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# Analyzing the microstructure of a fresh sorbet with X-ray micro computed tomography: sampling, acquisition, and image processing

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75231, Paris, France.

# 9 Abstract

10 X-ray micro-computed tomography and image processing techniques were used to analyze fresh frozen sorbets at the outlet of a batch freezer. Sorbets made from water and sucrose were visualized 11 and their microstructure was quantified with a resolution of 9 µm. Sodium iodide was confirmed to 12 enhance the contrast between the unfrozen water and ice in sorbets. A thermostated box was 13 employed to keep the samples at frozen state and constant temperature (close to -6 °C) during 14 15 imaging. A reproducible quantification of size distributions and volume fractions of ice crystals and air 16 bubbles were obtained. Data concerning ice crystals were in agreement with cryo-SEM imaging. Ice crystals represented approximately 50% wt of the product and their mean size was about 60 µm 17 whereas air bubbles represented about 6% of the volume. Finally, X-ray microtomography equipped 18 19 with a thermostated box was found to be a particularly relevant technique for the analysis of the 20 microstructure of frozen desserts.

## 21 Keywords

22 Fresh sorbet; Microstructure; X-ray micro-computed tomography; image processing.

#### 23 **1. Introduction**

24 A sorbet is a frozen and multiphasic system, with ice crystals and air bubbles as dispersed phase, 25 and an unfrozen cryoconcentrated solution as continuous phase. The freezing step takes place in a 26 scraped surface heat exchanger (SSHE) or freezer, and is the core of the manufacturing process. The mixture of the ingredients, or mix, enters the freezer at approximately 4 °C; ice crystals and air 27 28 bubbles are generated while the residual matrix containing unfrozen water is continuously concentrated into solids components (i.e. sugars, stabilizers). At the outlet of the exchanger, the 29 30 sorbet contains an average of 40% wt of ice and air accounts for up to 30% of the volume. The final temperature of the product is between -5 °C and -6 °C (Clarke, 2012; Goff and Hartel, 2013; Stogo, 31 32 1998). Ice creams, sorbets and their derivatives are consumed at frozen state, so their sensory 33 properties are strongly dependent on ice crystals features (i.e. number and size). Controlling the 34 amount of air is another important factor as air has also a significant influence on the textural properties of the finished product (Clarke, 2012; Goff and Hartel, 2013). The unfrozen phase, which is 35 cryoconcentrated in sugars and stabilizers during the freezing process, also has an effect on the 36 structural and textural properties of a sorbet. Moreover, the increase in concentration of solids as well 37 38 as the decrease in temperature influence the viscosity of this unfrozen phase (Masselot et al., 2020); 39 this could have an effect on heat transfers or on diffusion mechanisms necessary for crystallization 40 (Marshall and Goff, 2003).

41 Microscopic techniques, such as Scanning Electron Microscopy (SEM) or cryo-SEM, 42 Transmission Electron Microscopy (TEM) and optical microscopy were often used to study ice crystals 43 and air bubbles size and distribution in ice creams or sorbets. Several authors studied the effect of 44 formulation with cryo-SEM (Fernandez et al., 2007; Flores and Goff, 1999; Goff et al., 1993; Yuennan 45 et al., 2014) or using thermostated optical microscopic devices (Bolliger et al., 2000; Chang and 46 Hartel, 2002a; Donhowe et al., 1991; Drewett and Hartel, 2007; Faydi et al., 2001). Other microscopic 47 studies focused on the influence of freezing parameters or of storage conditions (Caillet et al., 2003; 48 Cook and Hartel, 2011; Donhowe and Hartel, 1996a, b; Eisner et al., 2005; Russell et al., 1999; Sofjan 49 and Hartel, 2004). Microscopic techniques are powerful as they offer high spatial resolutions; they are 50 useful to visualize the microstructure of frozen foods such as sorbets. However, microscopic 51 techniques also present several drawbacks. First, some of them are invasive and sample preparation 52 sometimes requires denaturing the product for example by substituting the ice crystals with a resin, by 53 freeze-drying the sample or by isolating the ice crystals by precipitation (Buyong and Fennema, 1988; Chang and Hartel, 2002b; Park et al., 2006; Thiebaud et al., 2002). Furthermore, microscopic technics 54 only allow a two-dimensional visualization of the sample; results are then greatly dependent on the 55 56 selected plane. The number of particles analyzed has to be sufficiently large to ensure statistically 57 representative results (Hernández Parra et al., 2018). Most of the time, the number of objects per 58 image is not sufficient and several images have to be carefully selected along the sample surface to collect enough representative information. It is often difficult to obtain reliable quantitative data (Guo et 59 60 al., 2017; Mulot et al., 2019).

61 Compared to the number of studies cited above, only a small number of recent studies use 3D 62 imaging techniques to analyze the ice and the air phases of frozen desserts. In particular, X-ray micro-63 computed tomography (X-ray micro-CT) is well adapted to the typical size of the frozen food 64 microstructures (above 10 µm). This method measures the level of attenuation of X-rays of the different materials constituting of a sample; this attenuation coefficient is a function of the atomic 65 66 number, the density and the thickness of the material (Mousavi et al., 2005). The sample is placed on 67 a rotating stage between an X-ray source and a detector and radiographs are acquired from different angles. Hundreds of 2D slices are collected. Finally, 3D images are reconstructed from these 68 69 radiographies and can be treated with several image processing techniques to give access to the 3D 70 microstructure (Landis and Keane, 2010). X-ray micro-CT can be non-invasive and non-destructive 71 even if most of the studies published about the microstructure of frozen foods was restricted to 72 ambient temperature by using a prior freeze drying step, which is an indirect and destructive technique 73 (Kobayashi et al., 2014; Mousavi et al., 2005; Mousavi et al., 2007; Mulot et al., 2019; Ullah et al., 74 2014; Zhao and Takhar, 2017). In the case of ice cream or sorbet, freeze drying is not applicable since 75 the product would be totally melted; therefore the sample has to be observed directly at frozen state. 76 Van Dalen (2012) successfully applied this technique to observe air bubbles in ice cream by coupling 77 X-ray micro-CT with a specific Peltier cooling system to keep the sample down to -20 °C. The 78 investigation of air microstructure using X-ray micro-CT was found to be particularly adapted since the 79 contrast between air and condensed materials is high. Pinzer et al. (2012) studied the effect of a 80 change in temperature during storage of ice cream on the size of ice crystals and air bubbles; the 81 authors used a laboratory X-ray source placed in a cold lab which temperature oscillated between -82 20 °C and -8 °C. They also showed that the addition of approximately 3% of sodium iodide as a

83 contrast agent allowed enhancing the phase contrast between the two aqueous phases of ice cream (i.e. ice phase and unfrozen phase). Other authors described the influence of temperature cycles on 84 the size and morphology of ice crystals and air bubbles in ice cream using in-line phase contrast 85 86 synchrotron X-ray tomography technique in order to enhance the contrast between liquid water and ice 87 and improve image quality and resolution (Guo et al., 2018; Guo et al., 2017; Mo et al., 2019; Mo et 88 al., 2018). These authors developed a complex cold stage that was incorporated into the synchrotron 89 line. These interesting studies established the possibility of analyzing frozen desserts with X-ray micro-90 CT. Since commercial micro-CT devices are adapted for ambient temperature imaging and not 91 equipped with thermostated stage, specific tools have been developed to maintain the samples at 92 frozen state during imaging; they are powerful but also complex and difficult to reproduce. Therefore, 93 the use of a simple thermostated system which would be easy to reproduce is a challenge. 94 Furthermore, the previous articles also contributed to a better understanding of the complex 95 mechanisms occurring during the storage of ice cream: indeed, when the temperature fluctuates, 96 recrystallization and coalescence of air bubbles occur. Imaging a fresh frozen dessert using micro-CT 97 directly after the freezing process would allow understanding the influence of this process or of the formulation on the initial formation of the microstructure and would be innovative. However, such an 98 99 analysis is difficult since the product is particularly sensitive at these temperatures (i.e. about -6 °C). 100 Finally, describing in details methodologies used for image processing is another challenge since the 101 publications rather referred to other papers specific to image analysis.

102 The main objective of the present study was to apply X-ray micro-CT to visualize and quantify ice 103 crystals and air bubbles in a fresh sorbet containing water, sugar and a contrast agent. The goals of 104 this work were to i) maintain the sorbet at constant temperature and frozen state during imaging by 105 using a thermostated box; this box was simple to use and easy to reproduce ii) establish a reliable and 106 reproducible methodology of micro-CT acquisition to visualize the microstructure of a fresh sorbet 107 directly after its freezing in a batch freezer; iii) develop reliable image processing methods to analyze 108 ice crystals and air bubbles; iv) obtain quantitative data about the microstructure confirmed with cryo-109 SEM imaging.

## 110 2. Materials and methods

#### 111 2.1.Preparation and freezing of the sorbet mixes

112 A simple formulation of sorbet containing water and sucrose was studied. Sucrose was purchased 113 from Béghin Say®. The sugar concentration was set at 25% wt to represent that of an industrial sorbet mix (Clarke, 2012; Goff and Hartel, 2013). Sorbet mixes were prepared with deionized water 114 (conductivity 17 µS.m-1) to ensure constant quality of water. Mixes were obtained by dispersing 115 sucrose in water at ambient temperature under agitation using a magnetic stirrer. They were then 116 117 cooled at 4 °C for at least 12 hours before freezing. In order to improve the contrast between the ice crystals and the unfrozen residual solution during micro-CT imaging (Pinzer et al., 2012), 30g of 118 119 sodium iodide (Nal purchased from Merck®, CAS Number 7681-82-5) was added per kilogram of mix 120 just before the freezing step. Mixes without sodium iodide were also prepared to study its effect on 121 phase contrast.

122 The mixes (800 mL) were frozen in a batch domestic scraped surface heat exchanger (Magimix® Gelato Expert); it was equipped with two scrapping blades rotating at about 50 rpm. The temperature 123 124 of the sorbet was monitored regularly during freezing with a penetration thermometer (accuracy 125 0.5 °C; Testo 104, Testo, Forbach, France). Since the objective of the present study was to observe 126 the microstructure of a sorbet at the end of the freezing process in a SSHE, freezing was stopped when the sorbet temperature reached -6 °C corresponding to classical temperatures encountered for 127 sorbets at the outlet of an industrial scraped surface heat exchanger. The freezing time to reach this 128 temperature was about 25 minutes whatever the formulation of the mix (i.e. with or without Nal). To 129 ensure the same freezing conditions and thermal history of each sample, a batch was carried out per 130 131 sample.

#### 132 2.2.X-ray micro-computed tomography

#### 133 **2.2.1.** Sampling

Once the desired temperature in the freezer was reached, a pre-cooled plastic straw (6 mm of diameter, 2.3 cm long) was inserted in the center of the freezer to extract a small quantity of fresh frozen sorbet. The most efficient geometry to scan is a cylinder; the use of straws was therefore a good compromise. The accuracy of the tomographic analysis is related to the distance between the sample and the X-ray source, which is limited by the size of the sample. A small sample close to the X-ray source enables high accuracy. To ensure that the temperature of the sorbet was maintained at
about -6 °C during the tomographic scan, the frozen samples were placed in a specific thermostated
box designed and manufactured in our laboratory with photopolymer resins thanks to a 3D printer
(Formlabs®, Form 2). This is shown in figure 1.

143 The first part of the device consisted of a cylindrical double jacket box (2 cm of diameter, 2.5 cm long) made of transparent resin (Clear resin FLGPCL04, Formlabs®) and containing a phase change 144 material (PCM) at -6 °C (E-6, Cristopia Energy Systems®) gelled with a 2%wt commercial gum blend 145 (Germantown Premium IC Blend, Danisco). The PCM had two functions: it kept the frozen sample at 146 147 the right temperature during scanning and was used as a melting indicator if the temperature rose above -6 °C. Another cylindrical double jacket box (3.5 cm of diameter, 6 cm long) made of gray resin 148 149 (Grey resin FLGPGR04) was printed to surround the first box; it was filled with an expansive insulating foam. The thermostated box was stored in a freezer at -10 °C before micro-CT analyzes. 150

#### 151 2.2.2. Image acquisition of frozen samples

The sample conditioned in the thermostated box was positioned on the rotating stage of the X-ray micro-CT (DeskTom 130®, RX Solution, Chavanod, France) as close as possible to the X-ray source so that its middle part was analyzed with a voxel resolution of 9μm. The system was operating at an Xray tube voltage of 50 kV and a current intensity of 160 μA.

A preliminary thermal study of the sample during a tomographic analysis was carried out to determine the maximal possible scanning time without sample melting. Three calibrated thermocouples (type T) were used: one was placed in the center of the straw filled with sorbet, another one was placed in the PCM material and the third one measured the temperature of the air in the micro-CT close to the thermostated box. The temperature was recorded during 30 minutes; it allowed setting the CT scan duration (see section 3.1).

Imaging the rotating sample allowed obtaining attenuation profiles of the entire sorbet sample according to the angle of acquisition (i.e. projections). Tomographic reconstruction was then applied to obtain 2D slices and the 3D volume of the sorbet from these projections. XAct 2® software (RX Solution, Chavanod, France) was used for the reconstruction operation using a filtered back-projection algorithm; this is the most popular reconstruction algorithm used at present in CT applications (AI Hussani and Ali Al Hayani, 2014). A filter was then applied to correct ring artefacts which result from a
 non-linear response of the micro-CT detector (Hseih, 2009). More than 1300 slices were provided in
 169 16-bits resolution from the volume reconstruction (i.e. grayscale levels from 0 to 65536).

170

#### 2.2.3. Image processing and quantitative analysis of air bubbles and ice crystals

171 After the 3D volume reconstruction, data were loaded on Avizo 2019.1® software (Thermo Fisher 172 Scientific, Waltham, USA) for image analysis. In order to reduce the processing time to a few minutes, 173 a cubic sub-volume (360 x 360 x 360 voxels equivalent to a 3.2 mm side cube) was cropped at the 174 center of the reconstructed 3D volume. This sub-volume was confirmed to be higher than the 175 Representative Elementary Volume defined for typical microstructure sizes encountered in frozen 176 foods (Vicent et al., 2017). The three phases of the sorbet (i.e. air, ice, unfrozen matrix containing the contrast agent) having different densities, they are expected to demonstrate different gray levels. The 177 178 aim of image processing is then to create separations between the particles of the phase of interest 179 (i.e. air phase or ice phase) in order to individualize them and then obtain the quantitative data. 180 Depending on the characteristics of the phase and in particular its homogeneity in terms of gray level, 181 several image processing options are available for further treatment (User's Guide Avizo Software 182 2019). The treatments for image processing of the air and ice phases are presented in the results 183 section. Once the elements of interest were isolated and separated from each other, it was possible to 184 extract quantitative information about each of the particles. Data were then exported to analyze ice 185 crystals or air bubbles size distribution and volume fraction of these two phases. Equivalent diameter 186 of each particle was calculated as described by equation 1. In 3D geometry, it represents the diameter 187 of a sphere having the same volume as the particle.

188 
$$d_V = \sqrt[3]{\frac{6V}{\pi}} \tag{1}$$

189 where V is the volume of the particle.

The resolution limit of micro-CT analysis to identify structures is commonly assumed to be between two and three voxels size (Pinzer et al., 2012; Vicent et al., 2017), in the case of the present study this corresponds to particles having equivalent diameters larger than 20 µm. Therefore objects smaller than 20 µm were excluded from the analysis described below. 194

The volume fraction of ice in the sample without air  $\varphi_i$  was calculated according to equation 2.

195 
$$\varphi_i = \frac{V_{i0}}{V_{i0} + V_m}$$
 (2)

where  $V_{i0}$  is the initial volume fraction of ice with air (%) and  $V_m$  the initial volume fraction of the unfrozen matrix with air (%). The mass fraction of ice  $X_i$  is then obtained with equation 3.

198 
$$X_i = \frac{\varphi_i * \rho_i}{\rho_S} \tag{3}$$

where  $\rho_i$  is the volumetric mass density of ice and  $\rho_S$  the volumetric mass density of the sorbet at a given temperature.

At the end of the image processing, a three dimensional view of the phase of interest was obtained. Three sorbets samples were analyzed using X-ray micro-CT.

#### 203 2.3.Cryo-scanning electron microscopy

204 Cryo-SEM measurements were carried out in an external laboratory equipped with scanning 205 electron microscopy (Electron Microscopy Facility, IBPS, Paris, France), in order to compare imaging 206 results to those obtained with the microtomographic method. In order to avoid melting or modification 207 of the sorbet, the freezer was installed in this laboratory in the vicinity of the cryo-SEM facility and 208 mixes were frozen on site. The fresh frozen sorbet was taken in a plastic straw and directly immersed 209 in liquid nitrogen. The straw was then cut and a fragment of sorbet was extracted for cryofracture. 210 Fractured surfaces were observed using cryo-SEM (GeminiSEM 500, Zeiss) at -120 °C, the pressure in the equipment was 1.6x10<sup>-4</sup> Pa. The accelerating voltage was 3.00 kV or 0.790 kV and the 211 magnification varied from x13 to x10 000. The pixel resolution was from 9 µm to 11 nm. Image 212 213 processing was also performed using Avizo 2019.1®; manual segmentation and separation of the 214 particles were performed. Equivalent diameters of particles were obtained with the equation 4. In 2D, it 215 represents the diameter of a disk having the same area as the particle.

216 
$$d_s = \sqrt{\frac{4*S}{\pi}} \qquad (4)$$

#### 217 where S is the surface of the particle.

Since the availability of the cryo-SEM facility was limited, only one sample could be analyzed with
 cryo-SEM. However, the entire cryo-fractured area was imaged so as to visualize a sufficient number
 of objects (more than 200) for image analysis.

## 221 3. Results and discussion

#### **3.1.Temperature of sorbets during micro-CT measurements**

223 The temperature of the sorbet in the thermostated box and of the PCM which surrounds the sorbet 224 was recorded during a tomographic imaging (Figure 2) in order to ensure that the sorbet remained 225 frozen and at a temperature close to -6 °C during the tomographic scanning. This study was also intended to fix the imaging duration. The temperature of the air in the micro-CT device was stable at 226 227 around 21 °C (data not shown). The sorbet was imaged at a temperature between -7 °C and -8 °C; this temperature was maintained during about 20 minutes in the thermostated box as illustrated by the 228 229 red arrow in Figure 2., Therefore, the thermostated box demonstrated its ability to maintain sorbets at 230 frozen state and at constant temperature during a micro-CT imaging if the scanning time is sufficiently short. In the case of the present study, the duration of the micro-CT scanning was set at 12 minutes. 231

# 232 3.2.Effect of sodium iodide on the contrast of X-rays radiographs

233 Micro-CT images after the reconstruction step for sorbet samples without and with Nal are shown 234 respectively in Figure 3 (a) and (b). Air appeared in black, the ice phase and the unfrozen matrix were 235 in gray. As expected, the visual differentiation of the two aqueous phases was much easier in the 236 sample containing Nal: ice was in dark gray, unfrozen matrix was in light gray and surrounded the ice 237 crystals and the air bubbles (Pinzer et al., 2012). Figures 3 (c) and (d) present the grayscale histograms obtained for the sorbet samples without and with Nal. The histogram without Nal was 238 239 unusable since a single Gaussian peak was obtained containing voxels from both the ice phase and 240 the unfrozen phase. On the contrary, the histogram obtained with Nal showed two separate peaks of 241 gray levels making it possible to separate the ice phase and the unfrozen phase. These peaks 242 overlapped each other; the crossing value was defined as the thresholding value. The histograms also 243 revealed that the voxels of the air phase had different gray levels with or without Nal (respectively from 244 17500 to 26000 and from 0 to 17500). The use of the contrast agent could cause a greater beam hardening when X-rays passed through the unfrozen phase, and since the reconstruction parameters were applied to the entire sample, this had an effect on the attenuation levels and therefore on the gray levels of all the phases, including the air phase. Finally, with Nal, the air phase consisted of voxels with gray intensities from 17500 to 26 000, the ice fraction contained the voxels having gray levels from 26000 to 36000 and the other voxels were attributed to the unfrozen solution. These values were obtained for the three samples containing Nal and were applied for segmentation (see section 3.3).

#### **3.3.Image analysis of frozen samples**

#### 253 **3.3.1.** Air bubbles

254 As shown in figure 3(b), the air phase was homogeneously black. The images were 255 segmented and all the voxels having grayscale intensities between 0 and 26000 were attributed to the 256 air phase. Only the air phase was kept for further image processing and the grayscale images were 257 binarized: all the voxels being part of the air phase were colored in blue (Figure 4(a)). A distance map 258 was then calculated from the binarized images, it allowed determining the dimensions of the particles. 259 The most inner regions within objects were detected in order to determine the centers of the air 260 bubbles. Then, the objects in contact with each other were separated using a classical watershed 261 algorithm. The bubbles in contact with the sub-volume walls were suppressed with a borderkill algorithm and the remaining particles were labeled in order to be individualized. Each bubble was 262 263 individually color-rendered for a better visualization. The final result is shown in Figure 4(b). The air phase was properly segmented and the bubbles were well separated from each other. Figure 4(c) 264 265 represents the 3D visualization of the air bubbles distribution, it shows few air bubbles and sizes and shapes seem to be heterogeneous. In the literature (Guo et al., 2018; Guo et al., 2017; Mo et al., 266 267 2018; Pinzer et al., 2012; Van Dalen, 2012), 3D imaging of ice cream show more numerous and more 268 homogeneous air bubbles in terms of size and shape. In these studies, the ice cream was produced in 269 a continuous SSHE, a freezer equipped with nozzles to control the incorporation of air under pressure. 270 The fast rotation of the scrapping blades (until 500 rpm) distributes the air homogenously as small 271 bubbles. Moreover, the complex formulation of ice creams (i.e. containing emulsifiers with interfacial 272 properties) analyzed in these studies can explain a better stabilization of air bubbles.

Using cryo-SEM, only one or two air bubbles were visualized on the images (data not shown), therefore it was not possible to analyze the air bubbles with this technique. As explained previously, the micro-CT images revealed only a small quantity of air bubbles in the sorbet samples; therefore it could be possible that no air bubble was present in the fractured plane visualized in cryo-SEM. Furthermore, unlike with micro-CT images, the contrast and gray level difference between air particles and ice was not large on the cryo-SEM images. It is therefore possible that some air bubbles were mistaken for ice crystals unintentionally.

#### 280 **3.3.2.** Ice crystals

281 The raw micro-CT images after the reconstruction step (Figure 5(a)) revealed that the ice 282 phase (intermediate gray) was not homogeneous in terms of gray level. This was probably due to the 283 cupping effect: X-rays interact with the particles (i.e. ice crystals) when they pass through the sample. 284 Each point of the crystal behaves like a source of secondary radiation emitting in all directions and 285 then towards the detector which also receives X-rays coming from the source. Secondary radiation is 286 particularly intense from the inner regions of the particles; it induces an overestimation of the X-rays 287 received by the detector and therefore an underestimation of the attenuation of X-rays by the central 288 region of the particles. Finally, the inner region of the particles appears darker (Wils, 2011; Yang et al., 289 2020). The results obtained by performing the same image processing on the ice phase as for the air 290 phase (see section 3.3.1) are shown in Figure 5(b). With this treatment, the ice phase was directly 291 segmented (i.e. binarized) and the position of the central regions of the crystals given by the cupping effect was lost. The results showed that this treatment method was not suitable for the analysis of ice 292 293 crystals. The adjacent crystals were not separated and the image rather showed clusters of ice 294 crystals.

295 Since the heterogeneity of the ice phase allowed determining the inner regions of adjacent ice 296 crystals, an H-extrema watershed algorithm were applied on grayscale images (Figure 5(c)). This algorithm combined the marking of darker regions as inner regions (H-maxima) and the watershed 297 298 operation which allowed separating the particles from each other and obtaining their edges. 299 Independently of these operations, grayscale images were segmented as explained in the section 3.2; 300 voxels having gray levels from 26000 to 36000 were isolated and marked as the ice phase. The edges 301 of the crystals were subtracted from this binarized image, the ice crystals were then separated from 302 each other and individually color-rendered. As for air bubbles, objects in contact with the sub-volume

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walls were suppressed (Figure 5(d)). Figure 5(e) represents the 3D visualization of the ice crystals; on
 this figure the crystals appear clearly small and numerous.

305 Ice crystals were also clearly visible using cryo-SEM (Figure 6(a)). Three images were 306 segmented (Figure 6(b)) and finally, 219 ice crystals were analyzed. By comparing Figure 6(b) and 307 Figure 6(c) obtained by micro-CT imaging, it appears that the number and size of ice crystals were of 308 the same order of magnitude. The shape of the ice crystals seemed to match even if the ice crystals 309 were more rounded in the case of the cryo-SEM image. This can be explained by the differences in the image processing techniques but more probably by the spatial resolution of both devices that is 310 311 significantly higher in the case of cryo-SEM; this explains that pixels are not distinguished using cryo-312 SEM. Quantitative data from cryo-SEM pictures were obtained for comparison with micro-CT data; 313 they are discussed in the section 3.4.

### 314 3.4.Quantitative analysis of sorbet microstructure

315

#### 3.4.1. Analysis of the air phase

The cumulative distribution of air cells equivalent diameters in the three samples analyzed using X-ray micro-CT were plotted in figure 7. The results reflected the good reproducibility of the analysis protocol (i.e. formulation, freezing, sampling, micro-CT analysis, image processing). The air bubbles sizes were distributed between 20 and 585 µm, the width of the distribution illustrated the heterogeneity of the bubbles size. As cryo-SEM did not allow distinguishing air bubbles, it was not possible to compare the two techniques for the air phase.

322 The mean and median equivalent diameters, the volume fraction of the air phase and the 323 number of air bubbles were calculated. The volume fraction of air, equal to  $5.6 \pm 0.7\%$ , was found to 324 be smaller than the amount of air generally encountered in a commercial sorbet (30%). This was not surprising regarding the 3D rendering of air bubbles (Figure 4(c)), the composition and the freezing 325 326 equipment used for this study. The mean and the median bubbles size (equivalent diameter) were 327 different, respectively 123.4 µm and 105.1 µm, and both admitted a significant standard deviation of 328 the order of 10%. This confirms the heterogeneity of the air bubbles in terms of size within the same 329 sample as between several different samples. Guo et al. (2017) observed using micro-CT air cells in a commercial ice cream at -15 °C; they obtained a mean bubble size of 36 µm. Industrial ice creams 330

being obtained via a continuous freezer and their complex composition comprising surfactant molecules, it was not surprising that this size was smaller than that obtained in the present study. These authors also obtained a significant standard deviation of the order of 50%. Using cryo-SEM, the authors found a mean bubble size equal to 41 µm with an important standard deviation of about 50%.

#### 335 3.4.2. Analysis of ice crystals

336 The cumulative distribution of ice crystals equivalent diameters in the three samples analyzed 337 using X-ray micro-CT and in the sample analyzed using cryo-SEM are reported in figure 8. The results 338 illustrated the repeatability of the micro-CT analysis for the study of ice crystals as the three curves 339 were perfectly superimposed. Using this technique, it was found that the ice crystals equivalent 340 diameters were comprised between 20 µm and 158 µm. By comparing these results with those 341 obtained with cryo-SEM, the distribution was in the same order of magnitude (between 18 µm and 134 342 um) while slightly shifted to smallest crystal sizes. This is probably due to the small number of crystals analyzed (219) and the manual segmentation technique used. 343

344 Mean and median equivalent diameters, volume and mass fractions of the ice phase and the 345 number of particles obtained with micro-CT and with cryo-SEM are reported in table 1. Whatever the 346 experimental technique used, the mean and the median values of the ice crystal size were close; the 347 distribution of the ice crystals size was homogeneous in the sample. The mean equivalent diameter of 348 ice crystals was about 63 µm for micro-CT and 56 µm for Cryo-SEM. The low standard deviations 349 (about 2%) confirmed the small dispersion of ice crystal size between replicates as well as the good repeatability of the micro-CT analyzes. Mo et al. (2019) analyzed the coarsening effect in a frozen 350 351 sorbet containing 30% of sucrose submitted to several thermal cycling and cooling rates. At -6 °C. 352 they found that according to these parameters, the mean size of the ice crystals was between 30 and 353 70 µm which is close to the values obtained in the present study.

The ice volume fraction without air in the sorbet was equal to 58%. Cerecero Enriquez (2003) established the liquidus curve of a solution containing 25% of sucrose by using DSC. At -6 °C the corresponding ice mass fraction was equal to 44.4%. In the present study, the mean mass fraction was estimated at about 48%. These values are close; the small difference can be explained by the uncertainty of the measurement of the sorbet temperature before micro-CT imaging. This result could also indicate that the amount of sodium iodide used in this study did not have a significant influence on

13

the ice fraction formed during the freezing of a sorbet containing water and sucrose. This was notstudied in the literature, therefore other analyzes would be relevant to confirm this observation.

Micro-CT and cryo-SEM ice crystals equivalent diameters were in the same order of magnitude; this result allowed validating the micro-CT analysis from sampling to image processing. The small difference between the two methods can be explained by the different number of crystals analyzed (respectively about 78000 versus 220). It should also be kept in mind that the determination of the mean equivalent diameter referred respectively to the volume (see equation 1) or to the surface (see equation 4). Other explanations could be that some small and round particles were mistaken for ice crystals on cryo-SEM pictures. Finally, manual segmentation was delicate.

	Mean of the 3 CT samples	Cryo-SEM sample
Mean equivalent diameter (µm)	62.8 ± 1.4	56.5
Median equivalent diameter (µm)	61.8 ± 1.3	53.4
Volume fraction of the phase (%)	54.7 ± 2.8	
Volume fraction of the phase without air (equation 2) (%)	58.0 ± 2.6	
Mass fraction of the phase (%)	48.3 ± 2.1	
Number of particles	77 935 ± 1 826	219

Table 1. Ice crystals quantitative data. ±values correspond to standard deviations.

## 369 4. Conclusions and perspectives

370 Sorbet mixes containing water and 25% wt of sucrose were frozen and analyzed by X-ray microtomography directly after the freezing step. A desktop micro-CT device was used, and a 371 372 thermostated box was successfully applied; this system is simple to use and makes the experimental methodology easy to reproduce. The protocol allowed a reproducible and nondestructive analysis of a 373 374 complex and triphasic frozen product. X-ray microtomography showed to be a powerful tool enabling 375 the scanning of hundreds of images per analysis. In this study, more than 1300 slices were processed 376 per acquisition and an effective separation of the 2 phases of interest (ice crystals and air bubbles) 377 was carried out. The data collected gave the desired information about the frozen phase and the air phase (volume and mass fractions, mean and median equivalent diameters of the particles, size 378 379 distributions and spatial distributions thanks to the 3-dimensional visualization). Results were in 380 agreement with the literature, the differences being explained by the composition of the mixes and by the freezing process used. Qualitative and quantitative results about ice crystals were confirmed with 381 382 cryo-SEM measurements. As a result, X-ray microtomography equipped with this thermostated box

seems to be a particularly appropriate technique for the analysis of the microstructure of frozendesserts.

385 For future studies of frozen microstructure of sorbets, it will be necessary to control the thermal 386 behavior of sorbet mixes containing a contrast agent (i.e. sodium iodide) in order to confirm its 387 possible influence on the formation and growth of ice crystals during freezing process. Since Nal might have effects on the microstructure of sorbets (i.e. size and quantity of bubbles or crystals), it also 388 389 would be relevant to analyze sorbets containing several concentrations of sodium iodide. It will also be necessary to validate results concerning air bubbles using cryo-SEM. The amount of air in the sample 390 391 has to be sufficiently high to be visible with this technique. Then, it would be appropriate to add a 392 surfactant to the mix (such as hydroxypropylmethylcellulose which is a stabilizer commonly used in the 393 formulation of sorbets). The next step of this work is to extend the use of this technique to the 394 characterization of sorbets having a more complex composition (in particular sorbets containing 395 stabilizers such as galactomannans, cellulose derivatives, or mixtures of several stabilizers) in order to 396 study the effect of formulation on the crystallization of sorbets. This information would lead to a better 397 understanding of the effect of stabilizers on the initial formation of the microstructure during the freezing step, before hardening and storage. This will also help to understand and predict to what 398 399 extent the behavior of stabilizers during the freezing step influences the final stability of sorbets and 400 which stage of the process or the storage have the greatest impact on the microstructure. Finally, the 401 methodology developed can be applied for the study of the microstructure of other frozen foods.

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- 524

# **List of figures**

Figure 1. Thermostated box for the sorbet sample. On the left, plastic straw containing the sorbet sample. In the middle, cylindrical double jacket containing phase change material at -6°C. On the right, cylindrical double jacket containing expansive insulating foam.

Figure 2. Evolution of the temperature in the sorbet and in the PCM during an X-ray micro CT scanning.

Figure 3. Comparison of results obtained with or without sodium iodide.

(a) CT-image after reconstruction step of a sorbet sample without NaI

(b) CT-image after reconstruction step of a sorbet sample with NaI

(c) Grayscale intensity histogram of a sorbet sample without NaI

(d) Grayscale intensity histogram of a sorbet sample with NaI. The dotted curves detail the peaks of the ice phase and the unfrozen phase. The red arrows represent the segmentation thresholds.

Figure 4. Image processing of the air phase:

(a) Segmented binary image: air phase appears in blue

(b) Image obtained after watershed algorithm, labeling step and borderkill algorithm

(c) 3D rendering of air bubbles

Figure 5. Image processing obtained by micro-CT:

(a) Detail of a micro-CT image after reconstruction step

(b) Detail of micro-CT image obtained with the same treatment as for air bubbles

(c) Detail of image obtained after H-maxima watershed: separations appear in blue

(d) Detail of image obtained after segmentation, arithmetic operations and labeling step

(e) 3D rendering of ice crystals

Figure 6. Image processing of cryo-SEM pictures and comparison with micro-CT image:

(a) Cryo-SEM raw image

(b) Cryo-SEM: Image obtained after segmentation, separation, labeling of particles and borderkill algorithm

(c) Micro-CT: Detail of image obtained after segmentation, arithmetic operations and labeling step Detail of figure 5(d)

Figure 7. Cumulative distributions of equivalent diameters of air bubbles in the 3 samples analyzed with micro-CT

Figure 8. Cumulative distributions of equivalent diameters of ice crystals in the 3 samples analyzed with micro-CT and in the cryo-SEM sample



Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.



Figure 8.