

Risk factors for sporadic hepatitis E infection: a systematic review and meta-analysis

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1	Risk factors for sporadic hepatitis E infection: a systematic review and meta-analysis
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3	Short Title : A meta-analysis to characterize risk factors associated to hepatitis E
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5	
6	Highlights
7	• Meta-analysis of HEV sporadic cases confirms fecal-oral and zoonotic transmissions
8	• Consumption of pork products and processed meat are highly at risk of HEV infection.
9	• Consumption of produce or shellfish is associated with HEV exposure.
10	• Blood transfusion, dialysis and other contact with needles are risk factors for HEV
11	
12	Keywords
13	Research synthesis; case-control studies; cohort studies; systematic review; Hepatitis E
14	
15	

16 Abstract

Hepatitis E virus (HEV) is one of the main causes of viral hepatitis infection worldwide.
Sources of contamination can vary greatly according to geographical regions and HEV
genotypes. HEV is endemic and responsible for large waterborne epidemics involving human
HEV-1 or HEV-2 genotypes in regions with limited sanitation, in contrast to industrialized
countries, where HEV is mainly a foodborne zoonosis involving HEV-3 and HEV-4 zoonotic
genotypes. Limited data on HEV source attribution are available, and all possible sources and
transmission pathways of HEV are not fully identified.

A systematic review and a meta-analysis of case-control and cohort studies (including transversal studies) were performed to determine the main risk factors associated with sporadic hepatitis E infection. Suitable scientific articles were identified through a systematic literature search and subjected to a methodological quality assessment. From each study, odds-ratio (OR) measures were extracted/calculated, as well as study characteristics such as population type, design, and risk factor hierarchy. Mixed-effects meta-analyses models were adjusted by population type to appropriate data partitions.

Seventy-seven cohort and case-control studies conducted between 1986 and 2016 and investigating risk factors in mixed population, susceptible population, and pregnant women, were included in this meta-analysis. Hepatitis E cases were defined with serological exams and differentiated whenever the serological exam is associated or not with symptoms.

This meta-analysis identified the parenteral pathway (blood transfusion, tattooing or IV injection, dialysis or hemodialysis), and routes of infection related to contaminated water, animal contact (occupational exposure) and consumption of foods as relevant risk factors for hepatitis E infection.

With regards to the role of food, as suspected and sometimes proven in several studies, pig meat, pork sausages, and game meat are identified as significant risk factors for HEV, in particular undercooked pig meat, or meat preparations containing pig liver. In addition, consumption of shellfish (oysters and mussels), in which HEV can accumulate when water is environmentally contaminated (from animal or human origin), is also associated with the detection of anti-HEV antibodies.

The results of this meta-analysis show that symptomatic and infected cases share the most explainable risk factors, and are in agreement with recent studies conducted in Europe. This meta-analysis reveals that some sources such as consumption of insufficiently treated water, shellfish, or vegetables are under-investigated. Future case-control studies should include

- 49 population at risk but under-investigated, such as transplant recipients, pregnant women and
- 50 children, and investigate other potential sources of HEV.

511. Introduction

Hepatitis E virus (HEV) is one of the main causes of viral hepatitis infection worldwide 52 53 (EFSA BIOHAZ Panel, 2017). HEV is a quasi-enveloped virus, similar to hepatitis A virus (HAV), with a fecal-oral route of transmission. HEV strains infecting humans belong to 54 55 genotypes 1 to 4 (HEV-1 to HEV-4) within the Orthohepevirus A genus of the Hepeviridae 56 family (Smith et al., 2014). In a typical HEV infection, anti-HEV IgM are produced with a 57 maximum level at 6 or 8 weeks after infection and last till 5-to 6 months (Hakim et al., 2018). IgG gradually increase and would persist at least 14 years after infection (Hakim et al., 2018). 58 59 The diagnosis of acute hepatitis is usually made by the demonstration of increased serum bilirubin and liver enzymes. The differential diagnosis includes IgM (as a marker of recent 60 infection), IgG anti-HEV as a marker of past infection (WHO, 2014). Detection of HEV-61 RNA by RT-PCR is also feasible: HEV RNA can be detected in the blood after 3 weeks till 62

- 63 the beginning of symptoms (Kamar et al., 2017).
- In most cases, the infection by HEV is asymptomatic and benign, yet it can turn into self-64 65 limited acute hepatitis in humans (Kamar et al., 2017). Jaundice usually persists one to six weeks. Furthermore, fulminant hepatic failure can occur in patients with underlying liver 66 67 chronic diseases, in the elderly, immunosuppressive conditions, and pregnant women (EFSA BIOHAZ Panel, 2017). Excess mortality during pregnancy, estimated at 21%, and premature 68 69 delivery are associated with HEV-1 and -2 infections and have not been yet reported for 70 HEV-3 and HEV-4 (Kamar et al., 2017). Chronic cases of HEV infections are also reported in 71 immunocompromised patients such as solid organ transplant recipients (Kamar et al., 2017) 72 and in patients with pre-existing liver disease (EFSA BIOHAZ Panel, 2017). Chronic 73 hepatitis E with HEV-3 can lead to steatosis, fibrosis, and even cirrhosis (Kamar et al., 2017). 74 Extrahepatic manifestations, including kidney dysfunctions or neurological syndromes, have 75 also been described during acute or chronic HEV infections (Kamar et al., 2017).

In most parts of Asia and Africa, the prevalence rate of anti-HEV antibodies in the general population ranges between 10 and 40%, with the highest levels in older age groups (>50 years of age) (WHO, 2010). Anti-HEV seroprevalence estimates in Europe range from 0.6% to 52.5% (Hartl et al., 2016), increased with age, but unrelated to gender. Available epidemiological data showed an increase in the number of HEV cases reported in industrialized countries. In Europe, the number of reported cases has increased from 514 cases per year in 2005 to 5617 in 2015, with most infections being locally acquired (Aspinall et al., 83 2017). In developed countries, the seroprevalence estimate level in the population is high, but84 the number of detected symptomatic cases is low.

85 Sources of contamination are different according to the genotype of the virus. In tropical and sub-tropical areas, HEV is endemic and responsible for large waterborne epidemics due to 86 87 HEV-1 or HEV-2 contamination of drinking water (Aggarwal and Goel, 2018). In 88 industrialized countries, HEV sporadic infections can be diagnosed after travelling to endemic 89 regions, with HEV-1 or HEV-2 being involved, but the majority of sporadic or grouped cases 90 observed are locally-acquired and due to HEV-3 and HEV-4 (Kamar et al., 2017). In these 91 cases, zoonotic transmission through the consumption of contaminated foods (EFSA 92 BIOHAZ Panel, 2017) or by direct contact with infected animals (mainly swine) is the major 93 transmission route to humans. Several cases were related to the consumption of raw or 94 undercooked infected pork meat or pork liver (Doceul et al., 2016). Apart from the 95 consumption of pork products, there is growing evidence of other routes of infection related to 96 other animal species (wild boar, deer, rabbits, etc.), food products (crops, shellfish), the 97 environment, and blood transfusion (Kamar et al., 2017). Since HEV exposure to humans may 98 have multiple origins, there is an increasing number of published epidemiological studies in 99 recent years investigating the main sources and transmission pathways of sporadic hepatitis E 100 infection, with more than 25 publications after 2010. In the present study, a systematic review 101 and a meta-analysis of case-control and cohort studies (including transversal studies) were 102 performed to determine the main risk factors associated with sporadic hepatitis E infection. 103 As far as possible, we compared risk factors between different type of susceptible 104 populations, for symptomatic and infected cases (with or without symptoms). 105 Characterization of risk factors to HEV exposure will contribute to identifying measures to 106 reduce the burden of hepatitis E.

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1092. Material and methods

110 The protocol of the systematic review and the meta-analysis model are described in depth in 111 the methodological paper of this issue (Gonzales-Barron et al., 2019).

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1132.1 Systematic review

The Literature search was conducted between March 2017 and December 2017 using a combination of keywords related to (1)" hepatitis E", (2) "case-control" "OR" "risk factor"

"OR" "cohort" (3) "infection" "OR" "disease", joined by the connector "AND". Relevant studies were identified from five bibliographic search engines, Science Direct, PubMed, Scielo, ISI Web of Science and Scopus. No restrictions were defined for the year of the study or type of publication. The search was limited to the languages English, French, Portuguese and Spanish.

121 Each reference record was screened manually for relevance for inclusion in the meta-analysis 122 study, and subsequently, the methodological quality of the "candidate" studies were assessed 123 using pre-set quality criteria comprising (1) appropriate selection of the controls; (2) adjustment to correct for confounders, (3) comparability between cases and controls, (4) 124 125 acceptable responses rates for the exposed and control groups; (5) Data analysis appropriate to 126 the study design; (6) provision of Odd ratio (OR) with confidence interval or p-value; or 127 provision of sufficient data to calculate ORs; overall quality of the study (Gonzales-Barron et 128 al., 2019). Primary studies that passed the screening for relevance were marked as having 129 potential for bias if they failed to meet at least one of the methodological quality assessment 130 criteria.

Data from primary studies were then extracted using a standardized spreadsheet. Data extracted included the relevant study characteristics (location, time period, population, genotype, case definition, design, sample size of the groups, type of model, etc.), the categorized risk factors, the setting, the handling practices and the outcome of the study OR (Odds-Ratio in case control-studies) or RR (Relative Risk in cohort or transversal studies). When data were extracted from cohort studies, OR could be either computed from raw data or RR (Relative. OR was computed from RR, using the equation:

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$$OR = \frac{RR(1-p_0)}{1-p_0RR}$$

A data categorization scheme was established to hierarchically group the risk factors into
travel, host-specific factors and pathways of exposure (i.e., person-to-person, animal,
environment, and food routes (Gonzales-Barron et al., 2019).

The variable "Population" was stratified into mixed (adults and no specific age), pregnant women, and other vulnerable populations ("susceptible"). Indeed, pregnant women and other susceptible populations such as immunosuppressed people or persons with pre-existing liver disease are considered at higher risk of severe disease following infection. Two "cases" definitions were considered in publications : seropositivity (in general not associated with symptoms at time of sampling), defined here as "infection cases", and symptomatic cases (associated with positive serology). In order to better investigate risk factors associated with possible severity, we keep separate results from those two definitions.

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152 Specific partitions were made to investigate more deeply risk factors of acquiring hepatitis E. 153 such as blood transfusion, transplant recipients, dialysis, chronic diseases, and other medical 154 conditions. Personal hygiene (e.g « not washing hands after toilets ») was considered separately. Person-to-person transmission was stratified by the type of contact; namely: 155 contact with an ill person in the family or relatives ("contact"), venereal contact ("venereal 156 157 contact"), healthcare worker ("occupational contact"), and other blood contact without blood 158 transfusion, such as history of injective drug or tattoo ("other contact"). Some other 159 exceptional sub-partitions were created for HEV as the risk factor of consuming pig liver.

160

161 **2.2 Data synthesis**

162 The meta-analysis procedures are described in depth in the methodological paper of this issue163 (Gonzales-Barron et al., 2019).

164 The joint meta-analytical data was first described using basic statistics. Next, data was 165 partitioned into subsets of categories of risk factors. Meta-analysis models were then fitted to 166 each of the data partitions or subsets in order to estimate the overall OR due to- travel, host-167 specific factors and transmission pathways related to person-to-person contagion, animal 168 contact, environmental exposures, and food vehicles. The meta-analytical models were fitted 169 separately by population type. For some food classes, the effects of handling (i.e., eating raw, 170 undercooked) and setting (i.e., eating out) on the overall OR were assessed by the calculation 171 of the ratio of the mean OR when food is mishandled (or, when food is prepared outside the 172 home) to the base OR.

173 The statistical analysis was designed to assess the effect of the geographical region and is 174 taking into account the study period (before/after 2000) and the analysis type 175 (univariate/multivariate) on the final result. The objective of the region-specific meta-analysis 176 was to inform the decision on the geographical regions that should be maintained for the 177 subsequent pooling of ORs. A Geographical region (Asia, North America, South America, 178 Africa, Europe, Oceania) was removed from a particular meta-analysis partition only if its 179 pooled ORs were different from those associated with the other regions or if less than 3 ORs 180 represented the region (Gonzales-Barron et al., 2019).

181 All meta-analysis models were essentially weighted random-effects linear regression models. 182 Once a meta-analysis model was fitted, influential diagnostics statistics were applied in order 183 to remove any influential observation originating from studies marked as having potential-for-184 bias. Publication bias was assessed by funnel plots and a statistical test investigating the effect 185 of the study sample size on the ORs (Tables 1, 2 and 3) (Gonzales-Barron et al., 2019). 186 Heterogeneity between studies was assessed by different indicators such as the between-study 187 variability (τ^2), the QE test investigating residual heterogeneity, the variance of residuals and the intra-class correlation I^2 (Gonzales-Barron et al., 2019). Publication bias and remaining 188 189 heterogeneity were not further corrected for, but were taken into account for the interpretation 190 of the results.

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All analyses were produced in the R software (R Development Core Team, 2008)implemented with the metafor package (Viechtbauer, 2010).

The meta-analyzed risk factors are presented in summary tables only when significant. Pooled
ORs were considered as significant when the lower bound of the 95% CI was equal or greater
than 1.

197

198 **3. Results**

199 3.1 **Descriptive statistics**

200 In the systematic review of risk factors for human infection with Hepatitis E, a total of 614 201 clean bibliographic sources were identified using appropriate keywords in five bibliographic 202 search engines, from which 93 case-control and cohort studies passed the full assessment for 203 eligibility (Figure 1). From these, fifteen fully-documented case-control studies investigated 204 the source(s) of outbreaks and were kept in the JabRef file as their data can be readily 205 extracted. Meta-analysis was undertaken on data either extracted or calculated from 78 206 primary studies - cohort and case-control studies - focusing on sporadic disease (Figure 1). 207 These published studies were conducted in years spanning from 1986 and 2016. Appendix 2 208 compiles a list of the primary studies along with their main features. Primary studies 209 investigated risk factors in different types of population, namely children (1 study), mixed 210 population (69 studies), susceptible population (7 studies) and pregnant women (6 studies) 211 (five studies investigate different populations, refer to Appendix 2 for details). All 212 publications concerning pregnant women were coming from Mexico, China, India, Turkey, 213 Egypt, and Tunisia.

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For children, all ORs came from only one study (Meng et al., 2015) which was finally removed from the analysis. Risk factors for children can be seen as having their specificity (Verghese and Robinson, 2014) and it was not pertinent to join them to the general (mainly adult) population.

219 The 77 publications selected concern studies carried out between 1986 and 2016. Around 220 80% of studies are post-2000. The majority of publications concern, in descending order, 221 Europe (n=31), Asia (n=20), Africa (n=12), South America (n=9) and North America (n=5). 222 In 68 publications, mostly transversal studies with healthy individuals, cases were defined by 223 positive anti-HEV antibodies (IgG or IgM). Ten studies used a case definition based on 224 symptoms with confirmation by serology or HEV detection by RT-PCR (Appendix 2) (107 225 ORs). One publication investigated separately both populations (Houcine et al., 2012). 226 Seventy-two studies employed an unmatched experimental design. During the methodological 227 quality assessment, a potential for selection bias was assigned to two case-control studies. 228 While in Delarocque-Astagneau et al. (2012), the controls were hepatitis A positive, in 229 Mellgren et al. (2017), the associations between host-specific factors and hepatitis E were 230 measured in patients with chronic hepatitis C. The ten ORs extracted from the studies above 231 were marked as having potential for bias, and their influence on the meta-analyzed OR 232 estimates was appraised by means of the Cook's distance.

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234 All publications were the source of 578 ORs. The risk factors studied include food 235 transmission pathways (145 ORs) (including hygiene before meal), environmental pathways 236 (142 ORs), contact with animals (135 ORs), human-to-human transmission (37 ORs) and 237 personal hygiene practices (5 ORs). Host factors (90 ORs) and travel (24 ORs) were also 238 studied. The genotype was rarely described, as a consequence of the main way of recruitment 239 of (non-symptomatic) cases by serology only. Studies on pregnant women population are 240 limited to serological surveys. Moreover, only one publication refers to symptomatic hepatitis 241 in the other susceptible populations. Therefore, risk factors associated with symptomatic 242 hepatitis E can only be investigated in the mixed population, the other populations were 243 considered with a serological definition (or infection status) only.

244

²⁴⁵ **3.2 Meta-analysis**

- 247 The meta-analyzed risk factors are presented in summary tables only when significant. Pooled
- 248 ORs were considered as significant when the lower bound of the 95% CI was equal or greater
- than 1. All results are given in tables 1, 2 and 3. Whenever a category is significant but is only
- 250 described in one publication, the result is not given in the main tables but in Appendix 3. Non-
- 251 significant results of the main risk factors are also reported in Appendix 3.

252 Meta-analysis for travel

- Travel factors can be analyzed for mixed population with infected or symptomatic cases definition. The pooled OR for travel abroad is significant for the mixed population (infected definition; pooled OR=1.043; 95% CI [1.012-1.075]; Table1). Except for two publications (Alvarado-Esquivel et al., 2014, 2015), all countries exploring traveling abroad as a risk factor, are localized in developed areas. Most of the time, the destination is not mentioned.
- 258 For symptomatic cases, traveling in different areas (Egypt, Bangladesh, or endemic area is
- also a significant risk factor (pooled OR=3.547; 95%CI [1.1.159-10.859]; Table 1)
- 260

261 Meta-analysis for host-specific risk factors

- 262 In the mixed population (with infected case definition), blood transfusion (pooled OR=2.005; 263 95% CI [1.468- 2.738]) and dialysis (pooled OR=2.699; 95% CI [1.391- 5.236)) were found significant (Table 1). Other explored factors were not found significant: other medical 264 265 conditions (like HAV, HBV or HCV antibodies), chronic diseases, HIV seropositivity, 266 surgery, and to be a recipient transplant (Figure 2). However the forest plot in Figure 2 267 shows that ORs associated with kidney transplant are lower than those associated with liver 268 transplant. For the susceptible population, chronic disease were found significantly associated 269 with HEV infection (pooled OR=2.454; 95% CI [1.827-3.298]; Table 1). The susceptible 270 individuals investigated were dialysis patients (Alavian et al., 2015) or patients on dialysis 271 and solid organ transplant recipients in Argentina (Pisano et al., 2017).
- 272

273 Meta-analysis for person-to-person transmission factors

In the mixed population, contact with needles (tattoo, injective drug user) and occupational exposure (being a healthcare worker) were found associated with HEV infection (Table1). Venereal and family contact with an ill person at home were not found associated with Hepatitis E (Appendix 3). Contact with jaundice or hepatitis patient, was found associated with symptomatic hepatitis cases (pooled OR= 3.399; 95 % CI [1.121-10.308]. However, ORs in this category coming from 3 publications were highly different each other .History of injection or tattoo was also found significant for symptomatic cases (Table 1). Hygiene
factors (e.g. lack of handwashing after toilet) are also associated with HEV infection (pooled
OR=1.613; 95% CI: [1.324-1.965]).

283

284 Meta-analysis for animal contacts

285 Contact with a pet (cat/dog) or farm animal or livestock as occupational exposure (mainly 286 swine) is associated with HEV positive serology in the mixed population (pooled OR=1.426, 287 2.071 and 2.077 respectively). Occupational activities such as hunting or farming display a 288 significant relationship with symptomatic hepatitis (pooled OR=3.354; 95% CI: [1.092-289 10.302]) (Table 1). Contact with pets or farm animals was not associated with symptomatic 290 cases of Hepatitis E (Appendix 3). For pregnant women, contact with pets or occupational 291 exposure was also found to be associated with HEV infection (pooled OR=2.061 and=2.549, 292 respectively). Contact with farm animals was not investigated in pregnant women.

293

294 Meta-analysis for environmental factors

295 For the environmental factors, contact with soil ("playground", including gardening) is 296 associated with HEV for the mixed population (HEV positive serology) and pregnant women 297 (pooled OR=1.253 and 1.683, respectively). Other significant risk factors for the mixed 298 population were: contact with wastewater (unsanitary toilets or exposure to sewage) (pooled 299 OR=2.068; 95% [1.497 - 2.857]); consumption of insufficiently treated water (pooled 300 OR=1.692; 95% CI [1.434 - 1.996]); living in a farm environment (pooled OR=2.187; 95% CI 301 [1.654 - 2.893]) or forestry activity (pooled OR=1.614; 95% CI [1.357 - 1.919]) (Table 1). 302 For symptomatic cases in the mixed population consumption of insufficiently treated water 303 (pooled OR=5.105; 95% CI [1.327 - 19.633]) and contact with wastewater ("unsanitary toilet 304 mainly" pooled OR = 5.205; 95% CI[2.305 - 11.754]) were significant risk factors, (Table 1). 305 Consumption of insufficiently treated water and living in a farm environment were not found 306 significantly associated with HEV infection in pregnant women (Appendix 3).

307

308 Meta-analysis for food consumption

With respect to the role of food, meat consumption is associated with HEV infection in the mixed population, whatever the case definition (infected or symptomatic: pooled OR=1.597 and 2.887, respectively) (Table 1). Among meats, the following categories are associated with HEV infected and symptomatic cases: pork (pooled OR=2.267 and 2.730; Table 2), other red

- 313 meats (mainly "game meat") (pooled OR=1.850 and 1.799) (Table2; Figure 3), and processed
- 314 meat products (mainly pork sausages including liver made *figatelles*, and some other deli
- 315 products) (OR =1.613 and 3.987) (Table 2 and Figure 4). Further analysis of products labeled
- 316 "pork liver" showed an association with HEV infection in the mixed population (pooled OR =
- 317 1.992; 95% CI [1.618 2.452]) (Table 2).
- Raw milk consumption (mixed and pregnant population) is associated with HEV infection
 (pooled OR=1.932; 95% CI [1.279 2.918]); Table 2 and Figure 5).
- Produce consumption is associated with positive serology in the mixed population (including
 pregnant women and susceptible persons) (pooled OR=1.094; 95% CI: 1.046- 1.144] (Table
 2). Consumption of fishery products, in particular shellfish, is associated with positive
 serology in the mixed population (pooled OR=1.396; 95% CI [1.256 1.552]) (Table 2).
- Overall, the consumption of undercooked pork and processed meat products (pork sausages) is a significant risk factor (pooled OR= 2.604; 95% CI: [1.33064 - 5.094512]) and multiplies the basic OR, comparing with unknown or well-cooked pork products, by a factor of 1.319
- 327 (Table 3). Finally, the lack of handwashing prior to meal preparation is a significant risk
 328 factor (pooled OR=1.295; 95% CI: [1.028 1.6321] (Table 2).
- 329 For all the meta-analytical models reported in Tables 1 and 2, the statistical tests indicated the 330 absence of potential significant publication bias at 5% significance. Exceptions are observed 331 in partitions related to travel in mixed population (symptomatic cases), "person-to-person" in 332 mixed population (both definition of cases), food for symptomatic cases, pig products (with or 333 without liver) and handling for undercooked pork and pork sausages (Tables 1, 2 and 3). For 334 better assessing the publication bias, the funnel plots for those models are provided in Figure 335 6. For "travel", "person-to-person" in mixed population (both definition of cases), "food", 336 "pig products" and "handling pork products" in symptomatic cases, some asymmetry was 337 appreciated due to the lack of small studies with low ORs. Moreover, the intra-class correlation I^2 (Table 1) is always below high heterogeneity (<75%). Sometimes, remaining 338 339 between-study heterogeneity (significant p-values below 0.05 for Q or QE) was observed for 340 the data partitions.
- 341

342 **4. Discussion**

All HEVs are transmitted orally but may have different origins depending on the genotypes considered. HEV-1 and -2 are from human origin and are responsible for major outbreaks in developing countries where drinking water is contaminated with unsanitized effluents 346 (Khuroo et al., 2016). The genotypes HEV-3 and -4 are zoonotic (with animal reservoir 347 mostly) and circulate in humans and several animal species including domestic and wild 348 swine, and to lesser extent red and roe deers (Anheyer-Behmenburg et al., 2017). HEV-3 and 349 -4 are associated with sporadic outbreaks in Europe, Asia, and North America (Kamar et al., 350 2017). Then, exploring risk factors at a global scale, ignoring the genotype, due to the lack of 351 available data can be seen as a limitation of the study. However, different genotypes can 352 cohabit in the same countries, in particular for HEV-3, which seems to be widespread in pig populations worldwide (Khuroo et al., 2016). Even in industrialized countries, where HEV-1 353 354 and -2 are not endemic, HEV-3 can be excreted in human stools (Fenaux et al., 2018) and 355 then can be seen as a potential fecal-oral risk. We also have chosen to keep all publications 356 using different detection methods for anti- HEV antibodies, regardless of sensitivity or 357 specificity, as there were not so many studies and we opted for being as conservative as 358 possible.

359 The fecal-oral waterborne route is in agreement with factors found significant such as the 360 consumption of untreated drinking water for the mixed population (symptomatic and infection 361 case definition). Concerning the fecal-oral route, the lack of toilets ("personal hygiene"), lack 362 of handwashing before meals, contact with wastewater, or playground are plausible ways of 363 transmission. However, consumption of untreated drinking water was not found significantly 364 associated with seroconversion for pregnant women in developing countries; although the 365 corresponding OR was close to being significant (Appendix 3), and the contamination of 366 water heterogeneously dispersed. HEV is commonly found in wastewater treatment plants and 367 may persist in the environment, and it has been detected in rivers, even in European countries 368 (Rutjes et al., 2009). Traveling in endemic countries can be a risk of exposure from food or 369 the environment and the finding of this factor as significant is not surprising.

Person-to-person transmission, even considering the fecal-oral pathway, needs further confirmation in both sporadic and epidemic settings, since the occurrence of several cases in one family can be attributed to person-to-person transmission but also to high disease attack rates resulting from high levels of environmental contamination (Kamar et al., 2017). In this meta-analysis, a significant association was only found for contact with an ill person for symptomatic cases. This factor should deserve further investigation. The question was also raised and questioned in a systematic review of outbreaks (Hakim et al., 2017).

This meta-analysis confirms the role of the parenteral pathway (tattooing/injection/contact with blood) that could be further investigated for healthcare workers (significant with two 379 publications). Blood transfusion is significant for the mixed population, and it is consistent 380 with descriptions of proven transmissions of hepatitis E after a blood transfusion (Kamar et 381 al., 2017). Cases of hepatitis E after blood transfusion are described and human blood supply 382 can be frequently contaminated in some European countries (Domanovic et al., 2017). HEV 383 testing of blood products is implemented in some European countries as well (Domanovic et 384 al., 2017). Dialysis can also be seen as a confirmed risk factor, hemodialysis was also found 385 associated with HEV seroprevalence in a recent meta-analysis (Haffar et al., 2017). Being a transplant recipient was not found significant, but including recent and new studies, like 386 387 Mallet et al. (2018) could change the significance of this factor in the future. Then it seems 388 plausible that Hepatitis E can share routes of transmission with Hepatitis A, B, or C, 389 explaining the significance of the risk factor linked to other medical conditions.

390 Other risk factors found in the meta-analysis can be seen as a consequence of the zoonotic 391 transmission. Occupational exposure, contact with farm animals, was found significant for 392 mixed and pregnant populations in this study. Several seroprevalence studies have suggested 393 that contacts with infected animal reservoirs are risk factors for HEV infection. Professional 394 exposure is higher than in the general population or control cohorts in butchers (contact with 395 pork meat), pig farmers (contact with pigs), slaughterhouse personnel (contact with pigs and 396 pork meat), hunters (contact with wildlife) or forestry worker (contact with wildlife) (Pavio et 397 al., 2017). Forestry activities can also be linked to hygiene or closer contact with wild animals in relationship to HEV-3 or -4. On rare occasions, HEV transmission from a pet pig was 398 399 reported (Renou et al., 2014). However, in this meta-analysis, contact with pets (dogs and 400 cats) was found significant for pregnant women in developed countries, and the mixed 401 population This result should be confirmed by further studies, perhaps investigating rabbits as 402 a zoonotic pathway (Geng et al., 2019a).

403 With regards to the role of food, pork, pig sausages, and game meat were identified as 404 significant risk factors for HEV infection, in particular undercooked pig meat, or meat 405 preparations containing pig liver (virus multiplication organ). As the liver is part of the offal, 406 it is also expected to play a significant role in the risk of exposure to HEV. The presence of 407 HEV contamination of fresh or ready-to-eat pork product or meat (e.g. pork pies, liver pate, 408 liver sausages, pork sausages, salami) is demonstrated in several European countries with 409 HEV prevalence of up 70% of the product tested (Boxman et al., 2019; Mykytczuk et al., 410 2017; Pavio et al., 2014; Szabo et al., 2015).

The consumption of seafood, particularly shellfish (oysters and mussels), which can 411 412 accumulate HEV when water is environmentally contaminated (from animal or human 413 origin), is also associated with infection. The shellfish were recently shown contaminated in 414 Italy, Spain, and Scotland (La Rosa et al., 2018; Mesquita et al., 2016; O'Hara et al., 2018). 415 Vegetable products (mostly unwashed or raw) were found significantly associated with 416 positive serology in this meta-analysis. As a possible consequence of environmental 417 contamination by infected animals or human effluents, HEV RNA was detected on vegetables 418 (leafy salads), fruits, and spices (Loisy-Hamon and Leturnier, 2015; Maunula et al., 2013; 419 Santarelli et al., 2018).

420 As said by another author, factors exploring indirect contamination such as insufficient 421 sanitization of water or shellfish or vegetable consumption should be better investigated in 422 developed countries (King et al., 2018).

To a lesser extent, raw milk was identified as a risk factor. <u>This result is based on three</u> <u>publications, two from Mexico and one from India (figure 5).</u> To date, zoonotic transmission by domestic ruminants has not been established. Excretion of HEV-4 is described in cow milk in China (Huang et al., 2016), but was not confirmed by other studies (Geng et al., 2019b; Vercouter et al., 2018). This result could also be related to a lack of hygiene (human or environmental contamination).

429 It is important to differentiate between infected cases (with positive serology definition), the 430 large proportion of which corresponds to asymptomatic forms, and symptomatic cases of 431 hepatitis. In France, where HEV seroprevalence is high (22.4%) (Mansuy et al., 2016), it is 432 estimated that 70% of cases are asymptomatic (Guillois et al., 2016). Such difference can be 433 explained, generally, by the virulence of the strain, or human susceptibility or higher dose 434 associated with higher severity. Most of the explanation could be attributed to human 435 susceptibility, but this meta-analysis was an opportunity to compare, roughly, exposures 436 between symptomatic and infected cases (healthy at the time of the study, and in some 437 studies, clearly asymptomatic, without hepatitis history). From the systematic review, it was 438 evident that few studies investigated symptomatic cases of Hepatitis E. However, when it was 439 possible to make a comparison, we could see that symptomatic and infected cases share the 440 same most explainable risk factors, such as occupational exposure (contact with animals or 441 hunting), untreated drinking water, contact with wastewater, game meat or pork products. 442 This comparison was not feasible for the susceptible population as only one study investigated 443 symptomatic hepatitis E cases.

444 In general, symptomatic cases of hepatitis E could be better explored, in particular for 445 populations at risk of developing severe or chronic forms (Aspinall et al., 2017). Sporadic 446 cases should be better investigated with precise definitions of exposure specifying by example 447 the mode of preparation (washing of vegetables, cooking of meat). Whenever possible, 448 whatever the country of origin, common most potential risk factors should be studied. Finally, 449 an harmonization of the case definition of HEV infection (clinical criteria, detection HEV 450 IgG/IgM, HEV RNA) is still a challenge at a global scale and European level (Adlhoch et al., 451 2019; Bohm et al., 2020). It could allow better comparison between studies and a better 452 understanding of the epidemiological situation.

This is in agreement with recent studies conducted in Europe: consumption of pork meat,
pork liver, wild boar, produce or contact with waste water have been identified as significant
risk factors in a German study (Faber et al., 2018), whereas contact with farm or wild animals,
and contact with cat have been pointed out as risk factors in a Polish study (BaumannPopczyk et al., 2017).

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459 **5.** Conclusion

460 In summary, this meta-analysis identified parenteral pathways (blood transfusion, dialysis) 461 and routes of infection related to contaminated water, animal contact and consumption of 462 foods (mainly pork products) as relevant risk factors for hepatitis E infection. This is in 463 agreement with recent studies conducted in Europe: consumption of pork meat, pork liver, 464 wild boar, produce or contact with waste water have been identified as significant risk factors 465 in a German study (Faber et al., 2018), whereas contact with farm or wild animals, and 466 contact with cat have been pointed out as risk factors in a Polish study (Baumann Popczyk et 467 al., 2017). Future case control studies should focus on susceptible individuals, at risk of 468 developing severe or chronic forms, and showing recent seroconversion. In general, symptomatic cases of hepatitis E could also be better explored (Aspinall et al, 2015). Lack of 469 470 information was also identified for pregnant women in developing countries, and to a lesser 471 extent for children. As suggested in another publication, factors exploring indirect 472 contamination such as insufficient sanitization of water or shellfish or vegetables consumption 473 should be better investigated in developed countries (King et al., 2018). 474

This meta-analysis provides an original complete view of identified risk factors for HEV infection from published literature till December 2017. In summary, this meta-analysis confirms parenteral pathways (blood transfusion, dialysis) and other routes of infection

477	related to contaminated water, animal contact and, consumption of foods (mainly
478	insufficiently cooked pork or pig liver products) as relevant risk factors for hepatitis E
479	infection. This meta-analysis also identifies less-known risk factors, such as shellfish, and raw
480	milk, which need confirmation in concerned areas.
481	More precise and harmonized definition of exposure and cases in epidemiological studies may
482	help for better understanding the pathway of transmission.
483	
484	
485	
486	Appendices: Supplementary material
487	Appendix 1: Complete bibliographic references.
488	Appendix 2: Characteristics of the 78 primary studies investigating risk factors for acquiring
489	sporadic hepatitis E included in the meta-analysis.
490	Appendix 3: Non-significant results on the main risk factors
491	
492	Data statement
493	Figures
494	• Figure 1: Flow chart of literature search for case-control or cohort studies of human
495	hepatitis E
496	• Figure 2: Forest plot of the association of hepatitis E infection with transplant organ
497	recipient in the mixed population:
498	(in separate file) (legend * adjusted OR as described in Gonzales-Barron et al. (2019)
499	• Figure 3: Forest plot of the association of hepatitis E infection with other red meat (game
500	meat) in the mixed population
501	(in separate file) (legend * adjusted OR as described in Gonzales-Barron et al. (2019)
502	• Figure 4: Forest plot of the association of hepatitis E infection with processed meat (pork
503	sausages) in the mixed population
504	(in separate file) (legend * adjusted OR as described in Gonzales-Barron et al. (2019)
505	• Figure 5: Forest plot of the association of hepatitis E infection with dairy products in all
506	populations
507	(in separate file) (legend * adjusted OR as described in Gonzales-Barron et al. (2019)
508	

Figure 6: (in separate file) Funnel plots of studies investigating categorized risk factors with significant p bias (Table 1, 2, 3): Legend • A: Host-specific factors in mixed population (infection case definition) B: Person-to-Person factors in mixed population (infection case definition) C: Person-to-person factors in mixed population (symptomatic case definition) D: Food in mixed population (symptomatic case definition) E: Pig products in mixed population (infection case definition) F: Handling pig products in mixed population (infection case definition) **Tables** • Table 1. Results of the meta-analysis on the main risk factors Table 2. Results of the meta-analysis on disaggregated risk factors • Table 3. Effect of handling on the pooled OR for food products •

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550 Figure 1: PRISMA Flow chart of included studies



• Table 1: Results of the meta-analysis on main risk factors

Population	Included study area	Case definition	Risk factor	Pooled OR [95% Cl]	N/n*	p-value of risk factor	Publication bias p-value	Points removed **	Heterogeneity analysis***			
	1	1		Travel					l.			
Mixed	Asia, South America, Europa	Infection	Abroad	1.043[1.012-1.075]	9/15	0.006	0.15	0	τ²=0 ; Q(df = 15) = 21.045, p-val = 0.135 ; s²=0.241; l²=0			
Mixed	Asia, Africa, Europa	Symptomatic	Any Travel	3.547 [1.1.159-10.859]	4/5	0.027	0.004	0	r ² =0.918 Q(df = 4) = 14.855, p-val = 0.005 s ² =1.626;l ² = 36.079			
	Host specific											
	Africa,		Blood Transfusion	2.005[1.468 - 2.738]	10/14	<.0001						
Mixed	Asia, Europa, North and South America	infection	Dialysis	2.699 [1391 - 5.236	2/3	0.0033	0.156	0	τ ² =0.137 QE(df = 50) = 223.494, p-val < .0001 s ² = 0.469; l ² =22.643			
Susceptible (y)	Asia, Europa,Sou th America	Infection	Chronic	2.454 [1.827 - 3.298]	3/8	<.0001	0.464	0	T ² =0.204 QE(df = 8) = 11.520, p-val = 0.174 s ² =0.379;l ² = 34.908			
				Person to person by t	type of co	ontact						
	Africa, Asia,		Occupational (healthcare worker)	1.570 [1.092 - 2.258]	2/3	0.0148			τ²=0.144			
Mixed	Europe, North america	infection	Tattoo/injective drug user	1.579 [1.027 - 2.427]	5/7	0.0373	0.028	0	QE(df = 21) = 23.922, p-val = 0.297 s ² = 0.152l ² =487			
Mixed	Africa, Asia,	symptomatic	Contact (jaundice exposure)	3.399[1.121-10.308]	5/6	0.0306	0.0143	0	т ² =1.043 QE(df = 9) = 23.707, p-val = 0.005			
	Europa		Tattoo/injection	2.125[1.190- 3.793]	3/5	0.0108			s ² = 1.694, l ² =38.112			
	1			Personal Hy	giene	-			1			
All	Africa, Asia	infection		1.613 [1.324 - 1.965]	3/5	<.0001	0.750	0	τ ² =0 ; Q(df = 4) = 9.164, p-val = 0.057 ; s ² =0.081; l ² =0			
				Animals	S				r			
Mixed	Africa,	Infection	Farm animals	2.071 [1.506 - 2.848]	10/17	<.0001	0.602	0	т ² =0.456			

	Asia, Europa,		Occupational exposure	2.077 [1.795 - 2.403]	23/59	<.0001			QE(df = 96) = 192.480, p-val < .0001; s2=0.325
	North and South America		Pets	1.426 [1.121 - 1.814]	9/23	0.004			<u>l2=</u> 58.381
Mixed	Africa, Asia, Europa	symptomatic cases	Occupational exposure	3.354 [1.092 - 10.302]	2/4	0.035	0.158	0	τ ² = 0.823 QE(df = 10) = 17.509, p-val = 0.064 s ² = 0.533 l ² = 60.69
	Africa		Pets	2.061 [1.563 - 2.718]	3/6	<.0001			т ² =0;
Pregnant	Asia, South America	infection	Occupational exposure	2.549 [1.989 - 3.267]	3/11	<.0001	0.815	0	QE(df = 15) = 16.039, p-val = 0.379; S ² =0.231 I ² =0
	•	•		Environm	ent	1	L		
Mixed (y)	Africa, Asia, Europa,	infection	Untreated drinking water	1.692 [1.434 - 1.996]	22/46	<.0001			T ² =0.775
			Farm environment	2.187 [1.654 - 2.893]	19/27	<.0001	0.105	0	QE(df = 100) = 337.2926, p-val < 0.001
	North and		Forestry	1.614 [1.357 - 1.919]	2/9	<.0001		0	.0001 S2-0.371
	South		Playground	1.253 [1.034 - 1.520]	5/7	0.022			12=67 615%
	America		Waste water	2.068 [1.497 - 2.857]	8/17	<.0001			1-07.01070
Mixed	Africa, Asia, Europa	symptomatic cases	Untreated drinking water	5.105[1.327 - 19.633]	4/4	0.018	0.941	1	τ²=1.425 QE(df = 8) = 18.016, p-val = 0.021
			Waste water	5.205 [2.305 - 11.754]	3/4	<0.001			S ² = 0.845 I ² =62.771%
Pregnant	Africa, Asia,	infection	Playground	1.683 [1.233 - 2.297]	2/2	0.001	0.312	0	r ² =0.111 QE(df = 8) = 10.740, p-val = 0.217 S ² =0.149 I ² =42.635
		T		Food	1		r	1	
	Africa,		Dairy	1.711 [1.101 - 2.660]	3/3	0.0170			2 0 400
	Asia,		Meat	1.597 [1.401 - 1.821]	14/55	<.0001			$T^2=0.406$
Mixed (y)	Europa,	infection	Seatood	1.451 [1.262 - 1.669]	3/9	<.0001	0.623	0	Q = (01 - 73) = 202.040, p - val < .0001
	South		Produce	1.092 [1.043 - 1.143]	6/9	0.0002			I ² =63.71
Mixed	Africa, Asia,	symptomatic cases	Meat	2.887 [2.108 - 3.954]	2/24	<.0001	0.023	0	τ ² =0.024 QE(df = 26) = 31.355, p-val = 0.215 ;

Europa		S ² =0.483 ; I ² =4.69
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⁵⁸⁵ *N/n Number of studies/number of OR;** points removed by sensitivity analysis, all results are given after removing data concerned; ***Between-study variability (τ^2), test for ⁵⁸⁶ residual heterogeneity (QE), variance of residuals (s²), intra-class correlation (I²). (y): year is significant (before/after 2000) in this model and the estimates are taking this effect into ⁵⁸⁷ account

• Table 2: Results of the meta-analysis on disaggregated risk factors

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Risk Factor	Included Area	Case definition	Risk factor precise	Pooled OR [95% Cl]	N/n*	p-value of risk factor	Publication bias p-value	Points removed **	Heterogeneity analysis ****
Meat (y)	Africa, Asia, Europa, North and South America	infection	Other red meats (game meat) Others Pork Processed meat	1.850 [1.469 - 2.330] 1.414 [1.171 - 1.707] 2.267 [1.675 - 3.068] 1.613 [1.339 - 1.942]	5/16 7/17 9/17 6/14	<.0001 0.0003 <.0001 <.0001	0.870	0	T ² =0.319 QE(df = 59) = 222.731, p-val < .0001 s ² =0.243 ; l ² =56.699
Meat	Europa	symptomati c cases	Other red meats Pork Processed meat	1.799 [1.186 - 2.728] 2.730 [1.381 - 5.398] 3.987 [2.745 - 5.792]	2/5 2/4 2/12	0.0057 0.0039 <.0001	0.557	0	τ ² =0.425 QE(df = 24) = 29.227, p-val = 0.212; s ² =0.369 l ² =53.447
Seafood	South America, Europa, South Africa	infection	Molluscs (shellfish)	1.396 [1.256 - 1.552]	2/7	<.0001	0.201	0	T ²⁼⁰ QE(df = 8) = 21.298, p-val = 0.006s ² =0.419; l ² =0
Dairy	Asia, South America	infection	Dairy(raw milk)	1.932 [1.279 - 2.918]	4/5	0.0018	0.369	0	r ² =0.057 Q(df = 4) = 6.242, p- val = 0.182; s ² =0.358 l ² =13.67
Produce	Africa, Asia, South America, Europa	infection	Produce	1.094 [1.046 - 1.144]	8/13	<.0001	0.647	0	T ² =0 Q(df = 12) = 7.603, p- val = 0.815; s ² =0.207 l ² =0
Pig products	Africa, Asia, Europa, North and South America	infection	Liver presence No liver	1.992 [1.618 - 2.452] 1.737 [1.104 - 2.733]	4/16 7/15	<.0001 0.017	2.10 ⁻¹⁶	0	r ² =0.2282 QE(df = 29) = 215.671, p-val < .0001 s ² =0.361; l ² =0
Poor handling : poor handwashing before meal	China	infection	Poor handwashing	1.295 [1.028 - 1.632]	2/4	0.028	0.385	0	τ ²⁼⁰ Q(df = 2) = 0.051, p- val = 0.975 s ² =0.027; l ² =0

*N/n Number of studies/number of OR;** points removed by sensitivity analysis, all results are given after removing data concerned; ***Between-study variability (τ^2), test for residual heterogeneity (QE), variance of residuals (s²), intra-class correlation (I²). y): year is significant (before/after 2000) in this model and the estimates are taking this effect into 591 592 593

account

• Table 3: Effect of handling on the pooled OR for pork consumption

Risk Factor	Risk factor precise	Pooled OR [IC95%]	N/n*	p-val risk factor	OR ratios and 95% CI	Points removed**	Publication bias	Heterogeneity analysis***
	•						p-value	
Pork	Undercooked	2.604 [1.331- 5.095]	3/6	<.0001	1.319 [0.946 - 1.841]	0	5.43.10-06	т ² =0.118
(only sero)	Base	1.973 [1.407-2.767]	8/25	0.1028	_			QE(df = 28) = 193.568, p-val
								< .0001
								S ² =0.336
								l ² =25.91

596 *N/n Number of studies/number of OR;** points removed by sensitivity analysis, all results are given after removing data concerned; ***Between-study variability (τ²), test for
 597 residual heterogeneity (QE), variance of residuals (s²), intra-class correlation (l²).

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Country	Label	Odds Ratio	[95% CI]	
Mexico	Rabbit meat consumption	1.93	[0.09-5.39]	
Mexico	Venison consumption	2.76	[1.14-0.08]	
Mexico	Snake meat consumption	3.93	[1.23-12.59]	
Mexico	Rabbit meat consumption	2	[0.47-8.38]	
Mexico	Venison consumption	1.82	[0.56-5.9]	
Mexico	Snake meat consumption	2.45	[0.5-11.81]	
taly	Consumption of big game meat	1.1	[0.18-6.78]	
France	Small game meat sometimes	1.05	[0.78-1.41]	+
France	Small game meat frequently	1.60	[0.89-3.21]	
France	Dig game meat sometimes	1.13	[0.83-1.53]	-
France	Big game meat frequently	1.76	[1.01-3.08]	
France	Big game meat frequently	1.03	[0.73-1.47]	+
France	Game meat consumption	1.36	[1.17-1.99]	+
France	Game meat consumption	1.12	[0.89-1.4]	+
France	Game meat consumption	0.95	[0.63-1.44]	-
Japan	Ate raw deer meat	9.51	[1.14-79.61]	
All		1.85	[1.47-2.33]	-
	Country Mexico Mexico Mexico Mexico Mexico Mexico Mexico France France France France France France France France France France France	Cately Labil Search (Labor) Search (Labor) Name Search (Labor) <td>Labor Balance Sector Marce National Sector Sector Marce Sector Sector Sector</td> <td>Label Object US Marrow Same Same Same Marro</td>	Labor Balance Sector Marce National Sector Sector Marce Sector Sector Sector	Label Object US Marrow Same Same Same Marro

Study	Country	Label	Odds Ratio	[95% CI]	
Alvarado_JCMR_2015	Mexico	Salarri consumption	1.5	[0.41-5.43]	
Chauseade_JCV_2013	France	Pork liver sausages sometimes	1.5	[1.09-2.08]	-
Chauseade_JCV_2013	France	Pork liver sausages frequently	4.73	[2:91-7:09]	+
Chauseade_JCV_2013*	France	Pork liver sausages sometimes	1.74	[1.2-2.53]	-
Chauseade_JCV_2013*	France	Pork liver sausages frequently	4.48	[2:63-7:64]	-
Kanholm_JD_2009*	USA	11-20 times/mo becon, sausage or processed meat	0.96	[0.75-1.21]	•
Kanholm_JD_2009*	USA	+20 timesimo bacon, sausage or processed must	0.89	[0.65-1.22]	+
Madden_WJG_2016	SouthAfrica	Saunage	1.22	[0.80-1.66]	-
Madden_WJG_2016	SouthAfrica	Baconham	1.5	[1.14-1.98]	•
Manazy_Eurosurveillance_2015	France	Ate uncooked pork liver seusage	2.44	[2:09-2:86]	
Manazy_Eurosurveillance_2015*	France	Ate uncooked pork liver seusage	2.17	[1.73-2.72]	•
Manazy_Eurosurveillance_2015	France	Ate uncooked pork liver seusage	1.71	[1.13-2.50]	+
Manazy_Eurosurveillance_2015*	France	Ate uncooked pork liver seusage	1.88	[1.1-3.23]	+
Pisano_AV_2016	Argentina	Charoutaria consumption	0.88	[0.3-2.55]	+
Random Effect Meta-Analysis	All		1.61	[1.34-1.94]	

8.18 1.0 5.015.8





