a
618 sampling (ρ) rate parameter, while coalescent models assume a small random sampling from
619 a large population, which might not be realistic in the case of well-monitored epidemics.
620 More recently, these population dynamic models have been extended to account for structured
621 populations, be they time-, species- or geographic-related, referred to as **structured**
622 **population dynamic models**, such as the multi-type BD [19,20] or the structured coalescent
623 [72,73,98] models, allowing to quantify transmission rates in structured populations and
624 making the estimations more robust to heterogenous sampling.

625

626