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**Designing local air pollution policies focusing on mobility and heating to  
avoid a targeted number of pollution-related deaths: forward and  
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## **Abstract**

Public policies aiming at decreasing air pollutants such as fine particulate matter (PM<sub>2.5</sub>) are often designed without targeting an explicit health benefit and without carrying out cost-benefit analyses, therefore possibly limiting their adoption.

We developed a transdisciplinary backward and forward approach at the conurbation level (Grenoble, France): we first defined health objectives, identified which PM<sub>2.5</sub> reductions and urban policies allowed to meet the health targets (backward approach), and finally conducted health impact and cost-benefit analyses of these policies (forward approach). Three health targets were defined, corresponding to decreases by 33%, 50% and 67% in PM<sub>2.5</sub>-attributable mortality in 2030, compared to 2016. The urban policies were related to the wood heating and transport sectors, the main emitting sectors in the considered area. The forward approach considered the health impact and co-benefits of these policies also related to changes in physical activity and GHG emissions.

The most ambitious health target could be achieved in 2030 by replacing all inefficient wood heating equipment by pellet stoves and by reducing by 36% the traffic of private motorized vehicles. Such a reduction requires to increase active modes share (walking, biking...), which would also induce increases in physical activity and additional health benefits beyond the initial target. Wood heating system replacement and strategies maximizing active mobility, which did not require massive investment in public transport, were the most cost-effective policies. For each Euro invested, the total benefit was about 30€ for policies focusing on wood heating, and 1 to 66€ for policies on traffic. Annual net benefits were between €468 and €615 per capita for policies with report on active transportation modes, compared to between €151 and €258 without.

Urban policies strongly reducing air pollution-attributable mortality can be identified by backward transdisciplinary approaches, and be cost-efficient.



## 1 Introduction

Atmospheric pollution has major and proven effects on mortality and morbidity, mainly through cardiovascular and respiratory health, everywhere in the world (Hamra et al. 2014; Pope et al. 2004; World Health Organization 2014). Exposure to ambient PM<sub>2.5</sub> annually causes an estimated 3 million deaths worldwide (Lelieveld et al. 2015). The health impacts of air pollution cost an estimated \$1.7 trillion in OECD countries in 2010.

Air pollution thus represents one of the biggest controllable environmental risk to health. Public policies are one family of options to reduce the health and economic impacts of air pollution. These policies should target the main sources of atmospheric pollution. Such public policies can combine different instruments: legal instruments, price instruments, technological solutions, urban planning, and public awareness. Cities have undertaken measures to limit air pollution, especially from transportation and heating sources. In cities where ambitious programs were implemented, environmental evaluations have documented decreases in PM<sub>10</sub> by as much as 50% in Tokyo between 2001 and 2010 (Hara et al. 2013), and between 5% and 13% in Germany following the adoption of low emission zones (LEZ) (Cyrus et al. 2014; Fensterer et al. 2014). Until now, the adoption of such public policies did not allow to quickly alleviate the above-mentioned societal burden, in particular in France (Cour des comptes 2020; European Court of Justice 2019).

One possible reason is that policies to reduce pollution are often designed without explicit consideration of a targeted health impact. Sometimes, they rely on an *ex ante* environmental evaluation ignoring any health consequence. Such an evaluation is even seldom done a posteriori: a review of LEZs in Europe (ADEME et al. 2018) showed that only in rare cases was the environmental evaluation supplemented with a health impact assessment (HIA) (Cesaroni et al. 2012; Clancy et al. 2002). In contrast, in the USA, the Clean air act

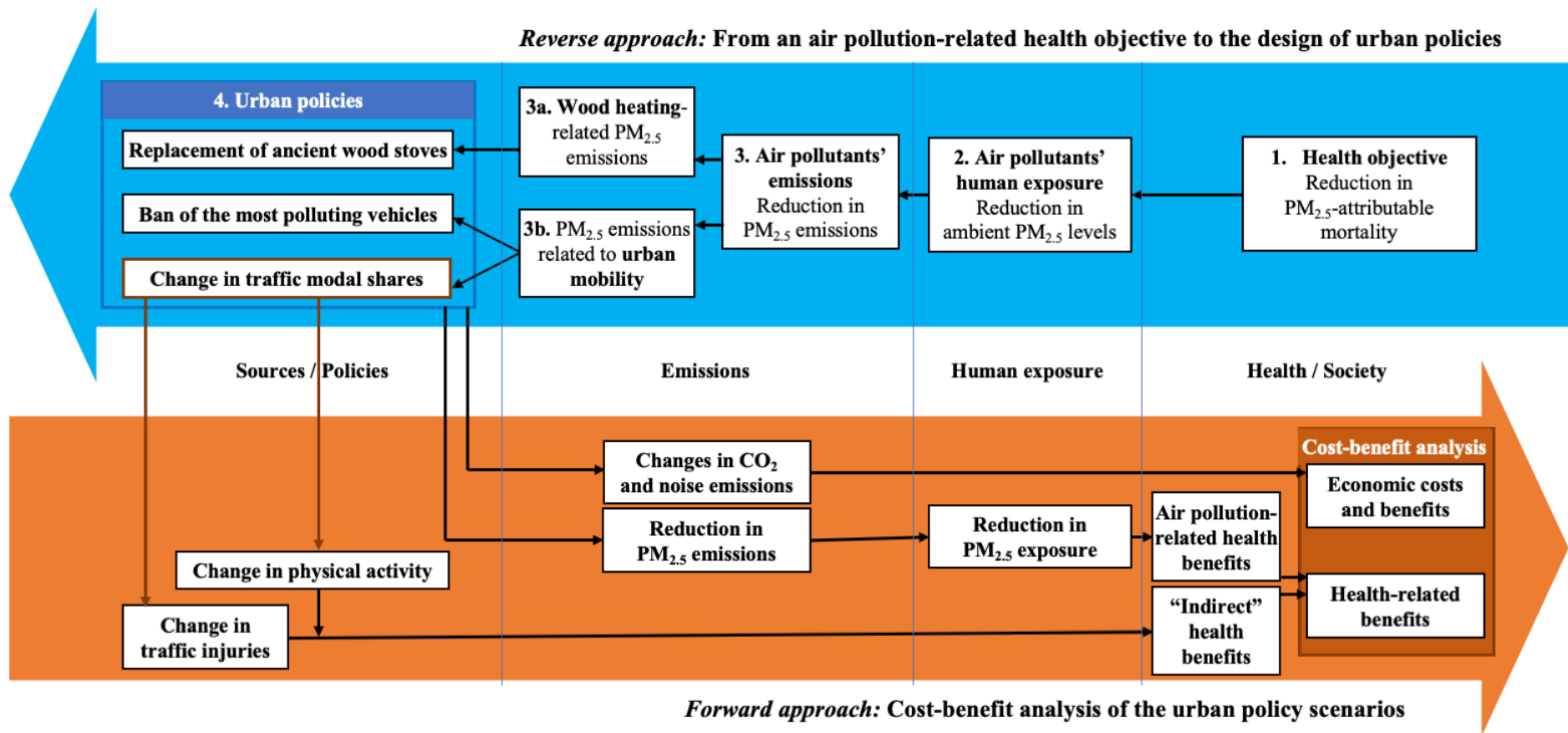
requires the Environmental Protection Agency to periodically estimate the impact of the Clean air act on public health, economy, and environment.

Starting from a target health impact to appropriately dimension urban policies would be a logical and important change of approach for many areas of the world. Scientifically, this raises the challenge to develop a reverse or backward approach consisting of starting from a target formulated in terms of improvement of health (e.g., reducing by 50% the PM<sub>2.5</sub>-related mortality), and subsequently identifying urban policies allowing to reach such a health target. Specific health co-benefits can arise from policies aiming primarily to reduce air pollution, such as those related to changes in physical activity linked with the promotion of mode shift from cars to e.g., bicycles, and their assessment would be required to fully appraise the health improvements than can be expected from such policies (Kelly et al. 2014; Kyu et al. 2016). Traffic is also a major source of noise, whose exposure has been associated to heart disease and stress (Belojevic et al. 2008; Bodin et al. 2009), decreases in well-being (Gidlöf-Gunnarsson and Öhrström 2007), and a source of greenhouse gases (GHG); changes in these factors, which also influence health (Woodward et al. 2014; Kalsch et al. 2014), need to be considered.

If the cost of the targeted policies is estimated, cost-benefit analyses can in addition be conducted. In the USA, analyses of benefits and costs are planned by the Clean Air Act law, and the analyses conducted indicate that the benefits exceed costs by a factor of 3 to 90, with a central estimate equal to 30 (US EPA 2011). No such figure is available in France. Making them available in the French and European contexts, where atmospheric pollution standards are much higher than in the USA (with a regulatory limit of 25 µg/m<sup>3</sup> for PM<sub>2.5</sub> yearly mean concentration in Europe, compared to 12 µg/m<sup>3</sup> in the USA), would be very relevant for citizens and decision makers. Such estimates should also be provided at the city level, to

consider accurately specific local sources and because air pollution mitigation policies are often implemented at this level.

We therefore developed a backward and forward approach at the city level, starting from predetermined health objectives and identifying the corresponding change in the population's exposure to air pollution (focusing on  $PM_{2.5}$ ), then the corresponding  $PM_{2.5}$  emission reductions, and finally defining urban policies compatible with these health objectives (Figure 1, “reverse approach”). We then carried out a cost-benefit analysis of these policies, including climate change and various health co-benefits (Figure 1, forward cost-benefit analysis). The present study was led in Grenoble urban area, a French middle size city with good characterization of air pollution impacts (Morelli et al. 2019), as well as good knowledge on the main air pollution sources of  $PM_{2.5}$ , i.e., wood heating and road traffic.



1

2 **Figure 1.** Overview of the study, showing the reverse approach from an air pollution-related health improvement objective to the identification  
 3 of the corresponding urban policies as well as the cost-benefit analysis of the designed urban policy scenarios.



4           In this area, emissions from wood heating account for an annual average of 63% of  
5 PM<sub>2.5</sub> emissions (Atmo AuRA 2018), a proportion higher during cold winter days. These  
6 emissions come from open fireplaces and stoves with low energy efficiency. This is why  
7 Grenoble conurbation has been offering a subsidy for the purchase of efficient wood stoves  
8 for the replacement of these installations since 2016. To obtain the subsidy, households have  
9 to own a fairly old device, destroy it and install a new one with an efficiency label. Road  
10 transport accounts for 17% of yearly PM<sub>2.5</sub> emissions (Atmo AuRA 2018). In 2017, the  
11 Grenoble conurbation set up a LEZ for the goods transport vehicles and a progressive ban of  
12 all diesel freight vehicles is planned in 2025.

13

## 14 **2 Material and methods**

### 15 **2.1. A reverse approach to develop scenarios for achieving specific air pollution-related** 16 **health objectives**

17 The main steps of our backward and forward approaches are described in Figure 1. The  
18 details are provided below.

#### 19 2.1.1 From health targets to changes in air pollution exposure

20 Grenoble conurbation belongs to Auvergne-Rhône-Alpes region, in the South-eastern part of  
21 France. It has a surface of 541 km<sup>2</sup>, includes 49 municipalities, and, with 444,000 inhabitants  
22 in 2014, is the 11<sup>th</sup> French most populated conurbation (Insee 2017).

23           Based on exposure levels from 2015-2017, we previously estimated that the  
24 population density-weighted long-term average exposure to anthropogenic PM<sub>2.5</sub> in Grenoble  
25 conurbation corresponds to 145 (95% Confidence Interval, CI, 90–199) attributable premature  
26 death cases each year (Morelli et al. 2019). We also previously showed that achieving a  
27 decrease by 33%, 50%, and 67% of the premature mortality attributable to anthropogenic

28 PM<sub>2.5</sub> exposure by 2030, compared to the 2015-2017 average (baseline), would require to  
29 reach the yearly average PM<sub>2.5</sub> exposure of 11.0, 9.6, and 8.0 µg/m<sup>3</sup>, respectively, starting  
30 from the baseline population-weighted yearly level of 13.9 µg/m<sup>3</sup> (Morelli et al. 2019). These  
31 health objectives were defined by the elected officials of the Grenoble metropolitan area.

32 The study area population's yearly average exposure to PM<sub>2.5</sub> was assessed coupling  
33 the modeled PM<sub>2.5</sub> concentrations with information on population density, as previously  
34 described (Morelli et al. 2019). Atmospheric pollutant concentrations were modeled at a 10-  
35 meter spatial resolution combining two models: CHIMERE, a mesoscale chemistry-transport  
36 model (Menut et al. 2013), and SIRANE, a proximity scale air pollutant-dispersion model  
37 (Soulhac et al. 2011). Population density by sex and five-year age classes was available for  
38 2014 at the same spatial resolution (10-meter), based on data from the National Institute of  
39 Statistics and Economic Studies (Insee 2017) and the National Institute of Geographic and  
40 Forestry Information (IGN 2017). All assumptions made throughout this study are listed in  
41 Table S1 (*see supplement*).

#### 42

#### 43 2.1.2 From air pollution exposure changes to PM emissions reductions

44 Many studies use forward-looking emission reduction modelling, in which each scenario is  
45 evaluated independently. The MECANO approach that we developed is an alternative way of  
46 carrying out this assessment using the capacity of models to perform source tracking. We used  
47 a model nesting to track the mass of pollutants emitted into the atmosphere with the  
48 Comprehensive Air Quality Model with Extensions (CAMx) and SIRANE models. These two  
49 models allow to trace emissions at the regional level and identify their local sources (*see*  
50 *supplement*, Figure S1 and Box S1).

51 CAMx and SIRANE were both used in a source apportionment approach for PM<sub>2.5</sub>  
52 (see Box S1). The contributions of emission sources from different sectors of activity and

53 geographical areas were identified and followed during the simulation. The selected solutions  
54 were then verified, and the estimates refined, in a forward (classical) modeling.

55

### 56 2.1.3 From emission reduction to urban policy scenarios

57 The definition of urban policies to achieve health objectives focused on traffic and urban  
58 heating. The traffic scenarios explored different hypotheses of modal shift towards modes  
59 alternative to the private car in order to reach the reduction of vehicle.km consistent with the  
60 health objectives.

61

### 62 2.1.4 Individual mode shift behavior in the transport sector

63 To identify the potential modal shift in the Grenoble conurbation, we relied on the Grenoble-  
64 area Household Travel Survey (2010), which describes all trips (travel time, mode of transport,  
65 purpose...) performed by a representative sample of the conurbation inhabitants. For each trip  
66 of the household, we enriched the database with data on travel distances and times by car  
67 (with *Odomatrix*, Hilal et al. (2018)) and urban public transit (with the Grenoble multimodal  
68 model). We first defined, as a reference scenario, the transport modal share of the main  
69 transport modes in 2010 (Table 1). We then developed three sub-scenarios of modal shift  
70 from motorized vehicles (cars, taxis, motorized two-wheelers...): one with an emphasis on  
71 public transport, one with an emphasis on active modes (walk and bicycle), and a third with  
72 an emphasis on active modes including also electrically-assisted cycling (E-bikes). The two  
73 latter sub-scenarios also considered carpooling for which a shift was considered possible  
74 depending on the number of single drivers making the same trip (same origin and destination).

**Table 1.** Definition of the modal shift sub-scenarios of *Traffic\_3* scenario.

Sub-scenario	Reference	“Public transport” sub-scenario	“Active modes” sub-scenario	“E-bike” sub-scenario
--------------	-----------	---------------------------------	-----------------------------	-----------------------

<b>Description</b>	Observations of the Household travel survey (2010). Transport modal share of the main transport modes (in % of passenger.km): -57% are drivers of cars and of two wheelers, -12% are passengers in cars and two wheelers, -22% corresponds to public transport, -7% for walk -2% for bicycles.	Purely based on a statistical description. Considers that a modal shift from motorized vehicles is done if the distances or ratios of travel time of the trip and loop are below the median of the observations concerning the mode towards which the shift is done.	Favors the active modes to reduce the mode share of public transport. Introduces carpooling.	In addition to carpooling, introduces E-biking. Allows to let the modal share of public transport up to its current (reference) level. Threshold values reflect the potential of this new mode of transport.
<b>Walking (distance)</b>		< 0.7 km (trip) < 2 km (loop)	< 2 km (trip); corresponds to the stated objective of the local urban mobility plan < 5 km (loop)	< 2 km (trip); corresponds to the stated objective of the local urban mobility plan < 5 km (loop)
<b>Cycling (distance)</b>		< 1.8 km (trip) < 5.1 km (loop)	< 5 km (trip); corresponds to the 9 <sup>th</sup> decile currently observed in trips performed by bike < 12.5 km (loop)	< 3 km (trip) < 7.5 km (loop)
<b>Threshold values defining a shift from the car</b>	<b>E-biking (distance)</b>			< 7.8 km (trip) < 19.5 km (loop)
	<b>Public transportation (ratio with car travel time)</b>		< 1.7 × car travel time (trip and loop)	< 1 × car travel time (trip and loop)
	<b>Carpooling</b>	Not considered	Drivers may become passengers if their origin-destination trip was made in a driver's car at least 400 times a day; we then randomly allocated 4 out of every 10 trips in a driver's car to a passenger car.	Drivers may become passengers if their origin-destination trip was made in a driver's car at least 400 times a day; we then randomly allocated 4 out of every 10 trips in a driver's car to a passenger car.
<b>Motorized vehicle.km</b>	3.18 M. vehicle.km	2.05 M. vehicle.km	2.05 M. vehicle.km	2.07 M. vehicle.km
<b>Motorized person.km</b>	3.85 M person.km	2.44 M. person.km	2.44 M. person.km	2.45 M. person.km

Loop threshold distances are equal to about 2.5 times trip threshold distances, which is approximately the number of trips in a loop.

78 We considered the behavior of people using alternative modes to the car as a basis for  
79 describing which modes could be used by current car users, based on the time or distance of  
80 the trip and loop (i.e., trip series from home-to-home); we derived then a potential mode shift.  
81 We considered the home-to-home loop because a trip that could be replaced by an active  
82 mode when considered alone could not be done by the same mode of transportation when  
83 regarded as part of a loop (for instance, a 1-km trip to bring children to school followed by a  
84 20-km trip to go from the school to work). For the potential of modal shift to public  
85 transportation, we considered the ratio of public transport travel time and car travel time, for  
86 the trip and for the loop, while for the potential of modal shift to the active modes (walking  
87 and cycling), we considered the distances of the trip and the home-to-home loop.

88 For the first sub-scenario, thresholds concerning time and distance of the trip and the  
89 loop were set at the median of the observations in the Household Travel Survey. From this,  
90 we then deduced a potential reduction in terms of motorized vehicle-km (cf. 3.1.2).

91 The thresholds under the two other sub-scenarios were chosen to achieve the same  
92 level of reduction in motorized vehicle.km as in the first sub-scenario, while remaining  
93 compatible with people's practices (in terms of time spent in transportation and distance to be  
94 traveled on foot or by bicycle) and the conurbation layout.

95 Table 1 displays the motivation for each modal shift sub-scenario, as well as the time  
96 and distance thresholds used. When a trip was potentially transferable to more than one mode  
97 of transport, the mode report hierarchy was as follows: i) walking; ii) cycling; iii) E-biking; iv)  
98 public transportation; v) carpooling.

99 Lastly, for each mode of transport, we estimated the change in the number of travelled  
100 kilometers between the reference scenario (2010) and each modal shift sub-scenario. On the  
101 basis of the Grenoble-area Household Travel Survey, we also assessed the travelers' age  
102 distribution under the reference and each sub-scenario, for each transport mode.

103           Analyses were performed using R software version 3.6.3 (R Foundation for Statistical  
104 Computing, Vienna, Austria).

105

## 106 **2.2 Health and economic evaluation of urban policies**

### 107 2.2.1 Health impact assessment

108 Several long-term health effects likely to be linked to PM<sub>2.5</sub> exposure, and also to physical  
109 activity for most of them, were considered: all-cause non-accidental mortality (ICD10: A00-  
110 R99), lung cancer incidence (ICD10: C33-34), ischemic heart disease incidence (ICD10: I20-  
111 25), and cerebrovascular disease incidence (ICD10: I60-69). All-cause non-accidental  
112 mortality data were obtained from the Epidemiological center on causes of death (CépiDC,  
113 Inserm) for people aged 30 years and older, at the municipality scale. Lung cancer incidence  
114 in the study area was estimated using regional data from the French National Cancer Institute  
115 (data 2007-2016) (INCa 2019). Numbers of new beneficiaries from the “long duration  
116 diseases” database of the French health insurance system (ALD<sub>30</sub>) (French health insurance  
117 system 2018) were used as incidence data for ischemic heart disease and cerebrovascular  
118 disease.

119           From the literature, we selected dose-response functions between PM<sub>2.5</sub> exposure or  
120 physical activity and each health outcome; robust risk-ratios, such as those from meta-  
121 analyses, were preferred (Table 2).

122 **Table 2.** Dose-response functions used to estimate the long-term effects of air pollution  
 123 exposure to fine particulate matter (PM<sub>2.5</sub>) and physical activity on health (a relative risk  
 124 below one indicates a protective effect).  
 125

Health event	Study source	Meta-analytical relative risk (95% CI)	Unit
<b>Effects of PM<sub>2.5</sub> exposure</b>			
Non-accidental mortality	World Health Organization (2014)	1.066 (1.040 – 1.093)	For a 10-μg/m <sup>3</sup> increase
Lung cancer incidence	Hamra et al. (2014)	1.09 (1.04 – 1.14)	For a 10-μg/m <sup>3</sup> increase
Ischemic heart disease incidence	Cesaroni et al. (2014)	1.28 (0.96 – 1.69)	For a 10-μg/m <sup>3</sup> increase
Cerebrovascular disease incidence	Stafoggia et al. (2014)	1.42 (0.77 – 2.62)	For a 10-μg/m <sup>3</sup> increase
<b>Effects of physical activity</b>			
Non-accidental mortality (for walking)	Kelly et al. (2014)	0.89 (0.83 – 0.96)	For 11.25 MET.hour.week <sup>-1</sup> of walking
Non-accidental mortality (for cycling)	Kelly et al. (2014)	0.90 (0.87 – 0.94)	For 11.25 MET.hour.week <sup>-1</sup> of cycling
Ischemic heart disease incidence	Kyu et al. (2016)	0.860 (0.796 – 0.926)	For 10.0 MET.hour.week <sup>-1</sup> of activity
Cerebrovascular disease incidence	Kyu et al. (2016)	0.862 (0.780 – 0.954)	For 10.0 MET.hour.week <sup>-1</sup> of activity

126  
 127  
 128 The expected “direct” health benefits related to the reduction of air pollution were  
 129 estimated for each health outcome and each policy plan as the difference in the number of  
 130 attributable cases ( $\Delta_{NAC}$ ) between the baseline (2015-2017 period) and a counterfactual  
 131 situation under which the policy is assumed to be implemented. Differences in attributable  
 132 cases  $\Delta_{NAC_{i,j}}$  were first estimated at each 10-m air pollutant model grid point before being  
 133 added to estimate the  $\Delta_{NAC}$  for the whole area (Morelli et al. 2019). We also expressed health  
 134 gains in terms of number of life-years in people aged 30 years and older. A cessation lag  
 135 before obtaining complete health benefits was applied: 30% of the total health gain the first  
 136 year after implementation, 50% distributed over years two to five, and 20% through the  
 137 following fifteen years (US EPA 2010).



138 We also evaluated “indirect” health benefits such as those subsequent to the increase  
139 in physical activity under scenarios supporting active mobility, through the difference of the  
140 average metabolic equivalent hours (MET.hours) per week and inhabitant between the  
141 situation with the scenario and that without implementation of the scenario (at the same  
142 period). Increase in walking in link with shift to public transport was also considered. To that  
143 end, traveled kilometers were firstly converted in MET.hours (see Table S1). As for health  
144 benefits related to air pollution decreases, we assessed for each modal report scenario the  
145 number of preventable death cases, and the corresponding number of years of life saved, as  
146 well as the number of preventable cardiovascular disease cases.

147 “Indirect” effects also included those related to traffic injuries under each scenario. For  
148 each transportation mode, the injury risk (fatal, serious, or light) per km traveled was  
149 estimated as the ratio of the annual average number of incidents to the total number of  
150 kilometers traveled in the study area in 2010 (*see supplement*, Table S1 and S2). The number  
151 of traffic injuries expected by mode under each sub-scenario was then estimated applying  
152 these injury risks. The year of life loss associated to fatal injuries was also computed (see  
153 Table S1).

154 Analyses were performed using Stata version 15.1 (Stata Corp., College Station, TX,  
155 USA) while geographical data were handled with QGIS version 3.4 (OSGeo Foundation,  
156 Beaverton, OR, USA).

157

### 158 2.2.2 Cost-benefit analysis

159 The cost-benefit analysis covered the period 2016-2045 in order to consider all health gains  
160 due to the lag termination. Annual costs and benefits of the policies over this period are  
161 discounted with a discount rate equal to 4% (Haute Autorité de Santé 2011). All amounts  
162 were expressed in Euros 2017.

163 *2.2.2.1 Health benefits induced by pollution reduction*

164 For each scenario, we estimated the avoided costs associated with all-cause non-accidental  
165 mortality, lung cancer incidence, and cerebrovascular disease incidence due to pollution  
166 alleviation. We considered direct and indirect tangible costs as well as intangible costs. Direct  
167 costs refer to medical costs, indirect costs to loss of productivity due to sick leave and  
168 intangible costs to grief and loss of quality of life. The assessment methods for all-cause non-  
169 accidental mortality and lung cancer incidence relied on *Aphekom project* methodology and  
170 values (Chanel 2011). These intangible costs, based on a literature review of contingent  
171 valuations, corresponded to €99,786 per year of life saved. For cerebrovascular disease  
172 incidence, we estimated direct medical costs by considering the differences between patients  
173 admitted in nursing home or not (Chevalier et al. 2014) and we assumed a seven-year life  
174 expectancy (de Pouvourville 2016); unit health costs are given in Table S3 (*see supplement*).  
175 Due to a lack of reliable data on costs associated with ischemic heart disease incidence, no  
176 economic valuation was provided for this health outcome.

177 *2.2.2.2 Other externalities specific to the transport sector*

178 In addition to the health benefits related to pollution exposure reduction, we considered five  
179 indirect health impacts specific to the transport sector. Firstly, we considered the cost related  
180 to the change in the frequency of road traffic accidents. Concerning fatal injuries, intangible  
181 costs of corresponding life years were the same as above, whereas costs of serious and light  
182 injuries were respectively equal to 12.5% and 0.5% of the value of a statistical life, which is  
183 3.331 M€ (ONISR 2018b), per case. Secondly, we monetized the years of life gained from the  
184 additional physical activity generated by the shift to active modes of transport. Thirdly, the  
185 composition of the vehicle fleet and modal share can also affect the exposure of the  
186 population to noise pollution in the vicinity of traffic. We relied on an average cost of noise  
187 per vehicle.km (Quinet 2013). We applied a noise pollution cost 50% lower for electric cars

188 (CGDD 2017). Fourth, we considered non-health benefits related to GHG emission decrease  
189 induced by the fleet regulation and the growth of active mobility. GHG emission reductions  
190 were monetarized following the recently computed global social cost of carbon equal to  
191 US\$417 per ton of CO<sub>2</sub> (Ricke et al. 2018). Lastly, we considered benefits related to the value  
192 of time (VoT) spent in transport. The global journey time variation was assessed, assuming an  
193 average speed based on the literature for the active modes (Ainsworth et al. 2011; Berntsen et  
194 al. 2017; Kelly et al. 2014) and on local transport data for motorized modes. We then applied  
195 perceived VoT per mode of transport to convert these changes in journey duration into Euros  
196 (Bouscasse and de Lapparent 2019; Litman 2008, 2019; Papon 2013).

#### 197 *2.2.2.3. Public costs and private expenditures specific to mobility-related policies*

198 We also estimated the costs engaged by the public actors under each scenario. The  
199 implementation of a LEZ in itself does not require significant investment, contrarily to the  
200 development of infrastructures to facilitate cycling and the development of public transport  
201 (*See Supplement, Table S1*).

202 The change in mobility behavior induced by the traffic policies also affects household  
203 expenditures for mobility. We estimated private total costs integrating the investment cost and  
204 the cost of use of each transport mode per vehicle.km to estimate the impact of the modal  
205 report on the cost for the users concerned (*see Supplement, Table S1*).

#### 206 *2.2.2.4 Public costs and private expenditures specific to policies in the heating sector*

207 Public costs related to the wood stove replacement premium include subsidies and operating  
208 costs. The subsidies are the premium itself and national grants aimed at promoting the energy  
209 transition. Operating costs include communication and animation costs supported by the  
210 conurbation. Private costs are the expenses incurred by households for installing a new  
211 heating system after deduction of public subsidies, which are more important for the most

212 deprived people. We also considered household savings on energy bills due to more efficient  
213 heating devices.

214

## 215 **3 Results**

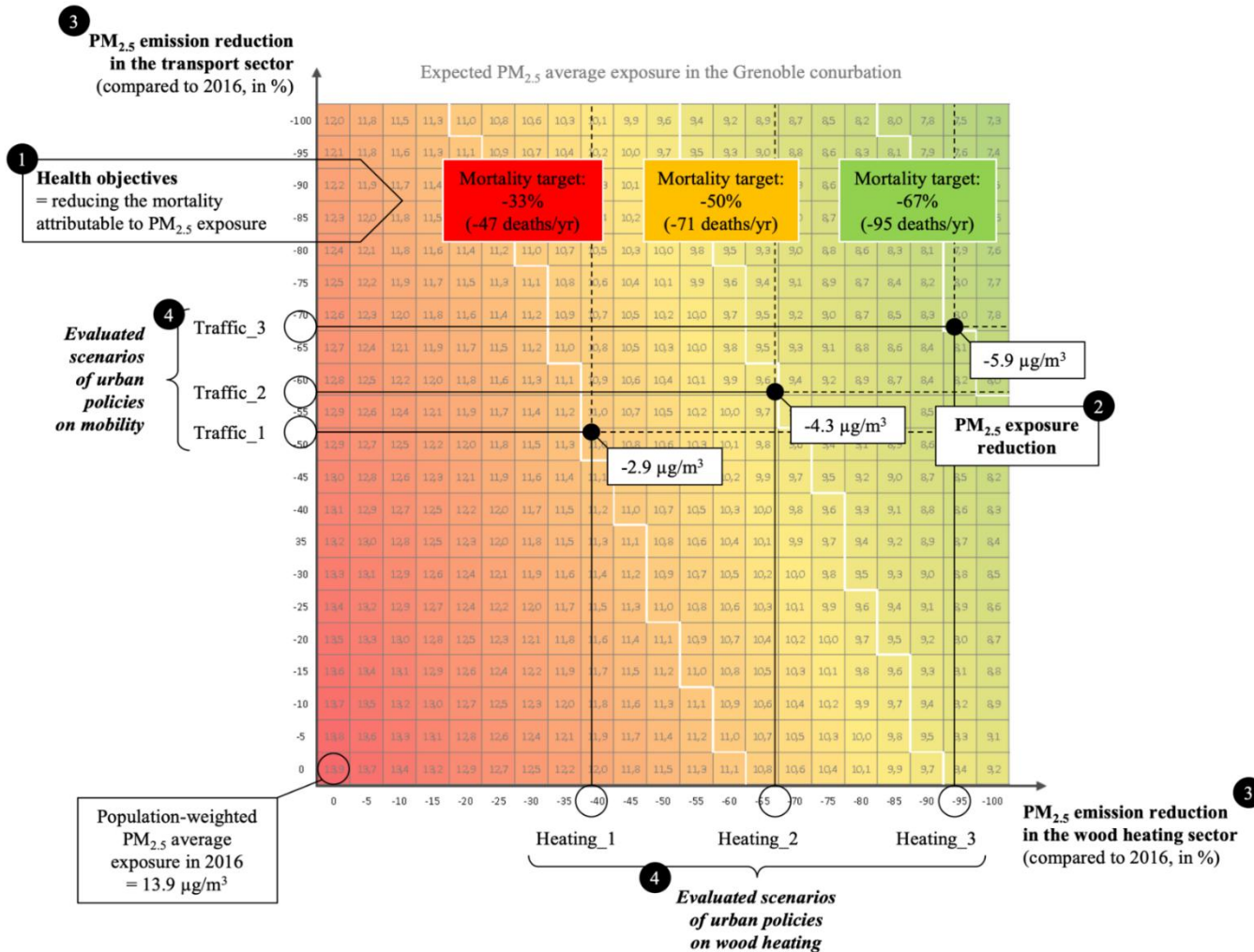
### 216 **3.1 Reverse approach: identification of policies allowing to reach the health targets**

#### 217 3.1.1 Scenarios combining actions on wood heating and traffic

218 As already mentioned, based on exposure levels from 2015-2017, we previously estimated  
219 that the population density-weighted long-term average exposure to anthropogenic PM<sub>2.5</sub> in  
220 Grenoble conurbation corresponds to 145 (95% Confidence Interval, CI, 90–199) attributable  
221 premature death cases each year (Morelli et al. 2019). Our previous study identified the air  
222 pollution decrease required to reach the three health targets we selected, namely -33%, -50%,  
223 and -67% of this PM<sub>2.5</sub>-attributable mortality (Morelli et al. 2019). Figure 2 shows these air  
224 pollution decreases and allows identifying combinations of emission reduction targets in each  
225 sector expected to achieve each of the three health objectives. A large number of  
226 combinations allow to reach these targets, and for practical reasons, we decided to consider  
227 three global scenarios (one for each target) each combining one policy in the transport sector  
228 and one policy in the wood heating sector; these policies are detailed in Table 3. The most  
229 ambitious health target (-67% of the PM<sub>2.5</sub>-attributable mortality) could be achieved in 2030  
230 by replacing all inefficient wood heating equipment by pellet stoves and by reducing by 36%  
231 the traffic of private motorized vehicles.

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**Figure 2.** Expected PM<sub>2.5</sub> annual average exposure in the Grenoble conurbation population with regard to the combination of urban policies in the wood heating sector and in the transport sector (in µg/m<sup>3</sup>)



Following our reverse approach: ① starting from health objectives seeking to reduce the PM<sub>2.5</sub>-attributable mortality; ② then assessing the reduction in PM<sub>2.5</sub> exposure needed to reach these health objectives (symbolized by zigzagging white lines); ③ assessing the corresponding reduction in PM<sub>2.5</sub> emissions in the wood heating and the transport sectors; ④ and designing compatible urban policy scenarios that will be evaluated through a cost-benefit analysis.

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**Table 3.** Description of the heating- and traffic-related policy scenarios, and their combinations, aiming at reducing fine particulate matter (PM<sub>2.5</sub>) exposure and improving public health.

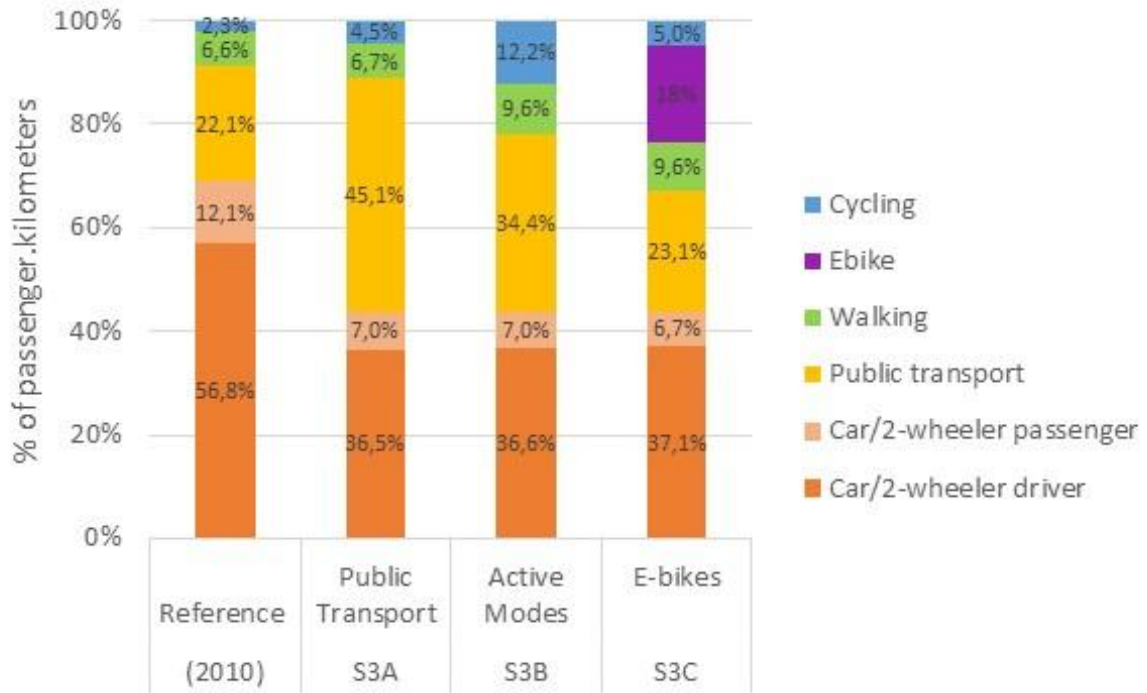
<b>Health target</b>	<b>-33% of the mortality attributable to PM<sub>2.5</sub> (-47 deaths/year)</b>		<b>-50% of the mortality attributable to PM<sub>2.5</sub> (-71 deaths/year)</b>		<b>-67% of the mortality attributable to PM<sub>2.5</sub> (-95 deaths/year)</b>	
Corresponding decrease in PM <sub>2.5</sub> exposure	-2.9 µg/m <sup>3</sup>		-4.3 µg/m <sup>3</sup>		-5.9 µg/m <sup>3</sup>	
<b>Combination of policy scenarios allowing to reach the health target</b>	<b>Heating_1</b>	<b>Traffic_1</b>	<b>Heating_2</b>	<b>Traffic_2</b>	<b>Heating_3</b>	<b>Traffic_3</b>
Description of the policy scenarios	Replacement of one-third of non-efficient wood stove appliances over the 2016-2020 period, as planned by the wood stove replacement premium plan (Grenoble-Alpes Métropole 2018).	The already planned LEZ avoiding goods transport vehicles labeled <i>CritAir 2</i> or above.	Once the wood stove replacement premium target has been reached in 2020 ( <i>Heating_1</i> ), replacement of all inefficient wood heating equipment between 2021 and 2030 through a subsidy by efficient wood stoves.	Extension of the LEZ to all vehicles (including passenger cars) labeled <i>CritAir 2</i> or above, without modal shift compared to modal distribution observed in 2010 (Grenoble-area Household Travel Survey); in this scenario, only the technological lever is considered (i.e., the share of cars in the overall mobility remains unchanged compared to the reference year).	Once the wood stove replacement premium target has been reached in 2020 ( <i>Heating_1</i> ), replacement of all inefficient wood heating equipment between 2021 and 2030 through a subsidy by pellet stoves, which currently are the least polluting wood heating facilities.	In addition to <i>Traffic_2</i> , we assumed a modal shift, corresponding to a decrease by 36% in the number of motorized vehicle.km compared to 2016; the <i>Traffic_3</i> measure was broken down into 3 sub-scenarios, which differed in terms of the modes (public transport, actives modes, car-sharing) towards which the decrease in the vehicle.km of motorized vehicles were dispatched.
Decrease in PM <sub>2.5</sub> emissions in the considered sector	-39%	-52%	-67%	-58%	-94%	-68%
Corresponding decrease in PM <sub>2.5</sub> exposure	-1.8 µg/m <sup>3</sup>	-1.0 µg/m <sup>3</sup>	-3.1 µg/m <sup>3</sup>	-1.1 µg/m <sup>3</sup>	-4.4 µg/m <sup>3</sup>	-1.3 µg/m <sup>3</sup>

238 3.1.2 Sub-scenarios implementing shifts in mode share in the -67% scenario

239 We selected three sub-scenarios of modal shift under the scenario *Traffic\_3*, which led to a 36%  
240 reduction in the number of vehicle.km using motorized vehicles compared to the baseline  
241 situation (2010). We estimated that a 36% reduction in the number of vehicle.km by  
242 motorized vehicles was required to reach the PM<sub>2.5</sub> exposure decrease due to traffic changes  
243 identified for the scenario allowing the 67% decrease in PM<sub>2.5</sub> mortality.

244 In the first sub-scenario (Figure 3), the modal shift from car to alternative modes is  
245 then possible if the distances travelled (for active modes) or the time ratios (car/public  
246 transport) are below the median of these same indicators observed for current users of these  
247 alternative modes (median threshold). It is named *Public Transport* due to the strong modal  
248 shift to public transport, the modal share of motorized modes dropped to 44% (i.e., -36%  
249 compared to the baseline of 69%), whereas public transport and cycling modal shares doubled  
250 (from 22% to 45% and from 2.3% to 4.5%, respectively).

251 The second sub-scenario is named *Active modes* due to the strong increase of the  
252 modal share of walking and cycling; the third sub-scenario is named *E-Bikes* due to the  
253 introduction of this electric mode. In the *Active modes* and *E-bikes* sub-scenarios (Figure 3),  
254 the shares of cycling (including E-Bikes) reached 12% and 23%, respectively. The walking  
255 share rose to 9.6%, against 6.6% in the baseline and 6.7% in the *Public Transport* sub-  
256 scenario. The public transport modal shares were lower in these scenarios than in the first one  
257 and reached respectively 34.4% and 23.1%.



258  
 259 **Figure 3.** Transport mode share under the reference situation and the sub-scenarios of the  
 260 scenario *Traffic\_3*.



## 261 **3.2 Forward approach: cost-benefit analysis of the identified urban policies**

### 262 3.2.1 Health impact assessment

263 On average, 2,601 people aged 30 years and more non-accidentally died each year in  
264 Grenoble urban area under the baseline situation. There were 219 new lung cancer annually  
265 diagnosed, 568 new ischemic heart disease cases, and 325 new cerebrovascular disease cases.

266 Table 4 shows the number of death and disease cases which could be prevented yearly  
267 under each policy, because of changes in PM<sub>2.5</sub> exposure, physical activity, or traffic injuries,  
268 while Table 5 presents the benefits of the policy combinations and Table S4 (*see supplement*)  
269 details the expected changes in mortality and morbidity for the distinct modal shift sub-  
270 scenarios. Reaching the -33% PM<sub>2.5</sub>-attributable mortality objective by combination of the  
271 *Heating\_1* and *Traffic\_1* policies prevented, additionally to the targeted decrease of 47 (95%  
272 confidence interval, CI, 29, 65) death cases a year, 5 (95% CI, 2, 8) incident lung cancer cases,  
273 31 (95% CI, 0, 82) strokes, and 39 (95% CI, 0, 81) incident ischemic heart disease cases each  
274 year. Combination of *Heating\_2* and *Traffic\_2* policies (50% decrease in PM<sub>2.5</sub>-attributable  
275 mortality) avoided 70 (95% CI, 43, 97) death cases, and also 8 (95% CI, 4, 12) incident lung  
276 cancer cases, 46 (95% CI, 0, 118) strokes, and 57 (95% CI, 0, 118) incident ischemic heart  
277 disease cases each year. Lastly, *Heating\_3* plus *Traffic\_3* policies led to “direct” health gains  
278 equal to 93 (95% CI, 58, 130) death cases, 10 (95% CI, 5, 16) incident lung cancer cases, 60  
279 (95% CI, 0, 150) strokes, and 76 (95% CI, 0, 154) incident ischemic heart disease cases  
280 annually prevented. In addition, under the third transport modal shift sub-scenario (“E-bikes”),  
281 the “indirect” health benefits (related to the increase in physical activity and the changes in  
282 fatal traffic injuries) corresponded to 179 (95% CI, 85, 257) death cases (192% of the number  
283 of deaths directly avoided), 2 (95% CI, 1, 4) incident lung cancer cases, 37 (95% CI, 12, 62)  
284 strokes, and 66 (95% CI, 34, 99) incident ischemic heart disease cases prevented each year.

**Table 4.** Changes in air pollutant exposure, mortality and morbidity for each scenario of urban policy related to wood heating and road traffic.

	Wood heating-related policies			Road traffic-related policies				
	Heating_1	Heating_2	Heating_3	Traffic_1	Traffic_2	Traffic_3		
						“Public transport” sub-scenario <sup>b</sup>	“Active modes” sub-scenario <sup>b</sup>	“E-bike+” sub-scenario <sup>b</sup>
<b>Change in:</b> <sup>a</sup>								
Premature deaths	-30 (-42, -19)	-52 (-72, -32)	-72 (-100, -45)	-16 (-23, -10)	-18 (-25, -11)	-79 (-117, -38) -58 (-87, -24)	-179 (-260, -86) -158 (-230, -73)	-201 (-287, -98) -179 (-257, -85)
Corresponding years of life	+958 (587, 1335)	+1647 (1009, 2297)	+2308 (1412, 3221)	+516 (316, 718)	+575 (353, 801)	+2602 (1235, 3860) +1928 (821, 2920)	+6096 (2851, 9027) +5421 (2437, 8088)	+6890 (3280, 10087) +6216 (2866, 9147)
Lung cancer incidence	-3 (-5, -2)	-6 (-9, -3)	-8 (-12, -4)	-2 (-3, -1)	-2 (-3, -1)	-2 (-4, -1)	-2 (-4, -1)	-2 (-4, -1)
Stroke incidence	-20 (-53, 0)	-34 (-85, 0)	-46 (-112, 0)	-11 (-30, 0)	-12 (-33, 0)	-25 (-56, -3) -11 (-18, -3)	-46 (-90, -10) -31 (-52, -10)	-52 (-100, -12) -37 (-62, -12)
Ischemic heart disease incidence	-25 (-52, 0)	-42 (-86, 0)	-58 (-117, 0)	-14 (-29, 0)	-15 (-32, 0)	-37 (-66, -10) -19 (-29, -10)	-73 (-120, -29) -55 (-83, -29)	-84 (-136, -34) -66 (-99, -34)
<b>Cumulated and discounted net benefits (in M€ over the whole period 2016-2045)</b>	1226	1847	2469	1446	2203	85	4160	6077
<b>Benefit/cost ratio (over the period 2016-2045)</b>	25.8	25.7	34.1	66.1	19.6	1.0	2.9	4.6

<sup>a</sup> Estimate and 95% confidence interval (95% CI) of the number of cases annually prevented, and corresponding gain (estimate, 95% CI) in years of life for prevented death cases.

<sup>b</sup> In black: Total change, combining changes related to the decrease in air pollutant exposure, the increase in physical activity, and, if relevant, the changes in fatal traffic injuries; in italic grey: change related to modal shift only (i.e., related to the increase in physical activity and, if relevant, the changes in fatal traffic injuries; *see supplement*, Table S4).

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**Table 5.** Changes in mortality and morbidity for each combination of policies related to wood heating and road traffic, allowing to reach the health targets in terms of fine particulate matter (PM<sub>2.5</sub>)-attributable mortality.

Health target	-33% of the mortality attributable to PM <sub>2.5</sub> (-47 deaths/year)	-50% of the mortality attributable to PM <sub>2.5</sub> (-71 deaths/year)	-67% of the mortality attributable to PM <sub>2.5</sub> (-95 deaths/year)		
	Heating_1 + Traffic_1	Heating_2 + Traffic_2	Heating_3 + Traffic_3 “Public Transport”	Heating_3 + Traffic_3 “Actives modes”	Heating_3 + Traffic_3 “E-bikes+”
<b>Change in:</b> <sup>a</sup>					
Premature deaths	-47 (-65, -29)	-70 (-97, -43)	-151 (-217, -83)	-251 (-360, -131)	-273 (-387, -143)
Lung cancer incidence	-5 (-8, -2)	-8 (-12, -4)	-10 (-16, -5)	-10 (-16, -5)	-10 (-16, -5)
Stroke incidence	-31 (-82, 0)	-46 (-118, 0)	-71 (-168, -3)	-92 (-202, -10)	-98 (-212, -12)
Ischemic heart disease incidence	-39 (-81, 0)	57 (-118, 0)	-95 (-183, -10)	-131 (-237, -29)	-142 (-253, -34)
<b>Cumulated and discounted net benefits (in M€ over the whole period 2016-2045)</b>	2698	4105	2631	6706	8623
<b>Net benefit per year and per capita (over the period 2016-2045)</b>	153	258	151	468	615
<b>Benefit/cost ratio (over the period 2016-2045)</b>	38.3	22.0	2.0	4.0	5.8

<sup>a</sup> Estimate and 95% confidence interval (95% CI) of the number of cases annually prevented, and corresponding gain (estimate, 95% CI) in years of life for prevented death cases.

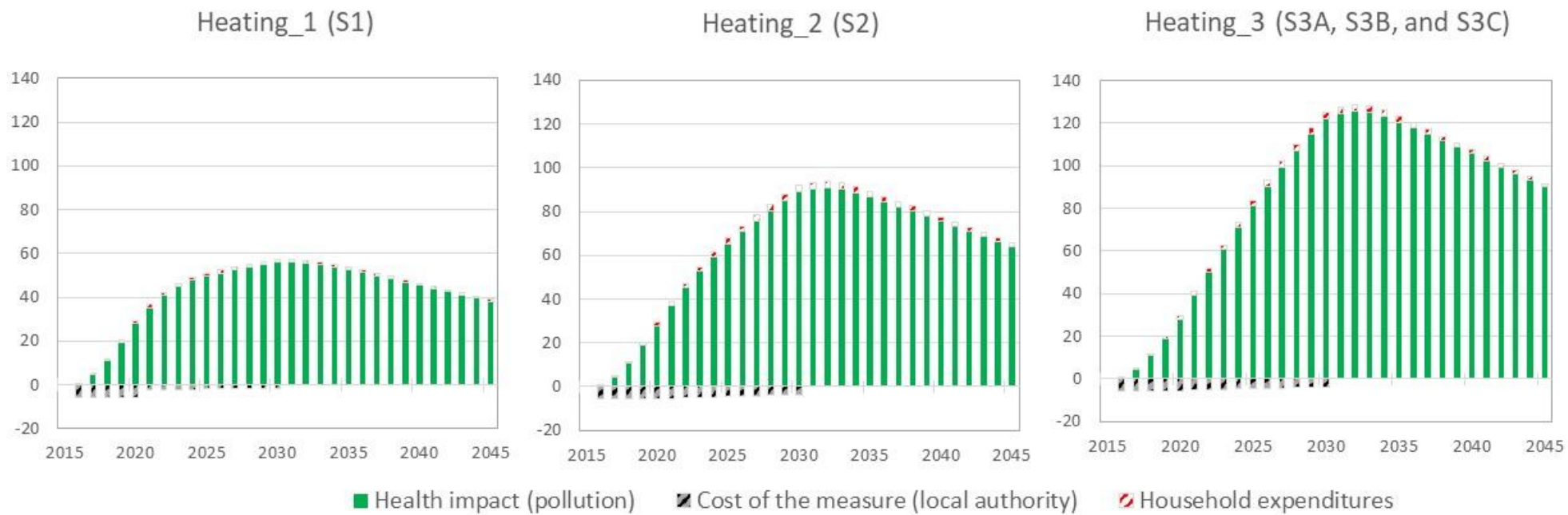
## 289 3.2.2 Economic analysis

### 290 3.2.2.1 Heating-related measures

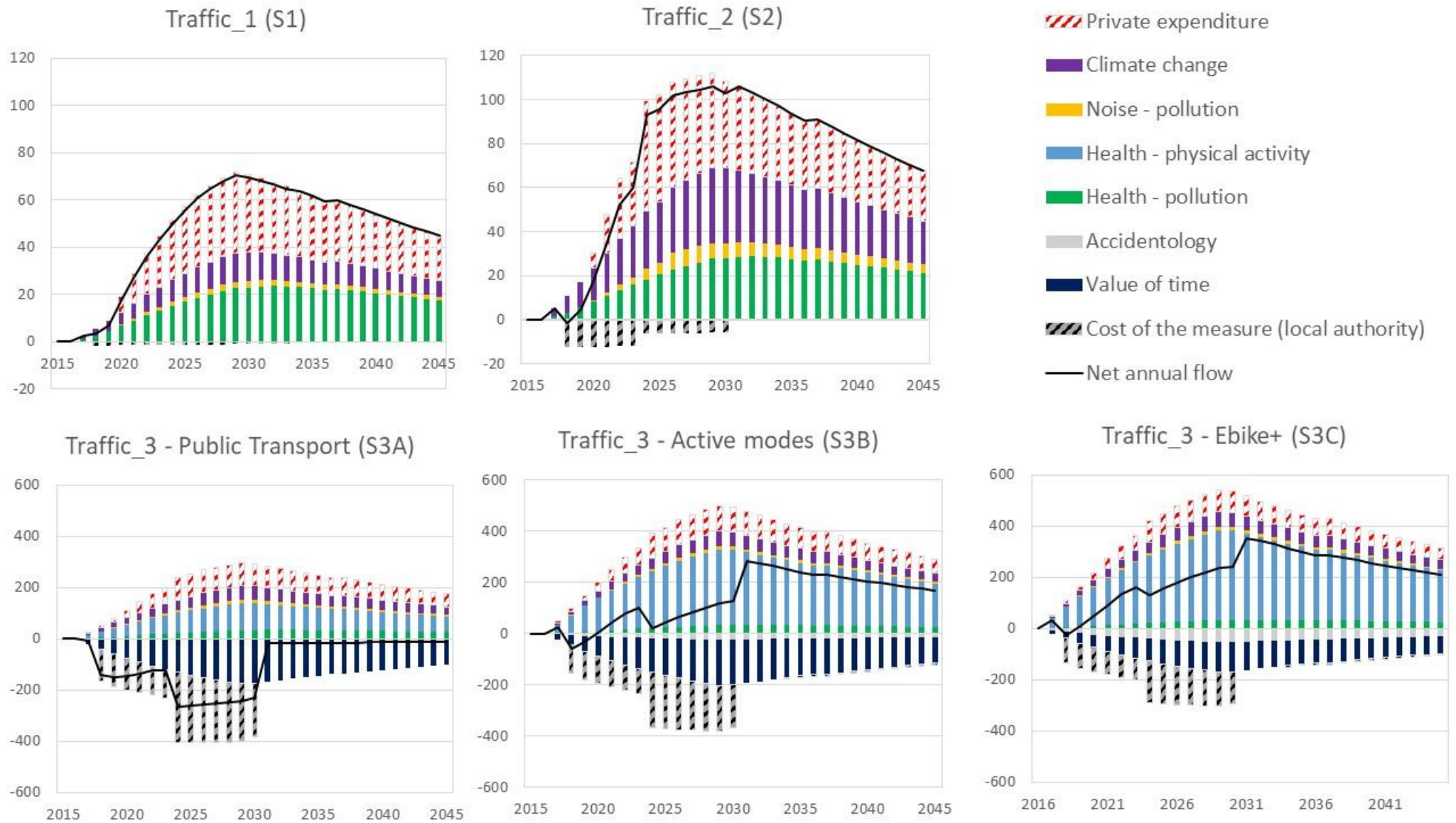
291 All the measures aimed at replacing wood heating equipment led to a positive net annual  
292 balance (benefits - costs) from the very first years, rapidly generating each year between 60  
293 M€ (for *Heating\_1*) and 140 M€ (for *Heating\_3*) in net benefits (Figure 4). Almost all of this  
294 balance was made up of the health benefits linked to the reduction of atmospheric pollution.  
295 When expressed as a benefit/cost ratio, for each Euro invested by the public policy, the  
296 benefits generated were higher than 25€ when averaged over the whole 2016-2045 period  
297 (Table 4, *see supplement*, Table S5).

### 298 3.2.2.2 Traffic-related measures

299 For traffic policies (Figure 5), *Traffic\_1* and *Traffic\_2* led to annual net benefits from 50 M€  
300 to 100 M€ as early as 2025, comparable to the heating-related measures. *Traffic\_3* sub-  
301 scenario focusing on the development of public transport resulted in a significantly negative  
302 balance sheet with a net cost of around €200 million per year on average until 2030.  
303 Thereafter, costs and benefits were broadly balanced until the end of the period. In contrast,  
304 the other *Traffic\_3* sub-scenarios based on the development of active modes and E-bikes led  
305 to a positive net annual balance almost from the beginning of the period, and above 200 M€  
306 after 2030. When expressed as a ratio, one Euro invested in traffic-related measures led to  
307 benefits of 19.6 for *Traffic\_2*, and respectively 1.0, 2.9 and 4.6 for *Traffic\_3* alternatives  
308 focusing on public transport, active modes and E-bikes (Table 4, *see supplement*, Table S5).



**Figure 4.** Annual flow of costs and benefits generated by wood heating-related measures (Million €).



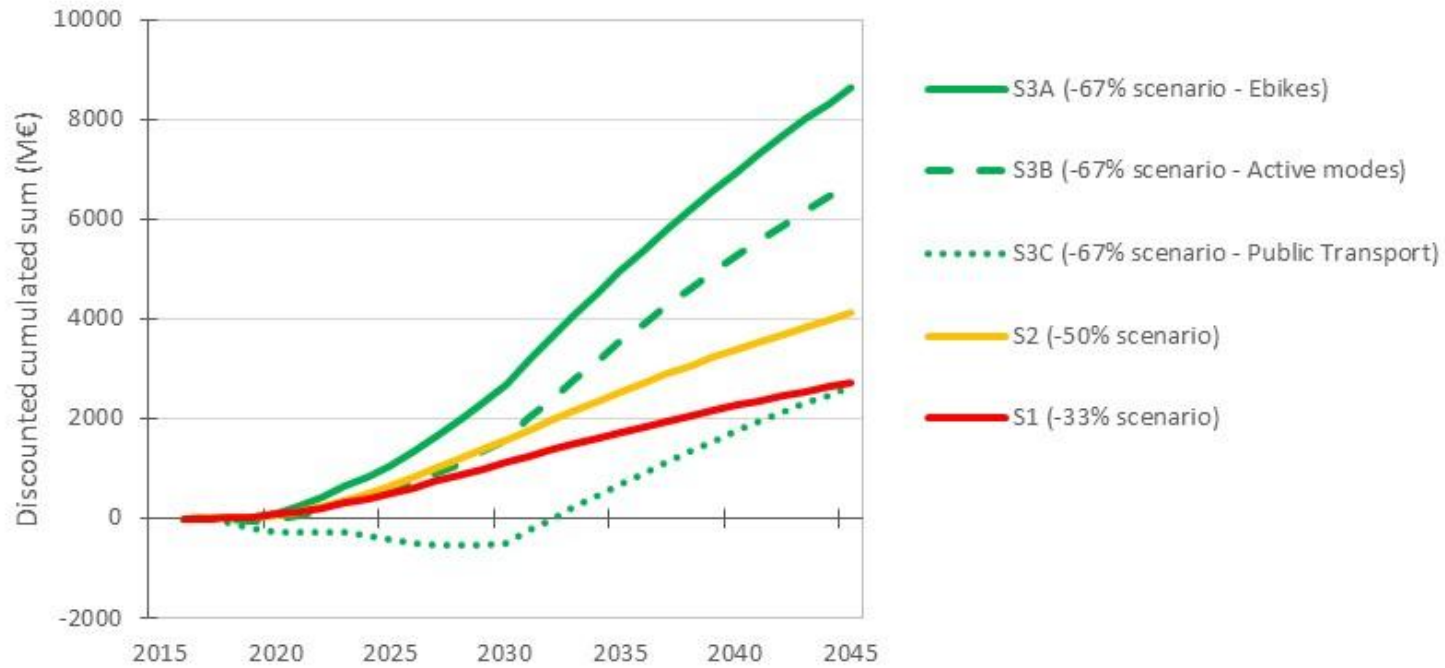
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**Figure 5.** Annual flow of costs and benefits generated by measures in the transport sector (Million €).

313 In terms of structure of benefits, the traffic-related benefits mainly consisted of health  
314 benefits related to pollution reduction, reduction of the impact on climate change and savings  
315 by households on the investment and operating costs of cars. The time profile and structure of  
316 the cost-benefit analysis of *Traffic\_3* differed between *Traffic\_1* and *Traffic\_2* in three ways.  
317 First, the implementation of modal shifts in *Traffic\_3* required substantial investments in  
318 infrastructure, contrarily to the first two traffic scenarios. Second, health benefits related to  
319 increased physical activity represented more than half of the benefits generated by each  
320 *Traffic\_3* alternative; these benefits linked to physical activity corresponded to about ten  
321 times the value of the health benefits linked to the pollution reduction *per se*. Third, the losses  
322 related to the value of time and the increase in travel time due to modal shifts represent a  
323 significant net cost.

#### 324 *3.2.2.3 Cost-benefit analyses of scenarios combining heating and traffic-related measures*

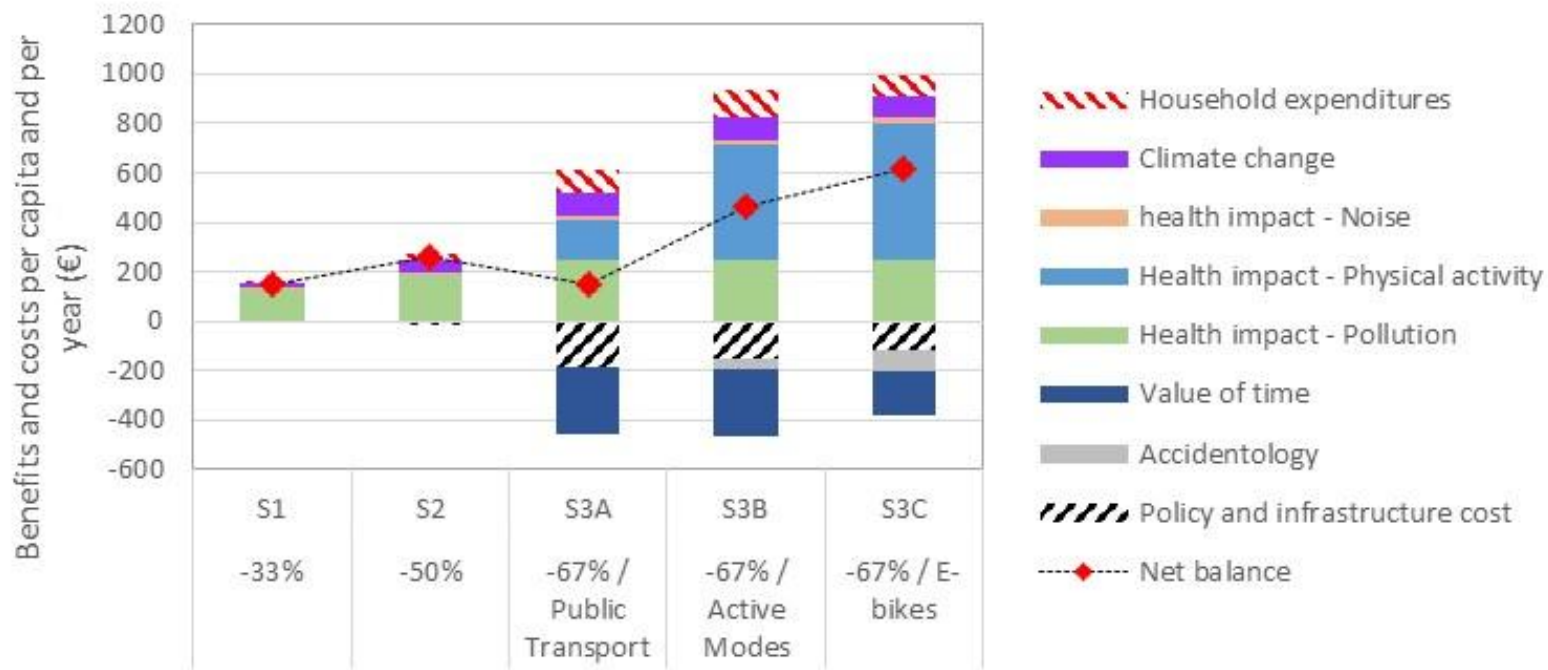
325 The discounted sum of costs and benefits of the various combinations of wood heating and  
326 mobility measures is presented in Figure 6. Over the whole period, the two -67% alternatives  
327 favoring modal shifts towards active modes or electric bicycles were the most interesting  
328 economically, generating annual net benefits equal to €6.7 billion (i.e. €468 per capita each  
329 year) and €8.6 billion (i.e. €615 per capita each year) respectively over the whole period, i.e.,  
330 2 to 4 times more than the -33% and -50% scenarios (Table 5 and Figure 7). All scenarios  
331 presented a positive cumulative balance almost from the first years, except for the -67%  
332 scenario based on public transport, which required a very high public investment and  
333 generated fewer health benefits related to physical activity than the two other *Traffic\_3*  
334 alternatives.



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**Figure 6.** Time profile of the discounted sum of benefits and costs for each scenario (2016-2045 period).





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**Figure 7.** Result of the cost-benefit analysis per year and per capita for each scenario. The overall gain is shown by the red diamond shape while surfaces indicate the structure of costs and benefits.

## **4 Discussion**

We used a backward modelling approach to define policies to achieve pre-determined air pollution mortality reduction targets, and then used a forward modelling approach to assess their health and economic impacts, including indirect benefits not considered in the backward approach. The most ambitious health target (-67% of the PM<sub>2.5</sub>-attributable mortality) could be achieved in 2030 by replacing all inefficient wood heating equipment by pellet stoves and by reducing by 36% the traffic of private motorized vehicles. Such a reduction in motorized traffic requires symmetrical increases in active modes share (walking, biking...), which would also induce, thanks to the increase in physical activity, additional health benefits beyond the initial target. Wood heating system replacement and strategies maximizing active mobility by other means than the development of public transport were the most cost-effective policies. At the scale of this urban area of 444,000 inhabitants, over a 25-year period, the 67% PM<sub>2.5</sub>-attributable mortality decrease expected by promoting active modes would generate at the conurbation level cumulated net benefits of around €7-9 billion in 2045 whereas the 33% PM<sub>2.5</sub>-attributable mortality decrease, reachable with policies already planned, would lead to a net benefit equal to €2.7 billion.

### **4.1. Policy recommendations**

Policies to be implemented for wood heating are easily identified. Subsidizing the most performing appliances can be particularly effective from both a health point of view (since pellet stoves drastically reduce emissions related to wood heating compared to old appliances) and from an economic point of view, since the leverage effect is very important (a benefit of around 30€ for each euro invested by public authorities). Banning the most polluting appliances (in particular open fireplaces) is worth considering as, in the considered area, these

were responsible for 63% of PM<sub>2.5</sub> emissions in 2016. When it comes to the transport sector, the health impact of PM<sub>2.5</sub> emission reductions was less important because of lower emission levels, but the modal shifts considered produced indirect health benefits adding to those directly related to pollution reduction, particularly through the increase in physical activity, the decrease in GHG emissions, and the reduction of noise, representing respectively 91%, 15%, and 3% of net benefits in the -67% scenario including a modal shift toward E-bikes (S3C). The deployment of cycling appeared particularly interesting for several reasons: first, because of its co-benefits, but also because the investments in infrastructure and facilities needed to promote cycling (bike lines, facilities that make cycling enjoyable, bicycle parking, showers in the workplace) can be easily implemented through light changes in the existing urban infrastructures. In the future, electric bicycles are expected to be in great demand as an alternative for medium-distance journeys made today by private car. The electric bicycle pushes back the limits in terms of distance of the modal shift from the private car and can be adopted by people reluctant to ride a muscle-powered bicycle.

One challenge is the development of ambitious programs to encourage the practice of conventional and electric bicycles. Beyond economic incentives (subsidies for bicycle purchase, reimbursement by employers) and dedicated facilities, it is also important that policies and programs target the psychological levers (perception, intention, altruism, social norms...) allowing to promote cycling share (Bamberg 2012).

## **4.2 Methodological strengths and limitations**

### **4.2.1 Modeling mobility behavioral changes**

We made several assumptions that can be discussed. Concerning the sub-scenarios of transportation mode shift, we considered the behavior of people using alternative modes to the car as a basis for describing which modes could be used by current car users. This hypothesis

is restrictive because mode choice is multi-factorial and other factors come into play, such as age, gender or number of children. However, we considered that only car trips with distance or travel time characteristics less than the median of the distances and travel times achieved in the alternative modes could shift to those modes. The potential shift could actually be much greater if we considered the characteristics of a larger share or even of all cyclists and other users of alternative modes to the car. Even though some trips considered transferable to alternative modes are not transferable because of characteristics such as age or reason for travel, other trips that we did not consider transferable because we have limited ourselves to the median threshold are in fact transferable. The objective here was not to give one single good answer but a range of possibilities to achieve a substantial reduction (-36%) in motorized vehicle.km, anchoring the alternatives on one or other of the modes of transport (active modes, E-bikes).

The *Public Transport* scenario led to a strong modal shift to public transport, which could not be absorbed by current or even possibly future infrastructure, due to financial and land-use constraints, according to the local stakeholders. This sub-scenario, purely based on a statistical description of the Household Travel Survey (2010), also did not include the fast-developing E-bikes, nor carpooling. To address these limitations, the two alternative scenarios were constructed and evaluated, in particular, one interesting feature of the E-bikes sub-scenario is the stability in the public transport modal share compared to the reference, which helps limiting infrastructure costs.

Finally, it would be useful to complement the definition of alternative modal distribution scenarios induced by the implementation of a LEZ with an impact assessment on the utilization rate of transport infrastructures.

#### 4.2.2 Validity of health impact assessment

The validity of HIA studies strongly depends on input parameters, namely exposure data, dose-response functions, and health outcomes data. Concerning the exposure data, we used the fine-scale (10-m grid) air pollutant-dispersion SIRANE model, based on a network street approach allowing to evaluate air pollutant concentrations inside streets in urban canopy. In addition to the primary validation of the SIRANE model (Soulhac et al. 2012), a validation of model estimates is routinely conducted with Delta Tool (EU's Joint Research Centre 2019). The very fine spatial resolution is a major strength of the study, as relying on less fine models can lead to underestimating of health impacts (Morelli et al. 2016).

We exclusively relied on meta-analytical risks, which reflect the whole evidence of the literature and are likely to be more robust and less biased than relative risks from individual studies. We assumed these dose-response functions to be linear, which seemed to be reasonable for air pollution effects within the exposure range in this study (Burnett et al. 2018). Woodcock et al. (2011) showed that the slope of the relationship of physical activity to mortality flattened for long durations of physical activity; with regard to the increases in physical activity expected under our mobility scenarios, we used the relative risks estimated in starting ranges of MET.hours. In the absence of specific dose-response functions regarding E-bikes, we applied the relative risks established for cycling while using E-bikes-specific MET and speed.

Another strength of our HIA consists in the reliability of the health data sources, namely national institutions for public health and for road safety as well as the national health insurance system. Although non-accidental mortality rates and traffic injury cases could be obtained at the municipality scale, lung cancer and cardiovascular diseases incidence data were available at the local scale (the *department*), which might give more weight to random spatial fluctuations than for the formers. Lastly, we focused on the main health outcomes

related to air pollution exposure; given the existence of other health conditions possibly associated with air pollution (e.g., breast cancer, mental disorders, diabetes mellitus...) (Buoli et al. 2018; Eze et al. 2015; White et al. 2018), the total health benefit and related economic savings are probably underestimated.

#### 4.2.3 Assumptions related to the cost-benefit analysis

Several assumptions should be subjected to a sensitivity analysis: the intangible costs, in particular the value of human life, whose values vary considerably in the literature (Chanel et al. 2020), the value of time (VoT) specific to each mode of transport, the external costs for noise, climate change (since the social value of carbon is the subject of lively academic debates (Pindyck 2019)) and the discount rate suitable to deal with environmental issues, whose choice has a significant impact on the valuation of future benefits (Guesnerie and Stern 2012).

The promotion and deployment of alternatives to the car will profoundly change the context in which the determinants of mobility choices will apply. The values of a certain number of behavioral and economic parameters used in this study will evolve under the effect of a change in context. The aggregate impact of modal shifts on the valuation of time spent in transport is a key determinant of the results of the cost-benefit analysis. The values taken here are based on literature and on the current valuation of this time as it is perceived today with urban transport infrastructures that are still largely oriented towards the private car and not very well adapted to cycling. It is likely that the adaptation of infrastructures and the development of bicycle paths truly separated from the roadways reserved for cars and of bicycle highways will change the perception of the time spent cycling, and thus decrease its valuation. Therefore, the perceived value of time can vary significantly between individuals, depending on their relationship to active modes of transport. Furthermore, in the sub-

scenarios in which the modal shift toward active modes was highest, we can reasonably assume that the last people to adopt these modes are those who enjoy it least, and thus who have a higher value of time when traveling by active modes. However, we also assumed a learning effect of active modes of transport and a reduction in car traffic, and thereby a growing pleasure, which will reduce the perceived value of time spent cycling. The two effects could compensate each other and lead to average time values for cycling not very far from the current values found in the literature.

#### **4.3 Involvement of local decision-makers in the definition of health objectives used to define urban policies**

The approach developed as part of the MobilAir project has made it possible to involve the elected representatives in the definition of the health objectives considered, with the aim of encouraging their appropriation by decision-makers, their adoption and the implementation of the necessary urban policies. This was done as part of a process of exchange between the research consortium and the services and elected officials of the local authority. The smooth running of the project and its success required interactions with decision-makers to identify and discuss the relevance of sectoral measures on an urban scale on road traffic or wood heating. This took the form of a committee including high-level decision-makers that met once a year, in addition with more frequent meetings of the scientists with lower-level technical staff. During the first decision-makers' committee, we presented the health impact of air pollution in the urban area, the general objectives of the project as well as the first results on the contribution of the main sectors emitting PM and their geographical origin. Following the first committee, the decision-makers asked the research consortium to study in detail three health objectives (the -33%, -50% and -67% reductions in PM<sub>2.5</sub>-related mortality). On this basis, an exploration of sectoral measures in relation to these health objectives was carried out

and presented to the second committee of decision-makers. Following this, the elected representatives asked to explore and evaluate the scenarios of urban policies presented here.

#### **4.4 Interactions between climate change and air pollution**

Interactions between climate change and PM-related air pollution are complex and numerous (von Schneidemesser et al. 2015). Synergies and antagonisms exist between policies to reduce pollution and policies to reduce GHG emissions. It is important that policies implemented to reduce PM-related pollution do not lead to increases in GHG emissions, and vice-versa. The case of wood heating, using a renewable energy but emitting fine particulate matter if old stoves are used, provides an illustration of the related challenges.

### **5 Conclusion**

This paper presents the results of an inter-disciplinary work between epidemiologists, air pollution modelers and economists, aimed at defining and evaluating scenarios of urban policies to significantly reduce air pollution and its impacts. To our knowledge, such a reverse and forward approach has not previously been carried out at the city or national level.

We showed that the most ambitious scenarios in terms of targeted health improvement were also the most interesting from an economic point of view, particularly if the urban policies lead to strong increases in active mobility, the health benefits thereof proving to be much greater than those directly induced by the reduction of air pollution. The policies on which the achievement of these objectives was based were realistic, relying on behavioral mobility changes that are also realistic.

Policies simultaneously relying on the full replacement of highly polluting wood-heating facilities and shifts from private car to bike mobility for short trips are likely to allow



benefits of 4.0 to 5.8 Euro for each Euro invested and generate net annual benefits per person of €468 to €615.

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## Supplementary material

**Table S1.** Assumptions made throughout this study.

Study stage	Assumption detail
<b>Air pollution levels</b>	
Minimal PM <sub>2.5</sub> exposure level = no anthropogenic PM <sub>2.5</sub> average level	This level was assumed to be equal to 4.9 µg/m <sup>3</sup> , which corresponds to the 5 <sup>th</sup> percentile of PM <sub>2.5</sub> concentration distribution among French rural towns. <sup>1</sup>
<b>Transportation</b>	
Counted kilometers	Were considered only travels for which the origin and the destination were both within the Grenoble conurbation limits.
Traveled kilometers on weekends and holidays	EMD has allowed the determination of the number of traveled kilometers on a typical day. According to the Household Travel Survey, this total was assumed 20% less on weekends and holidays, and we assumed 138 days off per year.
Number of days off per year in France	52 week-ends, 5 weeks of holiday (French legal days leave amount), and 9 days a year in average of legal holidays (Christmas, National Day...).
<b>Traffic injuries</b>	
Counted kilometers	Were considered only travels for which the origin and/or the destination were within the Grenoble conurbation limits.
Total number of incidents	Total number of incidents was obtained at the municipality scale by sex and transportation mode for the 2008-2012 period, from the National inter-ministerial road safety observatory (ONISR 2018a) <sup>15</sup> . The increase in injuries in walkers in relation with shift to public transport was also considered (public transport users have to reach their bus/tram on foot).
Life-year loss	Concerning fatal injuries only, the associated life-year loss was estimated by comparing, by sex and mode, the average age at death among victims under the considered scenario to the life expectancy at age 40 (i.e., the average age of Grenoble conurbation commuters), which was equal in France to 40.6 years in men and 46.2 years in women in 2014 (Insee 2020) <sup>11</sup> .
<b>Physical activity</b>	
Metabolic equivalent hours	Traveled kilometers were converted in MET.hours considering an average speed of 4.8, 15.1 and 18.3 km.h <sup>-1</sup> and 3.5, 5.8 and 4.5 METs for walking, cycling, and E-bikes respectively (Ainsworth et al. 2011; Berntsen et al. 2017) <sup>12,13</sup> .
<b>Health impact assessment</b>	
Lung cancer	Incidence available at the regional scale, and assumed homogeneously distributed over the whole department.
Ischemic heart disease	Number of news beneficiaries available at the regional scale, and assumed homogeneously distributed over the whole department.
Cerebrovascular disease	Number of news beneficiaries available at the regional scale, and assumed homogeneously distributed over the whole department.
Dose-response functions	Relations assumed linear through the PM <sub>2.5</sub> level range in the Grenoble urban area. In lack of specific relation, the relative risk of mortality in link

to e-biking was assumed equal to this in link to cycling; MET and speed specific for e-bikes were used.

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### Cost-benefit analysis

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Lung cancer	As previously described, the mean value of a year of treatment for the year of diagnosis corresponds to 54,695€ for men and 49,844€ for women. We assume that it entails 120 workdays off each year, with a mean value of 96€ per day of work. Considering the expected survival, a case is estimated at 150,174€.
Cerebrovascular disease	65% of the victims are supposed to live at home one year after the accident, while 35% are cared for in a specialized institute. <sup>2</sup> Life expectancy is arbitrarily defined as 7 years. <sup>3</sup> We consider 50% of ischemic cerebrovascular disease and 50% of hemorrhagic. The cost of initial hospitalization is 7,984€ and 8,132€ respectively for ischemic and hemorrhagic; The first year of treatment is between 22,645€ and 34,504€, regarding to handicap severity; The annual cost from the second year is 9,354€ for a patient living at home and 38,978€ in a nursing home. <sup>2</sup> A case is estimated at 138,210€.
Subsidies disbursed for wood heating	The different public subsidies (wood stove replacement premium; ANAH Agility; CITE) remain constant over the years, according to the current allocation rules. The wood stove replacement premium, amounts to 1600 euros (2000 euros for the most deprived households).
Communication and animation concerning the wood stove replacement program	The yearly amount already allocated remain constant due to the intended strengthening of the measure, which requires a long-term communication.
Private energy savings induced by wood heating replacement	Because of a lack of data concerning the private heating behaviors, we assumed a reasonably 300 euros savings per year per household. The year of installation, the savings represent the half of this amount, due to the dispersal of installations during the year.
Private energy savings for granular stove	The efficiency economic gains in comparison to a classical device are assumed to be compensated by the raise in granular expenditures. The amount is therefore the same as above.
Implementation cost for the Low Emission Zone	The implementation and annual operating costs are based on the LEZ implemented in Anvers and described in the ADEME report on Low Emission Zones in Europe. <sup>4</sup>
Infrastructure costs for the development of public transports and active modes	We relied on the estimated budget for the realization of the mandatory urban mobility plan (SMTC 2018), provided by the Grenoble conurbation to define its transport policy. The budgets required into the estimation for each scenario were thus deduced by multiplying the urban mobility plan costs associated to each mode of transport by the ratios of vehicle.km of each mode in all scenarios, compared to that of the urban mobility plan. A portion of the costs remained constant through all scenarios because of their low sensitivity to changes in infrastructure plans.
Value of time of transport	The car and public transport value of time were determined following a Integrated Choice and Latent Variable model, <sup>5</sup> assuming no seats guaranteed in the public transports. The cycling and walking value of time is 50% the average wage when climate is favorable, 67% when it's not and 92% when the user is wet because of the rain. <sup>6</sup>
Meteorological conditions	Workers going by bike or walk at work every day should be wet 28 times per year on average because of the rain. <sup>7</sup> Rain further occurs for 106.4 days

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	<p>in a year on average.<sup>8</sup> Climate is qualified as non-favorable when there is rain but the users are not wet. The rest of the days are qualified favorable.</p>
Average speed per mode	<p>Car and public transport average speed were deducted from the local transportation data from the AURG (the Grenoble Region Town Planning Agency).</p>
Cost of vehicle uses	<p>We estimate the cost of use and investment for per vehicle.km for cars, bikes, E-bikes and public transports.          We added the cost of a battery and a charge per vehicle.km to the cost of bicycles to obtain the cost for E-bikes. The average purchase price has been adapted between bikes and E-bikes.          The cost of public transport is determined by dividing the SEMITAG (in charge of the local network) annual revenues from the tickets sales and subscription with the annual distance covered.          The total cost per kilometer were respectively from €0.31 to €0.49 for cars (depending on the type of motorization), €0.14 for public transport, and from €0.12 to €0.21 for bikes (depending on the share of E-bikes and the use intensity).</p>
Noise pollution	<p>Using the local transportation data from the AURG (the Grenoble Region Town Planning Agency) and estimations from Quinet et al. (2013),<sup>9</sup> we estimate an average noise pollution cost per vehicle.km. This average cost is calculated considering the population density and the type of road.</p>

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**Table S2.** Risk for fatal, serious or light traffic injury for each transportation mode under the baseline situation.

Transportation mode	Millions of traveled kilometers per year <sup>a</sup>	Fatal traffic injuries <sup>b</sup>		Serious traffic injuries <sup>c</sup>		Light traffic injuries <sup>d</sup>	
		Total number for the 2008-2012 period <sup>e</sup>	Annual risk	Total number for the 2008-2012 period <sup>e</sup>	Annual risk	Total number for the 2008-2012 period <sup>e</sup>	Annual risk
Car, driver	2,352.695	17	1.445 x 10 <sup>-9</sup> km <sup>-1</sup>	84	7.141 x 10 <sup>-9</sup> km <sup>-1</sup>	301	25.59 x 10 <sup>-9</sup> km <sup>-1</sup>
Car, passenger	477.843	3	1.256 x 10 <sup>-9</sup> km <sup>-1</sup>	51	21.35 x 10 <sup>-9</sup> km <sup>-1</sup>	142	59.43 x 10 <sup>-9</sup> km <sup>-1</sup>
Motorcycle	11.628	16	275.2 x 10 <sup>-9</sup> km <sup>-1</sup>	180	3,096 x 10 <sup>-9</sup> km <sup>-1</sup>	230	3,956 x 10 <sup>-9</sup> km <sup>-1</sup>
Cycle	52.787	11	41.68 x 10 <sup>-9</sup> km <sup>-1</sup>	50	189.4 x 10 <sup>-9</sup> km <sup>-1</sup>	114	431.9 x 10 <sup>-9</sup> km <sup>-1</sup>
Pedestrian	129.069	16	24.79 x 10 <sup>-9</sup> km <sup>-1</sup>	124	192.1 x 10 <sup>-9</sup> km <sup>-1</sup>	207	320.8 x 10 <sup>-9</sup> km <sup>-1</sup>
Public transport	783.891	0	0	1	0.255 x 10 <sup>-9</sup> km <sup>-1</sup>	7	1.786 x 10 <sup>-9</sup> km <sup>-1</sup>

<sup>a</sup> All travels for which the origin and/or the destination were within the Grenoble conurbation limits, data from the Grenoble-area Household Travel Survey (2010).

<sup>b</sup> Traffic injuries causing death instantly or within 30 days.

<sup>c</sup> Traffic injuries leading to hospitalization.

<sup>d</sup> Traffic injuries not leading to hospitalization.

<sup>e</sup> Total number of traffic injuries occurred within the Grenoble conurbation during the 2008-2012 period<sup>10</sup>; the annual average of traffic injuries on the 2008-2012 period was considered for annual risk estimation.

**Table S3.** Health cost assumptions made for the cerebrovascular disease cost-benefit analysis.

Type of Direct costs	Amount (€2017)	Type of Indirect costs	Amount (€2017)	Life expectancy with morbidity	Total cost per case (€2017)
Initial hospitalization	8,058				
First year of treatment for a moderated disability	22,645				
First year of treatment for a severe disability	34,504	-	-	7.00	138,210
Per year at home, after the first year	9,354				
Per year in a nursing home, after the first year	38,978				

**Table S4.** Change in air pollutant exposure, mortality, and morbidity for each modal shift sub-scenario, all implemented under the Traffic\_3 scenario.

Change in	Traffic_3	“Public transport” sub-scenario		“Active modes” sub-scenario		“E-bike+” sub-scenario	
	Change attributable to pollution level decrease	Change attributable to physical activity increase	Change attributable to fatal traffic injury increase	Change attributable to physical activity increase	Change attributable to fatal traffic injury increase	Change attributable to physical activity increase	Change attributable to fatal traffic injury increase
<b>PM<sub>2.5</sub> exposure (annual average in µg/m<sup>3</sup>)</b>	-1.3	/	/	/	/	/	/
<b>Premature deaths*</b>	-21 (-30, -13)	-59 (-88, -26)	+1	-166 (-238, -81)	+8	-196 (-274, -102)	+17
<b>Corresponding years of life*</b>	+675 (414, 940)	+1940 (834, 2933)	-12	+5664 (2681, 8331)	-244	+6753 (3404, 9685)	-537
<b>Lung cancer*</b>	-2 (-4, -1)	/	/	/	/	/	/
<b>Strokes*</b>	-14 (-38, 0)	-11 (-18, -3)	/	-31 (-52, -10)	/	-37 (-62, -12)	/
<b>Ischemic heart disease*</b>	-18 (-37, 0)	-19 (-29, -10)	/	-55 (-83, -29)	/	-66 (-99, -34)	/

\* Estimate and 95% confidence interval of the number of cases annually prevented.

**Table S5.** Details of the discounted cumulated sum of cost and benefits of wood heating- and traffic-related policies over the 2016-2045 period, and leverage effect of the policies.

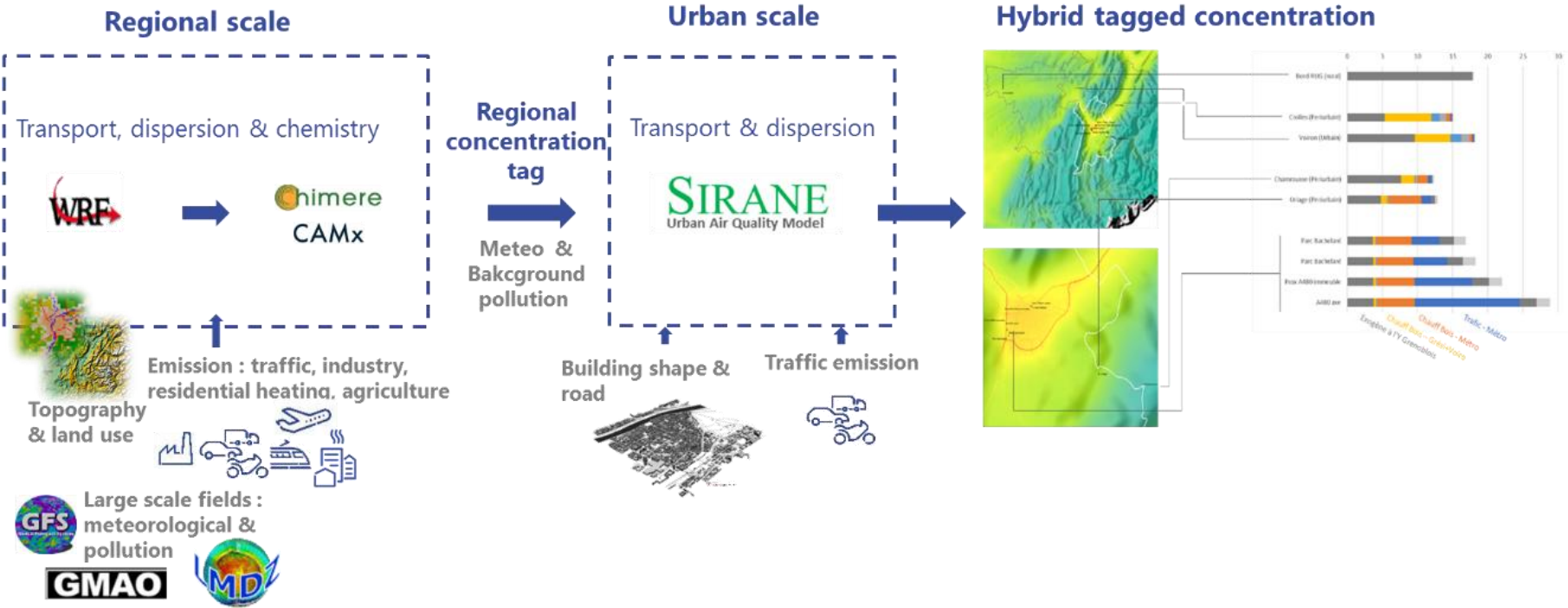
<b>Policy scenarios in wood heating sector</b>					
	<b>Heating_1</b>	<b>Heating_2</b>	<b>Heating_3</b>		
Health - pollution	1225.8	1847.5	2469.1		
Household expenditure	31.7	63.2	63.2		
Cost of the measure	-48.7	-74.2	-74.2		
Leverage effect	25.8	25.7	34.1		
<b>Policy scenarios in transport sector</b>					
	<b>Traffic_1</b>	<b>Traffic_2</b>	<b>Traffic_3</b>		
			<b>“Public transport”</b>	<b>“Active modes”</b>	<b>“E-bike”</b>
Health - pollution	500.4	611.6	722.8	722.8	722.8
Health - Physical activity	0.0	0.0	2158.3	6 079.0	7198.3
Noise - pollution	50.6	130.4	249.8	253.4	253.7
Traffic injuries	0.0	0.0	-9.2	-496.3	-1102.3
Climate change	252.7	705.5	1132.3	1174.8	1207.2
Value of time	0.0	0.0	-3610.4	-3653.8	-2378.4
Private expenditure	646.1	851.4	1842.1	2040.5	1724.3
Infrastructure and policy cost	-21.9	-117.2	-2426.0	-2074.7	-1663.0
Leverage effect	66.1	19.6	1.0	2.9	4.6
<b>Combination of scenarios</b>					
	<b>-33%</b>	<b>-50%</b>	<b>-67% “Public Transport”</b>	<b>-67% “Active Modes”</b>	<b>-67% “E-bikes”</b>
Health - pollution	1726.2	2459.1	3192.0	3192.0	3192.0
Health - Physical activity	0.0	0.0	2158.3	6079.0	7198.3
Noise - pollution	50.6	130.4	249.8	253.4	253.7
Traffic injuries	0.0	0.0	-9.2	-496.3	-1102.3
Climate change	252.7	705.5	1132.3	1174.8	1207.2
Value of time	0.0	0.0	-3610.4	-3653.8	-2378.4
Private expenditure	677.7	914.5	1905.3	2103.6	1787.4
Total benefits	2707.3	4209.5	5018.1	8652.7	10157.9
Infrastructure and policy cost	-70.6	-191.4	-2500.2	-2149.0	-1737.3
Benefits-costs	2636.6	4018.1	2517.8	6503.7	8420.7
Leverage effect	38.3	22.0	2.0	4.0	5.8

**Box S1: The CAMx and SIRANE models**

CAMx model (Ramboll Environ, Arlington, Virginia, USA), is a Eulerian chemistry-transport model able to simulate atmospheric concentrations of particulate and gaseous pollutants. The regional domain was bounded to the Rhône-Alpes Region using a 3x3-km grid resolution. Boundary conditions on this domain were given by CHIMERE modelling at the 27x27-km scale over Europe. Meteorological fields come from a simulation with the Weather Research and Forecast (WRF) model (NCAR, Boulder, Colorado, USA) configured on 3 nested domains of 27x27, 9x9 and 3x3 km. Emissions within the Rhône Alpes Region were provided by Atmo Auvergne-Rhône-Alpes inventory. Outside this area, emissions were retrieved from the EMEP database (Simpson et al. 2012)<sup>14</sup>.

CAMx and SIRANE were both used in a source apportionment approach for PM<sub>2.5</sub>. The contributions of emission sources from different sectors of activity and geographical areas were identified and followed during the simulation. The flagged emissions sources were grouped in CAMx according to five activities (industry, road traffic, residential heating, agriculture, and others); an interface was developed to apply coefficients to the different areas and activity sectors. In parallel, SIRANE was launched with traffic emissions only. Given the better spatial resolution of SIRANE model (to the road scale) compared to CAMx (1-km grid), traffic sector-related emissions from CAMx were not considered and substituted by SIRANE model's estimates. We then combined models' estimates assuming linearity in the emission-concentration relationship. This approach allowed to drastically reduce the calculation time, from almost a month to less than one hour. This first approach therefore made it possible to quickly screen various reduction scenarios; the selected solutions were then verified, and the estimates refined, in a forward (classical) modelling

**Figure S1.** Model chain approach for tagging emission at regional scale to local sources.



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