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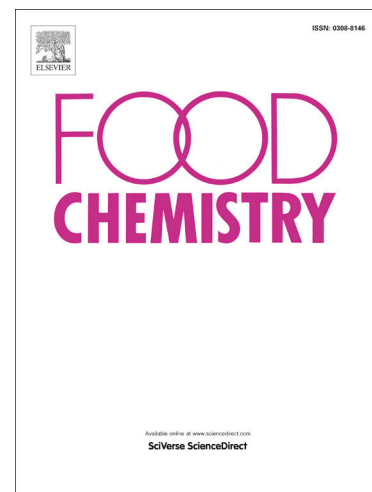
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1 **NMR structural elucidation of dehydrodimers resulting from oxidation of 5-*O*-caffeoylquinic**  
2 **acid in an apple juice model solution**

3 Claudia Mariana Castillo-Fraire <sup>a,c</sup>, Sandrine Pottier <sup>d,e</sup>, Arnaud Bondon <sup>d,e</sup>, Erika Salas <sup>f</sup>, Stéphane  
4 Bernillon <sup>g</sup>, Sylvain Guyot <sup>a,c,\*</sup>, Pascal Poupard <sup>b,c</sup>,

5 <sup>a</sup> INRAE UR BIA – Polyphenols, Reactivity, Processes, F-35653, Le Rheu, France

6 <sup>b</sup> IFPC (French Institute for Cider Production), F-35653, Le Rheu, France

7 <sup>c</sup> UMT ACTIA Nova<sup>2</sup>Cidre, F-35653, Le Rheu, France

8 <sup>d</sup> Univ Rennes 1, COrint, ISCR UMR CNRS 6226, Rennes, France

9 <sup>e</sup> Univ Rennes 1, Plateforme PRISM, SFR UMS CNRS 3480, INSERM 018, Biosit, Rennes, France

10 <sup>f</sup> Facultad de Ciencias Químicas, Universidad Autónoma de Chihuahua, Circuito Universitario s/n,  
11 Campus Universitario No. 2, CP 31125, Chihuahua, México

12 <sup>g</sup> UMR1332 Biologie du Fruit et Pathologie, INRAE, Université de Bordeaux, Centre INRAE de  
13 Nouvelle Aquitaine-Bordeaux, Villenave d'Ornon, France  
14

15 \* Corresponding author at INRAE UR1268 BIA – Polyphenols, Reactivity, Processes, F-35653, Le  
16 Rheu, France. E-mail address: sylvain.guyot@inrae.fr (S. Guyot)

17 Keywords: phenolic compounds, CQA dehydrodimers, chlorogenic acid, PPO, caffeicin  
18

19 **ABSTRACT**

20 During apple juice and cider-making processes, phenolic compounds undergo enzymatic  
21 oxidation. 5-*O*-caffeoylquinic acid (CQA) is one of the major hydroxycinnamic acid derivatives  
22 and it is the preferential substrate for polyphenol oxidase (PPO) in apple juices. Consequently,  
23 CQA dehydrodimers (MW 706 Da) are among the main products resulting from CQA oxidation.  
24 CQA dehydrodimers were previously synthesized in a biomimetic apple juice model solution.  
25 Following their purification and characterization using UV-Visible spectra and mass  
26 spectrometry, the structures of seven CQA dehydrodimers were elucidated using <sup>1</sup>H and <sup>13</sup>C one-  
27 and two-dimensional NMR spectroscopy. Six of them exhibited dihydrobenzofuran,  
28 benzodioxane, or dihydronaphthalene skeletons, which are caffeicin-like structures. Interestingly,

29 a new dehydrocaffeoylquinic acid molecule was also characterised for which two novel  
30 structures showing a symmetric dicatechol skeleton were also proposed.

## 31 **1 Introduction**

32 Chlorogenic acids (CGA) are a group of phenolic acids that correspond to hydroxycinnamic acids  
33 esterified by a quinic acid moiety. These widespread compounds are present in plants, fruits, and  
34 vegetables, and are characteristic compounds in coffee beans, tea, maté, blueberries, cherries, plums,  
35 pears, apples, spinach, and potato tubers (Clifford, 1999, 2000). CGA are known to have bioactive  
36 properties such as antioxidant, free radical-scavenging, antibacterial, and antidiabetic properties, as  
37 well as neuroprotective activity (Bao et al., 2018; Naveed et al., 2018). Regarding apple and apple-  
38 derived products, the major hydroxycinnamic acid derivative is 5-*O*-caffeoylquinic acid (CQA).  
39 Depending on the apple variety, CQA concentrations range from 200 to 1000 mg/L in apple juices  
40 (Guyot et al., 2008).

41 During the first step of apple juice production (crushing, pressing), polyphenols come into contact  
42 with polyphenol oxidase (PPO). In this step, CQA, the preferential substrate for PPO, can be oxidised  
43 into its corresponding ortho-quinone in presence of oxygen. This highly reactive species can be  
44 involved in different reaction pathways leading to the formation of a multiplicity of neoformed  
45 molecules (Poupard et al., 2008). However, the detailed structures and properties of these oxidation  
46 products are yet little known.

47 Oxidation products have been explored in synthetic solutions using caffeic acid as a model compound  
48 (Cilliers & Singleton, 1991; Fulcrand et al., 1994; Pati et al., 2006; Weber et al., 2019). Some of these  
49 oxidised products have been characterised using several techniques: UV-Visible spectra, (Cilliers &  
50 Singleton, 1991; Fulcrand et al., 1994; Pati et al., 2006), mass spectrometry (Pati et al., 2006), and  
51 NMR (Cilliers & Singleton, 1991; Fulcrand et al., 1994). Their characterization has highlighted that  
52 they are mainly dehydrodimers resulting from oxidative coupling whose linkage could involve the  
53 aromatic ring and/or the double bond of the propenoic acid chain. Most of them have been classified

54 as cateicin and correspond to ainyarobenzofuran, ainyarobenzodioxan, or ainyaronaphthalene types  
55 (Cilliers & Singleton, 1991).

56 In apple juice, caffeoylquinic acid oxidation products were first detected using LC/MS analyses  
57 (Bernillon et al., 2004). These compounds were then synthesized in an apple juice model solution.  
58 LC/MS analyses confirmed the molecular weight of 706 Da, suggesting that these compounds  
59 correspond to oxidative coupling between two CQA molecules (Castillo-Fraire et al., 2019; Wong-  
60 Paz et al., 2015). Their tanning properties have been explored previously, highlighting a specific  
61 interaction with certain families of salivary proteins (Castillo-Fraire et al., 2021).

62 In our previous research, sufficient quantities of CQA oxidation products were synthesized  
63 enzymatically for purification on a milligram scale. Centrifugal Partition Chromatography (CPC) was  
64 optimised to fractionate these CQA dehydrodimers (Castillo-Fraire et al., 2019). After  
65 preparative/semi-preparative HPLC, ten CQA dehydrodimers with a chromatographic purity higher  
66 than 85% were successfully recovered (Castillo-Fraire et al., 2019).

67 In this work, we present the structure elucidation of seven of these CQA dehydrodimers using Nuclear  
68 Magnetic Resonance (NMR).

## 69 **2 Material and Methods**

### 70 **2.1 Chemicals and enzymes**

71 5-*O*-caffeoylquinic acid (CQA) was obtained from Sigma-Aldrich (St. Louis, MO, USA) and the  
72 crude extract of polyphenol oxidase (PPO) was prepared in our laboratory as described previously  
73 (Le Bourvellec et al., 2004). HPLC-grade solvents for the purification of the CQA oxidation products  
74 (Castillo-Fraire et al., 2019) and methanol for the NMR analyses were purchased from Eurisotop  
75 (Saint-Aubin, France).

### 76 **2.2 Synthesis and purification of CQA oxidation products**

77 The complete procedure has been described in detail in a previous study published recently (Castillo-  
78 Fraire et al., 2019). Briefly, CQA dehydrodimers were synthesized by enzymatic oxidation (PPO) of

79 CQA in a model solution (Figure 1). The remaining CQA was eliminated using Centrifugal Partition  
80 Chromatography (CPC) performed in Elution-Extrusion Counter Current Chromatography (EECCC)  
81 mode. Finally, purification was sharpened using preparative/semi-preparative HPLC.

82 The ten CQA dehydrodimers were eluted between 14 min and 46 min (10–33 % ACN), suggesting a  
83 wide dispersion of polarity. The polarity of most of the compounds was lower than their precursors,  
84 except for 705-1 and 705-1.1 that were eluted before CQA in the LC/MS analysis. The compound  
85 number indicates the order of elution.

86

### 87 2.3 NMR analyses

88 To elucidate their structures, seven CQA dehydrodimers (705-1, 705-1.1, 705-2, 705-3, 705-4, 705-  
89 5, and 705-6) were analysed using NMR. Samples were dissolved in deuterated methanol (CD<sub>3</sub>OD).  
90 NMR spectra were recorded at 298 K and measurements were carried out on a Bruker AVANCE 500  
91 spectrometer (Bruker, Wissembourg, France) with a Triple Resonance (TCI) 5 mm cryoprobe (<sup>1</sup>H,  
92 <sup>13</sup>C, <sup>15</sup>N). The chemical shifts were attributed using 2D Homo-nuclear and Hetero-nuclear spectra:  
93 DQF-COSY, TOCSY, edited <sup>13</sup>C-HSQC, <sup>13</sup>C-HSQC-TOCSY, and <sup>13</sup>C-IMPACT-HMBC. Standard  
94 pulse sequences from the Bruker database were used. Chemical shifts were expressed as ppm and the  
95 spectra were processed using Topspin software.

## 96 3 Results and discussion

### 97 3.1 NMR analyses

98 In a previous study based on UV-Visible spectra and MS<sup>n</sup> spectrometry, three hypothetical structures  
99 were proposed for 9 out of the 10 dehydrodimers purified (Castillo-Fraire et al., 2019). In the present  
100 study, NMR spectrometry confirmed these structural hypotheses. In addition, NMR was successfully  
101 used to propose two novel structural hypotheses. Table 1 shows <sup>1</sup>H proton and <sup>13</sup>C carbon chemical  
102 shifts for the seven compounds analysed. A dihydronaphthalene-type structure (Figure 4) was proposed  
103 for compounds 705-1 and 705-1.1, a dihydrobenzofuran-type structure (Figure 2) for dehydrodimers

104 705-3 and 705-4, and a dihydrobenzodioxane-type structure (Figure 3) for dehydrodimers 705-5 and  
105 705-6.

106 As dehydrodimer 705-2 presented a completely different MS<sup>n</sup> fragmentation and UV-Visible  
107 spectrum compared to the other CQA dehydrodimers, no hypothetical structure was proposed for this  
108 compound in our previous study (Castillo-Fraire et al., 2019). NMR spectrometry analyses were  
109 necessary to elucidate its structure.

110

### 111 **3.1.1 Structure elucidation of the dihydrobenzofuran-type dehydrodimers**

112 These compounds presented UV-vis spectra with a  $\lambda_{\max}$  at 327 nm (Castillo-Fraire et al., 2019). The  
113 detailed structure of products 705-3 and 705-4 (Figure 2A) was elucidated from the <sup>1</sup>H and <sup>13</sup>C NMR  
114 spectra. The chemical shifts of the carbon and proton atoms are presented in Table 1. The structural  
115 analyses of 705-3 and 705-4 have been discussed in a previous paper (Castillo-Fraire et al., 2019).  
116 MS<sup>n</sup> fragmentation highlighted that they likely correspond to two CQA dehydrodimer isomers with  
117 a dihydrobenzofuran moiety. The MS<sup>n</sup> transitions for 705-3 and 705-4 were 705→513→339→295  
118 (Castillo-Fraire et al., 2019). These compounds are analogues of caffeic acid oxidation products  
119 (caffeicin F) already described by Cilliers and Singleton (1991).

120 In the following paragraph, all <sup>1</sup>H and <sup>13</sup>C chemical shifts are given for isomer 705-3. The  
121 corresponding chemical shifts for isomer 705-4 are listed in Table 1.

122 The <sup>13</sup>C NMR signal at 167.09 ppm was used as a starting point for the structure elucidation. It  
123 corresponds to a strongly deshielded carbon consistent with an ester group. Moreover, the HMBC  
124 spectrum showed a clear correlation of this carbon (167.09 ppm) with two adjacent protons showing  
125 a large coupling constant <sup>2</sup>J (15.9 Hz) consistent with  $\alpha$ - $\beta$  ethylene protons. This carbon (167.09 ppm)  
126 was thus identified as C9 (Figure 2A) and the two protons at 7.62 ppm and 6.35 ppm were attributed  
127 to H7 and H8. HMBC correlations allowed H8 (6.35 ppm) to be unambiguously distinguished from

128 H7 (7.02 ppm), as the latter was the only one showing HMBC correlations with 5 carbons (128.45,  
129 116.06, 116.62, 114.78, 167.09 ppm). On the  $^1\text{H}$ - $^{13}\text{C}$  HSQC spectrum, carbons C7 and C8 correlated  
130 with H7 and H8 were observed at 145.27 ppm and 114.78 ppm, respectively. The signal at 128.45  
131 ppm was attributed to the quaternary carbon C1 (no correlation on  $^1\text{H}$ - $^{13}\text{C}$  HSQC spectrum).

132 On the  $^1\text{H}$ - $^{13}\text{C}$  HMBC spectrum, the  $^{13}\text{C}$  signals of carbons C2 and C6 were detected at 116.06 ppm  
133 and 116.62 ppm, respectively. The distinction between these two carbons was based on the fact that  
134 only carbon C6 (116.62 ppm) was correlated to a moderately deshielded proton (4.37 ppm). From the  
135  $^1\text{H}$ - $^{13}\text{C}$  HSQC spectrum, the signals at 7.06 and 7.21 ppm could be attributed to H2 and H6,  
136 respectively. Proton H2 (7.06 ppm) was also correlated to  $^{13}\text{C}$  signals at 141.64 ppm and 149.36 ppm  
137 corresponding to carbons C3 and C4. The  $^{13}\text{C}$  signal at 149.36 ppm was attributed to carbon C4 as it  
138 is the only carbon showing an expected correlation with H6. Then, the  $^{13}\text{C}$  signal at 141.64 ppm was  
139 attributed to C3.

140 Proton H6 (7.21 ppm) on the  $^1\text{H}$ - $^{13}\text{C}$  HMBC spectrum was correlated to a weakly deshielded carbon  
141 at 55.65 ppm and the  $^1\text{H}$ - $^{13}\text{C}$  HSQC spectrum revealed that this carbon at 55.65 ppm was linked to  
142 the moderately deshielded proton at 4.37 ppm. The latter proton and carbon were thus temporarily  
143 attributed to the 8' position. According to the  $^1\text{H}$ - $^{13}\text{C}$  HMBC spectrum, this proton (H8') was  
144 correlated to six carbons. The most deshielded one (170.57 ppm) was attributed to C9', which is  
145 consistent with an ester group. The latter carbon (C9') was correlated to a  $^1\text{H}$  signal at 6.05 ppm,  
146 which could be temporarily attributed to H7'. Indeed, this proton (H7') was also correlated to six  
147 other carbons (55.65, 112.58, 117.34, 126.20, 131.78, and 149.36 ppm) (Figure 2B). Among them,  
148 three corresponded to quaternary carbons (126.20, 131.78, and 149.36 ppm). Only one carbon (131.78  
149 ppm) was correlated to three aromatic protons (6.79, 6.79, and 6.86 ppm) allowing its identification  
150 as C1'. The signals of the three aromatic protons were consistent with a catechol group. Proton H2'  
151 was unambiguously identified at 6.86 ppm and a doublet with a small  $^3\text{J}$  coupling constant (1.5 Hz)  
152 was observed. Even if the signals of protons H6' and H5' overlapped at 6.79 ppm, they were assigned



153 based on their coupling constants. H10 presented two coupling constants (8.1 Hz and 1.5 Hz) and H3  
154 only one (8.1 Hz). In addition, the whole signal integration of this zone (from 6.76 to 6.80 ppm) was  
155 consistent with the signal of two protons. The corresponding carbons C2', C5', and C6' were  
156 attributed to chemical shifts at 112.58, 114.97, and 117.34 ppm, respectively, according to the  $^1\text{H}$ - $^{13}\text{C}$   
157 HSQC spectrum. The attribution of proton H7' was confirmed thanks to its correlation with both C2'  
158 and C6' unambiguously observed on the  $^1\text{H}$ - $^{13}\text{C}$  HMBC spectrum. Consequently, the attribution of  
159 proton H8' was also confirmed. Indeed, this proton (H8') was correlated to two carbons already  
160 identified (C6 at 116.62 ppm and C4 at 149.36 ppm) and four other carbons (86.96, 126.20, 131.78,  
161 and 170.57 ppm) according to the  $^1\text{H}$ - $^{13}\text{C}$  HMBC spectrum.

162

163

## 164 3.1.2 Structure elucidation of the ainyarobenzodioxane-type aenyarolimers

165 These compounds presented UV-vis spectra with  $\lambda_{\text{max}}$  close to 291 nm and 317 nm (Castillo-Fraire  
166 et al., 2019). These spectra are similar to those of the four caffeicin (A–D) identified by Cilliers and  
167 Singleton (1991). The detailed structures of products 705-5 and 705-6 (Figure 3A) were elucidated  
168 from the  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra. The chemical shifts of the  $^{13}\text{C}$  and  $^1\text{H}$  atoms are presented in Table  
169 1. The structural analyses of 705-5 and 705-6 have been discussed in a previous paper (Castillo-Fraire  
170 et al., 2019).  $\text{MS}^n$  fragmentation revealed that they likely correspond to two CQA dehydrodimer  
171 isomers presenting a dihydrobenzodioxane skeleton. The  $\text{MS}^n$  transitions for compounds 705-5 and  
172 705-9 were  $705 \rightarrow 513 \rightarrow 339 \rightarrow 161$  (Castillo-Fraire et al., 2019). These compounds are analogues of  
173 caffeic acid oxidation products already described by Cilliers and Singleton (1991).

174 In the following paragraph, all  $^1\text{H}$  and  $^{13}\text{C}$  chemical shifts are given for isomer 705-5. The  
175 corresponding chemical shifts for isomer 705-6 are listed in Table 1.

176 The  $^{13}\text{C}$  NMR signal at 169.1 ppm was used as a starting point for the structure elucidation. It  
177 corresponded to a strongly deshielded carbon consistent with an ester group. Moreover, the  $^1\text{H}$ - $^{13}\text{C}$   
178 HMBC spectrum showed a clear correlation of this carbon (169.1 ppm) with two adjacent protons  
179 presenting a large coupling constant  $^2J$  (15.9 Hz) consistent with  $\alpha$ - $\beta$  ethylene protons. This carbon  
180 (169.1 ppm) was thus identified as C9 (Figure 3A) and the two protons at 7.6 ppm and 6.4 ppm were  
181 attributed to H7 and H8. HMBC correlations allowed H8 (6.4 ppm) to be unambiguously  
182 distinguished from H7 (7.6 ppm). Indeed, the latter was the only one showing HMBC correlations  
183 with two carbons (118.8 and 124.5 ppm) that were correlated to aromatic protons on the  $^1\text{H}$ - $^{13}\text{C}$  HSQC  
184 NMR spectrum assigned as H2 (7.26 ppm, d, 2 Hz) and H6 (7.2 ppm, dd, 8.5 and 2 Hz), respectively.  
185 The corresponding carbons C7 and C8 of protons H7 and H8 were at 146.8 ppm and 118.4 ppm,  
186 respectively. The  $^{13}\text{C}$  signal at 130.9 ppm was attributed to the quaternary carbon C1.

187 Proton H2 (7.26 ppm, d, 2 Hz) was also correlated to  $^{13}\text{C}$  signals at 145.6 ppm and 146.6 ppm that  
188 correspond to carbons C3 and C4, respectively. The  $^{13}\text{C}$  NMR signal at 146.6 ppm was attributed to

189 carbon C4 as it was the only carbon showing an expected correlation with H6 (7.2 ppm). The  $^{13}\text{C}$   
190 signal at 145.6 ppm was thus attributed to C3.

191 The  $^1\text{H}$ - $^{13}\text{C}$  HMBC spectrum showed a correlation between carbon C6 (124.5 ppm) and another  
192 aromatic proton at 7.03 ppm that was assigned as H5 (d, 8.5Hz). This proton H5 was also correlated  
193 to two aromatic carbons assigned previously: C3 at 145.6 ppm and C4 at 146.6 ppm.

194 Conversely, the  $^{13}\text{C}$  NMR signal at 169.5 ppm was used as a starting point for the structure elucidation  
195 of the other CQA moiety. It corresponded to a strongly deshielded carbon consistent with an ester  
196 group. The  $^1\text{H}$ - $^{13}\text{C}$  HMBC spectrum showed a clear correlation of this carbon (169.5 ppm) with three  
197 protons (4.9, 5.1, and 5.26 ppm). The proton at 5.26 ppm was assigned as H10', as this proton was  
198 correlated to the quinic acid part of the CQA moiety. The carbon at 169.5 ppm was thus assigned as  
199 C9'. The  $^1\text{H}$ - $^{13}\text{C}$  HMBC spectrum showed correlation of the proton at 5.1 ppm with six carbons (78.9,  
200 116.4, 121.2, 128.7, 145.6, and 169.5 ppm). On the  $^1\text{H}$ - $^{13}\text{C}$  HSQC NMR spectrum, two of them (116.4  
201 and 121.2 ppm) were correlated to aromatic protons at 6.79 ppm and 6.88 ppm, corresponding to H2'  
202 and H6'. The  $^{13}\text{C}$  signal at 128.7 ppm was attributed to the quaternary carbon C1'. Based on these  
203 correlations, the two protons at 5.1 ppm and 4.9 ppm were attributed to H7' and H8', respectively.  
204 Their corresponding carbons C7' and C8' were assigned at 78.4 ppm and 78.8 ppm on the  $^1\text{H}$ - $^{13}\text{C}$   
205 HSQC NMR spectrum.

206 Interestingly, a clear correlation was observed on the HMBC spectrum between H7' (5.1 ppm) and a  
207 carbon at 145.6 ppm that was previously assigned as C3. Additionally, a correlation between H8' (4.9  
208 ppm) and a carbon at 146.6 ppm corresponding to C4 was observed. These two correlations constitute  
209 proof for the elucidation of the dihydrobenzodioxane structure (Figure 3B).

210

### 211 3.1.3 Structure elucidation of the dihydronaphthalene-type dehydrodimers

212 This pair of compounds presented UV-vis spectra with  $\lambda_{\text{max}}$  around 318 nm and 342 nm (Castillo-  
213 Fraire et al., 2019). The complete NMR analysis of dehydrocaffeoyldiquinic acid dimers 705-1 and  
214 705-1.1 was used to confirm the structure of dehydrodimers showing a dihydronaphthalene nucleus  
215 (Figure 4A) based on the MS<sup>n</sup> fragmentation, as discussed in a previous paper (Castillo-Fraire et al.,  
216 2019). The proposed structure was an analogue of caffeicin E resulting from caffeic acid oxidative  
217 coupling (Cilliers & Singleton, 1991). The MS<sup>n</sup> transitions for 705-1 and 705-1.1 were  
218 705→513→339→229 nm (Castillo-Fraire et al., 2019).

219 In the following part, the discussion concerns the <sup>1</sup>H and <sup>13</sup>C attributions for product 705-1. The same  
220 approach can be applied to product 705-1.1 that exhibited the same correlation scheme on the <sup>1</sup>H-<sup>13</sup>C  
221 HMBC, <sup>1</sup>H-<sup>13</sup>C HSQC, and <sup>1</sup>H-<sup>1</sup>H COSY spectra. All details of chemical shifts and attribution of  
222 coupling constants are provided in Table 1.

223 Interestingly, in the present case, the <sup>1</sup>H NMR spectrum does not show any deshielded protons that  
224 would belong to a free  $\alpha$ - $\beta$  ethylenic system exhibiting a large coupling constant close to 16 Hz. This  
225 specific two-proton system was clearly observed and discussed before for products 705-3 and -4 and  
226 705-5 and -6. This suggests that, in the case of 705-1, both ethylene linkages of the caffeoyl moieties  
227 have been modified in such a way that they are both involved in oxidative coupling.

228 This observation led us to select as a starting point the only strongly deshielded proton (singlet at 7.65  
229 ppm) that also exhibited a clear HMBC correlation with a strongly deshielded carbon (169.2 ppm)  
230 consistent with an ester group. The proton was thus assigned as H7 and this deshielded carbon was  
231 attributed to C9. Then, carbon C9 clearly showed an HMBC correlation with another much more  
232 shielded proton at 3.95 ppm (doublet with J=3.4 Hz) that was attributed to H8'. Consequently, this  
233 coupling constant (3.4 Hz) allowed us to assign proton H7' at 7.47 ppm (doublet, J=3.4 Hz) and its  
234 corresponding carbon C7' located at 47.52 ppm using the <sup>1</sup>H-<sup>13</sup>C HSQC spectrum. Noticeably, the  
235 <sup>1</sup>H-<sup>13</sup>C HMBC spectrum showed that proton H7' was also correlated with nine carbons (Figure 4B).

236 Only one of them corresponded to a strongly deshielded carbon (174.8 ppm) that was thus attributed  
237 to C9'. Another weakly deshielded carbon (50.1 ppm) was attributed to C8', as its corresponding  
238 proton H8' was located at 3.94 ppm on the <sup>1</sup>H-<sup>13</sup>C HSQC spectrum. The <sup>13</sup>C signals of the other seven  
239 carbons were located between 116 ppm and 136 ppm, consistent with aromatic or ethylene carbons.

240 Among them, C1', C8, and C6 could be distinguished from the others as they showed HMBC  
241 correlations with both H7' and H8'. C1' was easily distinguished as it presented typical correlations  
242 of an aromatic ABX system in a catechol group. C6 could be distinguished from C8 as only C6  
243 exhibited HMBC correlations with three aromatic or ethylene protons, namely H5 (singlet 6.56 ppm),  
244 H2 (singlet, 6.88 ppm), and H7 (7.65 ppm). In contrast, C8 only showed correlation with one ethylene  
245 proton, namely H7 (7.65 ppm). H5 was distinguished from H2 as H5 showed HMBC correlation with  
246 C7'.

247 In contrast to the dihydrobenzofuran and the dihydrobenzodioxane structures, proton H8' in the  
248 dihydronaphthalene structure showed HMBC correlation with both C9 and C9' (ester group).

249 The complete NMR signal attributions of the protons and carbons belonging to the two catechol  
250 groups are not discussed here due to similarities with the structures of products 705-3 and -4, and  
251 705-5 and -6 that have already discussed. All these attributions are given in Table 1.

252

### 253 **3.1.4 Structure elucidation of the dehydrodimer presenting a symmetric structure**

254 Although its molecular weight (MW 706 Da) and the presence of two quinic acid moieties were  
255 confirmed by mass spectrometry (Castillo-Fraire et al., 2019), compound 705-2 exhibited very  
256 different physicochemical features compared to the other CQA dehydrodimers. For instance, it was  
257 the only one showing a specific UV-Visible spectrum with a  $\lambda_{\text{max}}$  at 282 nm and no more absorbance  
258 in the 300-340 nm region (Castillo-Fraire et al., 2019). This lack of absorbance at 320 nm for 705-2  
259 indicated that the conjugated double bonds of the propenoic chains of the two CQA moieties were no

260 longer present in the denderoimer structure. Interestingly, this particular feature has already been  
261 observed for a dehydrodicaffeic acid oxidation product (Fulcrand et al., 1994).

262

263 In addition, the  $^1\text{H}$  NMR spectrum was also original and differed from the other CQA dehydrodimers  
264 as it revealed a symmetric structure containing 24 non-hydroxyl protons. The  $^1\text{H}$  and  $^{13}\text{C}$  attributions  
265 and chemical shifts are given in Table 1. The complete 1D and 2D  $^1\text{H}$  and  $^{13}\text{C}$  NMR analyses allowed  
266 two hypothetical structures A and B to be proposed (Figure 5).

267 Except for some crucial NMR correlations, the following discussion mainly concerns the “non-prime”  
268 numbered moiety of the molecule. Considering the symmetry of the molecule, the argumentation is  
269 the same regarding the attribution of the “prime” numbered moiety.

270 The detailed structure of product 705-2 was elucidated from the  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra. The  $^1\text{H}$   
271 NMR spectrum revealed the presence of two aromatic protons at 6.77 ppm and one at 6.83 ppm. Their  
272 corresponding carbons were observed at 116.9, 117.3, and 121.9 ppm, respectively, on the  $^1\text{H}$ - $^{13}\text{C}$   
273 HSQC NMR spectrum. HMBC correlations allowed C6 to be assigned at 116.9 ppm as this carbon  
274 was correlated with the two aromatic protons at 6.77 ppm (H2 and H5). The  $^1\text{H}$ - $^{13}\text{C}$  HMBC and  $^1\text{H}$ -  
275  $^{13}\text{C}$  HSQC NMR spectra permitted their corresponding carbons C2 and C5 to be assigned at 121.9  
276 ppm and 117.3 ppm, respectively.

277 Carbon C6 (116.9 ppm) was also correlated with a deshielded proton at 6.27 ppm. The  $^1\text{H}$ - $^{13}\text{C}$  HMBC  
278 NMR spectrum showed that this proton has the particularity of being correlated with 7 carbons (55.3,  
279 73.5, 116.9, 121.9, 131.4, 171.4, and 172.7 ppm). Among them, three corresponded to aromatic  
280 carbons that were attributed to C6 at 116.9 ppm, C2 at 121.9 ppm, and the quaternary carbon C1 at  
281 131.4 ppm. The proton at 6.27 ppm was thus attributed to H7 (dd, 11 Hz and 1.7 Hz). The  $^1\text{H}$ - $^{13}\text{C}$   
282 HSQC NMR spectrum allowed the chemical shift of its corresponding carbon C7 at 73.5 ppm to be  
283 determined.

284 Obviously, proton H7 was correlated with two strongly deshielded carbons that have a  $^{13}\text{C}$  NMR  
285 signal at 171.4 ppm and 172.7 ppm consistent with the carbon of ester groups. The  $^{13}\text{C}$  signal at 171.4  
286 ppm was attributed to C9 based on its clear HMBC correlation with proton H10 (4.72 ppm) of the  
287 quinic acid moiety through the oxygen atom. In contrast, the carbon at 172.7 ppm showed clear  
288 HMBC correlations with four shielded protons (at 1.75, 1.77, 2.53, and 2.57 ppm) attributed to the  
289 two CH<sub>2</sub> groups (H11 and H13 protons) of the quinic acid moiety. This carbon at 172.7 ppm was  
290 attributed to C16 or C16' for hypothesis A or B, respectively.

291 In addition, proton H7 (6.27 ppm) was correlated to a moderately deshielded carbon at 55.3 ppm. The  
292  $^1\text{H}$ - $^{13}\text{C}$  HSQC NMR spectrum permitted the chemical shift of its corresponding proton (3.89 ppm) to  
293 be determined. The  $^1\text{H}$ - $^{13}\text{C}$  HMBC NMR spectrum showed correlations between this proton (3.89  
294 ppm) and four carbons (55.3, 73.5, 131.4, and 171.4 ppm). Among them, the NMR results highlighted  
295 the correlation with carbon C7 at 73.5 ppm, the quaternary carbon C1 at 131.4 ppm, and carbon C9  
296 at 171.4 ppm. The proton at 3.89 ppm was thus attributed to H8. The H-H coupling constants of 11  
297 Hz and 1.7 Hz measured for proton H8 (or H8') were the same as those measured for proton H7 (or  
298 H7') (Table 1). These coupling constants correspond to  $^2\text{J}$  coupling (between H7 and H8 or H7' and  
299 H8') and  $^4\text{J}$  coupling (between H7 and H8' or H8 and H7'). This can be considered as proof of the  
300 C8-C8' covalent bonding between both CQA moieties.

301 Another spot also confirmed the symmetric character of the molecule when the  $^1\text{H}$ - $^{13}\text{C}$  HMBC and  
302 HSQC NMR spectra were overlapped. Indeed, the  $^1\text{H}$ - $^{13}\text{C}$  HMBC spectrum revealed that the proton  
303 H8 (3.89 ppm) was correlated with a carbon presenting the same chemical shift at 55.3 ppm, proving  
304 that H8 was correlated with carbon C8' of the other CQA moiety.

305 Based on the NMR analysis, two "hypothetical" symmetric structures were proposed (Figure 5). Both  
306 structures showed two free catechol groups and both carboxylic groups of the quinic acid moieties  
307 are here included in two additional ester linkages. Neither the NMR analyses nor the MS<sup>n</sup>

308 fragmentation pattern enabled one or the two proposed hypothetical symmetric structures to be  
309 discarded or favoured.

310 The hypotheses of both structures are based on the fact that the  $^1\text{H}$ - $^{13}\text{C}$  HMBC spectrum revealed a  
311 correlation between H7 and C16 or C16' and these two carbons cannot be distinguished due to the  
312 symmetry of the molecule.

313 A mechanism was proposed for these structures (Figure 5), starting with the PPO-catalysed oxidation  
314 of CQA leading to the formation of CQA ortho-quinone. This CQA ortho-quinone and another CQA  
315 molecule can generate two semiquinone radicals by a reverse disproportionation. Then, radical  
316 coupling can take place between carbons C8 and C8' of these semiquinones generating a covalent  
317 bond between these two molecules. Finally, a nucleophilic addition step occurs between the C16 (or  
318 C16') hydroxyl group and the C7 (or C7') position, followed by re-aromatization to yield the  
319 symmetric structure.

320 The particular presence of two catechol groups in this symmetric structure could confer tanning  
321 properties to this compound. Indeed, complexation between polyphenols and proteins is dominated  
322 mainly by hydrogen bonds and hydrophobic interactions involving the catechol groups of phenolic  
323 compounds (de Freitas & Mateus, 2012). These likely tanning properties could lead to specific  
324 interactions regarding salivary proteins and might have an impact on mouthfeel/sensations more or  
325 less related to astringency perception (Castillo-Fraire et al., 2021).

#### 326 **4 Conclusion**

327 In a previous study, CQA dehydrodimers were synthesized in a biomimetic apple juice model solution  
328 (Castillo-Fraire et al., 2019). After purification and characterization using UV-Visible spectra and  
329 MS<sup>n</sup> fragmentation, three hypothetical structures were proposed for nine of the ten  
330 dehydrocaffeoyldiquinic acids (Castillo-Fraire et al., 2019).



331 in this study, we presented the structural confirmation of these hypotheses using 1D and 2D NMR  
332 spectroscopy. Four different structures were elucidated for dehydrodicaffeoyldiquinic acid  
333 compounds. Six of these CQA dehydrodimers corresponded to three different caffeicin-like  
334 skeletons: the dihydronaphthalene type for 705-1 and 705-1.1, the dihydrobenzofuran type for 705-3  
335 and 705-4, and the dihydrobenzodioxane type for 705-5 and 705-6. Although our NMR data did not  
336 completely elucidate the stereochemistry of these molecules, the pairs of CQA oxidation products  
337 with the same skeleton are very likely stereoisomers resulting from the asymmetric C7' and C8'  
338 carbon centres. In addition, a phenolic structure corresponding to a symmetric dicatechol skeleton  
339 was highlighted for the first time as one of the dehydrodicaffeoyldiquinic acids resulting from CQA  
340 oxidative coupling.

341 Further studies are needed to better understand the relationships between structure and functional  
342 properties, regarding their contribution to organoleptic and nutritional qualities in apple-based  
343 beverages.

344

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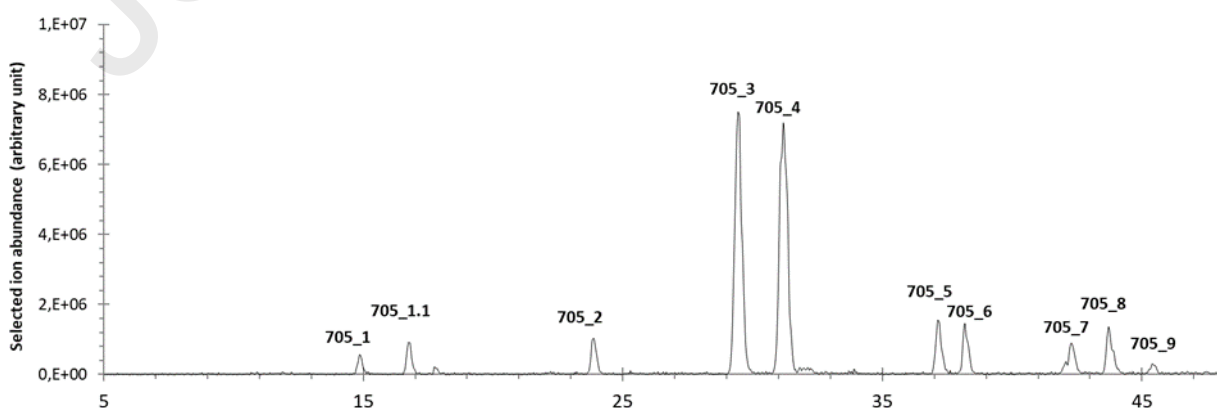
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417 **Figure 1**

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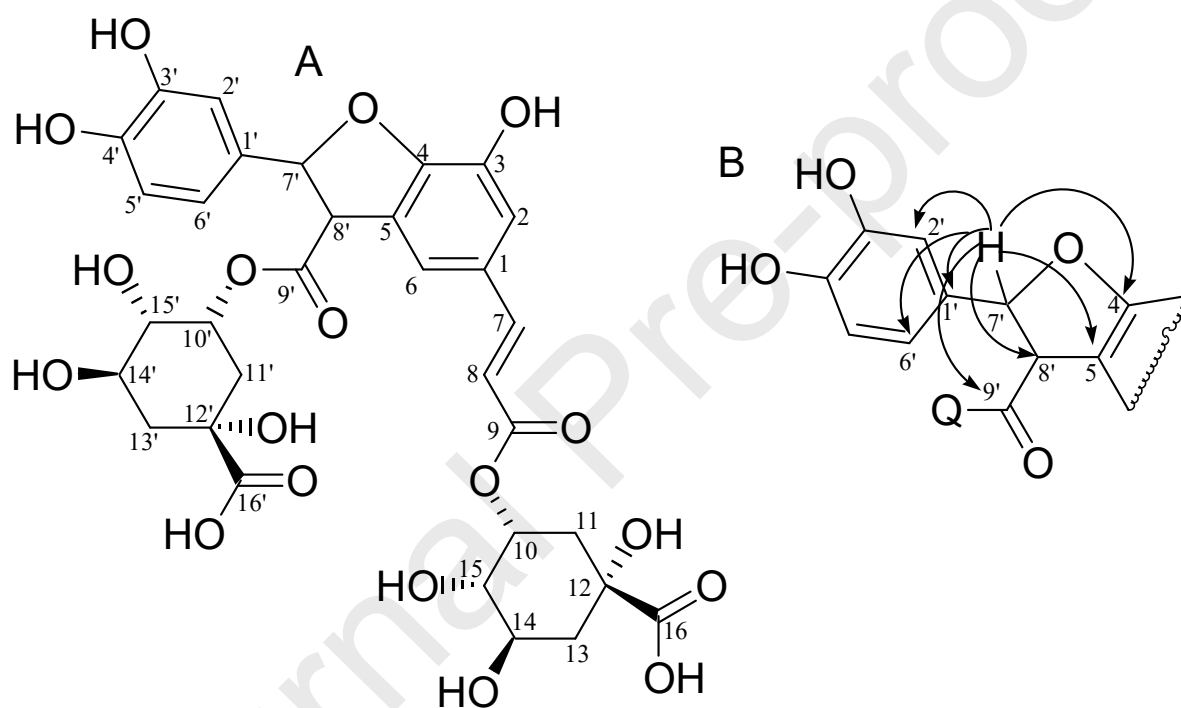
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424 **Figure 2**

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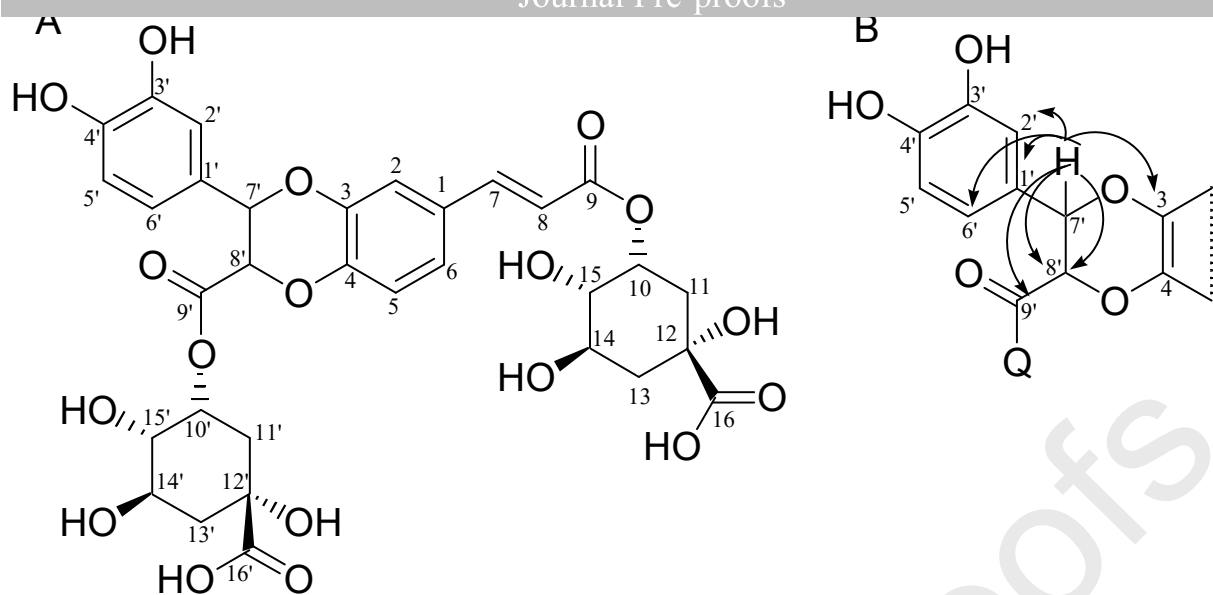
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432 **Figure 3**

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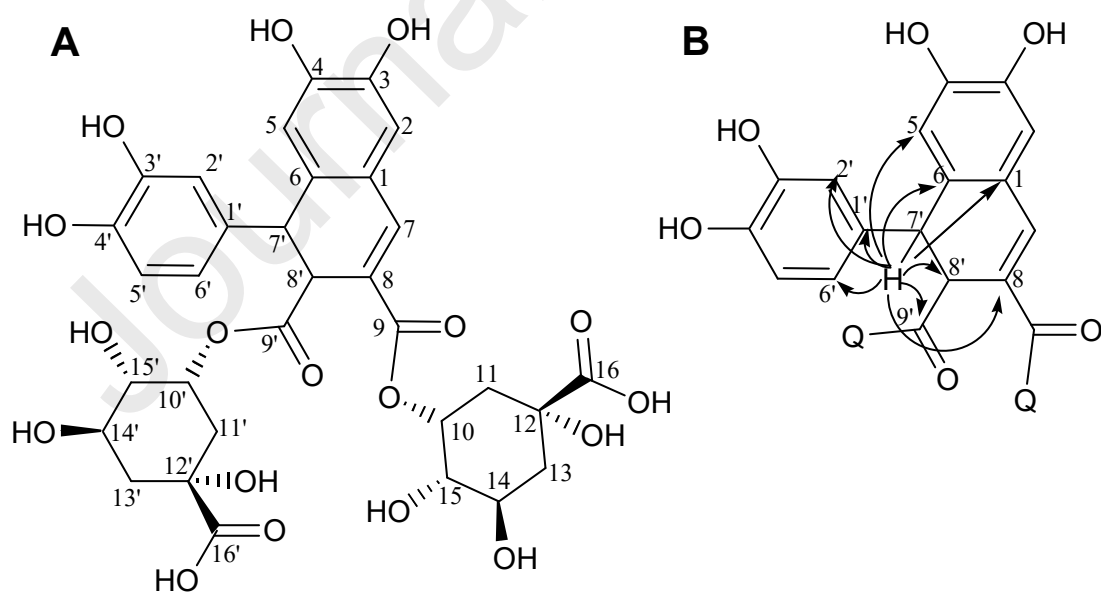
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436 **Figure 4**

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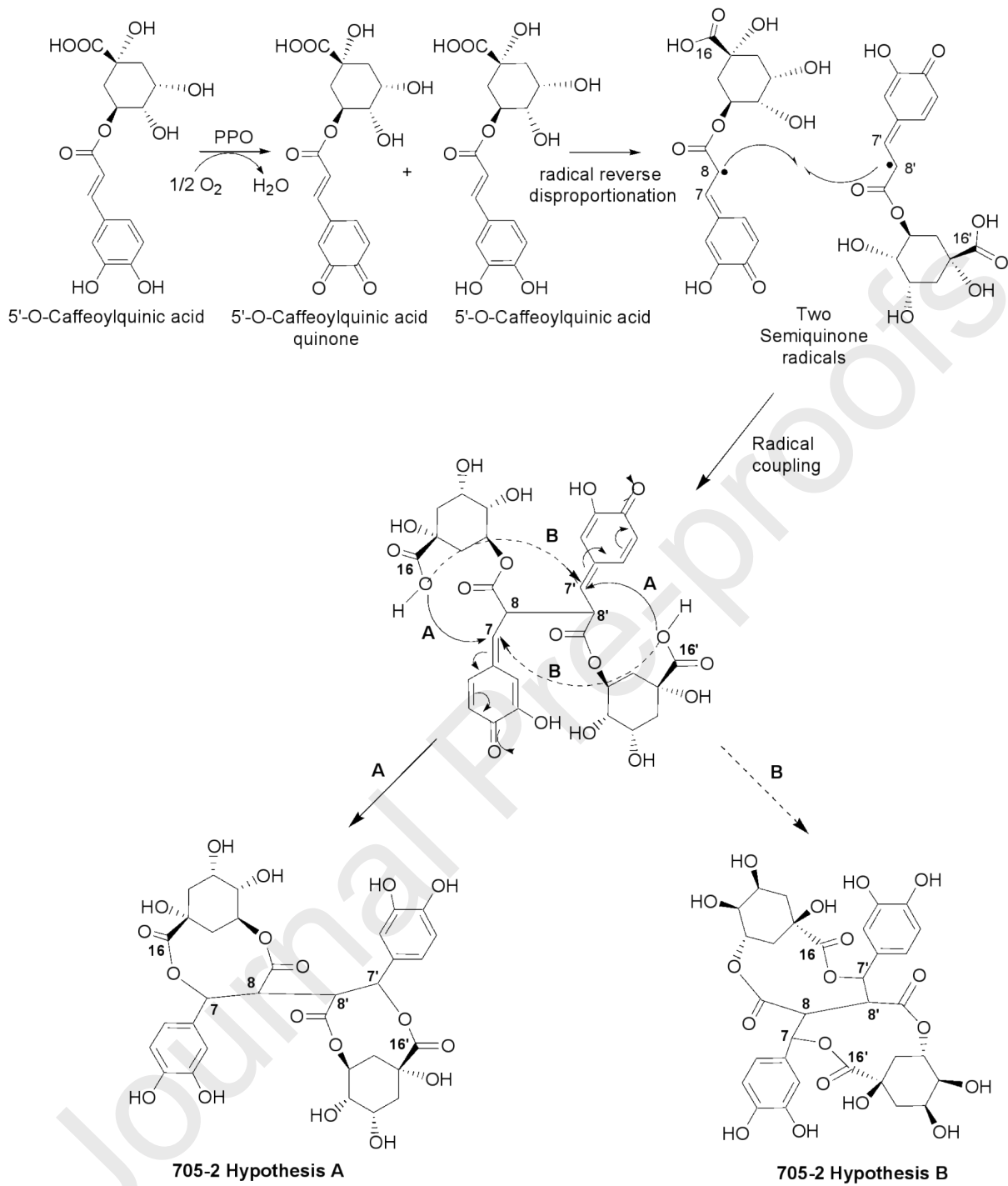
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Figure 5



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444

445

## Figures Captions

446

447 **Figure 1.** Extracted ion MS chromatogram at  $m/z$  705 ( $[M-H]^-$ ) from apple juice model solution  
 448 containing CQA oxidation products (706 Da).

449

450 **Figure 2. A:** Structure of products 705-3 and 705-4 corresponding to dehydrodicaffeoylquinic acids  
 451 showing a dihydrobenzofuran-type structure; **B:** HMBC correlations of proton H7' where **Q** is quinic  
 452 acid moiety.

453

454 **Figure 3. A:** Structure of products 705-5 and 705-6 corresponding to dehydrodicaffeoylquinic acids  
 455 showing a dihydrobenzodioxan-type structure; **B:** HMBC correlations of proton H7' where **Q** is a  
 456 quinic acid moiety.

457

458 **Figure 4. A:** Structure of products 705-1 and 705-1.1 corresponding to dehydrodicaffeoylquinic acids  
 459 showing a dihydronaphthalene-type structure; **B:** HMBC correlations of proton H7' where **Q** is a  
 460 quinic acid moiety.

461

462 **Figure 5.** Proposed mechanisms for the formation of two hypothetical structures corresponding to  
 463 product 705-2.

464

465

466 **Table 1.** Chemical shifts of protons ( $^1H$ ) and carbon ( $^{13}C$ ) for the seven CQA dehydrodimers  
 467 analysed.

Position	705-1				705-1.1				705-2				705-3				705-4			
	$\delta C$	$\delta H$	M	Hz	$\delta C$	$\delta H$	M	Hz	$\delta C$	$\delta H$	M	Hz	$\delta C$	$\delta H$	M	Hz	$\delta C$	$\delta H$	M	Hz
1	125,81	-	-	-	125,8	-	-	-	131,4	-	-	-	128,45	-	-	-	128,52	-	-	-
2	118,06	6,88	s	-	118,17	6,87	s	-	121,9	6,77	-	-	116,06	7,06	d	1,5	116,16	7,05	d	1,3
3	146,48	-	-	-	146,42	-	-	-	147,2	-	-	-	141,64	-	-	-	141,5	-	-	-
4	150,05	-	-	-	150,06	-	-	-	148,1	-	-	-	149,36	-	-	-	149,24	-	-	-
5	118,16	6,56	s	-	117,85	6,5	s	-	117,3	6,77	-	-	126,2	-	-	-	126,33	-	-	-



6	132,37	-	-	-	132,62	-	-	-	116,9	6,83	-	-	116,62	7,21	dd	1,2 ; 1,2	116,96	7,36	-	-
7	140,9	7,65	s	-	141,1	7,63	s	-	73,5	6,3	dd	11 ; 1,7	145,27	7,62	d	15,9	145,4	7,63	d	15,9
8	123,68	-	-	-	124,12	-	-	-	55,3	3,89	dd	11 ; 1,7	114,78	6,35	d	15,9	114,81	6,39	d	15,9
9	169,18	-	-	-	169,03	-	-	-	171,4	-	-	-	167,09	-	-	-	167,07	-	-	-
10	73,37	5,32	td	9,4 ; 4,3	73,51	5,32	td	9,0 ; 4,5	75,7	4,72	m	nd	70,68	5,37	dt	9,3 ; 4,5	70,72	5,37	dt	8,5 ; 4,5
11	39,89	2,27 ; 2,07	m	nd	39,62	2,22 ; 2,11	m	nd	31,6	2,53 ; 1,75	m	nd	37,44	2,26 ; 2,10	m	nd	37,15	2,23 ; 2,09	m	nd
12	77,18	-	-	-	77,14	-	-	-	74,5	-	-	-	74,81	-	-	-	74,56	-	-	-
13	39,08	2,19 ; 2,07	m	nd	39,05	2,20 ; 2,06	m	nd	39,3	2,57 ; 1,77	dt ; dt	14, 2,8 ; 14, 2,2 12,2 ; 3,5	36,86	2,20 ; 2,07	m	nd	36,8	2,20 ; 2,07	m	nd
14	72,41	4,17	m	nd	72,27	4,18	m	nd	68,3	3,97	dt	12,2 ; 3,5	69,94	4,2	m	nd	69,55	4,18	-	-
15	74,41	3,75	dd	8,7 ; 3,1	74,2	3,79	dd	8,5 ; 3,2	69,4	3,39	-	-	72,1	3,77	dd	9,5 ; 3,2	71,704	3,76	-	-
16	178,07	-	-	-	177,96 or 178,07	-	-	-	172,7	-	-	-	175,77	-	-	-	175,66	-	-	-
1'	136,89	-	-	-	136,18	-	-	-	131,4	-	-	-	131,78	-	-	-	131,6	-	-	-
2'	116,82	6,48	d	2,1	117,16	6,53	d	2,1	121,9	6,77	-	-	112,58	6,86	d	1,5	112,54	6,86	-	1,5
3'	146,94	-	-	-	147,01	-	-	-	147,2	-	-	-	145,27	-	-	-	145,27	-	-	-
4'	145,91	-	-	-	146,1	-	-	-	148,1	-	-	-	145,45	-	-	-	145,48	-	-	-
5'	117,11	6,65	d	8,1	117,16	6,68	d	8,1	117,3	6,77	-	-	114,97	6,79	d	8,1	115	6,79	-	8,1
6'	121,03	6,45	dd	8,1 ; 2,1	121,47	6,49	dd	8,1 ; 2,1	116,9	6,83	-	-	117,34	6,79	dd	8,1 ; 1,5	117,31	6,79	-	8,1 ; 1,5
7'	47,52	4,47	d	3,4	48,26	4,38	d	5,6	73,5	6,3	dd	11 ; 1,7	86,96	6,05	d	7	87,17	6,04	d	7
8'	50,1	3,94	d	3,4	50,3	3,97	dd	5,5 ; 0,7	55,3	3,89	dd	11 ; 1,7	55,65	4,37	d	7	55,97	4,37	d	7
9'	174,8	-	-	-	175,43	-	-	-	171,4	-	-	-	170,57	-	-	-	170,5	-	-	-
10'	73,61	5,21	td	9,5 ; 4,5	73,65	5,17	td	9,4 ; 4,6	75,7	4,72	m	nd	71,92	5,43	dt	9,9 ; 4,9	72,07	5,39	dt	9,8 ; 4,7
11'	39,74	2,06 ; 1,96	m	nd	39,62	2,01 ; 1,83	m	nd	31,6	2,53 ; 1,75	m	nd	37,95	2,2 ; 2,1	m	nd	37,54	2,21 ; 2,06	m	nd
12'	77,15	-	-	-	77,18	-	-	-	74,5	-	-	-	75,08	-	-	-	74,82	-	-	-
13'	39,08	2,14 ; 2,03	m	nd	38,99	2,12 ; 2,03	m	nd	39,3	2,57 ; 1,77	dt ; dt	14, 2,8 ; 14, 2,2 11,7 ; 3,5	36,86	2,2 ; 2,07	m	nd	36,8	2,17 ; 2,04	m	nd
14'	72,41	4,12	m	nd	72,41	4,1	m	nd	68,3	3,97	dt	11,7 ; 3,5	70,45	4,17	-	-	70,18	4,18	-	-
15'	74,41	3,62	dd	8,9 ; 3,2	74,43	3,63	dd	8,9 ; 3,2	69,4	3,39	-	-	72,46	3,79	dd	9,5 ; 3,2	72,07	3,76	-	-
16'	178,07	-	-	-	177,96 or 178,07	-	-	-	172,7	-	-	-	175,77	-	-	-	175,72	-	-	-

468

469 M: Multiplicity

470

471

472 **Highlights**

473

474 Seven caffeoylquinic acid (CQA) dehydrodimers were analysed  $^1\text{H}$  and  $^{13}\text{C}$  NMR

475

476 Four molecular skeletons of caffeoylquinic acid (CQA) dehydrodimers were elucidated

477

478 Six compounds exhibited dihydrobenzofuran, benzodioxane or dihydronaphthalene nuclei

479

480 A new CQA dehydrodimer showing a symmetric dicatechol skeleton was identified.

481

482 Two structure hypotheses were formulated for this new molecule identified.

483

484

485 **CRedit authorship contribution statement**

486 Claudia Mariana Castillo-Fraire: Investigation, Methodology, Writing - original draft. Sandrine

487 Pottier: Investigation, Writing - Review &amp; Editing. Arnaud Bondon: Investigation, Writing - Review &amp;

488 Editing. Pascal Poupard: Supervision, Validation, Writing - review &amp; editing. Erika Salas: Supervision,

489 Writing - Review &amp; Editing. Stéphane Bernillon: Investigation. Sylvain Guyot: Supervision,

490 Conceptualization, Writing - review &amp; editing.

491