

An automatic non-invasive classification for plant phenotyping by MRI images: An application for quality control on cauliflower at primary meristem stage

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22	Highlights
23	* An automatic non-invasive method detects cauliflower curd deformation
24	* Tomographic images analysed by machine learning and deep learning methods
25	* Depending on the plant developmental stages, cross-validated F1-score were up to 95%

²⁶ * On combined developmental stages, cross-validated F1-score is 88.67 %.

27

28 Abstract

During the past few years, milder autumn and winter seasons have caused severe problems to 29 cauliflower harvest of Brittany region in France, mainly due to curd deformation. 30 Consequently, cauliflower breeders are working on breeding new varieties that are more 31 32 robust to climate change to stabilize the quality of cauliflower production. The aim of this study was to identify at which stage of the curd formation, significant difference can be 33 detected between healthy and stressed cauliflower. A non-invasive classification based on 34 35 Magnetic Resonance Imaging (MRI) images for cauliflower phenotyping was proposed. Plants exposed to vernalization stress were sampled at different times around primary meristem stage, 36 then both MRI imaged and apex dissected. A work flow was developped to extract features 37 from MRI images. A classification on phenotype was learned by LDA, QDA, PLSDA and 38 CNN binary classification between two groups: healthy and stressed cauliflower. Promising 39 F1 score and MCC up to 95% were achieved. Curd deformation is the main cause for 40 cauliflower's later physiological disorders when reaching maturity. Therefore, the cauliflowers 41 with deformation could be removed at the earliest, e.g., screening for plant breeding. At the 42 43 same time, the healthy cauliflowers are not destroyed and continue their life cycle. 44

Keywords plant phenotyping ; non-invasive classification ; cauliflower primary meristem ;
MRI application ; discriminant analysis

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62 Abstract

During the past few years, milder autumn and winter seasons have caused severe problems to 63 cauliflower harvest of Brittany region in France, mainly due to curd deformation. 64 65 Consequently, cauliflower breeders are working on breeding new varieties which are more robust to climate change to stabilize the quality of cauliflower production. The aim of this 66 study was to identify at which stage of the curd formation, significant difference can be 67 68 detected between healthy and stressed cauliflower. A non-invasive classification based on Magnetic Resonance Imaging (MRI) images for cauliflower phenotyping was proposed. Plants 69 70 exposed to vernalization stress were sampled at different times around primary meristem stage, then both MRI imaged and apex dissected. A work flow was developped to extract features 71 from MRI images. A classification on phenotype was learned by LDA, QDA, PLSDA. 72

Statistical analysis was then applied for a binary classification between two groups: healthy and stressed cauliflower. Promising F1 score and MCC up to 95% were achieved. Curd deformation is the main cause for cauliflower's later physiological disorders when reaching maturity. Therefore, the cauliflowers with deformation could be removed at the earliest, e.g., screening for plant breeding. At the same time, the healthy cauliflowers are not destroyed and continue their life cycle.

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Keywords: plant phenotyping ; non-invasive classification ; cauliflower primary meristem ;
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83 **1 Introduction**

According to the Food and Agriculture Organization of the United Nations, large-scale 84 experiments in crop phenotyping are a key factor in meeting the future agricultural needs to 85 feed the world and provide biomass for energy while using less water and fertilizer under a 86 constantly evolving environment adapted to climate change (Minervini et al., 2015). However, 87 88 current assessments of phenotypic characteristics for disease resistance or stress in breeding programs rely largely on visual scoring by experts, which is laborious and dull, not sufficiently 89 objective or destructive (Busemeyer et al., 2013). Various imaging methodologies are being 90 91 used to collect data for quantitative studies of complex traits related to growth, yield and adaption to biotic or abiotic stress (disease, insects, drought and salinity) (Li et al., 2014). 92 There is an urgent need to develop reliable computer vision methods that can extract 93 phenotypic information from experiments at scales from single cell to whole plant, in the 94 greenhouse or on the field (Li et al., 2014). The extracted information, integrated with genetic 95 and environmental data by novel models based on accurate, robust and automatic statistical 96

analysis will give new opportunity to genetic diversity screening, new breeding strategies in
agriculture as well as market management.

99 Given the rapid development of high-throughout genotype screening in plant breeding and genomics for related growth, yield and tolerance to different biotic and abiotic stresses, there 100 101 is a call for more effective and reliable phenotyping data to support modern genetic crop improvement (Li et al., 2014). To accomplish this goal, more and more projects for plant 102 phenotype unite expertise from biological science, computer science, mathematics and 103 engineering. Such an approach was needed to offer cauliflower breeders efficient screening 104 methods on plant development as early as possible, by associating plant phenotype with 105 genomes in imaging systems of computer vision. 106

In recent years, cauliflower winter harvest for the region of Brittany in France has been 107 observed to be very unstable and extremely reduced due to warmer autumns (Tremellat, 2017). 108 Physiological disorders, such as open, ricey (Watts, 1966) or bracty (Kop et al., 2003) head 109 appear. A healthy head is tightly compact with only florets and forms one bracts (Fig. 1a), 110 whereas an open head has gaps among florets (Fig. 1b); a ricey head has protruding flower 111 buds (Fig. 1c); and a bracty head has leaves intermingled with florets (Fig. 1d). These 112 113 deformations renders cauliflower heads unmarketable, resulting in important commercial losses, e.g. with about a third of harvest was unmarketable (Tremellat, 2017). 114

To induce flowering and thus curd formation, beyond the juvenile phase, cauliflower must be exposed to vernalization at "relative cold" temperature (Wurrand Fellows, 2000). In the couple of weeks following vernalization, cauliflower primary meristem undergoes curd formation period, divided into 4 stages (Kieffer et al., 1998): vegetative, curd-induction, curdforming and curd-thickening stage, noted as Stage 1, 2, 3 and 4, respectively in this paper. This curd formation period is critical for cauliflower growth. If deformation appears during this period, the cauliflower head will remained deformed during subsequent growth until

maturity, about 2 months later. Breeding cauliflowers less sensible to autumn temperature
fluctuation is thus desirable to stabilize yields in autumn to winter harvests. To render such
breeding possible, early stage phenotyping during curd formation is needed.

Floral initiation in cauliflower is the result of fine regulation of a whole network of genes and regulatory loops with interplay between transcription factors (Goslin et al., 2017). This regulation interacts with vernalization (Matschegewski et al., 2015). Bracting in cauliflower depends on its genotype (Kop et al., 2003) and the climate during floral initiation. The effect of temperature and developmental stage on bracting and riciness quality defects have already been studied in the field, either during harvest time (Grevsen et al., 2003) or by a destructive sampling with scanning electron microscopy (Fujime and Okuda,1996).

Using non-invasive methods, healthy cauliflower without deformation could be kept for 132 further growth. However, at this moment, the apex of cauliflower meristem is only around 133 0.5mm of diameter, still tightly wrapped in a bunch of huge leaves making it invisible to 134 naked eyes (Fig. 2) and preventing the use common RGB cameras to capture high resolution 135 pictures on meristem without destroying the plant. For organs inavailable from the outside 136 as plant apex, among the available techniques one could either use external imaging e.g. 137 spectrometry or hyperspectral imaging; or internal imaging e.g. Xray or MRI. External 138 imaging would be possible if the external part of the plant has features correlated to the apex 139 deformation. At such early stages as the one investigated in this study, experts are not able 140 141 to assess the healthy state by external observation of plant morphology. Raman spectrometry has been investigated in a companion project but will not be discussed here as 142 no success was achieved. Current internal imaging techniques are Xray and Magnetic 143 Resonance Imaging (MRI). Xray relies on a difference in tissue density, whereas MRI is 144 based on the relaxation time of the tissues. Specifically, in plants the structure and chemical 145 composition of the tissues imfluences the water molecules relaxation time (Musse and Van-146

As, 2018). Even though Xrays are cheaper and easier to use, this makes MRI a more 147 148 selective technique to detect differences in tissues structure among plants. Although in most applications MRI is used to investigate water relations and transport in plant tissues, MRI 149 can also be used to measure other plant constituents, such as metabolites and air spaces. 150 MRI therefore provides access to a wide range of information about plant tissues, including 151 structural characteristics at different length scales and physical-chemical features (Musse 152 153 and Van-As, 2018). We hypothetised that such changes might occur in the transition from vegetative to floral induction in plant apex.Recent advances in spectroscopic techniques, 154 such as Magnetic Resonance Imaging (MRI) promise non-invasive measurements on plant 155 156 (Rascher et al., 2013) allowing assessment of plant phenotype revealing plant's inner part without destroying its outside part. However, due to apex's tiny size, its MRI image has 157 only few pixels, which prevents an efficient image analysis. As the stems with deformed 158 159 meristem have a form different from that of healthy one (Hupel, 2018), this offers the prospect of phenotyping by image analysis of stem shapes. 160

161 The aim of this study was to identify at which stage of the curd formation, significant difference can be detected between healthy and stressed cauliflower. Plants exposed to 162 vernalization stress were sampled at different times around primary meristem stage, then both 163 MRI imaged and apex dissected. A work flow was developped to extract features from MRI 164 images. Statistical analysis was then applied for a binary classification between two groups: 165 healthy and stressed cauliflower. Since this application is designed to satisfy industrial need, 166 focused was set on how to solve practical problems encountered during different steps in work 167 flow, at same time, proposing adequate and efficient models. 168

169 2 Materials and methods

170 **2.1 Data collection**

171 Two environment conditions were imposed in greenhouse to simulate a normal autumn (group H) and a stressful warmer autumn weather (group S). The latter one was to simulate a 172 milder autumn leading to a shorter vernalization period for cauliflower. A F1 hybrid variety of 173 174 cauliflower detected as sensitive to temperature fluctuations when cultivated at large-scale Brittany region of France was selected for the experiment. Cauliflower seeds were sown in 175 June 2018 on a mixture of sand and vermiculite at 20°C, with plants in group S sown two 176 weeks later than plants in group H. Their seedlings at two-leaf stage were transferred to a 177 plastic greenhouse at a temperature of 12-13°C for plant hardening during 7 weeks. The plants 178 were transplanted in 7.5L pots and and grown for 7 further weeks, then vernalized at 4°C for 179 180 either 2 weeks (group S) or 4 weeks (group H).

After the vernalization, plants in group H were cultivated under tunnels with an average daily temperature between 9 and 15°C preventing the risk of devernalization, whereas those in group S were kept in a greenhouse with a temperature between 15 and 20°C simulating a warmer autumn. In this way, it was expected that the seeds of the same homogeneous variety would grow to healthy cauliflower heads under normal autumn, called group H, but those under stressful autumn would grow to stressed ones, called group S, in this paper.

The plants were sent sampled for MRI measurement weekly between 0 and 31 days after the end of vernalization, 5 plants per date and per treatment each time, in order to have samples distributed on the 4 stages of primary meristem.

The MRI measurement was carried out by a 1.5 Tesla MRI whole body scanner (Magnetom Avanto, Siemens, Erlangen, Germany) equipped with an eight-channel "knee" receiver coil. The plant was laid down on the examination table because it was too high to be placed uprightly (Fig. 3). Due to this reason, cauliflower apex might not be found in the coil

center due to gravity. This was especially true for plant of meristem at stage 1 and 2 due to its young and fragile stem. Another practical issue was that plant leaves were piled up in the ring resulting in aliasing artifacts in MRI. Therefore, some extra image pre-processing methods were carried out and will be explained in Section 2.2.1.

The 3D MRI images were acquired by a 3D turbo spin echo (TSE3D) sequence with a voxel 198 size of 0.5mm×0.5mm×0.5mm, a matrix size of 192×192, a FOV of 96×96, 96 slices per 199 volume, a slice thickness of 0.5mm, an echo time of 9.5ms, a repetition time of 500ms, 2 200 averages, a turbo factor of 14 and a bandwidth of 263 Hz/pixel. These values were chosen as 201 the best compromise between on the one hand enhancing, at best, the contrast between the 202 203 stem and the rest of the plant by the MRI operator at the time of acquisition and on the other 204 hand, the acquisition time short enough to allow analysis of a sufficient number of plants per 205 day.

The acquisition time for one plant was about 33 min. Plant were well watered at the eve of every acquisition in order to improve MRI image contrast.

208 After the MRI acquisition, cauliflower was dissected and photographed to enable breeding experts to identify growth stage and thus construct a ground truth for the database. Dissected 209 cauliflower apex were stained with aceto-carmine, observed under a magnifying lens (Nikon 210 211 SMZ-U, zoom 1:10) and digital RGB images were taken (Fig. 4). In this article, four stages were distinguished: 1. Vegetative stage; 2. Curd-induction stage; 3. curd-forming stage; 4. 212 Curd-thickening stage. The top line (images ABCD) in Figure 4 illustrates a schematic 213 representation of cauliflower floral induction based on the scanning electron micrographs in 214 (Kieffer et al., 1998). At vegetative stage, only leaf scales are produced (Fig. 4A). During the 215 curd-induction stage, an enlarging empty area becomes visible between leaf scales (Fig. 4B). 216 Through the curd-forming stage, round floral primordia appears at axil of each bract scale (Fig. 217 4C). The growth of bracts scales is repressed at curd-thickening stage (Fig. 4D). For further 218

growth, the apex consists of only floral primordia stopped in their development (Smyth1995),
grown into florets (ramified group of flowers). Their further maturation into flowering is
postponed long afterwards.

The middle (images EFGH) and bottom (images IJKL) line in Figure 4 give corresponding examples of each of the stages on healthy and stressed cauliflower, respectively. Altogether, lo0 plants were collected for the year 2018 and 60 plants for 2019.

These RGB images were used for a double-blinded identification of meristem developmental stage, compared manually with Fig. <u>4</u> by experts on cauliflower's mersitem morphological development in order to decide their corresponding sample's primary meristem stage.

229

230 **2.2 Feature extraction**

For each dissected plant, called a sample in this paper, a set of MRI images was acquired, called raw images. Feature extraction consisted of three steps: extraction of region of interest, image of contour and image of skeleton.

234 2.2.1 Extraction of region of interest

Its aim was to select slice from raw images (Fig. 5) and pre-process the selected slice. There were 96 raw images on plane XY per sample. The raw image resolution was 192×192 pixels. Therefore, a sample can be represented in 3D (Fig. 5b) with the apex of cauliflower meristem circled in red. In this 3D presentation, the top of a cauliflower plant has of volume of 0.5dm³. Reminding that an apex was only about 0.5mm, it barely corresponded to one pixel on raw image. Hence, it was chosen to extract features on cauliflower's stem appearance.

Because variable numbers of leaves had been included in the coil during MRI acquisition, rather than extracting 3D morphological features directly from raw images, the plant 3D

morphological information was conrained withing the 2D images on plane XZ (Fig5c) and YZ
around the stem apex (Fig5d). Hence, the database consisted of 320 slices for 160 samples.

If the plant apex was perfectly in coil center during MRI acquisition, the two middle slices on plane XZ and YZ were the best illustration. However, when the plant apex was not perfectly in coil center, the cauliflower meristem was missed or occluded in middle slice (Fig. 6a,b) Therefore, a manual selection was required in order to find one best slice on each plane which illustrated the meristem as clearly and entirely as possible (Figure 6c,d).

Aliasing artifacts of MRI acquisition happened when one leave exceeding scanner's field of 250 view was partially projected onto the other side of image, (Fig. 6e). Aliasing is an artifact that 251 252 can occur in MRI images acquisition when the scanned object is larger than the square image area that is to be measured, which is called the field of view (FOV). As a consequence of 253 sampling issues, portions of the object outside of the desired FOV get mapped to an incorrect 254 location inside the FOV. For our 2D slices, this artefact reproject leaves with much higher 255 contrast than the rest of the stem. In order to work properly on the stem, beside the presence of 256 this artefact, we improve the local contrast and enhance the definitions of edges everywhere in 257 the slice by using histogram equalization. Hence, a contrast limited adaptative histogram 258 equalization (CLAHE) that enhance contrast by using information in the vicinity of each pixel 259 while puting limitations (i.e. maxima) to the extent of said constrast augmentation (Gonzalez 260 and Woods, 2008) was applied on this kind of slices (Fig. 6f). 261

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To focus on cauliflower stem apex morphology, and remove unnecessary plant leaves and petioles, an extraction of region of interest (ROI) was performed as follows Fig. 7a to 7c). A contour extraction method based on Otsu's thresholding (Otsu, 1979; Gonzalez and Woods, 2006) was firstly used to find object of interest, generating an image called mask in this paper (Fig. 7b). The slice on the mask was scaled to a higher resolution, from 192×96 to 384×192

pixels. To improve contrast inside ROI, the Contrast Limited Adaptive Histogram
Equalization algorithm (CLAHE) (Pizer et al., 1987) was carried out on scaled image. This
final ROI image (Fig. 7c), was ready for further feature extraction.

271 **2.2.2 Image of contour**

To extract morphological features on cauliflower stem apex, the same contour method (Gonzalez and Woods, 2006) was again applied on the ROI image. Only the contour with the largest area was considered as the final object of interest (Fig. 7d), called image of contour in this paper. Five categories of features were calculated: contour marked in green, rectangle in blue, hull, ellipse in red and intensity (Fig. 7d). For each category, 3 or 5 features were computed (Table 1), chosen in a way that the features' value was invariant to object position in the image.

279 2.2.3 Image of skeleton

To extract morphological features on cauliflower main stem, an image of skeleton was produced as follows. From a ROI image (Fig. 8a), the corresponding mask (Fig. 8b) was first morphologically thinned with a maximum iteration of 10 by (Zhang and Suen, 1984), (Fig. 8c). It was then skeletonized to 1 pixel (Fig. 8d). The difference between the thinned and skeletonized image was the image of skeleton (Fig. 8e), having a similar form to plant main stem. Three categories of features were calculated on image of skeleton: contour marked in green, rectangle in blue and hull (Table 1).

287 **2.3 Binary classification with discriminant analysis**

The classification issue was binary, with the two groups of cauliflower either healthy or stressed. The database consisted of 160 plants, with 320 images, distributed on 4 stages (Table 2, see columns Stage, Size, H, S) with nearly half of plants in stage 4,. Due to this limited data size, a **leave-one-out cross-validation** (Devijver and Kittler, 1982) was applied to assess the predictive capability of the classifiers. Several supervised algorithms were tested for learning and validation steps based on features extracted from image of contour or/and image of skeleton: Linear Discriminant Analysis (LDA) (Fisher, 1936), Quadratic Discriminant Analysis (QDA) (Hastie et al., 2009) and Partial Least Squares Discriminant Analysis (PLSDA) (Barker and Rayens, 2003). Simple classifiers were chosen because of covariates multicollinearity, small samples and unbalance in our dataset can be troublesome to highly non-linear and/or complex classifiers.

The application had significant ratios of feature number to sample size. For example, the number of features for the chosen classifiers on image of contour and skeleton on stage 3 is 34 versus 26 slices from 13 samples. In order to avoid overfitting and multicollinearity problems in marchine learning (Burnham and Anderson, 2002), LDA was used with automatic shrinkage by Ledoit-Wolf lemma (Ledoit and Wolf, 2004), QDA with regularized covariance (Friedman,1989) and we used PLSDA.

The regularization parameter for QDA and the adaptive component number for PLSDA were automatically chosen by a nested leave-one-out cross-validation with the inner layer to find hyper-parameters giving best F1 score on subsamples and the outer layer to evaluate algorithm performance on the whole cross-validated data.

F1 score (F1) (Rijsbergen, 1979) Matthews Correlation Coefficient (MCC) (Matthews, 309 310 1975) and Jaccard Index (JI) (Jaccard, 1912) were used to evaluate the classifiers performance. The MCC was a balanced measure of the quality of binary classification with +1 a perfect 311 prediction, 0 no better than random prediction and -1 a total disagreement between prediction 312 and observation. The JI was defined as the size of the intersection divided by the size of the 313 union of two label sets which are here the predicted set of labels and the observed set of labels. 314 These metrics are adapted to the situation where two classes were of very different size 315 (Chicco and Jurman, 2020) (Table 2, see different size between "H" and "S")). 316

318 **2,4 Binary classifications with deep learning**

To complement our classifications based on selected features, deep learning was implemented, 319 a class of computational models composed of multiple processing layers learning 320 representations of data with multiple levels of abstraction (LeCun et al., 2015). Those 321 322 algorithms have proved to be very efficient in a wide variety of domains, most notably computer vision (Emmert-Streib et al., 2020). Among those algorithms, Convolutional Neural 323 Networks (CNNs) are well known for their success in many computer vision tasks such as 324 image classification (Krizhevsky et al., 2012) and objects recognition (Li et al., 2015). Deep 325 learning was used to explore two main questions. First, could a CNN, using slices, lead to 326 higher scoring than our classifications based on selected features on said slices? Second, could 327 a CNN, using directly the 3D volumes, lead to good scoring? 328

To explore the ability of a CNN to outperform other classifiers, on selected slices, transfer 329 330 learning was used, a technique to re-purpose a previously trained model (Yosinski et al., 2014). A classical Xception architecture (Chollet et al, 2017) was used as base model, pretrained on 331 the large generalist ImageNet dataset (Deng et al., 2009) with more than 14 million images of 332 thousands of categories. The fully connected layers were removed (and associated multiclass 333 problem) and the rest of the convolutional layers were used as fixed feature extractors to feed 334 a new neural network of two fully connected layers of 512 neurons. From here on, this CNN 335 will be referred to as the 2D CNN. After the first transfer-learning step, subsequent fine-tuning 336 i.e. training also the convolutional layers was also tried. In both cases, given our small datasets, 337 on-the-fly data augmentation with rotations and axial symmetries was used. 338

To assess the properties of a CNN using 3D volumes, a readily available architecture previously used on CT Scans for binary classification in human epidemiology (Zunair et al., 2020) was adapted. From here on, this CNN will be referred to as the 3D CNN. Due to the relative scarcity of volumic datasets and their divergence from our use case, transfer learning

was not chosen and the 3D CNN was trained from scratch. Again, on-the-fly data
augmentation with rotations was used. All deep learnings were performed by dividing our
dataset into training (70%) and validation (30%) sets.

346

347 2.5 Hardware and librairies used

The codes in the application were written in python using library OpenCV (Bradski, 2014), skimage (van der Walt et al., 2014), scikit-learn (Pedregosa et al. 2011) and tensorflow (Abadi et al., 2015). The calculation was carried out on a common desktop Dell Precision Tower 3420 with Intel Xeon E3-1225 v6, 8192KB cache and an NVIDIA Tesla K80.

The processing time for feature extraction was quite negligible, no more than several millisecond per image. The computation time for supervised learning and validation depended on sample and feature size. For example, it took less than 1 second for a cross-validation by LDA with features of image contour and skeleton (34 features) on stage 234 (232 images for 116 samples). Computation time for CNNs were up to an hour for 3D CNN over 200 epochs. For all our application, from pre-processing steps to deep learning, codes and a sample dataset are available upon request to the corresponding author.

359 **3 Results**

In order to decide from which stage the classifiers can distinguish healthy from stressed 360 cauliflower apex, the classifiers were firstly learned and validated on samples of the year 2018 361 and 2019 together, but on separate individual stages, 1, 2, 3 or 4 (Table 2 upper lines). On all 362 the individual stages except on stage 1 (when cauliflower meristem was still on vegetative 363 state), one or several classifiers could reach expectation (Boughorbel et al., 2017) with F1 364 above 85% and MCC above 65% (Table 2, marked in italics; see below for the rationale 365 behind our expectation threshold). Therefore, the classifiers could distinguish healthy from 366 stressed cauliflower as early as from curd-induction stage. 367

One or 2 classifiers with best performance on every stage are marked with an asterisk. LDA gives most of the best performance (marked with an asterisk) compared to QDA and PLSDA (Table 2). Besides, most of the best performance by LDA are computed by features from image of contour (Table 2). As the computation of the JI do not lead to significantly different conclusions than the ones based on F1 and MCC, in order to keep Table 2 comprehensible, we made JI scores available in Table 1 of the Appendix.

375

All the results reaching expectation are marked in italics, with F1 above 85% and MCC above 376 377 65% (Boughorbel et al., 2017). In the referenced article (Boughorbel et al, 2017), there are classifiers built on many different data sets (Table 5; 46 data sets). For a generalist classifier 378 (in their case, the « SVM.imb » column), the average MCC obtained is 67.76%. We rounded 379 roughly to 65% and considered that a good result would be strictly above this approximated 380 mean score. For the F1-score, under the assumption of a class-balanced dataset, the score for a 381 naïve classifier, that always predict the same class whatever the covariate values, is 66 %. If 382 we consider such score as the least we can do and given the different levels of inbalance in our 383 different data sets, which affect such minimal F1-score, we took a higher threshold, namely at 384 85%. 385

386

Based on the previous observation, classifiers on mixed stage 234 were computed in order to test whether features were sufficiently different to predict cauliflower healthy state without prior knowledge on meristem stage. The result was quite promising, with 88.67% on F1 and 67.93% on MCC based on features of contour and skeleton by QDA (Table 2 middle lines).

Since the classifiers were calculated by supervised algorithms in machine learning, we 392 wanted to know the influence of sample size on classifier performance, by comparing the 393 largest data set to smaller ones. For this account, classifiers were computed only on samples of 394 the year 2018 on stage 4 and 234 (Table 2, botom lines). In this comparison, the sample size is 395 increased by about 12% and 35% compared to samples of year 2018 and 2019, respectively. 396 With more samples, the classifier performance was improved, between 0.3% to 10.3%. 397 Therefore, it was concluded that the sample size did have an impact on the classifiers' 398 performance. 399

400

401 Concerning deep learning, both the 2D CNN and the 3D CNN were trained to solve our 402 binary classification problem on stage '234' from 2018 and 2019. This was our biggest 403 relevant dataset even if it was still extremely small by deep learning standards.

For the transfer learning of the 2D CNN, a small learning rate (1e-3) was chosen and had to stop after a few epochs (20) before overfitting appeared as assessed by visual diagnostic of the loss functions between trained and validated datasets. For the subsequent fine-tuning of the 2D CNN, an even smaller learning rate (1e-5) was used and more epochs (50).

With transfer learning, the performance of the 2D CNN on the validation dataset were an F1score of 73.19 % and a MCC of 46.39 %. With subsequent fine-tuning, there were small performance improvement with an F1-score of 75.48 % and a MCC of 50.97 %.

For the training of the 3D CNN, a low learning rate of 1e-5 was used for 50 epochs, afterward
overfitting appeared. The performances of the 3D CNN on the validation set were an F1-score
of 81.46 % and a MCC of 62.38 %.

414

415 4 Discussion

In this paper, a non-invasive classification for cauliflower phenotyping by MRI images was 416 proposed. It was an application of screening on cauliflower still at primary stage long before 417 its physiological disorders become visible to naked eyes. More specifically, MRI images were 418 firstly acquired on cauliflower plant with its apex diameter of about 0.5mm, on which features 419 by contour or/and skeleton were extracted. These features were then sent for learning by 420 several discriminant analysis, such as LDA, QDA and PLSDA. The healthy state of 421 cauliflower meristem influenced by temperature fluctuation was then predicted. If deformation 422 had already occurred and would be sufficiently marked, dissecting the apex could give access 423 424 to deformation. However, it could be the case that the molecular processes have already 425 occurred but the deformation is not yet visible on the apex. Contrasting the two sets of temperature enabled us to detect morphological differences prior to the meristem deformation. 426 However, within each of the two temperature groups, there was variation in the development, 427 making it necessary to assess the actual growth stage of each individual plant. As an 428 alternative to discriminant analysis on selected features, deep learning methods were used to 429 generate predictions. 430

The classifiers could distinguish healthy or stressed cauliflower as early as from curdinduction stage. Experiments showed that cauliflower meristem developed very quickly into stage 1, just in few days after the end of the vernalization period. It might even occur during vernalization if the temperature was not cold enough. Therefore in practice, there would not have many cauliflower on stage 1 for the application on screening, usually scheduled several days after vernalization. Hence, the poor performance on stage 1 of the classifiers was negligible in an industrial context.

Classifiers on mixed stage 234 were computed in order to test whether features were 439 sufficiently different to predict cauliflower healthy state without prior knowledge on meristem 440 stage. The result was quite promising, with 88.67% on F1 and 67.93% on MCC based on 441 features of contour and skeleton by QDA. Mixing curd induction, forming and thickening 442 stage together could make the application even more automatic, since the only need was to 443 decide a starting day for screening by avoiding meristem vegetative period, e.g. 5 days after 444 the end of vernalization. In this manner, even though cauliflower grows differently due to 445 temperature fluctuation, whether its meristem on stage 2, 3 or 4, will not have important 446 impact on the performance of the prediction. Deep learning results were also promising on 447 448 mixed stage. Although they were not able to outperform classifiers trained on selected features, their performances were very close. Another interesting result is that the deep learning using 449 volumic data has the best performance among the architecture we tried. It showed on our 450 451 (unusual) use case the versatility of these approaches.

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Classifiers which were not only efficient but also with stable performance were needed. 453 LDA gave most of the best performance (Table 2, marked with an asterisk) compared to QDA 454 and PLSDA. In several of the experiments reported in Table 2, the F1 or MCC score was 455 456 lower for the classification using image of contour and skeleton as compared to only image of contour. It could be surprising that the performance of the classifier decreases when more 457 information becomes available to learn from. However, all of our classifiers are using 458 mathematical regularizations (in a way or another) which leads, notably, to some features 459 being nullified (their coefficients set to, nearly, zero) if they do not bring new information to 460 solve the problem. This explains, in part, why the number of available features does not 461 always lead to a high improvement in classification. Besides, most of the best performance by 462 LDA are computed by features from image of contour. Therefore, applying classifier using 463

features from image of contour by LDA for the application of screening on cauliflower atprimary meristem stage is suggested.

Classifier performance was improved with more samples, between 0.3% to 10.3% (Table 2). Therefore, it was concluded that the sample size did have an impact on the classifiers' performance. However, it is likely that discriminant classifiers performances would reach a limit lower than that of state-of the art deep learning performances if enough data were available. Given that data scarcity is a bottleneck, a way forward would be to develop transfer learning and/or fine-tuning of a 3D CNN in a future work.

The experimental results showed that models had a rather promising performance on F1 score and MCC, especially for the one on features of contour by LDA. The classifiers could provide breeders with elements to decide whether to remove those stressed plants before planting. The associated environmental cost from negative by-product of cultivation on unmarketable plants, such as pesticide, soil resource, water and other energy could be saved.

The parameters for the classifiers were calculated completely in an automatic way. The 477 only human intervention was during the extraction of region of interest step, that was to 478 manually re-select slices from MRI raw images when plant did not grow straight upside and to 479 480 add an histogram equalization on slices when MRI aliasing artefacts occurred. In practice, this 481 manual selection largely depended on whether cauliflower's main stem was strong enough to support plant weight against gravity when laying down. It might be related to meristem young 482 stage, like vegetative and curd-induction stage, but not absolutely. For example, 45% slices 483 were re-selected for data in 2018 versus 98% for 2019. Even with the same genetic type, the 484 plant might grow differently between years due to variable environmental conditions. Given 485 the experiment with 3D CNN, if its performances were to be improved, it would be a good 486 solution to remove the few remaining human interventions. 487

This manual selection problem might also be solved by constructing portable MRI system 488 because image acquisition system's position can be adjusted to plant instead of laying down 489 plant to adapt to fixed system. In fact, MRI bulky, costly and complex hardware limitation is 490 the main factor which prevents it becoming a standard research tool in plant phenotype 491 regardless of its non-invasive advantage. Nevertheless, several MRI mobile prototypes have 492 been constructed from laboratories for potential industrial applications, such as measuring 493 dynamic water change in living stems or fruit (Windt and Blümler, 2015). A relative low-cost 494 wide bore MRI scanners have also been designed and constructed for rapid quality inspections 495 of fruits and vegetables in order for an industrial food quality assurance and control 496 497 (McCarthy and Zhang, 2012) (Milczarek and McCarthy, 2012). Hence, constructing mobile 498 MRI systems is a potential research direction of future work.

Depending on the plant developmental stages, cross-validated F1-score were up to 95% and on combined developmental stages, cross-validated F1-score was 88.67 % (Table 2) and 81,46 % for deep learning. Yet only on one sensor (the MRI) was used for this study, another direction for improvement would be combining multi-modal acquisitions from different sensors, such as chlorophyll fluorescence (Rousseau et al., 2013), with ensemble methods (Zhou, 2012).

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506 5 Conclusion

We aimed at improving the early detection of cauliflower curd deformation, the main cause for cauliflower's later physiological disorders when reaching maturity. A non-invasive classification based on Magnetic Resonance Imaging (MRI) images for cauliflower phenotyping was proposed, with tomographic images analysed by machine learning and deep learning methods. Promising F1 score and MCC up to 95% were achieved. Therefore, the cauliflowers with deformation could be removed at the earliest, e.g., screening for plant breeding. At the same time, the healthy cauliflowers are not destroyed and continue their life
cycle. We consider this work as another proof of the usefulness and potential of tomographic
data for non-invasive plant phenotyping

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523 7 CRediT author statement

Conceptualization: AMC, NP, LB, FM; Funding acquisition: AMC, NP, FM; Project
administration: NP, AMC, RM, MH; Supervision & Validation: NP, AMC, LB; Investigation:
RM, MH; Data curation: YZ, RM, MH, GT, DP; Formal analysis, Methodology: YZ, NP;
Software: YZ, NP; Visualization, Writing - original draft: YZ; Resources, Writing - review &
editing: all authors.

529

530 8 Declaration of Interest statement

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

533

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- Table 1: List of features extracted from image of contour or image of skeleton andcorresponding line colour used in Figures 7 and 8.
- 666 Circle diameter stands for diameter of the circle with same area of the category of the line;
- 667 Center distance stands for the category's center to contour center;
- 668 Area ratio stands for the category's area to contour area.
- 669

Features	1	2	3	4	5	Line colour
Contour	area	perimeter		circle diameter		green
Rectangle	area	perimeter	center distance	width to length	area ratio	blue
Hull	area	perimeter	center distance	circle diameter	area ratio	
Ellipse	area	perimeter	center distance	angle orientation	area ratio	red
Intensity	max	mean	min			

Table 2: The performance of classifiers based on features from image of contour or/and 672 skeleton by Linear Discriminant Analysis (LDA), Quadratic Discriminant Analysis (QDA) 673 and Partial Least Squares Discriminant Analysis (PLSDA) evaluated by F1 score and 674 Matthews Correlation Coefficient (MCC). It is calculated separately on samples at stage 1, 2, 675 3, 4 or at mixed stage on 234; on samples of the year 2018 and 2019. All the results reaching 676 expectation are marked in italics, with F1 more than 85% and MCC more than 65% 677 (Boughorbel et al., 2017). One or 2 classifiers with best performance on every stage are 678 marked with an asterisk. The slice distribution between H and S groups on every stage is also 679 listed. 680

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Data	Stage	Features	Size	Н	S	LDA F1	LDA MCC	QDA F1	QDA MCC	PLSDA F1	PLSDA MCC
2018 + 2019	1	image of contour	88	22	66	47.37%	34.02%	47.06%	38.24%	84.89%	29.66%
2018 + 2019	1	image of skeleton		22	66	57.14%	49.92%	48.15%	27.28%	89.36%*	49.92%*
2018 + 2019	1	image of contour + skeleton	88	22	66	57.14%	43.84%	13.79%	2.42%	86.13%	38.22%
2018 + 2019	2	image of contour	54	34	20	92.75%*	80.00%*	84.85%	61.28%	95.00%*	92.05%*
2018 + 2019	2	image of skeleton	54	34	20	66.67%	0.62%	70.89%	-3.43%	36.84%	2.71%
2018 + 2019	2	image of contour + skeleton	54	34	20	83.78%	50.88%	81.16%	47.88%	73.68%	59.66%
2018 + 2019	3	image of contour	26	20	6	92.68%*	65.92%*	84.44%	-10.95%	54.54%	42.76%
2018 + 2019	3	image of skeleton	26	20	6	80.00%	13.33%	88.37%	37.36%	40.00%	27.24%
2018 + 2019	3	image of contour + skeleton	26	20	6	87.18%	49.08%	86.96%	0.00%	57.14%	42.60%
2018 + 2019	4	image of contour	152	96	56	89.69%*	71.54%*	87.10%	67.04%	74.55%	60.14%
2018 + 2019	4	image of skeleton	152	96	56	89.01%	70.43%	90.26%	72.90%	80.36%	68.90%
2018 + 2019	4	image of contour + skeleton	152	96	56	91.10%*	76.06%*	90.82%	74.29%	85.71%	77.38%
2018 + 2019	234	image of contour	232	150	82	87.30%*	62.64%*	86.01%	64.20%	78.21%	67.41%
2018 + 2019	234	image of skeleton	232	150	82	83.01%	50.22%	86.69%	58.28%	65.41%	47.46%
2018 + 2019	234	image of contour + skeleton	232	150	82	88.03%	64.46%	88.67%*	67.93%*	78.26%	66.74%
2018	4'	image of contour	136	80	56	86.59%	66.37%	83.54%	60.77%	80.00%	66.46%
2018	4'	image of skeleton	136	80	56	88.75%	72.68%	90.68%*	77.18%*	82.88%	71.09%
2018	4'	image of contour + skeleton	136	80	56	88.34%	71.00%	86.39%	64.87%	90.57%*	84.94%*
2018	234'	image of contour	172	94	78	84.38%*	64.73%*	79.10%	57.59%	77.92%	60.05%
2018	234'	image of skeleton	172	94	78	76.76%	49.76%	78.67%	47.72%	70.30%	43.32%
2018	234'	image of contour + skeleton	172	94	78	81.68%	58.85%	83.67%	62.42%	82.05%	67.16%

Figure 1: Examples of a healthy head versus stressed cauliflower heads with physiological disorders. The images were captured after they reach a diameter of around 10cm. A. Healthy head is tightly compact with only florets and forms one bracts; B. Open head has gaps among florets; C. Ricey head has protruding flower buds; D. Bracty head has leaves intermingled with florets.

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has big leaves tightly wrapped around the apex; C. Once leaves are removed, the cauliflower apex becomes visible (circled in red); D,E. On the apex, floral primordia can be examined, here stained with carmine red for beter contrast.



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Figure 3: Example of one cauliflower plant placed in the "knee receiver coil" just before an



704 MRI acquisition.

Figure 4: Floral induction at cauliflower apex illustrated by 4 developmental stages. Top line: schematic representation; middle line: examples in group H; bottom line: examples in group S. A,E,I: vegetative stage with only leaf scales (green/dark grey, plain line); B,F,J: curdinduction stage with enlargement of meristem center (blue/light grey, dashed line); C,G,K: curd-forming stage with round floral primordia (yellow/light grey, dashed line) initiated at the axil of each bract scale; D,H,L: curd-thickening stage with center only consisting of round floral primordia.



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Figure 5: Examples of raw images in 2D planes and corresponding 3D reconstruction. A. raw
image from a sample on plane XY; B. 3D reconstruction with this sample's raw images by Fiji
[Schindelin et al. 2012]; C,D. middle slice on plane XZ and YZ. See Fig. 3 for a description of
the planes.



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Figure 6: Illustrations for manual slice selection and histogram equalization A,B. missed meristem in middle slices of a sample on plane XZ and YZ; C,D. best illustrative slices of the same sample on plane XZ and YZ; E. best slice before histogram equalization; F. after Histogram Equalization.



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Figure 7: Extraction of the region of interest and resulting contour. A,B,C. illustration on extraction of region of interest. D. image of contour where contour is marked in green, rectangle in blue and ellipse in red.



- **Figure 8:** Extraction of the image of skeleton from a ROI image, from A. to E.



746 Appendix

Table 1: The Jaccard Index (JI) of classifiers based on features from image of contour or/and
skeleton by Linear Discriminant Analysis (LDA), Quadratic Discriminant Analysis (QDA),
Partial Least Squares Discriminant Analysis (PLSDA). For comparison, on stage 234, the 2D

751 CNN JI was 64,26 % and the 3D CNN JI was 45.52 %.

Data	Stage	Stage Features		Н	S	LDA JI	QDA JI	PLSDA JI
2018 + 2019	1	image of contour	88	22	66	77.27%	79.55%	76.14 %
2018 + 2019	1	image of skeleton	88	22	66	82.95%	67.05%	82.95 %
2018 + 2019	1	image of contour + skeleton	88	22	66	79.55%	71.59%	78.41 %
2018 + 2019	2	image of contour	54	34	20	90.74%	83.33%	96.30 %
2018 + 2019	2	image of skeleton	54	34	20	55.56%	57.41%	55.55 %
2018 + 2019	2	image of contour + skeleton	54	34	20	77.78%	75.93%	81.48 %
2018 + 2019	3	image of contour	26	20	6	88.46%	73.08%	80.77 %
2018 + 2019	3	image of skeleton	26	20	6	69.23%	80.77%	76.92 %
2018 + 2019	3	image of contour + skeleton	26	20	6	80.77%	76.92%	76.92 %
2018 + 2019	4	image of contour	152	96	56	86.84%	82.89%	81.58 %
2018 + 2019	4	image of skeleton	152	96	56	86.18%	87.50%	85.53 %
2018 + 2019	4	image of contour + skeleton	152	96	56	88.82%	88.16%	89.47 %
2018 + 2019	234	image of contour	232	150	82	83.19%	82.76%	85.34 %
2018 + 2019	234	image of skeleton	232	150	82	77.59%	79.74%	76.293 %
2018 + 2019	234	image of contour + skeleton	232	150	82	84.05%	85.34%	84.91 %
2018	4'	image of contour	136	80	56	86.03%	85.29%	83.82 %
2018	4'	image of skeleton	136	80	56	83.82%	86.03%	86.03 %
2018	4'	image of contour + skeleton	136	80	56	88.24%	85.29%	92.65 %
2018	234'	image of contour	172	94	78	82.56%	78.49%	80.23 %
2018	234'	image of skeleton	172	94	78	75.00%	73.26%	71.51 %
2018	234'	image of contour + skeleton	172	94	78	79.65%	81.98%	83.72%